2002 ANNUAL REPORT

MISSION AND VISION

As most of you read this letter, the Rockefeller Foundation will have celebrated its 90th birthday. In 1913 the challenges of poverty and inequality were pressing and visible, as they are now.

Ron Chernow writes in "Titan," a biography of John D. Rockefeller, that New York City in the early 20th century was a place where the poor were sick, badly housed and poorly fed. Today urban and rural poverty persists in the United States. Yet poverty is most acute in the developing countries where more than one billion people live on less than one U.S. dollar per day.

Given the social challenges 90 years ago, our founder could have decided to give away his wealth rapidly, arguing that the world needed his money then rather than at some future date. Wisely, he anticipated that by setting up an endowment money would be available in the future for unforeseen problems.

Mr. Rockefeller gave us a broad mandate to further the "well-being of mankind throughout the world." He didn't want the Foundation to be a charity-- dispensing coins into begging bowls or responding to disasters--but would rather uncover root causes of problems and find their solutions.

This past year we have become more analytical in our grantmaking approach. We've narrowed the grantmaking focus to about 20 well-defined areas of work. Each of these is subject to "problématique analysis," which is a way of defining a problem taking into account the economic, political and policy context of the issue.

Of course we are not the big player today that our money allowed us to be 90 years ago. While the current \$2.6 billion value of our endowment is close in real purchasing power to the original endowment's value, the world's problems are bigger today. No one organization can work in isolation.

Instead we work with and through others. We may fund technological innovation, or help build institutions or human capacities in critical areas of expertise. Or we may have our most far-reaching effect by helping to set a public agenda.

No one sector has a monopoly on solutions to human welfare or the wherewithal to produce and implement them. The Foundation's experience has shown that partnerships work. A critical step in the problématique analysis is to identify who is working in similar ways, where is our comparative advantage and where the potential for partnership lies.

Eleven years ago we were pivotal in setting up a donor consortium to aid the revitalization of U.S. cities. We've shown that by helping to strengthen urban community-development corporations we enable them to access billions of dollars in grants and loans for housing and commercial development.

In 2002 we played a key role in launching the consortium's second decade renamed "Living Cities: National Community Development Initiative." The partnership has expanded to include eight foundations, seven financial institutions, and the U.S. Departments of Housing and Urban Development (HUD) and Health and Human Services' Office of Community Services (OCS). We have expanded our remit to take on policy research and new experimental approaches. To date, we've raised \$120 million.

A second, very different partnership launched in 2002 is the International Partnership for Microbicides. Research and development of microbicides designed to control the spread of HIV are critical to slowing the AIDS pandemic. Microbicides arecompounds that when formulated as foams or gels can be used by women to protect themselves from sexually transmitted diseases during intercourse.

To date large pharmaceutical companies have made limited investment in research and development of microcibides that can slow the spread of HIV. Our hope is that this new partnership that focuses on the innovative capacities of small biotechnology companies will produce one or more effective products. So far, eight other donors have joined us.

The Foundation's success in creating such partnerships comes in part from the skills and experience of our staff, but also builds on the Foundation's reputation and our accumulated knowledge of the world and how it can be changed for the better.

The year 2013, the Foundation's centennial, is close to the date fixed for the Millennium Development Goals of the United Nations. Reaching the goals-among them halving poverty and hunger and reducing infant mortality by two thirds--require the resources of the global community. Yet this Foundation plays a vital role by funding demonstration research or by bringing together key actors who are able to implement solutions.

In 10 years, when this Foundation turns 100, I'm confident that John D. Rockefeller's vision of making the world a better place will continue to be both a rallying cry as well as a statement of achievement.

Gordon Conway April 2003

2002 President's Letter

ABOUT THE FOUNDATION: PROGRAM GOALS

The Rockefeller Foundation is a knowledge-based global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world.

In order to maximize its resources and leverage the Foundation's strengths, grantmaking is organized around four thematic lines of work: Creativity & Culture, Food Security, Health Equity and Working Communities. A cross-theme of Global Inclusion supports, promotes and supplements the work of these themes.

In addition, the Foundation supports various regional and special programs, among them the Africa Regional Program, Southeast Asia Regional Program, Communication for Social Change, Public/Private Partnerships and Global Philanthropy. We also offer a unique place for study and creative endeavor through our Bellagio Study and Conference Center in northern Italy.

The Foundation's strategic direction focuses explicitly on the challenges faced by poor and excluded people and affirms our assumptions about development, most notably that:

- For the Foundation's strategies to be most effective, poor and excluded people should have a voice in the process, we should actively find ways to unleash those voices, and such voices should be heeded; that
- The poor and excluded people themselves should participate in researching, planning and doing the work; and that
- We must seek creative ways to leverage our limited dollars in order to attract new funding from the private sector, international aid organizations, and national, state and provincial governments.

The challenges confronting poor and excluded people are too numerous, complex and massive to be addressed by any single foundation alone. A \$15 million grant, or even a \$50 million grant, cannot begin to address a cure for AIDS or development of new tuberculosis drugs, for example. We must continue to emphasize the creation and support of global partnerships, alliances and collaboratives to effect positive change in the daily lives of poor people. The Foundation will continue to join forces with governments, industry, other foundations and nongovernmental organizations to ensure that poor people are included in decisions that affect their lives.

THE PROGRAMS: CREATIVITY & CULTURE

Goal: To give full expression to the creative impulses of individuals and communities in order to enhance the well-being of societies and better equip them to interact in a globalized world.

Culture and artistic expression serve as barometers of the quality of people's lives and provide agency for improving them. Cultural workers--ranging from humanities scholars to traditional African griots to digital artists and media producers--serve as catalysts for comprehending, articulating and addressing the needs and aspirations of individuals and communities. They and their institutions express their communities' dissent, preserve its memory, generate dialogue and provide critical commentary about a rapidly changing world. Cultural workers and artists are vital to community resiliency, as they help people withstand and respond to the stresses of poverty, migration, violence and discrimination.

Globalization and the increased cultural interaction it spawns can be both threatening and enriching. The steady encroachment of Western popular culture does, for example, have a homogenizing effect that undermines many traditional cultures. But at the same time new technologies have offered innovative avenues for expression, giving life to altogether new "imagined communities" that unite people through shared experiences. And in this increasingly borderless world, the dynamics of immigration and emigration are testing communities' cultural resiliency while the artists and humanists who both reflect and lead these transformations are themselves navigating in an environment in which the nature and definition of the arts is changing. Technology has not only extended, but blurred the boundaries of art making as both visual and performing artists explore its possibilities.

As it has throughout most of its history, the Rockefeller Foundation bases its support for the arts and humanities on the conviction that societies are enriched by the free expression of creative individuals. To address the challenges posed by globalization, the Foundation aims to enhance the creativity of individuals and communities through the preservation and renewal of the cultural heritage of poor and excluded people, the engagement of artists and humanists in the creation of democratic and inclusive societies, and the support of diverse creative expression and experiments with the new digital technologies.

The Foundation supports the recovery and reinvention of cultures through such vehicles as museum exhibitions, preservation of traditional art forms, cultural-heritage and folk-life projects, and community-arts projects, as well as efforts to promote cultural policy and to understand the cultural components of well-being. It promotes social critique and the free flow of ideas through humanities research and efforts to strengthen pluralism and institutions of public culture, as well as the mobilization of religious organizations and values in building civil society. In addition, the Foundation supports media and performing artists through fellowships and the creation and presentation of new work; it expands access to new forms of expression for diverse communities; it promotes the invention of new modes of interaction among artists across divides of class and culture; and it promotes a deeper understanding of the interactions between technology, culture and society. Grants are made in the United States and in the regions of strategic

Foundation focus: southern and eastern Africa, Mexico and Central America, and Southeast Asia.

During 2002, the Foundation continued its efforts to ensure that smaller, underrepresented cultural and arts groups and vulnerable communities in New York were treated equitably during the recovery efforts in the wake of the catastrophic events of September 11, 2001. Other highlights of our funding year included the launch of a state-of-the-art dance-theater space that puts new technologies in the hands of artists; an exhibition examining the impact of development and migration on Native American cultural identity and sovereignty; an effort to share the skills of master traditional performing artists in Lao People's Democratic Republic and Myanmar with younger contemporary artists; funding of the Afropop Worldwide initiative to increase the profile of African and African Diaspora music, and to ensure that the financial benefits accrue to the music's originators; and support for the Pluralism Project, a study and documentation initiative providing outreach and education on America's new religious landscape.

Creativity & Culture supports:

- Preservation and renewal of the cultural heritage of people excluded from the benefits of globalization.
- Strengthening civil society and the free flow of ideas through initiatives in the humanities and religion.
- Creation and presentation of new work in the media and performing arts that promotes cultural diversity, innovation and understanding across cultures.

Inquiries at: <u>creativity@rockfound.org</u>, or fax (212) 852-8438, or refer to the Foundation Web site.

THE PROGRAMS: FOOD SECURITY

Goal: To improve the food security of the rural poor through the generation of agricultural technologies, institutions and policies that will provide sustainable livelihoods in areas of sub-Saharan Africa and Asia bypassed by the Green Revolution.

Food security--all people having enough food at all times to live normal, active lives--will continue to be a central challenge for millions of households, numerous countries and at least one continent, Africa, over the next half century. When people lack the calories and micronutrients, such as iron and vitamin A, that enable the body to develop, the lifelong effects can be devastating. Undernourished children are more susceptible to disease and are often stunted both physically and mentally, impacting their school performance and their potential for productive adult lives.

Of the more than 5 billion people living in developing countries, 3 billion live in rural areas, most of them dependent on agriculture for their livelihoods. Currently, about 800 million people remain undernourished and roughly 24,000 people die each day from hunger and hunger-related causes. Yet hunger's corrosive effect extends beyond those immediately impacted, often leading to social and economic instability in a region, country and even an entire continent.

Most of those who remain undernourished live in regions bypassed by the agricultural advances of the Green Revolution that contributed to dramatic improvements in food security for the majority of the world's people. Living on land that is often lower in natural agricultural potential, having few formal educational opportunities and little access to technology, these farming families, concentrated in sub-Saharan Africa and less-favored parts of Asia, remain in poverty.

To help these farm families move out of poverty, Foundation grantees are working to improve access to markets and provide better and more locally appropriate teaching of farming skills. Research is aimed at generating agricultural innovations, including more dependable and sustainable farming practices, and new crop varieties developed for the specific environmental and socioeconomic conditions under which the poor farm. The National Agricultural Research Organisation of Uganda, for example, has released new maize varieties that have improved disease resistance, more efficient nitrogen utilization and that breed true, so farmers can save seed from their harvest for the next planting.

Our grantees are engaging the farmers themselves as participants in scientific investigations and in the development of new technologies to meet their needs. This is illustrated by the central role of farmer participation in research on soilfertility management being conducted in western Kenya where several nongovernmental organizations have recommended alternative maize/legume intercrops in an effort to determine the approach that is best suited to the region's diverse agroecological and social settings. Under the leadership of SACRED-Africa (Sustainable Agriculture Centre for Research, Extension and Development in Africa) the nongovernmental organizations have come together to facilitate on-farm testing and comparison of these technologies. The trials are farmer managed and a deliberate effort is made to capture the farmer's impressions and to encourage further farmer innovation in use of the technologies. The results are presented at widely promoted "field days" where participating farmers play a key role in teaching other farmers the "best bet" practices for each sub-region.

The ability of local organizations to access and move key institutional, policy and technological levers is critical to the success of this process. To foster development of local, national and international policies that will increase the productivity, stability and sustainability of smallholder agriculture, the Foundation seeks to empower and invigorate institutions that provide goods and services to poor farmers. In Africa, for example, the Foundation provides funding to the Ministry of Agriculture and Irrigation in Malawi, to assist the government's development of a long-term strategy for sustainable soil-fertility management and food security for smallholder farmers; to the African Centre for Fertilizer Development to facilitate greater private-sector participation in the dissemination of soil-fertility technologies to smallholder farmers; and to the University of Pretoria to conduct research on the risks and benefits associated with the adoption of agricultural biotechnologies by smallholder farmers in Africa. At the international level the Foundation helped support establishment of an African Agricultural Technology Foundation that will facilitate public/private partnerships designed to provide smallholder farmers in Africa with greater access to new agricultural technologies, materials and know-how, including proprietary technologies.

Our work addresses the root causes of food insecurity through the following areas of work:

- To develop improved crop varieties for Africa and Asia.
- To enhance soil productivity in Africa.
- To improve the efficiency and equity of markets to raise the income of poor farmers in Africa.
- To generate international public goods that can help developing countries better serve poor farmers.

Inquiries at: <u>food@rockfound.org</u>, or fax (212) 852-8442, or refer to the Foundation Web site.

THE PROGRMS: HEALTH EQUITY

Goal: To advance global health equity by pursuing the reduction of avoidable and unfair differences in the health status of populations.

Less than 50 years ago, nearly one in six children died before reaching age 5. Today more than 95 percent of all children will survive to their fifth birthday. Tremendous advances in modern medicine over the past five decades have allowed not just children, but all humankind, to live longer, healthier lives. But these gains have not been evenly distributed. Poor people--in both poor and rich countries--are sicker and die younger.

In poor countries, decades of health advances--and 20 million lives--have been lost since the outbreak of AIDS. In Africa alone 600,000 people are newly infected each year, adding to the estimated 40 million people now infected. Many will eventually succumb to the disease adding millions more to the 13 million children already orphaned by the epidemic.

In developing countries, AIDS contributes to a downward spiral undoing modest, but hard-earned, economic and social gains. Education systems have been devastated as teachers become ill and those students fortunate not to have been infected at birth must care for their siblings when one or both parents becomes ill or dies. Women and men, farmers and civil servants--all in their most productive years--are dying, eroding the families and societies left in their wake.

These people and the countries in which they live are sick because they are poor, but they are also poor because they are sick. They live in areas where basic health-care services are scarce, clean water is precious and the resources and infrastructure for AIDS-prevention programs, to say nothing of treatment initiatives, often do not exist. Yet their poverty should not be a barrier to adequate prevention and care. Programs to prevent the transmission of HIV from mothers to their children and the subsequent care of mothers, and interrupted anti-retroviral therapy demonstrate that care is indeed feasible in resource-poor regions.

Current HIV prevention strategies--monogamy, condom use, reduction in numbers of partners and treatment of sexually transmitted infections (STIs)-often are not feasible for many, especially for women whose social status places them disproportionately at risk of HIV infection. The Foundation is funding research to develop a safe, effective and affordable ointment or gel--a microbicide--that women can apply vaginally or rectally to protect themselves from HIV and other STIs. In addition to funding research into microbicide and vaccine development, we are working to better understand the social behavior that encourages the spread of the disease.

Other diseases, including tuberculosis, which fuels the AIDS pandemic, and malaria whose one million annual victims, like those of TB and AIDS, are virtually all in the developing world, are also the subject of dedicated initiatives that we are partnering in with other donors.

Growing awareness of the pervasive and inequitable distribution of health gains between and among countries has helped to raise the profile of health within the

global development agenda. Dramatic gaps separating health "haves" from "havenots" are increasingly viewed as important constraints to development and as threats to security.

Our work addresses the root causes of inequities in health through three areas of work:

- To accelerate the development of and access to vaccines and medicines for diseases of the poor by creating public/private partnerships to overcome the lack of commercial interest in these products.
- To develop appropriately skilled human resources and better management of information to improve health care in developed countries.
- To rise to the challenge of AIDS through the development of preventive technologies and accelerating access to care and mobilizing greater resources within the foundation community.

Inquiries at: <u>health@rockfound.org</u>, or fax (212) 852-8279, or refer to the Foundation Web site.

THE PROGRAMS: WORKING COMMUNITIES

Goal: To transform poor urban neighborhoods into working communities--safe, healthy and effective neighborhoods--by increasing the amount and quality of employment, improving the quality of all urban schools, and increasing the influence and voice of the poor and excluded in political decisions that affect their lives.

The mix of productive work, quality education, fairness and equity makes a working community. Employment provides the material means of support for individuals, structures their daily lives and engenders fulfillment or frustration. Education and training determine access to meaningful employment with advancement potential. Fairness and equity ensure that all within a community have access to the means necessary to achieve stable livelihoods and that they will become full and productive members of the community.

In its effort to make this vision a reality in the United States, the Foundation faces a multitude of challenges. For example:

- Despite sustained U.S. economic growth throughout the 1990s, one in every eight persons remains in poverty.
- Income inequality in the United States is the highest among all industrialized nations, due, in part, to the decline in real wages of low-skilled workers.
- Poverty is primarily an urban phenomenon: three fourths of the poor live in metropolitan areas and central U.S. cities are home to half of the nation's poor.
- Poverty weighs more heavily on minorities and non-English speakers--a quarter of all African-Americans and a fifth of Latinos are poor; half of the foreign-born are poor.
- An estimated third of public schools that are failing to teach are in central cities, and teachers continue to report that they are unprepared to teach growing numbers of minority and new English-language learners.

To address these challenges, the Foundation supports policies and competent public and private organizations to ameliorate inequities and disparities in education, employment and civic participation, and supports research to determine which programs work and which do not, and with what costs and benefits.

Partnerships and public/private initiatives will continue to play an important role in addressing the plight of urban neighborhoods. For example, the decade-old partnership of foundations, financial institutions and the federal government known as Living Cities (formerly the National Community Development Initiative), pledged \$500 million over the next 10 years to continue promoting inner-city revitalization. The new investment builds upon the partnership's experience in improving inner cities, supporting 300 community organizations and spurring more than \$2 billion in added funding for affordable housing and commercial business development.

The Foundation continues support for strategies to improve wages, employment and economic opportunities for the working poor. This includes funding of research and policy analysis, as well as community-based initiatives to improve employment access and job opportunities for low-skilled urban residents--such as innovative, paid community-service jobs and more effective training and placement services for low-income people. Funding also supports a rigorous experiment aimed at increasing employment rates among public-housing residents.

Recognizing that adequate financial resources are critical to any strategies to improve educational outcomes for all children, the Foundation is supporting education-finance reform and accountability to address disparities in educational resources and student achievement.

To increase the participation of racial and ethnic minorities in shaping solutions to inequality and exclusion, the Foundation supports collaboration among scholars, activists and community leaders that combines research and community interests; innovative legal practices that encourage community participation in addressing the problems of racial justice; and broad, deliberate and informed discourse to set remedies.

Working Communities grantmaking falls into the following areas of work:

- Research on the consequences of economic, technological and demographic trends on the structure of work and their impact on the least skilled, and into the structural components of racial and ethnic exclusion and their implications for democracy.
- National initiatives, such as Living Cities, that support communitydevelopment corporations or city-specific initiatives to increase the scale and impact of reform in poor school districts.
- Research to improve the employment access and advancement opportunities, the quality of education for poor and limited English-speaking children, and innovative locally based projects that increase voice and participation of poor and excluded people.

Inquiries at: <u>work@rockfound.org</u>, or fax (212) 852-8273, or refer to the Foundation Web site.

THE PROGRAMS: GLOBAL INCLUSION

Goal: To help broaden the benefits and reduce the negative impacts of globalization on vulnerable communities, families and individuals around the world.

In an age of continuous and rapid change it is essential to the work of the Foundation that we identify and understand the impacts of global trends, especially those that impact the lives of poor people, before or as soon as they occur. Reaching across boundaries of discipline and experience, Global Inclusion provides analyses of global trends and policy issues. By analyzing, interpreting and debating important global trends and issues of poverty and exclusion, ranging from protests against scientific innovations and protection of indigenous rights, to transnational flows of people in North America, Global Inclusion helps position the Foundation on a complex array of crosscutting policy and strategic concerns.

Global Inclusion also supports work on key policy issues at the regional and global level that relate to, and advance, the goals and work of the Foundation's themes. This work focuses on emerging and urgent policy issues, and is responsive to both ongoing Foundation work and opportunities in global policy. Global Inclusion's grantmaking currently works toward the following objectives:

- To foster inclusive, global dialogues about the uptake of plant biotechnology.
- To support the emergence of fairer, development-oriented intellectualproperty policies.
- To examine the impact of science and technology on poor people.
- To better understand the changing dynamics of North American transnational communities, particularly financial remittances.
- To support knowledge building and new practices aimed at increasing propoor philanthropic investments globally.
- To inform global approaches to conflict prevention and management.

Inquiries at: global@rockfound.org, or fax (212) 852-8461, or refer to the Foundation Web site.

THE PROGRAMS: ASSETS AND CAPACITIES

COMMUNICATIONS FOR SOCIAL CHANGE

Goal: To enhance the effectiveness of development initiatives that focus on improving the lives of poor and excluded people by fostering innovative, sustainable and empowering communication approaches aimed at engendering positive social change.

Communication is at the heart of the development challenge. It is through communication that poor and excluded people can give voice to their aspirations and begin to play leading roles in their own problem solving and development. Communication can enable poor people to move from being passive recipients of externally generated development interventions to being effective advocates for the enrichment of their own lives and, finally, generators of their own development. And when people are more fully engaged in their own social and economic development, progress toward attaining good health, achieving food security, building working communities and preserving cultural traditions is more sustainable.

The Communication for Social Change special program supports work toward defining and testing a more inclusive model of communication for development that moves away from top-down, externally driven models emphasizing transmission of knowledge toward communication that allows people to define who they are, what they want and how they can achieve their goals.

For example, by emphasizing dialogue with communities and involving them in interactive decision making regarding message context and content, our grantees' satellite radio and Internet initiatives have helped to improve health in, and deliver education programming to, Africa's rural areas. We have found that information and communication technologies are most effective when they are harnessed to facilitate community dialogue--not just to disseminate information. And in Zimbabwe, grantees' community-based efforts are aimed at developing effective ways for rural youth groups to develop and test their own AIDS-prevention messages.

Inquiries at: <u>csc@rockfound.org</u>, or fax (212) 852-8441, or refer to the Foundation Web site.

BELLAGIO STUDY AND CONFERENCE CENTER

The Bellagio Study and Conference Center, located on a historic estate on Lake Como, Italy, provides an ideal environment of solitude, contemplation and productivity in which scholars, scientists, artists, writers, policymakers and practitioners from all over the world may pursue their creative and scholarly work.

From February to mid-December, the Center offers one-month stays for 15 residents at a time in any discipline or field and coming from any country who expect a publication, exhibition, performance or other concrete product to result. Applicants are accepted not just for individual excellence or for the potential of their proposed projects, but also for geographical diversity of their homelands and for their capacity to contribute to the intellectual mix of life at the Center.

The Center also offers interdisciplinary, intercultural networking through the convening of small working groups (from three to 25 participants) of policymakers, practitioners, scholars, scientists, artists and others. Priority is accorded to proposals that address significant issues and problems within or across given fields, are innovative in their design, and promise concrete outcomes beyond the drafting of a statement or recommendations.

Applications are reviewed by an interdisciplinary group of Rockefeller Foundation staff and by outside specialists. Decisions are based upon the quality of the project proposed, the importance of the proposed work in its field and discipline, the qualifications of the applicant(s), and the suitability of the Center for the proposed activity.

The Foundation provides room and board without charge for all residents and workshop/team participants. Some travel assistance is available for those from developing countries who qualify.

Applications are available on the Foundation Web site or can be requested by fax at (212) 852-8130.

PROVENEX

The Program Venture Experiment (ProVenEx) seeks to catalyze private-sector investments in areas that will benefit poor and excluded people. Through this program, the Foundation makes philanthropically motivated investments that are structured using market principles in early-stage and growing businesses that will further the work of one of the Foundation's four main themes. To date, ProVenEx's investments include businesses that: create jobs in low-income inner-city communities in the United States, enhance the development and distribution of locally adapted seed varieties to African farmers, develop preventive technologies against HIV, and preserve and distribute culturally important music.

Inquiries at: <u>provenex@rockfound.org</u>, or fax (415) 343-0232, or refer to the Foundation Web site.

THE PROGRAMS: REGIONAL PROGRAMS

AFRICA REGIONAL PROGRAM

Goal: To contribute to the revitalization of the African continent by building the required human and institutional capacity and providing critical information that will promote effective policies and programs to improve the lives and livelihoods of the poor.

The Rockefeller Foundation's Africa Regional Program (ARP) is based on the premise that equitable social and economic development that benefits the majority of Africans requires a new generation of committed leaders who know how to harness the opportunities presented by globalization, social institutions and policies to ensure that benefits accrue directly to the poor and enable an environment for business and social entrepreneurship to flourish. The program supports the broader work of the Foundation in Africa by strengthening the institutional context in which the themes do their work, and by reinforcing the quantity and quality of human capital on the continent that is available and willing to work for more equitable and sustainable development. It supports the creation of a solid information base for policy analysis and informed decision making in agriculture, education and health. The program is focused around two major areas of work:

- Human capacity building.
- Information for development.

Africa's dual challenge in education is both to provide access to universal primary education of a good quality for a young and growing population, and to upgrade its higher-education system. To become skilled in national governance, and users and innovators of sophisticated technology, Africa's daughters and sons require an increase in educational opportunities at all levels. The Foundation's efforts in higher education are designed to strengthen the ability of African universities to contribute to social, economic and political progress in the continent. In Uganda, for example, the Foundation is working with Makerere University to address the human-capacity needs of decentralized governance. And in primary education throughout the continent, the Rockefeller Foundation places a particular emphasis on the mastery of literacy as a foundation competency for a good-quality education together with special attention to barriers against girls' full participation.

The program supports research that informs and guides policy and practice aimed at addressing the needs of the poor. Democratization and increased accountability of elected leaders are increasing the local demand for evidencebased decision-making. The Foundation funds grantees whose work informs policy development, program design and resource allocation by providing locallevel, multifaceted information on food, work, health and other human conditions that is needed to understand and address the root causes of poverty. The mapping of poverty in all its dimensions, by piecing together the huge amounts of data collected regularly by statistical offices and other research institutions in Africa, will empower local policymakers to develop a sound understanding of problems and, hence, to design more realistic solutions. Recognizing that long-term solutions to the problems of Africa's poor require at least sustained economic growth, which, in turn, requires peace and political stability, democracy, a strong private sector and a host of other critical fundamentals, the Africa Regional Program supports enabling partnerships that have the potential for contributing positively to the achievement of the Foundation's broader goals for Africa. For example, the program supports efforts to bring indigenous knowledge and traditional social relations to bear on the challenges posed by the AIDS pandemic and complements Health Equity's efforts to stimulate research to enhance sound clinical management of AIDS that is safe, feasible and sustainable. Partnerships are being encouraged between practitioners in the conventional health system and traditional healers who are the only care providers in many remote communities. Inquiries at: info@rockfound.org.ke, or fax +254 (2) 218 840, or refer to the Foundation Web site.

THE PROGRAMS: REGIONAL PROGRAMS

SOUTHEAST ASIA REGIONAL PROGRAM

Thailand and Yunnan Province of China are economically dynamic areas, geographically adjacent to the much lower-income countries of Cambodia, Lao People's Democratic Republic, Myanmar and Vietnam. These areas, comprising the Mekong River's extensive basin, are increasingly seen as a geopolitical and economic entity known as the Greater Mekong Sub-region (GMS).

Development-assistance agencies, such as the Asian Development Bank, are treating them as a grouping, promoting their economic integration to enhance the use and the marketing of existing resources and to strengthen their position in the global economy. The economic and social pressures generated by the large differences between and within countries and the unexpected deleterious impacts of "regionalization" on vulnerable peoples and communities, form a potential niche for the Foundation's regional program centered in Bangkok.

The regional program will focus on the uneven economic development and cultural tensions in the GMS and the resulting inequities among its diverse population. These inequities have become increasingly articulated as "boundaries" encompassing not only conventional geopolitical borders but the very real boundaries of gender, ethnic and religious identity. Multidisciplinary program activities within the region will reflect and respond to the "transboundary" challenges deriving from lopsided regional development on the lives of the most marginalized, vulnerable and excluded communities in the GMS. More specifically, the Learning Across Boundaries strategy aims to promote a collaborative learning process among institutions and individuals in the region in an effort to build the necessary human and social capacity to understand and address emerging transboundary trends.

In partnership with the Health Equity and the Food Security themes, work has also been initiated to help ease the impact of AIDS in marginalized crossborder communities and to explore the impact of regional development efforts on rural, agrarian societies.

Inquiries at: <u>rf-bkk@rockfound.or.th</u>, or fax +66 2 262 0098, or refer to <u>www.rockmekong.org</u>.

INFORMATION FOR APPLICANTS

Contacting the Foundation About Grants

The Foundation is a proactive grantmaker--that is, the staff seek out opportunities that will advance the Foundation's long-term goals rather than reacting to unsolicited proposals. For this reason, the Foundation strongly discourages unsolicited grant proposals.

If after reviewing the Foundation's program goals in the following pages or at the Foundation's Web site at www.rockfound.org, your organization believes that its project would contribute directly to the Foundation's strategic goals, you may want to send a brief letter of inquiry addressed to the director of the subject area of interest, *The Rockefeller Foundation, 420 Fifth Avenue, New York, N.Y. 10018.* Inquiries can also be sent electronically to the e-mail addresses listed after each description below. *If you are applying to a competitive program under our Creativity & Culture theme, please check the Web site or contact the program for deadlines and application forms.*

Letters of inquiry should briefly describe the issues the proposed project would address; information about the organization's experience in the field; estimated budget for and expected duration of the project; and qualification of key personnel involved in the project. Please do not send attachments.

Letters of inquiry will be considered as they are received throughout the year. Inquiries take from six to eight weeks for review. Organizations submitting inquiries that Foundation staff think might contribute to a defined area of work will be asked to submit a full proposal.

As a matter of policy, the Foundation does not give or lend money for personal aid to individuals or, except in rare cases, fund endowments, or contribute to building and operating funds.

CREATIVITY & CULTURE	\$19,165,000
	\$31,205,000
WORKING COMMUNITIES	\$26,500,000
FOOD SECURITY	\$12,047,000
GLOBAL INCLUSION	\$29,672,000
HEALTH EQUITY	\$13,077,000
REGIONAL PROGRAMS	\$6,556,000
ASSETS & CAPACITIES	\$2,350,000
PROGRAM VENTURE EXPERIMENT (ProVenEx)	φz,350,000

Figures depict by program area the grants, fellowships and programmatic investments totaling \$140,572,000 made in the year 2002.

	Theme			
Cre	ativity &	Culture		

Advancing Creativity and Innovation

CREATIVE ENVIRONMENTS IN THE DIGITAL AGE

Art & Science Collaborations, inc , Staten Island New York \$55 000 toward the costs of the ArtSci2002 Symposium and related sustainability activities

Aspen Institute, Washington, D C \$99 000 for use by its Communications and Society program loward the " costs of the Humanities and the New Communications Environment a needs assessment conference to" explore innovative approaches to the application of humanities disciplines to interpreting new information and communications technologies

Association of Hispanic Arts, New York New York \$40 000 toward the costs of a digital arts registry to expand the exposure of Latino arts and culture and to create and expand diverse audiences for Latino artists

Banfl Centre for Continuing Education, Banff Alberta Canada \$60 000 for use by its New Media institute toward the costs of the Bridges Two conference to explore a series of new trends in research practice especially in the domain of interdisciplinary collaboration between artists and social science researchers scientists and engineers in the context of the new technologies

Bang on a Can, New York New York \$100 000 toward the costs of the 2003 E Festival a monthlong Web based festival of experimental music from around the world

Brown University, Providence, Rhode Island \$45,000 for use by its Thomas J Watson Jr. Institute for International Studies toward the costs of 911+1 The Art of War in the Information Age, a multimedia exhibition and symposium engaging artists and social scientists on the interforic representations and technologies of the war on terror

Centre for the Study of Developing Societies, Delhi India \$89,375 toward the costs of its Sarai project whose research on the formation of new media spaces particularly in Delhi and the ways in which media networks connect to other metropolises is aimed at helping to democratize access to new technologies and address issues of inequity

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Creative Time, New York, New York \$25,000 toward the costs of 'Consuming Places a new-media exhibition exploring the relationship between emergent technologies and how society constructs and uses urban space

Dance Theater Workshop, New York New York \$100 000 toward the costs of aunching DTW Digital s Interactive Artist Project Extranet and the Artist Resource Média Laboratory increasing access to new technologies and improved systems of communications for independent artists \$100 000 for use by its Center for

Electronic Literature Organization, Los Angeles California \$50 000 loward the costs of expanding the Electronic Literature Directory, a Web based directory of new media art forms

The Kitchen, New York New York \$12 000 toward the costs of Digital H@ppy Hours a discussion series linked to the Gallery installation Interactive Legends that explores electronic interactivity as a developing part of the cultural landscape

Leonardo, San Francisco California \$66 000 toward the costs of a feasibility study for the development of Arts Lab a self sustaining art and technology research laboratory

Location One, New York New York \$100 000 toward the costs of expanding streaming and virtual-lab capabilities to enable not-for-profits to create a collaborative interface for artistic work

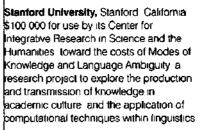
New School University, New York New York \$50 000 toward the costs of BLUR 02 Power at Play in Digital Art and Culture an art and technology conference exploring the impact of technology on the evolvement of digital art culture and daily interactions

New York Digital Salon, New York, New York \$40 000 toward the costs of a four day symposium on digital art and culture spring 2003

On the Boards, Seattle, Washington \$35,000 toward the costs of research development and production of 'Listening Post, a sound installation that monitors the live activity of thousands of Internet chat rooms and message boards and then converts the public conversations into a computer generated opera

Rhizome Communications, New York New York \$100 000 for general support of its mission to serve as an online platform for the global new media art community supporting the creation presentation discussion and preservation of contemporary art that engages new technologies Social Science Research Council,

New York New York \$99 500 toward the costs of Culture Creativity and Information Technology a project aimed at coordinating collaborative research in the social science and technology fields on the impact of new information technologies on the transformation of contemporary culture and the structures within which they operate (joint with Global Inclusion and Working Communities)



University of Maine, Orono Maine \$100 000 for use by its New Media Program toward the costs of the Open Art Network an initiative that aims to empower artists working in digital formats by devising and promoting standards that encourage open access to Internet architecture and digital media

University of Southern California, Los Angeles California \$50 000 for use by its Annenberg Center for Communication toward the costs of Race in Digital Space 2.0 a conference and exhibit analyzing

the potentials and the risks of technological development, its ability to effect social change and the evolution of creativity communication and culture

Waag Society, Amsterdam, Netherlands \$15 000 for a research project on global critical Internet culture to examine the ways in which an increasing climate of distrust encroaching market forces and increased corporate domination are threatening the development of open communities and the Internet's early promise of technological democracy

World Music Productions, Brooklyn New York \$79 933 for a series of initiatives to preserve document and disseminate music from Africa and the diaspora through radio and Internet media

FILM/VIDEO/MULTIMEDIA FELLOWSHIPS

Mariano Estrada Aguitar, Palenque Chiapas Mexico \$20 000 toward the costs of *"Hacia el horizonte* (Toward the Horizon), a documentary that explores the role of indigenous women in Chiapas



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American University, Washington, D.C. \$65,000 for use by its Center for Social Media toward the costs of convening leading filmmakers, scholars and funders to develop best practices, guidelines and critical agendas to elevate public and scholarly awareness and debate about social media and its significance in the technology-based knowledge economy

Craig Baldwin, San Francisco, California \$35,000 toward the costs of "Kooky Spooks," a narrative feature film that satirizes the espionage genre

Craig Brewer, Memphis, Tennessee \$35,000 toward the costs of "Hustle and Flow," a feature film about a small-time Memphis street hustler who attempts to change his life by becoming a rap musicia

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William A. Brown, Lubbock, Texas \$35,000 toward the costs of "This Side of the Border," an experimental documentan about the Shadow Wolves, a group of Native Americans employed by the U S Customs Service to patrol the border between the Tohono O'odham Indian Reservation in southern Arizona and the deserts of northern Mexico.

Curtis Choy, Novato, California \$35,000 toward the costs of "What's Wrong With Frank Chin (a k a WWW Frank Chin)," a documentary about the author Frank Chin a controversial pioneer of Asian-American literature, theater and film

Ernestina Grace Quintanilla Cobo, Cuernavaca, Morelos, Mexico \$20,000

(Bits of Memory), ' an interactive movingimage installation that uses the metaphors of the labyrinth and mythology to reveal family memories, histories and truths.

Paul De Marinis, San Francisco, California \$35,000 toward the costs of a multimedia installation that explores how technologies grow out of dreams

Leura Dunn, Austin, Texas \$35,000 toward the costs of "Mayim," a documentary that explores the Middle East conflict from within the context of the ecological need for water in Israel, Jordan and the Palestinian territories

Jeanne C. Finley and John Muse, San Francisco, California \$35,000 toward the costs of 'Age of Consent," an experimental documentary that explores power, abuse and gender roles in the early 1970s

Uanie Getser, Los Angeles, California \$35,000 loward the costs of "Magnetic Sleep," a short experimental film that will incorporate animation, puppets, live actors and painted figures to create a pictographic narrative about a woman hypnotist who alternately relishes her power and is fightened by it



Photograph Excised Here



Perry Hoberman, Brooklyn, New York \$35,000 toward the costs of "Table of Contents," an interactive multimedia installation that explores the tension between form and content, and how backaging can be deceiving

Deborah Hoffmann and Frances Reid, Oakland, California \$35,000 toward the costs of 'The Women's Voices Project," a documentary that explores the events of September 11 through the writings and voices of women from Afghanistan, Israel, Palestine and the United States

Independent Media Arts Preservation,

Inc., New York, New York \$50,000 toward the costs of service, education and advocacy programming dedicated to the preservation of independent electronic media

Independent Television Service, San Francisco California \$100,000 toward the costs of developing and staging Members of Brooklyn, New Yorkbased Urban Bush Women rehearse a performance drawn from community gatherings entitled "Hairparties."

Digital Independence, a conference to define and share best practices for independent media makers in a changing technological and market environment

Independent Television Service, San Francisco, California \$100,000 toward the costs of the planning and implementation of INPUT 2005, a major conference that will convene public service television professionals from over 60 countries to strengthen networks and advance international public-media development

Gabriel Lopez-Shaw, Fort Yukon, Alaska \$35,000 toward the costs of "Indigenous Movement. Gwichiin " the first in a series of DVDs documenting indigenous lifestyles and how the indigenous movement is addressing global issues

Andrew Millington, Jamaica Plain, Massachusetts \$35,000 toward the costs of "Zumbi's Dream," a narrative film about a Caribbean immigrant and a young

African-American woman caring for her Alzheimer's afflicted grandfather

National Alliance for Media Arts and Culture, San Francisco, California \$100 000 toward the costs of a scenarioplanning project on the future of independent media to be undertaken by a consortium of six San Francisco-based independent media organizations

National Alliance of Media Arts Centers, inc., San Francisco, California \$50,000 toward the costs of its 16th Biennial Conference, a convening of independent media artists and practitioners exploring cultural policy and youth development, held in Seattle, Washington, October 2002 costs of the Chicano Cinema Recovery

National Association of Latino Independent Producers, Santa Monica, California \$100,000 for support of three initiatives seeking to improve Latino presence in all aspects of media production

National Video Resources, New York, New York: \$350,368 toward the costs of The Program for Media Artists, a program that supports film, video and multimedia artists

Rob Nilsson, Berkeley, California \$35,000 loward the costs of '9 @ Night Films | nine feature-length narrative films linking and overlapping the lives of 40 people in the Tenderloin area of San Francisco

Sandi Sunrising Osawa, Seattle, Washington \$35,000 toward the costs of "Mana Tallchief," a documentary about the Native American woman who became the first American prima. ballerina and a founding member of the New York City Ballet

Sara Roberts, Copenhagen, Denmark \$35 000 toward the costs of 'The 20 to 20 Project," a multimedia experiment with collective communication in both physical and virtual space that challenges the onmacy of personal identity

Carlos Efraín Pérez Rojas, Chiapas, Mexico \$20,000 toward the costs of "La lucha por los bosques (The Fight for the Forests), ' a documentary that investigates the environmental damage done by indiscriminate deforestation in the south of Mexico

Richard G. Rowley, Cambridge, Massachusetts \$35,000 toward the costs of "We Were Born in the Night," a video documentary about globalization that intertwines voices and experiences from ive worldwide movements.

Daniel J. Sandin, Chicago Illinois \$35,000 toward the costs of "Looking for Water 2,* a virtual-reality, 3-D installation that takes the participant through a journey that begins in outer space and ends in the islands of northern Lake Michigan

Nida Sinnokrot, Brooklyn New York \$35,000 toward the costs of "Grounds," a moving-image installation that explores the formation of identity for immigrants and refugees who are striving to bridge he gaps of experience based on ethnicity that polarize people

Kimi Takesue, New York, New York \$35,000 toward the costs of "Skipping Stones," a short narrative film about a woman who drifts on the fringes of society

University of Celifornia, Los Angeles, Los Angeles, California \$30,000 for use by its Chicano Studies Research Center and Film and Television Archive toward the Project a national film heritage preservation and archival project for independent. Chicano- and Latino-produced films

Camille Utterback, Brooklyn, New York \$35,000 toward the costs of "Potent Objects," a series of interactive objects. that explore the anxiety provoked by machines that can feel or emote

WBEZ Alliance, Inc., Chicago, Illinois \$25,000 toward the costs of the Second Annual Third Coast International Audio Festival

MULTI-ARTS PRODUCTION FUND

33 Fainting Spells, Seattle, Washington \$14 000 to support the creation of "Our Little Sunbeam," a dance-theater piece by Dayna Hanson and Gaelen Hanson

651 Arts, Brooklyn, New York \$15,000 to support the creation of "Black Burlesque (revisited)," an artistic partnership between choreographer Reggie Wilson/Fist & Heel Performance Group and musical director Thomeki Dube of Black Umfolosi, with the participation of the Noble Douglas. Dance Company

Alley Theatre, Houston, Texas \$40,000 to support the development and production of "Cantinflas," a new play by Herbert Siguenza

American Repertory Theatre, Cambridge Massachusetts \$40,000 to support the commissioning and development of Story In Story Out," a new music-theater work by composer and performer Rinde Eckert, director Robert Woodruff, the Empty House Cooperative and members of the company

Appalshop, Whitesburg, Kentucky \$40,000 for use by its American Festival Project to support the development of director and performance artist John Malpede's production of "Poverty Tour"

AVAZ international Dance Theatre, Los Angeles, California \$20 000 to support the creation and production of "Bahram-e Gur," a new work choreographed, designed and scored by Jamai

Bang on a Can, New York, New York \$20,000 to support the commissioning and production of a new work by Burmese percussion master Kyaw Kyaw Naing, to be performed with the Sang on a Can All-Stars



Big Dance Theater, Brooklyn, New York \$20,000 to support the development of Antigone," a new dance-theater piece written by Mac Wellman, choreographed by Annie-B Parson and directed by Paul Lazar, with songs by Cynthia Hopkins

Builders Association, New York, New York \$25,000 to support the development and production of "Alladeen," a crossmedia project directed by Marianne Weems in collaboration with Keith Khan and Ali Zaidi of Moti Roli

Chitresh Das Dance Company. San Rafael, California \$25,000 to support the creation of "East as Center," a collaborative work between Chilresh Das, Guru Govindan Kutty and I Nyoman Cerita

Circus Amok, Brooklyn, New York \$15,000 to support the development and presentation of "Homeland Security: The Williamsburg Project," directed by Jennifer Miller, composed by Terry Dame and written by Mady Schutzman

Cornerstone Theater Company. os Angeles, California \$26,000 to support the "Gay, Lesbian, Bisexual and Transgender People of Faith Project," a collaboration featuring playwright Luis Alfaro director Christopher Liam Moore, set designer Rachel Hauck, puppet designer Lynn Jeffries and costume designer Christopher Acebo

Creative Capital Foundation, New York, New York \$100,000 toward the costs of ondoing activities that support artists pursuing innovative approaches to form and content in the media, performing and visual arts, and in emerging arts fields

Dancers' Group, San Francisco, California \$15 000 to support the creation and development of "Onion," a collaboration among director and choreographer Shinichi Momo Koga, co-director Sten Rudstroern and members of inkBoat

Dansology, New York, New York \$20,000 to support the development of 'Mech[a]," a mixed-media dance work choreographed with sound score by Koosil-ja Hwang and video installation by Caspar Stracke

Earshot Jazz, Seattle, Washington \$30,000 to support the development of "Joe Hill " a song cycle composed by Wayne Horvitz, written and narrated by Paul Magid and featuring soloist Bill Frisell Edith Kanak'õle Foundation, Hilo, Hawaii Kronos Quartet, San Francisco, California \$30,000 to support the production of "Hanau Ka Moku An Island is Born," an evening-length dance piece developed in collaboration among co-director and writer Pualani Kanahele, co-director and hula choreographer Nalani Kanaka'ole and co-director and choreographer Peter Rockford Espiritu

Evereti Dance Theatre, Providence, Rhode Island \$15,000 to support the creation of "Home Movies," co-directed and choreographed by Dorothy Jungels and Aaron Jungels, with video by Uosh Pearson

Foundation-administered project: \$250,000 toward the costs of administering the Multi-Arts Production Fund

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Fund for Women Artists, Florence, Massachusetts \$30,000 to support the development and production of "The Rose Miami-Dade Community College, Miami, Project," a music-theater work directed by Sonoko Kawahara and composed by Diedre Murray

Guild Complex, Chicago, Illinois \$15,000 to support the creation and development of "Sisters in the Smoke," a multimedia theatrical production directed by Anida foeu Esguerra and Emily Chang of Mango Tribe

Helena Presents, Helena, Montana \$28,000 to support the creation of "Geyser Land," a site-specific performance video nstallation by Mary Ellen Strom and Ann Carlson

Inta, New York, New York \$22,000 to support the creation and development of "Offering," a performance installation designed and built by choreographerperformers Eiko & Koma, in collaboration with composer and performer David Krakauer and performer Lakshmi Avsola

International WOW Company, Brooklyn, New York \$25,000 to support the creation and development of "Death of Nations," an original theater piece created in collaboration with artists from New York, Japan and Thailand directed by Josh Fox with script development by performer Aya Ogawa

José Limón Dance Foundation, New York, New York \$30,000 to support the development and production of a new work by choreographer Susanne Linke, with music by Wolfgang Bley-Borkowski and Vybutos Landbergis, and costumes by Marion Williams

Jump Start Performance Company, San Antonio, Texas \$20,000 to support Cameoland," a multimedia theater production written and composed by Sterling Houston, with video by Michael Verdi

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\$20,000 to support the development and presentation of "Sun Rings," a work for ape, string quartet and choir composed by Terry Riley, with visual design by Willie Williams and the participation of Donald Gurnett

Mabou Mines, New York, New York \$40,000 to support the creation and production of "Cara Lucia," co-conceived and directed by Sharon Fogarty, written y Lee Breuer, featuring Ruth Maleczech. with projection design by Julie Archer

Mettawee River Theatre Company, New York, New York \$16,000 to support research and development for Manhattan Engineering District's "The Blue Hole Tabernacle Revival," a performance piece by writer-performer Scott Bluementhal and writer-director Carlos Murillo

Flonda \$30,000 for use by its Cultural Affairs Department to support the development and production of "The luman Tracedy of Genocide, Rwanda and Tornorrow?" a collaboration between horeographers Germaine Acogny and Kota Yamasaki

New York City Players, New York, New York \$14,000 to support the development and production of "Joe," written and firected by Richard Maxwell

lew York Foundation for the Arts, lew York, New York \$30,000 to support the development and production of Yin Mei Dance's "Nomad I Tea," the first ection of a multimedia dance-theater trilogy with choreography by Yin Mei, set design by Wenda Gu, costumes by Naoko Nogata and video by Charles Steiner

Performing Artservices, New York. New York \$15,000 to support the development of "Celestial Excursions," an opera by Robert Ashley, with a visual presentation by Barbara Bloom

Ping Chong and Company, New York, New York \$25,000 to support the creation of "The Africa Project," a multidisciplinary theater work written and directed by Pina Chona

PRISM Saxophone Quartet, Philadelphia, ennsylvania \$15,000 to support the reation of a multimovement work for axophone quartet by Stephen Mackey

Providence Productions International, New York, New York \$30,000 to support the development of "Jenkins"," an interdisciplinary work by composer eroy Jenkins with libretto and video by Mary Griffin

Red Wing Performing Group, New York, New York \$15,000 to support the development and production of "The

Hiroshima Maidens Project, a performance work by writer, performer and designer Dan Hurlin and composer and erformer Robert Een

Relâche, Philadelphia, Pennsylvania \$20,000 to support the development and production of "Broken," a performance and installation work created in ollaboration between the Relâche Ensemble and sound and visual artist Christian Marclav

Ridge Theater, New York, New York \$40,000 to support the development and production of "Anatomy Theater," a multimedia opera by composer David Lang with libretto by conceptual artist Mark Dion and directed by Bob McGrath

Shadowlight Productions, San Francisco, California \$30,000 to support the production of "The Seven Visions of Encarnacion," a performance piece leveloped in collaboration among playwright Octavio Solis, director Larry Reed and visual artist Victor Cartagenal

Thin Man Dance, New York, New York \$40,000 to support the creation of "teathered to wind," choreographed by John Jasperse with a score by sound lesigner Michael Floyd

Walker Art Center, Minneapolis, finnesota \$40,000 to support the development and production of mprobable Theatre's "Braff's Neck," a lheatrical work created by Phelim McDermott, Lee Simpson and Julian Crouch

Fostering Resilent and Creative Communities

RECOVERY/REINVENTION OF CULTURES

Recovering and Reinventing Cultures Through Museums

American Federation of Arts, New York. New York \$50,000 loward the costs of the exhibition, "The Sensuous and the Sacred Chola Bronzes from South India," at the Arthur M. Sackler Gallery, Smithsonian Institution

Asia Society, New York, New York \$100.000 toward the costs of an exhibition, "Through Afghan Eyes Culture in Conflict, 1987-1992," and related public programs

Bellevue Art Museum, Bellevue, Washington \$50,000 toward the costs of the exhibition, "Affredo Arreguin Patterns of Dreams and Nature

Brandels University, Waltham, Massachusetts \$65,000 for use by its Rose Art Museum, toward the costs of the exhibition, "Coexistence Contemporary Cultural Production in South Africa 1

Contemporary Art Museum, St. Louis, St Louis Missouri \$50,000 loward the costs of the exhibition, "A Fiction of Authenticity Contemporary Africa Abroad

Cuentos Foundation, Chicago, Illinois. \$25,000 toward the costs of "Aitmo de Fuego/Rhythm of Fire," a communitybased project highlighting the copper-smithing art and artisans of Michoacan, Mexico

Diverse Works Art Space, Houston, Texas \$40,000 toward the costs of the exhibition "William Pope L eRacism "

Foundation-administered project: \$48,000 for expenses related to the conference, Museums and Global Public Spheres, held at the Bellagio Study and Conference Center, Italy, July 2002

Henry Art Gallery Association, Seattle, Washington \$50,000 toward the costs of the exhibition "Gene(sis) Contemporary Art Explores Human Genomics" at the Henry Art Gallery

International Center of Photography, New York, New York \$100,000 toward the costs of the exhibition "Only Skin Deep Changing Visions of the American Self."

Lehman College Art Gallery, Bronx, New York \$50,000 toward the costs of the exhibition, 'Taino Treasures' The Legacy of Dr Ricardo E Alegría."

Museum of Northern Arizona, Flagstaff, Arizona \$100,000 toward the costs of the exhibition "Magic of the Painted Room Indian Murals of the American Southwest

Museum of Spanish Colonial Art, Santa Fe, New Mexico \$50,000 toward the costs of the exhibition, "Conexiones Connections in Spanish Colonial Art *

New Museum of Contemporary Art, New York, New York \$50,000 toward the costs of the publication, 'Over Here International Perspective of Art and Culture," an anthology of writings by artists American Dance Festival, Durham, and scholars who provide critical insights into the global conditions that affect cultural practices

New York University, New York, New York \$50,000 for use by its Grey Art Gallery toward the costs of the exhibition, "Between Word and Image Modern Iranian Visual Culture "

Old Sturbridge Village, Sturbridge, Massachusetts \$60,000 toward the costs of the exhibition, "The Enduring People Native American Life in Central New England "

San Diego Museum of Art, San Diego, California \$50,000 toward the costs of he exhibition, 'Axis Mexico, Common, Objects and Cosmopolitan Actions *

Seattle Art Museum, Seattle, Washington an exhibition held at Baruch College to \$50,000 toward the costs of an exhibition of African art entitled, "Long Steps Never Broke a Back '

South Africa Development Fund, Boston, \$250,000 for use by its American Folklife Massachusetts \$100,000 toward the costs Center toward the costs of Save Our of the exhibition, "sondetal Visions of SouthSounds, a project to restore, preserve Africa-past, present & luture" (joint with Health Equity)

State University of New York at New Paitz, New Paltz, New York \$25 000 for use by its Samuel Dorsky Museum of Art toward the costs of the exhibition, Utopia/Post-Utopia Conceptual Photography and Video from Cuba."

Union of Community Museums of Oaxaca, Oaxaca, Mexico \$75,000 toward the costs of a series of training workshops for indigenous communities to establish their own community museums.

University of Memphis, Memphis, Tennessee \$20,000 for use by its Art Museum toward the costs of the exhibition, Coming Home! Self-Taught Artists, the Bible and the American South '

University of South Florida, Tampa Florida. \$20,000 for use by its Contemporary Art Museum toward the costs of the exhibition, "The Field's Edge Agency, Body and the African Lens

University of Southern California, Los Angeles, California \$70,000 loward the costs of the exhibition and publication, 'Mixed Feelings' Art and Culture in a Postborder Metropolis, at the Fisher Gallery

Whitney Museum of American Art, New York, New York \$52,000 toward the costs of *The American Effect / an exhibition that uses the visual arts as a lens to explore a wide variety of foreign perspectives on U.S. culture and society.

Cultural Absence/Cultural Recovery

North Carolina \$200,000 toward the costs of preserving and providing greater access to the "Free to Dance" and Pearl Primus collections of African-American modern dance

Columbia University, New York, New York \$48,000 for use by its Oral History Research Office toward the costs of The September 11, 2001, Oral History and Narrative Memory Project

New Mexico \$20,000 for support of Community Voices Coming Together, a symposium to enhance the efforts of ndigenous peoples to revitalize their native languages

Legacy Project, New York New York \$25,000 toward the costs of a conference organized jointly with Pace University and

frame the aftermath of September 11, 2001, in a broader context



Library of Congress, Washington, D.C : describe and digitize heritage recordings and set standards and guidelines for aural archiving practices.

Lower East Side Tenement Museum, New York, New York \$100,000 toward the costs of activities of the International Coalition of Historic Site Museums of Conscience, including Dialogues for Democracy, a network of initiatives at historic sites around the world that use history to stimulate public dialogue

Pacific School of Religion, Berkeley, California \$75,000 for use by its Institute for Leadership Development and the Study of Pacific and Asian North American Religion toward the costs of a historical documentation project that will create an archive of material pertaining to the Presbyterian Church in San Francisco's Chinatown, the first Chinese Christian congregation established outside China.

Social Science Research Council, New York, New York \$75,000 toward the costs of the preservation and conservation of the papers of Ernest Hemingway at Finca √igia Cuba

UNDERSTANDING THE

CULTURAL COMPONENTS OF WELL-BEING

Culturel Indicators and Policy

Center for Arts and Culture, Washington. D.C \$98,000 loward the costs of the initial phase of two initiatives the first a project to vork with the Department of Commerce in revising its data collection methods on the creative sector," and the second a joint strategy with the Bureau of Educational and Cultural Affairs and the U.S. Department of State to develop and strengthen cultural diplomacy

Chicago Tourism Fund, Chicago Illinois \$35,000 toward the costs of Advancing Chicago's Civic Agenda through the Arts, an initiative that will explore the ways in which the arts can enhance and sustain community development and urban renewal

Indigenous Language Institute, Santa Fe City Limits Community Information Service Inc., New York New York \$85,000 for use by its Center for an Urban Future toward the costs of a research project and conference designed to educate the public and policymakers on he potential of arts-oriented economic and community development focusing on New York City

Foundation-administered project: ARTScorpsLA, Los Angeles California Cultural Initiatives/Silicon Valley, San Jose California \$50 000 toward the \$90,000 toward the costs of Spiraling \$80 000 for administrative costs related costs of two complementary studies that Roots a project in which community to the PACT program including the will assess and benchmark the role of members will design and create urban art production of a publication entitled culture the arts and creativity in a suburgardens to promote cultural pride and civic Community Culture and Globalization ban environment and reveal through an engagement and provide a research Galería de la Raza, San Francisco, anthropological field study, how amateur aboratory for environmental toxin control California \$150,000 toward the costs of a cultural expression is functioning in Silicon Chinese Theatre Works, Jackson Heights youth and community multimedia mentor-Valley today New York \$15,000 toward the costs of ship project linking Latino artists teenagers Field Museum of Natural History, 'Day Jobs and Opera Dreams ' a theater from Youth Speaks and students from the Chicago Illinois \$35,000 toward the costs piece by and about immigrant Chinese. San Francisco Art Institute bf a conference and art exhibit entitled. opera performers that will address issues Global Action Project, New York New Defining the Twenty-First Century Global of personal social and cultural importance York \$100 000 toward the costs of a Agenda Hip Hop Culture as Transformato the Chinese immigrant community multimedia documentary project for tive Activity Cornerstone Theater Company, Los refugee youth International Arts Federation Services Angeles California \$50 000 toward the Ka'ala Farm, Inc., Walanae Hawaii Pty Ltd, Strawberry Hills Australia costs of a project to address the issues of costs of a project to address the issues of s150 000 toward the costs of Care for the faith and AIDS among clergy and members Canoe, Cherish the Canoe a wood-\$100,000 toward the costs of information sharing and skills-exchange programs that of the African American community living carving project that aims to help revive promote service to arts agencies serving with HIV/AIDS a native Hawaiian sculptural tradition artists and societies in Southeast Asia and Foundation-administered project. the Pacific, Latin America, Central and \$70,000 for administrative costs related to Eastern Europe and Africa the PACT program Social Science Research Council, New York New York \$99 722 toward the costs of three conferences continuing the work of its Committee on the Arts in OF MANKIND bringing social science to bear on the intersection of the arts and society UNESCO Institute for Statistics, Montreal, Canada \$55,000 toward the costs of Statistics in the Wake of Challenges Posed by Cultural Diversity in a Globalization Context a symposium that will address the emerging needs regarding cultural indicators and propose new frameworks for the standardization of culture statistics University of Texas at Austin, Austin Texas \$43,000 for use by its Lyndon B Johnson School of Public Affairs toward the costs of a workshop on copyright law as it pertains to technological change and its implications for how public and private JISTE A LINE institutions can realize the benefits of the information revolution Vanderbilt University, Nashville Tennessee \$85 000 for use by its Center for Art Enterprise and Public Policy toward the costs of a conference and background research examining the cultural-policy effects of regulation of arts and media. Photograph Excised Here industries by federal and state government Partnerships Affirming Community Transformation/Arts for Community Building Angkor Dance Troupe, Lowell Massachusetts \$130 350 toward the costs of a traditional Cambodian dance training program, and ancillary training in personal and career development for at-risk youth from the Cambodian-American community Efforts to conserve and renew Cambodian culture have produced a Cambodia new generation of classically-trained performers

Project Row Houses, Houston Texas \$150 000 toward the costs of a series of performances and workshops and the creation of a living archive of African -American music in the Third Ward community of Houston Texas

Southeast Community College, Cumberland Kentucky \$149 470 for use by its Appalachian Program for The Harlan County Project a network of artistic and cultural projects to address social conditions and to build community capacity for continuous organizing toward democratic change

Stimulating Knowledge and Freedom in the Public Sphere

COLLABORATIVE PROGRAMMING WITH OTHER THEMES

Graduate School and University Center, City University of New York, New York" New York \$68 425 for use by its Center for Latin American Caribbean and Latino Studies toward the costs of creating an interdisciplinary Master of Arts program in Latin American Caribbean and Latino Studies

Museum of Fine Arts, Houston, Houston, Texas \$100 000 for use by its International Center for the Arts of the Americas toward the costs of Recovering the Critical Sources for Latin American/Latino Art a project to recover translate and distribute the critical foundation of modern and contemporary Latin American/Latino art

Smithsonian Institution, Washington, D.C. \$100,000 toward the costs of its Giobal Sound project an archive of digitized recordings from around the world (joint with Assets and Capacities)

Smithsonian Institution, Washington : D C \$75 000 for use by its National (i Museum of the American Indian toward # the costs of The Edge of Enchantment La Onlia del Encanto a three part project that includes an exhibition a bilingual (i book and a one hour documentary film entitled "El Camino del Aymoo" (joint), with Working Communities)

Social Science Research Council, New York New York \$200,000 toward the costs of Translocal Flows Migration and Contested Urban Spaces, an initiative to advance hemispheric scholarship on pulture and society and promote greater, integration of intellectual networks across the different regions of the Americas (joint with Global Inclusion and Working Communities)

University of Texas at El Paso, El Paso Texas \$185,000 toward the costs of a pinational effort to create the Paso at Norte Immigration Museum, the first major

museum in the United States dedicated to program Identities in Transition. The the history of migration across the nation's Challenges of Art in Today's Paraguay southern border.

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GLOBAL CIVIL SOCIETY

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African Script Development Fund,

Harare Zimbabwe \$400,000 toward the costs of its 2003 and 2004 programmatic activities that center on increasing the availability of African films and television programming in African markets

Emory University, Atlanta Georgia

\$100,000 toward the costs of a collaborative program between its Center for the Study of Public Scholarship and representatives of South Africa's university arts and museum communities pertaining to professional and intellectual issues in those sectors related to the transition from apartheid

Feminist Press, New York New York \$602 000 toward the costs of Women Writing Africa a four-volume anthology of the work of women writers in Africa

Foundation-administered project \$100 000 toward the costs of a Fratiglobal dialogue series entitled. The Muslim World and the Global Future, at the Bellagio Study and Conference Center Italy.

NUMANITIES RESIDENCY FELLOWSHIPS AND RESEARCH

American Council of Learned Societies, New York New York \$325 000 toward the costs of nonresident fellows in its Frederick Burkhardt Fellowship Program for recently tenured faculty in the humani ues and related social sciences

California State University, Los Angelea, Los Angeles California \$325 000 for use by its Center for the Study of Genders and Sexuallies and its American Communities Program toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Becoming and Belonging The Alchemy of Identity in the Multiethnic Metropolis

Columbia College Chicago, Chicago Illinois \$325 000 for use by its Center for Black Music Research toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities enlitled Diasporal Unities in the Circum Caribbean (and Beyond)

Foundation-administered project[,] \$80 000 toward the administrative costs of the Humanities Residency Fellowships Program

El Museo del Barro/Museum of Clay, Asuncion Paraguay \$90 400 for additiona funding of the Rockefeller Foundation Resident Fellowships in the Humanities

Challenges of Art in Today's Paraguay National Autonomous University of Mexico, Mexico City Mexico \$325 000 for use by its Centro Regional de

for use by its Centro Regional de investigaciones Multidisciplinarias toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, The Cultural Dimensions of the Mexican Transition Migration Identity Gender and Violence

New York University, New York, New York \$45 000 for use by its International Center for Advanced Studies to facilitate the participation of African Latin American and Southeast Asian scholars in a series of three symposiums entitled The Cold War as Global Conflict

State University of New York at Stony Brook, Stony Brook New York \$325,000 for use by its Center for Latin American and Caribbean Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Durable Inequalities in Latin America

Tulane University, New Orleans,

Louisiana \$325,000 for use by its Stone Center for Latin American Studies toward the costs of a program of Rockefeller Foundation Resident Feltowships in the Humanities entitled Shared Inheritances Comparative Studies in Creativity and Performance

University of California, Santa Cruz,

Santa Cruz California \$325,000 for use by its Center for Cultural Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Other Globalizations Histories Trans-Regionalisms and Cultural Formations

University of Florida, Gainesville Florida \$12,500 for use by its Center for Latin American Studies toward the costs of a conference Religion and Globalization in the Americas to be held in conjunction with its program of Rockefeller Foundation Resident Fellowships in the Humanities April 2003

University of Illinois at Urbana-

Champaign, Urbana Illinois \$325,000 for use by its Center for African Studies toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled Education and African Modernities

University of Michigan, Ann Arbor Michigan \$25 000 toward the costs of a conference of the Imagining America public scholarship program entitled The Engaged University the Engaged Community and the Daily Practice of Democracy November 2002



University of Washington, Seattle, Washington \$325,000 for use by its Simpson Center for the Humanities toward the costs of a program of Rockefeller Foundation Resident Fellowships in the Humanities entitled, Critical Asian Studies Forum on Trauma, History, and Asia

Women's Research & Education Institute, Washington, D.C. \$50,000 loward the costs of a project entitled, Women and Immigration From Scholarship to Policy, which will study the policy implications of the intersection between gender and immigration

Woodrow Wilson National Fellowship

Foundation, Princeton, New Jersey \$220,000 to support the Woodrow Wilson Public Scholarship Grants Program, an initiative to further the wavs in which university-based artists and humanists contribute to the country's civic heritage and to its civic future.

ROLE OF RELIGION IN

BUILDING CIVIL SOCIETY

Harvard University, Cambridge, Massachusetts \$253,000 toward the costs of its Pluralism Project, a research program that seeks to document, and to provide outreach and education on, the religious diversity of the United States

World Conference on Religion and Peace, New York, New York \$650,000 toward the costs of its religion and civil-society programming

World Faiths Development Dialogue, Birmingham, England \$77,000 toward the costs of planning two convenings, to take place in 2003, that will lay the groundwork for engaging religious communities in poverty-reduction strategies in Africa

Other Grants

ARTS EDUCATION

Bay Area Video Coalition, San Francisco, pocumentanies California \$75,000 toward the costs of The Digital Storyteiling Project Using Interactive Technologies to Engage Underserved Teens in the Digital Arts

Brooklyn College, City University of New York, Brooklyn, New York \$50,000 toward the costs of the pilot phase of a project to increase the diversity of the applicant pool to its master's of fine arts program in Performing Arts Management

Brooklyn Philharmonic Symphony Orchestra, Brooklyn, New York \$75,000 toward the costs of planning and piloting joint activities of a consortium of Brooklyn cultural institutions

Hunter College, City University of New York, New York, New York \$62,000 for use by its Centro de Estudios Puertorriqueños toward the costs of pilot projects of the National Latino Research Agenda Project on the impact of best practices in connecting public education and the arts and on the impact of standardized testing, plus activities of its arts in education esearch design team

Joy2Learn Foundation, Riverdale, New York \$15,000 toward the costs of a feasibility study on implementing its nusic and theater Internet programs in New York and New Jersey public school axth-orade classrooms

incoln Center for the Performing Arts, New York, New York \$250,000 for use by its Institute for the Arts in Education toward the costs of development and dissemination of the Teacher Education Collaborative, a project that seeks to incorporate the arts and aesthetic education into teacher preparation at the undergraduate and graduate levels

National Humanities Center, Research Triangle Park, North Carolina \$299,127 oward the costs of establishing an online toolbox" that will enable teachers to create professional development seminars n African-American culture

University of Houston, Houston, Texas \$65,000 toward the costs of planning the integration of the Recovering the U.S. Hispanic Literary Hentage Project into high-school curricula

Wizard Music, Inc., Sante Fe, New Aexico \$282,280 toward the costs of creating three CD-ROMs to develop creativity in children through a play-based program of computer activities

Young People's Chorus of New York City, Inc., New York, New York \$20,000 ward the costs of its music education and choral performance programs

Internews Interactive, San Rafael, California \$10,050 loward the costs of the documentary project, "Vis à Vis Native Tongues," that explores how two ndigenous performance artists, from the United States and Australia, confront the stereotypes and myths about hative peoples

South African-American Organization, New York, New York, \$100,000 toward the costs of the documentary project, "Ripple of Hope in the Land of Apartheid Robert Kennedy in South Africa, June 4-9, 1966" (joint with Global Inclusion and Working) Communities)

WETA, Arlington, Virginia \$100,000 toward the costs of an educational and outreach campaign and Web site for The Journey Home," a PBS documentary on societal changes brought on by new mmigration and increased cultural diversity in the United States

TRENGTHENING/

RESERVING TRADITIONAL ART FORMS

Aid to Artisans, Hartford, Connecticut \$100,000 toward the costs of a project entitled, Culture-Based Marketing, a craftdevelopment initiative in Southeast Asia

Alaska Native Heritage Center,

Anchorage, Alaska \$100,000 toward the costs of a program entitled. The Whale and Alaska Native Ways of Knowing, and a capacity-building initiative to strengthen the Center's long-term sustainability

Connecticut College, New London, Connecticut \$30,000 toward the costs of the planning phase of a project to foster. the preservation and cultural exchange of regional arts in Yunnan, China

Council of American Overseas Research Centers, Washington, D.C. \$64,000 oward the costs of building a Khmer and Southeast Asian studies network in cooperation with the Center for Khmer Studies, located in Siem Reap, Cambodia.

Dance Theater Workshop, New York, New York \$100,000 toward the costs of The Mekong Project A Program of Artistlo-Artist Exchanges in Southeast Asia

Smithsonian Institution, Washington, D.C \$354,000 toward the costs of a capacity-building initiative at the Vietnam Museum of Ethnology (Hanoi) that will produce a collaborative project entitled, Aekong Lifeways

TheatreWorks, Fort Canning Park. ingapore \$100,000 toward the costs of an intercultural initiative in Lao People's Democratic Republic entitled, Culture & he Arts as Ambassador. The Continuum Asia Project, that includes workshops with and presentations by masters of Southeast Asian performance traditions, exhibitions created and presented by local youth, and performance pieces created as a collaboration between contemporary Asian artists and the ocal population

Other Grants

American Council on Education, Washington, D.C \$100,000 for the clanning phase of a project to engage the higher-education community in the task of ensuring academic success for all students (joint with Working Communities)





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Apollo Theater Foundation, Inc., New York New York \$200,000 toward the costs of Harlem Song a new musical production celebrating the history and culture of Harlem (joint with Working Communities)

Arts International, New York New York \$450 000 toward the costs of the Fund for U.S. Artists at International Festivals and Exhibitions

Asian American Arts Alliance, New York New York \$100 000 toward the costs of its initiative to provide technical and financial assistance to Asian American arts organizations during the post-September 11 cultural funding crisis

Association of Hispanic Arts, New York New York \$100 000 toward the costs of its initiative to provide technical and financial assistance to Latino arts organizations during the post-September 11 cultural funding crisis

Brooklyn Academy of Music, Brooklyn New York \$100 000 toward the costs of its 2002 Next Wave Festival

Calista Elders Council, Anchorage Alaska \$25 000 toward the costs of the book Yuo ik Voices in a German Museum, Fieldwork Turned on its Head the first comprehensive description of 19th century Yup ik culture from the rup it point of view

The largely Mexican-American community in Fort Worth, Texas' Near Northside, has strong religious and neighborhood ties, but faces challenges in finding higher-wage employment

Council for Aid to Education, New York, New York \$120,000 toward the costs of two meetings lorganized in collaboration with the National Governors' Association Center for Best Practices to initiate conversation on closing postsecondary education attainment gaps (joint with Norking Communities)

EarthWays Foundation, Malibu California \$100 000 toward the costs of the 2002 World Festival of Sacred Music-Los Angeles a festival of diverse artistic genres promoting mutual respect and universal responsibility

Grantmakers in the Arts, Seattle Washington \$25,000 toward the costs of ts 2002-2003 activities

Hip-Hop Theatre Junction, Washington D.C \$50,000 toward the costs of the third annual New York City Hip Hop Theater Festival heid at Performance Space 122 June 2002

Homelands Productions, Gloucester Massachusetts \$100 000 toward the posts of 'Worlds of Difference,' a six hour radio documentary program exploring the cultural impacts of globalization

Latino Theatre Company, Los Angeles California \$40,000 toward the costs of audience development and outreach activities surrounding the premiere of Dementra a new play examining the nchness and variety of contemporary Latino culture while exploring the issues of AIDS and homosexuality

incoln Center for the Performing Arts, New York New York \$70,000 toward the costs of an authentic presentation of "Ta'zyeh," the only indigenous form of music drama in the Islamic world to be presented at the Lincoln Center estival 2002

National Association of Latino Arts and Culture, San Antonio Texas \$30 000 toward the costs of its 2002 annual conference entitled, New Americas A Transnational Paradigm

New York Foundation for the Arts, New York New York \$200 000 loward the costs of the Arts Leadership Initiative a peer based development program to help small arts organizations who serve artists and communities of color to build sustainability and a cooperative project with the Harlem Arts Alliance to provide technical and financial assistance to build the capacity of African American arts organizations during the post September 11 cultural-funding crisis

New York Theatre Workshop, New York New York \$30,000 toward the costs of the Artists Residency Program at Vassar and Dartmouth Colleges

Performance Space 122. New York New York \$60 000 toward the costs of the New Theater Project a four week artists residency and conference at the University of Texas at Austin engaging students and the community in the creation process of new and experimental theater works





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Applying Science and Technology

BIOTECHNOLOGY, BREEDING AND

SEED SYSTEMS FOR AFRICA

African Centre for Technology Studies, Nairobi, Kenya: \$20,000 toward the costs of a roundtable discussion on the role of plant genetic resources in Africa's economic renewal.

Albert Ludwigs University Freiburg, Freiburg im Breisgau, Germany: \$300,000 for research on developing Golden Rice varieties that produce and accumulate significant levels of blo-available provitamin A in the endosperm.

Center for the Application of Molecular Biology to International Agriculture, Canberra, Australia: \$445,000 toward the costs of developing new technologies for the production of transgenic crops and licensing strategies to ensure that these technologies are available for use by researchers working on crops that are important in developing countries.

Cornell University, Ithaca, New York: \$164,561 for use by its Strategic World Initiative for Technology Transfer to advance the development, transfer and use of provitamin A rice (Golden Rice) for the benefit of resource poor farmers in developing countries.

Foundation-administered project:

\$13,900 toward the costs of producing a book of abstracts and developing and maintaining a Web site for the meeting of the Foundation's Biotechnology, Breeding and Seed Systems program grantees held in Uganda, November 2002.

Foundation-administered project: \$140,000 loward the costs of a symposium on crop genetic improvement for the 21st century, held at the Bellagio Study and Conference Center, Italy, September 2002.

Foundation-administered project: \$250,000 toward the costs of a meeting for participants in the Foundation's program, Biotechnology, Breeding and Seed Systems for Africa, held November 2002.

Foundation-administered project: \$30,000 toward the costs of engaging consultants and convening advisory meetings on the topic of seed production and distribution systems in Africa.

Foundation-administered project: \$75,000 toward administrative costs associated with consultants and advisory meetings primarily in the area of seed production and distribution systems in Africa.

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Foundation-administered project: \$96,000 toward the costs of establishing, a Scientific Advisory Comittee to provide assessment of the Foundation's Biotechnology, Breeding and Seed Systems for Africa program.

Institute of Rural Economy, Bamako, Mali: \$15,266 toward the costs of a national workshop on agriculturat biotechnology held in Mali, June 2002.

International Center for Tropical Agriculture, Cali, Colombia: \$60,000 for research and training on the quantitative and molecular genetic analysis of important agronomic traits in cassava, conducted by Henry Ojulong, a doctoral candidate chosen by the Center.

International Centre of Insect Physiology and Ecology, Nairobi, Kenya: \$60,000 for a collaborative project with the Institute of Arable Crops Research-Rothamsted on the characterization of Striga-suppressing compounds produced by Desmodium species.

International Crops Research Institute for the Semi-Arid Tropics, Patancheru, India: \$30,000 toward the costs of convening a workshop to plan research to improve pearl millet in West Africa through conventional breeding, comparative genomics and farmer participation, held in Barnako, Mali, October 2002.

International Maize and Wheat Improvement Center, Mexico City, Mexico: \$603,000 to enhance the nutritional quality of locally adapted maize cultivars in eastern and southern Africa by Incorporating traits from "quality protein maize."

International Potato Center, Lima, Peru: \$182,000 to develop a selectable marker for use in crop improvement that is acceptable to consumers and unencumbered by intellectual-property restraints.

Kenya Agricultural Research institute, Nairobi, Kenya: \$151,800 for use by its Mtwapa Regional Research Centre for the development and dissemination of superior cassava cultivars.

Kenya Agricultural Research Institute, Nairobi, Kenya: \$235,457 for research on incorporating Striga resistance genes from Tripsacum into locally adapted maize germplasm in Kenya.

Kenya Agricultural Research Institute, Nairobi, Kenya: \$36,000 for use by its Applied Biotechnology Laboratory toward the costs of research on marker-assisted selection and other molecular-biology work Kenya Agricultural Research Institute, Nairobl, Kenya: \$88,000 for research to improve seed-based technology through molecular marker-assisted selection n maize.



Michigan State University, East Lansing, Michigan: \$300,000 toward the costs of assessing the cost-efféctiveness of a crop-based strategy for sustainably reducing micronutrient malnutrition in poor people of rural Mozambique.

Ministry of Lands, Agriculture and Rural Resettlement, Zimbabwe, Harare, Zimbabwe: \$98,175 for use by its Department of Research and Specialist Services toward the costs of using farmer-participatory methods to develop and disseminate improved varieties of cowpeas capable of growing on acidic soil in Zimbabwe.

National Agricultural Research Organisation, Entebbe, Uganda: \$188,439 for research to improve the insect resistance, disease resistance and protein quality of maize varieties in Uganda.

National Agricultural Research Organisation, Entebbe, Uganda: \$205,100 for research on improving the production of East African highland bananas through conventional breeding and genetic engineering.

Purdue University, West Lafayette, Indiana: \$20,000 toward the costs of a workshop on the genetic transformation of cowpeas, held in September 2002.

University of Natal, Durban, South Africa: \$1,143,560 for use by its African Center fo Crop Improvement to provide 20 young scientists from eastern and southern Africa with Ph.D. training in modern crop breeding (joint with African Regional Program).

West Africa Rice Development Association, Bouaké, Ivory Coast: \$400,000 toward the costs of setting up a consortium to broaden the dissemination and adoption of the New Rice for Africa varieties and complementary technologies

West Africa Rice Development Association, Bouaké, Ivory Coast: \$50,000 toward the costs of a meeting to launch the African Rice Initiative, which is designed to disseminate new rice varieties to African farmers, held in the Ivory Coast, March 2002.

PRODUCING NORE RESILIENT CROPS

Cornell University, Ithaca, New York: \$99,990 loward the costs of a study to identify fertility restorer genes in rice to facilitate the production of hybrid rice.

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Cuu Long Delta Rice Research Institute, Ornon, Vietnam: \$10,000 toward the costs of a workshop on the use of molecular markers for more effective rice breeding, held at the Institute in October 2002.

Donald Danforth Plant Science Center, St. Louis, Missouri: \$2,409 toward the costs of the Fifth International Meeting of the Cassava Biotechnology Network: Constraints and Solutions for Improving Cassava Productivity, held in St. Louis, November 2001.

Donald Danforth Plant Science Center, St. Louis, Missouri: \$44,000 toward the costs of a meeting focusing on Development of a Global Plan for Cassava Improvement, held at the Bellagio Study and Conference Center, Italy, October 2002.

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Foundation-administered project: \$50,000 toward the costs of engaging consultants to assist in assessing the program on producing more resilient crops in Southeast Asia and to provide insight for the program's continued development.

Fuden University, Shanghai, China: \$25,000 for use by its Institute of Biodiversity Science to carry out a pioinformatics project that will compare the map locations of quantitative trait loci for drought tolerance with those of known candidate genes for drought tolerance.

Gordon Research Conferences, West Kingston, Rhode Island: \$5,000 toward the costs of attendance by postdoctoral scientists and graduate students from developing countries at the Gordon Research Conference on the Cellular Basis of Adaptation to Salt and Water Stress in Plants, held at Queen's College, Oxford University, Oxford, England, July 2002.

Huazhong Agricultural University, Wuhan, Hubei, China: \$15,000 toward the costs of participation of scientists from Asian countries in the First International Symposium on Genomics and Crop Genetic Improvement, held in Wuhan, China, September 2002.

International Rice Research Institute, Metro Manila, Philippines: \$1,514,544 for research and training projects leading to the genetic improvement of rice for drought-prone regions of Asia and Africa.

International Rice Research Institute, Metro Manila, Philippines: \$50,000 toward the costs of participation of scientists from developing countries in the International Rice Congress, held in Beijing, China, September 2002.

International Water Management Institute, Colombo, Sri Lanka: \$16,500 loward the costs of a workshop to plan research on assessing the impact of



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degradation of land and water resources on food security in Southeast Asia and monitoring rehabilitation efforts.

Kasetsart University, Bangkok, Thailand: \$221,605 to enable scientists from Thailand to pursue master's and doctoral fellowships at the University on the use of molecular markers to assist in rice breeding,

Khon Kaen University, Khon Kaen, Thailand: \$54,000 for use by its Department of Agricultural Economics for research on drought-related coping strategies of farmers in northeast Thailand and for the development of new technologies to decrease the impact of drought on farming communities.

Narendra Deva University of Agriculture and Technology, Faizabad, India: \$218,460 to improve its physical and Farmers, western Kenya. Foundation research is almed, in part, at developing new crop varieties for the specific conditions under which poor people farm.

human-resources capacity for studies on drought tolerance in rice.

Punjab Agricultural University, Luchiana, India: \$2,011 loward the costs of research on molecular marker-aided selection for the transfer of bacterial blight-resistance genes into rice.

Purdue University, West Lafayette, Indiana: \$7,000 toward the costs of a symposium on plant abiotic stress-tolerance genes, held in Jinan, Shandong, China, fall 2002.

Purdue University, West Lafayette, ndiana: \$22,500 toward the costs of a symposium on plant abiotic stress tolerance genes held in Jinan, Shandong, China, fall 2002

Rice Research Institute, Bangkok, Thailand: \$118,415 to improve access to information for rice researchers at the Institute and to facilitate communication among themselves and others.

Rice Research Institute, Bangkok Thailand \$550,030 for use by its 🔹 🏘 👘 Ubon Rachathani Rice Research Center and its Phrae Rice Research Center to enable rice scientists and farmers of rainfed rice to collaborate on breeding improved rice varieties specifically adapted for northern Thailand

Tamil Nadu Agricultural University, Combatore India \$144 325 for largescale screening for drought tolerance in landraces and improved genotypes of rice from India and from the germplasm collection of the International Rice Research Institute, Philippines

Tamil Nadu Agricultural University, Combatore India \$15,000 toward the costs of an international symposium on the use of molecular approaches to improve the productivity and quality of food crops, held in India, May 2002

Tamil Nadu Agricultural University, Coimbatore India \$40 645 for use by its Center for Agriculture and Rural Development Studies for research on drought-related coping mechanisms of farmers in Tamil Nadu state and for the development of new technologies to decrease the impact of drought on farming communities

University of Georgia, Athens Georgia \$99,092 for research and training in collaboration with Narendra Deva University of Agriculture and Technology, Faizabad India on the use of DNA molecular marker-assisted breeding for drought tolerance in rice

University of Ottawa, Ottawa Ontario Canada \$300 000 toward the costs of research to optimize insect resistance in sorghum cowpea maize and rice and of transferring the technology to agriculturalresearch organizations in Africa

University of Queensland, St. Lucia Brisbane Australia \$75,000 toward the costs of a research and extension network to provide farm communities in three Mekong-region countries with droughtresistant varieties of rice

West Africa Rice Development Association, Bouaké Ivory Coast \$50 000 for research on the development of molecular markers for resistance to rice vellow mottle virus and other pathogens

RESTORING AND MAINTAINING AFRICAN SOIL FERTILITY

Africa 2000 Network-Uganda, Kampala Uganda \$221 450 for research on the use of Farmer Field Schools to strengthen management skills and decision-making capacity of farming communities and service providers to overcome limitations of soil productivity

Cornell University, Ithaca, New York \$60,000 for use by its international Institute the Legume Research Network Project for Food Agriculture and Development 'atoward the costs of an international 🦛 💱 technologies for increasing crop. conference on systems of intensified nce production held in China, March 2002

Environmental Action Team, Kitale Kenya \$257 741 toward the costs of improving smallholder food production in western Kenya through adaptive research and extension of integrated nutrient management methods

FARM-Africa, London England \$406 884 to establish a fund to foster innovative partnerships and the development of effective mechanisms to transfer viable agricultural technologies to smallholder farmers in East Africa

International Centre for Research in Agroforestry, Narrobi Kenya \$12,000 toward the costs of publishing a book entitled "Rocks for Crops Geological Nutrient Resources in Sub-Saharan Africa

International Centre of Insect Physiology and Ecology, Narrobi Kenya \$91,238 for an evaluation of the Center's socialscience research related to integrated pest management

Kenya Agricultural Research Institute, Nairobi, Kenya \$141,190 for use by its National Agricultural Research Centre at Muguga to continue research on the ntegrated use of manure with modest applications of inorganic fertilizers and the effects of this treatment on soil properties and maize production in the central Kenya highlandis

Kenya Agricultural Research Institute, Nairobi, Kenya \$40,910 for use by its Embu Regional Research Centre for the continuation of long-term research on soil organic matter modeling in eastern Kenya.

Kenya Agricultural Research Institute, Nairobi Kenya \$60,780 for use by its Mtwapa Regional Research Centre to ncrease smallholder food production through improved soil and water management and the integration of westock into the cropping systems of the coastal regions of Kenya

Kenya Agricultural Research Institute, Vairobi, Kenya \$64,740 toward the costs of a workshop on Increasing Mucuna s Potential as a Food and Feed Crop held n Morribasa, Kenya, September 2002

Kenya Agricultural Research Institute. Nairobi Kenya \$98,000 for use by its National Dryland Farming Research Centre to develop strategies for increased crop production through sustainable land- and water-management practices

Kenya Agricultural Research Institute, Nairobi, Kenya \$415,489 for use by its National Agricultural Research

Laboratories to continue the activities of to develop low-cost and sustainable production in Kenya

Makerere University, Kampala, Uganda \$10,000 toward the costs of convening the 20th conference of the Soil Science Society of East Africal held in Tororo Jganda December 2002

Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenya \$9,792 toward the costs of printing and distributing the book 'Laboratory Methods of Soil, and Plant Analysis' to faculties of agriculture and laboratories of national research organizations in eastern and southern Africa

Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenya \$169 871 for on-farm 'testing of selected technologies for improved soll fertility management in western Kenya

Sustainable Agriculture Centre for Research and Development in Africa, Bungoma Kenva \$65 401 toward the costs of preparing a publication and maintaining a Web site on organicresource management and for convening two symposia on organic-resource management technologies held in (enya in 2002)

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$9,000 to enable scientists from East and southern Africa to attend a conference on African soil fertility degradation, held at the Bellagio Study and Conference Center, Italy March 2002

Tropical Soil Biology and Fertility Programme, Nairobi, Kenya \$181 000 for use by its African Network for Soil Biology and Fertility to continue research to address the problem of soil nutrient depletion facing smallholder farmers in West Africa

University of Zimbabwe, Harare Zimbabwe \$87 197 for research on nanaging soil organic matter for improved nutrient-use efficiency on smallholder farms in Zimbabwe

World Agroforestry Centre, Nairobi Kenya \$50 000 toward the costs of a egional conference entitled, Agroforestry Impacts on Livelihoods in Southern Africa. held in Johannesburg, South Africa. May 2002

World Agroforestry Centre, Nairobi, Kenya \$61 766 for research on monitoring and sustainably managing nutrients in agricultural lands converted from forests



			Ministry of Agriculture and Rural	
	ASSESSING AND		Development, Kenya, Nairobi, Kenya: \$27,966 for use by its Coast Development	
.	IMPROVING PARTICIPATORY TOOLS		Authority for research by its staff member	
· ·	AND METHODOLOGIES		Benjamin Mwerl, leading to a Master of	
			Science degree in Agricultural Extension	
	International Potato Center, Lima, Peru:	\$14,438 for use by its Bunda College of	and Education, on the effectiveness of	
	\$19,320 toward the costs of a study tour for experts and practitioners from South-	Agriculture to conduct a review of curricula	farmer field schools in the dissemination of technologies among smallholder	
	east Asia, Africa and Latin America to	pi posigraduate programs onered at	armers in coastal Kenya.	
	examine farmer field schools in Indonesia.	me College.	Network for Studies on Rural	
	international Potato Center, Lima,	University of Nairobi, Nairobi, Kenya:	Development, Oaxaca, Mexico:	
	Peru: \$90,000 toward the costs of an	\$20,700 for use by its Faculty of Agriculture toward the costs of planning for curriculum	\$305,000 for a pilot project to develop	
· ·	international workshop focusing on	and staff development to meet the change	and demonstrate methods for	
	applying and adapting the farmer field	ing needs of the agricultural sector	strengthening community organization	
	schools approach to various agroecologi- cal, sociocultural and economic situations.		and local capacity for innovation among resource-poor farmers in communities in	
			Daxaca, Mexico, that have high migration	
	University of Greenwich, London, England: \$35,000 for use by its Natural	of Agriculture toward the costs of planning	rates to the United States (joint with	
	Resources Institute toward the costs		Global Inclusion).	
	of oublighing a book on participatory	tion to meet the changing needs of the arricultural sector	Wageningen University, Wageningen.	
	lechnology-development research	,- ·	Netherlands: \$585,150 to enable a second	
	addressing the needs of resource-poor		cohort of doctoral candidates to participate	
	farmers and livestock keepers.		in a training and research program aimed at understanding and enhancing the role	
	NEW CURRICULA FOR TRANSFORMING		of developing-country smallholder farmers	
			in agricultural innovation and technology	
		toward the costs of developing a process	dissemination.	
	Makerere University, Kampala, Uganda: \$136,037 toward the costs of a pilot	for organizing and institutionalizing farmer	Wageningen University, Wageningen,	
	training program for improved research	participatory-research activities.	Netherlands: \$61,200 toward the costs of research and training in farmer	
∇	and teaching skills of Faculty of Agriculture	International Centre for Research in	participatory research for doctoral	
		Agroforestry, Nairobi, Kenya: \$78,750	candidates enrolled in the University's	
	Makerere University, Kampala, Uganda:		program, Participatory Approaches and	
	\$92,555 for use by its Department	the adoption of soil fertility technologies	Upscaling.	
	nt adriguituital Estabolion Education to	n the region.		
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• • •	Ghana	Sub-Saharan Africans' diets are highly o maize, rice, cassava, sweet potatoes an		
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Global Plant Biotechnology 👒	weilhoods of small-scale farmers in	Chiang Mai University, Chiang Mai,	
Dialogues (Shared Programming) 🔍	Mexico (joint with Global Inclusion)	Thailand \$100,000 for use by its Faculty	
b/oDevelopments-International Institute,	International Service for the Acquisition	of Agriculture for research on participatory plant breeding and toward the costs of its	
Ithaca New York \$29 900 toward the	or Agri-biolech Applications, linaca,	Master of Science in Agricultural Systems	í)
costs of establishing and operating IP	New TORK 5285,000 FOR Use by its Global	degree program	N
Strategy Today, an electronic discussion-	knowledge Center on Crop Biotechnology		, T
paper series about managing the intellectual property of biotechnology for		Cornell University, Ithaca New York \$36 000 toward the costs of providing	
international development (joint with		base or update sets of The Essential	
Global Inclusion)		Agricultural Library to five African	
······································	· · ·	institutions	
b/oDevelopments-International Institute,	the global debate on this topic (joint with	Correct Makemating Ithoops, Many Varia	
Ithaca New York \$34,500 to enable scientists from Asia and Africa to	(Honal Inclusion)	Cornell University, Ithaca New York \$52 800 for research and training of an	
participate in a training program		interdisciplinary cohort of fellows from	
on intellectual property rights and	- ·	eastern and southern Africa at the	Ĕ
international agricultural research and		doctoral level in topics related to	
development held in Washington DC		integrated nutrient management for Africa	. .
April/May 2002 (joint with Global Inclusion)	national seminar on the adoption of	Foundation-administered project:	
bioDevelopments-International Institute,	biosalety guidelines in lvory Coast held	\$60 000 toward the costs of engaging	l
Ithaca New York \$35 000 to develop a	March 2002 Doint with Global Inclusion)	consultants and convening meetings to	
software program that will significantly		assist the officers in developing programs	
facilitate technology transfer in the	and Biotechnology, Bangkok Thailand	to strengthen agricultural research and	
developing world (joint with Global		development with an emphasis on local	
Inclusion)		and national capacity building in sub	
Environmental Law Institute, Washington		Saharan Africa	
D.C \$200 000 toward the costs of	September 2002 (joint with Global	Foundation-administered project:	
preparing and disseminating a compre	Inclusion)	\$85,000 toward the costs of consultants	
hensive reference work on access to	1	to advise on the transition of management	
genetic resources in Africa (joint with		of the Foundation's program, Forum on	
Global Inclusion)		Agricultural Resource Husbandry in Sub	• •
Foundation-administered project:	managing the risk of bollworms developing resistance to insect resistance genes	Sanaran Amca to an Aincan Institution	(
\$1,000 000 toward the costs of	used in transgenic cotton in China held	Foundation-administered project:	ŕ
establishing the African Agricultural	Spring 2002 (joint with Global Inclusion)	\$95,000 for administrative costs associated	
Technology Foundation an organization	•	with managing the Foundation s program,	
that will help African research institutions	Regents of the University of California, San Francisco, California \$319 403 toward	Forum on Agricultural Resource Husbandry	
access and deploy proprietary agricultural technologies (joint with Global Inclusion)	the costs of developing a collaborative		
	database for public sector intellectual	Foundation-administered project.	
Foundation-administered project	property resources in agricultural	\$100 000 toward the costs of facilitating	
\$50 000 toward costs associated with	histochusters (mat with Olabel Inclusion)	the transfer of management of the Foundation's program, Forum on	
consultants and advisory meetings for the development of an initiative for public		Agricultural Resource Husbandry in Sub-	6 í
sector intellectual-property management	Strengthening Policies	Saharan Africa to an African institution	
(joint with Global Inclusion)	and Institutions		
Foundation-administered project		Fund for the International Conference	
\$955,872 toward the costs of	BUILDING NATIONAL AND LOCAL CAPACITY	of Agricultural Economists, Oak Brook illinois \$75 000 to enable agricultural	Γ'
launching two intellectual property	African Crop Science Society,	economists from sub Saharan Africa to	
rights management entities that will	Rustenburg South Africa \$37 026 toward	attend the 25th International Conference	
facilitate public sector access to new	the costs of organizing and convening	of Agricultural Economists to be held in	
agricultural technologies particularly	The Sixth African Crop Science Society	Durban South Africa August 2003 and to	
in Africa (joint with Global Inclusion)		acilitate their linkage to the international	
International Center for Agricultural	October 2003	agricultural economics community	• •
Research in Dry Areas, Aleppo Syna		Susan Tinkishaba Ikerra, Morogoro	
\$54 000 toward the costs of participation		Tanzania \$13,400 for research on the use	
of scientists from Asia and Africa in the	use by its Institute for Industrial Crops to	of phosphate rock combined with organic	
conference Biotechnology and	enable five African scientists to attend the Third International Bacterial Wilt	materials to improve soil fertility and	
Sustainable Development Voices of the South and North, held in Alexandria, Educit		increase maize yields in Tanzania	K I
South and North, held in Alexandria Egypt March 2002 (joint with Global Inclusion)	Africa February 2002	International Food Policy Research	N 1
	· ·	Institute, Washington D.C. \$400,000 for	
International Maize and Wheat	Agricultural Research Council, South Africa, Pretona, South Africa \$8 000 for	the development of a regional collaborative	
Improvement Center, Mexico City	use by its Institute for Industrial Crops	master s degree program in Agricultural	
Mexico \$100,000 toward the costs of a study to determine how gene flow	to enable African scientists to participate	Economics with local universities in eastern and coutherp Africa	
a study to determine now gene now including the flow of transgenes affects	in the Third World Cotton Research	and southern Africa	1
traditional maize landraces in order to	Conference held in Cape Town South	Kenyatta University, Nairobi Kenya	E i
elucidate the impact of gene flow on the	Africa, March 2003	\$2 500 for use by its Faculty of Science to	E l
		further the development of its programs	ا کم
		1	

	agricultural knowledge and information	travel for African scientists to altend the
further the development of its programs	in Uganda	Plant and Animal Genome XI meeting 👸 held in San Diego, California. January 2003 🗟
	Makerere University, Kampala Uganda \$96 760 to provide field training for	University of Nairobi, Nairobi, Kenya
		\$7 500 for use by its Faculty of Agriculture
· · · · · · · · · · · · · · · · · · ·	of sweet potato pests and diseases	to further the development of its programs
	in Uganda	University of Nairobi, Nairobi, Kenya
Licas at came members of the asalis		\$70 075 to provide training for African
Sanseiveria in Kerwa	•	graduate students in the agricultural
	Swaziland \$12,800 for the development of a framework for improving the	sciences and to develop economically viable integrated pest-management
		strategies to control sweet potato weevils
	pricing for smallholder farmers in Swaziland	n eastern Kenya
		University of Nairobi, Nairobi Kenya
		\$72 703 to provide field training for
\$15 000 for use by its Faculty of Agriculture to further the development of its programs		African graduate students in the agricultural sciences and for research
	MOI University, Eligoret Kenya	on the economic competitiveness of
Į . ,	\$5 000 toward the costs of a workshop for its faculty on competitive research	alternative soil fertility management
1 · · ·	proposal writing	technologies and the extent to which
of its programs	University of Malewi, Zomba Malawi	they are adopted by smallholder farmers in western Kenya
	\$11 500 for use by its Bunda College	,
\$176 638 toward the costs of an	of Agriculture to enhance the teaching	University of Nairobi, Nairobi Kenya \$87 197 for use by its Department of
	of biometry at the undergraduate and	Agricultural Economics toward the costs
faculties into a college of agriculture	posigraduate ievei	of modernizing its library providing training
and natural resource management		in bio economics for one of its faculty and
Č Č	\$20 092 for use by its Bunda College of Agriculture to publish reference	conducting a social historical analysis of poverty in Kenya
	books for graduate training in agricultural	
regional meeting of the Foundation funded	economics and rural development	University of Nairobi, Nairobi Kenya \$87 290 to enhance the teaching of
program Forum on Agricultural Resource	University of Malawi, Zomba Malawi	piometrics in selected faculties of
Kampala Haanda in 2002	\$5 000 for use by its Bunda College	agriculture in universities in sub-Saharan
	•	Africa by developing sustainable and
		focused strategies for training and skill development
Integrated Pest Management for Sub	and marketing of forest products in	•
	southern Malawi	University of Zimbabwe, Harare Zimbabwe \$40 000 for use by its
	University of Malawi, Zomba Malawi	Department of Agricultural Economics
		and Extension for its Regional Master s
\$69 700 to provide field training for African graduate students in the agricultural		Program in Agricultural Economics to upgrade and refurbish the computer
	T	aboratory teaching and research facility
cattle manure to increase the productivity	University of Malawi, Zomba Malawi	University of Zimbabwe, Harare
pt peri-urban smallholder crop/ livestock production systems	\$5 000 for use by its Bunda College of	Zimbabwe \$58,170 to provide field
•		training for African graduate students in
Makerere University, Kampala Uganda \$73 370 to provide field training for African		the agricultural sciences and for research on increasing crop yield through improved
graduate students in the apricultural	• •••••••••••••••••••••••••••••••••••	weed management in smallholder
sciences and for research to evaluate the	DIIIVErsilv of Manawi, 201110a Manawi	intercropping systems
resistance of local and improved cassava	Agriculture toward the costs of publishing	University of Zimbabwe, Harare,
in Licenda	-	Zimbabwe \$62,500 to provide field
Makerere Ifniversity Kempela Ligenda		training for African graduate students in the agricultural sciences and for research
\$74 285 to provide field training for African	University of Malawi, ZDTIDa Malawi	on the use of soybeans and soybean
graduate students in the agricultural		products to enhance nutrition in livestock
		University of Zimbabwe, Harare,
improved access to foral mancial markets	sciences and to characterize assess	Zimbabwe \$64,113 to provide field
· · · · · · · · ·		training for African graduate students in the agricultural sciences and for research
\$75,504 to provide field training for African		on improving bean seed quality on small-
graduate students in the agricultural	LINIYERSOV DI MISSOULPLOUDIDIDIZ.	holders farms by managing seed borne
sciences and to assess the effectiveness	its College of Agriculture. Food and	diseases caused by bacteria and lungi
ni smallinniner tarmers, access in	Natural Resources toward the costs of	
]		

Kenyatta University, Nairobi, Kenya: \$5,000 for use by its Faculty of Science to further the development of its programs.

Kenyatta University, Nairobi, Kenya: \$62,527 to provide field training for African graduate students in the agricultural sciences and for research on the taxonomy, distribution and uses of some members of the genus Sansevieria in Kenya.

Makerere University, Kampala, Uganda: \$10,000 toward the costs of publication and dissemination of the proceedings of the Fifth African Crop Science Conference.

Makerere University, Kampala, Uganda: \$15,000 for use by its Faculty of Agriculture further the development of its programs. to further the development of its programs.

Makerere University, Kampala, Uganda: \$17,500 for use by the Faculty of Agriculture to further the development of its programs.

Makerere University, Kampala, Uganda: \$176,638 toward the costs of an institutional transformation planning process to consolidate constituent faculties into a college of agriculture and natural-resource management.

Makerere University, Kampala, Uganda: \$202,812 toward the costs of the fifth regional meeting of the Foundation-funded economics and rural development. program, Forum on Agricultural Resource Husbandry in Sub-Saharan Africa, held in Kampala, Uganda, in 2002.

Makerere University, Kampala, Uganda: \$5,000 toward the costs of a workshop on Integrated Pest Management for Sub-Saharan Africa, held in Kampala, Uganda, September 2002.

Makerere University, Kampala, Uganda: \$69,700 to provide field training for African graduate students in the agricultural sciences and for research on the use of cattle manure to increase the productivity of peri-urban, smallholder crop/ livestock-production systems.

Makerere University, Kampala, Uganda: \$73.370 to provide field training for African graduate students in the agricultural sciences and for research to evaluate the resistance of local and improved cassava varieties to cassava mosaic virus disease in Uganda.

Makerere University, Kampala, Uganda: \$74,285 to provide field training for African graduate students in the agricultural sciences and for research on the impact of sciences and tor research on the impact of graduate students in the agricultural improved access to rural financial markets sciences and to characterize, assess on household welfare in Uganda.

Makerere University, Kampala, Uganda: \$75,504 to provide field training for African graduate students in the agricultural sciences and to assess the effectiveness of smallholder farmers' access to

agricultural knowledge and information in Uganda.

Makerere University, Kampala, Uganda: \$96,760 to provide field training for African graduate students in management of sweet potato pests and diseases in Uganda.

Ministry of Agriculture and Co-operatives, Swaziland, Mbabane, Swaziland: \$12,800 for the development of a framework for improving the coordination of maize marketing and pricing for smallholder farmers in Swaziland.

Moi University, Eldoret, Kenyá: \$2,500 or use by its Faculty of Agriculture to

Moi University, Eldoret, Kenya: \$5,000 toward the costs of a workshop for its faculty on competitive researchproposal writing.

University of Malawi, Zomba, Malawi: \$11,500 for use by its Bunda College of Agriculture to enhance the teaching of biometry at the undergraduate and postgraduate level.

University of Malawi, Zomba, Malawi: \$20,092 for use by its Bunda College of Agriculture to publish reference books for graduate training in agricultural

University of Malawi, Zomba, Malawi: \$5,000 for use by its Bunda College of Agriculture for a preliminary farmlevel study of economic and social issues related to forest conservation and marketing of forest products in southern Malawi.

University of Malawi, Zomba, Malawi: \$5,000 for use by its Bunda College of Agriculture to conduct a preliminary study on the control of Striga through the use of multipurpose trees and shrubs.

University of Malawi, Zomba, Malawi: \$5,000 for use by its Bunda College of Agriculture for a preparatory study for research on the effects of HIV/AIDS on young people in the agricultural sector.

University of Malawi, Zomba, Malawi: \$5,446 for use by its Bunda College of Agriculture toward the costs of publishing the proceedings of the Second African Crop Science Society Conference.

University of Malawi, Zomba, Malawi: \$69,800 for use by its Chancellor College to provide field training for African and conserve the genetic diversity of yam germplasm in Malawi.

University of Missouri-Columbia, Columbia, Missouri: \$10,000 for use by its College of Agriculture, Food and Natural Resources toward the costs of

travel for African scientists to attend the Plant and Animal Genome XI meeting, neld in San Diego, California, January 2003

University of Nairobi, Nairobi, Kenya: \$7,500 for use by its Faculty of Agriculture to further the development of its programs

University of Nairobi, Nairobi, Kenya: \$70,075 to provide training for African graduate students in the agricultural sciences and to develop economically viable integrated pest-management strategies to control sweet potato weevils in eastern Kenya.

University of Nairobi, Nairobi, Kenya: \$72,703 to provide field training for African graduate students in the agricultural sciences and for research on the economic competitiveness of alternative soil fertility management technologies and the extent to which they are adopted by smallholder farmers in western Kenya.

University of Nairobi, Nairobi, Kenya: \$87,197 for use by its Department of Agricultural Economics toward the costs of modernizing its library, providing training in bio-economics for one of its faculty and conducting a social-historical analysis of poverty in Kenya.

University of Nairobi, Nairobi, Kenya: \$87,290 to enhance the teaching of biometrics in selected faculties of agriculture in universities in sub-Saharan Africa by developing sustainable and focused strategies for training and skill development.

University of Zimbabwe, Harare, Zimbabwe: \$40,000 for use by its Department of Agricultural Economics and Extension for its Regional Master's Program in Agricultural Economics to upgrade and refurbish the computer laboratory teaching and research facility.

University of Zimbabwe, Harare, Zimbabwe: \$58,170 to provide field training for African graduate students in the agricultural sciences and for research on increasing crop yield through improved weed management in smallholder ntercropping systems.

University of Zimbabwe, Harare, Zimbabwe: \$62,500 to provide field training for African graduate students in the agricultural sciences and for research on the use of soybeans and soybean products to enhance nutrition in livestock.

University of Zimbabwe, Harare, Zimbabwe: \$64,113 to provide field training for African graduate students in the agricultural sciences and for research on improving bean seed quality on smallholders' farms by managing seed-borne diseases caused by bacteria and fungi.











University of Zimbabwe, Harare, Zimbabwe \$64,995 to provide field training for African graduate students in the agricultural sciences and for research on improving the productivity of traditional leafy vegetables on smallholder farms in Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$66,608 to provide field training for African graduate students in the agricultural sciences and for research on soybean varieties and on improving soybean production in maize/legume ntercropping systems

University of Zimbabwe, Harare, Zimbabwe \$67,322 to provide field training for African graduate students in the agricultural sciences and for research on the effects of cultural weed management practices on maize yields n Zimbabwe

University of Zimbabwe, Harare, Zimbabwe \$68,985 for on-farm testing and dissemination of cropand soil-improvement technologies developed by the Chinyika Integrated Crop Management Research project

Wageningen University, Wageningen, Netherlands \$26,220 to develop interactive computer-based teaching tools on biological nitrogen fixation for use by African universities

Zambia Seed Company Ltd., Lusaka. Zambia \$2,780 for the acquisition of an agricultural statistical software database for use in the analysis of data collected under a maize breeding project

REDEFINING THE ROLE OF THE PUBLIC AND PRIVATE SECTORS

Association for Better Land Husbandry, Nairobi, Kenya \$90,000 to develop a marketing structure that links smallholder farmers to high-value, organic output markets in Keriva

nternational Center for Tropical Agriculture, Cali, Colombia \$15,000 loward the costs of a conference aimed at strengthening the role of the social sciences in adricultural research in developing countries, held in Cali, Colombia, September 2002

International Crops Research Institute for the Semi-Arid Tropics, Patancheru, India \$630,000 to develop, test and transfer market technologies and institutional innovations that improve the effectiveness of the marketing system for raising the incomes of poor farmers growing grain legumes in Malawi and Mozambique

International Food Policy Research Institute, Washington, D.C \$79,646 toward the costs of a workshop bringing together researchers, practilioners and donors of the Consultative Group on nternational Agricultural Research to develop a strategy for linking impact assessment to institutional learning and chance

International Institute of Tropical Agriculture, Ibadan, Nigeria \$100,000 for the development of a markets strategy program Private Enterprise Support Activities, to accelerate commercialization. competitiveness and wealth generation in selected agricultural markets in eastern and southern Africa

nternational Malze and Wheat Improvement Center, Mexico City, Mexico \$480.000 toward the costs of strengthening the participation of the East African national agricultural research systems in a Pan-African program to develop stress-tolerant maize varieties and improved cropping systems

STRENGTHENING NATIONAL PLATFORMS FOR FOOD SECURITY

Africare, Washington, D.C. \$300,001 for use by its Africare Zimbabwe to assist poor Agricultural Research, The Hague, smallholder farmers in three districts of Zimbabwe to expand the production, processing and utilization of soybeans and to develop sustainable market inkages for raising their incomes

Citizens Network for Foreign Affairs. Washington, D.C \$1,182,804 toward the costs of improving the incomes and food security of smallholder farmers in Malawi

through increased access to inputs, markets, services and finance (joint with Africa Regional Program)

Citizens Network for Foreign Affairs, Washington D.C \$831,341 toward the costs of expanding the role of the private sector in providing smallholder farmers in marginal agricultural zones in southern Zimbabwe with greater access to agricultural inputs and markets (joint with Africa Regional Program)

Eduardo Mondlane University, Mapulo, Mozambique \$200,000 for a University based initiative for Development and Equity in African Agriculture (IDEAA) project designed to raise the income of poor farmers by improving their production and marketing of sunflower

Food and Agriculture Organization of the United Nations, Rome, Italy \$74,400 to enable the Inter-Agency Working Group of its Food Insecurity and Vulnerability Information Mapping Systems (FIVIMS) to assess strengths and weaknesses in past FIVIMS activities and to develop a

new strategy and work plan to guide future work

Foundation-administered project: \$175,000 toward the costs of engaging consultants and convening meetings to assist the Foundation in planning and establishing a master's degree program n agricultural economics in Africa and a postdoctoral fellowship program in African lood policy and markets development.

International Food Policy Research Institute, Washington, D.C. \$63,674 roward the costs of research and planning for a project to strengthen agricultural markets in eastern Africa through the development of policies and institutions that improve markets access and incomes or poor farmers

nternational Malze and Wheat Improvement Center, Mexico City, Mexico \$329,800 toward the costs of developing a strategy for using market incentives to improve the production and distribution of newly developed drought- and lownitrogen-tolerant maize and for evaluating he impacts of these varieties on the food security and incomes of smallholder farmers in southern Africa.

International Service for National Netherlands \$86,340 for an evaluation of options for effective restructuring of national agricultural-research systems in Africa

Kenya Agricultural Commodity Exchange, Narrobi, Kenya \$299 199 for the development of market information and commodity-exchange systems aimed at raising the incomes of poor farmers in vestern Kenya by linking them to input and output markets

Ministry of Agriculture and Food Security, Tanzania, Dar-es-Salaam, Tanzania \$30,000 for use by its Department of Research and Development toward the costs of preparing a medium-term plan for the development of Tanzania's national agricultural-research system

Sustainable Agriculture Centre for Research and Development in Africa, Bungoma, Kenya \$290 607 for the development and pilot testing of a prototype cereal-banking system for collective grain storage, bulking and marketing of maize by poor farmers n western Kerrva

University of Malawi, Zomba, Malawi \$300,000 for a University-based Initiative for Development and Equity in African Agriculture (IDEAA) project in Malawi designed to raise the income of poor farmers by improving production, processing and marketing of cassava

University of Oxford, Oxford, England \$27,500 for use by its International Development Centre for field research on the efficiency and equity of contract farming as it affects the incomes of poor farmers growing food and cash crops in Kenya

University of Zimbabwe, Harare, Zimbabwe \$34,800 for use by its Department of Agricultural Economics and Extension to conduct research on the market feasibility of a phospho-compost soil fertility management technology for poor farmers in Zimbabwe

University of Zimbabwe, Harare,

Zimbabwe \$500,000 toward the costs of the University-based Initiative for Development and Equity in African Agriculture (IDEAA), a regional program in southern Africa designed to improve incomes of poor farmers through the production, processing and marketing of agricultural commodities

Fellowships

Agnes Madrida Abera, Kampala, Uganda \$16,952 for a second fellowship research allocation for dissertation research in Uganda as part of a` doctoral program in entomology at the Department of Entomology, University of Massachusetts, Amherst

Marjatta Eilittä, Washington, D C \$14,200 for a postdoctoral fellowship to continue work to compile and circulate information on green-manure cover crop systems, and to facilitate expansion of the use of *Mucuna*, a common green-manure cover crop in Africa and Latin America

African Career Awards

Mary Christine Akemo, Kampala Uganda: \$33,964 for an African Career Award to enable her to undertake posidoctoral research at Kawanda Agricultural Research Institute on potential cover crops for weed control in annual and perennial horticultural crop production in Uganda

George Odwar Ayaga, Nairobi, Kenya \$34,000 for an African Career Award to enable him to conduct postdoctoral research at the Kenya Agricultural Research Institute on the long-term impact of land management on soil fertility in Kenya

Callistus Ogol, Nairobi, Kenya \$34,000 for an African Career Award to enable him to conduct postdoctoral research at Kenyatta University on conservation of the biological resources of Mfangano Island, Kenya









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Dick Sserunkuuma, Kampala, Uganda: \$34,000 for an African Career Award to enable him to conduct postdoctoral research at Makerere University on the determinants and impacts of farmers' choice of land-management techniques in maize-based production systems in Uganda

Explorations

Foundation-administered project:

\$25,000 toward the costs of formulating an area of work focused on food security in the Greater Mekong Sub-region of Southeast Asia

Foundation-administered project: \$30,000 toward the costs of commissioning a study of Kenyan agriculture that will be used in developing a new program structure for the Foundation's Food Security Theme When people lack the calories and micronutrients such as iron and vitamin A that enable the body to develop, the lifelong effects can be devastating.

Foundation-administered project:

\$31,000 toward the costs of commissioning a study of Mozambican agriculture that will be used in developing a new program structure for the Foundation's Food Security Theme

Foundation-administered project: \$33,000 toward the costs of commissioning a study of Malawian agriculture that will be used in developing

a new program structure for the Foundation's Food Security Theme

Foundation-administered project: \$35,000 loward the costs of commissioning a study of Ugandan agnoulture that will be used in developing a new program structure for the Foundation's Food Security Theme

Foundation-administered project: \$50,000 toward the cost of developing an area of work focused on markets for increased farmer incomes in Africa



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	Theme
lea	alth Equity

Harnessing the New Sciences

MEDICINES

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McGill University, Montreal, Quebec, Canada \$216 440 for a case study in the Dominican Republic, Haiti and Mexico of the costs and benefits of making the most effective method of treating tuberculosis (DOTS) available to all patients as compared to treating migrants Family Heatth International, from those countries within the United States and Canada

Medical Research Council, South Africa, Cape Town South Africa \$24,970 for use by its Tuberculosis Lead Research Programme to enable developing country delegates to attend a meeting to set global TB research priorities held in Washington D.C., June 2002

Tufts University, Medford Massachusetts \$100,000 toward the costs of a project at its New England Medical Center to help the International Pediatric Association to improve child health and health equity on a global scale

World Health Organization, Geneva Switzerland \$80,000 for use by its Stop TB Department toward the costs of a global anti-TB drug resistance surveillance project

POLICY WORK ON PUBLIC/ PRIVATE PARTNERSHIPS

Centre for the Management of IP In

in general support of its efforts to help the international public health sector manage intellectual property in health research and development, and thereby improve access to health technologies for poor people in developing countries

Foundation-administered project:

\$42,000 to engage a consultant to prepare a paper on the present capacity and future demand for clinical trials on products for diseases endemic in ow- and middle-income countries for presentation at a meeting held in Arusha Tanzania November 2002

Foundation-administered project \$525 000 to continue planning for the creation of a center for the management of intellectual property rights in health research and development for the public good

Global Forum for Health Research. Geneval Switzerland \$500,000 for core support and for its initiative on public/

private partnerships for health, which locus on product development for diseases that affect poor people in developing countries

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REPRODUCTIVE HEALTH TECHNOLOGIES

Alliance for Microbicide Development, Silver Spring, Maryland \$300 000 for general support of its efforts to speed he development of safe, effective and affordable vaginal microbicides to prevent sexually transmitted infections most critically HIV/AIDS

Research Triangle Park North Carolina \$2 774 180 loward the cost of its International Partnership for Microbioides project aimed at accelerating the development and introduction of new products controlled by women that prevent the spread of sexually transmitted diseases including HIV

Foundation-administered project \$140 000 to continue an exploration that may lead to the development of a public/private partnership for vaginal microbicides that protect against HIV and other sexually transmitted diseases

Foundation-administered project

\$35,000 to publish and disseminate French and Spanish language editions of a summary report on prospects for the development of vaginal microbicides that protect against HIV and other sexually transmitted diseases

International Center for Research on Women, Washington, D.C \$14,352 to supplement an earlier grant for the production and dissemination of Health R&D, London, England \$1,500,000 six publications on the potential of developing vaginal microbicides to protect women against HIV and other sexually transmitted infections

VACCINES

Arizona State University, Tempe, Anzona \$148 600 for use by its Arizona Biomedical Institute toward the costs of a meeting on the development of plant-based vaccines for humans and animals, held in Phoenix November 2002 (joint with Food Security)

Foundation-administered project:

\$120 000 to explore innovative ways including public/private partnerships to accelerate the development of vaccines and immunizations for use in developing countries

Foundation-administered project: \$150 250 to explore in partnership with the Global Alliance for Vaccines and Immunization, innovative ways to accelerate the development of vaccines and immunizations for use in developing countries

Foundation-administered project: \$96 000 to continue a study of the feasibility of a public/private partnership to accelerate the development of a dengue

vaccine for poor children.

Henry M. Jackson Foundation for the Advancement of Military Medicine, Rockville Maryland \$10,000 toward the costs of a meeting on antibody protection against viral diseases, in particular, dengue, eld in Belhesda, Maryland, June 2002

International Vaccine Institute, Seoul, South Korea \$25,000 to enable scientists from Bangladesh, China, India and Indonesia to attend an international course on vaccine evaluation in developing countries for scientists from Asian countries held in the Philippines, December 2002

International Vaccine Institute, Secul, South Korea \$309 470 to launch a pediatric dengue vaccine initiative for poor children

United Nations Children's Fund, New York New York \$100 000 for use by its Global Alliance for Vaccines and Immunization toward the costs of an accelerated development and introduction plan for new technologies to simplify the delivery of and increase access to immunization.

University of California, Berkeley,

Berkeley California \$99 500 for a pilot study in Nicaragua that will measure the incidence of dengue-fever infection in a sample of 3 500 people

University of California, San Francisco, San Francisco California \$25,000 for use by its Institute for Global Health for an international forum on post eradication polio-immunization strategies held in Annecy, France April 2002

William Alan Muraskin, New York New York \$23,000 toward the costs of research to complete a book on the history of the growth of the Children's Vaccine Program

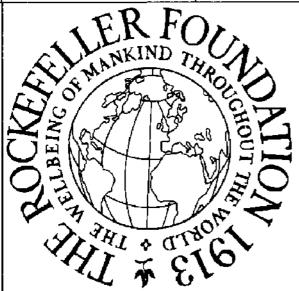
Health and the Global Community

AFRICA REGIONAL PROGRAM

NDEPTH Network, Accra Ghana \$1,500 000 for general support of its secretariat, which represents 28 sites in developing countries that collect health and demographic data on a longitudinal basis (joint with Africa Regional Program)

ASIA REGIONAL PROGRAM UNDERSTANDING SEXUALITY

AIDS Society of the Philippines, Manila, Philippines \$95,000 for a study on the content, trends and quality of media coverage of sexuality and safe sex in the context of HIV/AIDS prevention and control in Cambodia, Indonesia. Lao People's Democratic Republic the Philippines Thailand and Vietnam



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Cambodian Women for Peace and Development, Phnom Penh, Cambodia: 99,940 toward the costs of the second phase of an experimental program to strengthen inter-country health-care and social-support systems, especially for the prevention of HIV and other sexually transmitted infections, for Cambodians nigrating to Thailand to find work.

Consultation of Investment in Health Promotion, Hanoi, Vietnam: \$70,380 to study the links between gender, sexuality and reproductive health in northern Vietnam.

Creating Resources for Empowerment and Action, New Delhi, India: \$78,910 for 15 case studies documenting how organizations in South and Southeast Asia have integrated concepts of gender and human rights into their work on sexuality and sexual health, and for other expenses related to a workshop to be held at the Bellagio Study and Conference November 2003. Center, Italy, October 2003.

Family Medicine Research Group, Manila, Philippines: \$100,000 to conduct a Office toward the costs of a study on comparative study of patients' and family physicians' perceptions of what constitutes and gender concerns into health-sector good sexual and reproductive health care feform in Defang County, Guizhou for women in Cambodia, the Philippines and Vietnam, and to develop related indicators for equity, access and quality.

Khemara, Phnom Penh, Cambodia: \$50,000 for the development of an experimental intervention model that integrates gender concerns into the

provision of sexual-health education and services among marginal communities in Phnom Penh, Cambodia.

Patient, Mulago Hospital, Kampala, Uganda. Uganda has taken an aggressive leadership role in the battle against AIDS. Yet the disease

continues to contribute to a downward spiral undoing modest, but hard-earned, economic and social gains in many developing countries.

Mahidol University, Nakomprathom, Thailand: \$100,000 for use by its Faculty of Social Sciences and Humanities to enable five students from Cambodia. ao People's Democratic Republic and Myanmar to study for a master's degree in a program that focuses on gender, sexuality and reproductive health, and loward the costs of a national conference on these subjects to be held in Thailand, April 2003.

Mahidoi University, Nakomprathom, Thailand: \$100,000 for use by its Center for Health Policy Studies toward the costs of travel for participants from the Greater Mekong Sub-region and other activities in connection with the Second Asia Pacific Conference on Reproductive and Sexual Health, to be held in Bangkok,

Ministry of Health, China, Beijing, China: \$50,000 for use by its Foreign Loan the incorporation of reproductive health Province, China.

Ministry of information and Culture, Laos, Vientiane, Lao People's Democratic Republic: \$43,610 for use by its Institute for Cultural Research to undertake a social-impact analysis of increased mobility and its implications

for increased HIV transmission and substance abuse in Lao People's Democratic Republic's border areas with China and Myanmar.

National University of Laos, Vientiane, Lao People's Democratic Republic: \$20.060 for use by its Faculty of Medical Sciences to study the sexual attitudes of oung people in Vientiane Municipality, and the manner by which their sexual behavior is affected by parent-child attachment and communication.

Philippine Educational Theater Association, Manila, Philippines: \$78,650 o enhance the capacity of women artists rom the Greater Mekong Sub-region to use theater and arts for gender, sexuality and reproductive health education.

Raks Thai Foundation, Bangkok, Thailand: \$80,020 for use by its Chiang hai chapter to develop a model of comprehensive care that includes sexual and reproductive health education for HIV-affected women in northern Thailand.

Reproductive Health Matters, London, England: \$100,000 loward the costs of printing its journal and distributing it free of charge to institutions in South and Southeast Asia, and to support the editing and publication of papers to be presented at a workshop on sexuality, gender and human rights to be held at the Bellagio Study and Conference Center, Italy, October 2003.



University of the Philippines, Diliman, Quezon City Philippines \$305,780 for use by its Department of Anthropology for a regional research awards program on gender sexuality and sexual health in Southeast Asia

INTELLECTUAL PROPERTY

Foundation-administered project:

\$351,000 toward the costs of engaging consultants to explore models of collective management of intellectual property and of holding a meeting at the Bellagio Study and Conference Center Italy, on this topic November 2002 (joint with Global Inclusion)

Resourcing Public Health

AIDS CARE IN AFRICA

Biomedical Research and Training

Institute, Harare Zimbabwe \$74 805 to assess the risk of tuberculosis infection among nurses, and the causes of chronic cough among patients attending healthcare clinics in Harare. Zimbabwe

Columbia University, New York, New York \$6 000,000 for use by its Mailman School of Public Health for an initiative that builds on existing programs to prevent mother-to-child transmission of HIV by providing treatment for HIV/AIDS to infected mothers and their infected children (joint with Africa Regional Program and Presidential Initiatives)

George Washington University, Washington D.C. \$10 000 for use by its Forum for Collaborative HIV Research toward the costs of a project to facilitate the transfer of monitoring and diagnostic technologies for the management of HIV/AIDS anti-retroviral therapy to developing countries

Global AIDS Alliance, Bethesda Maryland S50,000 for general support of its efforts to **University of Stellenbosch**, Matreland, South Africa \$396,110 for use by stem the AIDS epidemic in Africa

Global Strategies for HIV Prevention, San Rafael California \$42,500 to update and distribute a CD-ROM on the resources available for the prevention and treatment of HIV in women and children in developing South Africa countries

Harvard University, Cambridge Massachusetts \$206 410 for use by its School of Public Health, in collaboration with the Kilimanjaro Christian Medical Center, for a pilot project to assess the feasibility of implementing HIV interventions among bar and hotel workers in northern Tanzania

Kenya Medical Research Institute, Nairobi Kenya \$55,000 for use by its Centre for Respiratory Disease Research in collaboration with the University of

Nairobi and the University of Washington, for a study of the use of amoxicilin in the treatment of acute bronchitis in HIVinfected adults in Nairobi, Kenya

McMaster University, Hamilton Ontano Canada \$20,000 for use by its School of Nursing toward the costs of workshops on the impact of HIV/AIDS, violence and poverty on women and children, as part of an international conference, held in Bangkok Thailand, February 2003

Medical Research Council, United

Kingdom, London, England \$99 975 for use by its Clinical Trials Unit to coordinate a multicenter clinical trial to assess the safety and effectiveness of two strategies for the use of anti-retroviral drugs against HIV/AIDS in sub-Saharan Africa.

Treatment Action Campaign,

Nonkqubela, South Africa \$45,000 to cover the costs of transportation for African participants to a meeting on HIV/AIDS treatment held in collaboration. with the Congress of South African Trade Unions in South Africa, June 2002

University of California, San Francisco,

San Francisco California \$204,000 for use by its Department of Medicine for a project undertaken in collaboration with the World Health Organization to develop evidence-based syndromic guidelines for the treatment of common adolescent and adult outpatient conditions in areas of high HIV prevalence in sub-Saharan Africa.

University of Leeds, Leeds England \$108 385 to enable a scientist at its Nuffield Institute for Health to continue to assist in a project coordinated by the World Health Organization to develop evidence-based guidelines for syndromic management of adult illness in primarycare settings in Uganda where HIV is prevalent

its Faculty of Health Sciences in collaboration with the University of Cape Town for a study that compares two strategies to prevent opportunistic infections in HIV-infected children in

World Health Organization, Geneva Switzerland \$604 160 for activities in collaboration with partners in sub-Saharan Africal to develop syndromic guidelines. for common adult outpatient conditions in areas of high HIV prevalence

DISEASE SURVEILLANCE

American Social History Productions, New York New York \$94 500 toward the costs of developing a television series on the ecology of infectious disease and an putreach campaign for its dissemination

Commonwealth Regional Health Community Secretariat for East, Central and Southern Africa, Arusha, Tanzania \$50 000 toward the costs of a conference on the roles of nurses and midwives in responding to the health challenges of he 21st century especially the HIV/AIDS epidemic in East, central and southern Africa, held in Tanzania, August 2002

Global Alliance for Africa, Chicago, Illinois \$58,650 to develop a regional action plan in collaboration with the East African Integrated Disease Surveillance Network, to strengthen and coordinate disease surveillance activities in Kenya, Tanzania and Uganda

International Society for Infectious

Diseases, Boston Massachusetts \$100 000 for use by its Program for Monitoring Emerging Diseases toward the costs of the planning phase of a project. to foster a collaboration with the regional disease-surveillance networks in Africa and Southeast Asia to improve reporting of disease outbreaks

Pan American Health Organization,

Washington D.C \$66 500 toward the costs of a workshop to estimate the epidemiological economic and social burden of dengue illnesses, held in Nashington D.C. November 5-7 2002

World Health Organization, Geneva, Switzerland \$13,300 toward the costs of a midterm review and planning meeting to assess the progress of its program to strenothen national surveillance systems. aboratory capabilities and informationsharing networks in West Africa and he Sudan, held in Accra. Ghana January 2002

World Health Organization, Geneval Switzerland \$99 755 for use by its Western Pacific Regional Office, in collaboration with the Mekong Basin Disease Surveillance project and the University of the Philippines to develop an electronic disease-reporting system for the Greater Mekong Sub region

Yunnan Centers for Disease Control

and Prevention, Yunnan Province China \$38 200 for use by its Provincial Bureau of Health toward the costs of a cooperative project in Yunnan Province to strengthen cross-border disease-surveillance efforts, as part of the Mekong Basin Disease Surveillance Network

PUBLIC HEALTH SCHOOLS WITHOUT WALLS

tanoi School of Public Health, Hanor Vietnam \$245,000 for transitional funding of its Public Health Schools Without Walls program

National Health Research and

Development Centre, Nairobi, Kenya \$7,507 to supplement an earlier grant in support of a workshop held in Mombasa Kenya November 2001 that brought together key stakeholders in Africa to explore opportunities for building and strengthening capacity for leadership development for health research in Africa

Tropical Institute of Community Health and Development in Africa, Narrobi Kenya \$10,900 to supplement an earlier grant for a project to document existing models methods and approaches to public health in sub-Saharan Africa and identify those that promote community empowerment as a way of improving health and enhancing equity

University of Ghana, Legon Accra Ghana \$100,000 for use by its School of Public Health for transitional funding for its Public Health Schools Without Walls program

University of Pretoria, Pretonal South Africa \$35,000 for use by its School of Health Systems and Public Health to document the training capacity of public. tealth training institutions and research networks in sub-Saharan Africa

REPRODUCTIVE HEALTH

International Center for Research on Women, Washington D.C. \$725,810 to complete a project undertaken in collaboration with a group of five nongovernmental organizations in India conducting community based research on adolescent sexuality and eproductive health

International Planned Parenthood

Federation, London England \$300,000 toward the cost of a leadership-transition process designed to improve its ability to advocate for and provide sexual and reproductive health services in developing. countries worldwide

International Women's Health Coalition, New York New York \$90,000 for general support of its efforts to ensure the sexual and reproductive rights and health of vomen worldwide

Ministry of Health, Ghana, Accra, Ghana \$2 221,030 for use by its Navrongo Health Research Centre to measure the impact of a range of approaches designed to improve adolescent sexual and reproductive health in the Kassena-Nankana District

Strengthening Global Leadership

HEALTH EQUITY FRONTIERS

AcademyHealth, Washington, D C \$95 000 for preparation of case studies on increasing the use of health equity

research in clinical-management and policy Center for Health and Gender Equity, decision making to improve equitable access to quality services for presentation at a workshop to be held at the Bellagio Study and Conference Center Italy April 23-27.2003

African Population and Health

Research Centre, Nairobi Kenya \$27,650 to supplement an earlier grant for the development of an urban "equity gauge that will document and highlight for policymakers the extent of health disparities in Nairobi (joint with Africa Regional Programs)

Aga Khan University, Karachi Pakistan \$57,460 for use by its Department of Community Health Sciences toward the costs of workshops to assess and analyze heath-care needs and priorities in Pakistan, particularly at community and district levels. using the "benchmarks of fairness" tool

Takoma Park, Maryland \$98,000 toward the costs of research and policy analysis studies in India and Tanzania, undertaken in collaboration with national partners, on the implications of health-sector reform on reproductive health and rights

Cochrane Collaboration, Oxford England \$25,000 loward life costs of an international research award, the Kenneth Warren Prize

Columbia University, New York New York \$332,130 for use by its Mailman School of Public Health toward the costs of developing tools and training modules for use in an initiative designed to integrate equity into high priority health programs. in developing countries

Foundation-administered project:

\$25,000 to engage a coordinator to acilitate the strategic development of the Global Equity Gauge Alliance



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Health-care worker and child at clinic in Veracruz, Mexico.



Harvard University, Cambridge, Massachusetts: \$285,300 for use by its School of Public Health toward the costs 1 of a project to refine and further adapt the "benchmarks of fairness" tool for evaluation of health-care reform in developing countries.

Health Systems Trust, Durban, South Africa: \$500,000 toward the costs of the Global Equity Gauge Alliance project, which monitors health inequalities within countries, and promotes action to reduce unfair disparities in health and health care in developing countries.

International Trachoma Initiative, New York, New York: \$150,000 toward the planning costs of a quantitative and qualitative analysis of the epidemiology of trachoma from an equity perspective to strengthen current efforts to eliminate trachoma in developing countries.

Johns Hopkins University, Baltimore, Maryland: \$75,000 for use by its Bicomberg School of Public Health toward the costs of a study in Tamil Nadu, India, to assess the usefulness of the Early Detection and Prevention System, a computer-based tool for making diagnoses referral and treatment recommendations, and maintaining medical records in putpatient settings where a physician is not available.

London School of Hyglene and Tropical Medicine, University of London, London. England: \$10,000 toward the costs of a meeting on improving the equitable design and implementation of malariacontrol interventions.

Memorial Hospital of Rhode Island, Pawtucket, Rhode Island: \$14,750 for the planning phase of a study on health-care renewal by U.S. physicians using an equity perspective that may serve as a model for similar projects in other countries.

University of Ottawa, Ottawa, Ontario, Cañada: \$50,000 for use by its Centre for Global Health within the Institute of Population Health to develop strategic opportunities to strengthen policy tools for advancing the global health equity agenda.

World Bank, Washington, D.C.: \$89,400 for use by its Division of Population, Health and Nutrition to supplement an earlier grant in support of a collaborative project to improve the population, health and nutrition outcomes of the poor.

TRADING TOBACCO FOR HEALTH

Essential information, Washington, D.C.: \$450,000 for use by its Consumer Project on Technology for core support and for a project to provide technical assistance on

compulsory licensing for patented medical Explorations echnologies in developing countries joint with Global Inclusion).

nternational Development Enterprises, akewood, Colorado: \$176,000 toward he costs of a project that will improve he capacity of its Vietnamese partners to undertake a social marketing tobacco-control program.

International Development Research Centre, Ottawa, Ontario, Canada: \$25,000 or use by its Research for International Tobacco Control program to convene a meeting of donors involved in tobaccocontrol research in developing countries, reld in Ottawa, November 2002.

Johns Hopkins University, Baltimore, Maryland: \$383,430 for use by the institute for Global Tobacco Control in ts Bloomberg School of Public Health loward the costs of a training and echnical-assistance program on tobacco control epidemiology and surveillance in Southeast Asia.

Television Trust for the Environment, London, England: \$67,850 to produce a documentary film on the impact of tobacco on lives and livelihoods in Malawi, thereby Illustrating broader issues of the "value chain" of tobacco production.

Thai Health Promotion Foundation, Bangkok, Thailand: \$514,120 for a small-grants program to support research on surveillance and the economics of tobacco in Southeast Asia.

University of Illinois at Chicago, Chicago, Illinois: \$496,655 for use by its Health Research and Policy Centers oward the costs of a research and. echnical-assistance program on the economics of tobacco use and control n Southeast Asia.

University of Science Malaysia, Pulau Pinang, Malaysia: \$98,865 for use by its National Poison Centre for a meeting on tobacco control in the Southeast Asia region, held in Penang, Malaysia, September 2002.

Women's Media Centre of Cambodia, Phnom Penh, Cambodia: \$154,460 for use by its Media Campaign Department or a public-education effort on the narm associated with tobacco use, particularly among Khmer women, children nd families.

World Health Organization, Geneva, Switzerland: \$321,485 for use by its Country Office in Cambodia to continue o provide technical assistance to government and nongovernmental organizations involved in tobacco control in Cambodia.

oundation-administered project: \$500,000 to begin an exploration on human resources for health.

Foundation-administered project: \$665,000 to assess pharmaceuticalprocurement practices in sub-Saharan Africa and the regulatory environment affecting drug access and quality, particularly for HIV/AIDS.

Foundation-administered project: \$95,320 to design an audit of the supply of and demand for public-health professionals worldwide.

Grantmakers in Health, Washington, D.C. \$45,000 for general support of its efforts to communicate information and generate knowledge about health issues—and, in particular, global health issues-to help grantmakers develop effective grantmaking strategies.

IbnSina, Peshawar, Pakistan: \$100,000 loward the costs of a training program in public health to increase the number of iemale health-care workers in Afghanistan especially in rural communities.

Management Sciences for Health,

Boston, Massachusetts: \$20,000 toward the cost of publishing a book on lessons. earned about community-based health care from around the world that will be distributed in developing countries at no cost.

Merlin USA, Washington, D.C.: \$98,020 for a study to estimate the socioleconomic mpact of Lassa fever in West Africa.

Program for Appropriate Technology In Health, Seattle, Washington: \$174,210 for a meeting and the publication of a report on new and underutilized technologies to reduce maternal mortality in developing countries, to be held at the Bellagio Study and Conference Center, Italy, in 2003.

United Nations Human Settlements Programme, Nairobi, Kenya: \$600,000 to develop a program aimed at providing a secure and supportive environment, including shelter, for HIV/AIDS orphans, especially in sub-Saharan Africa.

World Health Organization, Geneva, Switzerland: \$49,155 toward the costs of launching and disseminating the first World Report on Violence and Health.

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Theme Working Communities	job agreements and set-asides for	development, global awareness and civic participation, that this year will focus on xenophobia, racism and discrimination.
Building Competent Organizations ⁵	Community residents.	Hispanics in Philanthropy, Emoryville, Celifornia: \$400,000 for continued support of its Funders' Collaborative for Strong
CALIFORNIA WORKS FOR BETTER HEALTH	Aspen Institute, Washington, D.C.: \$234,000 toward continued support of	Latino Communities initiative to build organizational capacity among, and a
Asian Resources, Inc., Sacramento, California: \$12,000 for implementation of the California Works for Better Health	an international collaborative seminar with the King's Fund, United Kingdom, entitled, Finding Out What Works: Evaluating	broader funding base for, Latino nonprofit organizations in the United States and selected Latin American countries.
Sacramento Regional Collaborative's English Language Learners Access Pilot Project, designed to increase access to employment-readiness programs for	Community-Based Action for Promoting Positive Outcomes for Individuals, Fámilies and Neighborhoods.	Living Citles, Inc.: The National Community Development Initiative, New York, New York: \$4,000,000 to suppor the second 10-year phase of the National
limited English-proficient residents of targeted neighborhoods.	Bedford Stuyvesant Restoration Corporation, Brooklyn, New York:	Community Development Initiative.
California State University, Fresno, Fresno, California: \$9,285 for use by		New York, New York: \$500,000 for continuec core support.
Its Interdisciplinary Spatial Information Systems Center to plan and begin development of a neighborhood indicator system for poor communities in Fresno, California, to support the work of the California Works for Better Health Fresno	the expansion of its research and technical	National Alliance for Nonprofit Management, Washington, D.C.: \$160,000 in continued support of its project, institute Without Wals, that strengthens managemen of nonprofit organizations nationwide.
Collaborative. Chinatown Service Center, Los Angeles, California: \$12,000 for implementation	Common Ground, New York, New York: \$100,000 toward the creation of a	National Community Building Network, Oakland, California: \$200,000 for continuec core support.
of the California Works for Better Health Los Angeles Regional Collaborative's Pilot Project, in which collaborative members will conduct research on employment-training programs in targeted communities to design a model work-	Replication Unit to provide technical assistance for the replication of its supportive-housing programs in other communities across the United States. Corporation for Supportive Housing, New York, New York: \$100,000 toward	Neighborhood Funders Group, Washington, D.C.: \$20,000 to provide general support of its work highlighting substantive issues to grantmakers working on related issues of concern to low-income communities in the United States.
force development program. Community Services Planning Council, Sacramento, California: \$9,756 to plan and begin development of a neighborhood indicator system for poor communities in Sacramento, California, to support the work of the California Works for Better Health Sacramento Collaborative.	project to develop a private/public funders	One Economy Corporation, Washington, D.C.: \$150,000 toward the costs of a project to improve access to and use of the Internet by Iow-income housing residents in the San Francisco Bay Area to expand their opportunities to enter the work force
Economic Roundtable, Los Angeles, California: \$10,000 for use to plan and begin development of a neighborhood indicator system for poor communities in Los Angeles, California, to support the work of the California Works for Better Health Los Angeles Collaborative.	The Finance Project, Washington, D.C.: \$80,000 in support of its Grantmakers Income Security Taskforce project, a forum for grantmakers to keep abreast of changing public policy, new program implementation, emerging research and current grantmaking strategies.	Progressive Technology Project, Washington, D.C.: \$200,000 toward continued general support for hands-on technical and strategic assistance, training and small grants to community organizing groups so that they can more effectively use information technology to advance their work.
Fresno Center for New Americans, Fresno, California: \$12,000 for implementation of the California Works for Better Health Fresno Regional Collaborative's Pilot Project, in which	Foundation-administered project: \$59,000 toward administrative costs associated with the strategic development of Living Cities, Inc.: The National Community Development Initiative.	Skyscraper Museum, New York, New York: \$80,000 for transitional support to assist in its opening of a permanent home in Lower Manhattan (joint with Creativity & Culture).
collaborative members will provide access to jobs for residents in the city's Empowerment Zone and Renewal Community.	Foundation-administered project: \$75,000 toward the costs of developing a computer program that will allow program officers to easily capture,	Sustained Excellence Alliance Corp., New Iberia, Louisiana: \$75,000 toward the development of its Learning Agenda, a peer learning program that will
Metropolitan Area Advisory Committee on Anti-Poverty of San Diego County,	access and visualize the geographic and issue activities of Working	produce a set of "best practices" to build the effectiveness of the community-

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Metropolitan Area Advisory Committee on Anti-Poverty of San Diego County, Inc., National City, California: \$12,000. for implementation of the California Works for Better Health San Diego Regional Collaborative's Pilot Project, in which collaborative members will conduct targeted regional industry research and

Giobal Kids, Inc., New York, New York: 530,000 toward the costs of its Annual Youth Conference to promote youth

Communities program grantees

to better identify synergies.

Urban Institute, Washington, D.C.: \$150,000 toward continued support of its National Neighborhood Indicators Project.

development lield.

Wheeling Jesuit University. Wheeling, West Virginia \$100,000 for beneral support of its Clifford M. Lewis. S J Appalachian Institute, that will serve as a center of education, research, bolicy analysis and action around issues facing the distressed center of the Appalachian region RACIAL JUSTICE ORGANIZATIONS The Advancement Project, Washington,

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D C \$900,000 for general support of its work on racial-justice innovation and its role as a national resource center for attomeys and community activists

awyers' Committee for Civil Rights. Under Law, Washington, D.C. \$100,000 loward the costs of the Endowment Campaign initiative to address racial discrimination and bring legal resources to the struggle for equal justice, and the Legacy Society Campaign initiative to identify and develop fund-raising echniques for securing endowment funds

Mexican American Legal Defense and Educational Fund, Los Angeles, California \$100.000 in support of its efforts to develop new mechanisms for expanding is funding base

NAACP Legal Defense and Educational Fund, New York, New York \$100,000 in support of its continuing efforts to diversity while maintaining or raising achievement and increase its fund-raising capacity

Native American Rights Fund, Boulder, Colorado \$400,000 for continued peneral support

Public Interest Projects, New York, New York \$1,000,000 in support of its project, the Funders' Collaborative on Racial Justice Innovation, to promote collective efforts by lawyers and local community-based organizations that are using legal tools to improve resource equity and policy outcomes for racially and ethnically marginalized communities

Puerto Rican Legal Defense and Education Fund, New York, New York \$100,000 in support of its efforts to xpand its funding base, and toward its

SCHOOL DISTRICT INFRASTRUCTURES

oting-rights initiatives

Brown University, Providence, Rhode sland \$100,000 for use by its Education liance toward the costs of developing guidelines to assist states in promoting the inclusion of English-language learners n the small learning-community program model being adopted by high schools

California Tomorrow, Oakland, California \$200,000 toward the costs of refining tools for improving the education of immigrant and minority youth, and implementing

strateoles for change at the local, state and national levels

Crossroads School, New York, New York \$50,000 toward the costs of documentahon and dissemination of its strategic planning process, to inform middle school improvement efforts in New York City

Education Law Center, Newark, New Jersey \$89,300 toward the costs of preparing two district case studies and a background paper on the major policy issues surrounding the mplementation of New Jersey's Abbott school-construction program

Foundation-administered project: \$49.700 toward consultant costs to study research and policy development efforts n New York and New Jersey to enhance the design and building of schools in low-income communities

Foundation-administered project: \$65,000 to examine California school-

finance litigation and community-organizing aroups to auide Working Communities' brantmaking in California

Harvard University, Cambridge, Massachusetts \$50,000 for use by its John F Kennedy School of Government

loward the costs of research to determine ways of raising achievement among African-American and Latino students of all students in racially diverse suburban schools of the Minority Student Achievement Network

Learning Leaders, New York, New York \$40,000 toward the costs of an evaluation of the effects of its New York City parentvolunteer program on educational butcomes for low-income children

WORK FORCE DEVELOPMENT MODELS

American Assembly, New York, New York \$75,000 loward the costs of a meeting and related activities to advance the effective use and expansion of work-force intermediaries within the U.S. work-force development system

Bay Area Video Coalition, San Francisco, California \$125,000 to support the continued expansion of technology training for low-income job seekers ocally and to other nonprofit training agencies nationwide

Boston Community Capital, Boston, Massachusetts \$15,000 toward the costs of two annual meetings of the Members Committee of the Boston Community /enture Capital Fund (I that will provide opportunities for Members to learn about the Fund's portfolio investments and capture lessons to be shared broadly in the community-development venturecapital field

Center for Labor and Community Research, Chicago Illinois \$75,129

loward the costs of its Food Chicago niliative that aims to sustain and promote the food manufacturing industry's capacity to retain and create quality jobs or Chicago residents

Cornerstone Assistance Network,

Fort Worth, Texas \$500,000 for continued support of its program aiming to increase he effectiveness of job-training agencies in Fort Worth, Texas

conomic Opportunity Institute, Seattle, Washington \$200,000 toward the costs. of administering the National Transitional Jobs Network, a coalition of independently operated programs and policy centers hat have established and promoted transitional-jobs programs as a means of helping very low-skilled individuals gain access to the supports and training experience necessary to get and keep quality jobs

Fifth Avenue Committee, Brooklyn, New York \$75,000 to support the first year of its pilot project combining employment supports and adult education to increase the earning capacity of low-income south Brooklyn residents

oundation-administered project:

253,500 to strengthen and assess the molementation of a job-training initiative n Boston, Fort Worth and Nashville

Foundation-administered project:

\$75,000 toward the costs of mplementing an initiative to strengthen ob-training agencies in Boston, Fort Worth and Nashville

Foundation-administered project: \$97.000 toward the costs of a

sublication of stories derived from the oundation's employment and workorce development work

initiative for a Competitive Inner City, Boston, Massachusetts \$150,000 for eneral support as it advances the next stage of its strategy and organizational tevelopment

Aanagement Consulting Services,

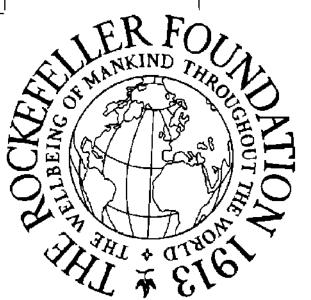
Boston, Massachusetts \$500,000 loward continuing support of its program aimino to increase the effectiveness of job-training agencies in Boston

Neighborhoods Resource Center.

Vashville, Tennessee \$33,334 to support community organizing activities related to employment in low-income neighborhoods of Nashville, Tennessee

lew York City Employment and Training Costition, New York, New York \$50,000 to conduct a series of industry-specific employer roundtables about post-September 11 labor-market needs





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Public/Private Ventures, Philadelphia, Pennsylvania: \$300,000 for continued support of its Working Ventures initiative that analyzes and provides technical assistance to local and state work-force development agencies working with low-income adults and youth.

San Francisco Chamber of Commerce Foundation, San Francisco, California: \$100,000 for continued support of its San Francisco Works project that develops and incubates employer-led job training and advancement programs. in the Bay Area.

Sustainable Jobs Development Corporation, Durham, North Carolina: \$75,000 toward the costs of its Exits and Employees Initiative that aims to develop and share techniques community-development venture-capital funds can use to assure that portfolio company-sale gains are shared with their low-income employees.

Workforce Investment Company, New York City, New York: \$100,000 toward the costs of identifying client organizations and providing program services that support low-income job seekers to gain employment.

YouthBulid USA, Somerville, Massachusetts: \$160,000 in support of its program that develops employment opportunities for its graduates working for Home Depot and other corporate partners. Ana Guerrero

Day labor is a growing phenomenon in Fort Worth, Texas, and other U.S. cities.

Next Generation Leadership

Foundation-administered project: \$172,000 for the costs of the Next Generation Leadership program and its alumni gatherings.

PolicyLink, Qakland, California: \$240,000 in support of the Democracy Toolbox Initiative, a collective project designed by the members of Cohort 4 of the Next Generation Leadership program to develop and apply tools to enhance the capacities of communities to foster sustainable community and leadership development.

Participants in Cohort 5 of the Program:

Karen Lynn Bohike Seattle, Washington

Miguel Martinez Bustos San Francisco, California

Elizabeth Harriet Canner Somerville, Massachusetts

R. Arrington Chambliss Roslindale, Massachusetts

Tarig H. Cheema Burr Ridge, Illinois

Cynthia Chung-Mi Choi os Angeles, California

Joseph Wayne Daniels Jr. Silver Spring, Maryland

La Crescenta, California

Kelth Michael Harper Silver Spring, Maryland

.isa Masako Hasegawa Washington, D.C.

Raj Kumar Jayadev San Jose, California

Robert Springer Kallen Chicago, Illinois

Jacqueline S. Kaplen Chicago, Illinois

Sonya Michelle Lopez San Marcos, Texas

Sean Patrick Maloney New York, New York

Dianthe Dawn Martinez West Orange, New Jersey

Gepsie Morisset Metellus Miami Shores, Florida

Hilary Anne Morgan Anchorage, Alaska

David L. Muhammad Richmond, California

Peter Damian O'Driscoli Takoma Park, Maryland

Randal D. Pinkett Somerset, New Jersey

Sofia Quintero Bronx, New York

John Palacio Rodriguez Rochester, New York





Gall Small

.ame Deer, Montana

William Allen Stanczykiewicz Indianapolis, Indiana

Setting a More Equitable Public Agenda

CHANGING THE URBAN PARADIGM

Municipal Art Society of New York, New York, New York \$75,000 in support of Imagine New York, Giving Voice to the People's Visions," a project to encourage greater New York City metropolitan-area residents to share their ideas and visions for rebuilding and memorializing the World Trade Center site, and to revitalize their own communities

Municipal Art Society of New York.

New York, New York \$62,385 toward the costs of its Creative Cities conference o explore ways to put culture and communities at the heart of a new paradigm and encourage creativity in all ts forms in ways that will become intrinsic and integral to the daily life of New York. City in the wake of the September 11 attack on the World Trade Center

Pratt Institute, Brooklyn, New York \$75,000 for use by its Center for Community and Environmental Development toward the costs of a series of town meetings to promote public discussion of New York City's rebuilding efforts in the wake of the September 11 atlack on the World Trade Center

Tides Center, San Francisco, California \$100,000 for use by its project, the Leadership Learning Community, to institutionalize and expand the scope of its activities designed to strengthen leadership-development programs in the United States

EDUCATION FINANCE

Campaign for Fiscal Equity, New York, New York. \$250,000 toward the costs of its civic engagement project promoting public discussion and engagement to inform efforts to reform New York State's school-finance system

Center on Education Policy,

Washington, D.C \$75,000 toward the cost of developing a model connecting state school finance to an effort to monitor state ugh-school examinations

Community Partners, Los Angeles, California \$95,900 in support of its New Schools Better Neighborhoods project to produce a monograph detailing California's school facilities reform experience, to inform school finance-reform dialogue among school districts, state policymakers and community leaders

Fiscal Policy Institute, Latham, New York Public Policy and Education Fund of \$35,000 toward the costs of preparation and dissemination of an analysis of the economic and tax distributional impact of lair school funding in New York State

Foundation-administered project:

\$25,000 toward the costs of developing a plan for evaluating Working Communities' school-finance grantmaking in New York State

Foundation-administered project:

\$50,000 in support of research on the capacity of school systems in New Jersey and New York State, including New York City, to create new school facilities that meet the needs of students

Fund for Independent Publishing/The

New Press, New York, New York \$69.500 toward the costs of publishing and marketing a book by Peter Schrag entitled, "Long Enough to Reach the Ground Adequacy in the Fight for Better Schools "

Louis Harris, Key West, Florida \$280,000 n support of a study on the impact of state level school-finance systems on education for the least-advantaged students in California. New York and Wisconsin

Institute for Wisconsin's Future,

Milwaukee, Wisconsin \$300,000 toward the costs of the Wisconsin School Funding Project to improve educational opportunities for Wisconsin children in low- and moderate-income communities by increasing school resources and building parent involvement in education policy decision making

Institute for Wisconsin's Future,

Milwaukee, Wisconsin[,] \$55,000 toward the costs of establishing a National School investment Network of parents, community organizations and policy proups as a forum for state-based and national groups to share information and organizing strategies on, and build capacity for, reforming state-level school-finance systems

MOUSE, New York, New York \$75 073 toward the costs of design, dissemination and analysis of the MOUSE TechSource Survey to document technology practices and trends in New York City schools

National Writing Project, Berkeley, California \$79,143 toward the costs of research on the role of teacher leadership n improving instruction in writing and iteracy, and to identify the policies and practices that build teacher capacity to sustain school change

New York Community Trust, New York, New York \$150,000 in support of the Donors' Education Collaborative, a joint grantmaking effort of New York-based public-education funders, to promote proad public engagement in systemic public-school reform in New York City

New York, Albany, New York \$500,000 in support of its project, Alliance for Quality Education, to expand and conduct public education, research, policy analysis and leadership training to contribute to New York State's school finance-reform efforts

Public School Forum of North Carolina, Raleigh, North Carolina \$116,835 in support of the Columbia Group a collaborative of Southern leaders in school reform, to advance a regional initiative that identifies effective school-funding systems to better meet the needs of atisk and new English-language learners n the southeastern United States

Teaching Quality Foundation of the

Southeast, Chapel Hill, North Carolina \$90,000 for use by its Southeast Center or Teaching Quality in developing a national model for states to assess the progress of teacher and teaching-quality forts, especially in low-income urban. and rural communities

University of California, Davis, Davis,

California: \$50,000 for use by its California Center for Community-School Partnerships oward the costs of a study of the impact of the California Healthy Start Initiative on California s high-poverty, high-minority, high-performing schools

University of Missouri-Columbia,

Columbia, Missouri \$90,668 toward the costs of a research series, in Pursuit of Better Schools What the Research Says, aimed at refocusing public attention on the needs of children and schools in mpoverished communities

POLICY ANALYSIS AND ADVOCACY

AFL-CIO Working for America Institute, Inc., Washington, D.C. \$150,000 toward the costs of a survey of current practice. among the 50 largest Workforce Investmen Boards in the country, targeted technical assistance to labor representatives on the Workforce Investment Boards in California, New York Illinois and Texas and a primer on the skills labor representatives need to advocate effectively for improved work-force development practices

American Civil Liberties Union

Foundation, New York, New York \$275,000 loward the costs of creating a Security and Civil Liberties Task Force to conduct broad public outreach aimed at protecting rights and civil liberties. of Americans, most particularly Arab-Americans and Muslims, including those detained, in the wake of the September 11 errorists attacks.

Amnesty International, New York, New York: \$100,000 in support of its crisis response work in the wake of the September 11 attack on the World Trade Center





Gall Small

Lame Deer, Montana

William Allen Stanczykiewicz Indianapolis, Indiana

Setting a More Equitable Public Agenda

CHANGING THE URBAN PARADIGM

Municipal Art Society of New York. New York, New York: \$75,000 in support of "Imagine New York: Giving Voice to the People's Visions," a project to encourage greater New York City metropolitan-area residents to share their ideas and visions for rebuilding and memorializing the World Trade Center site, and to revitalize their own communities

Municipal Art Society of New York,

New York, New York: \$62,385 toward the costs of its Creative Cities conference to explore ways to put culture and communities at the heart of a new paradigm and encourage creativity in all its forms in ways that will become intrinsic and integral to the daily life of New York City in the wake of the September 11 attack on the World Trade Center.

Pratt Institute, Brooklyn, New York: \$75,000 for use by its Center for Community toward the costs of the Wisconsin School and Environmental Development toward the costs of a series of town meetings to promote public discussion of New York City's rebuilding efforts in the wake of the September 11 attack on the World Trade Center.

Tides Center, San Francisco, California: \$100,000 for use by its project, the eadership Learning Community, to institutionalize and expand the scope of its activities designed to strengthen eadership-development programs in the United States.

EDUCATION FINANCE

Campaign for Fiscal Equity, New York, New York: \$250,000 toward the costs of its civic-engagement project promoting public discussion and engagement toinform efforts to reform New York State's school-finance system.

Center on Education Policy, Washington, D.C.: \$75,000 toward the cost of developing a model connecting state school finance to an effort to monitor state high-school examinations.

Community Partners, Los Angeles, California: \$95,900 in support of its New Schools Better Neighborhoods project to produce a monograph detailing California's New York: \$150,000 in support of the school facilities reform experience, to inform school finance-reform dialogue among school districts, state policymakers and community leaders.

Fiscal Policy Institute, Latham, New York: \$35,000 toward the costs of preparation and dissemination of an analysis of the economic and tax distributional impact of fair school funding in New York State.

Foundation-administered project: \$25,000 toward the costs of developing a plan for evaluating Working Communities' school-finance grantmaking in New York State.

Foundation-administered project: \$50,000 in support of research on the capacity of school systems in New Jersey and New York State, including New York City, to create new school facilities that meet the needs of students.

Fund for Independent Publishing/The New Press, New York, New York: \$69,500 toward the costs of publishing and marketing a book by Peter Schrag entitled, 'Long Enough to Reach the Ground: Adequacy in the Fight for Better Schools."

Louis Harris, Key West, Florida: \$280,000 in support of a study on the impact of state-level school-finance systems on education for the least-advantaged students in California. New York and Wisconsin.

Institute for Wisconsin's Future. Milwaukee, Wisconsin: \$300,000 Funding Project to improve educational opportunities for Wisconsin children in low- and moderate-income communities by increasing school resources and building parent involvement in education policy decision making.

Institute for Wisconsin's Future, Milwaukee, Wisconsin: \$55,000 toward the costs of establishing a National School Investment Network of parents, community organizations and policy groups as a forum for state-based and national groups to share information and organizing strategies on, and build capacity for, reforming state-level school-finance systems.

MOUSE, New York, New York: \$75,073 toward the costs of design, dissemination and analysis of the MOUSE TechSource Survey to document technology practices and trends in New York City schools.

National Writing Project, Berkeley, California: \$79,143 toward the costs of research on the role of teacher leadership in improving instruction in writing and literacy, and to identify the policies and practices that build teacher capacity to sustain school change.

New York Community Trust, New York, Donors' Education Collaborative, a joint grantmaking effort of New York-based public-education funders, to promote broad public engagement in systemic

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Public Policy and Education Fund of

New York, Albany, New York: \$500,000 in support of its project, Alliance for Quality Education, to expand and conduct public education, research, policy analysis and eadership training to contribute to New York State's school finance-reform efforts.

Public School Forum of North Carolina.

Raleigh, North Carolina: \$116,835 in support of the Columbia Group, a collaborative of Southern leaders in schoo reform, to advance a regional initiative that identifies effective school-funding systems to better meet the needs of atrisk and new English-language learners in the southeastern United States.

Teaching Quality Foundation of the

Southeast, Chapel Hill, North Carolina: \$90,000 for use by its Southeast Center for Teaching Quality in developing a national model for states to assess the progress of teacher and teaching-quality efforts, especially in low-income urban and rural communities.

University of California, Davis, Davis, California: \$50,000 for use by its California Center for Community-School Partnerships toward the costs of a study of the impact of the California Healthy Start Initiative on California's high-poverty, high-minority, high-performing schools.

University of Missouri-Columbia, Columbia, Missouri: \$90,668 toward the costs of a research series, In Pursuit of Better Schools: What the Research Says, aimed at refocusing public attention on the needs of children and schools in impoverished communities.



AFL-CIO Working for America Institute, Inc., Washington, D.C.: \$150,000 toward the costs of a survey of current practice among the 50 largest Workforce Investmer Boards in the country; targeted technical assistance to labor representatives on the Workforce Investment Boards in California. New York, Illinois and Texas; and a primer on the skills labor representatives need to advocate effectively for improved work-force development practices.

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Amnesty International, New York, New York \$100,000 in support of its crisis response work in the wake of the September 11 attack on the World Trade Center.









Photograph Excised Here



The 2000 Census revealed that as many foreign-born live in the United States today than at any time since the early 1900s. Nearly half of recent immigrants to the United States live below the poverty level.

Aslan American Federation of New York, New York, New York. \$50 000 in support of research documenting the economic impact of the September 11 tragedy on Manhattan's Chinatown community and use of the findings as an objective framework for engaging Chinatown s diverse interests in a series of community dialogues on how to rebuild

Asian Pacific American Legal Center of Southern California, Los Angeles, California \$175 000 for general support of its mission to provide multilingual culturally sensitive legal services, education and civil-rights support to southern California s growing Asian Pacific American population

Benton Foundation, Washington D C \$50,000 for use by its Connect for Kids project for an initiative, Effective Communications for Improving Welfare Policies, that aims to strengthen the individual and collective media capacity of groups working to improve income supports to the poor

California Budget Project, Sacramento, California \$60,000 in support of policy analysis and dissemination outreach and public education, and working-group participation related to improving California s unemployment-insurance system so that it provides better support to part-time and low-wage workers

Center for Community Change, Washington, D.C \$150,000 in support of its project, the National Campaign for Uobs and Income Support, an alliance of community-based organizations and networks working to develop a proactive anti-poverty policy agenda

Center for Community Change,

Washington, D.C. \$50,000 in support of its project, the Coalition on Human Needs for an initiative that will build the media skills of its members and coordinate their outreach efforts

Center on Budget and Policy Priorities, Washington D.C. \$50,000 in support of research and policy analysis examining the effectiveness of the U.S. unemploymentinsurance system in meeting the needs of low-income and temporary workers

CEOs for Cities, Boston Massachusetts \$250 000 loward general support of its mission to keep cities economically competitive and elevate urban issues in national policy debates

Children's Action Alliance, Inc., Phoenix, Arizona \$52,400 in support of an initiative to educate business leaders, the media and the public about the unemploymentinsurance system in Arizona with an aim toward improving access to benefits for low-wage workers

Citizens Union Foundation, New York New York \$100,000 to provide information to New York City residents and members of the City Council regarding the process and options for rebuilding lower Manhattar in the wake of the September 11 attack on the World Trade Center

City Limits Community Information

Service Inc., New York, New York \$90 000 for use by its project the Center for an Urban Future toward the costs of an analysis of New York City's economy in order to identify ways to improve city life for low- and moderate-income residents.

Coalition for Humane Immigrant Rights of Los Angeles, Los Angeles California \$100 000 in support of its National Day Laborer Organizing Network project a collaboration of 18 local community-based organizations whose aim is to improve the lives and working conditions of day laborers in the United States

Collins Center for Public Policy, Miami, Florida \$50,000 for use by its project the Funders' Network for Smart Growth and Livable Communities, to host a national conference co-organized with PolicyLink on social justice equitable development and smart growth

Collins Center for Public Policy, Miami, Florida \$50,000 in support of its project, the Funders' Network for Smart Growth and Livable Communities

Colorado Center on Law and Policy, Denver, Colorado \$35,000 in support of its project the Colorado Fiscal Policy Initiative, to fund coalition building, policy analysis and media outreach that aim to ensure the Colorado unemploymentinsurance system effectively serves larger numbers of low-wage workers

Economic Policy Institute,

Washington, D.C \$100,000 in support of research, policy analysis and dissemination relating to the effectiveness of the U.S unemployment insurance system in meeting the needs of low-income and temporary workers

Florida Legal Services, Inc.,

Tallahassee, Florida \$75,000 in support of administrative advocacy, coalition building and community education and outreach to improve Florida s unemployment-insurance system so that it provides better support to part time and low wage workers

Foundation-administered project: \$100 000 to develop assessment benchmarks with and for the national policy centers that are participants in the Foundation's Economic Resilience strategy in order to more objectively evaluate progress toward the fundingstrategy goal of strengthening the advocacy infrastructure in the United States

Foundation-administered project

\$100 000 to support a series of convenings of the leadership of national policy centers in order to promote a more effective and coordinated advocacy infrastructure in the United States

Foundation-administered project. \$43,000 toward the costs of a meeting of the Foundation's Working Communities grantees in New York City to help the Foundation develop a comprehensive policy-advocacy agenda

Greater Boston Legal Services, Inc., Boston, Massachusetts \$100 000 in support of policy analysis and dissemination outreach and public education and working group participation related to improving Massachusetts' unemployment-insurance system so that it provides better support to part-time and low wage workers

Greater New York Labor-Religion Coalition, Inc., New York New York \$25,000 for support of its efforts to encourage local clergy and congregations to engage with and advocate for ow-wage workers particularly those dislocated in the wake of the September 11 terrorist attacks

Institute for Public Policy Research, London England \$44.827 toward the costs of a conference, held at the Bellagio Study and Conference Center, Italy, oringing together policymakers from Europe Australia and the United States to explore public policy challenges facing democratic governments in the 21st century

Institute on Taxation and Economic Policy, Washington, D C \$450,000 for general support of its ongoing analysis of lederal and state tax-reform proposals

Local Initiative Support, Training, and Education Network, Washington, D C \$100 000 in general support of its work to develop youth leadership and to identify, prepare and support a new generation of indigenous grassroots leaders in poor urban communities of color

Los Angeles Alliance for a New

Economy, Los Angeles California \$450 000 loward the costs of the California Public Subsidies Project a joint initiative being undertaken with the Center on Policy Initiatives, the East Bay Alliance for a Sustainable Economy and Working Partners USA—that aims to increase the transparency of economic-development projects and to ensure public subsidies result in broad-based community benefits

Maryland Association of Nonprofit Organizations, Inc., Baltimore Maryland \$60,000 in support of its project the Maryland Budget and Tax Policy Institute for research and policy analysis to improve Maryland's unemploymentinsurance system so that it provides adequate support to larger numbers of low-wage workers

Miami Workers Center, Inc., Miami, Florida \$100,000 in support of outreach, leadership development and organizing among welfare recipients and low wage workers so that they can advocate for better training public assistance and support services

National Center on Poverty Law, Chicago Illinois \$75,000 in support of

research and policy analysis coalition building monitoring and outreach related to improving Illinois unemployment insurance system so that it provides better support to part time and low wage workers

National Employment Law Project, Inc., New York New York \$99,000 to support travel costs for participants in its national conference on unemployment insurance reform and a pooled fund for community organizing and outreach in New York City managed collaboratively by the New York Unemployment Project Community Voices Heard, New York Jobs with Justice and the New York Immigration Coalitron

National Employment Law Project, Inc., New York New York \$225 000 in Support of advocacy to improve federal unemployment assistance a report analyzing gaps in New York s unemployment-insurance system and efforts to educate the unemployed in New York about their rights to assistance National Immigration Law Center,

Oakland California \$200,000 to coordinate and service the Low Wage Immigrant Worker Coalition and conduct policy analysis aimed at strengthening protections for workers vulnerable because of their citizenship/immigration status and expanding work-force development programs that improve their earnings

National Interfaith Committee for Worke Justice, Chicago Illinois \$175 000 in support of its efforts to strengthen the voice capacity and effectiveness of its 60 ocal affiliates and to develop eight local interfaith Worker Centers that will serve as models of how to provide assistance to ow-wage immigrant workers

New Hope Project, Milwaukee Wisconsin \$150 000 to support its program aiming to advance work-based anti-poverty programs both in Wisconsin and nationwide

New Mexico Advocates for Children & Families, Inc., Albuquerque New Mexico \$80,000 in support of research policy analysis coalition building public education and outreach to ensure that New Mexico s unemployment insurance system provides adequate support to larger numbers of low-wage workers

New York Jobs with Justice, Inc., New York, New York \$150,000 in support of its New York City Rebuilding and Economic Development Priorities Project that seeks to engage a diverse set of community institutions in the process of examining economic-development options in New York City

New York University, New York New York \$400 000 for use by its Center for Excellence in New York City Governance toward the costs of Listening to the City a project providing people who live and work in the New York metropolitan region the opportunity to participate in public discussions about rebuilding downtown New York in the wake of the September 11 attack on the World Trade Center

North Carolina Justice and Community Development Center, Raleigh North Carolina \$75,000 in support of research and policy analysis, coalition building and community outreach to ensure that North Carolina's unemployment insurance system provides adequate support to larger numbers of low wage workers

PolicyLink, Oakland, California \$1 500,000 to provide continued general support of its mission to lift and advance from the wisdom, voice and experience of local constituencies, a new generation of policies that achieve social and economic equity expand opportunity and build strong organized communities





SEIV Education and Support Fund, Washington, D.C. \$50,000 in support of is Public Pension Fund Trustee Corporate Accountability Project, which seeks to identify and recommend qualified candidates who are committed to promoting responsible corporate behavior to the boards of public pension funds

Southern Echo, Jackson, Mississippi \$175,000 for general support of its efforts to increase democratic participation in the Action, Ltd., New York, New York southern region of the United State

Surface Transportation Policy Project, Washington, D.C. \$200,000 in support of its project, the Alliance for a New Transportation Charter, to develop ransportation policies that serve poor urban neighborhoods

William C. Velasquez Institute, Los Angeles, California \$75,000 toward a longitudinal analysis of U.S. Censu data over the last 30 years to provide a comprehensive profile of the social mobility of Latinos in the United States, and to examine whether there is orgoing eed within U.S.-Latino communities. for Voting Rights Act protections to ensure meaningful democratic participatio by Latino groups

William J. Brennan Jr. Center for Justice, New York, New York \$150,000 to document gaps and shortcomings. in the New York City unemployment nsurance benefit program and develop a comprehensive study of the informal. economy in New York City in which ow-wage workers live out their careers

Women's Policy Education Fund, Altanta, Georgia \$75,000 in support of policy analysis and dissemination, outreach and public education, and working group participation related to improving Georgia's unemployment-insurance system so that it provides better support o part-time and low-wage workers

RACE, POLICY AND DEMOCRACY

North Carolina \$600,000 for targeted capacity-building support in lund development, communications and technology, and establishment of an operating reserve to support its capacity to promote productive community discourse and engagement on issues of race, policy and democracy in Greensboro, North Carolina

Chicago Lawyers' Committee for Civil Rights Under Law, Chicago, Illinois \$75,000 for continued support of its Economic Opportunity Program to increase the capacity of individuals to access and to sustain high-quality employment

Conservation Law Foundation, Boston, Massachusetts \$300,000 for use by its Greater Boston Institute in its ongoing efforts to encourage transparency, accountability and informed public participation in urban planning-andlevelopment processes in the city of Boston, and for convenings of the Project Forum on Race and Democracy

Demos: A Network for Ideas and \$250,000 in support of its Democracy Program that seeks better enforcement of voting laws and practices, better pampaign-financing systems and expanded demographic, political, social and political participation among eligible citizens, economic processes on the changing he disenfranchised and noncitizens

Foundation-administered project: \$226,000 toward the costs of a reflective

documentation process to capture lessons learned by the Foundation's Race, Policy and Democracy grantees in building participatory policy processes to address issues of racial inequity in five sites

Foundation-administered project: \$95,000 toward the costs of underwriting production of a report on lessons learned in five Foundation-funded sites that examine the way race affects policy issues

Graduate School and University Center, City University of New York, New York, New York \$100,000 for use by its Howard Samuels State Management and Policy Center toward the costs of its Greater New York City Project, an effort to map impacts of, frame issues resulting from and disseminate information about rebuilding processes in the wake of the September 11 attacks, so as to stimulate within marginalized communities meaningful participation in the process

Harvard University, Cambridge, Massachusetts \$100,000 toward general operating costs of the John F Kennedy School of Government's Harvard Project on American Indian Economic Development and the Project's awards program honoring contributions in Beloved Community Center, Greensboro, the governance of American Indian Nations

Institute for Democracy Studies, New York, New York \$50,000 in support of its efforts to inform the debate around diversity through collection and dissemination of research and analysis and through public engagement on the benefits of inclusive public policies and the risks posed by curtailing of affirmative action

Institute of Development Studies, Brighton, England \$100,000 for use by its Development Research Centre on Citizenship toward the cost of including Northern participants in its international consortium to research and explore the issues of citizenship, participation and accountability

Massachusetts institute of Technology.

Cambridge, Massachusetts \$100,000 for use by its Center for Reflective Community Practice toward general operating expenses of its work to build democracy by focusing on the relationship between reflective practice, community development, social change and technology

University of Texas at Austin, Austin, Texas \$400,000 for use by its Center for African and African-American Studies for the Diasporic Racisms project, a new area of racial analysis and activist scholarship regarding the impact of transnational character of race relations in the United States, especially as they impact black, atino and indigenous peoples

WORK AND ECONOMIC OPPORTUNITY

AFL-CIO Center for Working Capital, Washington, D.C \$250,000 for general

support of its work to educate the stewards of pension funds about how to encourage socially responsible investing and good corporate governance

Basic Income European Network,

Seneva, Switzerland \$30,000 loward the costs of its annual international conference, which this year is focusing on Income Security as a Right

Center for Economic and Policy Research, Washington, D.C \$132,300 to develop trend data on the incidence of contingent work, job tenure and mobility, and off-hour (non-standard) work schedules gathered from four Bureau of Labor Statistics Current Population Survey supplements, and to produce timely analyses of this data in 2003 and 2004

Center for Economic and Policy Research, Washington, D.C. \$175,000 n support of research on job quality, economic mobility and work interruptions among low-wage women workers utilizing a uniquely rich longitudinal national data set, the Survey of Income and Program Participation, and dissemination of the esearch findings to policymakers

Chicago Jobs Council, Chicago, Illinois \$40,000 loward the costs of a report that analyzes public funding and performance measures for work-force development services in Illinois

Columbia University, New York, New York \$31,500 for use by its Graduate School of Business' Research Initiative on Social Entrepreneurship oward the costs of the publication of survey of venture-capital investors seeking social, as well as financial, eturns from their investments



Community Development Venture Capital Alliance, New York New York \$195 000 toward the costs of research and preparations for a conference at the Foundation's Bellagio Study and Confer ence Center, Italy in 2003, on promoting a global field of developmental venture capital focused on bettering the lives of low income populations throughout the world

Cornell University, Ithaca, New York \$60,000 for use by its School of Industrial and Labor Relations. Program on Employment and Disability toward the costs of producing a background paper for and covering the costs of nongovernmental participants attending a 2003 meeting to share information with peers in the United Kingdom about successful U.S. policies and programs aimed at assisting disabled people to gain employment

Financial Markets Center, Philomont, Virginia \$175 000 for general support of its research policy analysis and public education efforts designed to make the operation of the Federal Reserve system more transparent

Foundation-administered project: \$134 400 toward the costs of reports that analyze public funding and performance measures for work force development services in New York Texas Tennessee and Florida

Foundation-administered project: \$100,000 toward the costs of developing and implementing a communications strategy for the release of a set of industry case studies funded under the Future of Work program Work program \$200,000 toward the costs of analyand planning for a second phase o Neighborhood Jobs Initiative Near Northside Partners Council, Fort Worth, Texas \$225,000 in supp its resident involved jobs-developm

Foundation-administered project: \$65 000 toward the costs of a conference that will bring together Ford and Rockefeller foundation grantees to compare and examine strategies for improving the condition of less-skilled workers in temporary and unregulated work situations

Institute of Development Studies, Brighton, England \$198 156 to develop a publication that will help to popularize

global value chain analysis and demonstrate its utility for researchers activists and policymakers concerned with equitable development

New America Foundation,

Washington D.C \$50,000 to develop a book that will demonstrate the structural vulnerabilities in the way global supply chains currently operate

Urban Institute, Washington D C \$70 153 in support of its project the Reentry Roundtable, toward a meeting to explore the relationship between work crime reduction and the successful reintegration of inmates after their release from prison

Testing Innovations

EDUCATION INITIATIVES

CityKids Foundation, New York, New York \$50 000 in support of its BridgeBuilder Initiative which provides skills training and leadership development for New York City youth in response to an increase in violence, racial prejudice and substance abuse observed among young people in the wake of the September 11 attack on the World Trade Center

Haan Foundation for Children,

San Francisco California \$50 579 toward the costs of two planning and design meetings for the Power4Kids Initiative a four year study designed to provide scientific evidence to policymakers and education communities about the most effective pathways for teaching children to read

RAND Corporation, Santa Monica California \$500,000 in support of an evaluation of Teachers for a New Era, a foundation collaborative designed to improve the quality of teacher education in the United States

JOBS INITIATIVES

Manpower Demonstration Research Corporation, New York New York \$300,000 toward the costs of analysis and planning for a second phase of the Neighborhood Jobs initiative

Near Northside Partners Council, Fort Worth, Texas \$225,000 in support of its resident involved jobs-development program that partners with local public agencies seeking to improve comprehensive social services for the Near Northside community in Fort Worth Texas

Explorations and Other Grants

The American Prospect, Washington, D.C. \$100,000 in support of an issue of the American Prospect magazine dedicated to transnational labor issues in the Americas and Canbbean that will help to promote public discussion and understanding of these issues among policymakers and academics

Bronx Foundation for Education and the Arts, Bronx New York \$100,000 for use by its project, the Bronx Charter School for the Arts, toward the costs of design and implementation of a model elementary school arts-education program in New York City (joint with Creativity & Culture)

Citizens Budget Commission, Inc., New York, New York \$20 000 in support of a conference of elected officials civic, business community and union leaders, social-service providers and the media to discuss how New York City should reduce its budget gap Colonial Williamsburg Foundation, Williamsburg Virginia \$100,000 for support of the research and design of interpretive programs at the histonc Williamsburg site that emphasize the role of American Indians in Colonial Virginia

Earned Asset Resource Network,

San Francisco California \$75,000 loward the costs of refining and implementing a service-delivery model for establishing widespread provision of Individual Development Accounts for low income people while enhancing community social capital formation in San Francisco's Mission District and Bayview/Hunter's Point neighborhoods

Economic Policy Institute,

Washington D.C \$50,000 for a research project examining the structure diversity and functioning of worker centers in the United States

Foundation-administered project

\$122 891 toward the costs of a series of program-related meetings in different target cities that will bring together clusters of Working Communities program grantees to discuss goals and strategies

Foundation-administered project. \$25,000 toward the costs of a survey and analysis of community-reinvestment issues across the United States

Foundation-administered project:

\$250 000 toward the costs of formative ongoing assessments of several of the Working Communities programs clusters of policy and advocacy work

Jobs with Justice Education Fund,

Washington D.C \$75,000 in support of its project, Celebrating Economic Justice Arts and Culture in Organizing that aims to use arts and culture to reach out to new constituencies develop creative political education and communication tools and better document and celebrate advances in the work

Sierre Health Foundation, Sacramento California \$25 000 in support of a national conference to examine the role of community building in health improvement

Unite for Dignity, Miami Florida \$55,000 to support leadership training of female workers from the Caribbean and Latin America and for a community radio program on public affairs that affects the immigrant community in Miami (joint with Communication for Social Change)

Worker Rights Consortium, Inc., Washington, D.C. \$85,000 in support of a project that will test the effectiveness of using codes of conduct and local monitoring in Mexican apparel factories to leverage U.S. consumer opinion to improve the labor conditions of workers nationally and regionally as well as locally



Cross-Theme

Global Inclusion

Science and Society for the Poor

GLOBAL DIALOGUES ON

PLANT BIOTECHNOLOGY

Advocates Coalition for Development and Environment, Kampala Uganda \$19 400 toward the costs of an East Africa regional workshop that will educate scientists lawyers and legislators about a liability and redress regime under the Cartagena Protocol on Biosafety

Center for Science in the Public Interest Washington D.C \$280,000 toward the costs of a project to broaden the public debate on genetic engineering in agriculture equitable licensing arrangements for encourage improved regulations in biotechnology and increase international participation in policymaking on biotechnology issues

Consumer Federation of America Foundation, Washington D.C \$150,000

toward the costs of research and analysis on domestic regulation and international trade issues related to agricultural biotechnology

Foundation-administered project:

6900 000 for the costs of strategic advice from consultants and of an intranet service in support of the Global Dialogues on Plant Foundation-administered project: Biotechnology (joint with Food Security)

Foundation-administered project:

\$240,700 toward the costs of a conference on the Foundation s Global Dialogues on Plant Biotechnology program and the future of agricultural biotechnology, and for the development and production of a related CD ROM

KCTS Television, Seattle Washington \$357 478 toward the costs of a television documentary about world food security and promising developments in the effort to end hunger (joint with Food Security)

Syracuse University, Syracuse, New York \$100,000 for use by its Gene Media Forum for the costs of an international conference on genetically modified foods and food security, with a focus on sub Saharan Africa. to be held in New York City spring 2003.

INTELLECTUAL PROPERTY RIGHTS

American Association for the Advancement of Science, Washington D C \$600 000 toward the costs of a project on intellectual property policy that

seeks to expand the public domain of information emphasize fairness in access to the benefits of science and encourage participation in policy deliberations (joint with Health Equity)

Britain Yearly Meeting, London England \$150 000 toward the costs of a program to support developing-country strategy development regarding intellectual property policies particularly with respect to public health traditional knowledge and food security

Center for the Application of Molecular **Biology to International Agriculture,** Canberra Australia \$900,000 toward the costs of the CAMBIA Intellectual Property Resource a Web based provider of patent Queen Mary Intellectual Property Research information related to the agricultural and health sciences designed (1) to increase the capacity of public-sector and small- to inetworks of intellectual property scholars. medium sized private sector organizations and experts in developing countries to develop strategies that address

intellectual-property issues relevant to biotechnology in international agricultural and health research (2) to foster fair and intellectual property and (3) to assist in bringing the benefits of these arrangements to resource poor communities (joint with Food Security and Health Equity) Society for the Study of Myth and

Consumers Union, Yonkers New York \$130 000 toward the costs of a project to ncrease the engagement of EU and US. consumer interests in fostering a fairer system of managing intellectual property in international and bilateral trade agreements and regimes in order to improve access to lifesaving medicines in developing countries

\$100 000 for commissioned research mapping documents and logistical support to inform the Foundation s work on intellectual property rights (joint with Creativity & Culture)

Foundation-administered project: \$195 800 for a series of meetings service arrangements and/or consultancies to inform the Foundation slongoing work on intellectual property policy

International Centre for Trade and Sustainable Development, Geneva Switzerland \$90,000 toward the costs of a conference on strengthening developing country leadership on intellectual property policy one in a series of Frati global dialogues on intellectual property, held at the Bellacio Study and Conference Center Bellagio Italy November 2002

International Plant Genetic Resources Institute, Rome Italy \$400,000 toward the costs of an initiative to strengthen the capacity of developing countries to develop comprehensive national genetic resource policy frameworks (joint with Food Security)

International South Group Network,

Quezon City, Philippines \$300,000 towarc the costs of its Southern and Eastern Africa Trade Information and Negotiations Initiative for projects to strengthen the ability of African officials to negotiate on trade and development issues, and to develop research and teaching capacity in trade policy in African institutions

Queen Mary, University of London, London England \$123 200 for use by its Institute for the costs of a pilot study on the feasibility of establishing policy

Resources for the Future, Washington DC \$10 000 toward the costs of attendance of international participants at workshop on the impact of the U.S. patent system on developing-country access to biotechnology, held at Stanford University October 2002

Tradition, New York New York \$70,000 for the costs of a conference on issues of intellectual property relating to indigenous. people, one in a series of Frati global dialogues on intellectual property at the Bellagio Study and Conference Center, Italy November 2002

South Centre, Switzerland \$127 900 for a study on how developing countries region. markets can take advantage of flexibility under international trade agreements to improve access to essential medicines.

SCIENCE IN THE PUBLIC INTEREST

FORO Nacional/Internacional, Lima Peru \$93 000 toward the costs of a publication that will contribute to a better understanding of the role that scientific and technological knowledge plays in development

Foundation-administered project.

\$200 000 for program-development activities for a strategy on knowledge and innovation for development

Harvard University, Cambridge Massachusetts \$450,000 toward the costs of research and training for high-levil decision makers from developing countrie to strengthen the capacity of those countries to integrate science and technology into national development policy

International Council for Science,

Paris France \$30,000 toward the costs of its sessions for the Forum on Science Technology and innovation for Sustainable Development conducted in conjuction wit the World Summit for Sustainable Develop ment, held in Johannesburg South Africa, August 27 to September 1, 2002

SciDev.Nat, London, England: \$300,000 for general support of its mission of providing a free-access Internet site offering original reporting and sciencejournal articles for policymakers, scientists and others in developing countries

Sustainable Sciences Institute, San Francisco, California: \$190,600 toward the costs of "The Biopolitics of Risk: Technology, Globalization and Poverty," a research project on risk dilemmas and how they adversely impact marginalized communities in the context of globalization.

Policy Issues and Analyses

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The Aspen institute, Washington, D.C.: \$400,000 toward the costs of its Congressional Program, an initiative to promote leadership in the U.S. Congress by providing lawmakers with a deeper understanding of critical issues.

The Aspen Institute, Washington, D.C.: \$100,000 loward the costs of its project, the Ethical Globalization Initiative, aimed at integrating human rights norms and standards into ongoing efforts to spread the benefits of a global economy throughout the world.

Carter Center, Atlanta, Georgia: \$300,000 loward the costs of its Global Development Initiative, an effort to improve the effectiveness of development planning and cooperation through the formulation and implementation of long-term national development strategies and broad-based participation and ownership.

Center for Global Development,

Washington, D.C.: \$300,000 toward the costs of the development and testing of a global development index, a tool for rating the impact of economic and social policies from governments of rich countries on the development of poor countries.

Foundation-administered project: \$100,000 for a series of consultancies and/or service arrangements to assist in the integration of program learning and evaluation mechanisms into the work of Global inclusion and its shared programs.

Hybrid Vigor Institute, San Francisco, California: \$15,000 toward the costs of two meetings to design effective strategies for cross-programmatic collaboration and grantmaking within foundations.

King Baudouin Foundation

United States, New York, New York: \$70,000 toward the costs of a research initiative to build stakeholder participation in discussions on globalization and governance aimed at identifying how globalization can help achieve sustainable development.



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London School of Economics and Political Science, University of London, London, England: \$300,000 toward the costs of its Global Civil Society Programme's research and dissemination on trends and ideas in global civil society.

United Nations Association of Great Britain and Northern Ireland, London, England: \$100,000 to enable developingcountry representatives to participate in an implementation conference preceding the World Summit on Sustainable Development, held in Johannesburg, South Africa, August 2002.

United Nations Development Programme, New York, New York: \$300,000 toward the costs of the publication and dissemination of a UNDP report on trade and human development. The Foundation convenes policymakers, community leaders and scientists to highlight neglected issues, to test and disseminate innovations, and to mobilize human and financiat resources for sofving tough problems.

World Bank, Washington, D.C.: \$25,000 toward the costs of its International Comparison Program's expert group meeting on new research methodologies to improve global purchasing-power parity data, held in Washington D.C., July 2002.

Global Community, Peace and Justice

The Center for Victims of Torture, Minneapolis, Minnesota: \$75,000 loward the costs of a regional training workshop in Africa with the Desmond Tutu Peace Centre to share innovative tactics that have been developed to deal with human-rights abuses, thereby building the capacity of African human-rights organizations and activists, to be held in Cape Town, South Africa, January 2003.



King's College London, London England \$100,000 toward the costs of a study of the relationship between conflict and development in international policy.

New York University, New York New York \$150,000 for use by its Center on International Cooperation loward the costs of a project to explore the capacity of multilateral security institutions and arrangements to respond to new challenges arising both from changing threats and changing U.S. policy

Organization for Social Science Research in Eastern and

Southern Africa, Addis Ababa Ethiopia \$20 000 toward the costs of an international conference entitled The Quest for Social Peace in Africa Transformations Democracy and Public Policy held in Khartoum, Sudan, December 2002

Social Science Research Council,

New York New York \$300,000 toward the cost of its Conflict Prevention and Peace Forum which convenes discussions between conflict prevention and response officials at the United Nations and scholars and practitioners, especially from transnational poverty, inequality and social describe and analyze the characteristics countries affected by armed conflict

United Nations Association of the United Inter-American Dialogue, Washington States of America, New York, New York \$46 000 toward the costs of a meeting to bring logether U.N. officials key local actors and external support groups with the goal of improving the United Nations capability to establish and strengthen rule-of-law systems in peace-building missions, held in New York City June 2002

University of British Columbia,

Vancouver Canada \$150 000 toward the costs of a project focusing on how to curb of remittances from family members in the numan-rights violations by non-state armed groups

Canada \$200 000 toward the costs of four workshops to bring together the conflict research-and-policy communities to address major methodological and data controversies and to address ways to improve the communication of the researchlissues in the North American community community s findings to policymakers

Transnational Communities

Alliance of Small Island States, New York New York \$100 000 toward the costs of a workshop on trade sustainable development and small island states, held in Montego Bay, Jamaica, December 2001

El Colegio de Mexico, Mexico City Mexico \$99 400 toward the costs of a project to explore alternative policies for sustainable. and Nicaragua to the United States development in Mexico

El Rescate, Los Angeles California \$95 000 toward the costs of a project under its community remittances and local development program to carry out research on and build the capacity of hometown associations of Salvadoran immigrants in California

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Heartland Alliance, Chicago, Illinois \$200 000 toward the costs of analysis conducted by its international project, Enlaces América, of key policy challenges communities in their efforts to improve their livelihoods

Interaction, American Council for Voluntary International Action,

Washington D.C \$150,000 toward the costs of researching and monitoring the evolution of key regional integration-policy systems and the role of the Inter-American Development Bank in regional policy design, with the aim of understanding the implications for social development poverty inequality and migration

Inter-American Dialogue, Washington D C \$100,000 for the costs of a research project to investigate the links among exclusion in the North American region

D C \$200,000 for a project designed to enhance the contribution that remittances from immorants in the United States to families in Mexico, Central America and the Caribbean can have on economic and social development in the region.

Interdisciplinary Group on Women,

Work and Poverty, Mexico City Mexico \$75 000 toward the costs of a competitive research program to investigate the impact[will strengthen the ability of developing-United States on indigenous women living in poverty in Mexico

University of British Columbia, Vancouver Interhemispheric Resource Center,

Albuquerque New Mexico \$150 000 loward the costs of a series of timely publications to inform policymakers, nongovernmental organizations and academics on key transnational policy

La Mujer Obrera, El Paso Texas \$150 000 toward the costs of establishing a women's network designed to empower marginalized women workers of Mexican heritage along the U.S.-Mexico border

(joint with Working Communities) Latin American Faculty of Social Sciences, San Jose Costa Rica \$25,000 toward the costs of research on the characteristics and impact of migration irom El Salvador, Guatemala, Honduras

Mexican Association of Social Sector Credit Unions, Mexico City, Mexico \$100 000 toward the costs of its project to expand and consolidate access to banking services in transnational communities in Mexico and California

Pacific Council on International Policy, Los Angeles, California \$250 000 for a project to explore through a series of structured strategic conversations among a diverse set of decision makers from and opportunities for transnational migrant Canada. Mexico and the United States, the transnational dynamics emerging in the region and to chart alternative scenarios for how the North American relationship might evolve politically economically socially culturally and institutionally

> Radio Billngue, Fresno California \$120 000 toward the costs of expanding its broadcasting to the Mixteca region in Mexico and from the state of Oaxaca to the United States in order to provide quality programming in areas such as migrants health needs

Universidad Iberoamericana, Plantel Golfo Centro, Puebla Mexico \$24 000 toward the costs of a research review to and impacts of migration between states. in Central Mexico and the United States

Philanthropy and Equity

Foundation-administered project: \$150 000 for costs associated with The Philanthropy Workshop/West a collaborative program of the Hewlett TOSA and Rockefeller foundations

Synergos Institute, New York New York \$400 000 toward the costs of a project that country community foundations to address the challenge of poverty in Latin America Southeast Asia and southern Africa (joint with Assets and Capacities)

Explorations

Center for Policy Alternatives,

Washington D.C \$100 000 toward the costs of enhancing the trade component of the Eleanor Roosevelt Global Leadership Institute whose mission is to increase the global consciousness of U.S. political leaders.

,,. 	Regional Program	· · · · · · · · · · · · · · · · · · ·	Forum for African Women Educationalists, Kenya Chapter, Nairobi, Kenya \$35,468
	Africa Regional Programs	and research needs of decentralization	toward the costs of its project to create awareness among stakeholders about the
		Makerere University, Kampala, Uganda 5509,700 for consultants to facilitate	impact of sexual maturation on school
	Human Capacity Building	ts process of institutional transformation related to capacity building for	attendance and performance in the Nairobi and Bondo districts of Kenya
	AFRICA UNIVERSITY INITIATIVE		Forum for African Women Educationalists,
1-	Foundation Partnership (New York)	Mbarara University of Science and	Uganda Chapter, Kampala, Uganda \$78,429 toward the cost of its project in
	African Economic Research Consortium, Nairobi, Kenya \$250,000 toward the costs	a leasibility study of suitable alternatives	ive districts in Uganda to address the needs of primary-school girls in managing
	of launching an Africa-based collaborative Ph D program to strengthen teaching and	for Internet connectivity for the university and subsequent development of a Web site	their sexual maturation
	research capacity on the conlinent		Forum for African Women Educators, Zimbabwe Chapter, Harare, Zimbabwe
	Association of African Universities,		\$11,821 toward the costs of a pilot project
	costs of the Conference of Rectors, Vice	Cornell University, lihaca, New York \$1,300 in conjunction with the African	designed to address the pyschosocial needs of orphans and vulnerable children
	Chancellors and Presidents of African Universities on the role of African institutions	Dissertation Internship Award to Richard O Nyankanga, to enable his supervisor at the	in primary schools in Zimbabwe
	of higher education in building the African	International Potato Center's office for the	Kenyatta University, Nairobi, Kenya \$300,060 toward the costs of its project
	Union, to be held in Mauritius, March 2003. Boston College, Chestnut Hill,	sub-Sanaran Africa region to attend his dissertation defense	on intervention strategies to enhance
	Massachusetts \$138,525 for use by its	Syracuse University, Syracuse, New York	female participation and performance in mathematics, science and information
		\$2,816 in conjunction with the African Dissertation Internship Award to Benjamin	technology at the primary-school level in Kenya
•	Council for the Development of Social Science Research in Africa, to launch the	W Kankpeyeng, to enable his supervisor at the Ghana Museums and Monuments	Kenyatta University, Nairobi, Kenya
-	· · ·	Board to attend his dissertation defense	\$42,200 toward the costs of its project to produce norms in English literacy
- 	Commission for Higher Education, Nairobi, Kenya \$57,000 for a workshop	University of Cape Town, Rondebosch, South Africa \$600,000 toward the costs of	for primary schools in Kenya
<u> </u>	to bring together a broad spectrum of	expanding its program, University Science,	Kenyatta University, Nairobi, Kenya \$436,425 toward the costs of its project
\cup	experts from both the private and public sectors to explore options and develop	Humanities and Engineering Partnerships in In Africa, into the area of food security	to produce norms in English literacy
	an action plan to enhance links between Kanyan universities and industry, held in	University of Toronto, Toronto, Canada	for primary schools in Kenya Kyambogo University, Kampala, Uganda
	Nairobi, August 2002	\$2,980 in conjunction with the African Dissertation Internship Award to	\$149,943 toward the costs of its project to
	Foundation-administered project: \$336,400 toward the costs of a series	Chima J. Korieh, to enable his supervisor at time State University to attend his	improve teachers' understanding of, and skills in teaching about, the process of
	of workshops, seminars, analytical case studies and consultancies to help	dissertation defense	sexual maturation in order to enhance children's retention in primary schools
	strengthen selected African universities,	University of Virginia, Charlottesville, Mirginia \$3.936 in conjunction with the	in Uganda
	and to build their capacity to contribute more effectively to national development	African Dissertation Internship Award to Charles Bwenge, to enable his supervisor	Loise P. W. Gichuhi, Nairobi, Kenya \$4,968 toward the costs of a research
		at the University of Dar es Salaam to	project on fertility and child schooling
	\$50,000 toward the costs of its project, the African Grantmakers' Affinity Group, for	_	in Kenya Makerere University, Kampala, Uganda
	support of its mission to increase learning and collaboration among U.S. foundations	una com conjunction manançan	\$126,283 for use by its Makerere Institute
	making grants on the African continent	Dissertation Internship Award to Rashid Tamatamah, to enable his supervisor at	of Social Research toward the costs of a project to improve teachers' understanding
	University of Dar es Salaam, Dar es Salaam, Tanzania: \$50,000 toward the	the University of Dar es Salaam to attend his dissertation defense	of, and skills in teaching about, the process of sexual maturation in order to
	costs of implementating smart-card		enhance children's retention in primary schools in Uganda
	technology on campus for student- admissions procedures	BUALITY EDUCATION FOR	Makerere University, Kampala, Uganda
	University of the Western Cape, Beilville,	Egerton University, Njoro, Kenya	\$50,800 toward the costs of its project to produce norms in English literacy for
	South Africa \$100,000 toward the costs of its Africa-wide master's program in	\$139,130 toward the costs of its project	primary schools in Uganda
ŧ.	higher-education studies	to improve teachers' understanding of, and skills in teaching about, the process	Maseno University, Maseno, Kenya \$106,740 toward the costs of its project to
`, ·	Uganda Program	of sexual maturation in order to enhance children's retention in primary schools	study the traditional management of sexual
	Makerere University, Kampala, Uganda \$2,000,000 toward the costs of its	in Kenya	maturation among the Luo community of Kenya
	revitalization as an institution that can nourish Uganda's social, political and	Forum for African Women Educationalists, Nairobi, Kenya. \$540,000 for general support	
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Midiands State University, Gweru, Zimbabwe: \$100,000 toward the costs of its project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Zimbabwe.

Moses Kizza Musaazi, Kampala, Jganda: \$18,000 for a project to develop

environmentally sound technology that will acilitate the provision of gender-sensitive sanitation facilities in primary schools in Joanda, thereby assisting in the effective management of sexual maturation and promoting better hygiene in those schools

Uganda Rural Development and Training Programme, Kampala, Uganda: \$259,330 toward the cost of its project in education and communication for social transformation—an approach to poverty eradication and women's empowerment in Kibaale District, Uganda (joint with Communication for Social Change).

United Nations Educational, Scientific and Cultural Organization, Paris, France: \$160,000 for use by its International Institute for Educational Planning toward the costs of the activities of the Association for the Development of Education in Africa, in particular for its working groups on education statistics, on books and learning materials, and on early childhood development.

United Nations Educational, Scientific and Cuttural Organization, Paris, France: \$60,000 toward the costs of developing a regional capacity-building program for nongovernmental and civil-society organizations working in education in sub-Saharan Africa.

University of Zimbabwe, Harare, Zimbabwe: \$183,605 toward the costs of its project to improve teachers' understanding of, and skills in teaching about, the process of sexual maturation in order to enhance children's retention in primary schools in Zimbabwe.

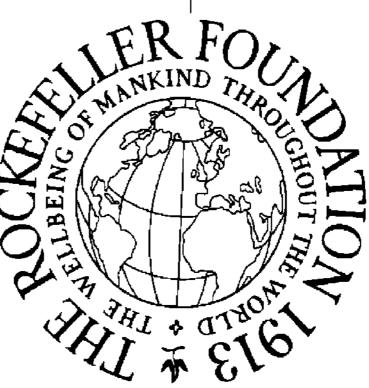
University of Zimbabwe, Harare, Zimbabwe: \$64,000 toward the costs of a project to produce norms in English literacy for primary schools in Zimbabwe.

Information for Development

MAPPING POVERTY

African Wildlife Foundation, Washington. D.C.: \$170,000 for the development of a Geographic Information System for use in landscape-level planning and conservation Institute, Nairobi, Kenya: \$149,000 to intervention in East and southern Africa.

Foundation-administered project: \$90,000 for administrative costs associated with the Information for Development area of work (joint with Health Equity).







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International Croos Research Institute for the Semi-Arid Tropics, Andhra Pradesh, India: \$20,000 loward the costs of a conference on rural livelihoods and poverty-reduction policies in Kenya, Malawi, Tanzania and Uganda, held in Nairobi, Kenya, January 2003.

International Institute of Tropical Agriculture, Ibadan, Nigeria: \$50,000 toward the costs of producing a CD-ROM of the Collaborative Study of Cassava in Africa, a multicountry survey of cassava production, processing and market systems in Africa.

International Livestock Research develop training resources for effective eaching of biometry at universities in

sub-Saharan Africa.

Foundation-supported research in 1998] found that 77 percent of Kenyan children at the primary six level failed to achieve a desired level of literacy in English.

Makerere University, Kampala, Uganda: \$22,260 toward the costs of a stakeholders meeting on strengthening Uganda's district-planning units through the use of ntegrated data and information in planning its development programs, held in Entebbe, October 29 to November 2, 2002

University of Nairobl, Nairobl, Kenya: \$175,295 for use by its Department of Mathematics for the costs of implementing the Master of Science degree in applied piometry and of strengthening the capacity of staff offering courses in the degree.

POPULATION AND HEALTH

INDEPTH Network, Accra, Ghana: \$299,200 for a collaborative research program with the University of Pennsylvania's census-analysis project that will inform demographic and health policy in sub-Saharan Africa (joint with Health Equity)





athfinder International, Watertown

Massachusetts \$43,246 toward the costs of a project to assess the magnitude of HIV/AIDS among teachers and its effects on the education sector in Kenya

Southern African Development

Community, Gaborone, Botswana \$318,645 for the costs of training staff of national statistical/census offices universities and other institutions of higher earning in the countries of the Southern African Development Community on census and spatial-data analysis

Traditional and Modern Health

Practitioners Together Against AIDS and Other Diseases, Kampala, Uganda, \$65,452 for a consultant to review, and to organize a regional meeting on, the use of traditional remedies in the treatment of HIV-related aliments

Union for African Population Studies,

Dakar, Senegal \$122,000 toward the costs of the Fourth African Population Conference The AIDS Support Organisation, that will bring together decision makers, researchers, political leaders and levelopment partners to discuss the realities, challenges and stakes inherent in population problems in Africa, to be held in Ivory Coast, December 2003

University of Pennsylvania, Philadelphia, Pennsylvania \$300,000 for use by its African Census Analysis Project for a program of collaborative research with the INDEPTH Network that will inform demographic and health policy in sub-Saharan Africa (joint with Health Equily)

Partnerships for Africa's Renewal

Africa Centre, London, England \$150,000 to further the development of a comprehensive digital database of information on contemporary Africa, and to strengthen its radio program on African aflairs that promotes scholarly debates on the developmental challenges facing the African continent

Africa University, Mutare Zimbabwe \$342,000 toward the costs of operationalizing the academic programs of its Institute of Peace Leadership and Governance (joint with Global Inclusion)

BOOST Fellowship Foundation, Arlington Virginia \$100,000 in support of a program in Africa to develop and nurture Zimbabwean university students' entrepreneurship and commitment to social development in poor urban and rural communities through bartnerships with universities, the private/ corporate sector and communities in southern Africa

Kenya Leadership Institute, Narobi, Kenya \$150,000 for general support

raditional and Modern Health

Practitioners Together Against AIDS and Other Diseases, Kampala, Uganda \$325,000 to increase access to HIV/AIDS prevention and to care for rural communities in Upanda by building. hable partnerships between traditional nealers and biomedical workers

University of Cape Town, Rondebosch, South Africa \$56,100 toward the costs of convening the first Pan-African conference on development issues in a globalizing world economy, held in Cape Town, March 2002

Explorations

African AID\$ Research Network, Dakar, Senegal \$35 642 loward the costs of a training workshop for national ADS networks on capacity building and fund-raising strategies, held in Dakar, Senegal, February 2003

Kampala, Uganda \$149,930 toward the costs of increasing the capacity of its drama-group members to provide AIDS education to Ugandans, and of carrying but a study on the impact of the organization's services on communities (joint with Assets and Capacities)

Foundation-administered project: \$50,000 toward the costs of providing technical assistance to activities unded under the Foundation's Africa Regional Program

New School University, New York, New York \$30,000 for use by its Fransregional Center for Democratic Studies toward the costs of participants from southern Africa in its Democracy & Diversity Institute, held in Cape Town, South Africa, January 2002

Population Council, New York, New York \$24,900 loward the cost of activities related to a conference to advance research on female genital cutting, held at the Bellagio Study and Conference enter, Italy, May 2002

Nomen's University in Africa Trust. Harare Zimbabwe \$50,000 to convene consultative meetings to plan the establishment of the Women's University

Regional Program

Southeast Asia Regional Program

earning Across Boundarles

Asian Resource Foundation, Bangkok, Thailand \$135,840 to support a research fellowship program enlitled, Islam in Transition in Southeast Asia: A View From Within, for young Muslim intellectuals in the region

Cambodia Development Resource Institute, Phnom Penh Cambodia \$100,000 to support collaboration among research groups in Cambodia, Lao People's Democratic Republic, Thailand and Vietnam in investigating the efficiency of marketing, internal agricultural trade and export in the Greater Mekong Sub-region (joint with Food Security)

Cambodia Development Resource Institute, Phnom Penh, Cambodia \$90,000 toward the costs of a comparative research project on off-farm and non-farm employment creation in Cambodia, Lao People's Democratic Republic, Thailand and Vietnam

Center for Biodiversity and Indigenous Knowledge, Kunming, Yunnan, China \$36,000 loward the cost of the III Montane Mainland Southeast Asia Conference, which aims to encourage dialogue and intellectual exchange among researchers, decision makers development workers and ndigenous leaders in the Greater Mekong Sub-region on preservation of indigenous knowledge and natural resources across xountries, held in Lijiang City, Yunnan, China

Chlang Mai University, Chlang Mai, Thailand \$86,460 for use by its Regional Center for Social Science and Sustainable Development in support of an experimental change program for graduate and postgraduate students and scholars in the Greater Mekong Sub-region to study the svilization and culture of the Mon and Aon-Khmer people of the region

China Council for International Cooperation on Environment and Development, Vancouver British Columbia, Canada \$22,400 to support a eries of study visits to China by selected midcareer Myanmar experts to participate in the meetings of the China Council Task Forces focusing on environment, biodiversity and development

Chulalongkorn University, Bangkok, Thailand \$84,455 for use by its institute of Asian Studies to support a collaborative research project with the Institute of South east Asian Studies, Hanor, on the migratory movements of Vietnamese citizens to Thailand and back during the past 60 years



Foundation-administered project

1 \$49 300 toward the cost of further diagnostic work on upland agriculture to support the Foundation's Food Security exploration in the Greater Mekong Sub-region (joint with Food Security)

Foundation-administered project: \$100 000 toward the cost of engaging consultants conducting workshops and publishing studies in support of the Foundation's Learning Across Boundaries [seminar for staff of universities govern] area of work in the Greater Mekong Sub reaion

Hanoi School of Public Health, Hanoi Vietnam \$55 790 to support a joint vietnamese-Laotian effort to assess the institutional needs of Lao People s Democratic Republic's public-health colleges and to formulate recommenda lions to address them by drawing on the resources of Vietnam's public health university system

Hue University of Agriculture and Forestry, Hue City Vietnam \$31 000 to support the design and plan the development of a national network on upland natural resource management for sustainable development in Vietnam (joint with Communication for Social Change and Food Security)

International Rice Research Institute. Manila Philippines \$300,000 to support the development of an education entertainment approach to motivate Vietnamese and Laotian farmers to reduce pesticide use thereby enhancing continue support for the imaging the human and environmental health (joint with Food Security Communication for Social Change and Health Equity)

PS Inter Press Service International Association, Rome Italy \$191,226 for use by its Regional Office for Asia Pacific in support of the recently launched Our Mekong: A Vision Amid Globalisation media fellowship program on cross border and cross cultural issues in the Greater Mekong Sub region

Khon Kaen University, Khon Kaen Thailand \$25,000 for use by its Faculty of Agriculture toward the costs of a joint Cambodian That effort to assess the institutional needs of Cambodia s agriculture colleges and to formulate recommendations to address those needs linkages among Cambodian. Lao and by drawing on the resources of Thailand's Thai institutions of agricultural education agricultural university system

Khon Kaen University, Khon Kaen Thailand \$250,000 for use by its Faculty of Agriculture's Office of International Agriculture toward the costs of a training program for selected faculty members of three Laotian agricultural colleges

Komol Keem Thong Foundation.

Bangkok Thailand \$95 023 for use by its Mirror Art Group to create a Hillinbe Virtual Museum on the internet to educate the ... public in Thailand and beyond about the rapidly vanishing languages and cultures of tribal people living in northern Thailand (joint with Creativity & Culture)

Mae Fah Luang University, Chiang Rai Thailand \$10 000 toward the costs of a ment ministries and U.N. agencies in the Greater Mekong Sub region countries on the role of higher education in developing human resources for the region

Mahidol University, Nakomprathom Thailand \$33,013 for use by its institute of Population and Social Research for a participatory research project on the life experiences of migrant girls and young women from Myanmar employed as factory western Asia and the Middle East on workers or domestic helpers in Thailand

Ministry of Agriculture and Forestry, Lao People's Democratic Republic, Vientiane Lao People's Democratic Republic \$35,000 for use by its National Agriculture and Forestry Research Institute to support human resource development. through 20 fellowships to qualified staff of the Lao National Rice Research Program to enter diploma and bachelor of science programs at Laotian educational institutions

Probe Media Foundation, Inc., Diliman Quezon City, Philippines \$250,000 to Mekong media-fellowship program on cross border and cross cultural issues in the Greater Mekong Sub region

Rajamangala institute of Technology, Kalasin Campus, Umpure Muang Kalasin Thailand \$230,200 toward the cost of short term training initiatives in education management and teaching capacity and technical skills development for selected faculty and administrators and scholarships for bachelor's degree study for selected students of six Laotian agricultural technical colleges and ocational schools

Rajamangala institute of Technology, Kalasin Campus, Umpure Muano Kalasin Thailand \$10 000 to foster and research.

Sanga Sabhasri Research Foundation, Chiang Mai Thailand \$50,000 to support an international symposium on uses and effects of pesticides in Thailandecological, biomedial and economic, to be held in Chiang Mai, Thailand

SEASREP Council, Quezon City Philippines \$111 135 to support an examination of the Mekong region as a sociocultural construct by reviewing relevant literature and the production of an anthology of original writings from a multidisciplinary perspective

Silpakorn University, Bangkok, Thailand \$100 000 for use by its Faculty of Painting Sculpture and Graphic Arts to support a collaborative bilateral scholars inresidence program with the College of Arts at Hue University in Vietnam (joint with Creativity & Culture)

SIS Forum (Malaysia) Berhad, Kuala Lumpur Malaysia \$78 300 to support a wo-stage meeting for Muslim women intellectuals and activists from Southeast Asia to share experiences among themselves and with Muslim women in the challenges they face as a result of rising fundamentalism

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1	Special Program	individuals from developing countries to participate in the conference, New Forms -/	conference, Achieving Diversity in Tertiary and Higher Education Challenges and	
	Assets and Capacities	Measuring Human Settlement in the	Prospects, held at the Bellagio Study and Conference Center, italy, March 2003	
	Bellagio		University of Pennsylvania, Philadelphia, Pennsylvania \$25,000 toward the cost	
	GRANTS	March 2002	of travel for 16 individuals from Africa	
	Brown University, Providence, Rhode	United Arab Emirates \$3,850 toward the	to participate in the tearn, Demography and Health in Africa, held at the Bellagio	
	Island \$10,000 toward the cost of travel for six individuals from Africa to participate		Study and Conference Center, Italy, December 2002	
	in the conference, Female Circumcision	team residency, Creativity and Dissent,	University of Southern California,	
	Multicultural Perspectives, held at the Bellagio Study and Conference Center,	to be held at the Bellagio Study and Conference Center, Italy, August and	Los Angeles, California \$14,000 toward the cost of travel for eight individuals from	
			developing countries to participate in the	
	Feminist Press, New York, New York \$4,200 toward the cost of travel for three	-	conference, The Impacts of Globalization on Urban Development, held at the	
	individuals from Africa to participate in the	of travel for 11 individuals from fran to	Bellagic Study and Conference Center,	
	learn residency, Women Writing Africa Western/Sahel Region, held at the Bellagio	participate in the conference, Science and Ethics Experience and Challenges in the	Italy, August 2002 University of Utah, Salt Lake City, Utah	
	Study and Conference Center, Italy,	United States and Iran, held at the Bellagio Study and Conference Center, Italy,	\$8,450 toward the cost of travel for six	
		April 2002	individuals from developing countries to participate in the team, Middle Eastern	
	Georgia \$11,500 toward the cost of travel		Islam from Afar South/Southeast Asian and African Perspectives, held at the	
	countries to participate in the team	individuals from Africa to participate in	Bellagio Study and Conference Center,	
		on Female Genital Cutting, held at the	Italy, February and March 2003	
	held at the Bellagio Study and Conference	Kelladio Study and Lionterence Lienter	University of Washington, Seattle, Washington \$9,887 toward the cost of	
_	Center, Italy, June and July 2002 Graduate School and University Center,	, . ,	travel for six individuals from developing countries to participate in the conference,	
	City University of New York, New York,	\$17,250 toward the cost of travel for 15	Ethnic Diversity and Citizenship Education	
· · · ·			in Multicultural Nation States, held at the Bellagio Study and Conference Center,	
		Experience of Research Action, held at	Italy, June 2002	
	to be held at the Bellagio Sludy and	the Bellagio Study and Conference Center,	World Health Organization, Geneva, Switzerland \$11,000 toward the cost of	
	Conference Center, Italy, March 2003	Italy, September and October 2002 School of Oriental and African Studies,	travel for seven individuals from developing countries to participate in the team,	l
	\$7,275 toward the cost of travel for eight	University of London, London, England	Knowledge Into Action Improving Equity	
	inditidada nonti dotoloping opulatico to		in Child Health, held at the Bellagio Study and Conference Center, Italy,	
	Mapmakers Global Gathering, held at the	participate in the learn residency, Tribal Transitions Assessing 50 Years of Cultural	February 2003	
	Bellagio Study and Conference Center, Italy, December 2002	Change in Arunachal Pradesh, India, held	World Resources Institute, Washington, D.C. \$25,138 toward the cost	
	Harvard University, Cambridge,	at the Bellagio Study and Conference Center, Italy, October 2002	of travel for 13 individuals from developing countries to participate in the conference,	
	Massachusetts \$16,010 toward the cost of travel for nine individuals from developing	Thomas Jefferson Foundation,	Nature, Justice and Local Environmental	
	countries to participate in the team residency, Women in Informal Employment	Charlottesville, Virginia \$10,650 toward the cost of travel for six individuals from	Governance Assessing the Experience, held at the Bellagio Study and Conference	
	Globalizing and Organizing Steering	developing countries to participate in the conference, Thomas Jefferson, Rights	Center, Italy, February 2002	
	otady and contorenee contai, navy	and the Contemporary World, held at the	CONFERENCES	
	July 2002	Bellagio Study and Conference Center, Italy, June 2002	Advancing Research on Female	
	Innovia Foundation, Brummen, Netherlands \$5,550 toward the cost of	Tukufu Zuberi, Philadelphia, Pennsylvania	Genital Cutting—Dr Nahla Abdel-Tawab, Research Scientist and Consultant,	
	ravel for four individuals from developing countries to participate in the conference,	\$15,000 toward the cost of simultaneous translation for the team residency,	Population Council, Egypt Office, Giza,	
· · .	nnovia Patient Experience and the New	Demography and Health in Africa, held at the Bellagio Study and Conference	Egypt (April 29 to May 3) Application of Molecular Pharmacology	
	Bellagio Study and Conference Center,	Center, Italy, December 2002	for Post-Testicular Activities—Dr. Ursula	
	Italy, September 2002	University of California, Los Angeles, Los Angeles, California, \$5,000 toward	F Habenicht, Member of the Board of Trustees, Ernst Schering Research	
	International Union for the Scientific Study of Population, Pans, France	the cost of travel for five individuals from	Foundation, Berlin, Germany (October 9 to 13)	ĺ
	\$13,000 toward the cost of travel for six	developing countries to participate in the		
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	Constructing a New Cross-National	Female Circumcision: Multicultural	University, New York, New York (July 29	
	Architecture for Labor Market	Perspectives-Dr Rogala Mustafa	to August 2)	
	Statistics—Dr. Barry Bluestone, Russell B	Abusharal, Nancy L. Buc Postdoctoral		
		Fellow Brown Liniversity Providence	Meeting of Director Generals of National	
		Rhode Island (April 29 to May 3)	Public Health Institutes—Dr Pekka	
	sity Boston Massachusette Dr Androw		Jousilahli, Chief Physician, National	
	Sharpe, Evecutive Director, Centre for the		Public Health Institute, Helsinki, Finland	
	Study of Lunce Standards, Ottown, Optania	Transactions: The Erosion of Borders	(October 16 to 20)	
	Canada, and Dr Antonio Lettiert, Director,	and the Challenges for the European	Museums and Global Public Spheres	
		LIDIOD - I II HOIOD MODOO MODIOO I	Dr Tomas Ybarra-Frausto, Associate	
	Center for International Social Studies,	Rathbooe Protessor of International		
۰,	Rome, Italy (September 23 to 28)	Helations Lenian Lioiversity Hernlehem	Director, Creativity & Culture, Rockefeller	
	Cultural Agency in the Americas—	Perinsvivania (JUIV 15 to 191	Foundation, New York, New York (July 22	
	Dr. Dons Sommer, Professor of Romance		to 26)	
	annuaries, Harvard University	Green Mapmakers Global Gathering—	New Faces Colloquium on Religion,	
i	Cambridge, Massachusetts (October 28	Ms Wendy E Brawer, Founding Director,	Human Rights and Societal	
	to November 1)	and Mr. Robert W. Zuber Jr., Director	Reconstruction in a Pluralist World-	
	to November 17	of Education and Outreach, both of the	Dr J Paul Martin, Executive Director,	
	Decentralization and the Environment:	Green Map System, Inc., New York,		
		New York (December 2 to 6)	Center for the Study of Human Rights,	*
	Dr. Jesse C. Ribol, Senior Associate	, ,	Columbia University, New York, New York	
	Institutions and Governance Program		(July 1 to 5)	
	World Resources Institute Washington	Singer, Executive Director and Senior	New Forms of Democracy? The Reform	
	D.C. (February 18 to 22)	Research Scholar, Dr Alan M Garber,	and Transformation of Democratic	
		Principal Investigator and Director and	Institutions — Mr Bruce E Cain, Robson	
	Development of a Global Plan for	Dr. Donna Wright, Co-Project Director	Professor of Political Science and Director,	
		and Research Associate, all of the Center		
	Fauguet, Director, ILTAB, St., Louis,	for Health Policy, Stanford University,	Institute of Governmental Studies,	
		Stanford, California (February 4 to 8)	University of California, Berkeley, Berkeley	
	Director, Food Socurity, Bockefeller		California, Mr Russell J Dalton, Professor,	
	Foundation (October 1 to 6)	The Impact of Health Sector Reform on	University of California, Irvine, Irvine,	
	. ,	Sexual and Reproductive Health Policy	California, and Ms. Susan E. Scarrow,	
	Diversity and Citizenship Education	and Services-Ms Marge Berer, Editor,	University of Houston, Houston, Texas	
	in Multicultural Societies-Dr James A	Reproductive Health Matters, London,	(September 9 to 13)	
	Banks, Professor of Education, University	England (February 25 to March 1)	New Forme of Linksnization:	
N	of Washington, Seattle, Washington		New Forms of Urbanization:	
\sim	of Washington, Seattle, Washington, Dr. Bohert F. Arnove, Professor of	The Impacts of Globalization on Urban	Conceptualizing and Measuring Human	
	of Washington, Seattle, Washington, Dr. Robert F. Arnove, Professor of Comparative Education, Indiana Lineversity	The Impacts of Globalization on Urban Development—Dr Harry W Richardson,	Conceptualizing and Measuring Human Settlement in the Twenty-First Century—	
	of Washington, Seatile, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Biogramotron, Indiana, Mr. Shiperu	The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and	Conceptualizing and Measuring Human Settlement in the Twenty-First Century- Mr Anthony Gerard Champion, Professor	
77	of Washington, Seattle, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Bloomington, Indiana, Mr Shigeru Asanuma, Professor of Education	The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and Regional Planning, University of Southern	Conceptualizing and Measuring Human Settlement in the Twenty-First Century Mr Anthony Gerard Champion, Professor of Population Geography, University of	
77	of Washington, Seattle, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Bloomington, Indiana, Mr Shigeru Asanuma, Professor of Education,	The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and Regional Planning, University of Southern California, Los Angeles, California (August	Conceptualizing and Measuring Human Settlement in the Twenty-First Century Mr Anthony Gerard Champion, Professor of Population Geography, University of Newcastle, Newcastle-upon-Tyne, England,	
7	of Washington, Seatile, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Bloomington, Indiana, Mr Shigeru Asanuma, Professor of Education, Tokyo Gakugei University, Tokyo, Japan,	The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and Regional Planning, University of Southern California, Los Angeles, California (August	Conceptualizing and Measuring Human Settlement in the Twenty-First Century Mr Anthony Gerard Champion, Professor of Population Geography, University of	
72	of Washington, Seattle, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Bloomington, Indiana, Mr Shigeru Asanuma, Professor of Education, Tokyo Gakugel University, Tokyo, Japan, Dr David Gillborn, Professor of Education	The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and Regional Planning, University of Southern California, Los Angeles, California (August 19 Io 23)	Conceptualizing and Measuring Human Settlement in the Twenty-First Century Mr Anthony Gerard Champion, Professor of Population Geography, University of Newcastle, Newcastle-upon-Tyne, England,	
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72	of Washington, Seattle, Washington, Dr Robert F Arnove, Professor of Comparative Education, Indiana University, Bloomington, Indiana, Mr Shigeru Asanuma, Professor of Education, Tokyo Gakugei University, Tokyo, Japan, Dr David Gillborn, Professor of Education and Head of Policy Studies, University of London, London, England, and	The Impacts of Globalization on Urban Development—Dr Harry W Richardson, The James Irvine Chair of Urban and Regional Planning, University of Southern California, Los Angeles, California (August 19 to 23) Innovative Experiences in Philanthropic Leadership Development in Latin	Conceptualizing and Measuring Human Settlement in the Twenty-First Century Mr Anthony Gerard Champion, Professor of Population Geography, University of Newcastle, Newcastle-upon-Tyne, England, and Dr Graeme Hugo, Professor of Geog- taphy, University of Adelaide, Adelaide, Scolland (March 11 to 15)	
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Proteolytic Machines in Human Disease Dr Philip Coffino, Professor of Microbiology and Immunology, University of California, San Francisco, San Francisco, California, and Dr. Dieter Heinrich Wolf, Professor and Director, University of Stuggart, Stuttgart, Germany (June 10 to 14)

Science and Ethics: Experience and Challenges in the United States and in Iran-Or Glenn E Schweitzer, Director, Office for Central Europe and Eurasia, National Research Council, National Academy of Sciences, Washington, D.C. (April 22 to 26)

Soil Fertility Degradation in Africa: Leveraging Long-Term Solutions to a Long-Term Problem-Dr. John K. Lynam, Senior Scientist, Rockefeller Foundation, Nairobi, Kenya (March 4 to 8)

Thomas Jefferson, Rights and the Contemporary World-Or James Horn, Saunders Director, International Center for Jefferson Studies, Thomas Jefferson Memorial Foundation, Charlottesville, Virginia, and Dr Ruhi K_Ramazani, Edward D C (February 22 to March 2) R Stettinius Professor Emeritus of Government and Foreign Affairs, and Dr A E Dick Howard, White Burkett Miller Professor of Law and Public Aflairs, both of the University of Virginia, Charlottesville, Virginia (June 3 to 7)

Transcending Post-Seattle Angst-Dr. Barry Cann, Program Manager, and Dr. Gordon S. Smith, Executive Director, both of the Centre for Global Studies, University of Victoria, Victoria, British Columbia, Canada (April 15 to 19)

Workers' Rights/Women's Rights: Women in the Global Economy-Ms. Donna Hall, Associate Director, Global Inclusion, Rockefeller Foundation, New York, and Ms. Cathy Feingold, Program. Specialist on Women and the Global Economy, AFL-CIO, Washington, D.C. (May 13 to 17)

THE FRATI GLOBAL DIALOGUE SERIES

Fratl Global Dialogue Series on Innovation, Creativity and Development: The Distribution and Ownership of Knowledge in the 21st Century-Ms. Carolyn Deere, Assistant Director for Global Inclusion, and Anthony So, M D Associate Director for Health Equity, both of the Rockefeller Foundation, New York, New York (October 29 to November 2, and November 12 to 30)

TEAMS

Biotechnology, Breeding and Seed Systems for African Crops-Mr Joseph DeVries, Associate Director, Food Security, Rockefeller Foundation, Nairobi, Kenya (September 6 to 20).

Conversations in Choreography: Fourth Session----Mr Scott de Lahunta,* Co-Director, Writing Research Associate, Amsterdam Netherlands (July 26 to August 4)

Creativity and Dissent—Professor Dr. Muhsin Jassim Al-Musawi, of Arabic and Comparative Studies, American University of Sharjah, Sharjah, United Arab Rockefeller Foundation, New York, Emirates (August 21 to September 3)

Communication for Development and Social Change: Training Challenges and Opportunities---Ms Denise Gray-Felder, Vice President, Administration and Communications, Rockefeller Foundation, New York, New York (May 20 to 25)

Dairies: A Theater Project—Ms Tatiana Frolova, Director, KnAM Theatre, Komsomolsk-na-Amure, Russia (March 4 to 22)

Decentralization and the Environment: Assessing Participation for Rio+10-Dr. Jesse C. Ribot, Senior Associate, Institutions and Governance Program, World Resources Institute, Washington,

Demography and Health in Africa-Dr. Tukufu Zuberi, Professor of Sociology, **Jniversity of Pennsylvania, Philadelphia,** Pennsylvania (December 3 to 13)

EU Enlargement and Agricultural Policies in Poland-Dr Maryane Osa Assistant Professor of Government and International Studies, University of South Carolina, Columbia, South Carolina August 22 to September 4)

The Global RF Human Resources Workshop-Mr Robert J Giacometti, Director, Human Resources, Rockefeller Foundation, New York, New York (April 9 to 13)

Health Equity Strategy Meeting-Tim Evans, M D , Director, Health Equity, Rockefelter Foundation, New York, New York (March 18 to 22)

Identifying Competencies for **Communication for Social Change:** A Global Perspective-Ms Denise Gray-Felder, Vice President, Administration and Communication, Rockefeller Foundation, New York, New York (January 28 to February 2)

Indigenous Women's History of Colonial Domestic Work in Vanuatu—Dr Margaret Rodman, Professor of Anthropology, York University, Toronto, Ontario, Canada (May 14 to 27)

Margaret Garner, An Opera In Two Acts—Dr Richard Oanielpour, Composer, Manhaitan School of Music, New York, New York (August 5 to 16)

Museums and Global Public Spheres Dr Tomás Ybarra-Frausto, Associate Director, Creativity & Culture, Rockefeller Foundation, New York, New York July 26 to 30)

The Philanthropy Workshop: Review and Strategic Planning—Salvalore LaSpada, Manager, The Philanthropy Workshop, New York (April 16 to 20)

The Red de Veedores, or Citizen Watch Net of Venezuela: An Experience of Research Action-Dr. Ruth Capriles, Professor of Venezuelan Political and Economic History, Catholic University Andrés Bello, Caracas, Venezuela, (September 24 to October 7)

Rockin' Las Americas: The Global Politics of Rock in Latin America-Dr. Deborah Pacini Hernandez, Associate Professor of Anthropology, Tufts University, Medford, Massachusetts (June 26 to July 8)

Sound of Failing Light—Mr Chris Millado, Playwright and Director, Tanghalang Pilipino, Pasay City, Philippines (March 26 to April 20)

The State of Economic Thinking in Different Disciplines-Dr David F Ruccio, Associate Professor of Economics University of Notre Dame, Notre Dame, ndiana (March 25 to 29)

Strengthening Nationally Competitive Scholarships-Dr Alice Stone lichman, Director, Jeannette K. Watson Summer Fellows Program, Thomas J. Watson Foundation, and Dr. Warren lichman, Director, Fellowships for New Americans, Paul and Daisy Soros Foundation both of New York, New York (November 5 to 10)

Tribal Transitions: Assessing 50 Years of Cultural Change in Arunachal Pradesh, India-Dr Stuart Blackburn, Senior Lecturer, University of London, ondon, England (October 17 to 24).

Women in Informal Employment: Globalizing and Organizing Steering Committee Meeting—Ms Renana Jhabvala, National Policy Adviser, Self Employed Women's Association, Ahmedabad, India (July 9 to 16)

Women Writing Africa: Western/ Sahel Region—Ms Florence Howe, Emerita Professor, Graduate Center, City University of New York, New York, New York (August 2 to 20)

RESIDENTS

Alice Adams (United States), Artist, Bronx, New York—artwork, "Landscape Projects Drawings and Study Models "



Photograph Excised Here



Ibrahim Dasuki Ado-Kurawa (Nigeria). Acting Director of Research, Institute for Contemporary Research, Kano, Nigeriaa study, "The Press and National Unity in Nigeria, 1980-2000 '

Akosua Adomako Ampofo (Ghana), Senior Research Fellow, University of Ghana, Legon, Ghana-a manuscript, "The Making of a Text. Culture and Gender in African Societies* (with Takyiwaa Manuh)

mtiaz Ahmed (Bangladesh), Professor of Istanbul, Turkey-a study, "Freedom of international Relations, University of Dhaka,Movement. The 'Sotto Voce' in the Dhaka, Bangladesh-a study, "Globalization and Low-Intensity Conflict. The Plight of the Stateless Rohingyas *

John H. Aldrich (United States), Plizer-Pratt University Professor of Political Science, Duke University, Durham, North Carolina-a study, "Democratic Citizens, Global Consumers How Democratic Politics is Shaped by Globalization 1

Jerry Allyn (United States), Arlist, New York, New York-artwork and production of a public radio program, "A Chair Is a Throne Is a Freedom Fighter's Camp Stool," to promote resolving conflicts creatively and inspire unique alternatives. to punishment (with Helene Rosenbluth)

Fred Anderson (United States), Professor of History, University of Colorado, Boulder, Colorado—a manuscript, "The Dominion of War, Empire and Liberty in North American History, 1500–2000" (with Andrew R. L. Cayton).

Typical classroom in rural Zimbabwe. The education systems in many sub-Saharan African countries have been devastated as many teachers become III with HIV/AIDS.

Dejene Aredo (Ethiopia), Associate Professor of Economics Addis Ababa University, Addis Ababa, Ethiopia—a study,Mexico—a new collection of essays, "The Role of NGOs in Development: The Ethiopian Case *

Einar Amason (Iceland), Professor of Reykjavik, Iceland-a study, "A Promised and A Land Full of Promises "

Gamze Avcl (Turkey), Assistant Professor of Political Science, Bogazici University, European Union's Enlargement Policy Towards Turkey '

Ana Mariella Bacigalupo (Chile), Assistant Professor of Anthropology, State University of New York at Buffalo, Buffalo, New York—a manuscript, "Shamans of the Cinnamon Tree, Gender Sexuality and lealing Among the Chilean Mapuche."

Sharon Ballin (Canada), Professor of Education, Simon Fraser University, Burnaby, British Columbia, Canada-a study, "Creativity Across Cultures "

Brian K. Barber (United States), Associate Professor, University of Tennessee, Knoxville, Tennessee---a study, book, "Reading Pliny's 'Letters' " "Adolescents and Political Violence "

José Barzelatto, M.D. (Chile), Vice President, Center for Health and Social Policy, Rockville, Maryland-a manuscript, "Abortion" A Twentieth Century Misunderstanding" (with Anibal Faundes)

Gabriel Bernal Granados (Mexico), Editor, Editorial Aldus, Mexico City, "An Autobiographical Essay."

Michael John Berry (Australia), Professor of Urban Studies and Planning, Evolutionary Biology, University of Iceland, Royal Melbourne Institute of Technology, Melbourne, Victoria, Australia-a manuscript, "The End of Unemployment Recapturing the Economic Possibilities of our Grandchildren '

> Zbignlew Bialas (Poland), Professor of Literature, University of Silesia, Sosnowiec, Poland—a study, *The Body Wall Somatics of Travelling and Discursive Practices "

> Robert Stephen Bickerstaff (United States), Visiting Professor of Law, University of Texas at Austin, Austin, Texas---a study, "Giants in Walled Gardens" Understanding and Resolving Merger Policy Conflicts in the Global Communicalions Sector*

Isidro Blasco (Spain), Artist, New York, New York-artwork, "The Surroundings"

John Bodel (United States), Professor of Classics, Rutgers University, New Brunswick, New Jersey—an electronic

Patricia Veronica Brady (Australia), Honorary Senior Research Fellow, University of Western Australia, Crawley, Australia—a manuscript examining the crisis confronting Western culture in its relation to the environment and other cultures, "Recovering Sacred Ground "



Charlotte Bunch (United States), 5, Executive Director, Center for Women's Global Leadership, Rutgers University, New Brunswick, New Jersey—a study, Future Directions in Women's Human Rights (with Roxanna Carrillo)

Kathleen Cahill (United States), Librettist, Willington, Connecticut-a music composition, "Clara Wieck" (with Robert Convery)

Carol Camfield (Canada), Professor of Pediatrics, Dalhousie University, Halifax, Nova Scotia, Canada—a review paper, Epilepsy in Childhood. An Evidence-Based Review of the Natural History, Treatment, and Medical and Social Outcome (with Peter Camheld)

Peter Camfield (Canada), Professor and Chair, Department of Pediatrics, Dalhousie University, Halifax, Nova Scotia, Canadaa review paper, "Epilepsy in Childhood An Evidence-Based Review of the Natural History, Treatment, and Medical and Social Outcome' (with Carol Camfield)

Roxanna Carrillo (Peru), Senior Human Rights Adviser, United Nations Development Fund for Women, New York, New York-a study, "Future Directions in Women's Human Rights' (with Charlotte Bunch)

José Casanova (United States), Associate Professor of Sociology, New School University, New York, New York—a study, "Religion and Immigrant Incorporation in New York' (with Aristide R Zolberg) '

Andrew R L. Cayton (United States), Distinguished Professor of History, Miami University, Oxford, Ohio—a manuscript "The Dominion of War Empire and Liberty in North American History, 1500–2000" (with Fred Anderson)

Kunal Chakrabarti (India), Professor of Ancient Indian History Jawaharlal Nehru University, New Delhi, India—a manuscript "Imaging the Forest in Indian Tradition "

David Claman (United States), Visiting Professor of Music, Colorado College, Colorado Springs, Colorado—a music composition, Chamber Ensemble Work for the Cygnus Ensemble "

James C. Cobb (United States), B Phinizy Spalding Distinguished Professor of History, University of Georgia, Athens, Georgia-a manuscript, "From New South' to 'No South The Great Struggle within Southern Identity

Malcolm Cochran (United States), Professor of Art, Ohio State University, Columbus, Ohio—artwork, 'On Fire '

Raphael Cohen-Almagor (Israel), Head, Library and Information Studies University of Haifa, Haifa, Israel—a study,

Incitement in Israel and the United States A Comparative Analysis *

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Randolph E. Coleman (United States), Professor of Composition and Music Theory, Oberlin Conservatory of Music,¹ : Oberlin, Ohio-a music composition

Antonia Contro (United States), Artist, Chicago, Illinois—an interdisciplinary exhibition "Locus" (with Lou Mallozzi)

Robert Convery (United States). Composer, Long Island City, New Yorka music composition, "Clara Wieck" (with Kathleen Cahill)

Jerry Allen Coyne (United States), Professor of Ecology and Evolution, University of Chicago, Chicago, Illinois--a manuscript, "Speciation A Collaborative Book on the Formation of Species" (with H_Allen Qirr)

William Robert Cullen (Canada), Ementus Professor of Chemistry, University Minneapolis, Minnesota—a manuscript of British Columbia, Vancouver, British Columbia, Canada—a manuscript, Arsenic Sociochemistry of an Element "

Patrick Roland Cullinan (South Africa), Senior Lecturer (Retired), University of the Western Cape, Cape Town, South Africaa manuscript, "Imaginative Trespasser Letters from Bessie Head to Patrick and Wendy Cullinan, 1963-1997 1

Harvey P. Dale (United States), University Professor of Philanthropy and the Law, New York University New York New York—a manuscript, What is a Chanty? Inurement Private Benefit and Excess Benefit Transactions

Jose Y. Dalisay Jr. (Philippines), Professor of English, University of the Philippines, Quezon City, Philippines—a novel, "Soledad's Sister"

Angela Dalle Vacche (United States), Visiting Assistant Professor, Georgia Institute of Technology, Atlanta, Georgia-"The Silent Sex The Drva in Early Italian Cinema (1900-1920) 1

Aurelio de los Reyes (Mexico), Professor, National Autonomous University of Mexico, Associate Dean, College of Arts and Mexico City, Mexico—a manuscript 1 The Movies in Mexico, 1920–1924 1 the third volume in a series, 'Movies and Society n Mexico, 1896-1932

Martha Lyn Doggett (United States), Senior Political Affairs Office United Vations Secretariat New York New Yorka manuscript The Jesuit Murder Case Lawyers, Lies and Videolape' "

Mary Dove (England), Reader in English, University of Sussex, Brighton, England a book-length study of the first complete Bible in English the Wycliffite Version

Hubert L. Dreylus (United States), Professor of Philosophy University of

California, Berkeley, Berkeley, Californiaa manuscript, 'Being in-the-World Overcoming Mediational Thinking' (with Charles Taylor)

Michael David Edwards

(United Kingdom), Guest Professor for Music and the Internet, Universitat Mozarteum, Salzburg, Austria—a musić composition for amplified string the and computers, "Caduta Massi"

Dyan Elliott (Canada), Professor of History and Religious Studies, Indianal University, Bloomington, Indiana--a study "Proving Women Female Mysticism and Inquisitional Practice in Late Medieval Europe

Sara M. Evans (United States), Distinguished McKnight University Professor University of Minnesota, Tidal Wave Feminism in Late 20th-Century America

Tim Evans (United Kingdom), Lecturer in Theoretical Physics, Imperial College London, England—a manuscript, "Hot Dynamics of Quantum Fields" Non-Equilibrium Thermal Field Theory' (with Ray Rivers)

Anibal Fáundes, M.D. (Brazil), Professor of Obstetrics, State University of Campinas, Campinas, Brazil—a. manuscript, "Abortion: A Twentieth Century Misunderstanding' (with José Barzelatto)

Susan Gal (United States), Professor of Anthropology and Linguistics, University of Chicago, Chicago Illinois—a manuscript, Language Ideologies and Linguistic Differentiation" (with Judith T Irvine)

Kamata Ganesh (India), Reader in Cultural Anthropology, University of Mumbai, Mumbai, India-a report Impact of the Retracting Dutch Welfare State on the Everyday Support Networks of Elderly People

Ann K. Gebuhr (United States), Humanities, Houston Baptist University, Houston, Texas—a music composition, 'Voyage d'Anima A Symphony "

Leonid Giblanskii (Russia), Senior Researcher, Russian Academy of Sciences Moscow, Russia-a study, "The Soviet Union and the Establishment of Communist Regimes in Eastern Europe 1945–1956 A Documentary Collection" (with Norman M. Naimark)

Lesley Gill (United States), Associate Professor of Anthropology Amencan University Washington DCa manuscript Confronting Power, Military Training, Human Rights and Violence in the Americas 1

Lucy Gilson (England), Associate Prolessor, University of Witwatersrand, Johannesburg, South Africa---a manuscript, Amman, Jordan---a manuscript, The Health Policy Toolkit Methods for investigating Policy" (with Gill Walt)

Andrew Ginzel (United States), Artist, School of Visual Art, New York, New York—artwork, "Investigation Into Diametric Projects" (with Kristin Jones)

Ira D. Glick (United States),

Professor of Psychiatry and Behavioral Sciences, Stanford University, Stanford, California-a paper, "Improving Psychiatric of Anthropology, University of Michigan, Treatment Outcome by Combining Medication and Family Intervention to improve Compliance

Lyle W. Gomes (United States), Professor of Photography, College of San Mateo, San Mateo, California—a photographic study, "Landscapes of Myth and Ideology

Arpad Göncz (Hungary), Former President of Hungary, Budapest, Hungary— a memoir

una Rosa Gonzáles Matute (Mexico), Professor Titular "C" Tiempo Completo, Universidad Pedagógica Nacional, México I City, Mexico-a collection of short stories, "En el Sueno Surgen las Posibilidades "

Ken Gonzales-Day (United States), Asso ciate Professor of Art and Humanities, Scripps College, Claremont, Californiaseries of essays, "Inside Photography A Photographer's Guide to Looking at Photographs 1

avitri Wimalawathie Goonesekere (Sri Lanka), Vice Chancellor and Senior Professor of Law, University of Colombo, Colombo, Sn Lanka-a manuscript, Integrating International Human Rights in Domestic Law

Deirdre Mary Gribbin (Ireland), Lecturer, University of Newcastle, Newcastle-upon-Tyne, England—a music composition, "Venus Blazing "

W. Kim Halford (Australia). Professor of Clinical Psychology, Griffith University, Mt Gravatt, Queensland, Australiapapers on relationship self-regulation, satisfaction and stability in the early years of marnage

loseph Harris (United States), Professor of English and Folklore, Harvard "A Young Mind in an Early Brain" University, Cambridge, Massachusettsa manuscript, "Early Germanic Elegy An Essay in Para-Literary History *

Norbert Herschkowitz (Switzerland), " Professor Emeritus of Pediatrics, University a study "Cross-Linguistic Studies of the of Bern, Bern, Switzerland—a manuscript, A Young Mind in an Early Brain" (with Jerome Kagan)

Joost R. Hiltermann (Netherlands), Executive Director, Human Rights Watch, Condoning War Crimes International Policy and the Making of the Iraq Crisis *

·*••••

Michael Hutter (Germany), University Professor and Chair, Economic Theory, Witten/Herdecke University, Witten, Germany—a manuscript, "Plays of Value On the Relationship Between Economy and Art '

Judith T. Invine (United States), Professo Ann Arbor, Michigan—a manuscript, Language Ideologies and Linguistic Differentiation" (with Susan Gal)

Miriam ittyerah (India), Reader in Psychology, University of Delhi, Delhi, ndia-a manuscript, "Hand and Touch Haptic Cognition

Joy James (United States), Professor of Afro-American Studies, Brown University, Providence, Rhode Island---a manuscript, Cyberspace in Prison Communication, ncarceration and Human Rights" with William J. McIver)

Denis R. Janz (United States),

Provost Distinguished Professor, Loyola Jniversity, New Orleans, Louisiana—a. manuscript, "The Pedagogy of Guilt in the Reformation Era

en Jenkin (United States), Playwright and Professor, New York University, New York, New York—a play, "Kraken A Story of Nathaniel Hawthome and HermanMelville

Scott Johnson (United States), Composer, New York, New Yorka music composition for sampled speech and electric ensemble, "Strangers and Neighbors

Kristin Jones (United States), Artist, Tyler School of Art, New York, New Yorkartwork "Investigation into Diametric Projects" (with Andrew Ginzel)

lartin Kenneth Jones (United Kingdom), American and Caribbean Sludies. George Pitt-Rives Professor of Archaeolog-Mount Holyoke College, South Hadley, cal Science, University of Cambridge, Cambridge, England—a manuscript, Ecological Patterns in the Human Past "

erome Kagan (United States), Research William J. McIver Jr. (United States), Professor of Psychology, Harvard University, Assistant Professor, State University of ambridge, Massachusetts-a manuscript, New York at Albany, Albany, New Yorkwith Norbert Herschkowitz)

Prathibha Karanth (India), Professor, Dr. S. R. Chandrasekhar Institute of Speech and Hearing, Bangalore, India Disorders of Reading Implications for Veuropsychological Models of Reading '

Sermin Kardestuncer (United States), Artist, New York, New York--artwork. Mud Series

David Kechley (United States),

Professor of Music, Williams College, Williamstown, Massachusetts-a set of cappella choral pieces

ian Krzywicki (United States), Professor of Music, Temple University, Philadelphia, Pennsylvania-a music composition

irletta Jaeger Lane (United States), Writer, Three Forks, Montanaa rewriting of her book, "The Lost Child "

Philippa Levine (United Kingdom), Professor of History, University of Southern California, Los Angeles, California-two studies, one reassessing the history of the British Empire and the other an edited collection on gender and empire for the series, "Oxford History of the British Empire

Irina Levinskaya (Russia), Senior Research Fellow, Russian Academy of Social Sciences, St. Petersburg, Russiaa manuscript, "A Long-Desired Journey to Turkey Apostle Paul and Others

Margaretta M. Lovell (United States), Associate Professor of Art History, University of California, Berkeley, Berkeley, California a manuscript, 'Journey to the Ruins of Aztalan In Search of the Scenic and the Prehistoric in Early 19th-Century America 1

Ruth Macklin (United States), Professor of Bioethics, Albert Einstein College of Medicine, Bronx, New Yorka manuscript, "Confronting Ethical

Controversies in International Research " ou Mallozzi (United States), Composer, Chicago, Illinois—an interdisciplinary

exhibition, "Locus" (with Antonia Contro)

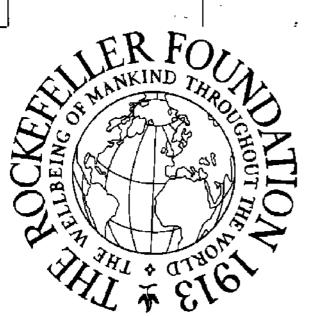
Takyiwaa Manuh (Ghana), Associate Professor, University of Ghana, Legon, Ghana—a manuscript, "The Making of Text: Culture and Gender in African Societies" (with Akosua Adomako Ampofo)

Roberto Márquez (United States), Milliam R. Kenan Professor of Latin Massachusetts---a study, "Boriquen to El Barrio and Beyond Puerto Rican Poetry From Aboriginal Times to the Present

a manuscript, "Cyberspace in Prison communication, Incarceration and luman Rights" (with Joy James)

lames McMichael (United States), Writer, Ojai, California-a collection of poems, "Capacity"

Norma C. Mendoza-Denton (Mexico), Assistant Professor of Anthropology, Iniversity of Arizona, Tucson, Arizonamanuscript, "Homegirls. The Making of atina Youth Styles '



Photograph Excised Here

Thailand

Antonio V. Menendez Alarcon (Spain), Associate Professor of Sociology and Director, International Studies, Butler University, Indianapolis, Indiana---a study, "The Social Representation of the European Union in France, Spain and England."

Hoshang Merchant (India), Reader, Department of English, University of Hyderabad, Hyderabad, India—a manuscript, "Gay Writing in India— Part I: Vedas to Muslim Conquest."

Eugenia Waterstein Meyer (Mexico), Professor of History, Universidad Nacional Autónoma de México, Mexico City, Mexico—a study, "John Kenneth Turner, The Mexican Journalist."

Elijah Millgram (United States), Associate Professor of Philosophy, University of Utah, Salt Lake City, Utaha manuscript, "Hard Truths."

Linda S. Mullenix (United States), Ward Centennial Professor of Law, University of Texas at Austin, Austin, Texas—a manuscript, "Aggregate Resolution of Group Harms in a Global Context."

Norman M. Naimark (United States), Robert and Florence McDonnell Professor of East European Studies, Stanford University, Stanford, California—a study, "The Soviet Union and the Establishment of Communist Regimes in Eastern Europe, 1945–1956: A Documentary Collection" (with Leonid Gibianskii). Support from the Foundation's Bangkok office is helping to build a new generation of Muslim scholars in Southeast Asia.

NI Shaoxiang (China), Professor and Director of Cartography and Remote Sensing, Nanjing Normal University, Nanjing, China—a study, "Grasshopper Monitoring and Earty-Warning System in the Region Around Lake Qinghai, China."

João Gilberto Noll (Brazil), Writer, Porto Alegre, Brazil—a novel, "Berkeley."

Geoffrey O'Brlen (United States), Editor-in-Chief, Library of America, New York, New York—a work of fiction and nonfiction, Jukebox Sonata: Music and Memory in the Recording Era."

Brendan O'Leary (Ireland), Professor of Political Science and Stanley I. Sheer Endowed Term Chair in the Social Sciences, University of Pennsylvania, Philadelphia, Pennsylvania—a monograph "Power-Sharing Executives: The Management of National and Ethnic Divisions in Northern Ireland and Comparable Consociational Cases."

H. Allen Orr (United States), Professor of Biology, University of Rochester, Rochester, New York—a manuscript, "Speciation: A Collaborative Book on the Formation of Species" (with Jerry Allen Coyne).

Petros Ovsepyan (United States), Composer, Berlin, Germany—a music composition, "V. Studies in Illusion."

Florence Palpacuer (France), Professor in Business Management, Université de Bretagne Sud, Montpellier, France—a manuscript, "Global Outsourcing Down the Street: New Patterns of Production Organization in New York's Garment Industry."

Indira Viswanathan Peterson (United States), Professor of Sanskrit and Indian Studies, Columbia University, New York, New York—translation of a Tamil drama from 18th-century India, "The Wandering Fortune-Teller of Kurralam."

Barbara Setsu Pickett (United States), Associate Professor, University of Oregon, Eugene, Oregon—a manuscript on the technique of hand weaving velvet and artwork, "Visual Chronicles on Silk."

Adriana Garcia Piscitelli (Argentina), Senior Researcher, Universidade Estadual de Campinas, Campinas, Brazil—a study, "Of 'Gringos' and 'Natives': Gender and Sexuality Discussed in the Context of International Sex Tourism in Fortaleza, Brazil.



Karen Press (South Africa), Writer, Sea Point, South Africa—a cycle of poems, "Hanging From the Family Tree."

Wyatt Prunty (United States), Director, Sewanee Writers' Conference, University of the South, Sewanee, Tennessee—a collection of poetry, "The Reasonable Stranger."

Mirostaw Przytipiak (Poland), Senior Lecturer, University of Gdanski, Gdansk, Poland—a study, "Between Ideology and Representation: American Direct Cinema."

Qlan Qing (China), Professor, Beijing Foreign Studies University, Beijing, Chinasections about 20th-century American literature for a five-volume "History of 20th-Century Foreign Literatures" (with Tao Jie).

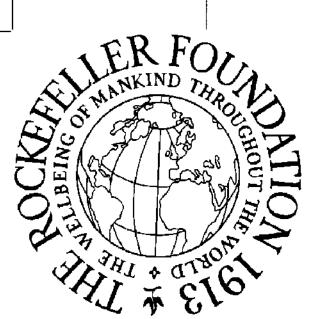








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Associate Professor, University of Mauntius, Reduit, Mauntius—a study, 'Life Cycle Assessment of Cane-Sugar Production: A Case Study of the Island of Mauntius." Luis Recoder (United States), Filmmaker, New York, New York—a film, Available Light." Jairam Reddy (South Africa), Independent, Consultant in Higher Education, Berea, South Africa—a study. "Reconfiguration of Higher Education Systems in the 21st Century. A South African Case Study." Ray Rivers (United Kingdom), Reader in Physics, Impenat College, London, England— a manuscript, "Hot Dynamics	International Jewish Women's Human Rights Watch, Jerusalem, Israel—a manuscript, "The Use of Human Rights as a Strategy for Elimination of Discrimination Against Women in Jewish Divorce " Maxim D. Shrayer (United States), Associate Professor, Department of Slavic and Eastern Languages, Boston College, Chestinut Hill, Massachusetts— a manuscript, "The Jewish Question and Modern Russian Writers." Svetlana Jurievna Siguida (Russie), Professor of Musicology, Moscow Conservatory, Moscow, Russia—a textbook, "American Music (1900–1960): A Russian Perspective ' B. Jeffrey Smith (United States)	Agata Tuszynska (Poland), Writer, Warsaw Poland—an autobiographical account, "Lost and Found " Charles S. Ungerielder (Caneda), Professor of Sociology of Education, University of British Columbia, Vancouver, British Columbia, Canada—a manuscript, "The Future of the Canadia—a manuscript, "The Future of the Canadia—a manuscript, "The Future of the Canadia—a manuscript, "The Future of the Canadia, Vancouver, British Columbia, Canada—a manuscript, "The Future of the Canadia, Vancouver, British Columbia, Canadia—a manuscript, "The Future of the Canadia, Vancouver, British Columbia, Canadia, Vancouver, British Columbia, Canadia, Vinter, Playwright and Folktorist, Kondagaon, India—a study, "Preservation, Promotion, and Dissemination of Tribal and Folk Art and Culture" (with Khemdas Vaishnav) Khemdas Vaishnav (India), Folk Painter, Kondagaon, India—a study, "Preservation, Promotion, and Dissemination of Tribal and Folk Art and Culture" (with Harihar Vaishnav)	, C
Thermal Field Theory" (with Tim Evans) Barbara R. Rodríguez (United States), Assistant Professor of African-American Literature, Tufts University, Medford, Massachusetts—a manuscript 'The American Stave Narrative Stavery and the Persistence of Form."	Bureau Chief for Southwestern Europe, The Washington Post, Washington, D.C.— a book on why foreign conflicts begin and how the West can best intervene to promote stability W. D. Snodgrass (United States), Distinguished Professor Emeritus, University	Ruben Hector Vallejos (Argentina), Professor and Director, Centro de Estudio: Fotosiniéticos y Bioquímicos, Rosario, Argentina—a monograph, "Impact of Genetic Engineering on Agriculture in Developing Countries " Ellen Bryant Voigt (United States), Writer, Marshfield, Vermoni—a seventh	!
Dawn Hooney (United States), independent Scholar, Bangkok, Thailand— a manuscript, "Thai Art Revealed " Richard Barry Rosenbaum, M.D. (United States), Clinical Professor of Neurology, Oregon Health Sciences Jniversity, Portland, Oregon—a manu- script, "Awakening Students to Science Learning from Parkinson's Disease "	or Delaware, Enevine, Delaware—poetry Yvonne Spielmann (Germany), Professor of Visual Media, Braunschweig School of Art, Braunschweig, Germany—a manuscript, "Video—The Reflexive Medium " Wendy Stelner (Canada), Fisher Professor of English, University of Pennsylvania, Philadelphia, Pennsylvania—a manuscript, "A Love	collection of poetry Linda C. Wagner-Martin (United States), Frank Borden Hanes Professor of English and Comparative Liferature, University of North Carolina, Chapel Hill, North Carolina– a double biography, "Zelda and Scott Fitzgerald The American Promise " Gill Walt (England), Professor of International Health Policy, London School	
Public Radio Producer, Oakland, California—artwork and production of a bublic radio program, "A Chair Is a Throne Is a Freedom Fighter's Camp Stool," to boromote resolving conflicts creatively and inspire unique alternatives to punishment (with Jerry Altyn). Sharman Apt Russell (United States),	Song to Thomas Pynchon " William W. Tait (United States), Professor Ementus of Philosophy, University of Chicago, Chicago, Illinois— a manuscript, "Meaning, Knowing and Understanding A View from Outside " Tao Jie (China), Professor, Peking University, Beijing, China—sections about 20th-century American literature for a five volume "History of 20th Century	of Hygiene and Tropical Medicine, London England—a manuscript, "The Health Policy Toolkit Methods for Investigating Policy" (with Lucy Gilson) Rebecca J. West (United States), Professor of Italian and Cinema/Media Studies, University of Chicago, Chicago, Illinois—a manuscript, <i>'Luigi Malerba L'ingegno Narrativo</i> (Narrative Ingenuity) "	
Western New Mexico University, Silver City, New Mexico—a manuscript, "Hunger Your Step-by-Step Guide " Jeffrey Schiff (United States), Professor of Art, Wesleyan University, Middletown, Connecticut—artwork, "Double Vision 1	Foreign Literatures [*] (with Qian Qing). Charles Taylor (Canada), Professor Emeritus of Philosophy, McGill University, Montreal, Canada—a manuscript, "Being- in-the-World [*] Overcoming Mediational Thinking" (with Hubert L. Dreyfus)	Aristide R. Zolberg (United States), University-in-Exile Professor of Political Science, New School University, New York New York—a study, "Religion and Immigrant Incorporation in New York" (with José Casanova)	
Professor of Sociology Ementus, Stanford University, Stanford, Californiaa study about the ways in which institutional ideas travel from time to time and place to place Olga Ateksandrovna Sedakova (Russia), Senior Researcher, Moscow State University, Moscow, Russiaa book on the art of poetry	of Sydney, Sydney, Australia—a study, "Between Restoration and Reform in Post-Mao China, Hua Guofeng, Deng Xiaoning and the Politics of Transition		
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Konya

Kenya, Uganda and Zimbabwe

Assets and Capacities

Communication for Social Change

BUILDING THE FIELD

African Network for Health Knowledge Management and Communication, Narrobi Kenya \$100 000 to support its efforts to explore new opportunities for harnessing information and communication technology for community health for fural and poor communities

Africare, Washington D C \$135,000 for use by Africare Zimbabwe to test, in a field setting communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV

AllAfrica Foundation, Washington D C \$250 000 toward the cost of launching six Internet channels to serve as global resources for supporting African development initiatives

Catholic Development Commission, Masvingo Zimbabwe \$22,400 to test in a field setting communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV Decatur Memorial Foundation, Decatur llinois \$100 000 for use by the Decatur Community Partnership to measure the impact of the communication for social change integrated model and methodo gies being tested in activities designed by the Decatur community in the areas of face relations among youth cardiovascular disease education and pediatric asthma education

Primary school class, Kenya, The Foundation is supporting efforts to

produce and distribute affordable reading materials to rural schools in

Forum for African Women Educationalists, Ghana Chapter,

Cantonments Accra, Ghana \$258,000 toward the costs of developing effective communication tools and approaches for grassroots advocacy and mobilization to support the education of girls in Ghana (joint with the Africa Regional Program)

Foundation-administered project: \$100 000 toward the costs of initial research and analysis for a multifaceted public-education project focused on ssues of globalization and its effect on the lives of poor and excluded people

Foundation-administered project: \$35 000 toward the cost of a workshop, Communication for Social Change Educating and Training Professionals held at the Bellagio Study and Conference Center Italy, May 20–24, 2002

Foundation-administered project:

\$40,540 toward the cost of a project joinity a pilot project of a new initiative funded with UNESCO's Communication StoryCorps aimed at instructing and Information Sector, to develop a promprehensive suite of interactive, multi quality audio interviews with fam

media learning modules for community media and multimedia communication

Foundation-administered project: \$310,000 toward the cost of follow-up activities resulting from Bellagio workshops on Communication for Social Change Educating and Training Professionals—including landscaping communication courses run by universities schools of public health and agricultural extension agencies and developing Communication for Social Change curricula for workshops and master's programs

Foundation-administered project: \$67,500 to support an assessment of existing communication infrastructure in the focus countries of the Foundation s North American Transnational Communities initiative and an examination of communication challenges and strategies used by organizations to assist transnational groups

maginario, Santalé de Bogotá Colombia \$150 000 toward the costs of further developing the Communication Initiative Latin America a network—including Web database, listserv and electronic magazines—on communication for sustainable development

Sound Portraits Productions, New York New York \$50 000 to support StoryKiosk a pilot project of a new initiative StoryCorps aimed at instructing and inspiring critizens to record broadcastquality audio interviews with family friends and community members



Umzingwane AIDS Network, Esigodini Zimbabwe \$73 472 to test, in a field setting communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV

WITNESS, New York, New York \$150,000 toward the costs of strengthening its capacity to provide video technology and training to human rights groups in the United States and around the world

WorldSpace Foundation, Washington, D.C \$100,000 for general support of its mission to provide educational and other social-development information to people in developing countries

Zimbabwe AIDS Prevention and Support Organisation, Harare, Zimbabwe \$43,000 Council on Foundations, Washington, to test in a field setting, communication processes aimed at building the capacity of and empowering rural Zimbabwean youth to advocate on their own behalf against risky behaviors that can lead to the transmission of HIV

DEVELOPING METHODOLOGIES

AND EVALUATING TOOLS

Ashoka: Innovators for the Public, Arlington Virginia \$50,000 toward the costs of a project to design test and evaluate strategies, based on the experiences of Ashoka Fellows for communicating system-changing ideas

Foundation-administered project: \$55 850 toward the costs of a symposium on the role of journalism in promoting community values held May 30 to June 1, 2002, in conjunction with Rutgers University's Journalism Resources Institute

PROMOTING MEDIA ACCESS

Citizens Committee for New York City, New York, New York \$180,285 toward the costs of its program to strengthen heighborhood assets through competitive awards and technical support for New York City community groups concerned with enhancing intergroup relations

National Public Radio, Washington, D.C.* \$200,000 to support the continuation of its special news coverage of recovery efforts since the September 11 attacks and the war in Afghanistan

Discretionary Funds

Advocates for Children of New York, New York, New York \$165,000 in support of its Equity Monitoring Project for mmigrant and Refugee Education, designed to increase the engagement of immigrant parents in their children's education through school monitoring and

advocacy, with the aim of elevating both the concerns of immigrant students and the accountability of local schools, school systems and government agencies

Commune of Bellagio, Bellagio Italy \$50 000 in recognition of the cooperation of the town and its residents in the restoration of the 17th century fnary at the Foundation's Bellagio Study and Conference Center, Italy to be used for the computer program in the local public school

Coro New York Leadership Center,

New York, New York \$200,000 to support ts New American Leaders project that will recruit and train existing leaders so they may develop skills to build their ogranizations' participation in New York City civic processes.

D.C \$49,600 toward general operating expenses in 2002

Earth Times Foundation, New York, New York \$50,000 for general support

Foundation Center, New York, New York \$200,000 toward its general operating expenses during 2002-2004

Independent Press Association-New York, New York, New York \$185,000 to encourage increased coverage of civic affairs in the immigrant press through fellowships training opportunities and briefings for immigrant-press journalists

Independent Sector, Washington, D.C. \$12,000 toward general operating expenses in 2002

Japan Center for International Exchange (U.S.A.), Inc., New York, New York \$50,000 toward the costs of a research project on the role of private philanthropy n rebuilding United States-Japan relations following World War II

National Summit on Africa, Washington, D C \$100,000 for general support

New York Immigration Coalition, New York, New York \$200,000 to support Project Involve, an initiative aimed at educating immigrants in New York City about the possibilities and challenges of civic participation, and mobilizing them to become active players in their communities and the City at large

New York Regional Association of Grantmakers, New York, New York \$15,500 toward general support for the ear 2002

Rockefeller Foundation Matching Gift Program: \$1,500,000 toward costs of the Rockefeller Foundation Matching Gift Program

Rockefelier University, New York, New York \$730,418 to cover 2003 operating costs associated with the preservation

and continuing use of Foundation records deposited at the Rockefeller Archive Center

School of Oriental and African Studies, University of London, London, England \$18,750 to enable its Brunei Gallery to mount a photographic exhibition of the work of Hiroji Kubota and John Vink on the theme of food security in sub-Saharan Africa and southern and eastern Asia

Synergos Institute, New York, New York \$15,000 toward the costs of its sixth annual University for a Night an event that brings together leading individuals, corporations, governmental and nongovernmental organizations interested in improving the lives of poor people throughout the world, held at the United Nations, October 29, 2002

Thomas J. Watson Foundation, Providence, Rhode Island \$10,000 toward the costs of a meeting on improving nationally competitive scholarship programs, held at the Bellagio Study and Conference Center, Italy, November 2002

World Conservation Union, Gland, Switzerland \$88,000 for use by its Commission on Environmental, Economic and Social Policy to update the book, 'The Careless Technology Ecology and International Development * that critiques technology from environmental, human development and livelihoods perspectives

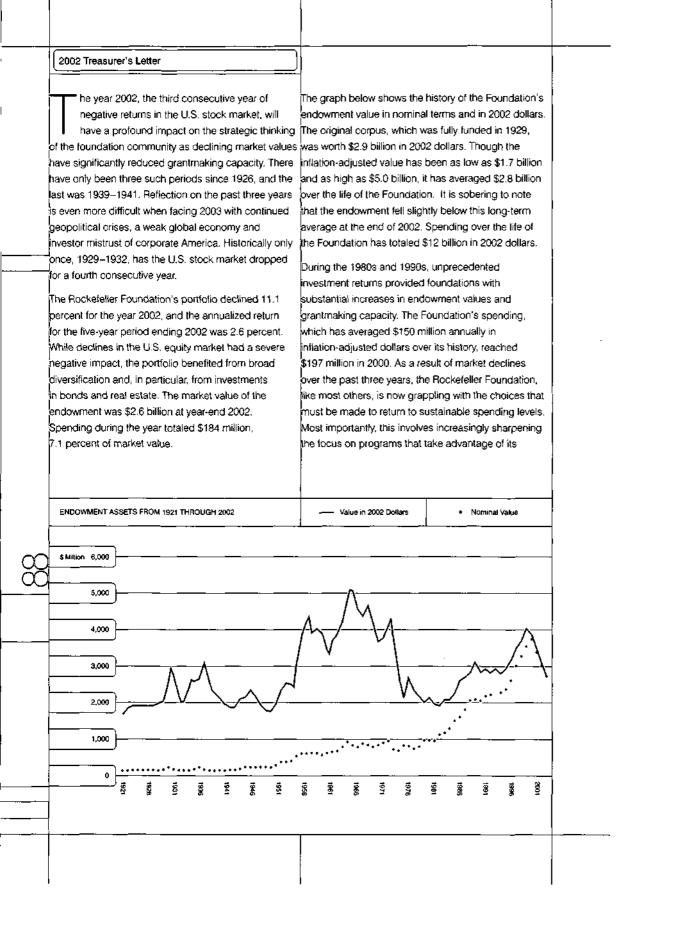
Program Venture Experiment

Foundation-administered project: \$196,800 to develop frameworks for measuring the social returns on ProVenEx investments

BCLF Ventures, Boston, Massachusetts \$750,000 as a program-related nvestment to support its investments in ousinesses located in Massachusetts and the northeastern United States that can penerate financial and social returns hrough creation and retention of highquality entry- and mid-level jobs for lowncome and other disadvantaged groups

Jacobs Center for NonProfit Innovation. San Diego, California \$1,000,000 as a program-related investment to provide equity capital to finance Phase 1 of Markel Creek Plaza, a 20-acre commercial. retail and cultural community economic development project in a distressed neighborhood in San Diego, Cálifornia

Origin, Inc., New York New York \$750,000 as a program-related investment to support its efforts to connect welfare recipients and other low-income people who are unemployed or working at low-wage obs to information technology-related obs with farge corporation



Unique programming strengths and benefit poor and excluded people.

The concept of generational neutrality—maintaining the long-term purchasing power of the endowment after inflation and grantmaking—is a touchstone for the Foundation. There is a temptation to believe that the problems of the world today are more pressing than they may be in the future. The enormity of the challenges facing poor and excluded people around the world is daunting. Looking back in history, however, there have been other times when crises seemed similarly compelling. Because our predecessors adhered to the discipline of preserving generational neutrality, the Foundation's grantmaking capacity in real dollars, as well as its legacy of knowledge and experience in philanthropy, are available today.

In providing oversight of the Rockefeller Foundation's endowment, the board of trustees strives to balance the conflicting objectives of maintaining generational neutrality and maximizing funds for current programs through policies on the spending rate and on the asset allocation of the investment portfolio. The Foundation's long-term target for annual spending is 5.5 percent of the market value of the endowment.

Foundations are required by law to spend an average of 5 percent of endowment value each year. Assuming a long-term inflation rate of 3 percent, investment returns must average at least 8 percent in order to preserve purchasing power.

If, as many economists predict, the environment over the next decade will be characterized by low growth and subdued financial-market returns, it may be difficult for foundations to attain their return objectives. Therefore, the Rockefeller Foundation intends to continue increasing portfolio concentration in investments like absolute return strategies, real estate, and private equity which have returns that are less sensitive to the public markets and which provide the opportunity to add value through skilled management. Asset allocation policy is reviewed annually by the Finance Committee, which establishes a target allocation for each asset class. The Foundation rebalances to policy targets as markets move, but does not make frequent tactical shifts in asset allocation. The long-term asset allocation targets are:

ASSET CLASS	PERCENT
U.S. Equity	30
International Equity	16
Global Equity	5
Bonds	20
Cash	1
Absolute Return	8
Real Estate	10
Private Equity	10

The Foundation's investment staff develops overall strategy, recommends investment managers, and oversees their performance and adherence to guidelines. Staff also researches new investment opportunities and determines their feasibility for the Foundation, and monitors and controls portfolio risks. During 2002, the Foundation's risk-management program was enhanced and risk-budgeting analyses were applied to the asset rebalancing process and to monitoring of marketable securities portfolios.

In selecting outside managers, the Foundation seeks firms that have the people, management structure and disciplined processes to generate superior future results, in addition to strong track records. While quantitative tools are essential for organizing data and for portfolio analysis, we believe that fundamental research and judgment will always be necessary in a world of rapidly changing capital markets. Investment expenses have a substantial impact on long-term results, and we consider cost control an important component of effective portfolio oversight.

2002 Financial Reports Treasurer's Letter



The U.S. equity portfolio has 20 to 30 percent invested in an S&P 500 index fund, and the remainder is allocated among nine active managers. The structure of this asset class is designed to roughly approximate the Russell 3000 index allocations to small-, mediumand large-capitalization stocks.

The Foundation's international equity portfolio has a small index fund component, which is maintained for purposes of portfolio rebalancing, plus six active < managers with EAFE benchmarks. Currency risk is hedged at a 50 percent level by specialist managers. Emerging markets can represent up to 20 percent of the total international equities portfolio, and the Foundation has two managers who specialize in these markets.

In early 2002, the Foundation added a Global Equity asset class. The Treasurer's Office believes that increasing global economic integration calls for an investment process that identifies the best companies in global industries. It is difficult to find managers with true global capacity, but the intent is to expand this asset class over time.

The U.S. bond portfolio is invested by five managers. The target allocation includes a 40 percent position in index funds holding Treasury bonds and Treasury inflation Protected Securities (TIPS). The move to passively managed Treasury securities was made to increase credit quality, to allocate portfolio risk in asset classes where there is greater potential value added, and to reduce investment management fees. In addition to U.S. Treasury and agency securities, the actively managed portion of the portfolio includes mortgages, corporate bonds and asset-backed securities. A limited amount of international bonds may be held if managers believe they will add value compared to the Lehman Aggregate benchmark.

During 2001, an Absolute Return asset class was added to the Foundation's portfolio. This asset class, which will be built slowly with top-tier firms, includes investments in event-driven strategies, long/short equity strategies and distressed debt. These investments are expected to provide equitylike returns that are not highly correlated with the public equity and fixed-income markets.

In addition to marketable securities, the Foundation makes investments in private equity and real estate through limited partnerships. The inefficiency of private markets offers long-term institutional investors, who can tolerate illiquidity, the opportunity to benefit from value added by experienced partners. Our strategy is to ouild relationships with top-tier firms with whom we can invest in a series of funds over time and to structure partnerships that align our interests with those of our partners.

In conclusion, the difficult markets of the past three years have prevented the Foundation from achieving, over the last five years, a return that covers its 5.5 percent spending target plus inflation. Going forward, meeting this objective will continue to be a challenge, and we must continue to be responsive, though not narrowly reactive, to the changing market environment and to explore potential avenues for enhancing return without unduly increasing portfolio risk.

The Board of Trustees

The Rockefeller Foundation

by the have audited the accompanying statements of financial position of the Rocketeller Foundation (the "Foundation") as of December 31, 2002 and 2001, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Rockefeller Foundation at December 31, 2002 and 2001, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States.

Ernst + Young LLP

New York, New York February 21, 2003

2002 Financial Reports

	Statements of Financial Position				December 31	
	Assets		2002 (In Thousands)		2001 (In Thousands)	
	Cash and cash equivalents, including restricted bond funds of \$662 in 2002 and \$678 in 2001 (Notes 2 and 7) Dividends, interest and other receivables Investments (Notes 2, 3 and 4)	\$	4,532 9,548 2,577,455	\$	4,770 11,851 3,113,684	;
	Property, net of accumulated depreciation and amortization (Note 5) Deferred Federal excise tax (Note 2) Prepaid pension cost and other assets (Note 6)		24,695 5,598 57,236		26,078 1,301 53,442	1
	Total assets	\$	2,679,064	\$	3,211,126	
_	Liabilities and net assets Liabilities: Accounts payable and accrued liabilities	\$	10,296	\$	13,894	
	Appropriations by the trustees, approved for specific grantees/purposes but not yet paid (<i>Note 8</i>)	•	110,252	Ť	122,000	
	Bonds payable, net of unamortized discount (2002: \$252; 2001: \$265) (<i>Note 7</i>) Accrued post-retirement benefits (<i>Note 6</i>)		24,893 18,676		25,545 18,445	
2002 Financial Reports Statements of Financial Position	Total liabilities Commitments (Notes 3 and 4) Unrestricted and total net assets (including board-designated amounts of \$197,246 in 2002 and \$218,499 in 2001) (Note 8)		164,117 2,514,947		179,884 3,031,242	
Financ tents of	Total liabilities and net assets	\$	2,679,064	\$	3,211,126	
2002	See accompanying notes.					

Statements of Activities		
	Y	Yea <mark>t end</mark> ed December 31
Changes in net assets	2002 (In Thousands)	2001 (In Thousands)
nvestrient return:	((
Realized and change in unrealized (loss) gain on investments, net	\$ (416,153)	\$ (334,991)
Dividend and interest income (Note 2)	82,512	102.086
Other investment income	725	2,046
	(332,916)	(230,859)
Investment expenses	(15,198)	(15,681)
let investment return	(348,114)	(246,540)
)ther expenses:		
Approved grants and program costs	136,214	151,182
Program administrative expenses	19,383	17,716
General administrative expenses	13,678	13,979
Provision (benefit) for Federal excise and unrelated business income taxes (Note 2):		
Current	3,203	1,020
Deferred	(4,297)	(3,751)
	168,181	180,146
Decrease in unrestricted net assets	(516,295)	(426,686)
Unrestricted net assets, beginning of year	3,031,242	3,457,928
Jnrestricted net assets, end of year	\$ 2,514,947	\$ 3,031,242

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	Statements of Cash Flows				
			Ye	ar ended i	December 31
	Cash flows from operating activities		2002		2001 In Thousands)
	Decrease in net assets	(In Thouse \$ (516,)		\$	(426,686)
	Adjustments to reconcile decrease in net assets to net cash	÷ (510,	2307	Ψ	(420,000)
	used in operating activities:				
	Depreciation and amortization	1,4	465		1,469
	Net unrealized loss on investments	212,	398		183,317
	Net realized loss on investments	203,	755		151,674
	Changes in operating assets and liabilities:				
	Dividends, interest and other receivables	2,	303		2,633
	Prepaid pension cost and other assets	(3,	794)		(4,141)
	Accounts payable and accrued liabilities	(3,	598)		(2,859)
	Appropriations by the trustees, approved for				
	specific grantees/purposes but not yet paid	(11,			24,595
	Deferred Federal excise tax	(4,:	2 9 7)		(3,751)
	Accrued post-retirement benefits		231		121
		396,3	715		353,058
	Net cash used in operating activities	(119,	580)		(73,628)
	Cash flows from investing activities				
ŝ	Net sales of investments	120,4	076		77,012
Flows	Property additions		(69)		(275)
of Cash	Net cash provided by investing activities	120,	007		76,737
Statements o	Cash flows from financing activities				
Statements of Cash Flown	Repayments of bonds payable	(665)		(635)
Sta	Net cash used in financing activities		665)		(635)
		`			,
\mathcal{O}	Net (decrease) increase in cash and cash equivalents,				
	excluding amounts held in investment portfolio	•	238)		2,474
	Cash and cash equivalents, beginning of year	4,;	770		2,296
	Cash and cash equivalents, end of year	\$ 4,	532	\$	4,770
	Supplemental information				
	Supplemental information Cash paid for bond interest	\$ 1,:	356	\$	1,384

Notes to Financial Statements

1. Organization

in 1913 "to promote the well-being of mankind throughout the world," is a knowledge-based global foundation with a commitment to enrich and sustain the lives and livelihoods of poor and excluded people throughout the world. Grantmaking is organized around thematic lines of work: Creativity & Culture, Food Security, Health Equity, Working Communities, a cross-theme of

Global Inclusion, and a number of regional and specialty programs. In managing the endowment, the Foundation has two primary objectives: to maximize the funds available for current funding and to preserve the value of dollars at the exchange rates in effect at the date of the the enclowment. These objectives are achieved through a diversified investment portfolio and spending policies.

The Foundation qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code and, accordingly, is not subject to Federal income tax. (see Note 2).

2. Summary of Significant Accounting Policies CASH AND CASH EQUIVALENTS

Cash and cash equivalents consists of cash and investments with maturities of less than 90 days from the \$(11.2) million, respectively. time of purchase. Cash and cash equivalents held by the Foundation's investment managers is not included.

INVESTMENTS

investments in marketable securities are stated at fair value. Fair value is determined using daily closing prices, where available, for any tradeable instruments on any global stock exchange. Interest income and related expenses are accounted for on the accrual basis on trade date. Dividend income and related expenses are recognized on ex-date, net of withholding taxes where applicable. Realized gains and losses on investments in securities are calculated based on the specific identification method, based on trade date.

Limited partnership investments and similar interests are stated at estimated fair value. Values for these partnerships, which may include investments in both nonmarketable and market-traded securities, are provided by the general partner and reviewed by the 🛪

-oundation's management. Values may be based on historical cost, appraisals, fair values discounted for concentration of ownership or other estimates that require varying degrees of judgment. The financial The Rockefeller Foundation (the "Foundation"), chartered statements of the limited partnerships are audited annually by independent auditors.

> Programmatic investments are philanthropically driven, nonmarketable investments, made under the Foundation's Program Venture Experiment (ProVenEx), in businesses that further the Foundation's program work. Programmatic investments are stated at estimated fair value, which may be based on historical cost, financing events or material changes in the business.

> Transactions in other currencies are translated into U.S. transactions. Monetary assets and liabilities denominated in non-U.S. currency are reported at the exchange rates. in effect at the dates of the statements of financial position. Any gain or loss arising from a change in exchange rates. subsequent to the date of the transaction is included in realized and change in unrealized (loss) gain on investments, net, in the statements of activities. For the years ended December 31, 2002 and 2001, the realized and change in unrealized (loss) gain, net, resulting from foreign exchange was approximately \$23.7 million and

FEDERAL EXCISE AND UNRELATED BUSINESS INCOME TAXES

The Foundation is classified as a private foundation. t is subject to a Federal excise tax of 1 percent or 2 percent on investment income (its principal source of evenue) less investment expenses, and on net realized taxable gains on securities transactions. In accordance with Section 4940(e) of the Internal Revenue Code, for the years ended December 31, 2002 and 2001, the Foundation met the specified distribution requirements and, therefore, was subject to a Federal excise tax of 1 percent. Additionally, the Foundation's investments in certain private equity and real estate partnerships give rise to unrelated business income tax liabilities

Deferred Federal excise tax arises from temporary differences between financial and tax reporting related to investment income and the difference between the cost basis and fair value of marketable securities.

2002 Notes to Financial Statements **Financial Reports**





USE OF ESTIMATES

2002 Financial Reports

accounting principles generally accepted in the United

financial statements and accompanying notes. Manage-

ment believes that the estimates utilized in preparing its financial statements are reasonable and prudent. Actual

States requires management to make estimates and

assumptions that affect the amounts reported in the

RECLASSIFICATIONS

The preparation of financial statements in conformity with Certain 2001 amounts have been reclassified to conform to the current year's presentation.

3. Investments

The Foundation's investment portfolio consists of the following:

Total	\$ 2,861,471	\$ 2.577,455	\$ 3,185,302	\$ 3,113,684
Pending securities transactions, net	(54,428)	(54,414)	(129,729)	(129,811)
Programmatic investments	9,310	9,310	6,500	6,500
Subtotal	497,237	431,303	457,246	454,261
Private equity	296,099	194,493	270,008	229,968
Real estate	131,446	156,798	125,540	152,480
Limited partnerships and similar interests: Absolute return	69,692	80,012	61,698	71,813
Subtotal	2,409,352	2,191,256	2,851,285	2,782,734
Other investments	9,264	7,008	17,259	13,283
Common stock (including REITs)	1,702,281	1,499,524	1,828,090	1,775,395
Corporate obligations	260,397	244,069	407,005	388,077
U.S. and other government obligations	242.343	252,839	356.219	358,825
Money market funds Foreign currency-short-term	\$ 195,067	\$ 195,116 (7,300)	\$ 242,712	\$ 242,716 4,438
Marketable securities:	2002 Cost (in Thousands)	2002 Fair Value (In Thousands)	2001 Cost (In Thousands)	2001 Fair Value (In Thousands)
				December 31

In 2001, the Foundation began investing in absolute short, event-driven and distressed investments. These investments are held in the form of limited partnerships. December 31, 2002 and 2001, was approximately

As of December 31, 2002, under the terms of various private equity, real estate and other limited partnership agreements, the Foundation has commitments to contribute approximately \$292 million in additional capital over the next 10 to 15 years,

The Foundation has three investment custodians. Two of these custodians maintained securities lending programs during 2002. Collateral was held at all times n excess of the value of the securities on loan. Investment of this collateral is in accordance with specified a

guidelines. Interest earned on these transactions is eturn strategies. Absolute return strategies focus on long/ included with other investment income in the statements of activities. The fair value of securities on loan at \$158.4 million and \$133.8 million, respectively.

4. Derivative Financial Instruments

Derivatives are highly effective tools used to maintain asset mix or adjust portfolio risk exposure. The Foundation invests in futures on the S&P 500 index and U.S. Treasury bonds, and foreign currency forward contracts. The S&P 500 index and U.S. Treasury futures are purchased or sold at minimum transaction cost to adjust desired asset mix. Currency forward contracts are utilized by certain specialist investment managers.

to hedge foreign currency exposure in the Foundation's Assets and liabilities represent the derivative contracts international equity portfolio. purchased and sold by the Foundation. The fair value of the fair value of the foundation.

Some of the Foundation's investment managers are permitted to use exchange-based options to reach desired investment risk profiles. Options are often used in the Foundation's fixed income portfolio to adjust exposure to interest rate fluctuation and yield curve movements. Within the limited partnerships, managers may create additional exposure to the Foundation through short sales of securities, and trading in futures and forward contracts, options, swaps and other derivatives products.

The Foundation records its derivative activities on a fair value basis. The fair value of futures, forwards and options is reflected in the statements of financial position. Each of these financial instruments contains varying degrees of risk whereby changes in the fair value of the securities underlying the financial instruments or the cost of satisfying the Foundation's obligation may exceed the amount recognized in the statements of financial position.

The full market risk and credit risk of derivative financial instruments are associated with their underlying contract amounts or "notional values" in addition to their fair values. Market risk represents potential loss from the decrease in the value of these financial instruments. Credit risk represents potential loss from possible nonperformance by obligors and counterparties on the terms of their contracts. ¬ Assets and liabilities represent the derivative contracts purchased and sold by the Foundation. The fair value of such positions represents the net unrealized gains and losses and, consequently, the net receivables and payables at December 31, 2002 and 2001. Fair values of the Foundation's derivative financial instruments generally are determined by either quoted market prices, or third-party pricing models. Pricing models utilize a series of market inputs to determine the present value of future cash flows, with adjustments, as required, for credit risk, liquidity risk and ongoing costs.

Fair values of the Foundation's derivative financial instruments at December 31, 2002 and 2001, are summarized below. This table excludes exposures relating to derivatives held indirectly through partnership investments.

At December 31, 2002 and 2001, there was approximately \$12.3 million and \$4.9 million related to over-thecounter ("OTC") contracts and approximately \$274,000 and \$900,000 related to exchange-traded contracts. As of December 31, 2002 and 2001, approximately \$7.8 million and \$8.5 million were maintained on deposit

with brokers for margin requirements on futures, forwards and options. Counterparties to the Foundation's OTC derivative products are high credit quality institutions, which are primarily banks, securities firms and investment companies. Management does not anticipate that losses, if any, resulting from credit or market risk, would materially affect the Foundation's financial position,

2002 Financial Reports Notes to Financial Statements

	December		
	2002 (In Thousands)	2001 (in Thousands)	
Equity contracts to manage desired asset mix (contracts primarily based on S&P 500 index):			
Futures contracts:			
Assets	\$70	\$ (303)	
Fixed income contracts to manage portfolio duration, asset mix and interest rate risk:			
Futures contracts:			
Assets	(76)	363	
Liabilities	128	(61)	
Put and call options:			
Liabilities	-	(211)	
Foreign currency contracts to hedge foreign exchange exposure in non-U.S. dollar securities:			
Forward contracts:			
Assets	2,527	(204)	
Liabilities	(9,853)	4,665	

Propertvnet	\$ 24.695	\$ 26.078
ess accumulated depreciation and amortization	8,821	7,385
	33,516	33,463
Furniture, fixtures and equipment	2,831	2,801
Condominium improvements	14,130	14,107
Condominium interest in 420 Fifth Avenue	\$ 16,555	\$ 16,555
	2002 (In Thousands)	2001 (In Thousands)

5. Property

Expenditures for capital items currently in use are create the Retirement Savings Plan (formerly named the included in the property account and depreciated on a straight-line basis over the lives of the respective assets now made to equal 13 percent of compensation plus a At December 31, 2002 and 2001, the property account included the above.

6. Pensions and Other Post-Retirement Benefits

The Foundation maintains a defined benefit pension plan (the "Plan") for regular salaried employees who were at least 21 years old and have completed one year of service or had attained the age of 40 prior to July 1, 2000. As of July 1, 2000, the Plan was closed to new employees and also to those employees hired prior to July 1, 2000, who did not meet the eligibility requirements. The Plan provides retirement benefits based on years of service and final average pay, with benefits after retirement subject to increase under a post-of-living augmentation formula. The Foundation makes annual contributions to the Plan, as needed, based on actuarial calculations, in amounts sufficient to meet the minimum funding requirements pursuant to he Employee Retirement Income Security Act of 1974. Plan assets are invested in a diversified portfolio of equities and fixed income securities. A

in 2000, the Foundation enhanced its 401(k) plan to create the Retirement Savings Plan (formerly named the Trusteed Savings Plan). Foundation contributions are dollar-for-dollar match of up to an additional 2 percent of compensation contributed on a pre-tax basis by employees up to the compensation cap of \$200,000. Current members of the Plan had the option of remaining i in the combined retirement plan consisting of the defined benefit pension plan and the former 401(k) Trusteed Savings Plan or moving to the new Retirement Savings Plan. Employees can make additional unmatched pre-tax contributions which, when combined with employee contributions that are matched, cannot exceed the maximum pre-tax contribution limit of \$11,000. All contributions are credited to the participants' accounts. The Foundation's contributions to the plans were \$1,538,000 in 2002 and \$1,420,000 in 2001.

Locally hired staff in the Foundation's foreign offices participate in provident funds or building societies that conform with local customs, conditions or law.

The Foundation provides certain health care and life insurance benefits ("Other Benefits") for retired

		2002 Pension Benefits (In Thousands)		2001 Pension Benetits (In Thousands)		2002 Other Benefits (In Thousands)		2001 Other Benefits (In Thousends)	
Benefit obligation at year-end	\$	60,075	\$	51,133	\$	16,437	\$	15,414	
Fair value of plan assets at year-end		79,442		95,421		-		-	
Funded status of the plan (underfunded)	\$	19,367	\$	44,288	\$	(16,437)	\$	(15,414)	
Prepaid (accrued) benefit cost recognized							_		
in the statements of financial position		54,150	\$	50,549	\$	(18,676)	\$	(18,445)	
Weighted-average assumptions as of									
December 31:									
Discount rate		6.75%		7.25%		6.75%		7.25%	
Expected return on plan assets		8.75		9.00					
Rate of compensation increase		4.50		5.00					

Statements

Financial

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Notes

	 2002 on Benefits Thousands)	2001 ion Benefits Thousands)	2002 Other Benefits (In Thousands)		 2001 Ier Benefils Thousands)
Net periodic benefit cost (credit)	\$ (3,601)	\$ (4,571)	\$	1,273	\$ 1 ,1 6 3
Benefits paid	3,226	2,991		1,004	1,042

employees. Employees are eligible for these benefits when they meet the criteria for retirement under the Foundation's pension plan. The plans are noncontributory and there are no cost sharing features. The Foundation accrues the expected cost of providing post-retirement benefits over the years that employees render service and pays the cost of retiree health care benefits with excess pension plan assets under the applicable provisions of the Internal Revenue Code.

For measurement purposes, an 8 percent annual rate of increase in the pre-65 per capita cost of covered health care benefits was assumed for 2003. The rate was assumed to decrease gradually to 5 percent by 2006 and remain at that level thereafter.

7. Bonds Payable

During fiscal 1993, the Foundation issued \$20,445,000 in tax-exempt term bonds and \$9,815,000 in tax-exempt 8. Appropriations and Expenditures serial bonds to fund the acquisition, construction and furnishing of a new office facility (the "Facility"). The bond proceeds and related investment income earned. were held by a trustee (the "Trustee") and have been disbursed at the direction of the Foundation to fund allowable Facility-related costs.

The borids are rated Aaa by Moody's and AAA by Standard & Poor's and are backed by the general assets of the Foundation. In addition, the bonds are secured by the Foundation's ownership interest in the Facility, a leasehold interest in the Facility, and insurance proceeds with respect to the Facility. The nominal interest rates on the serial bonds range. from 4.7 percent to 5.1 percent. The nominal a

interest rates attributable to the term bonds are 5.3 percent and 5.4 percent.

The serial bonds mature in various amounts, ranging from \$695,000 to \$880,000 per year, through 2008. The term bonds are due in 2013 (\$5,140,000) and 2023 (\$15,305,000) Bond maturities are as follows (in thousands):

Fiscal year ending December 31: 2003 s 695 2004 725 2005 760 2006 800 2007 840 Thereafter 21,325 \$ 25,145

Appropriations by the trustees are considered to be obligations when grants are approved (awarded) for specific grantees; appropriations not released for specific grantees and the appropriation for the budget for the next year are considered as board-designated. net assets. The majority of approved grants are scheduled for payment within one year. Investment expenses, program and general administrative expenses and excise and unrelated business income taxes account for approximately 26 percent in 2002 (23 percent in 2001) of the Foundation's total expenses and are charged to operations when incurred.

Appropriations and expenditures for the year are summarized as follows:

	Specif	pproved for ic Grantees/ Purposes finitiousands)	All Next Ye	opproved for location and ear's Budget n Thousands)	Totat ppropriated Thousands)
Balance, December 31, 2001	\$	122,000	\$	218,499	\$ 340,499
Approved grants and program and administrative costs		177,278		(177,278)	-
Lapses and refunds		(2,740))	(12,475)	(15,215)
Expenditures for grants and operations		(186,286))	-	(186,286)
2003 budget				168,500	168,500
Balance, December 31, 2002	\$	110,252	\$	197,246	\$ 307,498

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