# TAX RETURN FILING INSTRUCTIONS

FORM 990-PF

## FOR THE YEAR ENDING

DECEMBER 31, 2018

#### PREPARED FOR:

THE ROCKEFELLER FOUNDATION 420 FIFTH AVENUE NEW YORK, NY 10018-2702

#### PREPARED BY:

PKF O'CONNOR DAVIES, LLP 665 FIFTH AVENUE NEW YORK, NY 10022

### AMOUNT DUE OR REFUND:

OVERPAYMENT OF \$7,873,281 WITH \$2,500,000 APPLIED TO THE ESTIMATED TAX PAYMENTS AND THE BALANCE OF \$5,373,281 REFUNDED.

### MAKE CHECK PAYABLE TO:

NO AMOUNT IS DUE.

### MAIL TAX RETURN AND CHECK (IF APPLICABLE) TO:

NOT APPLICABLE

#### **RETURN MUST BE MAILED ON OR BEFORE:**

NOT APPLICABLE

#### SPECIAL INSTRUCTIONS:

THIS RETURN HAS BEEN PREPARED FOR ELECTRONIC FILING. IF YOU WISH TO HAVE IT TRANSMITTED ELECTRONICALLY TO THE IRS, PLEASE SIGN, DATE, AND RETURN FORM 8879-EO TO OUR OFFICE. WE WILL THEN SUBMIT THE ELECTRONIC RETURN TO THE IRS. DO NOT MAIL A PAPER COPY OF THE RETURN TO THE IRS. RETURN FORM 8879-EO TO US BY NOVEMBER 15, 2019.

PLEASE NOTE THAT THE FORM 990-PF RETURN CONTAINS EXCESS DISTRIBUTION CARRYOVER OF \$11,662,280. THIS MAY BE APPLIED TO TAX YEAR 2019 AND SUBSEQUENT YEARS. Form **990-PF** Department of the Treasury Internal Revenue Service

### EXTENDED TO NOVEMBER 15, 2019 Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Do not enter social security numbers on this form as it may be made public. ▶ Go to www.irs.gov/Form990PF for instructions and the latest information. OMB No. 1545-0052

| For a                  | alen     | dar year 2018 or tax year beginning   |                                    | , and ending                 |   |  |
|------------------------|----------|---|------------------------------------|------------------------------|---|--|
| Nar                    | ne of    | foundation  | A Employer identification          | number                       |   |  |
|                        |          |   |                                    |                              | 12 1650620  |  |
|                        |          | OCKEFELLER FOUNDATION nd street (or P.O. box number if mail is not delivered to street a  | 13-1659629                         |                              |   |  |
|                        |          | IFTH AVENUE   | audress)                           | Room/suite                   | B Telephone number<br>212-852-8361                      |  |
|                        |          | own, state or province, country, and ZIP or foreign p   | vostal codo                        |                              |   |  |
|                        |          | ORK, NY 10018-2702  |                                    |                              | C If exemption application is pe                        |  |
|                        |          | all that apply: Initial return  | Initial return of a fo             | ormer public charity         | D 1. Foreign organizations                              | check here   |
| <b>u</b> 0             | noon     | Final return  | Amended return                     |                              |   |  |
|                        |          | Address change  | Name change                        |                              | 2. Foreign organizations me<br>check here and attach co | eting the 85% test, mputation  |
| H C                    | heck     | type of organization: X Section 501(c)(3) ex  |                                    |                              | <b>E</b> If private foundation sta                      |  |
|                        | ] Se     | ction 4947(a)(1) nonexempt charitable trust   | Other taxable private founda       | ition                        | under section 507(b)(1)                                 |  |
| I Fa                   | ir ma    | rket value of all assets at end of year 🛛 J Account   | ing method: 🗌 Cash                 | X Accrual                    | <b>F</b> If the foundation is in a                      | 60-month termination   |
| (fr                    | om P     |   | ther (specify)                     |                              | under section 507(b)(1)                                 | (B), check here ►  |
|                        |          | 4,443,874,342. (Part I, colur   | mn (d) must be on cash basis       | s.)                          |   |  |
| Pa                     | rt I     | Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not<br>necessarily equal the amounts in column (a).) | (a) Revenue and expenses per books | (b) Net investment<br>income | (c) Adjusted net<br>income                              | ( <b>d</b> ) Disbursements<br>for charitable purposes<br>(cash basis only) |
|                        | 1        | Contributions, gifts, grants, etc., received  |                                    |                              | N/A   |  |
|                        | 2        | Check $\blacktriangleright$ X if the foundation is not required to attach Sch. B  |                                    |                              |   |  |
|                        | 3        | Interest on savings and temporary<br>cash investments   | 2,854,541.                         | 2,854,541.                   |   | STATEMENT 1  |
|                        | 4        | Dividends and interest from securities  | 16,337,968.                        | 49,655,092.                  |   | STATEMENT 2  |
|                        | 5a       | Gross rents   |                                    |                              |   |  |
|                        | b        | Net rental income or (loss)   |                                    |                              |   |  |
| e                      | 6a       | Net gain or (loss) from sale of assets not on line 10   | 409,410,186.                       |                              |   |  |
| enu                    | b        | Gross sales price for all 365,508,113.  |                                    | 054 062 501                  |   |  |
| Revenue                |          | Capital gain net income (from Part IV, line 2)  |                                    | 274,863,501.                 |   |  |
| _                      | -        | Net short-term capital gain   |                                    |                              |   |  |
|                        | 9        | Income modifications<br>Gross sales less returns  |                                    |                              |   |  |
|                        |          | Less: Cost of goods sold  |                                    |                              |   |  |
|                        |          | Gross profit or (loss)  |                                    |                              |   |  |
|                        |          | Other income  | 1,271,680.                         | 6,009,623.                   |   | STATEMENT 3  |
|                        | 12       | Total. Add lines 1 through 11   | 429,874,375.                       | 333,382,757.                 |   |  |
|                        | 13       | Compensation of officers, directors, trustees, etc.   | 4,979,358.                         | 1,173,902.                   |   | 3,805,457.   |
|                        | 14       | Other employee salaries and wages   | 18,923,797.                        | 2,638,142.                   |   | 16,230,149.  |
|                        | 15       | Pension plans, employee benefits  | 6,835,468.                         | 869,525.                     |   | 6,910,316.   |
| ses                    | 16a      | Legal fees STMT 4   | 3,449,191.                         | 134,178.                     |   | 3,196,773.   |
| en:                    | b        | Accounting fees 5   | 398,344.                           | 200,281.                     |   | 140,063.   |
| ĔX                     | C        | Other professional fees STMT 6  | 18,690,933.                        | 4,852,173.                   |   | 12,222,366.  |
| tive                   | 17       | Interest  | 299,234.                           | 2,783,525.                   |   | 299,234.   |
| Administrative Expense | 18       | Taxes STMT 7  | 7,501,738.                         | 3,013,499.                   |   | 0.   |
| inis                   | 19<br>19 | Depreciation and depletion  | 2,604,922.<br>2,132,952.           | 204,634.<br>129,938.         |   | 2,003,014.   |
| ٨dm                    | 20       | Occupancy<br>Travel, conferences, and meetings  | 3,537,681.                         | 248,613.                     |   | 3,224,570.   |
| Abr                    | 22       | Printing and publications   | 114,349.                           | 210,010                      |   | 92,589.  |
| gar                    | 23       | Printing and publications<br>Other expenses STMT 8<br>Total operating and administrative<br>expenses. Add lines 13 through 23                   | 3,179,198.                         | 32,608,282.                  |   | 3,148,763.   |
| ating                  | 24       | Total operating and administrative  | , , ,                              | , , ,                        |   | . , .  |
| Sere                   |          | expenses. Add lines 13 through 23   | 72,647,165.                        | 48,878,452.                  |   | 51,273,294.  |
| ŏ                      | 25       | Contributions, gifts, grants paid   | 102,003,130.                       |                              |   | 163,531,253.   |
|                        | 26       | Total expenses and disbursements.   |                                    |                              |   |  |
|                        |          | Add lines 24 and 25   | 174,650,295.                       | 48,878,452.                  |   | 214,804,547.   |
|                        | 27       | Subtract line 26 from line 12:  |                                    |                              |   |  |
|                        |          | Excess of revenue over expenses and disbursements   | 255,224,080.                       | 204 504 205                  |   |  |
|                        |          | Net investment income (if negative, enter -0-)  |                                    | 284,504,305.                 | bt / 3  |  |
|                        | C        | Adjusted net income (if negative, enter -0-)  |                                    |                              | N/A   |  |

823501 12-11-18 LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2018)

| orm 990-PF (2018) THE ROCKEFELLER FOUNDAT:<br>Part II Balance Sheets Attached schedules and amounts in the<br>Attached schedules and amounts in the  | description        | Beginning of year | End of         | 659629 Page<br>year   |
|--|--------------------|-------------------|----------------|-----------------------|
| column should be for end-of-year amou  | unts only.         | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| 1 Cash - non-interest-bearing  |                    | 13,186,629.       | 14,244,459.    | 14,244,459            |
| 2 Savings and temporary cash investments   |                    | 119,791,936.      | 136,883,934.   | 136,883,934           |
| 3 Accounts receivable  |                    |                   |                |                       |
| Less: allowance for doubtful accounts <b>&gt;</b>  |                    |                   |                |                       |
| 4 Pledges receivable ►   |                    |                   |                |                       |
| Less: allowance for doubtful accounts  |                    |                   |                |                       |
| 5 Grants receivable  |                    |                   |                |                       |
| 6 Receivables due from officers, directors, trustees, and ot   |                    |                   |                |                       |
| disqualified persons   |                    |                   |                |                       |
| 7 Other notes and loans receivable   |                    |                   |                |                       |
| Less: allowance for doubtful accounts  |                    |                   |                |                       |
|  |                    |                   |                |                       |
| <ul> <li>8 Inventories for sale or use</li> <li>9 Prepaid expenses and deferred charges</li> <li>10a Investments - U.S. and state government obligations</li> </ul>  |                    |                   |                |                       |
| 10a Investments - U.S. and state government obligations  |                    | 26,608,189.       | 32,113,710.    | 32,113,710            |
| b Investments - corporate stock  |                    | 8,471,522.        | 9,763,596.     | 9,763,59              |
| c Investments - corporate bonds  | ·····              | , ,               |                |                       |
| 11 Investments - land, buildings, and equipment; basis   |                    |                   |                |                       |
| 11       Investments - land, buildings, and equipment: basis         Less: accumulated depreciation  |                    |                   |                |                       |
| 12 Investments - mortgage loans  |                    |                   |                |                       |
| 13 Investments - other   | STMT 12            | 4,281,206,137.    | 4,183,874,772. | 4,183,874,772         |
| 14 Land, buildings, and equipment: basis   | 48,595,800.        |                   | , , ,          |                       |
| Less: accumulated depreciation STMT 13   | 39,133,326.        | 10,833,742.       | 9,462,474.     | 9,462,474             |
| 15 Other assets (describe ► STATEMEN   |                    | 49,770,989.       | 57,531,397.    | 57,531,39             |
| 16 Total assets (to be completed by all filers - see the   | /                  | , ,               | , ,            | , ,                   |
| instructions. Also, see page 1, item I)  |                    | 4,509,869,144.    | 4,443,874,342. | 4,443,874,342         |
| 17 Accounts payable and accrued expenses   |                    |                   | 8,006,451.     | , , ,                 |
| 18     Grants payable  |                    | 129,913,071.      | 68,332,902.    |                       |
| 10 Deferred revenue  |                    | , ,               | , ,            |                       |
| <ul> <li>20 Loans from officers, directors, trustees, and other disqualified perso</li> <li>21 Mortgages and other notes payable</li> <li>22 Other liabilities (describe)</li> </ul>   |                    |                   |                |                       |
| 21 Mortgages and other notes payable   |                    |                   |                |                       |
| 22 Other liabilities (describe ► STATEMEN  | Τ 15 )             | 53,501,903.       | 46,061,989.    |                       |
|  | /                  |                   | , , ,          |                       |
| 23 Total liabilities (add lines 17 through 22)   |                    | 191,640,144.      | 122,401,342.   |                       |
| Foundations that follow SFAS 117, check here   |                    |                   | , , ,          |                       |
| and complete lines 24 through 26, and lines 30 and 31  |                    |                   |                |                       |
|  |                    | 4,318,229,000.    | 4,321,473,000. |                       |
| 25 Temporarily restricted  |                    |                   |                |                       |
| 26 Permanently restricted  |                    |                   |                |                       |
| Foundations that do not follow SFAS 117, check here  |                    |                   |                |                       |
| and complete lines 27 through 31.  |                    |                   |                |                       |
| <ul> <li>24 Unrestricted</li> <li>25 Temporarily restricted</li> <li>26 Permanently restricted</li> <li>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</li> <li>27 Capital stock, trust principal, or current funds</li> <li>28 Paid-in or capital surplus, or land, bldg., and equipment</li> <li>29 Retained earnings, accumulated income, endowment, or</li> </ul> |                    |                   |                |                       |
| <ul><li>28 Paid-in or capital surplus, or land, bldg., and equipment</li></ul>   |                    |                   |                |                       |
| <ul><li>29 Retained earnings, accumulated income, endowment, or</li></ul>  |                    |                   |                |                       |
| 30 Total net assets or fund balances   |                    | 4,318,229,000.    | 4,321,473,000. |                       |
| 30 Total net assets or fund balances   |                    | 1,010,220,000.    | 1,021,110,000. |                       |
| 21 Total liabilities and not associa/fund balances   |                    | 4,509,869,144.    | 4 443 874 342  |                       |
|  |                    |                   | 4,443,874,342. |                       |
| Part III Analysis of Changes in Net Assets   | or Fund Bala       | ances             |                |                       |
| Total net assets or fund balances at beginning of year - Part II,  | column (a) line 30 |                   |                |                       |
| (must agree with end-of-year figure reported on prior year's re  |                    |                   | 1              | 4,318,229,000         |
| Enter amount from Dort L line 07a  | ,                  |                   |                | 255,224,080           |
| Other increases not included in line 2 (itemize)   |                    | SEE STATEME       |                | 5,483,192             |
|  |                    |                   |                | 4 579 026 272         |

| 0 |   | 0 | -,,                       |
|---|---|---|---------------------------|
| 4 | Add lines 1, 2, and 3   | 4 | 4,578,936,272.            |
| 5 | Decreases not included in line 2 (itemize) LANGE IN UNREALIZED LOSS ON INVESTMENTS AND PRI'S          | 5 | 257,463,272.              |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 4,321,473,000.            |
|   |   |   | Form <b>990-PF</b> (2018) |

|   | OCKEFELLER FOUNDATION   |  |                                      |          |  | :  | 13-16596                     | 29 Page <b>3</b>                        |
|---|---|--|--------------------------------------|----------|--|--|------------------------------|---|
| Part IV Capital Gains a   | and Losses for Tax on In  | vestment                               | Income                               |          | ATTACHED                                 | STATEMEN                                 | rs                           |   |
|   | the kind(s) of property sold (for exar<br>rehouse; or common stock, 200 shs |  | te,                                  | ΙP       | How acquired<br>- Purchase<br>- Donation | (c) Date a<br>(mo., da                   |                              | <b>(d)</b> Date sold<br>(mo., day, yr.) |
| 1a  |   |  |                                      |          |  |  |                              |   |
| b   |   |  |                                      |          |  |  |                              |   |
| <u> </u>  |   |  |                                      |          |  |  |                              |   |
| d   |   |  |                                      |          |  |  |                              |   |
| <u>e</u>  |   |  |                                      |          |  |  |                              |   |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)                                  |  | st or other basis<br>expense of sale |          |  |  | ain or (loss)<br>(f) minus ( |   |
| <u>a</u>  |   |  |                                      |          |  |  |                              |   |
| <u>b</u>  |   |  |                                      |          |  |  |                              |   |
| <u> </u>  |   |  |                                      |          |  |  |                              |   |
| d   |   |  | 225 249 1                            |          |  |  |                              | 274 962 501                             |
| e 365,508,113.  | g gain in column (h) and owned by t   | he foundation                          | 225,348,1                            | 55.      |  | (1) Oning (0                             | al (h) ania                  | 274,863,501.                            |
| (i) FMV as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69  | (k) Ex                                 | cess of col. (i)<br>col. (j), if any |          | C  | (I) Gains (C<br>ol. (k), but n<br>Losses |                              | ı -0-) <b>or</b>                        |
| a   |   |  |                                      |          |  |  |                              |   |
| b   |   |  |                                      |          |  |  |                              |   |
| C   |   |  |                                      |          |  |  |                              |   |
| d   |   |  |                                      |          |  |  |                              |   |
| е   |   |  |                                      |          |  |  |                              | 274,863,501.                            |
| 2 Capital gain net income or (net ca  | pital loss) { If gain, also enter<br>If (loss), enter -0                    | r in Part I, line<br>- in Part I, line | 7                                    | }        | 2  |  |                              | 274,863,501.                            |
| 3 Net short-term capital gain or (los   |   |  |                                      | ĺ        |  |  |                              |   |
| If gain, also enter in Part I, line 8,  | ,                                     | (-)-                                   |                                      |          |  |  |                              |   |
| If (loss), enter -0- in Part I, line 8  |   |  |                                      | <u> </u> | 3  |  | N/A                          |   |
| Part V Qualification U  | nder Section 4940(e) for  | Reduced                                | Tax on Net                           | Inve     | estment Inc                              | come                                     |                              |   |
| (For optional use by domestic private   | foundations subject to the section 4  | 1940(a) tax on                         | net investment in                    | come     | .)                                       |  |                              |   |
| If section 4940(d)(2) applies, leave th                                       | nis nart hlank  |  |                                      |          |  |  |                              |   |
|   |   |  |                                      |          |  |  |                              |   |
| Was the foundation liable for the sect  |   | 55                                     |                                      | iod?     |  |  |                              | Yes X No                                |
| If "Yes," the foundation doesn't qualif                                       |   |  |                                      |          |  |  |                              |   |
|   | each column for each year; see the in                                       | istructions bef                        | ore making any er                    | ntries   |  |  |                              | (-1)                                    |
| (a)<br>Base period years  | (b)   | tributiona                             | Not volue of po                      | (C)      | ritabla yaa aaaa                         | to                                       | Distrib                      | (d)<br>ution ratio                      |
| Calendar year (or tax year beginnin   | • /   |  | Net value of no                      |          | ritable-use asse                         |  | (col. (b) div                | ded by col. (c))                        |
| 2017  |   | ,280,282.                              |                                      |          | ,172,740,4                               |  |                              | .049915                                 |
| 2016  |   | ,048,032.                              |                                      |          | ,954,610,5                               |  |                              | .050586                                 |
| 2015  |   | ,112,263.                              |                                      |          | ,158,196,0                               |  |                              | .051011                                 |
| 2014  |   | <u>,412,211.</u>                       |                                      |          | ,061,252,4                               |  |                              | .048362                                 |
| 2013  | 190   | ,491,092.                              |                                      | 3        | ,738,351,3                               | <sup>29</sup> .                          |                              | .050956                                 |
| • Table (line development (d))  |   |  |                                      |          |  |  |                              | .250830                                 |
| 2 Total of line 1, column (d)   |   |  |                                      |          |  | 2  |                              | .250650                                 |
| 3 Average distribution ratio for the state the foundation has been in exister | nce if less than 5 years  |  |                                      |          |  | 3  |                              | .050166                                 |
| 4 Enter the net value of noncharitab  | le-use assets for 2018 from Part X, I                                       | line 5                                 |                                      |          |  | 4  |                              | 4,415,574,219.                          |
| 5 Multiply line 4 by line 3   |   |  |                                      |          |  | 5  |                              | 221,511,696.                            |
| 6 Enter 1% of net investment incom  | ie (1% of Part I, line 27b)   |  |                                      |          |  | 6  |                              | 2,845,043.                              |
| 7 Add lines 5 and 6   |   |  |                                      |          |  | 7  |                              | 224,356,739.                            |
| 8 Enter qualifying distributions from   | n Part XII, line 4  |  |                                      |          |  | 8  |                              | 225,755,374.                            |
| If line 8 is equal to or greater than See the Part VI instructions.           | l line 7, check the box in Part VI, line                                    | 1b, and comp                           | lete that part usin                  | g a 1    | % tax rate.                              |  |                              |   |

| _  | 1990-PF (2018) THE ROCKEFELLER FOUNDATION<br>rt VI Excise Tax Based on Investment Income (Section 4940  | 0(a), 4     | 940(b), 49        | 940(e), or 49        |      | -1659629<br>• <b>see ins</b> |              |        | Page <u>4</u><br>s) |
|----|---|-------------|-------------------|----------------------|------|------------------------------|--------------|--------|---------------------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here 🕨 🗌 and e  | enter "N/   | A" on line 1.     | )                    |      |                              |              |        | -                   |
|    | Date of ruling or determination letter: (attach copy of letter if ne  |             |                   | ons)                 |      |                              |              |        |                     |
|    | Domestic foundations that meet the section 4940(e) requirements in Part V, check here   |             |                   |                      | 1    |                              | 2            | ,845,  | 043.                |
|    | of Part I, line 27b   |             |                   |                      |      |                              |              |        |                     |
| C  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4%   | % of Part   | t I, line 12, co  | .(b). J              |      |                              |              |        |                     |
| 2  | Tax under section 511 (domestic section $4947(a)(1)$ trusts and taxable foundations only; of  | thers, en   | ter -0-)          |                      | 2    |                              |              |        | 0.                  |
|    | Add lines 1 and 2   |             |                   |                      | 3    |                              | 2            | ,845,  |                     |
|    | Subtitle A (income) tax (domestic section $4947(a)(1)$ trusts and taxable foundations only; o   |             |                   |                      | 4    |                              |              |        | 0.                  |
|    | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- $\dots$   |             |                   |                      | 5    |                              | 2            | ,845,  | 043.                |
|    | Credits/Payments:   |             | 1                 |                      |      |                              |              |        |                     |
|    | 2018 estimated tax payments and 2017 overpayment credited to 2018   |             |                   | L0,718,324.          |      |                              |              |        |                     |
|    | Exempt foreign organizations - tax withheld at source   |             |                   | 0.                   |      |                              |              |        |                     |
|    | Tax paid with application for extension of time to file (Form 8868)   |             |                   | 0.                   |      |                              |              |        |                     |
|    | Backup withholding erroneously withheld   |             |                   | 0.                   | _    |                              | 10           |        | 204                 |
| 7  | Total credits and payments. Add lines 6a through 6d   |             |                   |                      | 7    |                              | 10           | ,718,  | 324.                |
|    | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <b>X</b> if Form 2220 is atta  |             |                   |                      | 8    |                              |              |        | 0.                  |
|    | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed   |             |                   |                      | 9    |                              |              | 0.0.2  | 0.01                |
|    | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>   |             |                   |                      | 10   |                              |              |        | 281.                |
|    | Enter the amount of line 10 to be: Credited to 2019 estimated tax  rt VII-A Statements Regarding Activities   | 2           | ,500,000.         | Refunded <b>&gt;</b> | 11   |                              | 5            | , 373, | 281.                |
|    |   |             |                   |                      | 1    |                              |              | Yes    | No                  |
|    | During the tax year, did the foundation attempt to influence any national, state, or local legis  |             |                   |                      | IN   | - E                          | 1.           | 103    | x                   |
|    | any political campaign?<br>Did it spend more than \$100 during the year (either directly or indirectly) for political purpo                                 |             | a tha inatruati   | one for the defini   | +ion | ·····  -                     | 1a<br>1b     |        | X                   |
|    | If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies   |             |                   |                      |      | ·····                        | 10           |        |                     |
|    | distributed by the foundation in connection with the activities.  | UT ATTY T   | iateriais publi   |                      |      |                              |              |        |                     |
|    | ,   |             |                   |                      |      |                              | 10           |        | x                   |
|    | Did the foundation file <b>Form 1120-POL</b> for this year?<br>Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the |             |                   |                      |      | ·····                        | 1c           |        |                     |
|    | (1) On the foundation. $\blacktriangleright$ \$0. (2) On foundation managers  | -           |                   | 0.                   |      |                              |              |        |                     |
|    | Enter the reimbursement (if any) paid by the foundation during the year for political expendence $(1, 2)$ of nonnauton managers.                            |             |                   |                      |      |                              |              |        |                     |
|    | managers. $\triangleright$ \$0.   | niuro iax   | imposcu on i      | oundation            |      |                              |              |        |                     |
|    | Has the foundation engaged in any activities that have not previously been reported to the II   | RS?         |                   |                      |      |                              | 2            |        | x                   |
|    | If "Yes," attach a detailed description of the activities.  |             |                   |                      |      | ·····                        | -            |        |                     |
|    | Has the foundation made any changes, not previously reported to the IRS, in its governing i   | instrume    | ent articles of   | incorporation o      | r    |                              |              |        |                     |
|    |   |             |                   |                      |      | E                            | 3            |        | х                   |
|    | Did the foundation have unrelated business gross income of \$1,000 or more during the yea   | ~           |                   |                      |      | Г                            | 4a           | Х      |                     |
|    | If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?   |             |                   |                      |      | Г                            | 4b           | Х      |                     |
|    | Was there a liquidation, termination, dissolution, or substantial contraction during the years  |             |                   |                      |      |                              | 5            |        | х                   |
|    | If "Yes," attach the statement required by General Instruction T.   |             |                   |                      |      | ····· F                      |              |        |                     |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied ei  | ither:      |                   |                      |      |                              |              |        |                     |
|    | • By language in the governing instrument, or   |             |                   |                      |      |                              |              |        |                     |
|    | • By state legislation that effectively amends the governing instrument so that no mandator   | ry directi  | ions that conf    | ict with the state   | law  |                              |              |        |                     |
|    | remain in the governing instrument?   |             |                   |                      |      |                              | 6            | х      |                     |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," comp  | plete Par   | t II, col. (c), a | nd Part XV           |      |                              | 7            | Х      |                     |
|    |   |             |                   |                      |      |                              |              |        |                     |
| 8a | Enter the states to which the foundation reports or with which it is registered. See instruction  | ons. 🕨      |                   |                      |      |                              |              |        |                     |
|    | NY, CA  |             |                   |                      |      |                              |              |        |                     |
| b  | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the A   | Attorney    | General (or d     | esignate)            |      |                              |              |        |                     |
|    | of each state as required by General Instruction G? If "No," attach explanation   |             |                   |                      |      |                              | 8b           | х      |                     |
|    | Is the foundation claiming status as a private operating foundation within the meaning of se  |             |                   |                      |      |                              |              |        |                     |
|    | year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," com   | nplete Pa   | art XIV           |                      |      |                              | 9            |        | x                   |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedu  | ule listing | their names and   | addresses            |      |                              | 10           |        | X                   |
|    |   |             |                   |                      |      | Form                         | ן <b>990</b> | )-PF   | (2018)              |

|    | 1 990-PF (2018) THE ROCKEFELLER FOUNDATION 13-16596   | 29                   |        | Page 5  |
|----|---|----------------------|--------|---------|
| Pa | art VII-A Statements Regarding Activities (continued)   |                      |        |         |
|    |   |                      | Yes    | No      |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of  |                      |        |         |
|    | section 512(b)(13)? If "Yes," attach schedule. See instructions STATEMENT 17 STATEMENT 18   | 11                   | х      |         |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?  |                      |        |         |
|    | If "Yes," attach statement. See instructions  | 12                   |        | х       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?   | 13                   | х      |         |
|    | Website address 🕨 WWW.ROCKEFELLERFOUNDATION.ORG   |                      |        |         |
| 14 | The books are in care of DOMINICK J. IMPEMBA, CPA/CGMA/CFO Telephone no. 212-852-   | 8361                 |        |         |
|    | Located at > 420 FIFTH AVENUE, NEW YORK, NY ZIP+4 >10   |                      | 702    |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here   |                      | ►      |         |
|    | and enter the amount of tax-exempt interest received or accrued during the year   |                      | /A     |         |
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank,  |                      | Yes    | No      |
|    | securities, or other financial account in a foreign country?  | 16                   | х      |         |
|    | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the  |                      |        |         |
|    | foreign country 🕨 SEE STATEMENT 16  |                      |        |         |
| Pa | art VII-B Statements Regarding Activities for Which Form 4720 May Be Required   |                      |        |         |
|    | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.   |                      | Yes    | No      |
| 1a | During the year, did the foundation (either directly or indirectly):  |                      |        |         |
|    | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  |                      |        |         |
|    | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   |                      |        |         |
|    | a disqualified person? 📃 Yes 🕱 No   |                      |        |         |
|    | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  |                      |        |         |
|    | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  |                      |        |         |
|    | (5) Transfer any income or assets to a disqualified person (or make any of either available   |                      |        |         |
|    | for the benefit or use of a disqualified person)? Yes 🛽 🗙 No  |                      |        |         |
|    | (6) Agree to pay money or property to a government official? (Exception. Check "No"   |                      |        |         |
|    | if the foundation agreed to make a grant to or to employ the official for a period after  |                      |        |         |
|    | termination of government service, if terminating within 90 days.) 🛛 Yes 🕱 No   |                      |        |         |
| t  | If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations   |                      |        |         |
|    | section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions   | 1b                   |        | X       |
|    | Organizations relying on a current notice regarding disaster assistance, check here   |                      |        |         |
| C  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected   |                      |        |         |
|    | before the first day of the tax year beginning in 2018?   | 1c                   |        | X       |
| 2  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation  |                      |        |         |
|    | defined in section 4942(j)(3) or 4942(j)(5)):   |                      |        |         |
| a  | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning  |                      |        |         |
|    | before 2018? Yes X No   |                      |        |         |
|    | If "Yes," list the years ►,,, |                      |        |         |
| t  | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect  |                      |        |         |
|    | valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach   |                      |        |         |
|    | statement - see instructions.)N/A   | 2b                   |        |         |
| C  | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.   |                      |        |         |
|    | ·   |                      |        |         |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time   |                      |        |         |
|    | during the year?  |                      |        |         |
| b  | If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after  |                      |        |         |
|    | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose  |                      |        |         |
|    | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,  |                      |        | v       |
|    | Form 4720, to determine if the foundation had excess business holdings in 2018.)  | 3b                   |        | X       |
|    | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a                   |        | X       |
| t  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that  |                      |        | x       |
|    | had not been removed from jeopardy before the first day of the tax year beginning in 2018?  | <b>4b</b><br>990 orm |        |         |
|    |   | 1111 <b>33</b> 1     | v=1=1= | (ZU IØ) |

| Form 990-PF (2018) THE ROCKEFELLER FOUNDATION   |   |                                   | 13-1659629   |                  | Page <b>6</b>      |
|---|---|-----------------------------------|--|------------------|--------------------|
| Part VII-B Statements Regarding Activities for Which F  | orm 4720 May Be R                             | equired <sub>(contin</sub>        | ued)   |                  |                    |
| 5a During the year, did the foundation pay or incur any amount to:                                  |   |                                   |  | Yes              | s No               |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (sectio                      | n 4945(e)) <b>?</b>                           | Ye                                | s X No   |                  |                    |
| (2) Influence the outcome of any specific public election (see section 4955); of                    |   |                                   |  |                  |                    |
| any voter registration drive?   |   |                                   | s X No   |                  |                    |
| (3) Provide a grant to an individual for travel, study, or other similar purposes                   | ?   | X Ye                              | es 🗌 No 📗  |                  |                    |
| (4) Provide a grant to an organization other than a charitable, etc., organization                  |   |                                   |  |                  |                    |
| 4945(d)(4)(A)? See instructions   |   | X Ye                              | es 🗌 No 📗  |                  |                    |
| (5) Provide for any purpose other than religious, charitable, scientific, literary                  |   |                                   |  |                  |                    |
| the prevention of cruelty to children or animals?   |   | Υθ                                | s X No   |                  |                    |
| <b>b</b> If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify un | der the exceptions described i                | n Regulations                     |  |                  |                    |
| section 53.4945 or in a current notice regarding disaster assistance? See instr                     | uctions                                       |                                   |  | 5b               | X                  |
| Organizations relying on a current notice regarding disaster assistance, check                      | nere  |                                   |  |                  |                    |
| ${f c}$ If the answer is "Yes" to question 5a(4), does the foundation claim exemption f             |   |                                   |  |                  |                    |
| expenditure responsibility for the grant?   | E STATEMENT 20                                | X Ye                              | es 🗌 No 📗  |                  |                    |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d).                        |   |                                   |  |                  |                    |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to               | pay premiums on                               |                                   |  |                  |                    |
| a personal benefit contract?  |   | 🗌 Ye                              | s X No   |                  |                    |
| <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a            |   |                                   |  | 6b               | X                  |
| If "Yes" to 6b, file Form 8870.   |   |                                   |  |                  |                    |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax                  | shelter transaction?                          | 🗌 Ye                              | s 🖾 No 📘   |                  |                    |
| <b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attrib            | utable to the transaction?                    |                                   | N/A  | 7b               |                    |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than S                    | \$1,000,000 in remuneration or                |                                   |  |                  |                    |
|   |   |                                   | s No   |                  |                    |
| Part VIII Information About Officers, Directors, Trust  | ees, Foundation Mar                           | nagers, Highly                    |  |                  |                    |
| Paid Employees, and Contractors   |   |                                   |  |                  |                    |
| 1 List all officers, directors, trustees, and foundation managers and t                             |   | (a) Companyation                  |  | () [             |                    |
| (a) Name and address  | (b) Title, and average hours per week devoted | (c) Compensation<br>(If not paid, | (d) Contributions to<br>employee benefit plans<br>and deferred | (e) EX<br>accoun | pense<br>it, other |
|   | 'to position                                  | enter -0-)                        | compensation   |                  | ances              |
|   | 4   |                                   |  |                  |                    |
|   | 4   |                                   |  |                  |                    |
| SEE STATEMENT 19  |   | 4979358                           | 509112.  |                  | 0.                 |
|   | -   |                                   |  |                  |                    |
|   | -   |                                   |  |                  |                    |
|   |   |                                   |  |                  |                    |

| (a) Name and address | <b>(b)</b> Title, and average<br>hours per week devoted<br>to position | (c) Compensation<br>(If not paid,<br>enter -0-) | (d) Contributions to<br>employee benefit plans<br>and deferred<br>compensation | (e) Expense<br>account, other<br>allowances |
|----------------------|--|---|--|---|
|                      |  |   |  |   |
| SEE STATEMENT 19     |  | 4979358   | 509112.  | 0.  |
|                      |  |   |  |   |
|                      |  |   |  |   |
|                      |  |   |  |   |
|                      |  |   |  |   |
|                      |  |   |  |   |
|                      |  |   |  |   |

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average<br>hours per week<br>devoted to position | (c) Compensation | (d) Contributions to<br>employee benefit plans<br>and deferred<br>compensation | (e) Expense<br>account, other<br>allowances |
|---|---|------------------|--|---|
| CHUN LAI  | DEPUTY CHIEF INVEST   | MENT OFFICER     |  |   |
| 420 FIFTH AVENUE, NEW YORK, NY 10018                          | 45.00   | 771,885.         | 76,083.  | 0.  |
| DOUGLASS COYLE  | MANAGING DIRECTOR,  | INVESTMENTS      |  |   |
| 420 FIFTH AVENUE, NEW YORK, NY 10018                          | 45.00   | 611,882.         | 75,291.  | 0.  |
| ASHVIN DAYAL  | MANAGING DIRECTOR   |                  |  |   |
| 420 FIFTH AVENUE, NEW YORK, NY 10018                          | 45.00   | 598,369.         | 82,930.  | 0.  |
| MICHELLE PAK  | MANAGING DIRECTOR,  | INVESTMENTS      |  |   |
| 420 FIFTH AVENUE, NEW YORK, NY 10018                          | 45.00   | 514,714.         | 75,699.  | 0.  |
| PAMELA FOSTER   | MANAGING DIRECTOR   |                  |  |   |
| 420 FIFTH AVENUE, NEW YORK, NY 10018                          | 45.00   | 366,236.         | 75,758.  | 0.  |
| Total number of other employees paid over \$50,000            |   |                  | <b>&gt;</b>  | 109   |

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| orm 990-PF (2018) THE ROCKEFELLER FOUNDATION  | 13-16                     | 59629 Page <b>7</b>      |
|---|---------------------------|--------------------------|
| Part VIII Information About Officers, Directors, Trustees, For<br>Paid Employees, and Contractors (continued)   | undation Managers, Highly |                          |
| Five highest-paid independent contractors for professional services. If none  | , enter "NONE."           |                          |
| (a) Name and address of each person paid more than \$50,000   | (b) Type of service       | (c) Compensation         |
| ATTERSON, BELKNAP, WEBB & TYLER LLP - 1133  |                           |                          |
| VENUE OF THE AMERICAS, NEW YORK, NY 10036   | LEGAL SERVICES            | 2,336,773.               |
| HE BANK OF NEW YORK MELLON  |                           |                          |
| 35 SANTILLI HIGHWAY, EVERETT, MA 02149  | CUSTODIAL SERVICE         | 1,228,706.               |
| ELLINGTON EM OPPORTUNITY FUND   |                           |                          |
| 80 CONGRESS STREET, BOSTON, MA 02210  | INVESTMENT MANAGEMENT     | 1,033,220.               |
| CCENTURE DEVELOPMENT PARTNERSHIPS   | PROGRAMMATIC CONSULTING   |                          |
| 0 OLD BAILEY, LONDON, UNITED KINGDOM  | SERVICE                   | 1,008,707.               |
| ENTUCOM LLC - 145 WEST 28TH ST., 10TH FL.,  |                           |                          |
| EW YORK, NY 10001   | IT CONSULTANT             | 767,137.                 |
| otal number of others receiving over \$50,000 for professional services   | ·····                     | ▶ 78                     |
| Part IX-A Summary of Direct Charitable Activities   |                           |                          |
| List the foundation's four largest direct charitable activities during the tax year. Include relevan<br>number of organizations and other beneficiaries served, conferences convened, research pape |                           | Expenses                 |
| SEE STATEMENT 28  |                           |                          |
|   |                           | 5,658,878.               |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
| Part IX-B Summary of Program-Related Investments  |                           |                          |
| Describe the two largest program-related investments made by the foundation during the tax  | year on lines 1 and 2.    | Amount                   |
|   |                           |                          |
|   |                           |                          |
| SEE STATEMENT 21  |                           | 3,000,000.               |
| A PRI TO ATLAS AI PBC TO REVOLUTIONIZE THE MEASUREMENT OF   |                           |                          |
| ECONOMIC AND AGRICULTURAL ACTIVITY IN THE DEVELOPMENT SECTOR  |                           |                          |
| IN AFRICA AND OTHER EMERGING MARKETS.   |                           | 2,000,000.               |
| All other program-related investments. See instructions.  |                           | , ,                      |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           |                          |
|   |                           | 1 969 257                |
| SEE STATEMENT 22  | I                         | 4,900 207.               |
| SEE STATEMENT 22 otal. Add lines 1 through 3  |                           | 4,968,257.<br>9,968,257. |

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| Ρ        | art X Minimum Investment Return (All domestic foundations mus   | st con  | plete this part. Foreign fou | ndations, s   | ee instructions.) |
|----------|---|---------|------------------------------|---------------|-------------------|
| 1        | Fair market value of assets not used (or held for use) directly in carrying out charitable, e   | etc ni  | rnoses.                      |               |                   |
|          | Average monthly fair market value of securities   | 1a      | 4,437,071,343.               |               |                   |
|          | Average of monthly cash balances  | 1b      | 35,716,374.                  |               |                   |
|          | Fair market value of all other assets   |         |                              | 10            | 10,028,749.       |
| d        | Total (add lines 1a, b, and c)  |         |                              | 1d            | 4,482,816,466.    |
| e        | Reduction claimed for blockage or other factors reported on lines 1a and  |         |                              |               | · · · ·           |
|          | 1c (attach detailed explanation)  | le      | 0.                           |               |                   |
| 2        | Acquisition indebtedness applicable to line 1 assets  |         |                              | 2             | 0.                |
| 3        | Subtract line 2 from line 1d  |         |                              | 3             | 4,482,816,466.    |
| 4        | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, se  | e instr | uctions)                     | 4             | 67,242,247.       |
| 5        | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Pa  |         |                              | 5             | 4,415,574,219.    |
| 6        | Minimum investment return. Enter 5% of line 5   |         |                              | 6             | 220,778,711.      |
| Ρ        | art XI Distributable Amount (see instructions) (Section 4942(j)(3) and  |         |                              | nd certain    |                   |
|          | foreign organizations, check here 🕨 🔄 and do not complete this part.)   |         |                              |               |                   |
| 1        | Minimum investment return from Part X, line 6   |         |                              | 1             | 220,778,711.      |
| 2a       | Tax on investment income for 2018 from Part VI, line 5  | 2a      | 2,845,043.                   |               |                   |
| b        | Income tax for 2018. (This does not include the tax from Part VI.)  | 2b      |                              |               |                   |
| C        | Add lines 2a and 2b   |         |                              | 2c            | 2,845,043.        |
| 3        | Distributable amount before adjustments. Subtract line 2c from line 1   |         |                              | 3             | 217,933,668.      |
| 4        | Recoveries of amounts treated as qualifying distributions   |         |                              | 4             | 3,053,592.        |
| 5        | Add lines 3 and 4   |         |                              | 5             | 220,987,260.      |
| 6        | Deduction from distributable amount (see instructions)  |         |                              | 6             | 0.                |
| 7        | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII   |         |                              | 7             | 220,987,260.      |
| <b>Р</b> | art XII         Qualifying Distributions (see instructions)           Amounts paid (including administrative expenses) to accomplish charitable, etc., purpos | es:     |                              |               |                   |
| a        | Expenses, contributions, gifts, etc total from Part I, column (d), line 26  |         |                              | 1a            | 214,804,547.      |
| b        | Program-related investments - total from Part IX-B  |         |                              | 1b            | 9,968,257.        |
| 2        | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable,  | etc., p | urposes                      | 2             | 982,570.          |
| 3        | Amounts set aside for specific charitable projects that satisfy the:  |         |                              |               |                   |
| a        | Suitability test (prior IRS approval required)  | 3a      |                              |               |                   |
| b        | Cash distribution test (attach the required schedule)   |         |                              | 3b            |                   |
| 4        | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and F  |         |                              | 4             | 225,755,374.      |
| 5        | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment  | nent    |                              |               |                   |
|          | income. Enter 1% of Part I, line 27b  |         |                              | 5             | 2,845,043.        |
| 6        | Adjusted qualifying distributions. Subtract line 5 from line 4  | 6       | 222,910,331.                 |               |                   |
|          | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when 4940(e) reduction of tax in those years.                              |         |                              | qualifies for | the section       |

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#### Form 990-PF (2018)

Part XIII Undistributed Income (see instructions)

|  | <b>(a)</b><br>Corpus | <b>(b)</b><br>Years prior to 2017 | (c)<br>2017 | <b>(d)</b><br>2018 |
|--|----------------------|-----------------------------------|-------------|--------------------|
| 1 Distributable amount for 2018 from Part XI,  | oorpuo               |                                   | 2011        | 2010               |
| line 7   |                      |                                   |             | 220,987,260.       |
| 2 Undistributed income, if any, as of the end of 2018:   |                      |                                   |             |                    |
| <b>a</b> Enter amount for 2017 only  |                      |                                   | 0.          |                    |
| <b>b</b> Total for prior years:  |                      |                                   |             |                    |
| <b>3</b> Excess distributions carryover, if any, to 2018:  |                      | 0.                                |             |                    |
|  |                      |                                   |             |                    |
| a From 2013 2,569,481.   |                      |                                   |             |                    |
| <b>b</b> From 2014 <b>5</b> , 493, 837.  |                      |                                   |             |                    |
| 15   |                      |                                   |             |                    |
| 816 930  |                      |                                   |             |                    |
| f Total of lines 3a through e  | 9,463,647.           |                                   |             |                    |
| 4 Qualifying distributions for 2018 from   | -,,                  |                                   |             |                    |
| Part XII, line 4: ►\$ 225,755,374.   |                      |                                   |             |                    |
| <b>a</b> Applied to 2017, but not more than line 2a  |                      |                                   | 0.          |                    |
| <b>b</b> Applied to undistributed income of prior  |                      |                                   | -           |                    |
| years (Election required - see instructions)   |                      | 0.                                |             |                    |
| <b>c</b> Treated as distributions out of corpus  |                      |                                   |             |                    |
| (Election required - see instructions)   | 0.                   |                                   |             |                    |
| d Applied to 2018 distributable amount   |                      |                                   |             | 220,987,260.       |
| e Remaining amount distributed out of corpus   | 4,768,114.           |                                   |             |                    |
| 5 Excess distributions carryover applied to 2018   | 0.                   |                                   |             | 0.                 |
| (If an amount appears in column (d), the same amount must be shown in column (a).)   |                      |                                   |             |                    |
| 6 Enter the net total of each column as indicated below:   |                      |                                   |             |                    |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5   | 14,231,761.          |                                   |             |                    |
| <b>b</b> Prior years' undistributed income. Subtract   |                      |                                   |             |                    |
| line 4b from line 2b   |                      | Ο.                                |             |                    |
| c Enter the amount of prior years'   |                      |                                   |             |                    |
| undistributed income for which a notice of<br>deficiency has been issued, or on which<br>the section 4942(a) tax has been previously<br>assessed |                      | 0.                                |             |                    |
| <b>d</b> Subtract line 6c from line 6b. Taxable  |                      | -                                 |             |                    |
| amount - see instructions  |                      | 0.                                |             |                    |
| e Undistributed income for 2017. Subtract line   |                      |                                   |             |                    |
| 4a from line 2a. Taxable amount - see instr.   |                      |                                   | 0.          |                    |
| f Undistributed income for 2018. Subtract  |                      |                                   |             |                    |
| lines 4d and 5 from line 1. This amount must   |                      |                                   |             |                    |
| be distributed in 2019   |                      |                                   |             | 0.                 |
| 7 Amounts treated as distributions out of  |                      |                                   |             |                    |
| corpus to satisfy requirements imposed by  |                      |                                   |             |                    |
| section 170(b)(1)(F) or 4942(g)(3) (Election   |                      |                                   |             |                    |
| may be required - see instructions)  | 0.                   |                                   |             |                    |
| 8 Excess distributions carryover from 2013   |                      |                                   |             |                    |
| not applied on line 5 or line 7  | 2,569,481.           |                                   |             |                    |
| 9 Excess distributions carryover to 2019.  | 11 660 000           |                                   |             |                    |
| Subtract lines 7 and 8 from line 6a  | 11,662,280.          |                                   |             |                    |
| 10 Analysis of line 9:   |                      |                                   |             |                    |
| a Excess from 2014<br>b Excess from 2015 5,493,837.  |                      |                                   |             |                    |
|  |                      |                                   |             |                    |
| c Excess from 2016         583,399.           d Excess from 2017         816,930.  |                      |                                   |             |                    |
| e Excess from 2017 4,768,114.  |                      |                                   |             |                    |
|  |                      |                                   |             | Earm 000-DE (0010) |

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Form **990-PF** (2018)

9

| m 990-PF (2018) THE ROCKEFELI<br>Part XIV Private Operating Fou                             |                          | tructions and Part VII | -A, question 9)             | N/A                        | 9629 Pag          |
|---|--------------------------|------------------------|-----------------------------|----------------------------|-------------------|
| <b>a</b> If the foundation has received a ruling or de                                      |                          |                        |                             |                            |                   |
| foundation, and the ruling is effective for 20  |                          |                        | ▶                           |                            |                   |
| <b>b</b> Check box to indicate whether the foundation                                       |                          |                        |                             | 4942(j)(3) or 49           | 42(j)(5)          |
| <b>a</b> Enter the lesser of the adjusted net   | Tax year                 |                        | Prior 3 years               |                            |                   |
| income from Part I or the minimum   | (a) 2018                 | (b) 2017               | (c) 2016                    | (d) 2015                   | (e) Total         |
| investment return from Part X for   |                          | ( )                    |                             | ( )                        | ( )               |
| each year listed  |                          |                        |                             |                            |                   |
| <b>b</b> 85% of line 2a   |                          |                        |                             |                            |                   |
|   |                          |                        |                             |                            |                   |
| c Qualifying distributions from Part XII,   |                          |                        |                             |                            |                   |
| line 4 for each year listed   |                          |                        |                             |                            |                   |
| d Amounts included in line 2c not   |                          |                        |                             |                            |                   |
| used directly for active conduct of   |                          |                        |                             |                            |                   |
| exempt activities   |                          |                        |                             |                            |                   |
| e Qualifying distributions made directly  |                          |                        |                             |                            |                   |
| for active conduct of exempt activities.  |                          |                        |                             |                            |                   |
| Subtract line 2d from line 2c   |                          |                        |                             |                            |                   |
| Complete 3a, b, or c for the alternative test relied upon:                                  |                          |                        |                             |                            |                   |
| a "Assets" alternative test - enter:  |                          |                        |                             |                            |                   |
| (1) Value of all assets   |                          |                        |                             |                            |                   |
| (2) Value of assets qualifying<br>under section 4942(j)(3)(B)(i)                            |                          |                        |                             |                            |                   |
| b "Endowment" alternative test - enter  |                          |                        |                             |                            |                   |
| 2/3 of minimum investment return<br>shown in Part X, line 6 for each year<br>listed         |                          |                        |                             |                            |                   |
| c "Support" alternative test - enter:   |                          |                        |                             |                            |                   |
| (1) Total support other than gross  |                          |                        |                             |                            |                   |
| investment income (interest,  |                          |                        |                             |                            |                   |
| dividends, rents, payments on   |                          |                        |                             |                            |                   |
| securities loans (section   |                          |                        |                             |                            |                   |
| 512(a)(5)), or royalties)   |                          |                        |                             |                            |                   |
| (2) Support from general public<br>and 5 or more exempt                                     |                          |                        |                             |                            |                   |
| organizations as provided in  |                          |                        |                             |                            |                   |
| section 4942(j)(3)(B)(iii)  |                          |                        |                             |                            |                   |
| (3) Largest amount of support from  |                          |                        |                             |                            |                   |
| an exempt organization  |                          |                        |                             |                            |                   |
| (4) Gross investment income   |                          |                        |                             |                            |                   |
| art XV Supplementary Inform   |                          |                        | f the foundation h          | ad \$5,000 or mor          | e in assets       |
| at any time during the  | year-see instru          | ictions.)              |                             |                            |                   |
| Information Regarding Foundation M  | lanagers:                |                        |                             |                            |                   |
| ${f a}$ List any managers of the foundation who h   |                          |                        | ibutions received by the fo | oundation before the close | of any tax        |
| year (but only if they have contributed more  | e than \$5,000). (See se | ction 507(d)(2).)      |                             |                            |                   |
| IE  |                          |                        |                             |                            |                   |
| b List any managers of the foundation who or<br>other entity) of which the foundation has a |                          |                        | or an equally large portion | of the ownership of a par  | tnership or       |
| NE  |                          |                        |                             |                            |                   |
| Information Regarding Contribution  | Grant, Gift, Loan, S     | Scholarship, etc., Pr  | ograms:                     |                            |                   |
| Check here ▶ 🗴 if the foundation only   |                          |                        | -                           | t accept unsolicited reque | sts for funds. If |
| the foundation makes gifts, grants, etc., to  |                          |                        |                             |                            |                   |
|   |                          |                        |                             |                            |                   |

420 FIFTH AVENUE, NEW YORK, NY 10018

**b** The form in which applications should be submitted and information and materials they should include:

#### SEE STATEMENT 24

c Any submission deadlines:

#### SEE STATEMENT 24

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: SEE STATEMENT 24

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Form **990-PF** (2018)

Form 990-PF (2018) Supplementary Information (continued)

Part XV

THE ROCKEFELLER FOUNDATION

Grants and Contributions Paid During the Year or Approved for Future Payment 3 If recipient is an individual, Recipient Purpose of grant or show any relationship to Foundation Amount any foundation manager status of contribution Name and address (home or business) \* \* or substantial contributor recipient a Paid during the year 100 WOMEN IN FINANCE FOUNDATION PC SEE STATEMENT ΝA 888C 8TH AVENUE 453 NEW YORK, NY 10019-8511 600. ACCELERATOR FOR AMERICA ΝA PC SEE STATEMENT 7119 WEST SUNSET BOULEVARD, NO 195 LOS ANGELES, CA 90046 250,000. ACHIEVE LEARNING AND RESOURCE CENTER PC SEE STATEMENT NA INC 13532 BEVERLY BLVD WHITTIER, CA 90601-3712 50. ACLU FOUNDATION (AMERICAN CIVIL NA PC SEE STATEMENT LIBERTIES UNION FOUNDATION INC) 125 BROAD STREET, 18TH FLOOR NEW YORK, NY 10004-2400 225. ACLU FOUNDATION (AMERICAN CIVIL ΝA PC SEE STATEMENT LIBERTIES UNION FOUNDATION) 125 BROAD STREET, 18TH FLOOR NEW YORK, NY 10004-2400 750. SEE CONTINUATION SHEET(S) ► 3a 163,531,253. Total **b** Approved for future payment ACUMEN FUND, INC. NA PC SEE STATEMENT 40 WORTH STREET SUITE 303 NEW YORK, NY 10013 999,000. PC ACUMEN FUND, INC. NA SEE STATEMENT 40 WORTH STREET SUITE 303 NEW YORK, NY 10013 25,000. SEE STATEMENT AFRICAN DEVELOPMENT BANK GOV ΝA AVENUE LAMBLIN ABIDJAN , COTE D'IVOIRE (IVORY COAS 600,000. SEE CONTINUATION SHEET(S) 68,332,902. ► 3b Total

11

\*\* SEE PURPOSE OF GRANT CONTINUATIONS 823611 12-11-18

Form 990-PF (2018)

2018.05000 THE ROCKEFELLER FOUNDATIO 16215701

13-1659629 Pa<u>ge</u> 11

| Form 990-PF (2018) THE ROCKEFELLER FOUND                        | DATION               |                         |                       | 13-1                            | .659629 Page <b>12</b> |
|---|----------------------|-------------------------|-----------------------|---------------------------------|------------------------|
| Part XVI-A Analysis of Income-Produ                             | cing Activitie       | S                       |                       |                                 |                        |
| Enter gross amounts unless otherwise indicated.                 | Unrelated b          | ousiness income         |                       | led by section 512, 513, or 514 | (e)                    |
| -   | (a)<br>Business      | <b>(b)</b><br>Amount    | (C)<br>Exclu-<br>sion | (d)                             | Related or exempt      |
| 1 Program service revenue:                                      | code                 | Amount                  | code                  | Amount                          | function income        |
| a PROGRAM RELATED INVESTMENT INCOME                             |                      |                         |                       |                                 | 685,497.               |
| b   |                      |                         |                       |                                 |                        |
| C   |                      |                         |                       |                                 |                        |
| d   |                      |                         |                       |                                 |                        |
| e   |                      |                         |                       |                                 |                        |
| f   |                      |                         |                       |                                 |                        |
| <b>g</b> Fees and contracts from government agencies            |                      |                         |                       |                                 |                        |
| 2 Membership dues and assessments                               |                      |                         |                       |                                 |                        |
| 3 Interest on savings and temporary cash                        |                      |                         |                       |                                 |                        |
| investments   | _                    |                         | 14                    | 2,854,541.                      |                        |
| 4 Dividends and interest from securities                        |                      |                         | 14                    | 16,337,968.                     |                        |
| 5 Net rental income or (loss) from real estate:                 |                      |                         |                       |                                 |                        |
| <b>a</b> Debt-financed property                                 |                      |                         |                       |                                 |                        |
| <b>b</b> Not debt-financed property                             |                      |                         |                       |                                 |                        |
| 6 Net rental income or (loss) from personal                     |                      |                         |                       |                                 |                        |
| property  |                      |                         |                       |                                 |                        |
| 7 Other investment income                                       |                      |                         |                       |                                 |                        |
| 8 Gain or (loss) from sales of assets other                     |                      |                         |                       |                                 |                        |
| than inventory  |                      | 8,118,147.              | 18                    | 401,292,039.                    |                        |
| 9 Net income or (loss) from special events                      |                      |                         |                       |                                 |                        |
| 10 Gross profit or (loss) from sales of inventory               |                      |                         |                       |                                 |                        |
| 11 Other revenue:   |                      | 05050000                |                       | 04401055                        |                        |
| a SEE STATEMENT 23  |                      | 25078038                |                       | -24491855                       |                        |
| b   |                      |                         |                       |                                 |                        |
| C   |                      |                         |                       |                                 |                        |
| d   |                      |                         |                       |                                 |                        |
| e   | -                    | 33,196,185.             |                       | 395,992,693.                    | 685,497.               |
| <b>12</b> Subtotal. Add columns (b), (d), and (e)               |                      |                         |                       |                                 | 429,874,375.           |
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e)         |                      |                         |                       |                                 | 425,074,575.           |
| (See worksheet in line 13 instructions to verify calculations.) |                      |                         |                       |                                 |                        |
| Part XVI-B Relationship of Activities                           | to the Accom         | plishment of Exe        | empt                  | Purposes                        |                        |
| Line No. Explain below how each activity for which inc          | ome is reported in c | olumn (e) of Part XVI-A | contribu              | uted importantly to the accom   | olishment of           |
| the foundation's exempt purposes (other than                    | n by providing funds | for such purposes).     |                       |                                 |                        |
| 1A SEE STATEMENTS 21 AND 22.                                    |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
|   |                      |                         |                       |                                 |                        |
| I   |                      |                         |                       |                                 |                        |

Form **990-PF** (2018)

| Form 990-PF (2018) THE ROCKEFELLER FOUNDATION  | 13-1659629        | Pa  | ige 13 |
|--|-------------------|-----|--------|
| Part XVII Information Regarding Transfers to and Transactions and Relationships W<br>Exempt Organizations                          | ith Noncharitable |     |        |
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501( | (c)               | Yes | No     |
| (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                               |                   |     |        |
| a Transfers from the reporting foundation to a noncharitable exempt organization of:   |                   |     |        |
| (1) Cash   | 1a(1)             |     | X      |
| (2) Other assets   | 1a(2)             |     | х      |
| b Other transactions:  |                   |     |        |
| (1) Sales of assets to a noncharitable exempt organization   | 1b(1)             |     | х      |
| (2) Purchases of assets from a noncharitable exempt organization   | 1b(2)             |     | x      |
| (3) Rental of facilities, equipment, or other assets   | 1b(3)             |     | x      |
| (4) Reimbursement arrangements   | 1b(4)             |     | x      |
| (5) Loans or loan guarantees   | 1b(5)             |     | x      |
| (6) Performance of services or membership or fundraising solicitations   | 1b(6)             |     | X      |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 10                |     | X      |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no.              | (b) Amount involved   | (c) Name of noncharitable  | e exempt organization   | (d) Description of transfers, transactio  | ons, and sharing arrangements   |
|---------------------------|---|--|---|---|---|
|                           |   | N/A  |   |   |   |
|                           |   | -  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           | <u> </u> ′  |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   | <u> </u>   |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           | ļ/  |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   | tly affiliated with, or related to, one $501(a)(2)$ or in continue $5022$                  | or more tax-exempt organizat  | tions described   |   |
|                           | ction 501(c) (other than section<br>es," complete the following sche                | on 501(c)(3)) or in section 527?   |   |   | Yes X No  |
| 0 11 10                   | a) Name of org  |  | (b) Type of organization  | (c) Description of re   | alationshin   |
|                           | N/A   |  | (b) i jpo or organization   | (a) booonprion on to  | Jatonany  |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
|                           |   |  |   |   |   |
| Sign <sup>1</sup><br>Here | Inder penalties of perjury, I declare the ind belief, it is true, correct, and comp | that I have examined this return, including<br>nplete. Declaration of preparer (other than | accompanying schedules and state<br>taxpayer) is based on all information | ements, and to the best of my knowledge<br>n of which preparer has any knowledge.<br>CHIEF FINANCIAL<br>OFFICER | May the IRS discuss this<br>return with the preparer<br>shown below? See instr. |
|                           | Signature of officer or trusted   |  |   | THE   | _ X Yes No  |

|        | Signature of officer of trustee | Date                                 | • litle  |                        |
|--------|---------------------------------|--------------------------------------|----------|------------------------|
|        | Print/Type preparer's name      | Preparer's signature                 | Date     | Check if PTIN          |
|        |                                 |                                      |          | self- employed         |
| Paid   | THOMAS BLANEY                   | THOMAS BLANEY                        | 11/02/19 | P00234022              |
| Prepar |                                 | Firm's name FKF O'CONNOR DAVIES, LLP |          |                        |
| Use Or | ly                              |                                      |          |                        |
|        | Firm's address 🕨 665 FIFTH AVI  | INUE                                 |          |                        |
|        | NEW YORK, NY                    | 10022                                |          | Phone no. 212-286-2600 |

Form 990-PF (2018)

| THE ROCKEFELLER FOUNDATION   | 13-1659   | ,<br>9629 Pž                                     | AGE 1  | OF                       | 11   |
|--|---|--|--|--------------------------|------|
| Part IV Capital Gains and Losses for Tax on Investment Income  |   |  |  |                          |      |
| (a) List and describe the kind(s) of property solo<br>2-story brick warehouse; or common stock, 20   | l, e.g., real estate,<br>10 shs. MLC Co.        | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | (d) Date s<br>(mo., day, |      |
| 1a SALES OF PUBLICLY TRADED EQUITIES   |   | P  | ,  |                          | . ,  |
| h SALES OF PUBLICLY TRADED FIXED INCOME SECURIT  | IES   | P  |  |                          |      |
| C MISCELLANEOUS (EQUITY OTHER)   |   | P  |  |                          |      |
| d MISCELLANEOUS (FIXED INCOME OTHER)   |   | P  |  |                          |      |
| e MISCELLANEOUS (FIXED INCOME FUTURES)   |   | P  |  |                          |      |
| f 12 WEST PUNCH CARD   |   | P  |  |                          |      |
| Q ARIOSE CHINA OFFSHRE   |   | P  |  |                          |      |
| h BLUE RIDGE OFFSHORE  |   | P  |  |                          |      |
| i HIGHFIELDS   |   | P  |  |                          |      |
|  |   | P  |  |                          |      |
| j HSCP STRATEGIC I   |   | P<br>P   |  |                          |      |
| K THINK INVESTMENTS  |   |  |  |                          |      |
| TPG AXON PRTNR OFFSH   |   | P  |  |                          |      |
| M TRIVEST CHINA FOCUS  |   | P  |  |                          |      |
| N WELLINGTON BAY POND  |   | P  |  |                          |      |
| 0 WELLINGTON SPINDRIFT   |   | P  |  |                          |      |
| (e) Gross sales price (f) Depreciation allowed (or allowable)  | (g) Cost or other basis<br>plus expense of sale |  | Gain or (loss)<br>lus (f) minus (g)          |                          |      |
| a 275,034,415.   | 156,839,277.                                    |  | -  | L18,195,                 | 138. |
| b 90,473,698.  | 68,508,878.                                     |  |  | 21,964,8                 | 820. |
| С  |   |  |  | 10,415,                  | 756. |
| d  |   |  |  | -220,8                   | 818. |
| e  |   |  |  | -2,471,                  | 903. |
| f  |   |  |  | 2,999,                   | 994. |
| g  |   |  |  | -427,3                   | 221. |
| h  |   |  |  | 33,683,3                 | 231. |
| i  |   |  |  | -2,666,                  | 629. |
| i  |   |  |  | 2,966,                   | 835. |
| k  |   |  |  | 4,901,                   | 753. |
|  |   |  |  | 10,                      | 503. |
| m  |   |  |  | 7,881,                   |      |
| n  |   |  |  | -10,2                    |      |
| 0  |   |  |  | 359,                     |      |
| Complete only for assets showing gain in column (h) and owned by t   | he foundation on 12/31/69                       | (1)   05   | ses (from col. (h))                          | ,                        |      |
| (i) F.M.V. as of 12/31/69 (j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col. (i)<br>over col. (j), if any | Gains (excess o                                  | of col. (h) gain over<br>ot less than "-0-") | col. (k),                |      |
| a  |   |  |  | L18,195,                 | 138. |
| b  |   |  |  | 21,964,8                 | 820. |
| с  |   |  |  | 10,415,                  | 756. |
| d  |   |  |  | -220,8                   | 818. |
| e  |   |  |  | -2,471,                  |      |
| f  |   |  |  | 2,999,                   |      |
| <u>g</u>   |   |  |  | -427,2                   |      |
| <u> </u>   |   |  |  | 33,683,3                 |      |
| i  |   |  |  | -2,666,0                 |      |
| i  |   |  |  | 2,966,8                  |      |
| <u>,</u><br>k  |   |  |  | 4,901,                   |      |
| <u> </u>   |   |  |  | 10,                      |      |
|  |   |  |  | 7,881,                   |      |
|  |   |  |  | -10,2                    |      |
| n<br>o   |   |  |  | 359,                     |      |
|  | in Part I, line 7                               |  |  |                          |      |
| 2 Capital gain net income or (net capital loss) { If gain, also enter "-0  |   | 2  |  |                          |      |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | d (6):  | 3  |  |                          |      |

| THE ROCKEFELLER FOUNDAT   |   | 13-165  | ATION FOR 990<br>9629               |                  |   | OF 1                                    |
|---|---|---|-------------------------------------|------------------|---|---|
| Part IV Capital Gains and Lo  | osses for Tax on Investment Income  |   |                                     |                  |   |   |
|   | d describe the kind(s) of property so<br>rick warehouse; or common stock, 2 |   | (b) How ac<br>P - Purch<br>D - Dona | lase             | (c) Date acquired<br>(mo., day, yr.)        | <b>(d)</b> Date sold<br>(mo., day, yr.) |
| 1a BAUPOST VALUE IV   |   |   | P                                   |                  |   |   |
| b FARALLON  |   |   | P                                   |                  |   |   |
| C KING STREET CAP II  |   |   | P                                   |                  |   |   |
| d MARATHON SPECIAL OPP  |   |   | P                                   |                  |   |   |
| e OCH-ZIFF EUR OVRSEAS  |   |   | Р                                   |                  |   |   |
| f OZ OVERSEAS FUND II   |   |   | Р                                   |                  |   |   |
| Q PANNING OVERSEAS FD   |   |   | Р                                   |                  |   |   |
| h CARL MARKS STRAT OPP  |   |   | Р                                   |                  |   |   |
| i ALCHEMY SPEC OPPS 3   |   |   | Р                                   |                  |   |   |
| i CERBERUS III  |   |   | Р                                   |                  |   |   |
| k CERBERUS IV   |   |   | P                                   |                  |   |   |
| LONE STAR VI  |   |   | P                                   |                  |   |   |
| M ABRY ASF II   |   |   | P                                   |                  |   |   |
| N HSI COINVST PADDOCK   |   |   | P                                   |                  |   |   |
| 0 HSI COINVSTA PADDOCK  |   |   | P                                   |                  |   |   |
|   | (f) Depreciation allowed  | (g) Cost or other basis                         |                                     | (h)              | Gain or (loss)                              |   |
| (e) Gross sales price   | (or allowable)  | plus expense of sale                            |                                     |                  | lus (f) minus (g)                           |   |
| a   |   |   |                                     |                  |   | -3,199,359                              |
| b   |   |   |                                     |                  |   | 1,760,267                               |
| C   |   |   |                                     |                  |   | 409,659                                 |
| d   |   |   |                                     |                  |   | -46,088                                 |
| е   |   |   |                                     |                  |   | -36                                     |
| f   |   |   |                                     |                  |   | 2,146,285                               |
| g   |   |   |                                     |                  |   | -76,569                                 |
| h   |   |   |                                     |                  |   | 1,667,887                               |
| i   |   |   |                                     |                  |   | 610,433                                 |
| j   |   |   |                                     |                  |   | 351,742                                 |
| k   |   |   |                                     |                  |   | 1,211,007                               |
| 1   |   |   |                                     |                  |   | 438,990                                 |
| m   |   |   |                                     |                  |   | 326,172                                 |
| n   |   |   |                                     |                  |   | -31,476                                 |
| 0   |   |   |                                     |                  |   | -31,476                                 |
| Complete only for assets showi  | ng gain in column (h) and owned by  | the foundation on 12/31/69                      | <b>0</b> · · · /                    | (I) Loss         | ses (from col. (h))                         |   |
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col. (i)<br>over col. (j), if any | Gains (e)                           | cess o<br>but no | f col. (h) gain over<br>ot less than "-0-") | соі. (к),                               |
| a   |   |   |                                     |                  |   | -3,199,359                              |
| b   |   |   |                                     |                  |   | 1,760,267                               |
| С   |   |   |                                     |                  |   | 409,659                                 |
| d   |   |   |                                     |                  |   | -46,088                                 |
| е   |   |   |                                     |                  |   | -36                                     |
| f   |   |   |                                     |                  |   | 2,146,285                               |
| g   |   |   |                                     |                  |   | -76,569                                 |
| h   |   |   |                                     |                  |   | 1,667,887                               |
| i   |   |   |                                     |                  |   | 610,433                                 |
| j   |   |   |                                     |                  |   | 351,742                                 |
| k   |   |   |                                     |                  |   | 1,211,007                               |
| l   |   |   |                                     |                  |   | 438,990                                 |
| n   |   |   |                                     |                  |   | 326,172                                 |
| n   |   |   |                                     |                  |   | -31,476                                 |
| 0   |   |   |                                     |                  |   | -31,476                                 |
|   | apital loss) { If gain, also ente<br>If (loss), enter "-                    | er in Part I, line 7<br>0-" in Part I, line 7 } | 2                                   |                  |   |   |
|   | oss) as defined in sections 1222(5) ar                                      | <b>`</b>  |                                     |                  |   |   |
| If gain, also enter in Part I, line 8<br>If (loss), enter "-0-" in Part I, line | , column (c).   | }   | 3                                   |                  |   |   |

If (loss), enter "-0-" in Part I, line 8

| THE ROCKEFELLER FOUNDATI               | ON   |  | 13-1659629 | P1                | AGE 3                                | OF 11                                    |
|--|--|--|------------|-------------------|--------------------------------------|--|
| Part IV Capital Gains and Los          | sses for Tax on Investment Income  |  |            |                   |                                      |  |
|  | l describe the kind(s) of property sold<br>rick warehouse; or common stock, 20 |  |            |                   | (c) Date acquired<br>(mo., day, yr.) | ( <b>d)</b> Date sold<br>(mo., day, yr.) |
| 1a HSI COINVSTB PADDOCK                |  | 50 5h3. MEO 60.                            |            | D - Donation<br>P | (,, ,)                               | (,,, ,)                                  |
| b HSI HY CREDIT I                      |  |  |            | Р                 |                                      |  |
| C HSI HY CREDIT I (A)                  |  |  |            | Р                 |                                      |  |
| d HSI HY CREDIT I (B)                  |  |  |            | P                 |                                      |  |
| e BLACKSTONE RE VIII                   |  |  |            | P                 |                                      |  |
|  |  |  |            |                   |                                      |  |
| f FORTRESS FUND V                      |  |  |            | P                 |                                      |  |
| g HEMISFERIO SUL IV                    |  |  |            | P                 |                                      |  |
| h HEMISFERIO SUL IV A                  |  |  |            | P                 |                                      |  |
| i HEMISFERIO SUL IV B                  |  |  |            | P                 |                                      |  |
| j HUTENSKY FUND II                     |  |  |            | P                 |                                      |  |
| k KILDARE EUROPEAN                     |  |  |            | P                 |                                      |  |
| LONE STAR RE FUND II                   |  |  |            | Р                 |                                      |  |
| M M&H RLTY PARTNERS V                  |  |  |            | Р                 |                                      |  |
| η NCP III (QUADRANGLE)                 |  |  |            | P                 |                                      |  |
| 0 PROSPERITAS RE III                   |  |  |            | P                 |                                      |  |
| 0                                      | (f) Depresiation allowed   | (g) Cost or other ba                       |            |                   | Cain or (loss)                       |  |
| (e) Gross sales price                  | (f) Depreciation allowed<br>(or allowable)                                     | plus expense of sa                         |            |                   | Gain or (loss)<br>lus (f) minus (g)  |  |
| а                                      |  |  |            |                   |                                      | -31,476.                                 |
| b                                      |  |  |            |                   |                                      | 839,147.                                 |
| C                                      |  |  |            |                   |                                      | 839,147.                                 |
| d                                      |  |  |            |                   |                                      | 839,147.                                 |
| е                                      |  |  |            |                   |                                      | 485,580.                                 |
| f                                      |  |  |            |                   |                                      | 6,835,904.                               |
| g                                      |  |  |            |                   |                                      | 33,864.                                  |
| <u>9</u><br>h                          |  |  |            |                   |                                      | 33,864.                                  |
| <u></u>                                |  |  |            |                   |                                      | 33,864.                                  |
| <u> </u>                               |  |  |            |                   |                                      | 1,513,172.                               |
| <u> </u>                               |  |  |            |                   |                                      |  |
| <u>k</u>                               |  |  |            |                   |                                      | 2,953,286.                               |
| <u> </u>                               |  |  |            |                   |                                      | 442,301.                                 |
| <u>m</u>                               |  |  |            |                   |                                      | 105,176.                                 |
| n                                      |  |  |            |                   |                                      | 1,966,552.                               |
| 0                                      |  |  |            |                   |                                      | 406.                                     |
| Complete only for assets showing       | ng gain in column (h) and owned by t   | he foundation on 12/31/69                  | )          | (I) Los           | ses (from col. (h))                  |  |
|  | (j) Adjusted basis   | (k) Excess of col.                         | (i)        | Gains (excess o   | of col. (h) gain over                | col. (k),                                |
| (i) F.M.V. as of 12/31/69              | as of 12/31/69   | over col. (j), if an                       | У          | n JUC             | ot less than "-0-")                  |  |
| а                                      |  |  |            |                   |                                      | -31,476.                                 |
| b                                      |  |  |            |                   |                                      | 839,147.                                 |
| C                                      |  |  |            |                   |                                      | 839,147.                                 |
| d                                      |  |  |            |                   |                                      | 839,147.                                 |
|  |  |  |            |                   |                                      | 485,580.                                 |
| <u>e</u>                               |  |  |            |                   |                                      |  |
| f                                      |  |  |            |                   |                                      | 6,835,904.                               |
| <u>g</u>                               |  |  |            |                   |                                      | 33,864.                                  |
| <u>h</u>                               |  |  |            |                   |                                      | 33,864.                                  |
| <u>i</u>                               |  |  |            |                   |                                      | 33,864.                                  |
| j                                      |  |  |            |                   |                                      | 1,513,172.                               |
| k                                      |  |  |            |                   |                                      | 2,953,286.                               |
|  |  |  |            |                   |                                      | 442,301.                                 |
| m                                      |  |  |            |                   |                                      | 105,176.                                 |
| n                                      |  |  |            |                   |                                      | 1,966,552.                               |
| 0                                      |  |  |            |                   |                                      | 406.                                     |
|  |  | I  |            |                   |                                      | - · · · •                                |
| 2 Capital gain net income or (net ca   | apital loss)     {   If gain, also enter<br>If (loss), enter "-C               | in Part I, line 7<br>)-" in Part I, line 7 | 2          |                   |                                      |  |
| 3 Net short-term capital gain or (los  |  |  | ן ך        |                   |                                      |  |
| If gain, also enter in Part I, line 8, |  |  | 3          |                   |                                      |  |
|  | ~  | <u></u>                                    | . / 3      |                   |                                      |  |

| THE ROCKEFELLER FOUNDATI   | ON   |  | 13-1659629 | PA   | AGE 4  | OF 11                            |
|--|--|--|------------|--|--|----------------------------------|
| Part IV Capital Gains and Los  | sses for Tax on Investment Income  |  |            |  |  |                                  |
| (a) List and   | d describe the kind(s) of property sole<br>rick warehouse; or common stock, 20 |  |            | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.) |
| 1a PROSPERITAS RE III-A  | , , ,  |  |            | P Dollation                                      |  | ,                                |
| b PROSPERITAS RE III-B   |  |  |            | P  |  |                                  |
| C SVEAFASTIGHETER III  |  |  |            | P  |  |                                  |
| d ARCLIGHT FUND IV   |  |  |            | P  |  |                                  |
| e ARCLIGHT FUND V  |  |  |            | P  |  |                                  |
| f CADENT ENERGY II   |  |  |            | P  |  |                                  |
|  |  |  |            | P  |  |                                  |
| g CARNELIAN ENERGY<br>h COMMONFD NAT RES VI                                      |  |  |            | P  |  |                                  |
| i COMMONFD NAT RES VI  |  |  |            | P  |  |                                  |
| i DENHAM CAPITAL VI  |  |  |            | P  |  |                                  |
|  |  |  |            | P  |  |                                  |
| k ENCAP ENERGY X   |  |  |            | P  |  |                                  |
|  |  |  |            |  |  |                                  |
| MAD 2006 FUND V  |  |  |            | P  |  |                                  |
| n MAP 2006 FUND  |  |  |            | P  |  |                                  |
| 0 QUANTUM ENERGY IV  |  |  | .          | P  |  |                                  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable)                                     | (g) Cost or other ba<br>plus expense of sa         |            |  | Gain or (loss)<br>lus (f) minus (g)          |                                  |
| <u>a</u>   |  |  |            |  |  | 406.                             |
| b  |  |  |            |  |  | 406.                             |
| С  |  |  |            |  |  | 200,426.                         |
| <u>d</u>   |  |  |            |  |  | 66,077.                          |
| е  |  |  |            |  |  | 4,020,440.                       |
| f  |  |  |            |  |  | 8,058,690.                       |
| <u>g</u>   |  |  |            |  |  | 7,707,582.                       |
| <u>h</u>   |  |  |            |  |  | 1,501,144.                       |
| <u>i</u>   |  |  |            |  |  | 669,635.                         |
| j  |  |  |            |  |  | 212,975.                         |
| <u>k</u>   |  |  |            |  |  | 101,945.                         |
| 1  |  |  |            |  |  | 23,743,677.                      |
| m  |  |  |            |  |  | 3,967,963.                       |
| n  |  |  |            |  |  | 143,382.                         |
| 0  |  |  |            |  |  | 16,095.                          |
|  | ng gain in column (h) and owned by t   | the foundation on 12/31/69                         | )          | (I) Los  | ses (from col. (h))                          |                                  |
| (i) F.M.V. as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69   | ( <b>k)</b> Excess of col.<br>over col. (j), if an |            | Gains (excess o                                  | of coÌ. (h) gain òver<br>ot less than "-0-") | col. (k),                        |
| a  |  |  |            |  |  | 406.                             |
| b  |  |  |            |  |  | 406.                             |
| C  |  |  |            |  |  | 200,426.                         |
| d  |  |  |            |  |  | 66,077.                          |
| e  |  |  |            |  |  | 4,020,440.                       |
| f  |  |  |            |  |  | 8,058,690.                       |
| g  |  |  |            |  |  | 7,707,582.                       |
| <u>y</u><br>h  |  |  |            |  |  | 1,501,144.                       |
|  |  |  |            |  |  | 669,635.                         |
| · · · · · · · · · · · · · · · · · · ·  |  |  |            |  |  | 212,975.                         |
| k  |  |  |            |  |  | 101,945.                         |
| <u></u>  |  |  |            |  |  | 23,743,677.                      |
| <u> </u>   |  |  |            |  |  | 3,967,963.                       |
|  |  |  |            |  |  | 143,382.                         |
| <u>n</u>   |  |  |            |  |  | 16,095.                          |
| 0  |  | 1  |            |  |  | 10,055.                          |
| 2 Capital gain net income or (net ca   | apital loss)     {   If gain, also enter<br>  If (loss), enter "-C             | r in Part I, line 7<br>)-" in Part I, line 7 }     | 2          |  |  |                                  |
|  | ss) as defined in sections 1222(5) an  | d (6):   |            |  |  |                                  |
| If gain, also enter in Part I, line 8,<br>If (loss), enter "-0-" in Part I, line |  |  | . 3        |  |  |                                  |

| THE ROCKEFELLER FOUNDATI  | ON  | 13-1659629                                       | P.   | AGE 5  | OF 11                            |
|---|---|--|--|--|----------------------------------|
| Part IV Capital Gains and Lo  | sses for Tax on Investment Income   |  |  |  |                                  |
| (a) List and  | d describe the kind(s) of property sol<br>rick warehouse; or common stock, 20 |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.) |
| 1a QUANTUM ENERGY V   |   |  | P  |  |                                  |
| h LYME FOREST FUND  |   |  | Р  |  |                                  |
| C LYME FOREST FUND III  |   |  | Р  |  |                                  |
| d ABRY PARTNERS VII   |   |  | P  |  |                                  |
| e ABRY PARTNERS VIII  |   |  | P  |  |                                  |
|   |   |  | P  |  |                                  |
| G ABRY SENIOR EQUITY V  |   |  | P  |  |                                  |
|   |   |  | +  |  |                                  |
| h ALPINE INVESTORS III  |   |  | P  |  |                                  |
| i ALPINE INVESTORS IV   |   |  | P  |  |                                  |
| j AUDAX FUND II   |   |  | P  |  |                                  |
| k AUDAX FUND III  |   |  | P  |  |                                  |
| AUDAX FUND IV   |   |  | P  |  |                                  |
| M BAIN CAPITAL IX   |   |  | P  |  |                                  |
| N BERKSHIRE PRTS VI   |   |  | P  |  |                                  |
| O BERKSHIRE PRTS VII  |   |  | P  |  |                                  |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)                                    | (g) Cost or other basis<br>plus expense of sale  |  | ) Gain or (loss)<br>Ilus (f) minus (g)       |                                  |
| <u>a</u>  |   |  |  |  | 990,769.                         |
| <u>b</u>  |   |  |  |  | 76,700.                          |
| <u>_</u> C  |   |  |  |  | 24,000.                          |
| d   |   |  |  |  | 585,197.                         |
| е   |   |  |  |  | 1,880,865.                       |
| f   |   |  |  |  | 395,196.                         |
| g   |   |  |  |  | 518,334.                         |
| h   |   |  |  |  | 242,065.                         |
| i   |   |  |  |  | 922,417.                         |
| i   |   |  |  |  | 112,659.                         |
| k   |   |  |  |  | 1,547,541.                       |
| 1   |   |  |  |  | 2,591,739.                       |
| m   |   |  |  |  | 102,998.                         |
| n   |   |  |  |  | 3,734,797.                       |
| 0   |   |  |  |  | 4,496,227.                       |
|   | ng gain in column (h) and owned by t  | the foundation on 12/31/69                       | (1)   05   | ses (from col. (h))                          | , , .                            |
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col. (i)<br>over col. (j), if any  | Gains (excess of                                 | of col. (h) gain over<br>ot less than "-0-") | col. (k),                        |
| a   |   |  |  |  | 990,769.                         |
| b   |   |  |  |  | 76,700.                          |
| _C  |   |  |  |  | 24,000.                          |
| d   |   |  |  |  | 585,197.                         |
| е   |   |  |  |  | 1,880,865.                       |
| f   |   |  |  |  | 395,196.                         |
| g   |   |  |  |  | 518,334.                         |
| h   |   |  |  |  | 242,065.                         |
| i   |   |  |  |  | 922,417.                         |
| i   |   |  |  |  | 112,659.                         |
| k   |   |  |  |  | 1,547,541.                       |
| 1   |   |  |  |  | 2,591,739.                       |
| m   |   |  |  |  | 102,998.                         |
| n   |   |  |  |  | 3,734,797.                       |
| 0   |   |  |  |  | 4,496,227.                       |
|   | 1   | <u> </u>   |  |  | -,, <b></b> ,                    |
| 2 Capital gain net income or (net ca  | apital loss) { If gain, also enter<br>  If (loss), enter "-C                  | r in Part I, line 7<br>)-" in Part I, line 7 } 2 |  |  |                                  |
| 3 Net short-term capital gain or (log<br>If gain, also enter in Part I, line 8,<br>If (loss), enter "-0-" in Part I, line |   |  |  |  |                                  |

| THE ROCKEFELLER FOUNDATION   | 13-1659629                                       | ,<br>Pž           | AGE 6  | OF 11                                   |
|--|--|-------------------|--|---|
| Part IV Capital Gains and Losses for Tax on Investment Income  |  |                   |  |   |
| (a) List and describe the kind(s) of property sol<br>2-story brick warehouse; or common stock, 20                            |  |                   | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.)        |
| 1a BERSKHIRE PRTS VIII   |  | D - Donation<br>P |  | ( , , , , , , , , , , , , , , , , , , , |
| h FLEXPOINT FUND II  |  | P                 |  |   |
| C GRAHAM FUND II   |  | P                 |  |   |
| d GRAHAM II CO-INV   |  | P                 |  |   |
| e LITTLEJOHN IV  |  | P                 |  |   |
| f SUMMIT PE FUND VII   |  | P                 |  |   |
| Q ADELIS EQ PTRNS FD   |  | P                 |  |   |
| h ADVANTAGE PRTS IV  |  | P                 |  |   |
| i CATALYST FUND V  |  | Р                 |  |   |
| i CICC GROWTH CAP I  |  | Р                 |  |   |
| k JC FLOWERS II  |  | Р                 |  |   |
| LONGREACH II   |  | Р                 |  |   |
| M LONGREACH II CO-LVM  |  | Р                 |  |   |
| N LONGREACH III  |  | Р                 |  |   |
| O MORGAN STANLEY ASIA  |  | Р                 |  |   |
| (e) Gross sales price (f) Depreciation allowed (or allowable)  | (g) Cost or other basis<br>plus expense of sale  |                   | ) Gain or (loss)<br>lus (f) minus (g)        |   |
| a  |  |                   |  | 6,830,372.                              |
| b  |  |                   |  | 2,736,288.                              |
| С  |  |                   |  | 1,659,776.                              |
| d  |  |                   |  | 1,068,847.                              |
| е  |  |                   |  | 47,516.                                 |
| f  |  |                   |  | 595,747.                                |
| g  |  |                   |  | 7,272,760.                              |
| h  |  |                   |  | 1,251,955.                              |
| i  |  |                   |  | 10,852.                                 |
| j  |  |                   |  | 836,357.                                |
| k  |  |                   |  | 885,461.                                |
| 1  |  |                   |  | 1,109,005.                              |
| <u>m</u>   |  |                   |  | 1,176,700.                              |
| <u>n</u>   |  |                   |  | -14.                                    |
| 0  |  |                   |  | 2,747,436.                              |
| Complete only for assets showing gain in column (h) and owned by t   | the foundation on 12/31/69                       | (I) Los           | ses (from col. (h))                          | 1.4.                                    |
| (i) F.M.V. as of 12/31/69 (j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col. (i)<br>over col. (j), if any  |                   | of col. (h) gain over<br>ot less than "-0-") | col. (K),                               |
| <u>a</u>   |  |                   |  | 6,830,372.                              |
| <u>b</u>   |  |                   |  | 2,736,288.                              |
| <u>c</u>   |  |                   |  | 1,659,776.                              |
| <u>d</u>   |  |                   |  | 1,068,847.                              |
| <u>e</u>   |  |                   |  | 47,516.                                 |
| <u>f</u>   |  |                   |  | 595,747.                                |
| <u>g</u>   |  |                   |  | 7,272,760.                              |
| <u>h</u>   |  |                   |  | 1,251,955.                              |
| -  |  |                   |  | 10,852.                                 |
|  |  |                   |  | 836,357.<br>885,461.                    |
| <u>K</u>   |  |                   |  | 1,109,005.                              |
| <u> </u>   |  |                   |  | 1,176,700.                              |
| <u>m</u>   |  |                   |  | -14.                                    |
| <u>n</u>   |  |                   |  | 2,747,436.                              |
| 0  | <u> </u>   |                   |  | _,.1,,100.                              |
| 2 Capital gain net income or (net capital loss) $\dots $ { If gain, also enter "-C   | r in Part I, line 7<br>)-" in Part I, line 7 } 2 |                   |  |   |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) an If gain, also enter in Part I, line 8, column (c). |  |                   |  |   |
| If (loss), enter "-0-" in Part I, line 8   | J <b>3</b>                                       |                   |  |   |

| THE      | ROCKEFELLER FOUNDAT              | ION  |   | 13-1659629 | PZ   | AGE 7  | OF 11                                   |
|----------|----------------------------------|--|---|------------|--|--|---|
| Pa       | rt IV Capital Gains and L        | osses for Tax on Investment Income   |   |            |  |  |   |
|          |                                  | nd describe the kind(s) of property sold<br>brick warehouse; or common stock, 20 |   |            | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | <b>(d)</b> Date sold<br>(mo., day, yr.) |
| 1a -     | SUMMIT EUR GROWTH II             |  |   |            | Р  |  |   |
|          | SUMMIT EUROPE I                  |  |   |            | Р  |  |   |
|          | TIGER GLOBAL PIP IV              |  |   |            | Р  |  |   |
|          | TIGER GLOBAL PIP V               |  |   |            | P  |  |   |
|          | ABRY PARTNERS VI                 |  |   |            | Р  |  |   |
|          | LITTLEJOHN III                   |  |   |            | P  |  |   |
| <u> </u> | SUMMIT PE FUND V                 |  |   |            | P  |  |   |
|          | SUMMIT VENTURE II-A              |  |   |            | <br>P  |  |   |
|          | EMERALD HILL II                  |  |   |            | <br>P  |  |   |
| <u> </u> | EMERALD HILL III                 |  |   |            | P  |  |   |
|          | JNICORN FUND ZERO                |  |   |            | P  |  |   |
|          | ACCEL FUND X                     |  |   |            | P  |  |   |
| <u> </u> | ACCEL GROWTH II                  |  |   |            | P  |  |   |
|          | ACCEL XII L.P.                   |  |   |            | P  |  |   |
|          | AH PARALLEL III                  |  |   |            | P  |  |   |
| 0 4      | AN PARALLEL III                  |  |   |            |  |  |   |
|          | (e) Gross sales price            | (f) Depreciation allowed<br>(or allowable)                                       | (g) Cost or other bas<br>plus expense of sal          |            |  | ) Gain or (loss)<br>lus (f) minus (g)        |   |
| а        |                                  |  |   |            |  |  | 2,106,013.                              |
| b        |                                  |  |   |            |  |  | 1,485,306.                              |
| C        |                                  |  |   |            |  |  | 2,054,032.                              |
| d        |                                  |  |   |            |  |  | 4,008,356.                              |
| е        |                                  |  |   |            |  |  | 525,018.                                |
| f        |                                  |  |   |            |  |  | 29,138.                                 |
| g        |                                  |  |   |            |  |  | -2,473,886.                             |
| h        |                                  |  |   |            |  |  | 190,698.                                |
| i        |                                  |  |   |            |  |  | 693,846.                                |
| j        |                                  |  |   |            |  |  | 74,465.                                 |
| k        |                                  |  |   |            |  |  | 1,946.                                  |
| 1        |                                  |  |   |            |  |  | 3,703,725.                              |
| m        |                                  |  |   |            |  |  | 1,148,404.                              |
| n        |                                  |  |   |            |  |  | 1,706,697.                              |
| 0        |                                  |  |   |            |  |  | 1,682,741.                              |
| (        | Complete only for assets show    | ing gain in column (h) and owned by t  | the foundation on 12/31/69                            |            | (I) Los  | ses (from col. (h))                          |   |
|          | (i) F.M.V. as of 12/31/69        | (j) Adjusted basis<br>as of 12/31/69   | <b>(k)</b> Excess of col. (i<br>over col. (j), if any |            | Gains (excess o<br>but n                         | of col. (h) gain over<br>ot less than "-0-") | col. (k),                               |
| а        |                                  |  |   |            |  |  | 2,106,013.                              |
| b        |                                  |  |   |            |  |  | 1,485,306.                              |
| С        |                                  |  |   |            |  |  | 2,054,032.                              |
| d        |                                  |  |   |            |  |  | 4,008,356.                              |
| е        |                                  |  |   |            |  |  | 525,018.                                |
| f        |                                  |  |   |            |  |  | 29,138.                                 |
| g        |                                  |  |   |            |  |  | -2,473,886.                             |
| h        |                                  |  |   |            |  |  | 190,698.                                |
| i        |                                  |  |   |            |  |  | 693,846.                                |
| i        |                                  |  |   |            |  |  | 74,465.                                 |
| k        |                                  |  |   |            |  |  | 1,946.                                  |
| Ι        |                                  |  |   |            |  |  | 3,703,725.                              |
| m        |                                  |  |   |            |  |  | 1,148,404.                              |
| <u>n</u> |                                  |  |   |            |  |  | 1,706,697.                              |
| 0        |                                  |  |   |            |  |  | 1,682,741.                              |
|          |                                  |  |   |            |  |  |   |
|          |                                  | capital loss) { If gain, also enter<br>If (loss), enter "-0                      |   | 2          |  |  |   |
| lf       | gain, also enter in Part I, line | oss) as defined in sections 1222(5) an<br>8, column (c).<br>2 8                  |   | }          |  |  |   |

| THE ROCKEFELLER FOUNDATI   |  |  | 13-1659629 | ,<br>P2  | AGE 8  | OF 11                            |
|--|--|--|------------|--|--|----------------------------------|
|  | sses for Tax on Investment Income  |  |            | 4.5.11   |  |                                  |
|  | d describe the kind(s) of property sold<br>rick warehouse; or common stock, 20 |  |            | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.) |
| 1a AH PARALLEL IV  |  |  |            | P  |  |                                  |
| h BENCHMARK CAP V  |  |  |            | P  |  |                                  |
| C BENCHMARK CAP VI   |  |  |            | -<br>P   |  |                                  |
| d BENCHMARK CAPVII   |  |  |            | P  |  |                                  |
| e BENCHMARK PRTNR VIII   |  |  |            | P  |  |                                  |
|  |  |  |            | P  |  |                                  |
|  |  |  |            |  |  |                                  |
| g HIGHLAND CAPITAL VI  |  |  |            | P  |  |                                  |
| h INSTL VENTURE IV   |  |  |            | P  |  |                                  |
| i MOHR DAVIDOW IX  |  |  |            | P  |  |                                  |
| j NEW ENTERPRISE XI  |  |  |            | P  |  |                                  |
| k NEW ENTERPRISE XII   |  |  |            | P  |  |                                  |
| NORTH BRIDGE GRWTH I   |  |  |            | P  |  |                                  |
| M REDPOINT VENST II  |  |  |            | Р  |  |                                  |
| N REDPOINT VENTS OMEGA   |  |  |            | P  |  |                                  |
| 0 SEQUOIA CAP US VI  |  |  |            | P  |  |                                  |
| (e) Gross sales price  | <b>(f)</b> Depreciation allowed (or allowable)                                 | (g) Cost or other ba<br>plus expense of sa |            |  | Gain or (loss)<br>lus (f) minus (g)          |                                  |
| а  |  |  |            |  |  | 273,106.                         |
| b  |  |  |            |  |  | 2,120,852.                       |
| C  |  |  |            |  |  | 682,867.                         |
| d  |  |  |            |  |  | 28,917,410.                      |
| e  |  |  |            |  |  | 155,707.                         |
| f  |  |  |            |  |  | 46,528.                          |
|  |  |  |            |  |  | 441,252.                         |
| <u>g</u>   |  |  |            |  |  | 275,245.                         |
| <u>h</u><br>:  |  |  |            |  |  | 656,514.                         |
|  |  |  |            |  |  | 1,708,065.                       |
| <u></u>  |  |  |            |  |  |                                  |
| <u> </u>   |  |  |            |  |  | 141,421.                         |
|  |  |  |            |  |  | 547,858.                         |
| m  |  |  |            |  |  | 520,136.                         |
| <u>n</u>   |  |  |            |  |  | 881,958.                         |
| 0  |  |  |            |  |  | 1,825,903.                       |
| Complete only for assets showing   | ng gain in column (h) and owned by t   |  |            | (I) Los  | ses (from col. (h))                          |                                  |
| (i) F.M.V. as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col.<br>over col. (j), if an |            |  | of col. (h) gain over<br>ot less than "-0-") | col. (K),                        |
| <u>a</u>   |  |  |            |  |  | 273,106.                         |
| b  |  |  |            |  |  | 2,120,852.                       |
| С  |  |  |            |  |  | 682,867.                         |
| d  |  |  |            |  |  | 28,917,410.                      |
| е  |  |  |            |  |  | 155,707.                         |
| f  |  |  |            |  |  | 46,528.                          |
| g  |  |  |            |  |  | 441,252.                         |
| h  |  |  |            |  |  | 275,245.                         |
| i  |  |  |            |  |  | 656,514.                         |
| i  |  |  |            |  |  | 1,708,065.                       |
| k.   |  |  |            |  |  | 141,421.                         |
| <u></u>  |  |  |            |  |  | 547,858.                         |
| m  |  |  |            |  |  | 520,136.                         |
| <u>m</u>   |  |  |            |  |  | 881,958.                         |
| <u>n</u>   |  |  |            |  |  | 1,825,903.                       |
| 0  | 1  |  |            |  |  | ±,025,905.                       |
| 2 Capital gain net income or (net ca   | apital loss)     {   lf gain, also enter<br>  lf (loss), enter "-0             | in Part I, line 7<br>-" in Part I, line 7  | 2          |  |  |                                  |
|  | ss) as defined in sections 1222(5) and   | d (6):                                     |            |  |  |                                  |
| If gain, also enter in Part I, line 8,<br>If (loss), enter "-0-" in Part I, line | , column (c).<br>8   | <u></u>                                    | . } 3      |  |  |                                  |

| THE ROCKEFELLER FOUNDATI  | ON   | 13-1659629                                      | P  | AGE 9   | OF 11                                   |
|---|--|---|--|---|---|
| Part IV Capital Gains and Los   | sses for Tax on Investment Income  |   |  |   |   |
|   | d describe the kind(s) of property sol-<br>rick warehouse; or common stock, 20 |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)                                | <b>(d)</b> Date sold<br>(mo., day, yr.) |
| <sub>1a</sub> sequoia capital XII   |  |   | Р  |   |   |
| b SEQUOIA US GROWTH 7   |  |   | Р  |   |   |
| C SEQUOIA US GRWTH IV   |  |   | Р  |   |   |
| d SEQUOIA US GRWTH V  |  |   | Р  |   |   |
| e sequoia us vent 2010  |  |   | Р  |   |   |
| f SEQUOIA US VENT XIV   |  |   | Р  |   |   |
| 0 SG GROWTH PRTNRS III  |  |   | Р  |   |   |
| h SQUIAUSVENT2010 SEED  |  |   | Р  |   |   |
| i US VENTURE IX   |  |   | Р  |   |   |
| j BANYAN PARTNERS II  |  |   | Р  |   |   |
| k DECHENG II  |  |   | Р  |   |   |
| GGV CAPITAL V LP  |  |   | Р  |   |   |
| M IDG-ACCEL CHINA II  |  |   | Р  |   |   |
| n IDG-ACCEL CHN GR  |  |   | Р  |   |   |
| 0 IDG-ACCEL CHN GR III  |  |   | Р  |   |   |
|   | (f) Depreciation allowed   | (g) Cost or other basis                         | (h`  | Gain or (loss)  |   |
| (e) Gross sales price   | (or allowable)   | plus expense of sale                            |  | llus (f) minus (g)  |   |
| <u>a</u>  |  |   |  |   | 1,981,075.                              |
| <u>b</u>  |  |   |  |   | 328,137.                                |
| <u>C</u>  |  |   |  |   | 122,840.                                |
| <u>d</u>  |  |   |  |   | 4,097,830.                              |
| е   |  |   |  |   | 2,234,750.                              |
| <u>f</u>  |  |   |  |   | 124,151.                                |
| <u>g</u>  |  |   |  |   | 312,757.                                |
| <u>h</u>  |  |   |  |   | 1,122,590.                              |
| <u>i</u>  |  |   |  |   | 549,550.                                |
| j   |  |   |  |   | 1,456,410.                              |
| k   |  |   |  |   | 2,264,753.                              |
|   |  |   |  |   | 230,025.                                |
| <u>m</u>  |  |   |  |   | 391,130.                                |
| n   |  |   |  |   | 3,149,549.                              |
| 0   |  |   |  |   | 1,334,499.                              |
| (i) F.M.V. as of 12/31/69   | ng gain in column (h) and owned by t<br>(j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col. (i)<br>over col. (j), if any | Gains (excess o                                  | ses (from col. (h))<br>of col. (h) gain over<br>ot less than "-0-") | col. (k),                               |
| a   |  |   |  |   | 1,981,075.                              |
| <u>b</u>  |  |   |  |   | 328,137.                                |
| <u> </u>  |  |   |  |   | 122,840.                                |
| d   |  |   |  |   | 4,097,830.                              |
| e   |  |   |  |   | 2,234,750.                              |
| f   |  |   |  |   | 124,151.                                |
| g   |  |   |  |   | 312,757.                                |
| <u>y</u><br>h   |  |   |  |   | 1,122,590.                              |
| i   |  |   |  |   | 549,550.                                |
| i   |  |   |  |   | 1,456,410.                              |
| k   |  |   |  |   | 2,264,753.                              |
|   |  |   |  |   | 230,025.                                |
| <br>m   |  |   |  |   | 391,130.                                |
| n   |  |   |  |   | 3,149,549.                              |
| 0   |  |   |  |   | 1,334,499.                              |
| 2 Capital gain net income or (net ca  | ر<br>ال gain, also enter ر   | r in Part I, line 7                             |  |   |   |
|   |  |   |  |   |   |
| 3 Net short-term capital gain or (los<br>If gain, also enter in Part I, line 8,<br>If (loss), enter "-0-" in Part I, line | column (c).  | d (6):  |  |   |   |

| THE ROCKEFELLER FOUNDATION   | 13-165962                                       | 9 Pž   | AGE 10                                       | OF 11                            |
|--|---|--|--|----------------------------------|
| Part IV Capital Gains and Losses for Tax on Investment Income                                      |   |  |  |                                  |
| (a) List and describe the kind(s) of property sold<br>2-story brick warehouse; or common stock, 20 |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.) |
| 1a LC FUND V   |   | P  | · · · · · · · · · · · · · · · · · · ·        |                                  |
| b SB ASIA INVEST II  |   | P  |  |                                  |
| C SEQUOIA CAP CHINA  |   | P  |  |                                  |
| d SEQUOIA CAP CHINA II   |   |  |  |                                  |
|  |   | P  |  |                                  |
| e sequoia cap chn gr i   |   | P  |  |                                  |
| f SEQUOIA CAP GLOBAL   |   | P  |  |                                  |
| g sequoia ch gr fd 3   |   | Р  |  |                                  |
| h SEQUOIA CHINA GR 4   |   | P  |  |                                  |
| j SEQUOIA CHINA GRW 10   |   | P  |  |                                  |
| j SEQUOIA CHINA VEN 10   |   | P  |  |                                  |
| k SEQUOIA CHINA VEN 5  |   | P  |  |                                  |
| SEQUOIA CHINA VEN 6  |   | Р  |  |                                  |
| M SEQUOIA CHINA VEN IV   |   | P  |  |                                  |
| n sequoia india fund 4   |   | P  |  |                                  |
| O SEQUOIA INDIA GR I   |   | P  |  |                                  |
|  |   |  |  |                                  |
| (e) Gross sales price (f) Depreciation allowed (or allowable)                                      | (g) Cost or other basis plus expense of sale    |  | Gain or (loss)<br>lus (f) minus (g)          |                                  |
| <u>a</u>   |   |  |  | 362,713.                         |
| b  |   |  |  | 1,058,782.                       |
| С  |   |  |  | 1,535,106.                       |
| <u>d</u>   |   |  |  | 412,318.                         |
| e  |   |  |  | 59,513.                          |
| f  |   |  |  | 1,190,311.                       |
| g  |   |  |  | 1,393,469.                       |
| h  |   |  |  | 427,947.                         |
| ·····  |   |  |  | 660,122.                         |
|  |   |  |  | 2,785,479.                       |
|  |   |  |  | 40,066.                          |
| <u>K</u>   |   |  |  |                                  |
|  |   |  |  | 393,756.                         |
| <u>m</u>   |   |  |  | 2,502,786.                       |
| <u>n</u>   |   |  |  | 204,047.                         |
| 0  |   |  |  | 73,611.                          |
| Complete only for assets showing gain in column (h) and owned by the                               | ne foundation on 12/31/69                       | (I) Los  | ses (from col. (h))                          |                                  |
| (i) F.M.V. as of 12/31/69 (j) Adjusted basis<br>as of 12/31/69                                     | (k) Excess of col. (i)<br>over col. (j), if any |  | of col. (h) gain over<br>ot less than "-0-") | col. (k),                        |
|  |   |  |  | 262 712                          |
| <u>a</u>   |   |  |  | 362,713.                         |
| <u>b</u>   |   |  |  | 1,058,782.                       |
| <u>C</u>   |   |  |  | 1,535,106.                       |
| <u>d</u>   |   |  |  | 412,318.                         |
| е  |   |  |  | 59,513.                          |
| f  |   |  |  | 1,190,311.                       |
| g  |   |  |  | 1,393,469.                       |
| <u>h</u>   |   |  |  | 427,947.                         |
| i  |   |  |  | 660,122.                         |
| i  |   |  |  | 2,785,479.                       |
| k l  |   |  |  | 40,066.                          |
|  |   |  |  | 393,756.                         |
| m  |   |  |  | 2,502,786.                       |
|  |   |  |  | 204,047.                         |
| <u>n</u>   |   |  |  | 73,611.                          |
| 0  |   |  |  | /3,011.                          |
| r If gain, also enter  | in Part I, line 7 ר                             |  |  |                                  |
| 2 Capital gain net income or (net capital loss) { If gain, also enter<br>If (loss), enter "-0-     | " in Part I, line 7 } 2                         |  |  |                                  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and                         | l (6):  |  |  |                                  |
| If gain, also enter in Part I, line 8, column (c).   | ▶   |  |  |                                  |
| If (loss), enter "-0-" in Part I, line 8   | J   3   |  |  |                                  |

|          | CKEFELLER FOUNDATI  | ON   |   | 13-1659629  | Pž   | AGE 11                                       | OF 1                                    | 1          |
|----------|---|--|---|-------------|--|--|---|------------|
| Part I   | V Capital Gains and Los   | sses for Tax on Investment Income  |   |             |  |  |   |            |
|          | <b>(a)</b> List and<br>2-story br                                     | l describe the kind(s) of property sole<br>rick warehouse; or common stock, 20 | d, e.g., real estate,<br>00 shs. MLC Co.            |             | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | <b>(d)</b> Date sold<br>(mo., day, yr.) | )          |
| 1a SEQ   | UOIA INDIA GR II  |  |   |             | Р  |  |   |            |
|          | UOIA INDIA III  |  |   |             | Р  |  |   |            |
| C IIF    | FUND I  |  |   |             | Р  |  |   |            |
| d SEQ    | UOIA CAPITAL XI   |  |   |             | Р  |  |   |            |
| e TRO    | WE PRICE  |  |   |             | Р  |  |   |            |
| f THR    |   | AMOUNTS INCLUDED ABOVE A   | AND UBI   |             | Р  |  |   |            |
| <br>h    |   |  |   |             |  |  |   |            |
| i        |   |  |   |             |  |  |   |            |
| _j       |   |  |   |             |  |  |   |            |
| <u>k</u> |   |  |   |             |  |  |   |            |
| <br>m    |   |  |   |             |  |  |   |            |
| n        |   |  |   |             |  |  |   |            |
| 0        |   |  |   |             |  |  |   |            |
| (        | e) Gross sales price  | (f) Depreciation allowed<br>(or allowable)                                     | ( <b>g</b> ) Cost or other ba<br>plus expense of sa | isis<br>Ile | (h)<br>(e) p                                     | ) Gain or (loss)<br>lus (f) minus (g)        |   |            |
| а        |   |  |   |             |  |  | 172,352                                 | 2.         |
| b        |   |  |   |             |  |  | 528,247                                 |            |
| C        |   |  |   |             |  |  | 138,286                                 |            |
| d        |   |  |   |             |  |  | 102,155                                 | _          |
| e        |   |  |   |             |  |  | 2,666,118                               | _          |
| f        |   |  |   |             |  | -:   |   |            |
| g        |   |  |   |             |  |  |   |            |
| h        |   |  |   |             |  |  |   |            |
| i        |   |  |   |             |  |  |   |            |
| i        |   |  |   |             |  |  |   |            |
| k        |   |  |   |             |  |  |   |            |
| 1        |   |  |   |             |  |  |   |            |
| m        |   |  |   |             |  |  |   |            |
| n        |   |  |   |             |  |  |   |            |
| 0        |   |  |   |             |  |  |   |            |
| Com      | plete only for assets showin  | ng gain in column (h) and owned by t   | the foundation on 12/31/69                          |             | (I) Los  | ses (from col. (h))                          |   |            |
| (i)      | F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col.<br>over col. (j), if an          | (i)<br>y    | Gains (excess o                                  | of col. (h) gain over<br>ot less than "-0-") | r col. (k),                             |            |
| а        |   |  |   |             |  |  | 172,352                                 | 2.         |
| b        |   |  |   |             |  |  | 528,247                                 |            |
| С        |   |  |   |             |  |  | 138,286                                 | ;.         |
| d        |   |  |   |             |  |  | 102,155                                 | ;.         |
| е        |   |  |   |             |  |  | 2,666,118                               | J.         |
| f        |   |  |   |             |  | -:   | 134,546,685                             | ; <b>.</b> |
| g        |   |  |   |             |  |  |   |            |
| h        |   |  |   |             |  |  |   |            |
| <u>i</u> |   |  |   |             |  |  |   |            |
| j        |   |  |   |             |  |  |   |            |
| k        |   |  |   |             |  |  |   |            |
| <u> </u> |   |  |   |             |  |  |   |            |
| m        |   |  |   |             |  |  |   |            |
| <u>n</u> |   |  |   |             |  |  |   |            |
| 0        |   |  |   |             | 1  |  |   |            |
| 2 Capita | I gain net income or (net ca  | apital loss) { If gain, also enter<br>If (loss), enter "-C                     | r in Part I, line 7<br>)-" in Part I. line 7        | 2           |  | :  | 274,863,501                             |            |
|          |   | ss) as defined in sections 1222(5) an  |   | )           |  |  |   |            |
| lf gair  | i, also enter in Part I, line 8,<br>s), enter "-0-" in Part I, line 8 | column (c).  | 、 <i>/</i>  | . } 3       |  | N/A  |   |            |

|  | ELLER FOUNDATION   |                                      | 13-16                            | 59629        |
|--|--|--------------------------------------|----------------------------------|--------------|
| Part XV Supplementary Information                |  |                                      |                                  |              |
| 3 Grants and Contributions Paid During the       |  | 1                                    | 1                                |              |
| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount       |
| ACLU FOUNDATION AMERICAN CIVIL                   | NA   | PC                                   | SEE STATEMENT                    |              |
| LIBERTIES UNION FOUNDATION                       |  |                                      |                                  |              |
| 125 BROAD STREET, 18TH FLOOR                     |  |                                      |                                  |              |
| NEW YORK, NY 10004-2400                          |  |                                      |                                  |              |
|  |  |                                      |                                  | 1,500.       |
| ACLU FOUNDATION AMERICAN CIVIL                   | NA   | PC                                   | SEE STATEMENT                    |              |
| LIBERTIES UNION FOUNDATION                       |  |                                      |                                  |              |
| 125 BROAD STREET, 18TH FLOOR                     |  |                                      |                                  |              |
| NEW YORK, NY 10004-2400                          |  |                                      |                                  |              |
|  |  |                                      |                                  | 1,500.       |
| ACRE CAPITAL LIMITED                             | NA   | NC                                   | SEE STATEMENT                    |              |
| SHOEMAKER HOUSE, 37 GREAT GUILDFORD              |  |                                      |                                  |              |
| STREET SEI 0ES LONDON, UNITED                    |  |                                      |                                  |              |
| KINGDOM  |  |                                      |                                  |              |
|  |  |                                      |                                  | 400,840.     |
| ACUMEN FUND, INC.                                | NA   | PC                                   | SEE STATEMENT                    |              |
| 40 WORTH STREET SUITE 303                        |  |                                      |                                  |              |
| NEW YORK, NY 10013                               |  |                                      |                                  |              |
|  |  |                                      |                                  |              |
|  |  |                                      |                                  | 2,000,000.   |
| ACUMEN FUND, INC.                                | NA   | PC                                   | SEE STATEMENT                    |              |
| 40 WORTH STREET SUITE 303                        |  |                                      |                                  |              |
| NEW YORK, NY 10013                               |  |                                      |                                  |              |
|  |  |                                      |                                  |              |
|  |  |                                      |                                  | 225,000.     |
| ADESO  | NA   | PC                                   | SEE STATEMENT                    |              |
| 1250 24TH STREET NW SUITE 300                    |  |                                      |                                  |              |
| WASHINGTON, DC 20037                             |  |                                      |                                  |              |
|  |  |                                      |                                  | 10.000       |
| ADVENUET OF AUTOPED AND AND THE THE              |  |                                      |                                  | 10,000.      |
| ADVENTIST OUTREACH MINISTRIES INC                | NA   | PC                                   | SEE STATEMENT                    |              |
| 725 WHITTIER ST                                  |  |                                      |                                  |              |
| CINCINNATI, OH 45229-3329                        |  |                                      |                                  |              |
|  |  |                                      |                                  | 750.         |
| AFRICA GREENCO                                   | NA   | PC                                   | SEE STATEMENT                    | /50.         |
| 11-12 ST JAMES SQUARE SUITE 1, 3RD               |  |                                      |                                  |              |
| FLOOR LONDON, UNITED KINGDOM                     |  |                                      |                                  |              |
|  |  |                                      |                                  |              |
|  |  |                                      |                                  | 1,100,000.   |
| AFRICA KNOWS PHOTOGRAPHY LIMITED                 | NA   | NC                                   | SEE STATEMENT                    |              |
| PO BOX 68151-00200                               |  |                                      |                                  |              |
| NAIROBI, KENYA                                   |  |                                      |                                  |              |
|  |  |                                      |                                  |              |
|  |  |                                      |                                  | 5,000.       |
| AFRICA PRACTICE EAST AFRICA LIMITED              | NA   | NC                                   | SEE STATEMENT                    |              |
| 14 CAMBRIDGE COURT                               |  |                                      |                                  |              |
| LONDON, UNITED KINGDOM                           |  |                                      |                                  |              |
|  |  |                                      |                                  |              |
|  |  |                                      |                                  | 32,418.      |
| Total from continuation sheets                   |  |                                      |                                  | 163,279,628. |

| 3 Grants and Contributions Paid During the | Year (Continuation)  | -                       |                                  |          |
|--|--|-------------------------|----------------------------------|----------|
| Recipient                                  | If recipient is an individual,<br>show any relationship to | Foundation<br>status of | Purpose of grant or contribution | Amount   |
| Name and address (home or business)        | any foundation manager<br>or substantial contributor       | recipient               | contribution                     |          |
| AFRICA TWENTY FOUR MEDIA, LTD.             | NA   | NC                      | SEE STATEMENT                    |          |
| P.O.BOX 1403 00502                         |  |                         |                                  |          |
| NAIROBI, KENYA                             |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 25,00    |
| AFRICAN DEVELOPMENT BANK                   | NA   | GOV                     | SEE STATEMENT                    |          |
| AVENUE LAMBLIN                             |  |                         |                                  |          |
| ABIDJAN , COTE D'IVOIRE (IVORY COAS        |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 2,400,00 |
| AFRICAN RISK CAPACITY SPECIALIZED          | NA   | GOV                     | SEE STATEMENT                    |          |
| AGENCY OF THE AFRICAN UNION                |  |                         |                                  |          |
| BUILDING 1, SUNHILL PARK 1 EGLIN           |  |                         |                                  |          |
| ,<br>ROAD, SUNNINGHILL JOHANNESBURG,       |  |                         |                                  |          |
| SOUTH AFRICA                               |  |                         |                                  | 650,00   |
| AFRICAN UNION COMMISSION                   | NA   | GOV                     | SEE STATEMENT                    |          |
| P.O. BOX 3243                              |  |                         |                                  |          |
| ADDIS ABABA, ETHIOPIA                      |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 50,00    |
| AGNES M. DASEWICZ                          | NONE   | I                       | SEE STATEMENT                    |          |
| 7110 RICHARD CASEY CT.                     |  | 1                       |                                  |          |
| ALEXANDRIA, VA 22307                       |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 235,00   |
| AID TECHNOLOGY LIMITED                     | NA   | NC                      | SEE STATEMENT                    | 200,00   |
| THE DIGITAL HUB, ROE LANE D08 TCV4         | [  |                         |                                  |          |
| DUBLIN, UNITED KINGDOM                     |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 80,00    |
| ALI FORNEY CENTER                          | NA   | PC                      | SEE STATEMENT                    |          |
| 224 WEST 35TH STREET, SUITE 1500           |  |                         |                                  |          |
| NEW YORK, NY 10001-2533                    |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 33       |
| ALI FORNEY CENTER                          | NA   | PC                      | SEE STATEMENT                    |          |
| 224 WEST 35TH STREET, SUITE 1500           |  |                         |                                  |          |
| NEW YORK, NY 10001-2533                    |  |                         |                                  |          |
| ,  |  |                         |                                  |          |
|  |  |                         |                                  | 7        |
| ALI FORNEY CENTER                          | NA   | PC                      | SEE STATEMENT                    |          |
| 224 WEST 35TH STREET, SUITE 1500           |  |                         |                                  |          |
| NEW YORK, NY 10001-2533                    |  |                         |                                  |          |
| ,  |  |                         |                                  |          |
|  |  |                         |                                  | 7        |
| ALI FORNEY CENTER                          | NA   | PC                      | SEE STATEMENT                    | ,        |
| 224 WEST 35TH STREET, SUITE 1500           |  |                         |                                  |          |
| NEW YORK, NY 10001-2533                    |  |                         |                                  |          |
|  |  |                         |                                  |          |
|  |  |                         |                                  | 7        |
| Total from continuation sheets             | I  |                         | I                                | ,<br>    |

| 3 Grants and Contributions Paid During the | Year (Continuation)                                     |                        |                     |            |
|--|---|------------------------|---------------------|------------|
| Recipient                                  | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or |            |
| Name and address (home or business)        | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount     |
| ALI FORNEY CENTER                          | NA  | PC                     | SEE STATEMENT       |            |
| 24 WEST 35TH STREET, SUITE 1500            |   |                        |                     |            |
| IEW YORK, NY 10001-2533                    |   |                        |                     |            |
|  |   |                        |                     |            |
|  |   |                        |                     | 7          |
| ALI FORNEY CENTER                          | NA  | PC                     | SEE STATEMENT       |            |
| 224 WEST 35TH STREET, SUITE 1500           |   |                        |                     |            |
| NEW YORK, NY 10001-2533                    |   |                        |                     |            |
|  |   |                        |                     |            |
|  |   |                        |                     | 75         |
| ALI FORNEY CENTER                          | NA  | PC                     | SEE STATEMENT       |            |
| 224 WEST 35TH STREET, SUITE 1500           |   |                        |                     |            |
| NEW YORK, NY 10001-2533                    |   |                        |                     |            |
|  |   |                        |                     | 75         |
| ALI FORNEY CENTER                          | NA  | PC                     | SEE STATEMENT       |            |
| 224 WEST 35TH STREET, SUITE 1500           |   |                        |                     |            |
| NEW YORK, NY 10001-2533                    |   |                        |                     |            |
| ,  |   |                        |                     |            |
|  |   |                        |                     | 75         |
| ALI FORNEY CENTER                          | NA  | PC                     | SEE STATEMENT       |            |
| 224 WEST 35TH STREET, SUITE 1500           |   |                        |                     |            |
| NEW YORK, NY 10001-2533                    |   |                        |                     |            |
|  |   |                        |                     |            |
|  |   |                        |                     | 75         |
| ALI FORNEY CENTER                          | NA  | PC                     | SEE STATEMENT       |            |
| 224 WEST 35TH STREET, SUITE 1500           |   |                        |                     |            |
| NEW YORK, NY 10001-2533                    |   |                        |                     |            |
|  |   |                        |                     |            |
| ALLIANCE FOR A GREEN REVOLUTION IN         | NA  | PC                     | SEE STATEMENT       | 75         |
| AFRICA                                     |   | rC .                   | SEE STATEMENT       |            |
| P.O. BOX 66773 WESTLANDS, 00800            |   |                        |                     |            |
| NAIROBI, KENYA                             |   |                        |                     |            |
|  |   |                        |                     | 150,000    |
| ALLIANCE FOR A GREEN REVOLUTION IN         | NA  | PC                     | SEE STATEMENT       |            |
| AFRICA                                     |   |                        |                     |            |
| P.O. BOX 66773 WESTLANDS, 00800            |   |                        |                     |            |
| NAIROBI, KENYA                             |   |                        |                     |            |
|  |   |                        |                     | 15,000,000 |
| ALLIANCE FOR A GREEN REVOLUTION IN         | NA  | PC                     | SEE STATEMENT       |            |
| AFRICA                                     |   |                        |                     |            |
| P.O. BOX 66773 WESTLANDS, 00800            |   |                        |                     |            |
| NAIROBI, KENYA                             |   |                        |                     |            |
|  |   |                        |                     | 1,500,000  |
| ALLIANCE FOR A GREEN REVOLUTION IN         | NA  | PC                     | SEE STATEMENT       |            |
| AFRICA                                     |   |                        |                     |            |
| P.O. BOX 66773 WESTLANDS, 00800            |   |                        |                     |            |
| NAIROBI, KENYA                             |   |                        |                     |            |
|  |   |                        |                     | 250,000    |

18221107 756359 1621570.011

|  | LLER FOUNDATION  |                                      | 13-165                           | 9029     |
|--|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information            |  |                                      |                                  | <b></b>  |
| 3 Grants and Contributions Paid During the Y |  | 1                                    |                                  |          |
| Recipient                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| ALLIANCE FOR A GREEN REVOLUTION IN           | NA   | PC                                   | SEE STATEMENT                    |          |
| AFRICA                                       |  |                                      |                                  |          |
| P.O. BOX 66773 WESTLANDS, 00800              |  |                                      |                                  |          |
| NAIROBI, KENYA                               |  |                                      |                                  |          |
|  |  |                                      |                                  | 200,000. |
| ALLIANCE OF ARTISTS COMMUNITIES              | NA   | PC                                   | SEE STATEMENT                    |          |
| 144 WESTMINSTER STREET, SUITE 301            |  |                                      |                                  |          |
| PROVIDENCE, RI 02903                         |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 5,000.   |
| ALLIANCE OF RESIDENT THEATRES NEW            | NA   | PC                                   | SEE STATEMENT                    |          |
| YORK INC                                     |  |                                      |                                  |          |
| 520 8TH AVE STE 319                          |  |                                      |                                  |          |
| MANHATTAN, NY 10018-0000                     |  |                                      |                                  |          |
|  |  |                                      |                                  | 250.     |
| ALVIN AILEY DANCE FOUNDATION, INC            | NA   | PC                                   | SEE STATEMENT                    |          |
| 211 WEST 61ST STREET                         |  |                                      |                                  |          |
| NEW YORK, NY 10023                           |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 50,000.  |
| ALZHEIMER'S ASSOCIATION NATIONAL             | NA   | PC                                   | SEE STATEMENT                    |          |
| OFFICE                                       |  |                                      |                                  |          |
| 225 N. MICHIGAN AVE, SUITE 1700              |  |                                      |                                  |          |
| CHICAGO, IL 60601                            |  |                                      |                                  | 200      |
| ALZHEIMERS ASSOCIATION NATIONAL              | NA   | PC                                   | SEE STATEMENT                    | 300.     |
| OFFICE                                       | NA   | FC                                   | SEE STATEMENT                    |          |
| 225 N. MICHIGAN AVE, SUITE 1700              |  |                                      |                                  |          |
| CHICAGO, IL 60601                            |  |                                      |                                  |          |
|  |  |                                      |                                  | 60.      |
| ALZHEIMERS FOUNDATION OF AMERICA INC         | NA   | PC                                   | SEE STATEMENT                    | •        |
| 322 EIGHTH AVENUE, 7TH FLOOR                 |  |                                      |                                  |          |
| NEW YORK, NY 10001-8001                      |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 300.     |
| AMERICAN CANCER SOCIETY INC                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 250 WILLIAMS ST                              |  |                                      |                                  |          |
| ATLANTA, GA 30303                            |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 300.     |
| AMERICAN CANCER SOCIETY INC                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 250 WILLIAMS ST                              |  |                                      |                                  |          |
| ATLANTA, GA 30303                            |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 300.     |
| AMERICAN CANCER SOCIETY INC                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 250 WILLIAMS ST                              |  |                                      |                                  |          |
| ATLANTA, GA 30303                            |  |                                      |                                  |          |
|  |  |                                      |                                  | 4.5-     |
|  | 1  |                                      |                                  | 105.     |

| Part XV         Supplementary Information           3         Grants and Contributions Paid During the V |   |                         |                                  |         |
|--|---|-------------------------|----------------------------------|---------|
|  | If recipient is an individual,                  |                         |                                  |         |
| Recipient Name and address (home or business)  | show any relationship to any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount  |
|  | or substantial contributor                      | recipient               |                                  |         |
| AMERICAN DANCE MACHINE FOR THE 21ST  | NA  | PC                      | SEE STATEMENT                    |         |
| CENTURY FOUNDATION INC   |   |                         |                                  |         |
| 45 E 80TH ST APT 18B   |   |                         |                                  |         |
| NEW YORK, NY 10075-0189  |   |                         |                                  | 150     |
| AMERICAN ENTERPRISE INSTITUTE FOR  | NA  | PC                      | SEE STATEMENT                    | 150     |
| PUBLIC POLICY RESEARCH   |   |                         |                                  |         |
| 1789 MASSACHUSETTS AVENUE, NW  |   |                         |                                  |         |
| ,<br>WASHINGTON, DC 20036  |   |                         |                                  |         |
| ,  |   |                         |                                  | 225,000 |
| AMERICAN FOUNDATION FOR SUICIDE  | NA  | PC                      | SEE STATEMENT                    |         |
| PREVENTION   |   |                         |                                  |         |
| 120 WALL ST FL 29  |   |                         |                                  |         |
| NEW YORK, NY 10005-4009  |   |                         |                                  |         |
|  |   |                         |                                  | 150     |
| AMERICAN JEWISH WORLD SERVICE INC  | NA  | PC                      | SEE STATEMENT                    |         |
| 45 W 36TH ST, FL 11  |   |                         |                                  |         |
| NEW YORK, NY 10018-7641  |   |                         |                                  |         |
|  |   |                         |                                  |         |
| AMEDICAN DED CDOCC   | NA  | PC                      | SEE STATEMENT                    | 1,500   |
| AMERICAN RED CROSS<br>2025 E STREET  | NA  | PC                      | SEE STATEMENT                    |         |
|  |   |                         |                                  |         |
| WASHINGTON, DC 20006   |   |                         |                                  |         |
|  |   |                         |                                  | 150     |
| AMERICANS UNITED FOR SEPARATION OF   | NA  | PC                      | SEE STATEMENT                    |         |
| CHURCH AND STATE   |   |                         |                                  |         |
| 1310 L ST NW   |   |                         |                                  |         |
| WASHINGTON, DC 20005   |   |                         |                                  |         |
| NIDDELL T. VOUND FOUNDATION THE  |   | Da                      |                                  | 300     |
| ANDREW J. YOUNG FOUNDATION, INC.<br>260 14TH STREET NW   | NA  | PC                      | SEE STATEMENT                    |         |
| ATLANTA, GA 30318  |   |                         |                                  |         |
| ATLANIA, GA 30310  |   |                         |                                  |         |
|  |   |                         |                                  | 50,000  |
| ANDREW SHAW MEMORIAL TRUST   | NA  | PC                      | SEE STATEMENT                    |         |
| PO BOX 2315  |   |                         |                                  |         |
| DARIEN, CT 06820-0315  |   |                         |                                  |         |
|  |   |                         |                                  |         |
|  |   |                         |                                  | 825     |
| ANIMAL HAVEN INC.  | NA  | PC                      | SEE STATEMENT                    |         |
| 200 CENTRE STREET  |   |                         |                                  |         |
| NEW YORK, NY 10013   |   |                         |                                  |         |
|  |   |                         |                                  | 10.000  |
| ANTT-DEFAMATION LEAGUE   | NA  | PC                      | SEE STATEMENT                    | 10,000  |
| ANTI-DEFAMATION LEAGUE<br>605 3RD AVE  |   |                         | DEE STATEMENT                    |         |
| NEW YORK, NY 10158-0102  |   |                         |                                  |         |
| MIN TOAK, MI 10130-0102  |   |                         |                                  |         |
|  |   |                         |                                  | 750     |
| Total from continuation sheets   | 1   | •                       | 1                                |         |

| 3 Grants and Contributions Paid During the                               | Year (Continuation)                                     |                        |                     |                                       |
|--|---|------------------------|---------------------|---------------------------------------|
| Recipient  | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount                                |
| Name and address (home or business)                                      | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | , anount                              |
| APCO WORLDWIDE INC.  | NA  | NC                     | SEE STATEMENT       |                                       |
| 1299 PENNSYLVANIA AVE NW SUITE 300                                       |   |                        |                     |                                       |
| WASHINGTON, DC 20004   |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 100,000                               |
| APOLLO THEATER FOUNDATION, INC.<br>253 WEST 125TH STREET                 | NA  | PC                     | SEE STATEMENT       |                                       |
| NEW YORK, NY 10027   |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 1,000,000                             |
| ARIEL EDUCATION INTIATIVE  | NA  | PC                     | SEE STATEMENT       |                                       |
| 200 E RANDOLPH ST STE 2900   |   |                        |                     |                                       |
| CHICAGO, IL 60601-6505   |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 90,000                                |
| ARLINGTON FOOD ASSISTANCE CENTER<br>PO BOX 6261                          | NA  | PC                     | SEE STATEMENT       |                                       |
| ARLINGTON, VA 22206-0261   |   |                        |                     |                                       |
| ALLINGTON, VA 22200 0201   |   |                        |                     |                                       |
|  |   |                        |                     | 750                                   |
| ARMILLARIA, LLC  | NA  | PC                     | SEE STATEMENT       |                                       |
| 2420 NE SANDY BLVD. SUITE 103  |   |                        |                     |                                       |
| PORTLAND, OR 97232   |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 27,500                                |
| ART AFRICA CENTRE  | NA  | PC                     | SEE STATEMENT       |                                       |
| 28 ST. GEORGES MALL, 5TH FLOOR THE<br>EXCHANGE BUILDING CAPE TOWN, SOUTH |   |                        |                     |                                       |
| AFRICA   |   |                        |                     |                                       |
|  |   |                        |                     | 49,000                                |
| ART EARTH TECH LIMITED   | NA  | NC                     | SEE STATEMENT       |                                       |
| ALDWINKLE LODGE  |   |                        |                     |                                       |
| PETERBOROUGH, UNITED KINGDOM   |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 24,975                                |
| ART TO   | NA  | NC                     | SEE STATEMENT       |                                       |
| 7-5 TAKABASH, KOUTOU-KU<br>TOKYO, JAPAN                                  |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 1,000                                 |
| ASIA FOUNDATION  | NA  | PC                     | SEE STATEMENT       |                                       |
| 465 CALIFORNIA STREET 9TH FLOOR  |   |                        |                     |                                       |
| SAN FRANCISCO, CA 94104  |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 250,000                               |
| ASIA FOUNDATION<br>465 CALIFORNIA STREET 9TH FLOOR                       | NA  | PC                     | SEE STATEMENT       |                                       |
| SAN FRANCISCO, CA 94104  |   |                        |                     |                                       |
|  |   |                        |                     |                                       |
|  |   |                        |                     | 200,000                               |
| Total from continuation sheets   |   | •                      | •                   | , , , , , , , , , , , , , , , , , , , |

|   | LLER FOUNDATION  |                                      | 13-165                           | 9629     |
|---|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information                   |  |                                      |                                  |          |
| 3 Grants and Contributions Paid During the Y        |  |                                      |                                  |          |
| Recipient Name and address (home or business)       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| ASIAN VENTURE PHILANTHROPY NETWORK                  | NA   | PC                                   | SEE STATEMENT                    |          |
| LIMITED   |  |                                      |                                  |          |
| 171 TRAS STREET 10-179 UNION BUILDING               |  |                                      |                                  |          |
| SINGAPORE, CHINA                                    |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| ASIAN VENTURE PHILANTHROPY NETWORK                  | NA   | PC                                   | SEE STATEMENT                    |          |
| LIMITED<br>171 TRAS STREET 10-179 UNION BUILDING    |  |                                      |                                  |          |
| SINGAPORE, CHINA                                    |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| ASPEN INSTITUTE, INC.                               | NA   | PC                                   | SEE STATEMENT                    | ,        |
| 2300 N STREET, NW, 700                              |  |                                      |                                  |          |
| WASHINGTON, DC 20037-1122                           |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 200,000. |
| ASPEN INSTITUTE, INC.                               | NA   | PC                                   | SEE STATEMENT                    |          |
| 2300 N STREET, NW, 700<br>WASHINGTON, DC 20037-1122 |  |                                      |                                  |          |
| WASHINGTON, DC 20037-1122                           |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| ASPEN INSTITUTE, INC.                               | NA   | PC                                   | SEE STATEMENT                    | , -      |
| 2300 N STREET, NW,700                               |  |                                      |                                  |          |
| WASHINGTON, DC 20037-1122                           |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 250,000. |
| ASSOCIATION OF STATE AND TERRITORIAL                | NA   | PC                                   | SEE STATEMENT                    |          |
| HEALTH OFFICIALS<br>2231 CRYSTAL DRIVE SUITE 450    |  |                                      |                                  |          |
| ARLINGTON, VA 22202                                 |  |                                      |                                  |          |
|   |  |                                      |                                  | 50,000.  |
| ASSOCIAZIONE VOLONTARI SOCCORSO                     | NA   | PC                                   | SEE STATEMENT                    | ,        |
| BELLAGIO  |  |                                      |                                  |          |
| VIA LAZZARETTO 12                                   |  |                                      |                                  |          |
| BELLAGIO, ITALY                                     |  |                                      |                                  |          |
|   |  |                                      |                                  | 10,000.  |
| ASSOCIAZIONE VOLONTARI SOCCORSO<br>BELLAGIO         | NA   | PC                                   | SEE STATEMENT                    |          |
| VIA LAZZARETTO 12                                   |  |                                      |                                  |          |
| BELLAGIO, COMO, ITALY                               |  |                                      |                                  |          |
|   |  |                                      |                                  | 5,000.   |
| ASSOCIAZIONE VOLONTARI SOCCORSO                     | NA   | PC                                   | SEE STATEMENT                    |          |
| BELLAGIO  |  |                                      |                                  |          |
| VIA LAZZARETTO 12                                   |  |                                      |                                  |          |
| BELLAGIO, ITALY                                     |  |                                      |                                  |          |
| ACCOLATIONE VOLONMADI COCCODO                       |  | PC                                   |                                  | 2,195.   |
| ASSOCIAZIONE VOLONTARI SOCCORSO<br>BELLAGIO         | NA   | PC                                   | SEE STATEMENT                    |          |
| VIA LAZZARETTO 12                                   |  |                                      |                                  |          |
| BELLAGIO, COMO, ITALY                               |  |                                      |                                  |          |
| . ,   |  |                                      |                                  | 5,000.   |
| Total from continuation sheets                      |  |                                      |                                  |          |

18221107 756359 1621570.011

| 3 Grants and Contributions Paid During the Y | ear (Continuation)                                      |                        |                        |            |
|--|---|------------------------|------------------------|------------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or    | Amount     |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution           | Amount     |
| ASYLUM ACCESS                                | NA  | PC                     | SEE STATEMENT          |            |
| 1611 TELEGRAPH AVE                           |   |                        |                        |            |
| OAKLAND, CA 94612                            |   |                        |                        |            |
|  |   |                        |                        | 1 500      |
| ATL EDUCATIONAL TELECOM COLLABORATIVE        | NA  | PC                     | SEE STATEMENT          | 1,500.     |
| DBA PUBLIC BROADCASTING ATLANTA              |   |                        |                        |            |
| 740 BISMARK RD NE                            |   |                        |                        |            |
| ATLANTA, GA 30324                            |   |                        |                        |            |
| ,  |   |                        |                        | 1,080.     |
| BAKAS PILIPINAS INCORPORATED                 | NA  | PC                     | SEE STATEMENT          |            |
| PO BOX 2706                                  |   |                        |                        |            |
| NEW YORK, NY 10008-2706                      |   |                        |                        |            |
|  |   |                        |                        |            |
|  |   |                        | _                      | 75.        |
| BANQU, INC.                                  | NA  | NC                     | SEE STATEMENT          |            |
| 4100 HEATHERTON PLACE                        |   |                        |                        |            |
| MINNETONKA, MN 55345                         |   |                        |                        |            |
|  |   |                        |                        | 25 000     |
| BARNARD COLLEGE                              | NA  | PC                     | SEE STATEMENT          | 25,000.    |
| 3009 BROADWAY BOX AS                         | NA  |                        | SHE STATEMENT          |            |
| NEW YORK, NY 10027                           |   |                        |                        |            |
|  |   |                        |                        |            |
|  |   |                        |                        | 15,000.    |
| BARNARD COLLEGE                              | NA  | PC                     | SEE STATEMENT          |            |
| 3009 BROADWAY BOX AS                         |   |                        |                        |            |
| NEW YORK, NY 10027                           |   |                        |                        |            |
|  |   |                        |                        |            |
| BE LIKE BRIT                                 | NA  | PC                     | SEE STATEMENT          | 750.       |
| 66 PULLMAN STEET                             |   |                        |                        |            |
| WORCESTER, MA 01606                          |   |                        |                        |            |
| ,  |   |                        |                        |            |
|  |   |                        |                        | 150.       |
|  |   |                        |                        |            |
| BELLAGIO CENTER THE ROCKEFELLER              | NA  | PC                     | DCA – SEE STATEMENT 28 |            |
| FOUNDATION                                   |   |                        |                        |            |
| VILLA SERBELLONI<br>NAIROBI, ITALY           |   |                        |                        | 5,658,878. |
| BENNETT COLLEGE                              | NA  | PC                     | SEE STATEMENT          | 5,050,070, |
| 900 E WASHINGTON ST                          |   |                        |                        |            |
| GREENSBORO, NC 27401-3239                    |   |                        |                        |            |
| ,  |   |                        |                        |            |
|  |   |                        |                        | 3,000.     |
| BEYOND ZERO                                  | NA  | NC                     | SEE STATEMENT          |            |
| PO BOX 40530-00100                           |   |                        |                        |            |
| NAIROBI, KENYA                               |   |                        |                        |            |
|  |   |                        |                        |            |
|  |   |                        |                        | 150,000.   |

18221107 756359 1621570.011

| Part XV         Supplementary Information           3         Grants and Contributions Paid During the Year (Continuation) |  |                                      |                                     |         |  |  |
|--|--|--------------------------------------|-------------------------------------|---------|--|--|
| Recipient  | If recipient is an individual,   | Foundation                           | Durnana of grant an                 | 1       |  |  |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |  |  |
| BIKE AND WALK MONTCLAIR  | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| PO BOX 3387  |  |                                      |                                     |         |  |  |
| MONTCLAIR, NJ 07043-3387   |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 300     |  |  |
| BIPARTISAN POLICY CENTER, INC.   | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| 1225 I STREET, NW SUITE 1000   |  |                                      |                                     |         |  |  |
| WASHINGTON, DC 20005   |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 250,000 |  |  |
| BLUE STATE DIGITAL, INC.   | NA   | NC                                   | SEE STATEMENT                       |         |  |  |
| 101 AVENUE OF THE AMERICAS, 12TH FLOOR   |  |                                      |                                     |         |  |  |
| NEW YORK, NY 10013   |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 110,000 |  |  |
| BOARD OF TRUSTEES OF THE LELAND  | NA   | PC                                   | SEE STATEMENT                       | ,       |  |  |
| STANFORD JUNIOR UNIVERSITY   |  |                                      |                                     |         |  |  |
| 450 SERRA MALL   |  |                                      |                                     |         |  |  |
| STANFORD, CA 94305-2004  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 60,000  |  |  |
| BRAIN ANEURYSM FOUNDATION  | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| INCORPORATED   |  |                                      |                                     |         |  |  |
| 269 HANOVER STREET   |  |                                      |                                     |         |  |  |
| HANOVER, MA 02339  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 300     |  |  |
| BROOKINGS INSTITUTION  | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| 1775 MASSACHUSETTS AVENUE, NW  |  |                                      |                                     |         |  |  |
| WASHINGTON, DC 20036-2188  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 400,000 |  |  |
| BROOKINGS INSTITUTION  | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| 1775 MASSACHUSETTS AVENUE, NW  |  |                                      |                                     |         |  |  |
| WASHINGTON, DC 20036-2103  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 9,000   |  |  |
| BROOKLYN HISTORICAL SOCIETY  | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| 128 PIERREPONT STREET  |  |                                      |                                     |         |  |  |
| BROOKLYN, NY 11201   |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     |         |  |  |
|  | 272  | - Da                                 |                                     | 750     |  |  |
| BROOKLYN PUBLIC LIBRARY  | NA   | PC                                   | SEE STATEMENT                       |         |  |  |
| 10 GRAND ARMY PLAZA  |  |                                      |                                     |         |  |  |
| BROOKLYN, NY 11238   |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 3,000   |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                       | 5,000   |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                     |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     |         |  |  |
|  |  |                                      |                                     | 2,400   |  |  |
| Total from continuation sheets   |  |                                      |                                     |         |  |  |

| Part XV       Supplementary Information         3       Grants and Contributions Paid During the Year (Continuation) |  |                                      |                                  |         |  |  |
|--|--|--------------------------------------|----------------------------------|---------|--|--|
| Recipient  | If recipient is an individual,   |                                      |                                  |         |  |  |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount  |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                  |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
| ,,   |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 225     |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                  |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 75      |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    | 1.      |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                  |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 75      |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                  |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  |         |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    | 75      |  |  |
| 11 JEFFERSON AVE   |  | FC                                   | SEE STATEMENT                    |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
| 21001211, 11 11200 2000  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 75      |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                  |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 75      |  |  |
| BROOKLYN WALDORF SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 11 JEFFERSON AVE   |  |                                      |                                  |         |  |  |
| BROOKLYN, NY 11238-2608  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 75      |  |  |
| BRUNSWICK SCHOOL INC   | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 100 MAHER AVENUE   |  |                                      |                                  |         |  |  |
| GREENWICH, CT 06830-0000   |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 75      |  |  |
| BUSINESS FOR SOCIAL RESPONSIBILITY   | NA   | PC                                   | SEE STATEMENT                    | /.      |  |  |
| 88 KEARNY STREET 12TH FLOOR  |  |                                      |                                  |         |  |  |
| SAN FRANCISCO, CA 94108  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 200,000 |  |  |
| BUSINESS FOR SOCIAL RESPONSIBILITY   | NA   | PC                                   | SEE STATEMENT                    |         |  |  |
| 88 KEARNY STREET 12TH FLOOR  |  |                                      |                                  |         |  |  |
| SAN FRANCISCO, CA 94108  |  |                                      |                                  |         |  |  |
|  |  |                                      |                                  | 1/0 364 |  |  |
| Total from continuation sheets   |  |                                      |                                  | 149,360 |  |  |

| 3 Grants and Contributions Paid During the Y | -  |                         |                                  |         |
|--|--|-------------------------|----------------------------------|---------|
| Recipient                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount  |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor                                 | recipient               |                                  |         |
| BYTE BACK                                    | NA   | PC                      | SEE STATEMENT                    |         |
| 899 NORTH CAPITOL STREET, NE SUITE 850       |  |                         |                                  |         |
| WASHINGTON, DC 20002                         |  |                         |                                  |         |
|  |  |                         |                                  |         |
| CAB INTERNATIONAL                            | NA   | GOV                     | SEE STATEMENT                    | 100     |
| NOSWORTHY WAY                                | NA   | GOV                     | SEE STATEMENT                    |         |
| OXFORDSHIRE, UNITED KINGDOM                  |  |                         |                                  |         |
| ,,   |  |                         |                                  |         |
|  |  |                         |                                  | 50,000  |
| CAMPUS MISSION CHURCH INC                    | NA   | PC                      | SEE STATEMENT                    |         |
| 603 W 115TH STREET                           |  |                         |                                  |         |
| NEW YORK, NY 10025                           |  |                         |                                  |         |
|  |  |                         |                                  | 4 500   |
| CAMPUS MISSION CHURCH INC                    | NA   | PC                      | SEE STATEMENT                    | 1,500   |
| 603 W 115TH STREET                           |  |                         | DEE SIAIEMENI                    |         |
| NEW YORK, NY 10025                           |  |                         |                                  |         |
| ,  |  |                         |                                  |         |
|  |  |                         |                                  | 1,500   |
| CAMPUS MISSION CHURCH INC                    | NA   | PC                      | SEE STATEMENT                    |         |
| 603 W 115TH STREET                           |  |                         |                                  |         |
| NEW YORK, NY 10025                           |  |                         |                                  |         |
|  |  |                         |                                  | 1 500   |
| CAMPUS MISSION CHURCH INC                    | NA   | PC                      | SEE STATEMENT                    | 1,500   |
| 603 W 115TH STREET                           | NA .   | FC                      | SEE SIRIEMENI                    |         |
| NEW YORK, NY 10025                           |  |                         |                                  |         |
|  |  |                         |                                  |         |
|  |  |                         |                                  | 1,500   |
| CAMPUS MISSION CHURCH INC                    | NA   | PC                      | SEE STATEMENT                    |         |
| 603 W 115TH STREET                           |  |                         |                                  |         |
| NEW YORK, NY 10025                           |  |                         |                                  |         |
|  |  |                         |                                  | 150     |
| CAMPUS MISSION CHURCH INC                    | NA   | PC                      | SEE STATEMENT                    | 150     |
| 603 W 115TH STREET                           |  |                         |                                  |         |
| NEW YORK, NY 10025                           |  |                         |                                  |         |
|  |  |                         |                                  |         |
|  |  |                         |                                  | 60      |
| CAPITAL FOR GOOD USA                         | NA   | PC                      | SEE STATEMENT                    |         |
| 1536 E. LANCASTER AVENUE                     |  |                         |                                  |         |
| PAOLI, PA 19301                              |  |                         |                                  |         |
|  |  |                         |                                  | 173,150 |
| CARNEGIE ENDOWMENT FOR INTERNATIONAL         | NA   | PC                      | SEE STATEMENT                    | 1,3,130 |
| PEACE  |  |                         |                                  |         |
| 1779 MASSACHUSETTS AVENUE, NW                |  |                         |                                  |         |
| WASHINGTON, DC 20036                         |  |                         |                                  |         |
|  |  |                         |                                  | 200,000 |

| 3 Grants and Contributions Paid During the       | Year (Continuation)  |                                      |                                     |         |
|--|--|--------------------------------------|-------------------------------------|---------|
| Recipient  | If recipient is an individual,   | Foundation                           | Durnoog of grant or                 |         |
| Name and address (home or business)              | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| CAROLINA FOR KIBERA, INC.                        | NA   | PC                                   | SEE STATEMENT                       |         |
| 301 PITTSBORO ST STE 3002 CB 5145                |  |                                      |                                     |         |
| CHAPEL HILL, NC 27599-5145                       |  |                                      |                                     |         |
|  |  |                                      |                                     | 300     |
| CASE WESTERN RESERVE UNIVERSITY                  | NA   | PC                                   | SEE STATEMENT                       |         |
| 10900 EUCLID AVE                                 |  |                                      |                                     |         |
| CLEVELAND, OH 44106-7035                         |  |                                      |                                     |         |
|  |  |                                      |                                     | 300     |
| CENTER FOR AMERICAN PROGRESS                     | NA   | PC                                   | SEE STATEMENT                       |         |
| 1333 H STREET, NW 10TH FLOOR                     |  |                                      |                                     |         |
| WASHINGTON, DC 20005                             |  |                                      |                                     |         |
|  |  |                                      |                                     | 500,000 |
| CENTER FOR CREATIVE CHANGE                       | NA   | PC                                   | SEE STATEMENT                       | ,       |
| 3748 JENIFER ST NW                               |  |                                      |                                     |         |
| WASHINGTON, DC 20015-1834                        |  |                                      |                                     |         |
|  |  |                                      |                                     | 900     |
| CENTER FOR GLOBAL DEVELOPMENT                    | NA   | PC                                   | SEE STATEMENT                       |         |
| 2055 L STREET NW, FIFTH FLOOR                    |  |                                      |                                     |         |
| WASHINGTON, DC 20036                             |  |                                      |                                     |         |
|  |  |                                      |                                     | 300,000 |
| CENTER FOR MIGRATION STUDIES OF NEW              | NA   | PC                                   | SEE STATEMENT                       |         |
| YORK INC   |  |                                      |                                     |         |
| 307 E 60TH ST, 4TH FL<br>NEW YORK, NY 10022-1505 |  |                                      |                                     |         |
| NEW TORK, NI 10022 1905                          |  |                                      |                                     | 750     |
| CENTER FOR REPRODUCTIVE RIGHTS INC               | NA   | PC                                   | SEE STATEMENT                       |         |
| 120 WALL STREET 14TH FLOOR                       |  |                                      |                                     |         |
| NEW YORK, NY 10005-3904                          |  |                                      |                                     |         |
|  |  |                                      |                                     | 300     |
| CENTER FOR STRATEGIC AND                         | NA   | PC                                   | SEE STATEMENT                       |         |
| INTERNATIONAL STUDIES INC                        |  |                                      |                                     |         |
| 1616 RHODE ISLAND AVE NW                         |  |                                      |                                     |         |
| WASHINGTON, DC 20036-3206                        |  |                                      |                                     | 3,000   |
| CENTER FOR US GLOBAL LEADERSHIP                  | NA   | PC                                   | SEE STATEMENT                       | 5,000   |
| 1129 20TH STREET NW SUITE 600                    |  |                                      |                                     |         |
| WASHINGTON, DC 20036                             |  |                                      |                                     |         |
|  |  |                                      |                                     | 200,000 |
| CENTRAL AMERICAN LEGAL ASSISTANCE                | NA   | PC                                   | SEE STATEMENT                       |         |
| 240 HOOPER ST                                    |  |                                      |                                     |         |
| BROOKLYN, NY 11211-7301                          |  |                                      |                                     |         |
|  |  |                                      |                                     | 50      |
| Total from continuation sheets                   | ·  | •                                    |                                     |         |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                                      |                                     |         |
|---|--|--------------------------------------|-------------------------------------|---------|
| Recipient   | If recipient is an individual,   |                                      |                                     |         |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| CENTRAL CONNECTICUT COAST YOUNG MENS  | NA   | PC                                   | SEE STATEMENT                       |         |
| CHRISTIAN ASSOCIATION INC   |  |                                      |                                     |         |
| 1240 CHAPEL ST  |  |                                      |                                     |         |
| NEW HAVEN, CT 06511-4602  |  |                                      |                                     |         |
| ,   |  |                                      |                                     | 1,500   |
| CEREBRAL PALSY FOUNDATION INC   | NA   | PC                                   | SEE STATEMENT                       | ,       |
| 3 COLUMBUS CIR FL 15  |  |                                      |                                     |         |
| NEW YORK, NY 10019-1907   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 750     |
| CEREBRAL PALSY SOCIETY OF KENYA   | NA   | PC                                   | SEE STATEMENT                       |         |
| DLD DONHOLM ESTATE HOUSE 320 SAVANNA  |  |                                      |                                     |         |
| ROAD P.O. BOX 52346 - 00200 NAIROBI,  |  |                                      |                                     |         |
| KENYA   |  |                                      |                                     | 2 997   |
| CERES, INC.   | NA   | PC                                   | SEE STATEMENT                       | 2,997   |
| 99 CHAUNCY STREET 6TH FLOOR   |  | 10                                   |                                     |         |
| BOSTON, MA 02111  |  |                                      |                                     |         |
| OSTON, MA UZIII   |  |                                      |                                     |         |
|   |  |                                      |                                     | 80,000  |
| CHANCE FOR CHANGE   | NA   | PC                                   | SEE STATEMENT                       |         |
| 5 ARCADIA CT  |  |                                      |                                     |         |
| BLOOMFIELD, NJ 07003-5304   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 1,725   |
| CHANCELLOR, MASTERS AND SCHOLARS OF   | NA   | PC                                   | SEE STATEMENT                       |         |
| THE UNIVERSITY OF OXFORD  |  |                                      |                                     |         |
| UNIVERSITY OFFICES WELLINGTON SQUARE  |  |                                      |                                     |         |
| OXFORD, UNITED KINGDOM  |  |                                      |                                     | 250 000 |
| CHAUTAUQUA FOUNDATION INC   | NA   | PC                                   | SEE STATEMENT                       | 250,000 |
| PO BOX 28   |  |                                      |                                     |         |
| CHAUTAQUA, NY 14722-0028  |  |                                      |                                     |         |
| - ,   |  |                                      |                                     |         |
|   |  |                                      |                                     | 6,000   |
| CHICAGO COOK WORKFORCE PARTNERSHIP  | NA   | PC                                   | SEE STATEMENT                       |         |
| 69 WEST WASHINGTON STREET SUITE 2860  |  |                                      |                                     |         |
| CHICAGO, IL 60602   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 500,000 |
| CHICAGO COUNCIL ON GLOBAL AFFAIRS   | NA   | PC                                   | SEE STATEMENT                       |         |
| 180 N. STETSON AVENUE SUITE 1400  |  |                                      |                                     |         |
| CHICAGO, IL 60601   |  |                                      |                                     |         |
|   |  |                                      |                                     | 500,000 |
| CHICAGO PUBLIC MEDIA INC  | NA   | PC                                   | SEE STATEMENT                       |         |
| 848 E GRAND AVE NAVY PIER   |  |                                      |                                     |         |
| CHICAGO, IL 60611-3509  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 1,500   |
| Total from continuation sheets  |  |                                      |                                     |         |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)   |                        |                     |         |
|--|--|------------------------|---------------------|---------|
| Recipient                                    | If recipient is an individual,   | Foundation             | Purpose of grant or |         |
| Name and address (home or business)          | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | contribution        | Amount  |
| CHILDREN INTERNATIONAL                       | NA   | PC                     | SEE STATEMENT       |         |
| 2000 EAST RED BRIDGE ROAD                    |  |                        |                     |         |
| KANSAS CITY, MO 64131                        |  |                        |                     |         |
| ,  |  |                        |                     |         |
| CHILDRENS MEDICAL RESEARCH FOUNDATION        | NA   | PC                     | SEE STATEMENT       | 900     |
| INC  | NA   | FC                     | SEE STATEMENT       |         |
| 1501 BROADWAY                                |  |                        |                     |         |
| NEW YORK, NY 10036                           |  |                        |                     |         |
| NEW IORK, NI 10030                           |  |                        |                     | 450     |
| CHS NORTH AMERICA FOUNDATION INC             | NA   | PC                     | SEE STATEMENT       |         |
| 2600 OAK ROAD                                |  |                        |                     |         |
| WALNIT CREEK, CA 94597                       |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 6,000   |
| CHS NORTH AMERICA FOUNDATION INC             | NA   | PC                     | SEE STATEMENT       |         |
| 2600 OAK ROAD                                |  |                        |                     |         |
| WALNUT CREEK, CA 94597                       |  |                        |                     |         |
|  |  |                        |                     | 6,000   |
| CITY HARVEST INC                             | NA   | PC                     | SEE STATEMENT       | ,       |
| 6 E 32ND ST 5TH FL.                          |  |                        |                     |         |
| NEW YORK, NY 10016-5422                      |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 3,000   |
| CITY OF BOSTON                               | NA   | GOV                    | SEE STATEMENT       |         |
| 1 CITY HALL SQUARE FIFTH FLOOR               |  |                        |                     |         |
| BOSTON, MA 02201                             |  |                        |                     |         |
|  |  |                        |                     | 400,000 |
| CITY UNIVERSITY OF NEW YORK                  | NA   | PC                     | SEE STATEMENT       |         |
| 205 EAST 42ND STREET                         |  |                        |                     |         |
| NEW YORK, NY 10017                           |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 666,666 |
| CITYMEALS-ON-WHEELS                          | NA   | PC                     | SEE STATEMENT       |         |
| 355 LEXINGTON AVE FL 3                       |  |                        |                     |         |
| NEW YORK, NY 10017-6603                      |  |                        |                     |         |
|  |  |                        |                     | 1,560   |
| CITYMEALS-ON-WHEELS                          | NA   | PC                     | SEE STATEMENT       | _,      |
| 355 LEXINGTON AVE FL 3                       |  |                        |                     |         |
| NEW YORK, NY 10017-6603                      |  |                        |                     |         |
|  |  |                        |                     |         |
| CLASSICAL THEATRE OF HARLEM INC              | NA   | PC                     | SEE STATEMENT       | 225     |
| 566 W 159TH ST APT 44                        | 112 J  |                        | SEE STATEMENT       |         |
| NEW YORK, NY 10032-6918                      |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 400     |
| Total from continuation sheets               |  |                        |                     |         |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)                                      |                        |                       |           |
|--|---|------------------------|-----------------------|-----------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or   |           |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution          | Amount    |
| CLASSICAL THEATRE OF HARLEM INC              | NA  | PC                     | SEE STATEMENT         |           |
| 566 W 159TH ST APT 44                        |   |                        |                       |           |
| NEW YORK, NY 10032-6918                      |   |                        |                       |           |
|  |   |                        |                       | 15,000    |
| CLASSICAL THEATRE OF HARLEM INC              | NA  | PC                     | SEE STATEMENT         | ,         |
| 566 W 159TH ST APT 44                        |   |                        |                       |           |
| NEW YORK, NY 10032-6918                      |   |                        |                       |           |
|  |   |                        |                       | 300       |
| CLIMATE BONDS INITIATIVE                     | NA  | PC                     | SEE STATEMENT         |           |
| 72 MUSWELL HILL PLACE N10 3RR                |   |                        |                       |           |
| LONDON, UNITED KINGDOM                       |   |                        |                       |           |
|  |   |                        |                       | 155,000   |
| CLIMATE POLICY INITIATIVE, INC.              | NA  | PC                     | SEE STATEMENT         | 100,000   |
| 180 SANSOME STREET, SUITE 1000               |   |                        |                       |           |
| SAN FRANCISCO, CA 94104                      |   |                        |                       |           |
|  |   |                        |                       | 600 167   |
| CLOUD TIGER MEDIA, INC.                      | NA  | NC                     | SEE STATEMENT         | 680,467   |
| 6380 WILSHIRE BLVD. 15TH FLOOR               |   |                        |                       |           |
| LOS ANGELES, CA 90048                        |   |                        |                       |           |
|  |   |                        |                       |           |
| COALFIELD DEVELOPMENT CORPORATION            | NA  | PC                     | IN SUPPORT OF EFFORTS | 425,000   |
| P.O. BOX 1133 312 HALL STREET                | NA  |                        | TO REVITALIZE AND     |           |
| WAYNE, WV 25570                              |   |                        | REIMAGINE THE         |           |
| ······, ·····                                |   |                        | APPALACHIAN ECONOMY   |           |
|  |   |                        | AND WORKFORCE         | 1,000,000 |
| COLGATE UNIVERSITY TREASURERS OFFICE         | NA  | PC                     | SEE STATEMENT         |           |
| 13 OAK DRIVE                                 |   |                        |                       |           |
| HAMILTON, NY 13346                           |   |                        |                       |           |
|  |   |                        |                       | 6,000     |
| COLORADO STATE UNIVERSITY                    | NA  | GOV                    | SEE STATEMENT         | ,         |
| OFFICE OF SPONSORED PROGRAMS 2002            |   |                        |                       |           |
| CAMPUS DELIVERY FORT COLLINS, CO             |   |                        |                       |           |
| 80523-2002                                   |   |                        |                       | 198,911   |
| COMMUNITY CHEST OF PORT WASHINGTON           | NA  | PC                     | SEE STATEMENT         |           |
| INC  |   |                        |                       |           |
| 382 MAIN STREET STE105                       |   |                        |                       |           |
| PORT WASHINTON, NY 11050-0000                |   |                        |                       | 750       |
| COMMUNITY SOLUTIONS INTERNATIONAL,           | NA  | PC                     | SEE STATEMENT         | /50       |
| INC  |   |                        |                       |           |
| 60 BROAD STREET 2510A                        |   |                        |                       |           |
| NEW YORK, NY 10017                           |   |                        |                       | 4.0.000   |
|  |   |                        |                       | 10,000    |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                         |                                     |        |
|---|--|-------------------------|-------------------------------------|--------|
| Recipient   | If recipient is an individual,   |                         |                                     |        |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of | Purpose of grant or<br>contribution | Amount |
|   | or substantial contributor   | recipient<br>PC         |                                     |        |
| COMPASSION UNLIMITED PLUS ACTION  | NA   | PC                      | SEE STATEMENT                       |        |
| 6532 CHICORY COURT  |  |                         |                                     |        |
| DALLAS, TX 75214  |  |                         |                                     |        |
|   |  |                         |                                     | 3 000  |
| COMPREHENSIVE DEVELOPMENT   | NA   | PC                      | SEE STATEMENT                       | 3,000  |
| INCORPORATED  |  | 10                      |                                     |        |
| 240 2ND AVE   |  |                         |                                     |        |
| NEW YORK, NY 10003-2704   |  |                         |                                     |        |
|   |  |                         |                                     | 7,500  |
| COMPREHENSIVE DEVELOPMENT   | NA   | PC                      | SEE STATEMENT                       | .,     |
| INCORPORATED  |  |                         |                                     |        |
| 240 2ND AVE   |  |                         |                                     |        |
| NEW YORK, NY 10003-2704   |  |                         |                                     |        |
| ,   |  |                         |                                     | 4,200  |
| CONNECTICUT HUMANE SOCIETY  | NA   | PC                      | SEE STATEMENT                       | ,      |
| 701 RUSSELL RD  |  |                         |                                     |        |
| NEWINGTON, CT 06111-1527  |  |                         |                                     |        |
|   |  |                         |                                     |        |
|   |  |                         |                                     | 750    |
| CONNECTICUT HUMANE SOCIETY  | NA   | PC                      | SEE STATEMENT                       |        |
| 701 RUSSELL RD  |  |                         |                                     |        |
| NEWINGTON, CT 06111-1527  |  |                         |                                     |        |
|   |  |                         |                                     |        |
|   |  |                         |                                     | 750    |
| CONSUMER REPORTS  | NA   | PC                      | SEE STATEMENT                       |        |
| 101 TRUMAN AVE  |  |                         |                                     |        |
| YONKERS, NY 10703-1044  |  |                         |                                     |        |
|   |  |                         |                                     |        |
|   |  |                         |                                     | 8,169  |
| COOPERATIVE FOR ASSISTANCE AND RELIEF                                       | NA   | PC                      | SEE STATEMENT                       |        |
| EVERYWHERE CARE USA   |  |                         |                                     |        |
| 151 ELLIS STREET, NE  |  |                         |                                     |        |
| ATLANTA, GA 30303   |  |                         |                                     | 15 000 |
| CORNELL UNIVERSITY  | NA   | PC                      | SEE STATEMENT                       | 15,000 |
| 300 DAY HALL  | MA   | 10                      | SHE STATEMENT                       |        |
| ITHACA, NY 14853  |  |                         |                                     |        |
|   |  |                         |                                     |        |
|   |  |                         |                                     | 18,886 |
| COUNCIL ON FOREIGN RELATIONS INC  | NA   | PC                      | SEE STATEMENT                       |        |
| 58 E 68TH ST  |  |                         |                                     |        |
| NEW YORK, NY 10065-5953   |  |                         |                                     |        |
|   |  |                         |                                     |        |
|   |  |                         |                                     | 3,000  |
| COUNCIL ON FOUNDATIONS  | NA   | PC                      | SEE STATEMENT                       |        |
| 2121 CRYSTAL DRIVE SUITE 700  |  |                         |                                     |        |
| ARLINGTON, VA 22202   |  |                         |                                     |        |
|   |  |                         |                                     |        |
|   |  |                         |                                     | 5,000  |

| 3 Grants and Contributions Paid During the | Year (Continuation)                                     |                        |                     |           |
|--|---|------------------------|---------------------|-----------|
| Recipient                                  | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount    |
| Name and address (home or business)        | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount    |
| COUNCIL ON FOUNDATIONS, INC.               | NA  | PC                     | SEE STATEMENT       |           |
| 2121 CRYSTAL DRIVE SUITE 700               |   |                        |                     |           |
| ARLINGTON, VA 22202                        |   |                        |                     |           |
|  |   |                        |                     | 55,000    |
| CREATIVE MIGRATION, INC.                   | NA  | PC                     | SEE STATEMENT       |           |
| 643 MALTMAN AVE., SUITE 104                |   |                        |                     |           |
| LOS ANGELES, CA 90026                      |   |                        |                     |           |
|  |   |                        |                     | 140.000   |
| CREATIVE MIGRATION, INC.                   | NA  | PC                     | SEE STATEMENT       | 140,000   |
| 643 MALTMAN AVE., SUITE 104                |   |                        |                     |           |
| LOS ANGELES, CA 90026                      |   |                        |                     |           |
|  |   |                        |                     |           |
| CROSSBOUNDARY LLC                          | NA  | NC                     | SEE STATEMENT       | 10,000    |
| 1220 19TH STREET NW SUITE 500              |   | INC.                   | SEE STATEMENT       |           |
| WASHINGTON DC, DC 20036                    |   |                        |                     |           |
| ,  |   |                        |                     |           |
|  |   |                        |                     | 750,000   |
| CUNY GRADUATE SCHOOL OF JOURNALISM         | NA  | PC                     | SEE STATEMENT       |           |
| FOUNDATION INC.                            |   |                        |                     |           |
| 219 WEST 40TH STREET                       |   |                        |                     |           |
| NEW YORK, NY 10018                         |   |                        |                     | 100 000   |
| DALBERG CONSULTING U.S., LLC               | NA  | NC                     | SEE STATEMENT       | 100,000   |
| 99 MADISON AVENUE, 17TH FLOOR              |   |                        |                     |           |
| NEW YORK, NY 10016                         |   |                        |                     |           |
|  |   |                        |                     | 44 530    |
| DALBERG CONSULTING CC                      | NA  | NC                     | SEE STATEMENT       | 44,530    |
| 195 JAN SMUTS AVENUE ROSEBANK              |   |                        |                     |           |
| JOHANNESBURG, SOUTH AFRICA                 |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 183,364   |
| DANIEL J. EDELMAN, INC.                    | NA  | NC                     | SEE STATEMENT       |           |
| 250 HUDSON STREET<br>NEW YORK, NY 10013    |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 200,000   |
| DATAKIND                                   | NA  | PC                     | SEE STATEMENT       |           |
| 195 MONTAGUE STREET SUITE 1222             |   |                        |                     |           |
| BROOKLYN, NY 11201                         |   |                        |                     |           |
|  |   |                        |                     | 1,450,000 |
| DATAKIND                                   | NA  | PC                     | SEE STATEMENT       |           |
| 195 MONTAGUE STREET                        |   |                        |                     |           |
| BROOKLYN, NY 11201                         |   |                        |                     |           |
|  |   |                        |                     | 10,000    |
| Total from continuation sheets             | I   | 1                      | l                   | 10,000    |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                                      |                                     |           |
|---|--|--------------------------------------|-------------------------------------|-----------|
| Recipient   | If recipient is an individual,   |                                      |                                     |           |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
|   | NA   | PC                                   | SEE STATEMENT                       |           |
| DATAKIND, INC.<br>195 MONTAGUE STREET SUITE 1222                            | NA   | PC                                   | SEE SIAIEMENI                       |           |
| BROOKLYN, NY 11201  |  |                                      |                                     |           |
| DROORDIN, NI 11201  |  |                                      |                                     |           |
|   |  |                                      |                                     | 2,500,000 |
| DISABLED AMERICAN VETERANS CHARITABLE                                       | NA   | PC                                   | SEE STATEMENT                       | _,,       |
| SERVICE TRUST   |  |                                      |                                     |           |
| 3725 ALEXANDRIA PIKE  |  |                                      |                                     |           |
| COLD SPRING, KY 41076-1712  |  |                                      |                                     |           |
|   |  |                                      |                                     | 450       |
| DRAKE UNIVERSITY  | NA   | PC                                   | SEE STATEMENT                       |           |
| 2507 UNIVERSITY AVE   |  |                                      |                                     |           |
| DES MOINES, IA 50311-4516   |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 150       |
| DUKE UNIVERSITY   | NA   | PC                                   | SEE STATEMENT                       |           |
| BOX 90581   |  |                                      |                                     |           |
| DURHAM, NC 27708-0581   |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 15,000    |
| EAST MEADOW HIGH SCHOOL PTA   | NA   | PC                                   | SEE STATEMENT                       |           |
| 1738 BARD LN  |  |                                      |                                     |           |
| EAST MEADOW, NY 11554-1506  |  |                                      |                                     |           |
|   |  |                                      |                                     | 200       |
| EAST MEADOW HIGH SCHOOL PTA   | NA   | PC                                   | SEE STATEMENT                       | 300       |
| 1738 BARD LN  | NA   | 10                                   | SHE STATEMENT                       |           |
| EAST MEADOW, NY 11554-1506  |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 300       |
| EB RESEARCH PARTNERSHIP INC   | NA   | PC                                   | SEE STATEMENT                       |           |
| 132 EAST 43RD STREET SUITE 432  |  |                                      |                                     |           |
| NEW YORK, NY 10017  |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 150       |
| ECOLE D ECONOMIE DE PARIS   | NA   | PC                                   | SEE STATEMENT                       |           |
| 48 BOULEVARD JOURDAN  |  |                                      |                                     |           |
| PARIS, FRANCE   |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
| EGONONIA DALLAY INGRIGUES   | NT3  |                                      |                                     | 200,000   |
| ECONOMIC POLICY INSTITUTE   | NA   | PC                                   | SEE STATEMENT                       |           |
| 133TH ST NW, EAST TOWER   |  |                                      |                                     |           |
| WASHINGTON, DC 20005  |  |                                      |                                     |           |
|   |  |                                      |                                     | 10,000    |
| EIGHTEEN EAST CAPITAL LTD   | NA   | NC                                   | SEE STATEMENT                       | 10,000    |
| 86-90 PAUL STREET   |  |                                      |                                     |           |
| LONDON, UNITED KINGDOM  |  |                                      |                                     |           |
| ,   |  |                                      |                                     |           |
|   |  |                                      |                                     | 200,000   |
| Total from continuation sheets  | -  |                                      | · ·                                 |           |

| Part XVSupplementary Information3Grants and Contributions Paid During the V |  |                                      |                                     |         |
|---|--|--------------------------------------|-------------------------------------|---------|
| Recipient   | If recipient is an individual,   |                                      |                                     |         |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| EIGHTEEN EAST CAPITAL LTD   | NA   | NC                                   | SEE STATEMENT                       |         |
| 86-90 PAUL STREET   |  |                                      |                                     |         |
| LONDON, UNITED KINGDOM  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 25,000  |
| ELMIRA COLLEGE  | NA   | PC                                   | SEE STATEMENT                       |         |
| 1 PARK PL   |  |                                      |                                     |         |
| ELMIRA, NY 14901-2085   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 300     |
| EMPOWER-EMERGING MARKETS FOUNDATION   | NA   | PC                                   | SEE STATEMENT                       |         |
| 111 JOHN ST RM 2410<br>NEW YORK, NY 10038-3108                              |  |                                      |                                     |         |
| NEW TORK, NI 10030-5100   |  |                                      |                                     |         |
|   |  |                                      |                                     | 100     |
| ENCORPS STEM TEACHERS   | NA   | PC                                   | SEE STATEMENT                       |         |
| CO SLF 2121 AVENUE OF THE STARS,  |  |                                      |                                     |         |
| ,<br>SUITE 2020 LOS ANGELES, CA 90067                                       |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 1,500   |
| ENERGY 4 IMPACT   | NA   | PC                                   | SEE STATEMENT                       |         |
| 34 - 36 GRAYS INN ROAD WC1X 8HR   |  |                                      |                                     |         |
| LONDON, UNITED KINGDOM  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 751,740 |
| ENTERPRISE COMMUNITY PARTNERS, INC.   | NA   | PC                                   | SEE STATEMENT                       |         |
| 11000 BROKEN LAND PARKWAY 7TH FLOOR   |  |                                      |                                     |         |
| COLUMBIA, MD 21044  |  |                                      |                                     |         |
|   |  |                                      |                                     | 550,000 |
| ENTERPRISE COMMUNITY PARTNERS, INC.   | NA   | PC                                   | SEE STATEMENT                       | ,       |
| 11000 BROKEN LAND PARKWAY 7TH FLOOR   |  |                                      |                                     |         |
| COLUMBIA, MD 21044  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 20,000  |
| ENVIRONMENTAL DEFENSE FUND,   | NA   | PC                                   | SEE STATEMENT                       |         |
| INCORPORATED  |  |                                      |                                     |         |
| 257 PARK AVENUE SOUTH 17TH FLOOR  |  |                                      |                                     |         |
| NEW YORK, NY 10010  |  |                                      |                                     |         |
|   |  |                                      |                                     | 25,000  |
| ENVIRONNEMENT ET DEVELOPPEMENT DU   | NA   | PC                                   | SEE STATEMENT                       |         |
| TIERS MONDE<br>BATIMENT B, 1ER ETAGE AVENUE CHEIKH                          |  |                                      |                                     |         |
| ANTA DIOP X CANAL IV BP DAKAR,  |  |                                      |                                     |         |
| SENEGAL   |  |                                      |                                     | 7,119   |
| EPIC AFRICA FOUNDATION  | NA   | PC                                   | SEE STATEMENT                       | ,,,     |
| 2711 CENTERVILLE ROAD SUITE 400   |  |                                      |                                     |         |
| WILMINGTON, DE 19808  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 50,000  |
| Total from continuation sheets  |  |                                      |                                     |         |

| 3 Grants and Contributions Paid During the Y                      | ear (Continuation)   | 1                       |                                  |          |
|---|--|-------------------------|----------------------------------|----------|
| Recipient   | If recipient is an individual,<br>show any relationship to | Foundation<br>status of | Purpose of grant or contribution | Amount   |
| Name and address (home or business)                               | any foundation manager<br>or substantial contributor       | recipient               | CONTINUTION                      | , inount |
| EQUAL JUSTICE INITIATIVE  | NA   | PC                      | SEE STATEMENT                    |          |
| 122 COMMERCE STREET   |  |                         |                                  |          |
| MONTGOMERY, AL 36104  |  |                         |                                  |          |
|   |  |                         |                                  |          |
|   | NA   | PC                      |                                  | 20,00    |
| EQUALITY MYANMAR<br>NO. 34344, BAGAN HOUSING 2ND FLOOR,           |  | FC                      | SEE STATEMENT                    |          |
| 1ST STREET, LANMADAW YANGON, BURMA                                |  |                         |                                  |          |
|   |  |                         |                                  |          |
|   |  |                         |                                  | 100,000  |
| ESTUDIOOCA CO. LTD.   | NA   | NC                      | SEE STATEMENT                    |          |
| 65 CHALOEMPAKRIATE SOI 8 NONGBORN,                                |  |                         |                                  |          |
| PRAVET BANGKOK, THAILAND 10250                                    |  |                         |                                  |          |
|   |  |                         |                                  | 10.017   |
| EUPHEMIA L HAYNES PUBLIC CHARTER                                  | NA   | PC                      | SEE STATEMENT                    | 18,013   |
| SCHOOL INC  |  | r.                      | SEE SINIEMENT                    |          |
| 3600 GEORGIA AVENUE, NW   |  |                         |                                  |          |
| WASHINGTON, DC 20010  |  |                         |                                  |          |
|   |  |                         |                                  | 3,750    |
| EVERYTOWN FOR GUN SAFETY SUPPORT FUND                             | NA   | PC                      | SEE STATEMENT                    |          |
| INC   |  |                         |                                  |          |
| PO BOX 3886   |  |                         |                                  |          |
| NEW YORK, NY 10163  |  |                         |                                  |          |
| EVIDENCE ACTION INC.  | NA   | PC                      | SEE STATEMENT                    | 1,000    |
| 1101 K ST. NW   |  | rc                      | SEE SIRIEMENI                    |          |
| WASHINGTON, DC 20005  |  |                         |                                  |          |
|   |  |                         |                                  |          |
|   |  |                         |                                  | 300      |
| FACTORE VENTURES PBC  | NA   | NC                      | SEE STATEMENT                    |          |
| 430 NORTH COLLEGE AVE   |  |                         |                                  |          |
| FORT COLLINS, CO 80524  |  |                         |                                  |          |
|   |  |                         |                                  | 458,100  |
| FAITH ASSEMBLY OF GOD   | NA   | PC                      | SEE STATEMENT                    | ,        |
| 921 CHESTNUT RIDGE RD   |  |                         |                                  |          |
| CHESTNUT RIDGE, NY 10977-6616                                     |  |                         |                                  |          |
|   |  |                         |                                  |          |
| FAMILY HEALTH INTERNATIONAL                                       | NA   | PC                      | SEE STATEMENT                    | 49,455   |
| FAMILY HEALTH INTERNATIONAL<br>FHI 360 HEADQUARTERS 359 BLACKWELL | INA INA  | PC                      | DEE SIATEMENT                    |          |
| STREET, SUITE 200 DURHAM, NC 27701                                |  |                         |                                  |          |
| , 20112 200 Dommit, no 27701                                      |  |                         |                                  |          |
|   |  |                         |                                  | 149,700  |
| FARM CONCERN INTERNATIONAL  | NA   | PC                      | SEE STATEMENT                    |          |
| DEVELOPMENT TRUST   |  |                         |                                  |          |
| CO KARI NARL CAMPUS, WAIYAKI WAY,                                 |  |                         |                                  |          |
| P.O. BOX 15185-00100 NAIROBI, KENYA                               |  |                         |                                  |          |
|   |  |                         |                                  | 700,000  |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)   |                        |                                     |         |
|--|--|------------------------|-------------------------------------|---------|
| Recipient                                    | If recipient is an individual,   | Foundation             | Durpose of grant or                 |         |
| Name and address (home or business)          | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| FARM RADIO INTERNATIONAL                     | NA   | PC                     | SEE STATEMENT                       |         |
| L404 SCOTT STREET                            |  |                        |                                     |         |
| DTTAWA, CANADA                               |  |                        |                                     |         |
|  |  |                        |                                     |         |
|  |  |                        |                                     | 550,000 |
| FAULT LINE THEATRE INC                       | NA   | PC                     | SEE STATEMENT                       |         |
| 520 8TH AVE RM 336                           |  |                        |                                     |         |
| NEW YORK, NY 10018-8661                      |  |                        |                                     |         |
|  |  |                        |                                     | 750     |
| FEEDING AMERICA                              | NA   | PC                     | SEE STATEMENT                       | ,       |
| 35 EAST UPPER WACKER DRIVE SUITE 2000        |  |                        |                                     |         |
| CHICAGO, IL 60601                            |  |                        |                                     |         |
|  |  |                        |                                     |         |
|  |  |                        |                                     | 300,000 |
| FEMINIST PRESS                               | NA   | PC                     | SEE STATEMENT                       |         |
| 365 FIFTH AVE., SUITE 5406                   |  |                        |                                     |         |
| NEW YORK, NY 10016                           |  |                        |                                     |         |
|  |  |                        |                                     | 10,000  |
| FLORENCE IMMIGRANT AND REFUGEE RIGHTS        | NA   | PC                     | SEE STATEMENT                       |         |
| PROJECT INC                                  |  |                        |                                     |         |
| PO BOX 654                                   |  |                        |                                     |         |
| FLORENCE, AZ 85132-3012                      |  |                        |                                     |         |
|  |  |                        |                                     | 750     |
| FLYING CARPET THEATRE COMPANY OF             | NA   | PC                     | SEE STATEMENT                       |         |
| ATLANTA                                      |  |                        |                                     |         |
| 2791 HAWTHORNE DR NE                         |  |                        |                                     |         |
| ATLANTA, GA 30345-1329                       |  |                        |                                     | 1,500   |
| FLYING MANES THERAPEUTIC RIDING INC          | NA   | PC                     | SEE STATEMENT                       |         |
| PO BOX 508                                   |  |                        |                                     |         |
| SCARSDALE, NY 10583                          |  |                        |                                     |         |
|  |  |                        |                                     |         |
|  |  |                        |                                     | 3,750   |
| FOOD AND AGRICULTURE ORGANIZATION OF         | NA   | GOV                    | SEE STATEMENT                       |         |
| THE UNITED NATIONS                           |  |                        |                                     |         |
| VIALE DELLE TERME DI CARACALLA               |  |                        |                                     |         |
| ROME, ITALY                                  |  |                        |                                     | 249,298 |
| FOOD BANK FOR NEW YORK CITY FOOD FOR         | NA   | PC                     | SEE STATEMENT                       |         |
| SURVIVAL                                     |  |                        |                                     |         |
| 39 BROADWAY FL 10                            |  |                        |                                     |         |
| NEW YORK, NY 10006-3093                      |  |                        |                                     |         |
|  |  |                        |                                     | 300     |
| FOOD FOR SOUL                                | NA   | PC                     | SEE STATEMENT                       |         |
| VIA RUA MURO 85                              |  |                        |                                     |         |
| MODENA, ITALY                                |  |                        |                                     |         |
|  |  |                        |                                     | 200,000 |
| Total from continuation sheets               | 1  | 1                      | <b>I</b>                            |         |

| 3 Grants and Contributions Paid During the Ye | ear (Continuation)                                      |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient                                     | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| FOODSHOT GLOBAL, INC.                         | NA  | PC                     | SEE STATEMENT       |         |
| 104 WEST 123RD STREET APT 3                   |   |                        |                     |         |
| NEW YORK, NY 10027                            |   |                        |                     |         |
|   |   |                        |                     | 75,000  |
| FORDHAM PREPARATORY SCHOOL                    | NA  | PC                     | SEE STATEMENT       | , ,     |
| 441 EAST FORDHAM RD                           |   |                        |                     |         |
| BRONX, NY 10458-5175                          |   |                        |                     |         |
|   |   |                        |                     | 1,500   |
| FORDHAM PREPARATORY SCHOOL                    | NA  | PC                     | SEE STATEMENT       |         |
| 441 EAST FORDHAM RD                           |   |                        |                     |         |
| BRONX, NY 10458-5175                          |   |                        |                     |         |
|   |   |                        |                     | 750     |
| FORTUNE SOCIETY INC                           | NA  | PC                     | SEE STATEMENT       |         |
| 29-76 NORTHERN BOULEVARD                      |   |                        |                     |         |
| LONG ISLAND CITY, NY 11101                    |   |                        |                     |         |
|   |   |                        |                     | 6,000   |
| FOUNDATION CENTER                             | NA  | PC                     | SEE STATEMENT       |         |
| 32 OLD SLIP 24TH FLOOR                        |   |                        |                     |         |
| NEW YORK, NY 10005                            |   |                        |                     |         |
|   |   |                        |                     | 100,000 |
| FOUNDATION CENTER                             | NA  | PC                     | SEE STATEMENT       |         |
| 32 OLD SLIP 24TH FLOOR                        |   |                        |                     |         |
| NEW YORK, NY 10005-0000                       |   |                        |                     |         |
|   |   |                        |                     | 900     |
| FOUNDATION CENTER                             | NA  | PC                     | SEE STATEMENT       |         |
| 32 OLD SLIP 24TH FLOOR                        |   |                        |                     |         |
| NEW YORK, NY 10005-0000                       |   |                        |                     |         |
|   |   |                        |                     | 900     |
| FOUNDATION FINANCIAL OFFICERS GROUP,          | NA  | PC                     | SEE STATEMENT       |         |
| INC.  |   |                        |                     |         |
| 19 MANTUA ROAD                                |   |                        |                     |         |
| MOUNT ROYAL, NJ 08061                         |   |                        |                     | 1 075   |
| FOUNDATION FOR THE GRADUATE INSTITUTE         | NA  | PC                     | SEE STATEMENT       | 1,975   |
| OF INTL RELATIONS AND DEV                     |   |                        |                     |         |
| MAISON DE LA PAIX CHEMIN EUGENE-RIGOT         |   |                        |                     |         |
| 2 GENEVA , SWITZERLAND                        |   |                        |                     |         |
| FRICK COLLECTION                              | NA  | PC                     | SEE STATEMENT       | 905     |
| 1 E 70TH ST                                   |   |                        |                     |         |
| NEW YORK, NY 10021-4981                       |   |                        |                     |         |
|   |   |                        |                     | 225     |
| Total from continuation sheets                |   |                        |                     | 225     |

18221107 756359 1621570.011

|   | LLER FOUNDATION  |                                      | 13-165                           | 9629     |
|---|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information             |  |                                      |                                  | 1        |
| 3 Grants and Contributions Paid During the Y  |  | 1                                    |                                  |          |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| FRIENDS OF ALTA INC                           | NA   | PC                                   | SEE STATEMENT                    |          |
| PO BOX 8126                                   |  |                                      |                                  |          |
| ALTA, UT 84092-8126                           |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 750.     |
| FRIENDS OF CASCO BAY                          | NA   | PC                                   | SEE STATEMENT                    |          |
| 43 SLOCUM DR                                  |  |                                      |                                  |          |
| SOUTH PORTLAND, ME 04106                      |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 450.     |
| FRIENDS OF JIMMY CARTER NATIONAL              | NA   | PC                                   | SEE STATEMENT                    |          |
| HISTORIC SITE, INC.                           |  |                                      |                                  |          |
| 104 WEST CHURCH STREET PO BOX 315             |  |                                      |                                  |          |
| PLAINS, GA 31780                              |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| FRIENDS OF THE GLOBAL FIGHT AGAINST           | NA   | PC                                   | SEE STATEMENT                    |          |
| AIDS TUBERCULOSIS AND MALARIA                 |  |                                      |                                  |          |
| 1634 EYE STREET NW                            |  |                                      |                                  |          |
| WASHINGTON, DC 20006                          |  |                                      |                                  | 1 500    |
|   | NA   | PC                                   | SEE STATEMENT                    | 1,500.   |
| FSG, INC.<br>500 BOYLSTON STREET SUITE 600    | NA   | FC                                   | SEE STATEMENT                    |          |
| BOSTON, MA 02116                              |  |                                      |                                  |          |
| DOBION, MA UZITU                              |  |                                      |                                  |          |
|   |  |                                      |                                  | 548,273. |
| FSG, INC.                                     | NA   | PC                                   | SEE STATEMENT                    |          |
| 500 BOYLSTON STREET SUITE 600                 |  |                                      |                                  |          |
| BOSTON, MA 02116                              |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 230,000. |
| FSG, INC.                                     | NA   | PC                                   | SEE STATEMENT                    |          |
| 500 BOYLSTON STREET SUITE 600                 |  |                                      |                                  |          |
| BOSTON, MA 02116                              |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 200,000. |
| FSG, INC.                                     | NA   | PC                                   | SEE STATEMENT                    |          |
| 500 BOYLSTON STREET SUITE 600                 |  |                                      |                                  |          |
| BOSTON, MA 02116                              |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| FUND FOR THE CITY OF NEW YORK, INC.           | NA   | PC                                   | SEE STATEMENT                    |          |
| 121 AVENUE OF THE AMERICAS, 6TH FLOOR         |  |                                      |                                  |          |
| NEW YORK, NY 10013-1590                       |  |                                      |                                  |          |
|   |  |                                      |                                  | 7 500    |
| FUNDACION JUMEX ASOCIACION CIVIL              | NA   | NC                                   | SEE STATEMENT                    | 7,500.   |
| BOULEVARD VIA MORELOS 72A COL.                |  |                                      |                                  |          |
| RUSTICA XALOSTOC ECATEPEC, MEXICO             |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 99,800.  |
| Total from continuation sheets                | 1  | 1                                    | 1                                | , ,      |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                        |                                     |           |
|---|--|------------------------|-------------------------------------|-----------|
| Recipient   | If recipient is an individual,   | Foundation             | Durpose of grant or                 |           |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
| FUNDACION JUMEX ASOCIACION CIVIL  | NA   | NC                     | SEE STATEMENT                       |           |
| BOULEVARD VIA MORELOS 72A COL.  |  |                        |                                     |           |
| RUSTICA XALOSTOC ECATEPEC, MEXICO   |  |                        |                                     |           |
|   |  |                        |                                     |           |
|   |  |                        |                                     | 68        |
| FUNDERS NETWORK FOR SMART GROWTH AND  | NA   | PC                     | SEE STATEMENT                       |           |
| LIVABLE COMMUNITIES INC.  |  |                        |                                     |           |
| 1500 SAN REMO AVENUE, SUITE 249<br>CORAL GABLES, FL 33146                   |  |                        |                                     |           |
|   |  |                        |                                     | 30,000    |
| FUTURE FACTORY LLP  | NA   | NC                     | SEE STATEMENT                       |           |
| A-55, NANDJYOT INDUSTRIAL ESTATE 2ND  |  |                        |                                     |           |
| FLOOR, SAFED POOL, AK ROAD MUMBAI,  |  |                        |                                     |           |
| ANDHERI EAST, INDIA   |  |                        |                                     | 40.010    |
| GABRIEL FOUNDATION  | NA   | PC                     | SEE STATEMENT                       | 42,013    |
| 39520 COUNTY ROAD 13  |  |                        |                                     |           |
| ELIZABETH, CO 80107-8903  |  |                        |                                     |           |
|   |  |                        |                                     |           |
|   |  |                        |                                     | 600       |
| GABRIEL FOUNDATION  | NA   | PC                     | SEE STATEMENT                       |           |
| 39520 COUNTY ROAD 13  |  |                        |                                     |           |
| ELIZABETH, CO 80107-8903  |  |                        |                                     |           |
|   |  |                        |                                     | 450       |
| GAY MENS HEALTH CRISIS  | NA   | PC                     | SEE STATEMENT                       |           |
| 446 W 33RD ST   |  |                        |                                     |           |
| NEW YORK, NY 10001-2601   |  |                        |                                     |           |
|   |  |                        |                                     | 10,000    |
| GCU NYC INC.  | NA   | PC                     | SEE STATEMENT                       |           |
| 64 WOOSTER STREET   |  |                        |                                     |           |
| NEW YORK, NY 10012  |  |                        |                                     |           |
|   |  |                        |                                     | 125,000   |
| GENERATION YOU EMPLOYED, INC.   | NA   | PC                     | SEE STATEMENT                       | ,         |
| 1200 19TH STREET, NW SUITE 910  |  |                        |                                     |           |
| WASHINGTON, DC 20036  |  |                        |                                     |           |
|   |  |                        |                                     |           |
| GENESIS ANALYTICS PTY LTD   | NA   | NC                     | SEE STATEMENT                       | 700,000   |
| 50 SIXTH ROAD HYDE PARK   |  |                        |                                     |           |
| JOHANNESBURG, SOUTH AFRICA  |  |                        |                                     |           |
|   |  |                        |                                     |           |
|   |  |                        |                                     | 25,000    |
| GEORGETOWN UNIVERSITY   | NA   | PC                     | SEE STATEMENT                       |           |
| 37TH AND O STREETS, NW BOX 571168   |  |                        |                                     |           |
| WASHINGTON, DC 20057  |  |                        |                                     |           |
|   |  |                        |                                     | 1,200,000 |
| Total from continuation sheets  | 1  | 1                      | 1                                   | , ,       |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                                      |                                     |           |
|---|--|--------------------------------------|-------------------------------------|-----------|
| Recipient   | If recipient is an individual,   | E. L.                                | Durana (                            |           |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
| SEORGETOWN UNIVERSITY   | NA   | PC                                   | SEE STATEMENT                       |           |
| 37TH AND O STREETS, NW BOX 571168   |  |                                      |                                     |           |
| VASHINGTON, DC 20057  |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 150,000   |
| GILDER LEHRMAN INSTITUTE OF AMERICAN  | NA   | PC                                   | SEE STATEMENT                       |           |
| HISTORY   |  |                                      |                                     |           |
| 49 WEST 45TH STREET 6TH FLOOR   |  |                                      |                                     |           |
| NEW YORK, NY 10036  |  |                                      |                                     |           |
|   |  |                                      |                                     | 1,500,000 |
| GIRLS WHO INVEST INC  | NA   | PC                                   | SEE STATEMENT                       |           |
| 1345 AVENUE OF THE AMERICAS, 33RD   |  |                                      |                                     |           |
| FLOOR NEW YORK, NY 10105  |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 600       |
| GIVEWELL  | NA   | PC                                   | SEE STATEMENT                       |           |
| 182 HOWARD ST208  |  |                                      |                                     |           |
| SAN FRANCISCO, CA 94105   |  |                                      |                                     |           |
|   |  |                                      |                                     | 1,500     |
| GLACIER NATIONAL PARK CONSERVANCY   | NA   | PC                                   | SEE STATEMENT                       | 1,500     |
| PO BOX 2749   |  |                                      |                                     |           |
| COLUMBIA FALLS, MT 59912-2749   |  |                                      |                                     |           |
| ,   |  |                                      |                                     |           |
|   |  |                                      |                                     | 150       |
| GLOBAL ALLIANCE FOR IMPROVED  | NA   | PC                                   | SEE STATEMENT                       |           |
| NUTRITION   |  |                                      |                                     |           |
| RUE DE VERMONT 3739   |  |                                      |                                     |           |
| GENEVA, SWITZERLAND   |  |                                      |                                     |           |
|   |  |                                      |                                     | 40,000    |
| GLOBAL BPO SOLUTIONS, LLC   | NA   | NC                                   | SEE STATEMENT                       |           |
| 15600 NE 8TH STREET SUITE B1-800  |  |                                      |                                     |           |
| BELLEVUE, WA 98008  |  |                                      |                                     |           |
|   |  |                                      |                                     | 45,000    |
| GLOBAL HEALTH CORPS   | NA   | PC                                   | SEE STATEMENT                       | 10,000    |
| ONE PENN PLAZA, SUITE 6271  |  |                                      |                                     |           |
| ,<br>NEW YORK, NY 10119   |  |                                      |                                     |           |
| ,   |  |                                      |                                     |           |
|   |  |                                      |                                     | 134,480   |
| GLOBAL HEALTH STRATEGIES LLC  | NA   | NC                                   | SEE STATEMENT                       |           |
| 38 E 32ND STREET, 12TH FLOOR SUITE 900                                      |  |                                      |                                     |           |
| NEW YORK, NY 10016  |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 499,910   |
| GLOBAL HEALTH STRATEGIES LLC  | NA   | NC                                   | SEE STATEMENT                       |           |
| 38 E 32ND STREET, 12TH FLOOR SUITE 900                                      |  |                                      |                                     |           |
| NEW YORK, NY 10016  |  |                                      |                                     |           |
|   |  |                                      |                                     |           |
|   |  |                                      |                                     | 89,735    |

| 3 Grants and Contributions Paid During the Y                             | ear (Continuation)   |                         |                                  |        |
|--|--|-------------------------|----------------------------------|--------|
| Recipient  | If recipient is an individual,<br>show any relationship to | Foundation<br>status of | Purpose of grant or contribution | Amount |
| Name and address (home or business)                                      | any foundation manager<br>or substantial contributor       | recipient               | contribution                     |        |
| GLOBAL IMPACT INVESTING NETWORK  | NA   | PC                      | TOWARD THE COSTS OF              |        |
| 30 BROAD STREET 38TH FLOOR   |  |                         | STRENGTHENING THE                |        |
| NEW YORK, NY 10004   |  |                         | EVIDENCE BASE FOR                |        |
|  |  |                         | IMPACT INVESTING AND             |        |
|  |  |                         | MARKET SOLUTIONS                 | 50,00  |
| GLOBAL IMPACT INVESTING NETWORK  | NA   | PC                      | SEE STATEMENT                    |        |
| 30 BROAD STREET 38TH FLOOR   |  |                         |                                  |        |
| NEW YORK, NY 10004   |  |                         |                                  |        |
|  |  |                         |                                  |        |
|  |  |                         |                                  | 20,00  |
| GLOBAL KIDS, INC.  | NA   | PC                      | SEE STATEMENT                    |        |
| 137 E 25TH ST, 2ND FL  |  |                         |                                  |        |
| NEW YORK, NY 10010-2314  |  |                         |                                  |        |
|  |  |                         |                                  | 7 50   |
| GODS LOVE WE DELIVER INC   | NA   | PC                      | SEE STATEMENT                    | 7,50   |
| 166 AVENUE OF THE AMERICAS   |  | FC                      | SEE STRIEMENT                    |        |
| NEW YORK, NY 10013   |  |                         |                                  |        |
| NEW TORK, NI TOOIS   |  |                         |                                  |        |
|  |  |                         |                                  | 50     |
| GOWANUS CANAL CONSERVANCY INC  | NA   | PC                      | SEE STATEMENT                    |        |
| 543 UNION ST, 1E   |  |                         |                                  |        |
| BROOKLYN, NY 11215   |  |                         |                                  |        |
| ,  |  |                         |                                  |        |
|  |  |                         |                                  | 13     |
| GOWANUS CANAL CONSERVANCY INC.   | NA   | PC                      | SEE STATEMENT                    |        |
| P.O. BOX 150-652   |  |                         |                                  |        |
| BROOKLYN, NY 11215   |  |                         |                                  |        |
|  |  |                         |                                  |        |
|  |  | D.C.                    |                                  | 10,00  |
| GRACA MACHEL TRUST   | NA   | PC                      | SEE STATEMENT                    |        |
| BLOCK C, INVESTMENT PLACE 10TH ROAD,<br>HYDE PARK JOHANNESBURG, GAUTENG, |  |                         |                                  |        |
| SOUTH AFRICA   |  |                         |                                  |        |
|  |  |                         |                                  | 145,00 |
| GRANTMAKERS FOR EFFECTIVE  | NA   | PC                      | SEE STATEMENT                    |        |
| ORGANIZATIONS  |  |                         |                                  |        |
| 1725 DESALES ST NW, SUITE 404  |  |                         |                                  |        |
| WASHINGTON, DC 20036   |  |                         |                                  |        |
|  |  |                         |                                  | 15,00  |
| GREAT BARRINGTON RUDOLF STEINER  | NA   | PC                      | SEE STATEMENT                    |        |
| SCHOOL   |  |                         |                                  |        |
| 35 W PLAIN RD  |  |                         |                                  |        |
| GT BARRINGTON, MA 01230-1573   |  |                         |                                  |        |
|  |  |                         |                                  | 1,80   |
| GREAT NECK CHINESE ASSOCIATION INC                                       | NA   | PC                      | SEE STATEMENT                    |        |
| PO BOX 220442  |  |                         |                                  |        |
| GREAT NECK, NY 11022-0442  |  |                         |                                  |        |
|  |  |                         |                                  | 4      |
|  |  |                         |                                  | 1,00   |

|   | ELLER FOUNDATION   |                                      | 13-165                           | 9629       |
|---|--|--------------------------------------|----------------------------------|------------|
| Part XV Supplementary Information             |  |                                      |                                  | 1          |
| 3 Grants and Contributions Paid During the Y  | ear (Continuation)   | -                                    |                                  |            |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount     |
| GREATER KANSAS CITY COMMUNITY                 | NA   | PC                                   | SEE STATEMENT                    |            |
| FOUNDATION                                    |  |                                      |                                  |            |
| 1055 BROADWAY, SUITE 130                      |  |                                      |                                  |            |
| KANSAS CITY, MO 64105-1575                    |  |                                      |                                  |            |
|   |  |                                      |                                  | 2,250.     |
| GRENZEBACH GLIER AND ASSOCIATES, INC.         | NA   | NC                                   | SEE STATEMENT                    |            |
| 401 N. MICHIGAN AVENUE SUITE 2800             |  |                                      |                                  |            |
| CHICAGO, IL 60611                             |  |                                      |                                  |            |
|   |  |                                      |                                  | 8,730.     |
| GROUNDSWELL COMMUNITY MURAL PROJECT           | NA   | PC                                   | SEE STATEMENT                    | , -        |
| INC   |  |                                      |                                  |            |
| 540 PRESIDENT ST STE 1A                       |  |                                      |                                  |            |
| BROOKLYN, NY 11215-1489                       |  |                                      |                                  |            |
|   |  |                                      |                                  | 30.        |
| GROWHAUS<br>4751 YORK STREET                  | NA   | PC                                   | SEE STATEMENT                    |            |
| DENVER, CO 80216                              |  |                                      |                                  |            |
|   |  |                                      |                                  |            |
|   |  |                                      |                                  | 5,000.     |
| GUARDIAN NEWS AND MEDIA LTD                   | NA   | NC                                   | SEE STATEMENT                    |            |
| KING PLACE 90 YORK WAY                        |  |                                      |                                  |            |
| LONDON, UNITED KINGDOM                        |  |                                      |                                  |            |
|   |  |                                      |                                  | 1 000 000  |
| GUIDESTAR                                     | NA   | PC                                   | SEE STATEMENT                    | 1,000,000. |
| 4801 COURTHOUSE STREET SUITE 220              |  |                                      |                                  |            |
| WILLIAMSBURG, VA 23188-2678                   |  |                                      |                                  |            |
|   |  |                                      |                                  |            |
|   |  |                                      |                                  | 30,000.    |
| HABITAT FOR HUMANITY INC.                     | NA   | PC                                   | SEE STATEMENT                    |            |
| 322 W LAMAR ST<br>AMERICUS, GA 31709          |  |                                      |                                  |            |
|   |  |                                      |                                  |            |
|   |  |                                      |                                  | 1,200.     |
| HABITAT FOR HUMANITY INC.                     | NA   | PC                                   | SEE STATEMENT                    |            |
| 322 W LAMAR ST                                |  |                                      |                                  |            |
| AMERICUS, GA 31709                            |  |                                      |                                  |            |
|   |  |                                      |                                  | 1 200      |
| HABITAT FOR HUMANITY INTERNATIONAL,           | NA   | PC                                   | SEE STATEMENT                    | 1,200.     |
| INC.  |  |                                      |                                  |            |
| 270 PEACHTREE STREET, NW SUITE 1300           |  |                                      |                                  |            |
| ATLANTA, GA 30303                             |  |                                      |                                  |            |
|   |  |                                      |                                  | 275,000.   |
| HARLEM CHILDRENS ZONE INC                     | NA   | PC                                   | SEE STATEMENT                    |            |
| 35 E 125TH ST<br>NEW YORK NY 10035-1816       |  |                                      |                                  |            |
| NEW YORK, NY 10035-1816                       |  |                                      |                                  |            |
|   |  |                                      |                                  | 750.       |
| Total from continuation sheets                | •  | -                                    | - ·                              |            |

| 3 Grants and Contributions Paid During the Y |   |                        |                     |         |
|--|---|------------------------|---------------------|---------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| HARVEY SCHOOL                                | NA  | PC                     | SEE STATEMENT       |         |
| 260 JAY ST                                   |   |                        |                     |         |
| КАТОЛАН, NY 10536-3707                       |   |                        |                     |         |
|  |   |                        |                     | 3,750   |
| HEALTH SYSTEMS GLOBAL ASSOCIATION            | NA  | PC                     | SEE STATEMENT       | ,       |
| CO SWISSBENEFITS AG SEIFTIGENSTRASSE         |   |                        |                     |         |
| 310 WABERN, SWITZERLAND                      |   |                        |                     |         |
|  |   |                        |                     | 200,000 |
| HEIFER INTERNATIONAL FOUNDATION              | NA  | PC                     | SEE STATEMENT       | , ,     |
| 1 WORLD AVE                                  |   |                        |                     |         |
| LITTLE ROCK, AR 72202-2863                   |   |                        |                     |         |
|  |   |                        |                     | 300     |
| HEIFER PROJECT INTERNATIONAL                 | NA  | PC                     | SEE STATEMENT       |         |
| 1 WORLD AVE                                  |   |                        |                     |         |
| LITTLE ROCK, AZ 72202-2863                   |   |                        |                     |         |
|  |   |                        |                     | 360     |
| HENRY VISCARDI SCHOOL                        | NA  | PC                     | SEE STATEMENT       | 500     |
| 201 I U WILLETTS RD                          |   |                        |                     |         |
| ALBERTON, NY 11507-1516                      |   |                        |                     |         |
|  |   |                        |                     | 15,000  |
| HIAS INC                                     | NA  | PC                     | SEE STATEMENT       | 10,000  |
| 1300 SPRING STREET                           |   |                        |                     |         |
| SILVER SPRING, MD 20910                      |   |                        |                     |         |
|  |   |                        |                     | 750     |
| HIAS INC                                     | NA  | PC                     | SEE STATEMENT       |         |
| 1300 SPRING STREET                           |   |                        |                     |         |
| SILVER SPRING, MD 20910                      |   |                        |                     |         |
|  |   |                        |                     | 300     |
| HISPANIC FEDERATION INC                      | NA  | PC                     | SEE STATEMENT       |         |
| 55 EXCHANGE PLACE 5TH FLOOR                  |   |                        |                     |         |
| NEW YORK, NY 10005-3301                      |   |                        |                     |         |
|  |   |                        |                     | 1,500   |
| HISPANIC FEDERATION, INC.                    | NA  | PC                     | SEE STATEMENT       |         |
| 55 EXCHANGE PLACE, SUITE 501                 |   |                        |                     |         |
| NEW YORK, NY 10005                           |   |                        |                     |         |
|  |   |                        |                     | 500,000 |
| HISTORIC HUDSON VALLEY                       | NA  | PC                     | SEE STATEMENT       |         |
| 639 BEDFORD RD                               |   |                        |                     |         |
| TARRYTOWN, NY 10591-1203                     |   |                        |                     |         |
|  |   |                        |                     | 1,980   |
| Total from continuation sheets               | 1   | 1                      | 1                   |         |

| 3 Grants and Contributions Paid During the Ye |   |                        |                                  |           |
|---|---|------------------------|----------------------------------|-----------|
| Recipient                                     | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or contribution | Amount    |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution                     | Amount    |
| HOMES FOR OUR TROOPS INC                      | NA  | PC                     | SEE STATEMENT                    |           |
| 5 MAIN ST                                     |   |                        |                                  |           |
| TAUNTON, MA 02780-2733                        |   |                        |                                  |           |
|   |   |                        |                                  | 75        |
| HOPE STRENGTHENS FOUNDATION                   | NA  | PC                     | SEE STATEMENT                    |           |
| 80 EMERSON RD                                 |   |                        |                                  |           |
| EAST WALPOLE, MA 02032-1349                   |   |                        |                                  |           |
|   |   |                        |                                  | 300       |
| HOPEWELL FUND                                 | NA  | PC                     | SEE STATEMENT                    |           |
| 1201 CONNECTICUT AVENUE, NW SUITE 300         |   |                        |                                  |           |
| WASHINGTON, DC 20036                          |   |                        |                                  |           |
|   |   |                        |                                  | 125,000   |
| HUMAN NEEDS PROJECT INC.                      | NA  | PC                     | SEE STATEMENT                    | 120,000   |
| 36 PROFESSIONAL CENTER PARKWAY                |   |                        |                                  |           |
| SAN RAFAEL, CA 94903                          |   |                        |                                  |           |
|   |   |                        |                                  |           |
| HUMAN RIGHTS CAMPAIGN FOUNDATION              | NA  | PC                     | SEE STATEMENT                    | 535,000   |
| 1640 RHODE ISLAND AVENUE NW                   |   |                        | DEE DIATEMENT                    |           |
| WASHINGTON, DC 20036-3200                     |   |                        |                                  |           |
| ,   |   |                        |                                  |           |
|   |   |                        |                                  | 60        |
| HUNTERDON LAND TRUST<br>111 MINE STREET       | NA  | PC                     | SEE STATEMENT                    |           |
| FLEMINGTON, NJ 08822-1474                     |   |                        |                                  |           |
|   |   |                        |                                  |           |
|   |   |                        |                                  | 1,000     |
| IDENTITY2020 SYSTEMS INC.                     | NA  | PC                     | SEE STATEMENT                    |           |
| 264 CANAL STREET SUITE 4W                     |   |                        |                                  |           |
| NEW YORK, NY 10013                            |   |                        |                                  |           |
|   |   |                        |                                  | 240,000   |
| IDENTITY2020 SYSTEMS INC.                     | NA  | PC                     | SEE STATEMENT                    | ,         |
| 264 CANAL STREET SUITE 4W                     |   |                        |                                  |           |
| NEW YORK, NY 10013                            |   |                        |                                  |           |
|   |   |                        |                                  | E0 000    |
| IDFC FOUNDATION                               | NA  | NC                     | SEE STATEMENT                    | 50,000    |
| 6TH FLOOR, THE CAPITAL COURT OLOF             |   |                        |                                  |           |
| PALME MARG NEW DELHI, INDIA                   |   |                        |                                  |           |
|   |   |                        |                                  | 300,000   |
| IDH SUSTAINABLE TRADE INITIATIVE              | NA  | PC                     | SEE STATEMENT                    |           |
| ARTHUR VAN SCHENDELSTRAAT 500                 |   |                        |                                  |           |
| UTRECHT, NETHERLANDS 3511                     |   |                        |                                  |           |
|   |   |                        |                                  | 1 400 000 |
| Total from continuation sheets                |   |                        |                                  | 1,400,000 |

|  | FELLER FOUNDATION  |                                      | 13-16596                         | 29       |
|--|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Informatio                 |  |                                      |                                  |          |
| 3 Grants and Contributions Paid During the       | Year (Continuation)  | -                                    |                                  |          |
| Recipient Name and address (home or business)    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| IHABA INVESTMENTS AND ADVISORY                   | NA   | NC                                   | TOWARD THE COSTS OF              |          |
| SERVICES, SA                                     | NA .   | INC                                  | ITS 2018 AFRICA                  |          |
| 54 AVENIDA DE SAO VICENTE PALMAREJO              |  |                                      | INNOVATION SUMMIT TO             |          |
| PRAIA, CAPE VERDE                                |  |                                      | BE HELD IN KIGALI,               |          |
| INAIA, CALE VERDE                                |  |                                      | RWANDA, JUNE 6-8, 2018           | 75,000.  |
| IMANI REHABILITATION CENTRE                      | NA   | PC                                   | SEE STATEMENT                    | 75,000.  |
| P.O BOX 71589-00610 KAYOLE                       | NA   | 10                                   | SHE STATEMENT                    |          |
| NAIROBI, KENYA                                   |  |                                      |                                  |          |
| MAIRODI, KENIA                                   |  |                                      |                                  |          |
|  |  |                                      |                                  | 599.     |
| IMENTOR  | NA   | PC                                   | SEE STATEMENT                    | -        |
| 30 BROAD STREET, 9TH FLOOR                       |  |                                      |                                  |          |
| NEW YORK, NY 10004                               |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 150.     |
| IMPACT SHARES CORP.                              | NA   | PC                                   | SEE STATEMENT                    |          |
| 2189 BROKEN BEND                                 |  |                                      |                                  |          |
| FRISCO, TX 75034                                 |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 700,000. |
| IMPACT SHARES CORP.                              | NA   | PC                                   | SEE STATEMENT                    |          |
| 2189 BROKEN BEND                                 |  |                                      |                                  |          |
| FRISCO, TX 75034                                 |  |                                      |                                  |          |
|  |  |                                      |                                  | 100 000  |
| IMPACTALPHA                                      | NA   | NC                                   | SEE STATEMENT                    | 100,000. |
| 2009 FRANCISCO STREET                            |  | NC                                   |                                  |          |
| BERKELEY, CA 94709                               |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 35,000.  |
| INDEPENDENT SECTOR                               | NA   | PC                                   | SEE STATEMENT                    | , .      |
| 1602 L STREET NW SUITE 900                       |  |                                      |                                  |          |
| WASHINGTON, DC 20036                             |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 25,000.  |
| INITIATIVE FOR GLOBAL DEVELOPMENT                | NA   | PC                                   | SEE STATEMENT                    |          |
| 1130 CONNECTICUT AVE. NW 400                     |  |                                      |                                  |          |
| WASHINGTON, DC 20036                             |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  | ļ                                    |                                  | 50,000.  |
| INNOVATIVE FINANCE FOUNDATION                    | NA   | NC                                   | SEE STATEMENT                    |          |
| 7 AVENUE PICTET-DE-ROCHEMONT                     |  |                                      |                                  |          |
| GENEVA, SWITZERLAND                              |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  | NA   | PC                                   |                                  | 25,000.  |
| INSTITUTE FOR ADVANCED STUDY<br>1 EINSTEIN DRIVE | NA I   | r.                                   | SEE STATEMENT                    |          |
| PRINCETON, NJ 08540-0000                         |  |                                      |                                  |          |
| INTRODION, NO 00340-0000                         |  |                                      |                                  |          |
|  |  |                                      |                                  | 37,500.  |
| Total from continuation sheets                   | I  | 1                                    |                                  |          |

|   | LLER FOUNDATION  |                                      | 13-165                           | 9029       |
|---|--|--------------------------------------|----------------------------------|------------|
| Part XV Supplementary Information             |  |                                      |                                  | 1          |
| 3 Grants and Contributions Paid During the Y  | ear (Continuation)   | 1                                    |                                  |            |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount     |
| INSTITUTE FOR SUSTAINABLE COMMUNITIES         | NA   | PC                                   | SEE STATEMENT                    |            |
| 535 STONE CUTTERS WAY                         | NA   | 10                                   | SEE STATEMENT                    |            |
| MONTPELIER, VT 05602                          |  |                                      |                                  |            |
| MONTEDELIK, VI 00002                          |  |                                      |                                  |            |
|   |  |                                      |                                  | 20,000.    |
| INSTITUTE FOR TRANSFORMATIVE                  | NA   | PC                                   | SEE STATEMENT                    |            |
| TECHNOLOGIES FOUNDATION                       |  |                                      |                                  |            |
| 812 CREED ROAD                                |  |                                      |                                  |            |
| OAKLAND, CA 94610                             |  |                                      |                                  |            |
|   |  |                                      |                                  | 800,000.   |
| INSTITUTE OF CHARTERED ACCOUNTANTS IN         | NA   | NC                                   | SEE STATEMENT                    |            |
| ENGLAND AND WALES                             |  |                                      |                                  |            |
| CHARTERED ACCOUNTANTS HALL MOORGATE           |  |                                      |                                  |            |
| PLACE LONDON, UNITED KINGDOM                  |  |                                      |                                  |            |
|   |  |                                      |                                  | 105,000.   |
| INSTITUTE OF DEVELOPMENT STUDIES              | NA   | PC                                   | SEE STATEMENT                    |            |
| UNIVERSITY OF SUSSEX LIBRARY ROAD             |  |                                      |                                  |            |
| BRIGHTON, UNITED KINGDOM                      |  |                                      |                                  |            |
|   |  |                                      |                                  |            |
|   |  |                                      |                                  | 150,000.   |
| INSTITUTE OF INTERNATIONAL EDUCATION          | NA   | PC                                   | SEE STATEMENT                    |            |
| INC   |  |                                      |                                  |            |
| 809 UNITED NATIONS PLAZA                      |  |                                      |                                  |            |
| NEW YORK, NY 10017-3580                       |  |                                      |                                  |            |
|   |  |                                      |                                  | 50,000.    |
| INSTITUTE OF INTERNATIONAL EDUCATION,         | NA   | PC                                   | SEE STATEMENT                    |            |
| INC.<br>809 UNITED NATIONS PLAZA              |  |                                      |                                  |            |
| NEW YORK, NY 10017-3580                       |  |                                      |                                  |            |
| MEN TOXIC, MT 10017 5500                      |  |                                      |                                  | 2,000,000. |
| INSTITUTE OF INTERNATIONAL EDUCATION,         | NA   | PC                                   | SEE STATEMENT                    | _,,        |
| INC.  |  |                                      |                                  |            |
| 809 UNITED NATIONS PLAZA                      |  |                                      |                                  |            |
| NEW YORK, NY 10017-3580                       |  |                                      |                                  |            |
|   |  |                                      |                                  | 742,380.   |
| INSTITUTE OF INTERNATIONAL EDUCATION,         | NA   | PC                                   | SEE STATEMENT                    |            |
| INC.  |  |                                      |                                  |            |
| 809 UNITED NATIONS PLAZA                      |  |                                      |                                  |            |
| NEW YORK, NY 10017-3580                       |  |                                      |                                  |            |
|   |  |                                      |                                  | 353,700.   |
| INTELLECAP ADVISORY SERVICES PRIVATE          | NA   | NC                                   | SEE STATEMENT                    |            |
| LIMITED                                       |  |                                      |                                  |            |
| 10TH FLOOR, UNIT NO. A1002, A BLOCK           |  |                                      |                                  |            |
| THE PLATINA GACHIBOWLI HYDERABAD,             |  |                                      |                                  |            |
| INDIA   |  |                                      |                                  | 10,600.    |
| INTERNATIONAL ASSOCIATION OF                  | NA   | NC                                   | SEE STATEMENT                    |            |
| OUTSOURCING PROFESSIONALS                     |  |                                      |                                  |            |
| 4333 INDIAN DEER ROAD                         |  |                                      |                                  |            |
| WINDERMERE, FL 34786                          |  |                                      |                                  | 15 000     |
| Total from continuation sheets                |  |                                      | 1                                | 15,000.    |

|  | ELLER FOUNDATION   |                                      | 13-165                           | 9029     |
|--|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information                          |  |                                      |                                  | I        |
| 3 Grants and Contributions Paid During the Y               | (ear (Continuation)  |                                      |                                  |          |
| Recipient<br>Name and address (home or business)           | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| INTERNATIONAL BANK FOR RECONSTRUCTION                      | NA   | GOV                                  | SEE STATEMENT                    |          |
| AND DEVELOPMENT  |  |                                      |                                  |          |
| 1818 H STREET, NW  |  |                                      |                                  |          |
| WASHINGTON, DC 20433                                       |  |                                      |                                  |          |
|  |  |                                      |                                  | 500,000. |
| INTERNATIONAL BANK FOR RECONSTRUCTION                      | NA   | GOV                                  | SEE STATEMENT                    |          |
| AND DEVELOPMENT  |  |                                      |                                  |          |
| 1818 H STREET, NW  |  |                                      |                                  |          |
| WASHINGTON, DC 20433                                       |  |                                      |                                  |          |
|  |  |                                      |                                  | 290,000. |
| INTERNATIONAL CENTRE OF INSECT                             | NA   | PC                                   | SEE STATEMENT                    |          |
| PHYSIOLOGY AND ECOLOGY                                     |  |                                      |                                  |          |
| DUDUVILLE, KASARANI P.O. BOX 30772                         |  |                                      |                                  |          |
| NAIROBI, KENYA   |  |                                      |                                  | 400.000  |
| THEFT TOTAL FOOD DOLLAR DEGENDAR                           |  | Da                                   |                                  | 400,000. |
| INTERNATIONAL FOOD POLICY RESEARCH                         | NA   | PC                                   | SEE STATEMENT                    |          |
| INSTITUTE  |  |                                      |                                  |          |
| 2033 K STREET, N.W. SUITE 400                              |  |                                      |                                  |          |
| WASHINGTON, DC 20006-1002                                  |  |                                      |                                  | 350 000  |
| INTERNATIONAL FUND FOR AGRICULTURAL                        | NA   | GOV                                  | SEE STATEMENT                    | 350,000. |
| DEVELOPMENT  |  |                                      |                                  |          |
| VIA PAOLO DI DONO, 44                                      |  |                                      |                                  |          |
| ROME, ITALY  |  |                                      |                                  |          |
| ,,   |  |                                      |                                  | 150,000. |
| INTERNATIONAL INSTITUTE FOR                                | NA   | PC                                   | SEE STATEMENT                    | ,        |
| ENVIRONMENT AND DEVELOPMENT                                |  |                                      |                                  |          |
| 80-86 GRAYS INN ROAD                                       |  |                                      |                                  |          |
| LONDON, UNITED KINGDOM                                     |  |                                      |                                  |          |
|  |  |                                      |                                  | 94,749.  |
| INTERNATIONAL INSTITUTE OF RURAL                           | NA   | PC                                   | SEE STATEMENT                    |          |
| RECONSTRUCTION   |  |                                      |                                  |          |
| 601 WEST 26TH STREET 325-1                                 |  |                                      |                                  |          |
| NEW YORK, NY 10001   |  |                                      |                                  |          |
|  |  |                                      |                                  | 66,000.  |
| INTERNATIONAL RESCUE COMMITTEE INC                         | NA   | PC                                   | SEE STATEMENT                    |          |
| 122 EAST 42ND STREET                                       |  |                                      |                                  |          |
| NEW YORK, NY 10168-0002                                    |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
| INMEDNAMIONAL DECOME CONSTRATE INC.                        | NIA  | DC                                   |                                  | 600.     |
| INTERNATIONAL RESCUE COMMITTEE INC<br>122 EAST 42ND STREET | NA   | PC                                   | SEE STATEMENT                    |          |
| NEW YORK, NY 10168-0002                                    |  |                                      |                                  |          |
| 12. IOM, HI 10100 0002                                     |  |                                      |                                  |          |
|  |  |                                      |                                  | 600.     |
| INTERNATIONAL RESCUE COMMITTEE INC                         | NA   | PC                                   | SEE STATEMENT                    |          |
| 122 EAST 42ND STREET                                       |  |                                      |                                  |          |
| NEW YORK, NY 10168-0002                                    |  |                                      |                                  |          |
| ,  |  |                                      |                                  |          |
|  |  |                                      |                                  | 600.     |
| Total from continuation sheets                             | -  |                                      | 1                                |          |

| 3 Grants and Contributions Paid During the      | Year (Continuation)                                     |                        |                     |        |
|---|---|------------------------|---------------------|--------|
| Recipient                                       | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount |
| Name and address (home or business)             | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Anount |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET                            |   |                        |                     |        |
| JEW YORK, NY 10168-0002                         |   |                        |                     |        |
|   |   |                        |                     | 60     |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET                            |   |                        |                     |        |
| NEW YORK, NY 10168-0002                         |   |                        |                     |        |
|   |   |                        |                     | 60     |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET                            |   |                        |                     |        |
| NEW YORK, NY 10168-0002                         |   |                        |                     |        |
|   |   |                        |                     | 60     |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET                            |   |                        |                     |        |
| NEW YORK, NY 10168-0002                         |   |                        |                     |        |
|   |   |                        |                     | 60     |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET                            |   |                        |                     |        |
| NEW YORK, NY 10168-0002                         |   |                        |                     |        |
|   |   |                        |                     | 60     |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET                            |   |                        |                     |        |
| NEW YORK, NY 10168-0002                         |   |                        |                     |        |
|   |   |                        |                     | 30     |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET<br>NEW YORK, NY 10168-0002 |   |                        |                     |        |
|   |   |                        |                     |        |
|   |   |                        |                     | 5      |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET<br>NEW YORK, NY 10168-0002 |   |                        |                     |        |
| New TORK, NI 10100 0002                         |   |                        |                     |        |
|   |   |                        |                     | 2,10   |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET<br>NEW YORK, NY 10168-0002 |   |                        |                     |        |
|   |   |                        |                     |        |
|   |   |                        |                     | 1,50   |
| INTERNATIONAL RESCUE COMMITTEE INC              | NA  | PC                     | SEE STATEMENT       |        |
| 122 EAST 42ND STREET<br>NEW YORK, NY 10168-0002 |   |                        |                     |        |
|   |   |                        |                     |        |
|   |   |                        |                     | 75     |

| Part XV         Supplementary Information           3         Grants and Contributions Paid During the Y |  |                                      |                                  |         |
|--|--|--------------------------------------|----------------------------------|---------|
| Recipient  | If recipient is an individual,   |                                      |                                  |         |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount  |
| INTERNATIONAL RESCUE COMMITTEE INC   | NA   | PC                                   | SEE STATEMENT                    |         |
| L22 EAST 42ND STREET   |  | 10                                   |                                  |         |
| IEW YORK, NY 10168-0002  |  |                                      |                                  |         |
| ,,   |  |                                      |                                  |         |
|  |  |                                      |                                  | 600     |
| INTERNATIONAL RESCUE COMMITTEE INC   | NA   | PC                                   | SEE STATEMENT                    |         |
| 122 EAST 42ND STREET   |  |                                      |                                  |         |
| NEW YORK, NY 10168-0002  |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 600     |
| INTERNATIONAL RESCUE COMMITTEE INC   | NA   | PC                                   | SEE STATEMENT                    |         |
| 122 EAST 42ND STREET<br>NEW YORK, NY 10168-0002  |  |                                      |                                  |         |
| NEW TORK, NI 10100-0002  |  |                                      |                                  |         |
|  |  |                                      |                                  | 600     |
| INTERNATIONAL RESCUE COMMITTEE INC   | NA   | PC                                   | SEE STATEMENT                    |         |
| 122 EAST 42ND STREET   |  |                                      |                                  |         |
| NEW YORK, NY 10168-0002  |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 60      |
| INTERNATIONAL SENIOR LAWYERS PROJECT   | NA   | PC                                   | SEE STATEMENT                    |         |
| 110 W 40TH STREET, SUITE 700   |  |                                      |                                  |         |
| NEW YORK, NY 10018   |  |                                      |                                  |         |
|  |  |                                      |                                  | 25 000  |
| INTERNATIONAL VENTURE PHILANTHROPY   | NA   | PC                                   | SEE STATEMENT                    | 25,000  |
| CENTRE   |  | 10                                   |                                  |         |
| SQUIRRELS CHASE CROSSFIELD PLACE   |  |                                      |                                  |         |
| SURREY, UNITED KINGDOM   |  |                                      |                                  |         |
|  |  |                                      |                                  | 100,000 |
| INTERNATIONAL YOUTH FOUNDATION   | NA   | PC                                   | SEE STATEMENT                    |         |
| 1 EAST PRATT STREET SUITE 701  |  |                                      |                                  |         |
| BALTIMORE, MD 21202  |  |                                      |                                  |         |
|  |  |                                      |                                  | 150 600 |
| IOWA STATE UNIVERSITY OF SCIENCE AND   | NA   | PC                                   | SEE STATEMENT                    | 172,600 |
| TECHNOLOGY   | NA   | PC                                   | SEE SIAIEMENI                    |         |
| 1750 BEARDSHEAR HALL 515 MORRILL RD.   |  |                                      |                                  |         |
| AMES, IA 50011   |  |                                      |                                  |         |
| -  |  |                                      |                                  | 250,000 |
| IQBUSINESS PTY LTD   | NA   | NC                                   | SEE STATEMENT                    |         |
| IQBUSINESS PARK NO 3 THIRD AVENUE  |  |                                      |                                  |         |
| SANDTON RIVONIA, SOUTH AFRICA  |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 173,167 |
| ISIDORE NEWMAN SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |         |
| 1903 JEFFERSON AVE<br>NEW ORLEANS, LA 70115-5616   |  |                                      |                                  |         |
| LEN SKELLING, ER /VIIS SOLU  |  |                                      |                                  |         |
|  |  |                                      |                                  | 75,000  |
| Total from continuation sheets   | -  | •                                    | 1                                | , ,     |

| 3 Grants and Contributions Paid During the Y               | ear (Continuation)                                      |                        |                     |        |
|--|---|------------------------|---------------------|--------|
| Recipient  | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount |
| Name and address (home or business)                        | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Anount |
| ISIDORE NEWMAN SCHOOL                                      | NA  | PC                     | SEE STATEMENT       |        |
| 1903 JEFFERSON AVE   |   |                        |                     |        |
| NEW ORLEANS, LA 70115-5616                                 |   |                        |                     |        |
|  |   |                        |                     |        |
|  |   |                        |                     | 15,00  |
| IT TAKES A VILLAGE TO EDUCATE A CHILD                      | NA  | PC                     | SEE STATEMENT       |        |
| INC  |   |                        |                     |        |
| 55 HILLTOP AVE<br>NEW ROCHELLE, NY 10801-2632              |   |                        |                     |        |
| NEW ROCHEEDE, NI 10001 2052                                |   |                        |                     | 3,00   |
| JDRF INTERNATIONAL   | NA  | PC                     | SEE STATEMENT       |        |
| 26 BROADWAY, 14TH FLOOR                                    |   |                        |                     |        |
| NEW YORK, NY 10004   |   |                        |                     |        |
|  |   |                        |                     |        |
|  |   |                        |                     | 7      |
| JOHNS HOPKINS UNIVERSITY                                   | NA  | PC                     | SEE STATEMENT       |        |
| 3400 N. CHARLES STREET                                     |   |                        |                     |        |
| BALTIMORE, MD 21218  |   |                        |                     |        |
|  |   |                        |                     |        |
| TOYOF MURAMED FOUNDAMION INC                               | 17  | Da                     |                     | 70,00  |
| JOYCE THEATER FOUNDATION INC<br>175 8TH AVENUE             | NA  | PC                     | SEE STATEMENT       |        |
| NEW YORK, NY 10011-1694                                    |   |                        |                     |        |
|  |   |                        |                     |        |
|  |   |                        |                     | 37     |
| JUNTOS   | NA  | PC                     | SEE STATEMENT       |        |
| 1537 SOUTH 6TH ST 1ST FLOOR                                |   |                        |                     |        |
| PHILADELPHIA, PA 19147-6549                                |   |                        |                     |        |
|  |   |                        |                     |        |
|  |   |                        |                     | 75     |
| JUNTOS   | NA  | PC                     | SEE STATEMENT       |        |
| 1537 SOUTH 6TH ST 1ST FLOOR<br>PHILADELPHIA, PA 19147-6549 |   |                        |                     |        |
| Initiabilitin, in 1914, 0349                               |   |                        |                     |        |
|  |   |                        |                     | 15     |
| JUNTOS   | NA  | PC                     | SEE STATEMENT       |        |
| 1537 SOUTH 6TH ST 1ST FLOOR                                |   |                        |                     |        |
| PHILADELPHIA, PA 19147-6549                                |   |                        |                     |        |
|  |   |                        |                     |        |
|  |   |                        |                     | 15     |
| JUNTOS   | NA  | PC                     | SEE STATEMENT       |        |
| 1537 SOUTH 6TH ST 1ST FLOOR                                |   |                        |                     |        |
| PHILADELPHIA, PA 19147-6549                                |   |                        |                     |        |
|  |   |                        |                     | 15     |
| JUNTOS   | NA  | PC                     | SEE STATEMENT       | 15     |
| 1537 SOUTH 6TH ST 1ST FLOOR                                | [   |                        |                     |        |
| PHILADELPHIA, PA 19147-6549                                |   |                        |                     |        |
| ,  |   |                        |                     |        |
|  |   |                        |                     | 15     |
| Total from continuation sheets                             |   |                        |                     |        |

| 3 Grants and Contributions Paid During the | e Year (Continuation)                                   |                        |                     |         |
|--|---|------------------------|---------------------|---------|
| Recipient                                  | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)        | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| JUNTOS                                     | NA  | PC                     | SEE STATEMENT       |         |
| 1537 SOUTH 6TH ST 1ST FLOOR                |   |                        |                     |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 150     |
| JUNTOS<br>1537 SOUTH 6TH ST 1ST FLOOR      | NA  | PC                     | SEE STATEMENT       |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 150     |
| JUNTOS                                     | NA  | PC                     | SEE STATEMENT       |         |
| 1537 SOUTH 6TH ST 1ST FLOOR                |   |                        |                     |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     | 1 5 0   |
| JUNTOS                                     | NA  | PC                     | SEE STATEMENT       | 150     |
| 1537 SOUTH 6TH ST 1ST FLOOR                |   | 10                     |                     |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 150     |
| JUNTOS                                     | NA  | PC                     | SEE STATEMENT       |         |
| 1537 SOUTH 6TH ST 1ST FLOOR                |   |                        |                     |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     | 150     |
| JUNTOS                                     | NA  | PC                     | SEE STATEMENT       | 130     |
| 1537 SOUTH 6TH ST 1ST FLOOR                |   |                        |                     |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 150     |
| JUNTOS<br>1537 SOUTH 6TH ST 1ST FLOOR      | NA  | PC                     | SEE STATEMENT       |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
| ,,   |   |                        |                     |         |
|  |   |                        |                     | 150     |
| JUNTOS                                     | NA  | PC                     | SEE STATEMENT       |         |
| 1537 SOUTH 6TH ST 1ST FLOOR                |   |                        |                     |         |
| PHILADELPHIA, PA 19147-6549                |   |                        |                     |         |
|  |   |                        |                     | 1 5 0   |
| KARAN CAPOOR                               | NONE  | I                      | SEE STATEMENT       | 150     |
| 3507 CUMMINGS LANE                         |   | -                      |                     |         |
| CHEVY CHASE, MD 20815                      |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 250,000 |
| KENAN-FLAGLER BUSINESS SCHOOL              | NA  | PC                     | SEE STATEMENT       |         |
| FOUNDATION                                 |   |                        |                     |         |
| 205 KENAN CENTER CAMPUS BOX 3490           |   |                        |                     |         |
| CHAPEL HILL, NC 27599-3490                 |   |                        |                     | 3,750   |
| Total from continuation sheets             | 1   | 1                      | 1                   | 5,,50   |

| Part XVSupplementary Informati3Grants and Contributions Paid During the |  |                                      |                                     |         |
|---|--|--------------------------------------|-------------------------------------|---------|
| Recipient   | If recipient is an individual,   | Equadation                           | Durnage of grant or                 |         |
| Name and address (home or business)                                     | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| KENAN-FLAGLER BUSINESS SCHOOL   | NA   | PC                                   | SEE STATEMENT                       |         |
| FOUNDATION  |  | 10                                   |                                     |         |
| 205 KENAN CENTER CAMPUS BOX 3490  |  |                                      |                                     |         |
| CHAPEL HILL, NC 27599-3490  |  |                                      |                                     |         |
|   |  |                                      |                                     | 3,750   |
| KENYA PRIVATE SECTOR ALLIANCE   | NA   | NC                                   | SEE STATEMENT                       |         |
| P.O. BOX 3556-00100   |  |                                      |                                     |         |
| NAIROBI, KENYA  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 150,000 |
| KEYSTONE CENTER   | NA   | PC                                   | SEE STATEMENT                       |         |
| 1628 STS JOHN ROAD  |  |                                      |                                     |         |
| KEYSTONE, CO 80435  |  |                                      |                                     |         |
|   |  |                                      |                                     | F0.000  |
|   | N7   | DC .                                 |                                     | 50,000  |
| KHOJ INTERNATIONAL ARTISTS  | NA   | PC                                   | SEE STATEMENT                       |         |
| ASSOCIATION   |  |                                      |                                     |         |
| S-17, KHIRKEE EXTENSION   |  |                                      |                                     |         |
| NEW DELHI, INDIA 110017   |  |                                      |                                     | 17,461  |
| KIPP NEW JERSEY A NJ NONPROFIT  | NA   | PC                                   | SEE STATEMENT                       | 17,401  |
| CORPORATION   |  | 10                                   |                                     |         |
| 60 PARK PL STE 802  |  |                                      |                                     |         |
| NEWARK, NJ 07102-5508   |  |                                      |                                     |         |
| ,   |  |                                      |                                     | 300     |
| KKS ADVISORS LLC  | NA   | NC                                   | SEE STATEMENT                       |         |
| 559 EAST 2ND STREET, UNIT 3   |  |                                      |                                     |         |
| BOSTON, MA 02127  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 309,620 |
| KKS ADVISORS LLC  | NA   | NC                                   | SEE STATEMENT                       |         |
| 559 EAST 2ND STREET, UNIT 3   |  |                                      |                                     |         |
| BOSTON, MA 02127  |  |                                      |                                     |         |
|   |  |                                      |                                     | 89 470  |
| KOIS UK LIMITED   | NA   | NC                                   | SEE STATEMENT                       | 89,470  |
| 34B YORK WAY, IMPACT HUB  |  | NC                                   | SEE STATEMENT                       |         |
| LONDON, UNITED KINGDOM  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 20,000  |
| KURANTE, LLC  | NA   | NC                                   | SEE STATEMENT                       |         |
| 708 OCEAN AVE 33  |  |                                      |                                     |         |
| BROOKLYN, NY 11226  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 5,000   |
| KYNE COMMUNICATIONS, INC.   | NA   | NC                                   | SEE STATEMENT                       |         |
| 252 W. 37TH STREET, SUITE 500E  |  |                                      |                                     |         |
| NEW YORK, NY 10018  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 25,000  |

18221107 756359 1621570.011

| Part XV Supplementary Information                           |  |                      |                                     |         |
|---|--|----------------------|-------------------------------------|---------|
| 3 Grants and Contributions Paid During the Y                |  | 1                    |                                     |         |
| Recipient   | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation status of | Purpose of grant or<br>contribution | Amount  |
| Name and address (home or business)                         | or substantial contributor   | recipient            |                                     |         |
| LEGAL AID SOCIETY   | NA   | PC                   | SEE STATEMENT                       |         |
| 199 WATER STREET  |  |                      |                                     |         |
| NEW YORK, NY 10038-3526                                     |  |                      |                                     |         |
|   |  |                      |                                     | 150     |
| LEGAL AID SOCIETY   | NA   | PC                   | SEE STATEMENT                       | 150     |
| 199 WATER STREET  |  | 10                   |                                     |         |
| NEW YORK, NY 10038-3526                                     |  |                      |                                     |         |
|   |  |                      |                                     |         |
|   |  |                      |                                     | 150     |
| LEGAL AID SOCIETY   | NA   | PC                   | SEE STATEMENT                       |         |
| 199 WATER STREET  |  |                      |                                     |         |
| NEW YORK, NY 10038-3526                                     |  |                      |                                     |         |
|   |  |                      |                                     | 150     |
| LEGAL AID SOCIETY   | NA   | PC                   | SEE STATEMENT                       | 150     |
| 199 WATER STREET  | NA .   | rc                   | SEE SIRIEMENI                       |         |
| NEW YORK, NY 10038-3526                                     |  |                      |                                     |         |
| ,   |  |                      |                                     |         |
|   |  |                      |                                     | 150     |
| LEGAL AID SOCIETY   | NA   | PC                   | SEE STATEMENT                       |         |
| 199 WATER STREET  |  |                      |                                     |         |
| NEW YORK, NY 10038-3526                                     |  |                      |                                     |         |
|   |  |                      |                                     |         |
| LINCOLN CENTER FOR THE PERFORMING                           | NA   | PC                   | SEE STATEMENT                       | 50      |
| ARTS, INC.  | NA   | rc                   | SEE STATEMENT                       |         |
| 70 LINCOLN CENTER PLAZA                                     |  |                      |                                     |         |
| NEW YORK, NY 10023-6583                                     |  |                      |                                     |         |
|   |  |                      |                                     | 500,000 |
| LINCOLN CENTER THEATER                                      | NA   | PC                   | SEE STATEMENT                       |         |
| 150 W 65TH STREET   |  |                      |                                     |         |
| NEW YORK, NY 10023  |  |                      |                                     |         |
|   |  |                      |                                     |         |
| LIONS HEAD GLOBAL PARTNERS LLP                              | N7.  | NC                   |                                     | 90,000  |
| 130 BUCKINGHAM PALACE ROAD                                  | NA   | NC                   | SEE STATEMENT                       |         |
| LONDON, UNITED KINGDOM                                      |  |                      |                                     |         |
|   |  |                      |                                     |         |
|   |  |                      |                                     | 50,000  |
| LIVING CITIES, INC. THE NATIONAL                            | NA   | PC                   | SEE STATEMENT                       |         |
| COMMUNITY DEVELOPMENT INITIATIVE                            |  |                      |                                     |         |
| 1040 AVENUE OF THE AMERICAS FLOOR 17                        |  |                      |                                     |         |
| NEW YORK, NY 10018  |  |                      |                                     |         |
|   |  |                      |                                     | 750,000 |
| LIVING CITIES, INC.   | NA   | PC                   | SEE STATEMENT                       |         |
| 1040 AVENUE OF THE AMERICAS, FLOOR 17<br>NEW YORK, NY 10018 |  |                      |                                     |         |
| MEN TOAR, MI TOOTO  |  |                      |                                     |         |
|   |  |                      |                                     | 50,000  |
| Total from continuation sheets                              | 1  |                      | •                                   | , · · · |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)                                      |                        |                     |         |
|--|---|------------------------|---------------------|---------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| LOCAL INITIATIVES SUPPORT CORPORATION        | NA  | PC                     | SEE STATEMENT       |         |
| 501 7TH AVENUE 7TH FLOOR                     |   |                        |                     |         |
| NEW YORK, NY 10018                           |   |                        |                     |         |
|  |   |                        |                     | 100,000 |
| LONGITUDE RESEARCH LTD                       | NA  | NC                     | SEE STATEMENT       | · ·     |
| LION COURT 25 PROCTOR STREET                 |   |                        |                     |         |
| LONDON, UNITED KINGDOM                       |   |                        |                     |         |
|  |   |                        |                     | 150,000 |
| LUTHERAN IMMIGRATION AND REFUGEE             | NA  | PC                     | SEE STATEMENT       |         |
| SERVICE                                      |   |                        |                     |         |
| 700 LIGHT ST                                 |   |                        |                     |         |
| BALTIMORE, MD 21230-3850                     |   |                        |                     |         |
|  |   |                        |                     | 750     |
| LUTHERAN IMMIGRATION AND REFUGEE             | NA  | PC                     | SEE STATEMENT       |         |
| SERVICE                                      |   |                        |                     |         |
| 700 LIGHT ST                                 |   |                        |                     |         |
| BALTIMORE, NY 21230-3850                     |   |                        |                     | 375     |
| MAHIDOL UNIVERSITY                           | NA  | PC                     | SEE STATEMENT       | 575     |
| 999 PHUTTAMONTHON 4 ROAD SALAYA              |   |                        |                     |         |
| NAKHON PATHOM, THAILAND                      |   |                        |                     |         |
|  |   |                        |                     |         |
| MAHILA SEWA TRUST                            | NA  | PC                     | SEE STATEMENT       | 400,000 |
| SEWA RECEPTION CENTRE OPPOSITE               |   |                        | SEE STATEMENT       |         |
| VICTORIA GARDEN AHMEDABAD, INDIA             |   |                        |                     |         |
| · · · · · · · · · · · · · · · · · · ·        |   |                        |                     |         |
|  |   |                        |                     | 250,000 |
| MAKE THE ROAD NEW YORK                       | NA  | PC                     | SEE STATEMENT       |         |
| 301 GROVE STREET                             |   |                        |                     |         |
| BROOKLYN, NY 11237-5664                      |   |                        |                     |         |
|  |   |                        |                     | 3,090   |
| MAKE-A-WISH FOUNDATION OF AMERICA            | NA  | PC                     | SEE STATEMENT       |         |
| 1702 E HIGHLAND AVE STE 400                  |   |                        |                     |         |
| PHOENIX, AZ 85016                            |   |                        |                     |         |
|  |   |                        |                     | 750     |
| MANHATTAN CLASS COMPANY INC                  | NA  | PC                     | SEE STATEMENT       | 750     |
| 231 W. 29TH ST. STE 303                      |   |                        |                     |         |
| NEW YORK, NY 10001                           |   |                        |                     |         |
|  |   |                        |                     |         |
| MARIST COLLEGE                               | NA  | PC                     | SEE STATEMENT       | 75      |
| 3399 NORTH RD                                |   |                        |                     |         |
| POUGHKEPSIE, NY 12601-1350                   |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 90      |

| 3 Grants and Contributions Paid During the Ye                   | ear (Continuation)                                      |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient   | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)                             | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| MARIST COLLEGE  | NA  | PC                     | SEE STATEMENT       |         |
| 3399 NORTH RD   |   |                        |                     |         |
| POUGHKEPSIE, NY 12601-1350                                      |   |                        |                     |         |
|   |   |                        |                     | 0.0     |
| MARIST COLLEGE  | NA  | PC                     | SEE STATEMENT       | 90      |
| 3399 NORTH RD   |   |                        |                     |         |
| POUGHKEPSIE, NY 12601-1350                                      |   |                        |                     |         |
|   |   |                        |                     |         |
| MARIST COLLEGE  | NA  | PC                     | SEE STATEMENT       | 75      |
| 3399 NORTH RD   |   | 10                     |                     |         |
| POUGHKEPSIE, NY 12601-1350                                      |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 75      |
| MARTHAS TABLE, INC.   | NA  | PC                     | SEE STATEMENT       |         |
| 2114 14TH STREET, NW  |   |                        |                     |         |
| WASHINGTON, DC 20009  |   |                        |                     |         |
|   |   |                        |                     | 200,000 |
| MARTHA'S VINEYARD COMMUNITY SERVICES,                           | NA  | PC                     | SEE STATEMENT       |         |
| INC.  |   |                        |                     |         |
| MV COMMUNITY SERVICES, 111 EDGARTOWN                            |   |                        |                     |         |
| ROAD VINEYARD HAVEN, MA 02568                                   |   |                        |                     |         |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY                           | NA  | PC                     | SEE STATEMENT       | 75      |
| 77 MASSACHUSETTS AVENUE, ROOM 3-208                             |   | 10                     |                     |         |
| CAMBRIDGE, MA 02139-4307  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 500,000 |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY                           | NA  | PC                     | SEE STATEMENT       |         |
| 77 MASSACHUSETTS AVENUE, ROOM 3-208<br>CAMBRIDGE, MA 02139-4307 |   |                        |                     |         |
| CAMBRIDGE, MA 02139-4307  |   |                        |                     |         |
|   |   |                        |                     | 200,411 |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY                           | NA  | PC                     | SEE STATEMENT       | ,       |
| 77 MASSACHUSETTS AVENUE, ROOM 3-208                             |   |                        |                     |         |
| CAMBRIDGE, MA 02139-4307  |   |                        |                     |         |
|   |   |                        |                     |         |
| MATHEMATICA POLICY RESEARCH, INC.                               | NA  | NC                     | SEE STATEMENT       | 60,000  |
| 600 ALEXANDER PARK SUITE 100                                    |   |                        |                     |         |
| PRINCETON, NJ 08540   |   |                        |                     |         |
|   |   |                        |                     |         |
| MAXTUDIO, SNC   | NA  | NC                     | SEE STATEMENT       | 5,000   |
| PIAZZA MEUCCI 23  |   |                        | SEE STATEMENT       |         |
| ROME, ITALY   |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   | 1                      |                     | 10,000  |

|   | ELLER FOUNDATION   |                                      | 13-165                           | 9629     |
|---|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information                   |  |                                      |                                  | [        |
| 3 Grants and Contributions Paid During the V        |  | 1                                    |                                  |          |
| Recipient Name and address (home or business)       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| MCKINSEY AND COMPANY, INC. UNITED                   | NA   | NC                                   | SEE STATEMENT                    |          |
| STATES  |  |                                      |                                  |          |
| 55 EAST 52ND STREET                                 |  |                                      |                                  |          |
| NEW YORK, NY 10022                                  |  |                                      |                                  |          |
|   |  |                                      |                                  | 550,000. |
| MCKINSEY AND COMPANY, INC. UNITED<br>STATES         | NA   | NC                                   | SEE STATEMENT                    |          |
| 55 EAST 52ND STREET                                 |  |                                      |                                  |          |
| NEW YORK, NY 10022                                  |  |                                      |                                  |          |
| ,   |  |                                      |                                  | 225,000. |
| MELANIE WALKER                                      | NONE   | I                                    | SEE STATEMENT                    |          |
| 715 SECOND AVENUE 1503                              |  |                                      |                                  |          |
| SEATTLE, WA 98104                                   |  |                                      |                                  |          |
|   |  |                                      |                                  | 010 600  |
| MEMORIAL SLOAN KETTERING CANCER                     | NA   | PC                                   | SEE STATEMENT                    | 219,688. |
| CENTER  | NA   | FC                                   | SEE SIAIEMENI                    |          |
| 1275 YORK AVENUE                                    |  |                                      |                                  |          |
| NEW YORK, NY 10065                                  |  |                                      |                                  |          |
|   |  |                                      |                                  | 750.     |
| MEMORIAL SLOAN KETTERING CANCER                     | NA   | PC                                   | SEE STATEMENT                    |          |
| CENTER  |  |                                      |                                  |          |
| 1275 YORK AVENUE                                    |  |                                      |                                  |          |
| NEW YORK, NY 10065                                  |  |                                      |                                  | 200      |
| MENTAL HEALTH AND ADDICTION NETWORK                 | NA   | PC                                   | SEE STATEMENT                    | 300.     |
| INC   |  |                                      |                                  |          |
| 10 FEDERAL ST STE 210                               |  |                                      |                                  |          |
| SALEM, MA 01970-3875                                |  |                                      |                                  |          |
|   |  |                                      |                                  | 60.      |
| MERCER STREET FRIENDS CENTER                        | NA   | PC                                   | SEE STATEMENT                    |          |
| 151 MERCER STREET<br>TRENTON, NJ 08611              |  |                                      |                                  |          |
| IRENION, NO COOTI                                   |  |                                      |                                  |          |
|   |  |                                      |                                  | 900.     |
| MERIDIAN INSTITUTE                                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 105 VILLAGE PLACE PO BOX 1829                       |  |                                      |                                  |          |
| DILLON, CO 80435                                    |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
| MEDIDIAN INCOTONO                                   |  | DC .                                 |                                  | 150,000. |
| MERIDIAN INSTITUTE<br>105 VILLAGE PLACE PO BOX 1829 | NA   | PC                                   | SEE STATEMENT                    |          |
| DILLON, CO 80435                                    |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 75,000.  |
| MERIDIAN INSTITUTE                                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 105 VILLAGE PLACE PO BOX 1829                       |  |                                      |                                  |          |
| DILLON, CO 80435                                    |  |                                      |                                  |          |
|   |  |                                      |                                  | E0.000   |
| Total from continuation sheets                      |  |                                      |                                  | 50,000.  |

| Part XV         Supplementary Information           3         Grants and Contributions Paid During the |  |                                      |                                     |           |
|--|--|--------------------------------------|-------------------------------------|-----------|
| Recipient  | If recipient is an individual,   | Foundation                           | Durnana of grant ar                 |           |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
| METAVIVOR RESEARCH AND SUPPORT INC   | NA   | PC                                   | SEE STATEMENT                       |           |
| 1783 FOREST DRIVE 184  |  |                                      |                                     |           |
| ANNAPOLIS, MD 21401  |  |                                      |                                     |           |
|  |  |                                      |                                     | 300       |
| METROPOLITAN OPERA ASSOCIATION INC   | NA   | PC                                   | SEE STATEMENT                       | 500       |
| 30 LINCOLN CENTER  |  |                                      |                                     |           |
| NEW YORK, NY 10023-6922  |  |                                      |                                     |           |
|  |  |                                      |                                     | 15,000    |
| METROWEST YMCA   | NA   | PC                                   | SEE STATEMENT                       | ,         |
| 110 W 40TH STREET, SUITE 700   |  |                                      |                                     |           |
| FRAMINGHAM, MA 01701-4539  |  |                                      |                                     |           |
|  |  |                                      |                                     | 24,900    |
| MIAMI HERALD MEDIA COMPANY   | NA   | NC                                   | SEE STATEMENT                       |           |
| 3511 NW 91 AVENUE  |  |                                      |                                     |           |
| MIAMI, FL 33172  |  |                                      |                                     |           |
|  |  |                                      |                                     | 200,000   |
| MILAN INNOVINCY B.V.   | NA   | NC                                   | SEE STATEMENT                       |           |
| KAPTEYNSTRAAT 1  |  |                                      |                                     |           |
| NOORDWIJK, NETHERLANDS   |  |                                      |                                     |           |
|  |  |                                      |                                     | 146,760   |
| MILKEN INSTITUTE   | NA   | PC                                   | SEE STATEMENT                       |           |
| 1250 FOURTH STREET   |  |                                      |                                     |           |
| SANTA MONICA, CA 90401   |  |                                      |                                     |           |
|  |  |                                      |                                     | 337,080   |
| MINISTRY OF FINANCE, TRADE,  | NA   | GOV                                  | SEE STATEMENT                       |           |
| INVESTMENT AND ECONOMIC PLANNING   |  |                                      |                                     |           |
| LIBERTY HOUSE P.O. BOX 313   |  |                                      |                                     |           |
| VICTORIA, SEYCHELLES   |  |                                      |                                     | 375,000   |
| MISSION INVESTORS EXCHANGE, INC.   | NA   | PC                                   | SEE STATEMENT                       | , ,       |
| 107 SPRING STREET  |  |                                      |                                     |           |
| SEATTLE, WA 98104  |  |                                      |                                     |           |
|  |  |                                      |                                     | 100,000   |
| MONTANA COMMUNITY DEVELOPMENT  | NA   | PC                                   | SEE STATEMENT                       | ,,        |
| CORPORATION  |  |                                      |                                     |           |
| 229 EAST MAIN STREET   |  |                                      |                                     |           |
| MISSOULA, MT 59801   |  |                                      |                                     | 1 000 000 |
| MOREHEAD-CAIN SCHOLARSHIP FUND   | NA   | PC                                   | SEE STATEMENT                       | 1,000,000 |
| PO BOX 690   |  |                                      |                                     |           |
| CHAPEL HILL, NC 27514-0690   |  |                                      |                                     |           |
|  |  |                                      |                                     | 600       |
| Total from continuation sheets   | I  | 1                                    | <b>I</b>                            |           |

| 3 Grants and Contributions Paid During the Ye | ear (Continuation)                                      |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient                                     | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| MOTE MARINE LABORATORY                        | NA  | PC                     | SEE STATEMENT       |         |
| 1600 KEN THOMPSON PKWY                        |   |                        |                     |         |
| SARASOTA, FL 34236-1004                       |   |                        |                     |         |
|   |   |                        |                     | 1,500   |
| MOUNT SINAI HOSPITAL                          | NA  | PC                     | SEE STATEMENT       | ,       |
| ONE GUSTAVE L LEVY PLACE BOX 1049             |   |                        |                     |         |
| NEW YORK, NY 10029                            |   |                        |                     |         |
|   |   |                        |                     | 300     |
| MS TRAINING CENTRE FOR DEVELOPMENT            | NA  | PC                     | SEE STATEMENT       |         |
| COOPERATION                                   |   |                        |                     |         |
| USA RIVER PO BOX 254                          |   |                        |                     |         |
| ARUSHA, TANZANIA                              |   |                        |                     |         |
|   |   |                        |                     | 150,000 |
| NARAL PRO-CHOICE AMERICA FOUNDATION           | NA  | PC                     | SEE STATEMENT       |         |
| 1725 EYE ST NW900                             |   |                        |                     |         |
| WASHINGTON, DC 20006                          |   |                        |                     |         |
|   |   |                        |                     | 75      |
| NATIONAL ACADEMY OF SCIENCES                  | NA  | PC                     | SEE STATEMENT       |         |
| 500 FIFTH STREET, NW                          |   |                        |                     |         |
| WASHINGTON, DC 20001                          |   |                        |                     |         |
|   |   |                        |                     |         |
| NATIONAL AIDS CONTROL COUNCIL                 | NA  | GOV                    | SEE STATEMENT       | 61,001  |
| PO BOX 61307-00200                            |   | GOV                    | SHE STATEMENT       |         |
| NAIROBI, KENYA                                |   |                        |                     |         |
|   |   |                        |                     |         |
| NATIONAL ALLIANCE FOR THE MENTALLY            | NA  | PC                     | SEE STATEMENT       | 20,000  |
| ILL OF NEW YORK CITY                          | NA  | FC                     | SEE STATEMENT       |         |
| 505 8TH AVE RM 1103                           |   |                        |                     |         |
| NEW YORK, NY 10018-4541                       |   |                        |                     |         |
|   |   |                        |                     | 150     |
| NATIONAL AUDUBON SOCIETY                      | NA  | PC                     | SEE STATEMENT       |         |
| 225 VARICK STREET, 7TH FLOOR                  |   |                        |                     |         |
| NEW YORK, NY 10014                            |   |                        |                     |         |
|   |   |                        |                     | 1,500   |
| NATIONAL BREAST CANCER FOUNDATION INC         | NA  | PC                     | SEE STATEMENT       | , •     |
| 2600 NETWORK BLVD STE 300                     |   |                        |                     |         |
| FRISCO, TX 75034-6010                         |   |                        |                     |         |
|   |   |                        |                     | 300     |
| NATIONAL CENTER FOR CIVIC INNOVATION          | NA  | PC                     | SEE STATEMENT       | 300     |
| 121 AVENUE OF THE AMERICAS, 6TH FLOOR         |   |                        |                     |         |
| NEW YORK, NY 10013                            |   |                        |                     |         |
|   |   |                        |                     | 25,000  |
| Total from continuation sheets                | 1   |                        | 1                   | 23,000  |

|  | LLER FOUNDATION  |                                      | 13-165                           | 9629     |
|--|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information              |  |                                      |                                  | Γ        |
| 3 Grants and Contributions Paid During the Ye  | ear (Continuation)   | 1                                    |                                  |          |
| Recipient Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| NATIONAL COMMITTEE FOR RESPONSIVE              | NA   | PC                                   | SEE STATEMENT                    |          |
| PHILANTHROPY                                   |  |                                      |                                  |          |
| 1900 L STREET NW, SUITE 825                    |  |                                      |                                  |          |
| WASHINGTON, DC 20036                           |  |                                      |                                  |          |
|  |  |                                      |                                  | 75,000.  |
| NATIONAL DANCE INSTITUTE INC                   | NA   | PC                                   | SEE STATEMENT                    |          |
| 217 W 147TH ST                                 |  |                                      |                                  |          |
| NEW YORK, NY 10039-3427                        |  |                                      |                                  |          |
|  |  |                                      |                                  | 200      |
| NATIONAL GEOGRAPHIC SOCIETY                    | NA   | PC                                   |                                  | 300.     |
| 1145 17TH ST NW                                | NA   | FC                                   | SEE STATEMENT                    |          |
| WASHINGTON, DC 20036-4707                      |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 750.     |
| NATIONAL GOVERNORS ASSOCIATION CENTER          | NA   | PC                                   | SEE STATEMENT                    |          |
| FOR BEST PRACTICES                             |  |                                      |                                  |          |
| 444 NORTH CAPITOL STREET, N.W. SUITE           |  |                                      |                                  |          |
| 267 WASHINGTON, DC 20001-1512                  |  |                                      |                                  |          |
|  |  |                                      |                                  | 225,000. |
| NATIONAL MULTIPLE SCLEROSIS SOCIETY            | NA   | PC                                   | SEE STATEMENT                    |          |
| 733 3RD AVE FL 3                               |  |                                      |                                  |          |
| NEW YORK, NY 10017-3211                        |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
| NAMIONAL DADMNEDGUID EOD MOMEN AND             | NT3  | D.C.                                 |                                  | 750.     |
| NATIONAL PARTNERSHIP FOR WOMEN AND<br>FAMILIES | NA   | PC                                   | SEE STATEMENT                    |          |
| 1875 CONNECTICUT AVE NW, SUITE 650             |  |                                      |                                  |          |
| WASHINGTON, DC 20009                           |  |                                      |                                  |          |
| ,  |  |                                      |                                  | 750.     |
| NATIONAL SPAY ALLIANCE FOUNDATION              | NA   | PC                                   | SEE STATEMENT                    |          |
| 2518 CLEVELAND HWY STE 15                      |  |                                      |                                  |          |
| DALTON, GA 30721-2131                          |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 300.     |
| NATIONAL WOMEN'S LAW CENTER                    | NA   | PC                                   | SEE STATEMENT                    |          |
| 11 DUPONT CIRCLE, NW SUITE 800                 |  |                                      |                                  |          |
| WASHINGTON, DC 20036-1209                      |  |                                      |                                  |          |
|  |  |                                      |                                  | 450.000  |
| NATURAL RESOURCES DEFENSE COUNCIL,             | NA   | PC                                   | SEE STATEMENT                    | 450,000. |
| INC.   | [  |                                      |                                  |          |
| 40 WEST 20TH STREET                            |  |                                      |                                  |          |
| NEW YORK, NY 10011                             |  |                                      |                                  |          |
| ,  |  |                                      |                                  | 750,000. |
| NATURE CONSERVANCY                             | NA   | PC                                   | SEE STATEMENT                    |          |
| 4245 NORTH FAIRFAX DRIVE, SUITE 100            |  |                                      |                                  |          |
| ARLINGTON, VA 22203-1606                       |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 1,500.   |
| Total from continuation sheets                 |  |                                      |                                  |          |

|  | ELLER FOUNDATION   |                                      | 13-165                           | 9029     |
|--|--|--------------------------------------|----------------------------------|----------|
|  |  |                                      |                                  |          |
| 3 Grants and Contributions Paid During the       |  |                                      |                                  |          |
| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| NEBRASKA COMMUNITY FOUNDATION                    | NA   | PC                                   | SEE STATEMENT                    |          |
| P.O. BOX 83107                                   |  |                                      |                                  |          |
| LINCOLN, NE 68501-3107                           |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 1,500.   |
| NEST M PARENT TEACHERS ASSOCIATION               | NA   | PC                                   | SEE STATEMENT                    |          |
| INC  |  |                                      |                                  |          |
| 111 COLUMBIA ST                                  |  |                                      |                                  |          |
| NEW YORK, NY 10002-1947                          |  |                                      |                                  |          |
|  |  |                                      |                                  | 4,800.   |
| NEW ALTERNATIVES FOR CHILDREN INC                | NA   | PC                                   | SEE STATEMENT                    |          |
| 37 WEST 26TH STREET                              |  |                                      |                                  |          |
| NEW YORK, NY 10010-1006                          |  |                                      |                                  |          |
|  |  |                                      |                                  | 2 250    |
| NEW ALTERNATIVES FOR LGBT HOMELESS               | NA   | PC                                   | SEE STATEMENT                    | 2,250.   |
| YOUTH INC  |  |                                      |                                  |          |
| 410 W 40TH ST                                    |  |                                      |                                  |          |
| NEW YORK, NY 10018                               |  |                                      |                                  |          |
|  |  |                                      |                                  | 250.     |
| NEW AMERICA FOUNDATION                           | NA   | PC                                   | SEE STATEMENT                    |          |
| 740 15TH ST NW STE 900                           |  |                                      |                                  |          |
| WASHINGTON, DC 20005-1031                        |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 6,000.   |
| NEW AMERICA FOUNDATION                           | NA   | PC                                   | SEE STATEMENT                    |          |
| 740 15TH STREET NW, SUITE 900                    |  |                                      |                                  |          |
| WASHINGTON, DC 20005                             |  |                                      |                                  |          |
|  |  |                                      |                                  | 500 000  |
| NEW AMERICA FOUNDATION                           | NA   | PC                                   | SEE STATEMENT                    | 500,000. |
| 740 15TH STREET NW, SUITE 900                    |  | 10                                   |                                  |          |
| WASHINGTON, DC 20005                             |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 40,000.  |
| NEW JERSEY AUDUBON SOCIETY                       | NA   | PC                                   | SEE STATEMENT                    |          |
| 9 HARDSCRABBLE RD                                |  |                                      |                                  |          |
| BERNARDSVILLE, NJ 07924-1303                     |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 5,250.   |
| NEW JERSEY AUDUBON SOCIETY                       | NA   | PC                                   | SEE STATEMENT                    |          |
| 9 HARDSCRABBLE RD                                |  |                                      |                                  |          |
| BERNARDSVILLE, NJ 07924-1303                     |  |                                      |                                  |          |
|  |  |                                      |                                  | 1 0.00   |
| NEW TEDGEV AUDIDON COCTEMV                       | <br>NIA  | PC                                   | CFF CUTTURE CUTTURE              | 1,260.   |
| NEW JERSEY AUDUBON SOCIETY<br>9 HARDSCRABBLE RD  | NA   | r.                                   | SEE STATEMENT                    |          |
|  |  |                                      |                                  |          |
| BERNARDSVILLE, NJ 07924-1303                     |  |                                      |                                  |          |
|  |  |                                      |                                  | 825.     |
| Total from continuation sheets                   |  | 1                                    | l                                |          |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)                                      |                        |   |           |
|--|---|------------------------|---|-----------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or                           |           |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution                                  | Amount    |
| NEW JERSEY BIKE AND WALK COALITION           | NA  | PC                     | SEE STATEMENT                                 |           |
| 551 VALLEY RD                                |   |                        |   |           |
| MONTCLAIR, NJ 07043-1832                     |   |                        |   |           |
|  |   |                        |   |           |
|  |   |                        |   | 30        |
| NEW VENTURE FUND                             | NA  | PC                     | FOR USE BY CO-IMPACT,                         |           |
| 01 CONNECTICUT AVE. NW SUITE 300             |   |                        | A GLOBAL COLLABORATIVE                        |           |
| WASHINGTON, DC 20036                         |   |                        | FOR SYSTEMS CHANGE,<br>TOWARD ITS OPERATIONAL |           |
|  |   |                        | COSTS   | 4,500,000 |
| NEW VENTURE FUND                             | NA  | PC                     | FOR USE BY CO-IMPACT,                         | ±,500,000 |
| 1201 CONNECTICUT AVE. NW SUITE 300           |   |                        | A GLOBAL COLLABORATIVE                        |           |
| WASHINGTON, DC 20036                         |   |                        | FOR SYSTEMS CHANGE,                           |           |
|  |   |                        | TOWARD ITS OPERATIONAL                        |           |
|  |   |                        | COSTS   | 4,200,000 |
| NEW VENTURE FUND                             | NA  | PC                     | SEE STATEMENT                                 |           |
| 1201 CONNECTICUT AVE. NW SUITE 300           |   |                        |   |           |
| WASHINGTON, DC 20036                         |   |                        |   |           |
|  |   |                        |   |           |
|  |   |                        |   | 3,800,000 |
| NEW VENTURE FUND                             | NA  | PC                     | SEE STATEMENT                                 |           |
| 1201 CONNECTICUT AVE. NW SUITE 300           |   |                        |   |           |
| WASHINGTON, DC 20036                         |   |                        |   |           |
|  |   |                        |   | 1,000,000 |
| NEW VENTURE FUND                             | NA  | PC                     | SEE STATEMENT                                 | _,,.      |
| 1201 CONNECTICUT AVE. NW SUITE 300           |   |                        |   |           |
| WASHINGTON, DC 20036                         |   |                        |   |           |
|  |   |                        |   |           |
|  |   |                        |   | 950,000   |
| NEW VENTURE FUND                             | NA  | PC                     | SEE STATEMENT                                 |           |
| 1201 CONNECTICUT AVE. NW SUITE 300           |   |                        |   |           |
| WASHINGTON, DC 20036                         |   |                        |   |           |
|  |   |                        |   | 100.000   |
|  |   |                        |   | 120,000   |
|  |   |                        |   |           |
| NEW VENTURE FUND                             | NA  | PC                     | IN-KIND CONTRIBUTION                          |           |
| 1201 CONNECTICUT AVENUE, NW SUITE 300        |   |                        | FOR THE CO-IMPACT                             |           |
| ,<br>WASHINGTON, DC 20036                    |   |                        | PROJECT                                       | 54,990    |
| NEW WOMEN NEW YORKERS INC                    | NA  | PC                     | SEE STATEMENT                                 |           |
| 601 WEST 26TH STREET, SUITE 325 SUITE        |   |                        |   |           |
| 99 NEW YORK, NY 10001                        |   |                        |   |           |
|  |   |                        |   |           |
|  |   |                        |   | 10,000    |
| NEW YORK CITY BALLET INC                     | NA  | PC                     | SEE STATEMENT                                 |           |
| 20 LINCOLN PLAZA, ROOM 307                   |   |                        |   |           |
| NEW YORK, NY 10023-6913                      |   |                        |   |           |
|  |   |                        |   | 271       |
| Total from continuation sheets               |   |                        |   | 37        |

18221107 756359 1621570.011

|   | LLER FOUNDATION  |                                      | 13-165                           | 9629    |
|---|--|--------------------------------------|----------------------------------|---------|
| Part XV Supplementary Information             |  |                                      |                                  |         |
| 3 Grants and Contributions Paid During the Y  |  |                                      |                                  |         |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount  |
| NEW YORK CITY ENERGY EFFICIENCY               | NA   | PC                                   | SEE STATEMENT                    |         |
| CORPORATION                                   |  |                                      |                                  |         |
| 1359 BROADWAY 19TH FLOOR                      |  |                                      |                                  |         |
| NEW YORK, NY 10018                            |  |                                      |                                  |         |
|   |  |                                      |                                  | 250,000 |
| NEW YORK FOUNDATION FOR ARCHITECTURE,         | NA   | PC                                   | SEE STATEMENT                    |         |
| INC.  |  |                                      |                                  |         |
| 536 LAGUARDIA PLACE                           |  |                                      |                                  |         |
| NEW YORK, NY 10012                            |  |                                      |                                  |         |
|   |  |                                      |                                  | 39,077  |
| NEW YORK LAW SCHOOL ALUMNI                    | NA   | PC                                   | SEE STATEMENT                    |         |
| ASSOCIATION INC                               |  |                                      |                                  |         |
| 185 W BROADWAY                                |  |                                      |                                  |         |
| NEW YORK, NY 10013-2921                       |  |                                      |                                  | 60.     |
| NEW YORK LAW SCHOOL                           | NA   | PC                                   | SEE STATEMENT                    | 00.     |
| 57 WORTH STREET, 3RD FLOOR                    |  |                                      |                                  |         |
| NEW YORK, NY 10013-2921                       |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 3,900.  |
| NEW YORK LAW SCHOOL                           | NA   | PC                                   | SEE STATEMENT                    |         |
| 57 WORTH STREET, 3RD FLOOR                    |  |                                      |                                  |         |
| NEW YORK, NY 10013-2921                       |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 90.     |
| NEW YORK LAW SCHOOL                           | NA   | PC                                   | SEE STATEMENT                    |         |
| 57 WORTH STREET, 3RD FLOOR                    |  |                                      |                                  |         |
| NEW YORK, NY 10013-2921                       |  |                                      |                                  |         |
|   |  |                                      |                                  | 120.    |
| NEW YORK LIVE ARTS                            | NA   | PC                                   | SEE STATEMENT                    | 120     |
| 219 WEST 19TH STREET                          |  |                                      |                                  |         |
| NEW YORK, NY 10011                            |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 150.    |
| NEW YORK PUBLIC RADIO                         | NA   | PC                                   | SEE STATEMENT                    |         |
| 160 VARICK STREET                             |  |                                      |                                  |         |
| NEW YORK, NY 10013-1220                       |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 1,200.  |
| NEW YORK PUBLIC RADIO                         | NA   | PC                                   | SEE STATEMENT                    |         |
| 160 VARICK STREET                             |  |                                      |                                  |         |
| NEW YORK, NY 10013-1220                       |  |                                      |                                  |         |
|   |  |                                      |                                  | 900.    |
| NEW YORK PUBLIC RADIO                         | NA   | PC                                   | SEE STATEMENT                    | 500.    |
| 160 VARICK STREET                             |  |                                      |                                  |         |
| NEW YORK, NY 10013-1220                       |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 750     |
| Total from continuation sheets                |  | -                                    |                                  |         |

| Part XV Supplementary Informatic              |  |                         |                                  |         |
|---|--|-------------------------|----------------------------------|---------|
| 3 Grants and Contributions Paid During the    |  | 1                       |                                  |         |
| Recipient                                     | If recipient is an individual,<br>show any relationship to | Foundation<br>status of | Purpose of grant or contribution | Amount  |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor       | recipient               | Contribution                     |         |
| NEW YORK PUBLIC RADIO                         | NA   | PC                      | SEE STATEMENT                    |         |
| 160 VARICK STREET                             |  |                         |                                  |         |
| NEW YORK, NY 10013-1220                       |  |                         |                                  |         |
|   |  |                         |                                  |         |
|   |  |                         |                                  | 576     |
| NEW YORK SHAKESPEARE FESTIVAL                 | NA   | PC                      | SEE STATEMENT                    |         |
| 425 LAFAYETTE ST                              |  |                         |                                  |         |
| NEW YORK, NY 10003-7021                       |  |                         |                                  |         |
|   |  |                         |                                  |         |
|   |  |                         |                                  | 1,500   |
| NEW YORK SHAKESPEARE FESTIVAL                 | NA   | PC                      | SEE STATEMENT                    |         |
| 425 LAFAYETTE ST                              |  |                         |                                  |         |
| NEW YORK, NY 10003-7021                       |  |                         |                                  |         |
|   |  |                         |                                  |         |
|   |  |                         |                                  | 390     |
| NEW YORK TIMES COMPANY                        | NA   | NC                      | SEE STATEMENT                    |         |
| 620 EIGHTH AVENUE                             |  |                         |                                  |         |
| NEW YORK, NY 10036-3959                       |  |                         |                                  |         |
|   |  |                         |                                  | 200 000 |
| NEW YORK TIMES COMPANY                        | NA   | NC                      | SEE STATEMENT                    | 200,000 |
| 620 EIGHTH AVENUE                             | NA   | NC                      | SEE STATEMENT                    |         |
| NEW YORK, NY 10036-3959                       |  |                         |                                  |         |
| MEN 10444, NI 10030 3333                      |  |                         |                                  |         |
|   |  |                         |                                  | 40,000  |
| NEW YORK TIMES NEEDIEST CASES FUND            | NA   | PC                      | SEE STATEMENT                    | , ,     |
| 101 WEST MAIN STREET, SUITE 2000              |  |                         |                                  |         |
| NORFOLK, VA 23510                             |  |                         |                                  |         |
|   |  |                         |                                  |         |
|   |  |                         |                                  | 75      |
| NEW YORK UNIVERSITY                           | NA   | PC                      | SEE STATEMENT                    |         |
| 655 BROADWAY ROOM 801                         |  |                         |                                  |         |
| NEW YORK, NY 10012                            |  |                         |                                  |         |
|   |  |                         |                                  |         |
|   |  |                         |                                  | 100,000 |
| NEW YORKERS FOR PARKS                         | NA   | PC                      | SEE STATEMENT                    |         |
| 55 BROAD STREET 23RD FLOOR                    |  |                         |                                  |         |
| NEW YORK, NY 10004                            |  |                         |                                  |         |
|   |  |                         |                                  | 10.000  |
| NEWS DEEDLY INC                               | NA   | NC                      | SEE STATEMENT                    | 10,000  |
| NEWS DEEPLY, INC.<br>115 FIFTH AVE. 6TH FLOOR | nu -   | NC                      | DEE STATEMENT                    |         |
| NEW YORK, NY 10003                            |  |                         |                                  |         |
| NEW TOAR, MI 10005                            |  |                         |                                  |         |
|   |  |                         |                                  | 172,500 |
| NONPROFIT FINANCE FUND                        | NA   | PC                      | SEE STATEMENT                    | 1,2,300 |
| 5 HANOVER SQUARE, 9TH FLOOR                   |  |                         |                                  |         |
| NEW YORK, NY 10004                            |  |                         |                                  |         |
| ,   |  |                         |                                  |         |
|   |  |                         |                                  | 50,000  |
| Total from continuation sheets                | 1  | 1                       | 1                                | ,       |

18221107 756359 1621570.011

| Part XV Supplementary Information               | ELLER FOUNDATION   |                                      | 13-165                           | 9029    |
|---|--|--------------------------------------|----------------------------------|---------|
| 3 Grants and Contributions Paid During the      |  |                                      |                                  |         |
| Recipient                                       | If recipient is an individual,   |                                      |                                  |         |
| Name and address (home or business)             | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount  |
| NON-PROFIT TECHNOLOGY ENTERPRISE                | NA   | PC                                   | SEE STATEMENT                    |         |
| NETWORK   |  |                                      |                                  |         |
| 621 SW ALDER ST STE 310                         |  |                                      |                                  |         |
| PORTLAND, OR 97205-3634                         |  |                                      |                                  | 4 500   |
| NON-PROFIT TECHNOLOGY ENTERPRISE                | NA   | PC                                   | SEE STATEMENT                    | 1,500   |
| NETWORK   |  |                                      |                                  |         |
| 621 SW ALDER ST STE 310                         |  |                                      |                                  |         |
| PORTLAND, OR 97205-3634                         |  |                                      |                                  |         |
| NON DECEM RECEIVELOCK ENTERDETCE                |  | Da                                   |                                  | 120     |
| NON-PROFIT TECHNOLOGY ENTERPRISE<br>NETWORK     | NA   | PC                                   | SEE STATEMENT                    |         |
| 621 SW ALDER ST STE 310                         |  |                                      |                                  |         |
| PORTLAND, OR 97205-3634                         |  |                                      |                                  |         |
| 101121112, 01 97200 9004                        |  |                                      |                                  | 30      |
| NON-PROFIT TECHNOLOGY ENTERPRISE                | NA   | PC                                   | SEE STATEMENT                    |         |
| NETWORK   |  |                                      |                                  |         |
| 621 SW ALDER ST STE 310                         |  |                                      |                                  |         |
| PORTLAND, OR 97205-3634                         |  |                                      |                                  |         |
|   |  |                                      |                                  | 10      |
| NORTHERN VIRGINIA COMMUNITY COLLEGE             | NA   | PC                                   | SEE STATEMENT                    |         |
| EDUCATIONAL FOUNDATION INC                      |  |                                      |                                  |         |
| 4001 WAKEFIELD CHAPEL RD                        |  |                                      |                                  |         |
| ANNANDALE, VA 22003-3724                        |  |                                      |                                  | 1,500   |
| NORTHWESTERN UNIVERSITY                         | NA   | PC                                   | SEE STATEMENT                    |         |
| 1201 DAVIS ST., RM. 1-400                       |  |                                      |                                  |         |
| EVANSTON, IL 60201                              |  |                                      |                                  |         |
|   |  |                                      |                                  | 22 250  |
| NORTHWESTERN UNIVERSITY                         | NA   | PC                                   | SEE STATEMENT                    | 23,250  |
| 1201 DAVIS ST., RM. 1-400                       |  |                                      |                                  |         |
| EVANSTON, IL 60201                              |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 300     |
| NORTHWESTERN UNIVERSITY                         | NA   | PC                                   | SEE STATEMENT                    |         |
| 1201 DAVIS ST., RM. 1-400<br>EVANSTON, IL 60201 |  |                                      |                                  |         |
| EVANSION, IL 00201                              |  |                                      |                                  |         |
|   |  |                                      |                                  | 300     |
| NORTHWESTERN UNIVERSITY                         | NA   | PC                                   | SEE STATEMENT                    |         |
| 633 CLARK STREET                                |  |                                      |                                  |         |
| EVANSTON, IL 60208                              |  |                                      |                                  |         |
|   |  |                                      |                                  | 178,200 |
| OBERLIN COLLEGE                                 | NA   | PC                                   | SEE STATEMENT                    |         |
| 173 W LORAIN ST                                 |  |                                      |                                  |         |
| OBERLIN, OH 44074-1057                          |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
| Total from continuation sheets                  |  |                                      |                                  | 6,000   |

| Part XV         Supplementary Information           3         Grants and Contributions Paid During the N |  |                                      |                                     |   |
|--|--|--------------------------------------|-------------------------------------|---|
| Recipient  | If recipient is an individual,   |                                      |                                     |   |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount                                  |
| OGILVY AND MATHER GROUP HOLDINGS   | NA   | NC                                   | SEE STATEMENT                       |   |
| LIMITED  |  |                                      |                                     |   |
| SEA CONTAINERS, 18 UPPER GROUND  |  |                                      |                                     |   |
| LONDON, UNITED KINGDOM   |  |                                      |                                     |   |
|  |  |                                      |                                     | 60,000                                  |
| ONFRONTIERS INC.   | NA   | NC                                   | SEE STATEMENT                       |   |
| 1407 BROADWAY 24TH FLOOR   |  |                                      |                                     |   |
| NEW YORK, NY 10018   |  |                                      |                                     |   |
|  |  |                                      |                                     |   |
|  |  |                                      |                                     | 247,800                                 |
| OPERATIONS CROSSROADS AFRICA II INC  | NA   | PC                                   | SEE STATEMENT                       |   |
| 34 MOUNT MORRIS PARK W   |  |                                      |                                     |   |
| NEW YORK, NY 10027-5651  |  |                                      |                                     |   |
|  |  |                                      |                                     | 1 500                                   |
| ORPHEUS CHAMBER ORCHESTRA INC  | NA   | PC                                   | SEE STATEMENT                       | 1,500                                   |
| 490 RIVERSIDE DRIVE 11TH FLOOR   |  | 10                                   | SHE STATEMENT                       |   |
| NEW YORK, NY 10027-5706  |  |                                      |                                     |   |
|  |  |                                      |                                     |   |
|  |  |                                      |                                     | 37,500                                  |
| OUTSTANDING RENEWAL ENTERPRISES INC  | NA   | PC                                   | SEE STATEMENT                       | ,                                       |
| P.O. BOX 20488   |  |                                      |                                     |   |
| NEW YORK, NY 10009   |  |                                      |                                     |   |
|  |  |                                      |                                     |   |
|  |  |                                      |                                     | 20,000                                  |
| OUTSTANDING RENEWAL ENTERPRISES INC  | NA   | PC                                   | SEE STATEMENT                       |   |
| PO BOX 20488   |  |                                      |                                     |   |
| NEW YORK, NY 10009-8972  |  |                                      |                                     |   |
|  |  |                                      |                                     | 75                                      |
| OVE ARUP AND PARTNERS INTERNATIONAL  | NA   | NC                                   | SEE STATEMENT                       | 75                                      |
| LIMITED  |  | NC                                   | SHE STATEMENT                       |   |
| 13 FITZROY STREET  |  |                                      |                                     |   |
| LONDON, UNITED KINGDOM   |  |                                      |                                     |   |
| ,  |  |                                      |                                     | 100,000                                 |
| OVERSEAS DEVELOPMENT INSTITUTE   | NA   | PC                                   | SEE STATEMENT                       | ,                                       |
| 203 BLACKFRIARS ROAD   |  |                                      |                                     |   |
| LONDON, UNITED KINGDOM   |  |                                      |                                     |   |
|  |  |                                      |                                     |   |
|  |  |                                      |                                     | 75,000                                  |
| OVERSEAS DEVELOPMENT INSTITUTE   | NA   | PC                                   | SEE STATEMENT                       |   |
| 203 BLACKFRIARS ROAD   |  |                                      |                                     |   |
| LONDON, UNITED KINGDOM   |  |                                      |                                     |   |
|  |  |                                      |                                     | 0.5 0.00                                |
| OXFAM-AMERICA INC  | NA   | PC                                   |                                     | 25,000                                  |
| 226 CAUSEWAY ST FL 5   |  |                                      | SEE STATEMENT                       |   |
| BOSTON, MA 02114-2155  |  |                                      |                                     |   |
| 200101, 111 02114 2100   |  |                                      |                                     |   |
|  |  |                                      |                                     | 1,545                                   |
| Total from continuation sheets   | -  | •                                    | 1                                   | , |

| 3 Grants and Contributions Paid During the Y | Year (Continuation)                                     | <u>.</u>               |                     |           |
|--|---|------------------------|---------------------|-----------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount    |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount    |
| OXFAM-AMERICA INC                            | NA  | PC                     | SEE STATEMENT       |           |
| 226 CAUSEWAY ST FL 5                         |   |                        |                     |           |
| BOSTON, MA 02114-2155                        |   |                        |                     |           |
|  |   |                        |                     | 42        |
| OXFAM-AMERICA INC.                           | NA  | PC                     | SEE STATEMENT       |           |
| 226 CAUSEWAY STREET, 5TH FLOOR               |   |                        |                     |           |
| BOSTON, MA 02114-2206                        |   |                        |                     |           |
|  |   |                        |                     | 400,000   |
| PACT INSTITUTE, INC.                         | NA  | PC                     | SEE STATEMENT       |           |
| 1828 L STREET NW                             |   |                        |                     |           |
| WASHINGTON, DC 20036                         |   |                        |                     |           |
|  |   |                        |                     | 1,500,000 |
| PAN MASSACHUSETTS CHALLENGE, INC             | NA  | PC                     | SEE STATEMENT       |           |
| 77 4TH AVENUE                                |   |                        |                     |           |
| NEEDHAM, MA 02494                            |   |                        |                     |           |
|  |   |                        |                     | 1,50      |
| PAN MASSACHUSETTS CHALLENGE, INC             | NA  | PC                     | SEE STATEMENT       | 1,00      |
| 77 4TH AVENUE                                |   |                        |                     |           |
| NEEDHAM, MA 02494                            |   |                        |                     |           |
|  |   |                        |                     | 300       |
| PAN MASSACHUSETTS CHALLENGE, INC             | NA  | PC                     | SEE STATEMENT       | 500       |
| 77 4TH AVENUE                                |   |                        |                     |           |
| NEEDHAM, MA 02494                            |   |                        |                     |           |
|  |   |                        |                     | 75        |
| PANAHPUR                                     | NA  | NC                     | SEE STATEMENT       |           |
| 84 HIGH STREET                               |   |                        |                     |           |
| TONBRIDGE, KENT, UNITED KINGDOM TN9          |   |                        |                     |           |
| 1AP  |   |                        |                     | 450,000   |
| PARA SITE ART SPACE LIMITED                  | NA  | NC                     | SEE STATEMENT       |           |
| 22 F, WINGWAH INDUSTRIAL BLDG, 677           |   |                        |                     |           |
| KING'S RD QUARY BAY, HONG KONG               |   |                        |                     |           |
|  |   |                        |                     | 1,000     |
| PARK SLOPE CHILD CARE COLLECTIVE             | NA  | PC                     | SEE STATEMENT       | 1,000     |
| 186 SAINT JOHNS PL                           |   |                        |                     |           |
| BROOKLYN, NY 11217-3406                      |   |                        |                     |           |
|  |   |                        |                     | 600       |
| PARKSIDE SCHOOL                              | NA  | PC                     | SEE STATEMENT       |           |
| 48 W 74TH ST                                 |   |                        |                     |           |
| NEW YORK, NY 10023-2401                      |   |                        |                     |           |
|  |   |                        |                     | 150       |
| Total from continuation sheets               | 1   | •                      | 1                   |           |

|   | ELLER FOUNDATION   |                                      | 13-165                           | 9029     |
|---|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Informatio                                |  |                                      |                                  |          |
| 3 Grants and Contributions Paid During the                      |  | 1                                    |                                  |          |
| Recipient<br>Name and address (home or business)                | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| PARTNERS ASIA   | NA   | PC                                   | SEE STATEMENT                    |          |
| 436 14TH STREET STE 700   |  |                                      |                                  |          |
| OAKLAND, CA 94612-2709  |  |                                      |                                  |          |
|   |  |                                      |                                  | 3,000.   |
| PARTNERS FOR A BETTER EDUCATION INC                             | NA   | PC                                   | SEE STATEMENT                    |          |
| 200 N BROADWAY 3RD FL   |  |                                      |                                  |          |
| TARRYTOWN, NY 10591-2624  |  |                                      |                                  |          |
|   |  | 20                                   |                                  | 2,250.   |
| PARTNERS FOR A BETTER EDUCATION INC                             | NA   | PC                                   | SEE STATEMENT                    |          |
| 200 N BROADWAY 3RD FL<br>TARRYTOWN, NY 10591-2624               |  |                                      |                                  |          |
| IMATIONA, NI 10351 2024   |  |                                      |                                  |          |
|   |  |                                      |                                  | 1,500.   |
| PARTNERS IN HEALTH (PIH)  | NA   | PC                                   | SEE STATEMENT                    |          |
| 800 BOYLSTON ST, SUITE 300<br>BOSTON, MA 02199-8190             |  |                                      |                                  |          |
| boston, mi ozros orso   |  |                                      |                                  |          |
|   |  |                                      |                                  | 750.     |
| PARTNERSHIP FOR PUBLIC SERVICE, INC.                            | NA   | PC                                   | SEE STATEMENT                    |          |
| 1100 NEW YORK AVENUE NW SUITE 1090<br>EAST WASHINGTON, DC 20005 |  |                                      |                                  |          |
| EAST WASHINGTON, DC 20003                                       |  |                                      |                                  |          |
|   |  |                                      |                                  | 250,000. |
| РАТН  | NA   | PC                                   | SEE STATEMENT                    |          |
| 2201 WESTLAKE AVE, SUITE 200                                    |  |                                      |                                  |          |
| SEATTLE, WA 98121   |  |                                      |                                  |          |
|   |  |                                      |                                  | 500,000. |
| РАТН  | NA   | PC                                   | SEE STATEMENT                    |          |
| 2201 WESTLAKE AVE, SUITE 200                                    |  |                                      |                                  |          |
| SEATTLE, WA 98121   |  |                                      |                                  |          |
|   |  |                                      |                                  | 350,000. |
| PEAK GRANTMAKING  | NA   | PC                                   | SEE STATEMENT                    | , ,      |
| 1666 K STREET, NW, SUITE 440                                    |  |                                      |                                  |          |
| WASHINGTON, DC 20006  |  |                                      |                                  |          |
|   |  |                                      |                                  | 10 000   |
| PEN AMERICAN CENTER, INC.                                       | NA   | PC                                   | SEE STATEMENT                    | 10,000.  |
| 588 BROADWAY  |  |                                      |                                  |          |
| NEW YORK, NY 10012  |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| PENNINGTON SCHOOL PARENT TEACHER                                | NA   | PC                                   | SEE STATEMENT                    | , ,      |
| ORGANIZATION  |  |                                      |                                  |          |
| 9305 STONEWALL RD   |  |                                      |                                  |          |
| MANASSAS, VA 20110-2500   |  |                                      |                                  | 150.     |
| Total from continuation sheets                                  | 1  | 1                                    |                                  | 130.     |

| 3 Grants and Contributions Paid During the Ye | ear (Continuation)                                      | <u>.</u>               |                     |        |
|---|---|------------------------|---------------------|--------|
| Recipient                                     | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Anount |
| PENNINGTON SCHOOL                             | NA  | PC                     | SEE STATEMENT       |        |
| 112 W DELAWARE AVE                            |   |                        |                     |        |
| PENNINGTON, NJ 08534-1601                     |   |                        |                     |        |
|   |   |                        |                     | 12 00  |
| PER SCHOLAS, INC.                             | NA  | PC                     | SEE STATEMENT       | 12,000 |
| 804 EAST 138TH STREET, 2ND FLOOR              |   |                        |                     |        |
| BRONX, NY 10454                               |   |                        |                     |        |
|   |   |                        |                     |        |
| PERSON TO PERSON INC                          | NA  | PC                     | SEE STATEMENT       | 770    |
| 1864 POST RD                                  |   |                        |                     |        |
| DARIEN, CT 06820-5802                         |   |                        |                     |        |
|   |   |                        |                     |        |
| DEDGON TO DEDGON THO                          | NA  | DC                     | SEE STATEMENT       | 3,000  |
| PERSON TO PERSON INC<br>1864 POST RD          | NA  | PC                     | SEE STATEMENT       |        |
| DARIEN, CT 06820-5802                         |   |                        |                     |        |
| JARIEN, CT 06820-5802                         |   |                        |                     |        |
|   |   |                        |                     | 3,000  |
| PET ANIMAL WELFARE SOCIETY OF                 | NA  | PC                     | SEE STATEMENT       |        |
| CONNECTICUT (PAWS)                            |   |                        |                     |        |
| 504 MAIN AVENUE                               |   |                        |                     |        |
| NORWALK, CT 06851                             |   |                        |                     | 750    |
| PETS ARE WONDERFUL SUPPORT INC                | NA  | PC                     | SEE STATEMENT       | ,,,,,  |
| 134 W 29TH ST RM 802                          |   |                        |                     |        |
| NEW YORK, NY 10001-0157                       |   |                        |                     |        |
|   |   |                        |                     | 300    |
| PHI BETA KAPPA SOCIETY                        | NA  | PC                     | SEE STATEMENT       |        |
| 1606 NEW HAMPSHIRE AVE NW                     |   |                        |                     |        |
| WASHINGTON, DC 20009-2512                     |   |                        |                     |        |
|   |   |                        |                     |        |
| PHILANTHROPY NEW YORK INC                     | NA  | PC                     | SEE STATEMENT       | 132    |
| 320 EAST 43RD STREET                          |   |                        |                     |        |
| NEW YORK, NY 10017                            |   |                        |                     |        |
|   |   |                        |                     |        |
| PHILANTHROPY NEW YORK INC                     | NA  | PC                     |                     | 1,500  |
| 320 EAST 43RD STREET                          |   |                        | SEE STATEMENT       |        |
| NEW YORK, NY 10017                            |   |                        |                     |        |
| ,   |   |                        |                     |        |
|   |   |                        |                     | 9(     |
| PHILANTHROPY NEW YORK, INC.                   | NA  | PC                     | SEE STATEMENT       |        |
| 1500 BROADWAY, 7TH FLOOR FOURTH FLOOR         |   |                        |                     |        |
| NEW YORK, NY 10036                            |   |                        |                     |        |
|   |   |                        |                     | 40,000 |
| Total from continuation sheets                | 1   | 1                      | 1                   | , ,    |

| Part XV Supplementary Information                  | FELLER FOUNDATION  |                                      | 13-165                           | 5025   |
|--|--|--------------------------------------|----------------------------------|--------|
|  |  |                                      |                                  |        |
| 3 Grants and Contributions Paid During the         | If recipient is an individual,   |                                      |                                  |        |
| Recipient Name and address (home or business)      | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount |
| PHYSICIANS FOR REPRODUCTIVE HEALTH                 | NA   | PC                                   | SEE STATEMENT                    |        |
| INC  |  |                                      |                                  |        |
| 1430 BROADWAY, SUITE 1614                          |  |                                      |                                  |        |
| NEW YORK, NY 10018                                 |  |                                      |                                  |        |
|  |  |                                      |                                  | 6,000  |
| PHYSICIANS FOR REPRODUCTIVE HEALTH                 | NA   | PC                                   | SEE STATEMENT                    |        |
| INC  |  |                                      |                                  |        |
| 1430 BROADWAY, SUITE 1614<br>NEW YORK, NY 10018    |  |                                      |                                  |        |
| NEW TORR, NI TUUTU                                 |  |                                      |                                  | 4,200, |
| PIONEER ELEMENTARY SCHOOL                          | NA   | PC                                   | SEE STATEMENT                    | _,     |
| 32737 BEL AIRE STREET                              |  |                                      |                                  |        |
| UNION CITY, CA 94587                               |  |                                      |                                  |        |
|  |  |                                      |                                  |        |
|  |  |                                      |                                  | 1,500. |
| PLANNED PARENTHOOD FEDERATION OF                   | NA   | PC                                   | SEE STATEMENT                    |        |
| AMERICA  |  |                                      |                                  |        |
| 123 WILLIAM STREET, 10TH FL.<br>NEW YORK, NY 10038 |  |                                      |                                  |        |
| NEW TORR, NI 10050                                 |  |                                      |                                  | 1,500. |
| PLANNED PARENTHOOD FEDERATION OF                   | NA   | PC                                   | SEE STATEMENT                    |        |
| AMERICA  |  |                                      |                                  |        |
| 123 WILLIAM STREET, 10TH FL.                       |  |                                      |                                  |        |
| NEW YORK, NY 10038                                 |  |                                      |                                  |        |
|  |  |                                      |                                  | 1,500. |
| PLANNED PARENTHOOD FEDERATION OF                   | NA   | PC                                   | SEE STATEMENT                    |        |
| AMERICA<br>123 WILLIAM STREET, 10TH FL.            |  |                                      |                                  |        |
| NEW YORK, NY 10038                                 |  |                                      |                                  |        |
|  |  |                                      |                                  | 1,176. |
| PLANNED PARENTHOOD FEDERATION OF                   | NA   | PC                                   | SEE STATEMENT                    | ,      |
| AMERICA  |  |                                      |                                  |        |
| 123 WILLIAM STREET, 10TH FL.                       |  |                                      |                                  |        |
| NEW YORK, NY 10038                                 |  |                                      |                                  |        |
|  |  |                                      |                                  | 1,000. |
| PLANNED PARENTHOOD FEDERATION OF<br>AMERICA        | NA   | PC                                   | SEE STATEMENT                    |        |
| 123 WILLIAM STREET, 10TH FL.                       |  |                                      |                                  |        |
| NEW YORK, NY 10038                                 |  |                                      |                                  |        |
| ,  |  |                                      |                                  | 750.   |
| PLANNED PARENTHOOD FEDERATION OF                   | NA   | PC                                   | SEE STATEMENT                    |        |
| AMERICA  |  |                                      |                                  |        |
| 123 WILLIAM STREET, 10TH FL.                       |  |                                      |                                  |        |
| NEW YORK, NY 10038                                 |  |                                      |                                  |        |
|  |  | D.C.                                 |                                  | 338.   |
| PLANNED PARENTHOOD FEDERATION OF<br>AMERICA        | NA   | PC                                   | SEE STATEMENT                    |        |
| AMERICA<br>123 WILLIAM STREET, 10TH FL.            |  |                                      |                                  |        |
| NEW YORK, NY 10038                                 |  |                                      |                                  |        |
| ,  |  |                                      |                                  | 150    |
| Total from continuation sheets                     | •  | •                                    | •                                |        |

Total from continuation sheets ....

|  | ELLER FOUNDATION   |                         | 13-165                           | 9029       |
|--|--|-------------------------|----------------------------------|------------|
| Part XV Supplementary Information                |  |                         |                                  | 1          |
| 3 Grants and Contributions Paid During the       |  | 1                       |                                  |            |
| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount     |
| · · ·  | or substantial contributor   | recipient               |                                  |            |
| PLANNED PARENTHOOD FEDERATION OF                 | NA   | PC                      | SEE STATEMENT                    |            |
| AMERICA  |  |                         |                                  |            |
| L23 WILLIAM STREET, 10TH FL.                     |  |                         |                                  |            |
| NEW YORK, NY 10038                               |  |                         |                                  | <b>C</b> 0 |
|  | NA   | PC                      | SEE STATEMENT                    | 60.        |
| PLANNED PARENTHOOD FEDERATION OF<br>AMERICA      | NA   | FC                      | SEE STATEMENT                    |            |
| 123 WILLIAM STREET, 10TH FL.                     |  |                         |                                  |            |
| NEW YORK, NY 10038                               |  |                         |                                  |            |
| NEW TORR, NI TOUSO                               |  |                         |                                  | 60.        |
| PLANNED PARENTHOOD OF NEW YORK CITY              | NA   | PC                      | SEE STATEMENT                    |            |
| INC  |  |                         |                                  |            |
| 26 BLEECKER ST                                   |  |                         |                                  |            |
| NEW YORK, NY 10012-2413                          |  |                         |                                  |            |
|  |  |                         |                                  | 75.        |
| POLYPHONY FOUNDATION                             | NA   | PC                      | SEE STATEMENT                    |            |
| 99 RIVER RD                                      |  |                         |                                  |            |
| COS COB, CT 06807-2514                           |  |                         |                                  |            |
| ,  |  |                         |                                  |            |
|  |  |                         |                                  | 250.       |
| PORT WASHINGTON FIRE DEPARTMENT INC              | NA   | PC                      | SEE STATEMENT                    |            |
| 423 PORT WASHINGTON BLVD                         |  |                         |                                  |            |
| PORT WASHINTON, NY 11050-4202                    |  |                         |                                  |            |
|  |  |                         |                                  |            |
|  |  |                         |                                  | 750.       |
| PORT WASHINGTON LIBRARY FOUNDATION               | NA   | PC                      | SEE STATEMENT                    |            |
| INC  |  |                         |                                  |            |
| 1 LIBRARY DR                                     |  |                         |                                  |            |
| PORT WASHINGTON, NY 11050-2741                   |  |                         |                                  |            |
|  |  |                         |                                  | 300.       |
| POST ROAD FOUNDATION                             | NA   | PC                      | SEE STATEMENT                    |            |
| 1935 ADDISON STREET SUITE A                      |  |                         |                                  |            |
| BERKELEY, CA 94704                               |  |                         |                                  |            |
|  |  |                         |                                  | 260.000    |
| POUND ANIMAL WELFARE SOCIETY OF                  | NA   | PC                      | SEE STATEMENT                    | 360,000.   |
| MONTCLAIR INC                                    | NA   | FC                      | SEE STATEMENT                    |            |
| PO BOX 149                                       |  |                         |                                  |            |
| MONTCLAIR, NJ 07042-0149                         |  |                         |                                  |            |
| 101101111, 10 07012 0119                         |  |                         |                                  | 300.       |
| POWER FOR ALL                                    | NA   | PC                      | SEE STATEMENT                    |            |
| 1012 TORNEY AVE                                  |  |                         |                                  |            |
| SAN FRANCISCO, CA 94129                          |  |                         |                                  |            |
| ·  |  |                         |                                  |            |
|  |  |                         |                                  | 550,000.   |
| POWER FOR ALL                                    | NA   | PC                      | SEE STATEMENT                    | ,          |
| 1012 TORNEY AVE                                  |  |                         |                                  |            |
| SAN FRANCISCO, CA 94129                          |  |                         |                                  |            |
|  |  |                         |                                  |            |
|  |  |                         |                                  | 250,000.   |
| Total from continuation sheets                   |  |                         |                                  |            |

|   | FELLER FOUNDATION  |                                      | 13-165                           | 9629       |
|---|--|--------------------------------------|----------------------------------|------------|
| Part XV Supplementary Informatio              |  |                                      |                                  |            |
| 3 Grants and Contributions Paid During the    |  | 1                                    |                                  |            |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount     |
| PREGONES PUERTO RICAN TRAVELING               | NA   | PC                                   | SEE STATEMENT                    |            |
| THEATER INC                                   |  |                                      |                                  |            |
| 571 WALTON AVE 575                            |  |                                      |                                  |            |
| BRONX, NY 10451-5237                          |  |                                      |                                  |            |
| PRESBYTERIAN CHURCH OF UPPER                  | NA   | PC                                   | SEE STATEMENT                    | 75.        |
| MONTCLAIR                                     |  | 10                                   |                                  |            |
| 53 NORWOOD AVE                                |  |                                      |                                  |            |
| MONTCLAIR, NJ 07043                           |  |                                      |                                  |            |
|   |  |                                      |                                  | 7,380.     |
| PRESIDENT AND FELLOWS OF HARVARD              | NA   | PC                                   | SEE STATEMENT                    |            |
| COLLEGE                                       |  |                                      |                                  |            |
| 1350 MASSACHUSETTS AVENUE                     |  |                                      |                                  |            |
| MASSACHUSETTS HALL CAMBRIDGE, MA              |  |                                      |                                  |            |
| 02138   |  |                                      |                                  | 1,438,308. |
| PRESIDENT AND FELLOWS OF HARVARD              | NA   | PC                                   | SEE STATEMENT                    |            |
| COLLEGE                                       |  |                                      |                                  |            |
| 1350 MASSACHUSETTS AVENUE                     |  |                                      |                                  |            |
| MASSACHUSETTS HALL CAMBRIDGE, MA              |  |                                      |                                  |            |
| 02138   |  |                                      |                                  | 300,000.   |
| PRESIDENT AND FELLOWS OF HARVARD              | NA   | PC                                   | SEE STATEMENT                    |            |
| COLLEGE                                       |  |                                      |                                  |            |
| 1350 MASSACHUSETTS AVENUE                     |  |                                      |                                  |            |
| MASSACHUSETTS HALL CAMBRIDGE, MA              |  |                                      |                                  |            |
| 02138   |  |                                      |                                  | 130,000.   |
| PRI ASSOCIATION                               | NA   | PC                                   | SEE STATEMENT                    |            |
| 25 CAMPERDOWN STREET 5TH FLOOR                |  |                                      |                                  |            |
| LONDON, UNITED KINGDOM                        |  |                                      |                                  |            |
|   |  |                                      |                                  | 100,040.   |
| PRINCESS GRACE FOUNDATION                     | NA   | PC                                   | SEE STATEMENT                    | 100,040.   |
| 150 EAST 58TH STREET 25TH FLOOR               | [  |                                      |                                  |            |
| NEW YORK, NY 10155                            |  |                                      |                                  |            |
| ,,  |  |                                      |                                  |            |
|   |  |                                      |                                  | 250.       |
| PRINCETON DAY SCHOOL INC                      | NA   | PC                                   | SEE STATEMENT                    |            |
| PO BOX 75                                     |  |                                      |                                  |            |
| PRINCETON, NJ 08542-0075                      |  |                                      |                                  |            |
|   |  |                                      |                                  |            |
| PRINCETON PROJECT 55 INC                      | NA   | PC                                   | SEE STATEMENT                    | 150.       |
| 12 STOCKTON ST                                |  |                                      | SEE STATEMENT                    |            |
| PRINCETON, NJ 08540-6813                      |  |                                      |                                  |            |
| TATASTON, NO COSTO COTS                       |  |                                      |                                  |            |
|   |  |                                      |                                  | 3,000.     |
| PRINCETON PROJECT 55 INC                      | NA   | PC                                   | SEE STATEMENT                    | ,          |
| 12 STOCKTON ST                                |  |                                      |                                  |            |
| PRINCETON, NJ 08540-6813                      |  |                                      |                                  |            |
|   |  |                                      |                                  |            |
|   |  |                                      |                                  | 3,000.     |
| Total from continuation sheets                |  |                                      |                                  |            |

| Part XV         Supplementary Informatio           3         Grants and Contributions Paid During the |  |                        |                                     |           |
|---|--|------------------------|-------------------------------------|-----------|
| Recipient   | If recipient is an individual,   | Foundation             | Durnoss of grant or                 |           |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
| PRINCETON PROJECT 55 INC  | NA   | PC                     | SEE STATEMENT                       |           |
| 12 STOCKTON ST  |  |                        |                                     |           |
| PRINCETON, NY 08540-6813  |  |                        |                                     |           |
|   |  |                        |                                     | 3,165     |
| PRINCETON UNIVERSITY  | NA   | PC                     | SEE STATEMENT                       | ,         |
| 330 ALEXANDER STREET PO BOX 5357  |  |                        |                                     |           |
| PRINCETON, NJ 08543-5357  |  |                        |                                     |           |
|   |  |                        |                                     | 605       |
| PRO PUBLICA INC   | NA   | PC                     | SEE STATEMENT                       |           |
| 155 AVENUE OF THE AMERICAS  |  |                        |                                     |           |
| NEW YORK, NY 10013  |  |                        |                                     |           |
|   |  |                        |                                     | 300       |
| PROJECT OF EASTON INC   | NA   | PC                     | SEE STATEMENT                       |           |
| 320 FERRY ST  |  |                        |                                     |           |
| EASTON, PA 18042-4539   |  |                        |                                     |           |
|   |  |                        |                                     | 1,500     |
| PROJECT QUEST, INC.   | NA   | PC                     | SEE STATEMENT                       |           |
| 515 SW 24TH STREET, SUITE 201   |  |                        |                                     |           |
| SAN ANTONIO, TX 78207   |  |                        |                                     |           |
|   |  |                        |                                     | 1,000,000 |
| PROJECT SUNSHINE INC  | NA   | PC                     | SEE STATEMENT                       |           |
| 211 EAST 43RD STREET  |  |                        |                                     |           |
| NEW YORK, NY 10017  |  |                        |                                     |           |
|   |  |                        |                                     | 3,000     |
| PROSPECT PARK ALLIANCE  | NA   | PC                     | SEE STATEMENT                       |           |
| 95 PROSPECT PARK W  |  |                        |                                     |           |
| BROOKLYN, NY 11215-3709   |  |                        |                                     |           |
|   |  |                        |                                     | 1,550     |
| PROVIDING OPPORTUNITIES FOR   | NA   | PC                     | SEE STATEMENT                       |           |
| INDEPENDENCE AND SOCIAL EXPERIENCE  |  |                        |                                     |           |
| 314 BRYN MAWR AVE   |  |                        |                                     |           |
| BALA CYNWYD, PA 19004-2822  |  |                        |                                     | 600       |
| PROVIDING OPPORTUNITIES FOR   | NA   | PC                     | SEE STATEMENT                       | 300       |
| INDEPENDENCE AND SOCIAL EXPERIENCE  |  |                        |                                     |           |
| 314 BRYN MAWR AVE   |  |                        |                                     |           |
| BALA CYNWYD, PA 19004-2822  |  |                        |                                     | 300       |
| PUBLISEZ, INC.  | NA   | NC                     | SEE STATEMENT                       | 500       |
| 27 PARK PLACE   |  |                        |                                     |           |
| BROOKLYN, NY 11217  |  |                        |                                     |           |
|   |  |                        |                                     | 257,500   |
| Total from continuation sheets  |  | 1                      | 1                                   | , ,       |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                                      |                                  |         |
|---|--|--------------------------------------|----------------------------------|---------|
| Recipient   | If recipient is an individual,   |                                      |                                  |         |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount  |
| PULITZER CENTER ON CRISIS REPORTING   | NA   | PC                                   | SEE STATEMENT                    |         |
| 1779 MASSACHUSETTS AVENUE, NW SUITE   |  |                                      |                                  |         |
| 615 WASHINGTON, DC 20036  |  |                                      |                                  |         |
| ,   |  |                                      |                                  |         |
|   |  |                                      |                                  | 274,096 |
| PULITZER CENTER ON CRISIS REPORTING   | NA   | PC                                   | SEE STATEMENT                    |         |
| 1779 MASSACHUSETTS AVENUE, NW SUITE   |  |                                      |                                  |         |
| 615 WASHINGTON, DC 20036  |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 250,000 |
| PURDUE UNIVERSITY   | NA   | PC                                   | SEE STATEMENT                    |         |
| HOVDE HALL, ROOM 200 610 PURDUE MALL  |  |                                      |                                  |         |
| WEST LAFAYETTE, IN 47907  |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 250,000 |
| PUSHKIN INDUSTRIES, INC.  | NA   | NC                                   | SEE STATEMENT                    |         |
| CO JACOB WEISBERG 142 DUANE STREET, 27                                      |  |                                      |                                  |         |
| NEW YORK, NY 10013  |  |                                      |                                  |         |
|   |  |                                      |                                  | 750 000 |
| PYMETRICS, INC.   | NA   | NC                                   | SEE STATEMENT                    | 750,000 |
| 79 MADISON AVENUE   |  |                                      |                                  |         |
| NEW YORK, NY 10016  |  |                                      |                                  |         |
| ,   |  |                                      |                                  |         |
|   |  |                                      |                                  | 50,000  |
| PYXERA GLOBAL INC.  | NA   | PC                                   | SEE STATEMENT                    |         |
| 1030 15TH STREET NW, SUITE 730 EAST   |  |                                      |                                  |         |
| WASHINGTON, DC 20005  |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 900,000 |
| QUANTIFIED VENTURES LLC   | NA   | NC                                   | SEE STATEMENT                    |         |
| 1875 CONNECTICUT AVENUE NW 10TH FLOOR                                       |  |                                      |                                  |         |
| WASHINGTON, DC 20009  |  |                                      |                                  |         |
|   |  |                                      |                                  | 62,500  |
| RAILS TO TRAILS CONSERVANCY   | NA   | PC                                   | SEE STATEMENT                    | 02,000  |
| 2121 WARD CT NW FL 5  |  |                                      |                                  |         |
| WASHINGTON, DC 20037-1251   |  |                                      |                                  |         |
| ,   |  |                                      |                                  |         |
|   |  |                                      |                                  | 300     |
| RASHA Z. SALTI  | NONE   | I                                    | SEE STATEMENT                    |         |
| 19 EAST 54TH ST   |  |                                      |                                  |         |
| NEW YORK, NY 10022  |  |                                      |                                  |         |
|   |  |                                      |                                  |         |
|   |  |                                      |                                  | 1,000   |
| RED DE FUNDACIONES DE PUERTO RICO INC                                       | NA   | PC                                   | SEE STATEMENT                    |         |
| P.O. BOX 362408   |  |                                      |                                  |         |
| SAN JUAN, PR 00936-2408   |  |                                      |                                  |         |
|   |  |                                      |                                  | 500 000 |
| Total from continuation sheets  |  |                                      | 1                                | 500,000 |

18221107 756359 1621570.011

|  | ELLER FOUNDATION  |                        | 13-165              | 9629     |
|--|---|------------------------|---------------------|----------|
| Part XV Supplementary Information            |   |                        |                     |          |
| 3 Grants and Contributions Paid During the Y |   |                        |                     |          |
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount   |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount   |
| REFUGEE AND IMMIGRANT CENTER FOR             | NA  | PC                     | SEE STATEMENT       |          |
| EDUCATION AND LEGAL SERVICES (RAICES)        |   |                        |                     |          |
| 1305 N. FLORES STREET                        |   |                        |                     |          |
| SAN ANTONIO, TX 78212                        |   |                        |                     |          |
| REFUGEE AND IMMIGRANT CENTER FOR             | NA  | PC                     | SEE STATEMENT       | 4,605.   |
| EDUCATION AND LEGAL SERVICES (RAICES)        |   |                        |                     |          |
| 1305 N. FLORES STREET                        |   |                        |                     |          |
| SAN ANTONIO, TX 78212                        |   |                        |                     |          |
|  |   |                        |                     | 300.     |
| REFUGEE AND IMMIGRANT CENTER FOR             | NA  | PC                     | SEE STATEMENT       |          |
| EDUCATION AND LEGAL SERVICES (RAICES)        |   |                        |                     |          |
| 1305 N. FLORES STREET                        |   |                        |                     |          |
| SAN ANTONIO, TX 78212                        |   |                        |                     | 200      |
| REFUGEE AND IMMIGRANT CENTER FOR             | NA  | PC                     | SEE STATEMENT       | 300.     |
| EDUCATION AND LEGAL SERVICES (RAICES)        |   |                        |                     |          |
| 1305 N. FLORES STREET                        |   |                        |                     |          |
| SAN ANTONIO, TX 78212                        |   |                        |                     |          |
| ,  |   |                        |                     | 300.     |
| REFUGEE AND IMMIGRANT CENTER FOR             | NA  | PC                     | SEE STATEMENT       |          |
| EDUCATION AND LEGAL SERVICES (RAICES)        |   |                        |                     |          |
| 1305 N. FLORES STREET                        |   |                        |                     |          |
| SAN ANTONIO, TX 78212                        |   |                        |                     |          |
| REFUGEE AND IMMIGRANT CENTER FOR             | NA  | PC                     | SEE STATEMENT       | 75.      |
| EDUCATION ANDLEGAL SERVICES (RAICES)         |   |                        |                     |          |
| 1305 N. FLORES STREET                        |   |                        |                     |          |
| SAN ANTONIO, TX 78212                        |   |                        |                     |          |
|  |   |                        |                     | 60.      |
| REFUGEES INTERNATIONAL                       | NA  | PC                     | SEE STATEMENT       |          |
| 2001 S STREET, NW                            |   |                        |                     |          |
| WASHINGTON, DC 20009-1165                    |   |                        |                     |          |
|  |   |                        |                     | 750.     |
| RENEWABLE ENERGY AND ENERGY                  | NA  | PC                     | SEE STATEMENT       |          |
| EFFICIENCY PARTNERSHIP                       |   |                        |                     |          |
| WAGRAMERSTRASSE 5, VIENNA                    |   |                        |                     |          |
| INTERNATIONAL CENTER D2169 A-1400            |   |                        |                     |          |
| VIENNA, AUSTRIA                              |   |                        |                     | 26,672.  |
| RESEARCH FOUNDATION OF THE CITY              | NA  | PC                     | SEE STATEMENT       |          |
| UNIVERSITY OF NEW YORK                       |   |                        |                     |          |
| 230 WEST 41ST STREET                         |   |                        |                     |          |
| NEW YORK, NY 10036                           |   |                        |                     | 500 000  |
| RESEARCH FOUNDATION OF THE CITY              | NA  | PC                     | SEE STATEMENT       | 500,000. |
| UNIVERSITY OF NEW YORK                       |   |                        |                     |          |
| 230 WEST 41ST STREET                         |   |                        |                     |          |
| NEW YORK, NY 10036                           |   |                        |                     |          |
|  |   |                        |                     | 100,000. |
| Total from continuation sheets               |   |                        |                     |          |

| ation)<br>is an individual,<br>relationship to<br>fation manager<br>status of<br>rtial contributor |  |   |
|--|--|---|
| is an individual,<br>relationship to<br>lation manager status of                                   |  |   |
| relationship to Foundation<br>lation manager status of   |  |   |
|  |  | Amount  |
| PC   | IN SUPPORT OF  |   |
|  | CONDUCTING AN IMPACT   |   |
|  | EVALUATION OF THE  |   |
|  | FOUNDATIONS YIELDWISE  |   |
|  | INITIATIVE   | 125,000   |
| NC   | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  | 1,749,980.  |
| PC   | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  | 150,000.  |
| PC   | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  | 70 000  |
| PC   | CEE CUTTEMENT  | 70,000  |
| FC   | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  | 25,000.   |
| NC   | SEE STATEMENT  | , ,   |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  | 40,000.   |
| PC   | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  | 3,000.  |
| POF  | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  | 226 240   |
|  |  | 226,240.  |
|  |  |   |
| PC   | IN-KIND CONTRIBUTION   |   |
|  | FOR THE 100 RESILIENT  |   |
|  | CITIES PROJECT   | 269,129.  |
| PC   | SEE STATEMENT  |   |
|  |  |   |
|  |  |   |
|  |  |   |
|  |  | 22,000,000.   |
| -  | PC           PC | INITIATIVE         NC       SEE STATEMENT         PC       SEE STATEMENT |

|  | FELLER FOUNDATION  |                        | 13-165              | 9629     |
|--|--|------------------------|---------------------|----------|
| Part XV Supplementary Informatio                   |  |                        |                     | 1        |
| 3 Grants and Contributions Paid During the         |  | 1                      |                     |          |
| Recipient  | If recipient is an individual,<br>show any relationship to | Foundation             | Purpose of grant or |          |
| Name and address (home or business)                | any foundation manager<br>or substantial contributor       | status of<br>recipient | contribution        | Amount   |
| ROCKEFELLER PHILANTHROPY ADVISORS,                 | NA   | PC                     | SEE STATEMENT       |          |
| INC.   |  |                        |                     |          |
| 6 WEST 48TH STREET 10TH FLOOR                      |  |                        |                     |          |
| NEW YORK, NY 10036                                 |  |                        |                     | 700 750  |
| ROCKEFELLER PHILANTHROPY ADVISORS,                 | NA   | PC                     | SEE STATEMENT       | 722,750. |
| INC.   |  | 10                     |                     |          |
| 6 WEST 48TH STREET 10TH FLOOR                      |  |                        |                     |          |
| NEW YORK, NY 10036                                 |  |                        |                     |          |
|  |  |                        |                     | 250,000. |
| ROCKEFELLER PHILANTHROPY ADVISORS,                 | NA   | PC                     | SEE STATEMENT       |          |
| INC.   |  |                        |                     |          |
| 6 WEST 48TH STREET 10TH FLOOR                      |  |                        |                     |          |
| NEW YORK, NY 10036                                 |  |                        |                     |          |
| ROCKY MOUNTAIN INSTITUTE                           | NA   | DC                     | SEE STATEMENT       | 10,000.  |
| 1820 FOLSOM STREET                                 | NA   | PC                     | SEE STATEMENT       |          |
|  |  |                        |                     |          |
| BOULDER, CO 80302-5703                             |  |                        |                     |          |
|  |  |                        |                     | 650,000. |
| RSG NEWCO, LLC                                     | NA   | NC                     | SEE STATEMENT       |          |
| 3223 ARAPAHOE AVENUE, SUITE 210                    |  |                        |                     |          |
| BOULDER, CO 80303                                  |  |                        |                     |          |
|  |  |                        |                     |          |
|  |  |                        |                     | 496,834. |
| RURAL DEVELOPMENT INSTITUTE                        | NA   | PC                     | SEE STATEMENT       |          |
| 1424 FOURTH AVE. SUITE 300                         |  |                        |                     |          |
| SEATTLE, WA 98101                                  |  |                        |                     |          |
|  |  |                        |                     | 20,797.  |
| SADIE NASH LEADERSHIP PROJECT INC                  | NA   | PC                     | SEE STATEMENT       | ,        |
| 4 W 43RD ST, SUITE 502                             |  |                        |                     |          |
| NEW YORK, NY 10036-7408                            |  |                        |                     |          |
|  |  |                        |                     |          |
| SADIE NASH LEADERSHIP PROJECT INC                  |  | Da                     |                     | 500.     |
|  | NA   | PC                     | SEE STATEMENT       |          |
| 4 W 43RD ST, SUITE 502                             |  |                        |                     |          |
| NEW YORK, NY 10036-7408                            |  |                        |                     |          |
|  |  |                        |                     | 60.      |
| SAFE PASSAGE PROJECT CORPORATION                   | NA   | PC                     | SEE STATEMENT       |          |
| 185 W BROADWAY                                     |  |                        |                     |          |
| NEW YORK, NY 10013-2921                            |  |                        |                     |          |
|  |  |                        |                     | 15.000   |
| SIFE DISCICE DECTECT CODDODATION                   | NI 2   | PC                     | GFF GTATEMENT       | 15,000.  |
| SAFE PASSAGE PROJECT CORPORATION<br>185 W BROADWAY | NA   |                        | SEE STATEMENT       |          |
| NEW YORK, NY 10013-2921                            |  |                        |                     |          |
|  |  |                        |                     |          |
|  |  |                        |                     | 15,000.  |
| Total from continuation sheets                     |  |                        |                     |          |

| 3 Grants and Contributions Paid During the Y                               | ear (Continuation)                                      |                        |                     |        |
|--|---|------------------------|---------------------|--------|
| Recipient  | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or |        |
| Name and address (home or business)  | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount |
| SAFE PASSAGE PROJECT CORPORATION   | NA  | PC                     | SEE STATEMENT       |        |
| .85 W BROADWAY   |   |                        |                     |        |
| IEW YORK, NY 10013-2921  |   |                        |                     |        |
|  |   |                        |                     | 1,500  |
| SAFE PASSAGE PROJECT CORPORATION   | NA  | PC                     | SEE STATEMENT       | ,      |
| 185 W BROADWAY   |   |                        |                     |        |
| NEW YORK, NY 10013-2921  |   |                        |                     |        |
|  |   |                        |                     | 300    |
| SAFE PASSAGE PROJECT CORPORATION   | NA  | PC                     | SEE STATEMENT       |        |
| 185 W BROADWAY   |   |                        |                     |        |
| NEW YORK, NY 10013-2921  |   |                        |                     |        |
|  |   |                        |                     | 225    |
| SAFE PASSAGE PROJECT CORPORATION   | NA  | PC                     | SEE STATEMENT       |        |
| 185 W BROADWAY   |   |                        |                     |        |
| NEW YORK, NY 10013-2921  |   |                        |                     |        |
|  |   |                        |                     | 150    |
| SAFE PASSAGE PROJECT CORPORATION   | NA  | PC                     | SEE STATEMENT       |        |
| 185 W BROADWAY   |   |                        |                     |        |
| NEW YORK, NY 10013-2921  |   |                        |                     |        |
|  |   |                        |                     | 75     |
| SAHA DERNEGI   | NONE  | I                      | SEE STATEMENT       |        |
| SAHKULU MAH, SANKAPISI SOK NO 10   |   |                        |                     |        |
| GALATA, ISTANBUL, TURKEY 34420   |   |                        |                     |        |
|  |   |                        |                     | 1,000  |
| SAMDANI ART FOUNDATION   | NA  | NC                     | SEE STATEMENT       |        |
| LEVEL 5, STE 501-502, SHANTA WESTERN                                       |   |                        |                     |        |
| FOWER, 186, GULSHAN-TEJGAON LINK RD<br>FEJGAON I A, DHAKA, BANGLADESH 1208 |   |                        |                     |        |
| TEUGRON I A, DHARA, BANGLADESH 1200  |   |                        |                     | 1,000  |
| SAMHITA SOCIAL VENTURES PRIVATE  | NA  | NC                     | SEE STATEMENT       |        |
| LIMITED  |   |                        |                     |        |
| 502, ATLANTA CENTRE SONAWALA CROSS   |   |                        |                     |        |
| LANE MUMBAI, INDIA   |   |                        |                     | 10,000 |
| SAN FRANCISCO AIDS FOUNDATION  | NA  | PC                     | SEE STATEMENT       |        |
| 1035 MARKET ST STE 400   |   |                        |                     |        |
| SAN FRANCISCO, CA 94103  |   |                        |                     |        |
|  |   |                        |                     | 300    |
| SAN FRANCISCO AIDS FOUNDATION  | NA  | PC                     | SEE STATEMENT       |        |
| 1035 MARKET ST STE 400   |   |                        |                     |        |
| SAN FRANCISCO, CA 94103  |   |                        |                     |        |
|  |   |                        |                     | 75     |
| Total from continuation sheets   | •   |                        | •                   |        |

18221107 756359 1621570.011

| 3 Grants and Contributions Paid During the        | Year (Continuation)                                     |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient   | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)               | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Ainouni |
| SANCTUARY FOR FAMILIES INC                        | NA  | PC                     | SEE STATEMENT       |         |
| P.O. BOX 1406, WALL STREET STATION                |   |                        |                     |         |
| NEW YORK, NY 10268-1406                           |   |                        |                     |         |
|   |   |                        |                     | 750     |
| SAVE THE BAY INC                                  | NA  | PC                     | SEE STATEMENT       |         |
| 100 SAVE THE BAY DR                               |   |                        |                     |         |
| PROVIDENCE, RI 02905-5606                         |   |                        |                     |         |
|   |   |                        |                     | 300     |
| SAVE THE CHILDREN, FEDERATION                     | NA  | PC                     | SEE STATEMENT       |         |
| 501 KINGS HIGHWAY EAST SUITE 400                  |   |                        |                     |         |
| FAIRFIELD, CT 06825                               |   |                        |                     |         |
|   |   |                        |                     | 100,154 |
| SAVE THE REDWOODS LEAGUE                          | NA  | PC                     | SEE STATEMENT       |         |
| 111 SUTTER ST 11TH FLOOR                          |   |                        |                     |         |
| SAN FRANCISCO, CA 94104                           |   |                        |                     |         |
|   |   |                        |                     | 200     |
| SEA ISLANDS HUNGER AWARENESS                      | NA  | PC                     | SEE STATEMENT       | 300     |
| FOUNDATION  |   |                        |                     |         |
| PO BOX 268  |   |                        |                     |         |
| JOHNS ISLAND, SC 29457-0268                       |   |                        |                     |         |
| SEA ISLANDS HUNGER AWARENESS                      | NA  | PC                     | SEE STATEMENT       | 750     |
| FOUNDATION  | NA  | 10                     | SHE STATEMENT       |         |
| PO BOX 268  |   |                        |                     |         |
| JOHNS ISLAND, SC 29457-0268                       |   |                        |                     |         |
|   |   |                        |                     | 750     |
| SEABROOK ISLAND GREEN SPACE                       | NA  | PC                     | SEE STATEMENT       |         |
| CONSERVANCY                                       |   |                        |                     |         |
| PO BOX 185  |   |                        |                     |         |
| JOHNS ISLAND, SC 29457-0185                       |   |                        |                     | 600     |
| SEABURY RESOURCES FOR AGING                       | NA  | PC                     | SEE STATEMENT       |         |
| 6031 KANSAS AVE NW                                |   |                        |                     |         |
| WASHINGTON, DC 20011-1566                         |   |                        |                     |         |
|   |   |                        |                     | 300     |
| SECRETARIAT OF THE UNITED NATIONS                 | NA  | GOV                    | SEE STATEMENT       |         |
| FRAMEWORK CONVENTION ON CLIMATE CHG               |   |                        |                     |         |
| UNFCCC SECRETARIAT, UN CAMPUS PLATZ               |   |                        |                     |         |
| DER VEREINTEN NATIONEN 1 BONN,                    |   |                        |                     |         |
| GERMANY   |   |                        |                     | 650,000 |
| SERVICE YEAR EXCHANGE                             | NA  | PC                     | SEE STATEMENT       |         |
| 1400 I STREET NW SUITE 900<br>WASHINGTON DC 20005 |   |                        |                     |         |
| WASHINGTON, DC 20005                              |   |                        |                     |         |
|   |   |                        |                     | 200,000 |
| Total from continuation sheets                    |   |                        |                     |         |

| 3 Grants and Contributions Paid During the Ye | ear (Continuation)                                      |                        |                     |                                     |
|---|---|------------------------|---------------------|-------------------------------------|
| Recipient                                     | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount                              |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount                              |
| SHACK DWELLERS INTERNATIONAL                  | NA  | PC                     | SEE STATEMENT       |                                     |
| NO 4 SEYMOUR STREET OBSERVATORY               |   |                        |                     |                                     |
| CAPE TOWN, SOUTH AFRICA                       |   |                        |                     |                                     |
|   |   |                        |                     | 175,000                             |
| SHAKTI SUSTAINABLE ENERGY FOUNDATION          | NA  | PC                     | SEE STATEMENT       |                                     |
| THE CAPITAL COURT 104B2 FOURTH FLOOR          |   |                        |                     |                                     |
| LEFT WING NEW DELHI, INDIA                    |   |                        |                     |                                     |
|   |   |                        |                     | 463,712                             |
| SHELL FOUNDATION                              | NA  | NC                     | SEE STATEMENT       | ,                                   |
| SHELL CENTRE                                  |   |                        |                     |                                     |
| LONDON, UNITED KINGDOM                        |   |                        |                     |                                     |
|   |   |                        |                     | 250,000                             |
| SILICON VALLEY COMMUNITY FOUNDATION           | NA  | PC                     | SEE STATEMENT       | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| 2440 W. EL CAMINO REAL SUITE 300              |   |                        |                     |                                     |
| MOUNTAIN VIEW, CA 94040                       |   |                        |                     |                                     |
|   |   |                        |                     | 100,000                             |
| SKOWHEGAN SCHOOL OF PAINTING AND              | NA  | PC                     | SEE STATEMENT       | 100,000                             |
| SCULPTURE INC                                 |   |                        |                     |                                     |
| 136 WEST 22ND STREET                          |   |                        |                     |                                     |
| NEW YORK, NY 10011-2424                       |   |                        |                     |                                     |
| SMITHSONIAN INSTITUTION                       | NA  | PC                     | SEE STATEMENT       | 37,500                              |
| P.O. BOX 37012, MRC 712                       |   |                        |                     |                                     |
| WASHINGTON, CT 20013-7012                     |   |                        |                     |                                     |
|   |   |                        |                     | 1 500                               |
| SOLIDAGO FOUNDATION                           | NA  | PC                     | SEE STATEMENT       | 1,500                               |
| 150 MAIN STREET SUITE 24                      |   |                        |                     |                                     |
| NORTHAMPTON, MA 01060                         |   |                        |                     |                                     |
|   |   |                        |                     | 580,000                             |
| SOLIDARIETA KENYA ONLUS                       | NA  | PC                     | SEE STATEMENT       | 500,000                             |
| VIA G. MAZZINI 18C                            |   |                        |                     |                                     |
| ERBA, COMO, ITALY                             |   |                        |                     |                                     |
|   |   |                        |                     | 10,000                              |
| SOLIDARIETA KENYA ONLUS                       | NA  | PC                     | SEE STATEMENT       |                                     |
| VIA G. MAZZINI 18C                            |   |                        |                     |                                     |
| ERBA, COMO, ITALY                             |   |                        |                     |                                     |
|   |   |                        |                     | 5,000                               |
| SOLIDARIETA KENYA ONLUS                       | NA  | PC                     | SEE STATEMENT       | ,                                   |
| VIA G. MAZZINI 18C                            |   |                        |                     |                                     |
| ERBA, COMO, ITALY                             |   |                        |                     |                                     |
|   |   |                        |                     | 3,530                               |

| Part XV Supplementary Informatio           |  |                         |                                  |           |
|--|--|-------------------------|----------------------------------|-----------|
| 3 Grants and Contributions Paid During the |  | 1                       |                                  |           |
| Recipient                                  | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount    |
| Name and address (home or business)        | or substantial contributor   | recipient               |                                  |           |
| SOLIDARIETA KENYA ONLUS                    | NA   | PC                      | SEE STATEMENT                    |           |
| VIA G. MAZZINI 18C                         |  |                         |                                  |           |
| ERBA, COMO, ITALY                          |  |                         |                                  |           |
|  |  |                         |                                  |           |
|  |  |                         |                                  | 3,510     |
| SOLIDARIETA KENYA ONLUS                    | NA   | PC                      | SEE STATEMENT                    |           |
| VIA G. MAZZINI 18C                         |  |                         |                                  |           |
| ERBA, COMO, ITALY                          |  |                         |                                  |           |
|  |  |                         |                                  | 3 509     |
| SOLIDARIETA KENYA ONLUS                    | NA   | PC                      | SEE STATEMENT                    | 3,509     |
| VIA G. MAZZINI 18C                         |  | 10                      |                                  |           |
| ERBA, COMO, ITALY                          |  |                         |                                  |           |
|  |  |                         |                                  |           |
|  |  |                         |                                  | 3,509     |
| SOLIDARIETA KENYA ONLUS                    | NA   | PC                      | SEE STATEMENT                    | ,         |
| VIA G. MAZZINI 18C                         |  |                         |                                  |           |
| ERBA, COMO, ITALY                          |  |                         |                                  |           |
|  |  |                         |                                  |           |
|  |  |                         |                                  | 3,457     |
| SOLIDARIETA KENYA ONLUS                    | NA   | PC                      | SEE STATEMENT                    |           |
| VIA G. MAZZINI 18C                         |  |                         |                                  |           |
| ERBA, COMO, ITALY                          |  |                         |                                  |           |
|  |  |                         |                                  |           |
|  |  | Da                      |                                  | 2,352     |
| SOLIDARIETA KENYA ONLUS                    | NA   | PC                      | SEE STATEMENT                    |           |
| VIA G. MAZZINI 18C<br>ERBA, COMO, ITALY    |  |                         |                                  |           |
|  |  |                         |                                  |           |
|  |  |                         |                                  | 2,122     |
| SOUTH CAROLINA COMMUNITY LOAN FUND,        | NA   | PC                      | IN GENERAL SUPPORT OF            | ,         |
| INC.                                       |  |                         | ITS MISSION TO ADVANCE           |           |
| 1064 GARDNER ROAD, SUITE 302               |  |                         | EQUITABLE ACCESS TO              |           |
| CHARLESTON, SC 29407                       |  |                         | CAPITAL IN SOUTH                 |           |
|  |  |                         | CAROLINA                         | 1,000,000 |
| SOUTHAMPTON FRESH AIR HOME                 | NA   | PC                      | SEE STATEMENT                    |           |
| 36 BARKERS ISLAND ROAD                     |  |                         |                                  |           |
| SOUTHAMPTON, NY 11968                      |  |                         |                                  |           |
|  |  |                         |                                  |           |
| COMMINED N DOMEDRAY I MA ORVINED THE       |  |                         |                                  | 3,000     |
| SOUTHERN POVERTY LAW CENTER INC            | NA   | PC                      | SEE STATEMENT                    |           |
| 400 WASHINGTON AVE                         |  |                         |                                  |           |
| MONTGOMERY, AL 36104-4344                  |  |                         |                                  |           |
|  |  |                         |                                  | 300       |
| SOUTHERN POVERTY LAW CENTER                | NA   | PC                      | SEE STATEMENT                    | 500       |
| 400 WASHINGTON AVENUE                      |  |                         |                                  |           |
| MONTGOMERY, AL 36104                       |  |                         |                                  |           |
| ,  |  |                         |                                  |           |
|  |  |                         |                                  | 50,000    |
| Total from continuation sheets             |  |                         |                                  |           |

| 3 Grants and Contributions Paid During the | Year (Continuation)  |                        |                     |        |
|--|--|------------------------|---------------------|--------|
| Recipient                                  | If recipient is an individual,<br>show any relationship to | Foundation             | Purpose of grant or | Amount |
| Name and address (home or business)        | any foundation manager<br>or substantial contributor       | status of<br>recipient | contribution        | Amount |
| SPECIAL OLYMPICS CONNECTICUT INC           | NA   | PC                     | SEE STATEMENT       |        |
| 2666 STATE ST STE 1                        |  |                        |                     |        |
| HAMDEN, CT 06517-2232                      |  |                        |                     |        |
|  |  |                        |                     | 30     |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION - AGMB BILL ABRAMS           |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | 7      |
| SQ FOUNDATION                              | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION AGMB BILL ABRAMS             |  |                        |                     |        |
| LOS ANGELES , CA 90036                     |  |                        |                     |        |
|  |  |                        |                     | -<br>- |
| Total from continuation sheets             |  |                        |                     | 7      |

| 3 Grants and Contributions Paid During the Ye            | ear (Continuation)   |                        |                     |        |
|--|--|------------------------|---------------------|--------|
| Recipient  | If recipient is an individual,   | Foundation             | Purpose of grant or |        |
| Name and address (home or business)                      | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | contribution        | Amount |
| SQ FOUNDATION  | NA   | PC                     | SEE STATEMENT       |        |
| -<br>SQ FOUNDATION AGMB BILL ABRAMS                      |  |                        |                     |        |
| LOS ANGELES , CA 90036                                   |  |                        |                     |        |
| ,  |  |                        |                     |        |
|  |  | DC                     |                     | 75     |
| SQ FOUNDATION  | NA   | PC                     | SEE STATEMENT       |        |
| SQ FOUNDATION AGMB BILL ABRAMS<br>LOS ANGELES , CA 90036 |  |                        |                     |        |
| LOS ANGELLES , CA 90030                                  |  |                        |                     |        |
|  |  |                        |                     | 75     |
| ST RAPHAEL CHURCH  | NA   | PC                     | SEE STATEMENT       |        |
| 600 NEWBRIDGE RD   |  |                        |                     |        |
| EAST MEADOW, NY 11554-5295                               |  |                        |                     |        |
|  |  |                        |                     | 1,326  |
| ST RAPHAEL CHURCH  | NA   | PC                     | SEE STATEMENT       | ,      |
| 600 NEWBRIDGE RD   |  |                        |                     |        |
| EAST MEADOW, NY 11554-5295                               |  |                        |                     |        |
|  |  |                        |                     |        |
| ST WILLIAM THE ABBOT ROMAN CATHOLIC                      | NA   | PC                     | SEE STATEMENT       | 375    |
| CHURCH   |  | 10                     |                     |        |
| 2000 JACKSON AVE   |  |                        |                     |        |
| SEAFORD, NY 11783-2628                                   |  |                        |                     |        |
| ,  |  |                        |                     | 4,856  |
| ST. BALDRICKS FOUNDATION                                 | NA   | PC                     | SEE STATEMENT       |        |
| 1333 SOUTH MAYFLOWER AVENUE SUITE 400                    |  |                        |                     |        |
| MONROVIA, CA 91016                                       |  |                        |                     |        |
|  |  |                        |                     | 975    |
| ST. BALDRICKS FOUNDATION                                 | NA   | PC                     | SEE STATEMENT       |        |
| 1333 SOUTH MAYFLOWER AVENUE SUITE 400                    |  |                        |                     |        |
| MONROVIA, CA 91016                                       |  |                        |                     |        |
|  |  |                        |                     |        |
|  |  |                        |                     | 150    |
| ST. LUKES EPISCOPAL CHURCH<br>1864 POST ROAD             | NA   | PC                     | SEE STATEMENT       |        |
| DARIEN, CT 06820   |  |                        |                     |        |
|  |  |                        |                     |        |
|  |  |                        |                     | 4,500  |
| ST. LUKE'S EPISCOPAL CHURCH                              | NA   | PC                     | SEE STATEMENT       |        |
| 1864 POST ROAD   |  |                        |                     |        |
| DARIEN, CT 06820   |  |                        |                     |        |
|  |  |                        |                     | 4,500  |
| ST. LUKE'S EPISCOPAL CHURCH                              | NA   | PC                     | SEE STATEMENT       | _,     |
| 1864 POST ROAD   |  |                        |                     |        |
| DARIEN, CT 06820   |  |                        |                     |        |
|  |  |                        |                     | 450    |
| Total from continuation sheets                           |  |                        |                     | 450    |

| 3 Grants and Contributions Paid During the N | (ear (Continuation)                                     |                        |                     |   |
|--|---|------------------------|---------------------|---|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount                                  |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount                                  |
| ST. MARY'S HOSPITAL FOR CHILDREN             | NA  | PC                     | SEE STATEMENT       |   |
| 2901 216тн ѕт                                |   |                        |                     |   |
| BAYSIDE, NY 11360-2810                       |   |                        |                     |   |
|  |   |                        |                     |   |
| STICHTING DIENST LANDBOUWKUNDIG              | NA  | PC                     | SEE STATEMENT       | 75                                      |
| ONDERZOEK                                    |   |                        |                     |   |
| DROEVENDAALSESTEEG 1 6708 PB                 |   |                        |                     |   |
| WAGENINGEN GELDERLAND, NETHERLANDS           |   |                        |                     |   |
| WAGENINGEN GELDERLAND, NEIHERLANDS           |   |                        |                     | 135,80                                  |
| STICHTING RABO FOUNDATION                    | NA  | PC                     | SEE STATEMENT       | ,                                       |
| KLANTENFONDS                                 |   |                        |                     |   |
| CROESELAAN 18 U.C.T. 0407                    |   |                        |                     |   |
| UTRECHT, NETHERLANDS                         |   |                        |                     |   |
|  |   |                        |                     | 1,000,000                               |
| STONE BARNS CENTER FOR FOOD AND              | NA  | PC                     | SEE STATEMENT       |   |
| AGRICULTURE                                  |   |                        |                     |   |
| 630 BEDFORD RD                               |   |                        |                     |   |
| POCANTINO HILLS, NY 10454                    |   |                        |                     |   |
|  |   |                        |                     | 25,000                                  |
| STONEWALL COMMUNITY FUND                     | NA  | PC                     | SEE STATEMENT       |   |
| 1270 BROADWAY RM 501                         |   |                        |                     |   |
| NEW YORK, NY 10001                           |   |                        |                     |   |
|  |   |                        |                     | 10,000                                  |
| STONEWALL COMMUNITY FUND                     | NA  | PC                     | SEE STATEMENT       | 10,000                                  |
| 1270 BROADWAY RM 501                         |   |                        |                     |   |
| NEW YORK, NY 10001                           |   |                        |                     |   |
| ,  |   |                        |                     |   |
|  |   |                        |                     | 900                                     |
| STONY BROOK FOUNDATION                       | NA  | PC                     | SEE STATEMENT       |   |
| 230 ADMINISTRATION STONY BROOK               |   |                        |                     |   |
| UNIVERSITY STONY BROOK, NY                   |   |                        |                     |   |
| 11794-1188                                   |   |                        |                     | 464,104                                 |
| STORYCORPS INC.                              | NA  | PC                     | SEE STATEMENT       | 101,10                                  |
| 80 HANSON PLACE 2ND FLOOR                    |   |                        |                     |   |
| BROOKLYN, NY 11217                           |   |                        |                     |   |
|  |   |                        |                     |   |
|  |   |                        |                     | 500,000                                 |
| SUMMIT SCHOOL FOUNDATION INC                 | NA  | PC                     | SEE STATEMENT       |   |
| 18730 GRAND CENTRAL PKWY                     |   |                        |                     |   |
| JAMIACA, NY 11432-5819                       |   |                        |                     |   |
|  |   |                        |                     | 7,500                                   |
| SUMMIT SCHOOL                                | NA  | PC                     | SEE STATEMENT       | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| 339 N BROADWAY                               |   |                        |                     |   |
| UPEER HYACK, NY 10960-1522                   |   |                        |                     |   |
|  |   |                        |                     |   |
|  |   |                        |                     | 15,000                                  |

| Part XVSupplementary Information3Grants and Contributions Paid During the Y |  |                         |                                     |          |
|---|--|-------------------------|-------------------------------------|----------|
| Recipient   | If recipient is an individual,   |                         |                                     |          |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of | Purpose of grant or<br>contribution | Amount   |
|   |  | recipient               |                                     |          |
| SUSTAINABLE FOOD LAB  | NA   | PC                      | SEE STATEMENT                       |          |
| 3 LINDEN ROAD   |  |                         |                                     |          |
| HARTLAND, VT 05048  |  |                         |                                     |          |
|   |  |                         |                                     | 150,000. |
| SUSTAINBIZ, INC.  | NA   | NC                      | SEE STATEMENT                       |          |
| 1700 15TH STREET, NW 103  |  |                         |                                     |          |
| WASHINGTON, DC 20009  |  |                         |                                     |          |
|   |  |                         |                                     |          |
|   |  |                         |                                     | 25,000.  |
| TABLE TO TABLE INC  | NA   | PC                      | SEE STATEMENT                       |          |
| 611 US HIGHWAY 46 W.  |  |                         |                                     |          |
| HASBROUCK HEIGHTS, NJ 07604   |  |                         |                                     |          |
|   |  |                         |                                     |          |
|   |  |                         |                                     | 90.      |
| TAKATAKA SOLUTIONS LTD  | NA   | NC                      | SEE STATEMENT                       |          |
| P.O. BOX 29273  |  |                         |                                     |          |
| NAIROBI, KENYA  |  |                         |                                     |          |
|   |  |                         |                                     | 10 000   |
| TECHNOSERVE, INC.   | NA   | PC                      | SEE STATEMENT                       | 10,000.  |
| 1120 19TH STREET NW 8TH FLOOR   | NA   | FC                      | SEE STATEMENT                       |          |
| WASHINGTON, DC 20036  |  |                         |                                     |          |
|   |  |                         |                                     |          |
|   |  |                         |                                     | 600,000. |
| TECHSOUP GLOBAL   | NA   | PC                      | SEE STATEMENT                       |          |
| 435 BRANNAN STREET SUITE 100  |  |                         |                                     |          |
| SAN FRANCISCO, CA 94107   |  |                         |                                     |          |
|   |  |                         |                                     |          |
|   |  |                         |                                     | 150,000. |
| TEMPLE UNIVERSITY   | NA   | PC                      | SEE STATEMENT                       |          |
| 1852 NORTH 10TH STREETTASB  |  |                         |                                     |          |
| PHILADELPHIA, PA 19122  |  |                         |                                     |          |
|   |  |                         |                                     |          |
|   |  |                         |                                     | 600.     |
| TEMPOART PORTLAND   | NA   | PC                      | SEE STATEMENT                       |          |
| 61 PLEASANT STREET  |  |                         |                                     |          |
| PORTLAND, ME 04101-3951   |  |                         |                                     |          |
|   |  |                         |                                     | 450.     |
| TEXAS CIVIL RIGHTS PROJECT  | NA   | PC                      | SEE STATEMENT                       | 130.     |
| 1405 MONTOPOLIS DR  |  |                         |                                     |          |
| AUSTIN, TX 78741  |  |                         |                                     |          |
| <i>.</i>  |  |                         |                                     |          |
|   |  |                         |                                     | 135.     |
| THE 24 HOUR PLAYS   | NA   | PC                      | SEE STATEMENT                       |          |
| 151 BANKS ST, RM 103  |  |                         |                                     |          |
| NEW YORK, NY 10014  |  |                         |                                     |          |
|   | 1  | 1                       |                                     |          |
|   |  |                         |                                     | 10,000.  |

|   | ELLER FOUNDATION   |                                      | 13-16596                         | 29       |
|---|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information             |  |                                      |                                  |          |
| 3 Grants and Contributions Paid During the Y  |  | 1                                    |                                  |          |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| THE AMERICAN COUNCIL FOR VOLUNTARY            | NA   | PC                                   | SEE STATEMENT                    |          |
| INTERNATIONAL ACTION, INC.                    |  |                                      |                                  |          |
| 1400 16TH STREET, NW SUITE 210                |  |                                      |                                  |          |
| WASHINGTON, DC 20036                          |  |                                      |                                  |          |
|   |  |                                      |                                  | 50,000.  |
| THE ASIA SOCIETY                              | NA   | PC                                   | SEE STATEMENT                    |          |
| 725 PARK AVENUE                               |  |                                      |                                  |          |
| NEW YORK, NY 10021-5088                       |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 150,000. |
| THE BOARD OF TRUSTEES OF THE LELAND           | NA   | PC                                   | SEE STATEMENT                    |          |
| STANFORD JUNIOR UNIVERSITY                    |  |                                      |                                  |          |
| 450 SERRA MALL                                |  |                                      |                                  |          |
| STANFORD, CA 94305-2004                       |  |                                      |                                  |          |
|   |  |                                      |                                  | 600,000. |
| THE BOARD OF TRUSTEES OF THE LELAND           | NA   | PC                                   | SEE STATEMENT                    |          |
| STANFORD JUNIOR UNIVERSITY                    |  |                                      |                                  |          |
| 450 SERRA MALL                                |  |                                      |                                  |          |
| STANFORD, CA 94305-2004                       |  |                                      |                                  | 175 000  |
| THE BRIDGESPAN GROUP, INC.                    | NA   | PC                                   | SEE STATEMENT                    | 175,000. |
| 2 COPLEY PLACE SUITE 3700B                    |  |                                      |                                  |          |
| BOSTON, MA 02116                              |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 630,000. |
| THE BRIDGESPAN GROUP, INC.                    | NA   | PC                                   | IN SUPPORT OF                    |          |
| 2 COPLEY PLACE SUITE 3700B                    |  |                                      | IDENTIFYING COMPELLING           |          |
| BOSTON, MA 02116                              |  |                                      | OPPORTUNITIES FOR                |          |
|   |  |                                      | TRANSFORMATIVE SOCIAL            |          |
|   |  |                                      | IMPACT                           | 125,000. |
| THE BRIDGESPAN GROUP, INC.                    | NA   | PC                                   | SEE STATEMENT                    |          |
| 2 COPLEY PLACE SUITE 3700B                    |  |                                      |                                  |          |
| BOSTON, MA 02116                              |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 60,000.  |
| THE CENTER FOR EFFECTIVE                      | NA   | PC                                   | SEE STATEMENT                    |          |
| PHILANTHROPY, INC.                            |  |                                      |                                  |          |
| 675 MASSACHUSETTS AVENUE 7TH FLOOR            |  |                                      |                                  |          |
| CAMBRIDGE, MA 02139                           |  |                                      |                                  | 100 000  |
| THE CENTER FOR EFFECTIVE                      | NA   | PC                                   | SEE STATEMENT                    | 100,000. |
| PHILANTHROPY, INC.                            |  |                                      |                                  |          |
| 675 MASSACHUSETTS AVENUE 7TH FLOOR            |  |                                      |                                  |          |
| CAMBRIDGE, MA 02139                           |  |                                      |                                  |          |
| ,   |  |                                      |                                  | 91,500.  |
| THE COMMUNICATIONS NETWORK                    | NA   | PC                                   | SEE STATEMENT                    |          |
| 718 7TH STREET NW, 3RD FLOOR                  |  |                                      |                                  |          |
| WASHINGTON, DC 20001                          |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 200,000. |
| Total from continuation sheets                |  |                                      |                                  |          |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)                                      |                        |                     |         |
|--|---|------------------------|---------------------|---------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or |         |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| THE COMMUNICATIONS NETWORK                   | NA  | PC                     | SEE STATEMENT       |         |
| 718 7TH STREET NW, 3RD FLOOR                 |   |                        |                     |         |
| NASHINGTON, DC 20001                         |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 100,000 |
| THE COMMUNITY FUND OF DARIEN                 | NA  | PC                     | SEE STATEMENT       |         |
| PO BOX 926                                   |   |                        |                     |         |
| DARIEN, CT 06820-0926                        |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 600     |
| THE DOCUMENTARY GROUP, LLC                   | NA  | NC                     | SEE STATEMENT       |         |
| 114 WEST 26TH STREET 7TH FLOOR               |   |                        |                     |         |
| NEW YORK, NY 10001                           |   |                        |                     |         |
|  |   |                        |                     | 500.000 |
| THE DOG DELTE BOD GOUTHIN ACTAN              |   | NO                     |                     | 500,000 |
| THE DSC PRIZE FOR SOUTH ASIAN<br>LITERATURE  | NA  | NC                     | SEE STATEMENT       |         |
| B-29 SECOND FL, LAJPAT NAGAR 2               |   |                        |                     |         |
| NEW DELHI, INDIA 110024                      |   |                        |                     |         |
| NEW DELHI, INDIA 110024                      |   |                        |                     | 1,000   |
| THE ENERGY FOR GROWTH HUB                    | NA  | NC                     | SEE STATEMENT       | 1,000   |
| 2055 L STREET NW                             |   |                        |                     |         |
| WASHINGTON, DC 20036                         |   |                        |                     |         |
| ,  |   |                        |                     |         |
|  |   |                        |                     | 500,000 |
| THE FRESH AIR FUND                           | NA  | PC                     | SEE STATEMENT       |         |
| 633 THIRD AVENUE                             |   |                        |                     |         |
| NEW YORK, NY 10017                           |   |                        |                     |         |
|  |   |                        |                     |         |
| THE FUTURO MEDIA GROUP                       | NA  | PC                     | SEE STATEMENT       | 300     |
| 361 WEST 125TH STREET, SIXTH FLOOR           | NA  | FC                     | SEE STATEMENT       |         |
| NEW YORK, NY 10025                           |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 60      |
| THE GHETTO FILM SCHOOL, INC.                 | NA  | PC                     | SEE STATEMENT       |         |
| 79 ALEXANDER AVENUE                          |   |                        |                     |         |
| BRONX, NY 10454                              |   |                        |                     |         |
|  |   |                        |                     |         |
|  |   |                        |                     | 10,000  |
| THE GLOBAL DEVELOPMENT INCUBATOR,            | NA  | POF                    | SEE STATEMENT       |         |
| INC.   |   |                        |                     |         |
| 1634 EYE STREET NW SUITE 300                 |   |                        |                     |         |
| WASHINGTON, DC 20006                         |   |                        |                     |         |
|  |   | 20                     |                     | 20,000  |
| THE GLOBAL STEERING GROUP FOR IMPACT         | NA  | PC                     | SEE STATEMENT       |         |
| INVESTMENT                                   |   |                        |                     |         |
| 32 HAMPSTEAD HIGH STREET NW3 1QD             |   |                        |                     |         |
| LONDON, UNITED KINGDOM                       |   |                        |                     | 200 000 |
|  |   |                        |                     | 200,000 |

| Part XV Supplementary Information                       | ELLER FOUNDATION   |                                      | 13-165                           | 5025      |
|---|--|--------------------------------------|----------------------------------|-----------|
|   |  |                                      |                                  |           |
| 3 Grants and Contributions Paid During the N            | If recipient is an individual,   |                                      |                                  |           |
| Recipient Name and address (home or business)           | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount    |
| THE INDUSTRIAL COMMONS                                  | NA   | PC                                   | SEE STATEMENT                    |           |
| 2128 SOUTH STERLING STREET SUITE 150                    |  |                                      |                                  |           |
| MORGANTON, NC 28655                                     |  |                                      |                                  |           |
|   |  |                                      |                                  |           |
|   |  |                                      |                                  | 1,000,000 |
| THE JAMES BEARD FOUNDATION, INC.                        | NA   | PC                                   | SEE STATEMENT                    |           |
| 167 WEST 12TH STREET                                    |  |                                      |                                  |           |
| NEW YORK, NY 10011                                      |  |                                      |                                  |           |
|   |  |                                      |                                  | 53,070.   |
| THE JAMES BEARD FOUNDATION, INC.                        | NA   | PC                                   | SEE STATEMENT                    |           |
| ,<br>167 WEST 12TH STREET                               |  |                                      |                                  |           |
| NEW YORK, NY 10011                                      |  |                                      |                                  |           |
|   |  |                                      |                                  |           |
|   |  |                                      |                                  | 5,000.    |
| THE LEUKEMIA AND LYMPHOMA SOCIETY                       | NA   | PC                                   | SEE STATEMENT                    |           |
| (LLS)   |  |                                      |                                  |           |
| 3 INTERNATIONAL DRIVE, SUITE 200<br>RYE BROOK, NY 10573 |  |                                      |                                  |           |
| NII BROOK, NI 10373                                     |  |                                      |                                  | 150.      |
| THE LEUKEMIA AND LYMPHOMA SOCIETY                       | NA   | PC                                   | SEE STATEMENT                    |           |
| (LLS)   |  |                                      |                                  |           |
| 3 INTERNATIONAL DRIVE, SUITE 200                        |  |                                      |                                  |           |
| RYE BROOK, NY 10573                                     |  |                                      |                                  |           |
|   |  |                                      |                                  | 60.       |
| THE MIAMI FOUNDATION INC.<br>40 NW 3RD STREET SUITE 305 | NA   | PC                                   | SEE STATEMENT                    |           |
| MIAMI, FL 33128   |  |                                      |                                  |           |
|   |  |                                      |                                  |           |
|   |  |                                      |                                  | 250,000.  |
| THE NEW SCHOOL  | NA   | PC                                   | SEE STATEMENT                    |           |
| 79 5TH AVE  |  |                                      |                                  |           |
| NEW YORK, NY 10003                                      |  |                                      |                                  |           |
|   |  |                                      |                                  |           |
| THE NEW SCHOOL  | NA   | PC                                   | SEE STATEMENT                    | 60.       |
| 79 5TH AVE  | NA   | FC                                   | SEE SIAIEMENI                    |           |
| NEW YORK, NY 10003                                      |  |                                      |                                  |           |
| ,   |  |                                      |                                  |           |
|   |  |                                      |                                  | 60.       |
| THE NISKANEN CENTER INC.                                | NA   | PC                                   | SEE STATEMENT                    |           |
| 820 FIRST STREET, NE 675                                |  |                                      |                                  |           |
| WASHINGTON, DC 20002                                    |  |                                      |                                  |           |
|   |  |                                      |                                  | 225 000   |
| THE PARENT TEACHER ASSOCIATION OF PS                    | NA   | PC                                   | SEE STATEMENT                    | 225,000.  |
| 41 MANHATTAN  |  |                                      |                                  |           |
| 116 W 11TH ST   |  |                                      |                                  |           |
| NEW YORK, NY 10011-8306                                 |  |                                      |                                  |           |
|   |  | 1                                    |                                  | 45,000.   |

|   | LLER FOUNDATION  |                                      | 13-165                           | 9629     |
|---|--|--------------------------------------|----------------------------------|----------|
| Part XV Supplementary Information             |  |                                      |                                  | I        |
| 3 Grants and Contributions Paid During the Ye |  | T                                    |                                  |          |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| THE PARENT TEACHER ASSOCIATION OF PS          | or substantial contributor   | PC                                   | SEE STATEMENT                    |          |
| 41 MANHATTAN                                  | NA   | FC                                   | SEE STATEMENT                    |          |
| 116 W 11TH ST                                 |  |                                      |                                  |          |
| NEW YORK, NY 10011-8306                       |  |                                      |                                  |          |
| NEW TORK, NI 10011-0500                       |  |                                      |                                  | 15,000.  |
| THE PARENT TEACHER ASSOCIATION OF PS          | NA   | PC                                   | SEE STATEMENT                    | 13,000.  |
| 41 MANHATTAN                                  |  |                                      | SHE STATEMENT                    |          |
| 116 W 11TH ST                                 |  |                                      |                                  |          |
| NEW YORK, NY 10011-8306                       |  |                                      |                                  |          |
|   |  |                                      |                                  | 3,000.   |
| THE PEW CHARITABLE TRUSTS                     | NA   | PC                                   | SEE STATEMENT                    |          |
| ONE COMMERCE SQUARE 2005 MARKET               |  |                                      |                                  |          |
| STREET, SUITE 1700 PHILADELPHIA, PA           |  |                                      |                                  |          |
| 19103-7077                                    |  |                                      |                                  |          |
|   |  |                                      |                                  | 250,000. |
| THE POND THEATRE COMPANY INC                  | NA   | PC                                   | SEE STATEMENT                    |          |
| CO LILY DORMENT 370 WASHINGTON AVE            |  |                                      |                                  |          |
| BROOKLYN, NY 11238-0000                       |  |                                      |                                  |          |
| ,   |  |                                      |                                  |          |
|   |  |                                      |                                  | 150.     |
| THE REGENTS OF THE UNIVERSITY OF              | NA   | PC                                   | SEE STATEMENT                    |          |
| CALIFORNIA                                    |  |                                      |                                  |          |
| 1156 HIGH STREET                              |  |                                      |                                  |          |
| SANTA CRUZ, CA 95064-1077                     |  |                                      |                                  |          |
|   |  |                                      |                                  | 100,000. |
| THE RESOLUTION PROJECT                        | NA   | PC                                   | SEE STATEMENT                    |          |
| 420 LEXINGTON AVENUE, 16TH FLOOR              |  |                                      |                                  |          |
| NEW YORK, NY 10170                            |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 50,000.  |
| THE RESOLUTION PROJECT                        | NA   | PC                                   | SEE STATEMENT                    |          |
| 420 LEXINGTON AVENUE, 16TH FLOOR              |  |                                      |                                  |          |
| NEW YORK, NY 10170                            |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 10,000.  |
| THE RESOLUTION PROJECT, INC.                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 420 LEXINGTON AVENUE 16TH FLOOR               |  |                                      |                                  |          |
| NEW YORK, NY 10170                            |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 20,000.  |
| THE ROXBURY LATIN SCHOOL INC                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 101 SAINT THERESA AVE                         |  |                                      |                                  |          |
| WEST ROXBURY, MA 02132-3419                   |  |                                      |                                  |          |
|   |  |                                      |                                  |          |
|   |  |                                      |                                  | 1,275.   |
| THE ROXBURY LATIN SCHOOL INC                  | NA   | PC                                   | SEE STATEMENT                    |          |
| 101 SAINT THERESA AVE                         |  |                                      |                                  |          |
| WEST ROXBURY, MA 02132-3419                   |  |                                      |                                  |          |
|   | 1  | 1                                    |                                  | 1        |
|   |  |                                      |                                  | 67.      |

| Part XVSupplementary Information3Grants and Contributions Paid During the Your |  |                                      |                                  |          |
|--|--|--------------------------------------|----------------------------------|----------|
| Recipient  | If recipient is an individual,   |                                      |                                  |          |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount   |
| THE SCHOTT FOUNDATION FOR PUBLIC   | NA   | PC                                   | SEE STATEMENT                    |          |
| EDUCATION  |  |                                      | SEE STATEMENT                    |          |
| 1250 HANCOCK ST STE 803N   |  |                                      |                                  |          |
| QUINCY, MA 02139-3363  |  |                                      |                                  |          |
| <b>*</b> ,   |  |                                      |                                  | 75.      |
| THE SYNERGOS INSTITUTE, INC.   | NA   | PC                                   | SEE STATEMENT                    |          |
| 3 EAST 54TH STREET 14TH FLOOR  |  |                                      |                                  |          |
| NEW YORK, NY 10022   |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 50,000.  |
| THE TRUSTEES OF COLUMBIA UNIVERSITY  | NA   | PC                                   | SEE STATEMENT                    |          |
| IN THE CITY OF NEW YORK  |  |                                      |                                  |          |
| 202 LOW MEMORIAL LIBRARY 535 W 116TH   |  |                                      |                                  |          |
| STREET NEW YORK, NY 10027  |  |                                      |                                  |          |
|  |  |                                      |                                  | 35,253.  |
| THE TRUSTEES OF COLUMBIA UNIVERSITY  | NA   | PC                                   | SEE STATEMENT                    |          |
| IN THE CITY OF NEW YORK  |  |                                      |                                  |          |
| 622 WEST 113TH STREET, MC 4524   |  |                                      |                                  |          |
| NEW YORK, NY 10025   |  |                                      |                                  | 300,000. |
| THE URBAN INSTITUTE  | NA   | PC                                   | SEE STATEMENT                    | 500,000  |
| 2100 M STREET, N.W.  |  | 10                                   |                                  |          |
| WASHINGTON, DC 20037   |  |                                      |                                  |          |
| ,  |  |                                      |                                  |          |
|  |  |                                      |                                  | 500,000. |
| THEATRE OF THE OPPRESSED NYC   | NA   | PC                                   | SEE STATEMENT                    |          |
| 68 JAY STREET, SUITE 220   |  |                                      |                                  |          |
| BROOKLYN, NY 11201   |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 50.      |
| THOMSON REUTERS FOUNDATION   | NA   | PC                                   | SEE STATEMENT                    |          |
| THE THOMSON REUTERS BUILDING 30 SOUTH  |  |                                      |                                  |          |
| COLONNADE, CANARY WHARF LONDON,  |  |                                      |                                  |          |
| UNITED KINGDOM   |  |                                      |                                  | 248,000. |
| THOMSON REUTERS FOUNDATION   | NA   | PC                                   | SEE STATEMENT                    | 240,000  |
| THE THOMSON REUTERS BUILDING 30 SOUTH  |  |                                      |                                  |          |
| COLONNADE, CANARY WHARF LONDON,  |  |                                      |                                  |          |
| UNITED KINGDOM   |  |                                      |                                  |          |
|  |  |                                      |                                  | 50,000.  |
| THUNDER VALLEY CDC   | NA   | PC                                   | SEE STATEMENT                    |          |
| PO BOX 290   |  |                                      |                                  |          |
| PORCUPINE, SD 57772  |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
|  |  |                                      |                                  | 10,000.  |
| TRANSPORTATION ALTERNATIVES  | NA   | PC                                   | SEE STATEMENT                    |          |
| 111 JOHN STREET, SUITE 260   |  |                                      |                                  |          |
| NEW YORK, NY 10038   |  |                                      |                                  |          |
|  |  |                                      |                                  |          |
| Total from continuation sheets   |  |                                      |                                  | 450.     |

| 3 Grants and Contributions Paid During the Y | ear (Continuation)                                      |                        |                     |           |
|--|---|------------------------|---------------------|-----------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount    |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount    |
| TREVOR PROJECT INC                           | NA  | PC                     | SEE STATEMENT       |           |
| 8704 SANTA MONICA BLVD                       |   |                        |                     |           |
| WEST HOLLYWOOD, CA 90069-7002                |   |                        |                     |           |
|  |   |                        |                     | 60        |
| TRINITY COLLEGE                              | NA  | PC                     | SEE STATEMENT       |           |
| 300 SUMMIT STREET                            |   |                        |                     |           |
| HARTFORD, CT 06106-3100                      |   |                        |                     |           |
|  |   |                        |                     | 3,000     |
| TROOPER SANDERS                              | NONE  | I                      | SEE STATEMENT       |           |
| 631 D STREET NW APT. 730                     |   |                        |                     |           |
| WASHINGTON, DC 20004                         |   |                        |                     |           |
|  |   |                        |                     | 160,000   |
| TRUST FOR CONSERVATION INNOVATION            | NA  | PC                     | SEE STATEMENT       |           |
| 405 14TH STREET, SUITE 164                   |   |                        |                     |           |
| OAKLAND, CA 94612-2705                       |   |                        |                     |           |
|  |   |                        |                     | 1,000,000 |
| TRUSTEES OF BOSTON UNIVERSITY                | NA  | PC                     | SEE STATEMENT       | , ,       |
| 881 COMMONWEALTH AVENUE                      |   |                        |                     |           |
| BOSTON, MA 02215                             |   |                        |                     |           |
|  |   |                        |                     | 200,000   |
| TRUSTEES OF BOSTON UNIVERSITY                | NA  | PC                     | SEE STATEMENT       |           |
| 881 COMMONWEALTH AVENUE                      |   |                        |                     |           |
| BOSTON, MA 02215                             |   |                        |                     |           |
|  |   |                        |                     | 50,000    |
| TRUSTEES OF COLUMBIA UNIVERSITY IN           | NA  | PC                     | SEE STATEMENT       |           |
| THE CITY OF NEW YORK                         |   |                        |                     |           |
| 622 WEST 113TH STREET, MC 4524               |   |                        |                     |           |
| NEW YORK, NY 10025                           |   |                        |                     | 90,000    |
| TRUSTEES OF DARTMOUTH COLLEGE                | NA  | PC                     | SEE STATEMENT       | 50,000    |
| 6066 DEVELOPMENT OFFICE                      |   |                        |                     |           |
| HANOVER, NH 03755-4400                       |   |                        |                     |           |
|  |   |                        |                     | 67        |
| TRUSTEES OF THE UNIVERSITY OF                | NA  | PC                     | SEE STATEMENT       |           |
| PENNSYLVANIA                                 |   |                        |                     |           |
| SUITE 300 - GAAR 2929 WALNUT STREET          |   |                        |                     |           |
| PHILADELPHIA, PA 19104-5099                  |   |                        |                     | 2 000     |
| TUSCAN SCHOOL PTA                            | NA  | PC                     | SEE STATEMENT       | 3,000     |
| 25 HARVARD AVE                               |   |                        |                     |           |
| MAPLEWOOD, NJ 07040-2718                     |   |                        |                     |           |
|  |   |                        |                     | 202       |
| Total from continuation sheets               |   | 1                      |                     | 202       |

| Part XVSupplementary Information3Grants and Contributions Paid During the Your |  |                                      |                                  |         |
|--|--|--------------------------------------|----------------------------------|---------|
| Recipient  | If recipient is an individual,   | E a constanti a co                   | Durante of much an               |         |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount  |
| TUSCAN SCHOOL PTA  | NA   | PC                                   | SEE STATEMENT                    |         |
| 25 HARVARD AVE   |  |                                      |                                  |         |
| MAPLEWOOD, NJ 07040-2718   |  |                                      |                                  |         |
| ,  |  |                                      |                                  |         |
|  |  |                                      |                                  | 150     |
| TUSCAN SCHOOL PTA  | NA   | PC                                   | SEE STATEMENT                    |         |
| 25 HARVARD AVE   |  |                                      |                                  |         |
| MAPLEWOOD, NJ 07040-2718   |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 150     |
| TUSCAN SCHOOL PTA  | NA   | PC                                   | SEE STATEMENT                    |         |
| 25 HARVARD AVE   |  |                                      |                                  |         |
| MAPLEWOOD, NJ 07040-2718   |  |                                      |                                  |         |
|  |  |                                      |                                  | 60      |
| TUSCAN SCHOOL PTA  | NA   | PC                                   | SEE STATEMENT                    |         |
| 25 HARVARD AVE   |  | 10                                   |                                  |         |
| MAPLEWOOD, NJ 07040-2718   |  |                                      |                                  |         |
| ,,,  |  |                                      |                                  |         |
|  |  |                                      |                                  | 40      |
| TUSK STRATEGIES, INC.  | NA   | NC                                   | SEE STATEMENT                    |         |
| 928 BROADWAY, SUITE 300  |  |                                      |                                  |         |
| NEW YORK, NY 10010   |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 965,191 |
| UNION OF CONCERNED SCIENTISTS INC  | NA   | PC                                   | SEE STATEMENT                    |         |
| TWO BRATTLE SQUARE   |  |                                      |                                  |         |
| CAMBRIDGE, MA 02138-3742   |  |                                      |                                  |         |
|  |  |                                      |                                  | 750     |
| UNION OF CONCERNED SCIENTISTS, INC.  | NA   | PC                                   | SEE STATEMENT                    | ,50     |
| 2 BRATTLE SQUARE   |  |                                      |                                  |         |
| CAMBRIDGE, MA 02138-3780   |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 100,000 |
| UNITED NATIONS ECONOMIC AND SOCIAL   | NA   | GOV                                  | SEE STATEMENT                    |         |
| COMMISSION FOR ASIA AND THE PACIFIC  |  |                                      |                                  |         |
| UNITED NATIONS BUILDING 76 RAJDAMNERN  |  |                                      |                                  |         |
| NOK AVENUE BANGKOK, THAILAND   |  |                                      |                                  |         |
|  |  |                                      |                                  | 30,000  |
| UNITED NATIONS ECONOMIC COMMISSION   | NA   | GOV                                  | SEE STATEMENT                    |         |
| FOR AFRICA   |  |                                      |                                  |         |
| PO BOX 3001  |  |                                      |                                  |         |
| ADDIS ABABA, ETHIOPIA  |  |                                      |                                  | 100 000 |
| UNITED NATIONS FOUNDATION, INC.  | NA   | PC                                   | SEE STATEMENT                    | 100,000 |
| 1750 PENNSYLVANIA AVENUE, NW, SUITE  | [  |                                      |                                  |         |
| 300 WASHINGTON, DC 20006   |  |                                      |                                  |         |
|  |  |                                      |                                  |         |
|  |  |                                      |                                  | 900,000 |
| Total from continuation sheets   | •  | •                                    | •                                |         |

|  | LLER FOUNDATION  |                                      | 13-16596                         | 29         |
|--|--|--------------------------------------|----------------------------------|------------|
| Part XV Supplementary Information                |  |                                      |                                  |            |
| 3 Grants and Contributions Paid During the Ye    | ear (Continuation)   |                                      |                                  |            |
| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount     |
| UNITED NATIONS HUMAN SETTLEMENTS                 | NA   | GOV                                  | SEE STATEMENT                    |            |
| PROGRAMME  |  |                                      |                                  |            |
| P.O. BOX 30030                                   |  |                                      |                                  |            |
| NAIROBI, KENYA                                   |  |                                      |                                  |            |
|  |  |                                      |                                  | 75,000.    |
| UNITED STATES ENDOWMENT FOR FORESTRY             | NA   | PC                                   | SEE STATEMENT                    |            |
| AND COMMUNITIES, INC.                            |  |                                      |                                  |            |
| 908 EAST NORTH STREET                            |  |                                      |                                  |            |
| GREENVILLE, SC 29601                             |  |                                      |                                  | 50.000     |
| UNIVERSITY COLLEGE LONDON                        | NA   | PC                                   | SEE STATEMENT                    | 50,000.    |
| GOWER STREET                                     | NA   | FC                                   | SEE SIAIEMENI                    |            |
|  |  |                                      |                                  |            |
| LONDON, UNITED KINGDOM                           |  |                                      |                                  |            |
|  |  |                                      |                                  | 147,000.   |
| UNIVERSITY OF CHICAGO                            | NA   | PC                                   | SEE STATEMENT                    | ,          |
| 5801 SOUTH ELLIS AVENUE                          |  |                                      |                                  |            |
| CHICAGO, IL 60637                                |  |                                      |                                  |            |
|  |  |                                      |                                  |            |
|  |  |                                      |                                  | 150,000.   |
| UNIVERSITY OF GREENWICH                          | NA   | PC                                   | SEE STATEMENT                    |            |
| MARITIME GREENWICH CAMPUS OLD ROYAL              |  |                                      |                                  |            |
| NAVAL COLLEGE LONDON, UNITED KINGDOM             |  |                                      |                                  |            |
|  |  |                                      |                                  |            |
|  |  |                                      |                                  | 200,000.   |
| UNIVERSITY OF MARYLAND                           | NA   | GOV                                  | SEE STATEMENT                    |            |
| 3112 LEE BUILDING 7809 REGENTS DRIVE             |  |                                      |                                  |            |
| COLLEGE PARK, MD 20742-1811                      |  |                                      |                                  |            |
|  |  |                                      |                                  | 200,000.   |
| UNIVERSITY OF MASSACHUSETTS, AMHERST             | NA   | PC                                   | SEE STATEMENT                    | 200,000.   |
| OFFICE OF GRANT AND CONTRACT                     |  |                                      |                                  |            |
| ADMINISTRATION 100 VENTURE WAY, SUITE            |  |                                      |                                  |            |
| 201 HADLEY, MA 01035-6450                        |  |                                      |                                  |            |
|  |  |                                      |                                  | 1,500,000. |
| UNIVERSITY OF MASSACHUSETTS, AMHERST             | NA   | PC                                   | IN SUPPORT OF                    |            |
| OFFICE OF GRANT AND CONTRACT                     |  |                                      | CONDUCTING RESEARCH              |            |
| ADMINISTRATION 100 VENTURE WAY, SUITE            |  |                                      | AND ANALYSIS TO                  |            |
| 201 HADLEY, MA 01035-6450                        |  |                                      | DEMONSTRATE FRESHWATER           |            |
|  |  |                                      | RESILIENCE GLOBALLY              | 500,006.   |
| UNIVERSITY OF NAIROBI                            | NA   | GOV                                  | SEE STATEMENT                    |            |
| P.O. BOX 30197-00100                             |  |                                      |                                  |            |
| NAIROBI, KENYA                                   |  |                                      |                                  |            |
|  |  |                                      |                                  | F4 000     |
| UNIVERSITY OF NEBRASKA FOUNDATION                | NA   | PC                                   | SEE STATEMENT                    | 54,823.    |
| 1010 LINCOLN MALL, STE 300                       |  |                                      | SEE STATEMENT                    |            |
| LINCOLN, NE 68508                                |  |                                      |                                  |            |
|  |  |                                      |                                  |            |
|  |  |                                      |                                  | 4,500.     |
| Total from continuation sheets                   | 1  | 1                                    |                                  | ,          |

| 3 Grants and Contributions Paid During the Y   | Year (Continuation)  |                                      |                                     |         |
|--|--|--------------------------------------|-------------------------------------|---------|
| Recipient                                      | If recipient is an individual,   | Foundation                           | Durpose of great or                 |         |
| Name and address (home or business)            | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| UNIVERSITY OF PITTSBURGH                       | NA   | PC                                   | SEE STATEMENT                       |         |
| PARK PLAZA, 128 NORTH CRAIG STREET             |  |                                      |                                     |         |
| ,<br>PITTSBURGH, PA 15260                      |  |                                      |                                     |         |
| ,  |  |                                      |                                     |         |
|  |  |                                      |                                     | 3,00    |
| UNIVERSITY OF VIRGINIA DARDEN SCHOOL           | NA   | PC                                   | SEE STATEMENT                       |         |
| FOUNDATION                                     |  |                                      |                                     |         |
| PO BOX 400807                                  |  |                                      |                                     |         |
| CHARLOTTESVILLE, VA 22904-4807                 |  |                                      |                                     | 1,452   |
| USHAHIDI, INC.                                 | NA   | PC                                   | SEE STATEMENT                       |         |
| 12472 LAKE UNDERHILL ROAD 330                  |  |                                      |                                     |         |
| ORLANDO, FL 32828                              |  |                                      |                                     |         |
|  |  |                                      |                                     |         |
|  |  |                                      |                                     | 150,000 |
| USHAHIDI, INC.                                 | NA   | PC                                   | SEE STATEMENT                       |         |
| 12472 LAKE UNDERHILL ROAD 330                  |  |                                      |                                     |         |
| ORLANDO, FL 32828                              |  |                                      |                                     |         |
|  |  |                                      |                                     | 50,000  |
| USO OF METROPOLITAN NEW YORK, INC.             | NA   | PC                                   | SEE STATEMENT                       |         |
| 270 MADISON AVENUE, SUITE 1207                 |  |                                      |                                     |         |
| NEW YORK, NY 10016                             |  |                                      |                                     |         |
|  |  |                                      |                                     |         |
|  |  |                                      |                                     | 150     |
| VALUELAB BV                                    | NA   | NC                                   | SEE STATEMENT                       |         |
| JOOP DEN UYLLAAN 18<br>LEIDEN, NETHERLANDS     |  |                                      |                                     |         |
| LEIDEN, NEIRERLANDS                            |  |                                      |                                     |         |
|  |  |                                      |                                     | 50,000  |
| VERA INSTITUTE OF JUSTICE                      | NA   | PC                                   | SEE STATEMENT                       |         |
| 233 BROADWAY, 12TH FLOOR                       |  |                                      |                                     |         |
| NEW YORK, NY 10279                             |  |                                      |                                     |         |
|  |  |                                      |                                     |         |
|  |  |                                      |                                     | 300     |
| VERA INSTITUTE OF JUSTICE                      | NA   | PC                                   | SEE STATEMENT                       |         |
| 233 BROADWAY, 12TH FLOOR<br>NEW YORK, NY 10279 |  |                                      |                                     |         |
| 12. I.     |  |                                      |                                     |         |
|  |  |                                      |                                     | 300     |
| VETERANS OF FOREIGN WARS FOUNDATION            | NA   | PC                                   | SEE STATEMENT                       |         |
| 406 W. 34TH ST., SUITE 920                     |  |                                      |                                     |         |
| KANSAS CITY, MO 64111-2778                     |  |                                      |                                     |         |
|  |  |                                      |                                     |         |
| VILCAP INC.                                    | NA   | PC                                   | SEE STATEMENT                       | 450     |
| 1101 K STREET NW, SUITE 920                    | [  | 1.                                   |                                     |         |
| WASHINGTON, DC 20005                           |  |                                      |                                     |         |
| , –  |  |                                      |                                     |         |
|  | 1  | 1                                    | 1                                   | 50,000  |

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| 3 Grants and Contributions Paid During t                    | he Year (Continuation)                                  |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient   | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)                         | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| VIRGINIA ORGANIZING   | NA  | PC                     | SEE STATEMENT       |         |
| 703 CONCORD AVENUE  |   |                        |                     |         |
| CHARLOTTESVILLE, VA 22903                                   |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 25,000  |
| VOX MEDIA, INC.   | NA  | NC                     | SEE STATEMENT       |         |
| 1201 CONNECTICUT AVE., NW 11TH FLOO<br>WASHINGTON, DC 20036 |   |                        |                     |         |
| WASHINGTON, DC 20030  |   |                        |                     |         |
|   |   |                        |                     | 250,000 |
| WALDORF SCHOOL OF GARDEN CITY                               | NA  | PC                     | SEE STATEMENT       |         |
| 225 CAMBRIDGE AVE   |   |                        |                     |         |
| GARDEN CITY, NY 11530-4251                                  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 450     |
| WATERSIDE SCHOOL INC  | NA  | PC                     | SEE STATEMENT       |         |
| 770 PACIFIC ST<br>STAMFORD, CT 06902-7329                   |   |                        |                     |         |
| STAMFORD; CI 00902-7529                                     |   |                        |                     |         |
|   |   |                        |                     | 225     |
| WATERSIDE SCHOOL INC  | NA  | PC                     | SEE STATEMENT       |         |
| 770 PACIFIC ST  |   |                        |                     |         |
| STAMFORD, CT 06902-7329                                     |   |                        |                     |         |
|   |   |                        |                     |         |
|   | NONE  |                        |                     | 75      |
| WENDY TAYLOR<br>2501 RIDGE ROAD DRIVE                       | NONE  | I                      | SEE STATEMENT       |         |
| ALEXANDRIA, VA 22302  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 206,250 |
| WENDY TAYLOR  | NONE  | I                      | SEE STATEMENT       |         |
| 2501 RIDGE ROAD DRIVE                                       |   |                        |                     |         |
| ALEXANDRIA, VA 22302  |   |                        |                     |         |
|   |   |                        |                     |         |
| NEDODOWICZ ING  |   | Da                     |                     | 68,750  |
| WEROBOTICS, INC.<br>1225 OLIVIA AVENUE                      | NA  | PC                     | SEE STATEMENT       |         |
| ANN ARBOR, MI 48104   |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 10,000  |
| WEROBOTICS, INC.  | NA  | PC                     | SEE STATEMENT       |         |
| 1225 OLIVIA AVENUE  |   |                        |                     |         |
| ANN ARBOR, MI 48104   |   |                        |                     |         |
|   |   |                        |                     |         |
| WUTHNEY MICETIM OF AMERICAN ARM                             | NTA   | PC                     |                     | 800,000 |
| WHITNEY MUSEUM OF AMERICAN ART<br>99 GANSEVOORT STREET      | NA  | PC                     | SEE STATEMENT       |         |
| NEW YORK, NY 10014  |   |                        |                     |         |
| ,   |   |                        |                     |         |
|   |   |                        |                     | 90      |
| Total from continuation sheets                              |   |                        |                     |         |

| Part XVSupplementary Information3Grants and Contributions Paid During the |  |                                      |                                     |         |
|---|--|--------------------------------------|-------------------------------------|---------|
| Recipient   | If recipient is an individual,   | E a un de l'an                       | Durante formation                   |         |
| Name and address (home or business)                                       | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
| VIKIMEDIA FOUNDATION  | NA   | PC                                   | SEE STATEMENT                       |         |
| P.O. BOX 98204  |  |                                      |                                     |         |
| WASHINGTON, DC 20090  |  |                                      |                                     |         |
| ,   |  |                                      |                                     |         |
|   |  |                                      |                                     | 75      |
| WIKIMEDIA FOUNDATION  | NA   | PC                                   | SEE STATEMENT                       |         |
| P.O. BOX 98204  |  |                                      |                                     |         |
| WASHINGTON, DC 20090  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 60      |
| WILD BIRD FUND INC<br>565 COLUMBUS AVENUE                                 | NA   | PC                                   | SEE STATEMENT                       |         |
| NEW YORK, NY 10024-2403   |  |                                      |                                     |         |
| NEW TORK, NI 10024-2405   |  |                                      |                                     |         |
|   |  |                                      |                                     | 750     |
| WILDERNESS SOCIETY  | NA   | PC                                   | SEE STATEMENT                       |         |
| 1615 M ST NW LBBY 2   |  |                                      |                                     |         |
| WASHINGTON, DC 20036-3258   |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 750     |
| WILLA CATHER FOUNDATION   | NA   | PC                                   | SEE STATEMENT                       |         |
| 413 N WEBSTER ST  |  |                                      |                                     |         |
| RED CLOUD, NE 68970-2466  |  |                                      |                                     |         |
|   |  |                                      |                                     | == 0    |
|   | NA   | PC                                   |                                     | 750     |
| WINDWARD FUND<br>1201 CONNECTICUT AVE NW SUITE 300                        | NA   | PC                                   | SEE STATEMENT                       |         |
| WASHINGTON, DC 20036  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 500,000 |
| WINDWARD FUND   | NA   | PC                                   | SEE STATEMENT                       | ,       |
| 1201 CONNECTICUT AVE NW SUITE 300   |  |                                      |                                     |         |
| WASHINGTON, DC 20036  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 400,000 |
| WINDWARD FUND   | NA   | PC                                   | SEE STATEMENT                       |         |
| 1201 CONNECTICUT AVE NW SUITE 300   |  |                                      |                                     |         |
| WASHINGTON, DC 20036  |  |                                      |                                     |         |
|   |  |                                      |                                     | 260 000 |
| WNET  | NA   | PC                                   | SEE STATEMENT                       | 260,000 |
| 825 EIGHTH AVENUE   | [  |                                      |                                     |         |
| NEW YORK, NY 10019  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 150,000 |
| WOMEN FOR WOMEN INTERNATIONAL   | NA   | PC                                   | SEE STATEMENT                       |         |
| 2000 M STREET NW, SUITE 200   |  |                                      |                                     |         |
| WASHINGTON, DC 20036  |  |                                      |                                     |         |
|   |  |                                      |                                     |         |
|   |  |                                      |                                     | 50      |

| 3 Grants and Contributions Paid During the Y                | ear (Continuation)                                      |                        |                     |           |
|---|---|------------------------|---------------------|-----------|
| Recipient   | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | _         |
| Name and address (home or business)                         | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount    |
| WOMEN IN THE WORLD MEDIA LLC                                | NA  | NC                     | SEE STATEMENT       |           |
| 620 EIGHTH AVENUE, 17TH FLOOR                               |   |                        |                     |           |
| NEW YORK, NY 10018  |   |                        |                     |           |
|   |   |                        |                     |           |
|   |   |                        |                     | 150,000   |
| WORLD AFFAIRS COUNCIL OF NORTHERN                           | NA  | PC                     | SEE STATEMENT       |           |
| CALIFORNIA  |   |                        |                     |           |
| 312 SUTTER STREET SUITE 200<br>SAN FRANCISCO, CA 94108-4384 |   |                        |                     |           |
| SAN FRANCISCO, CA 94106-4364                                |   |                        |                     | 75,000    |
| WORLD ECONOMIC FORUM  | NA  | NC                     | SEE STATEMENT       | /5,000    |
| 91-93 ROUTE DE LA CAPITE COLOGNY                            |   |                        |                     |           |
| GENEVA, SWITZERLAND   |   |                        |                     |           |
|   |   |                        |                     |           |
|   |   |                        |                     | 800,000   |
| WORLD ECONOMIC FORUM  | NA  | NC                     | SEE STATEMENT       |           |
| 91-93 ROUTE DE LA CAPITE COLOGNY                            |   |                        |                     |           |
| GENEVA, SWITZERLAND   |   |                        |                     |           |
|   |   |                        |                     |           |
|   |   |                        |                     | 750,000   |
| WORLD HEALTH ORGANIZATION                                   | NA  | GOV                    | SEE STATEMENT       |           |
| 20 AVENUE APPIA CH-1211                                     |   |                        |                     |           |
| GENEVA, SWITZERLAND   |   |                        |                     |           |
|   |   |                        |                     | 748,945   |
| WORLD PARROT TRUST USA INC                                  | NA  | PC                     | SEE STATEMENT       | , 10, 513 |
| PO BOX 985  |   |                        |                     |           |
| TRAVELERS REST, SC 29690                                    |   |                        |                     |           |
|   |   |                        |                     |           |
|   |   |                        |                     | 450       |
| WORLD RESOURCES INSTITUTE                                   | NA  | PC                     | SEE STATEMENT       |           |
| 10 G STREET, NE SUITE 800                                   |   |                        |                     |           |
| WASHINGTON, DC 20002  |   |                        |                     |           |
|   |   |                        |                     |           |
| WORLD WILDLIFE FUND INC                                     | NA  | PC                     |                     | 200,000   |
| 1250 TWENTY-FOURTH STREET, N.W.,                            | NA  | FC                     | SEE STATEMENT       |           |
| WASHINGTON, DC 20037  |   |                        |                     |           |
|   |   |                        |                     |           |
|   |   |                        |                     | 1,500     |
| WORLD WILDLIFE FUND INC.                                    | NA  | PC                     | SEE STATEMENT       |           |
| 1250 24TH STREET, NW  |   |                        |                     |           |
| WASHINGTON, DC 20037  |   |                        |                     |           |
|   |   |                        |                     |           |
|   |   |                        |                     | 2,300,000 |
| WORLDWIDE INITIATIVES FOR GRANTMAKER                        | NA  | PC                     | SEE STATEMENT       |           |
| SUPPORT, INC.   |   |                        |                     |           |
| AVENIDA 9 DE JULHO, 5143 SUITE 61                           |   |                        |                     |           |
| SAO PAULO, BRAZIL   |   |                        |                     | 80.000    |
| Total from continuation sheets                              | 1   | 1                      |                     | 80,000    |

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| 3 Grants and Contributions Paid During the Y            | ear (Continuation)                                      |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient   | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)                     | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount  |
| AVIER HIGH SCHOOL                                       | NA  | PC                     | SEE STATEMENT       |         |
| 30 WEST 16TH STREET                                     |   |                        |                     |         |
| NEW YORK, NY 10011                                      |   |                        |                     |         |
|   |   |                        |                     | 1 50    |
| YALE UNIVERSITY   | NA  | PC                     | SEE STATEMENT       | 1,50    |
| PO BOX 2038   |   |                        |                     |         |
| NEW HAVEN, CT 06521-2038                                |   |                        |                     |         |
|   |   |                        |                     | 00.00   |
| YALE UNIVERSITY   | NA  | PC                     | SEE STATEMENT       | 90,00   |
| WOODBRIDGE HALL 105 WALL STREET                         |   |                        |                     |         |
| NEW HAVEN, CT 06520-8229                                |   |                        |                     |         |
| ·   |   |                        |                     |         |
|   |   |                        |                     | 115,000 |
| YALE UNIVERSITY<br>WOODBRIDGE HALL 105 WALL STREET      | NA  | PC                     | SEE STATEMENT       |         |
| NEW HAVEN, CT 06520-8229                                |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 53,27   |
| YIDDISH BOOK CENTER                                     | NA  | PC                     | SEE STATEMENT       |         |
| 1021 WEST STREET  |   |                        |                     |         |
| AMHERST, MA 01002-3375                                  |   |                        |                     |         |
|   |   |                        |                     | 750     |
| YOUNG MENS CHRISTIAN ASSOCIATION OF                     | NA  | PC                     | SEE STATEMENT       |         |
| MONTCLAIR   |   |                        |                     |         |
| 25 PARK ST  |   |                        |                     |         |
| MONTCLAIR, NJ 07042-3407                                |   |                        |                     | 6,00    |
| YOUNG SURVIVAL COALITION INC                            | NA  | PC                     | SEE STATEMENT       | 0,000   |
| 75 BROAD ST, STE 409                                    |   |                        |                     |         |
| NEW YORK, NY 10004                                      |   |                        |                     |         |
|   |   |                        |                     |         |
| YOUNG WOMENS LEADERSHIP NETWORK, INC                    | NA  | PC                     | SEE STATEMENT       | 1,80    |
| 322 EIGHTH AVE  | NA  |                        | SHE SIAIEMENI       |         |
| NEW YORK, NY 10001-8001                                 |   |                        |                     |         |
| -   |   |                        |                     |         |
|   |   |                        |                     | 50      |
| YOUTH SAFETY AWARENESS INITIATIVE<br>PO BOX 10018-00100 | NA  | PC                     | SEE STATEMENT       |         |
| NAIROBI, KENYA  |   |                        |                     |         |
| ,   |   |                        |                     |         |
|   |   |                        |                     | 10,00   |
| YUNUS SOCIAL BUSINESS GMBH                              | NA  | NC                     | SEE STATEMENT       |         |
| NIDDASTR. 63  |   |                        |                     |         |
| FRANKFURT AM MAIN, GERMANY                              |   |                        |                     |         |
|   |   |                        |                     | 113,002 |
| Total from continuation sheets                          |   |                        | •                   | ,       |

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| 3 Grants and Contributions Paid During the |  |                        |                                  |        |
|--|--|------------------------|----------------------------------|--------|
| Recipient                                  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation             | Purpose of grant or contribution | Amount |
| Name and address (home or business)        |  | status of<br>recipient | contribution                     | Anount |
| LIGEN FUND INC                             | NA   | PC                     | SEE STATEMENT                    |        |
| 13-37 39TH AVE., SUITE 355                 |  |                        |                                  |        |
| AYSIDE, NY 11361                           |  |                        |                                  |        |
|  |  |                        |                                  | 9,00   |
| IGEN FUND INC                              | NA   | PC                     | SEE STATEMENT                    |        |
| 213-37 39TH AVE., SUITE 355                |  |                        |                                  |        |
| BAYSIDE, NY 11361                          |  |                        |                                  |        |
|  |  |                        |                                  | 6,00   |
|  |  |                        |                                  | ,      |
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|  |  |                        |                                  |        |

|   | ELLER FOUNDATION   |                                      | 13-16                            | 59629       |
|---|--|--------------------------------------|----------------------------------|-------------|
| Part XV Supplementary Information             |  |                                      |                                  | -           |
| 3 Grants and Contributions Approved for Fu    | ture Payment (Continuation)  | 1                                    |                                  |             |
| Recipient Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount      |
| AFRICAN RISK CAPACITY SPECIALIZED             | NA   | GOV                                  | SEE STATEMENT                    |             |
| AGENCY OF THE AFRICAN UNION                   |  |                                      |                                  |             |
| BUILDING 1, SUNHILL PARK 1 EGLIN              |  |                                      |                                  |             |
| ROAD, SUNNINGHILL JOHANNESBURG,               |  |                                      |                                  |             |
| SOUTH AFRICA                                  |  |                                      |                                  | 300,000.    |
| AID TECHNOLOGY LIMITED                        | NA   | NC                                   | SEE STATEMENT                    | 500,000.    |
| THE DIGITAL HUB, ROE LANE D08 TCV4            | na   | NC                                   | SHE STATEMENT                    |             |
| DUBLIN, UNITED KINGDOM                        |  |                                      |                                  |             |
|   |  |                                      |                                  |             |
|   |  |                                      |                                  | 19,983.     |
| ALLIANCE FOR A GREEN REVOLUTION IN            | NA   | PC                                   | SEE STATEMENT                    | 15,505.     |
| AFRICA  |  |                                      |                                  |             |
| P.O. BOX 66773 WESTLANDS, 00800               |  |                                      |                                  |             |
| NAIROBI, KENYA                                |  |                                      |                                  |             |
|   |  |                                      |                                  | 25,000,000. |
| ALLIANCE FOR A GREEN REVOLUTION IN            | NA   | PC                                   | SEE STATEMENT                    |             |
| AFRICA  |  |                                      |                                  |             |
| P.O. BOX 66773 WESTLANDS, 00800               |  |                                      |                                  |             |
| NAIROBI, KENYA                                |  |                                      |                                  |             |
|   |  |                                      |                                  | 624,221.    |
| ALLIANCE FOR A GREEN REVOLUTION IN            | NA   | PC                                   | SEE STATEMENT                    |             |
| AFRICA  |  |                                      |                                  |             |
| P.O. BOX 66773 WESTLANDS, 00800               |  |                                      |                                  |             |
| ,<br>NAIROBI, KENYA                           |  |                                      |                                  |             |
| ,   |  |                                      |                                  | 100,000.    |
| AMERICAN ASSOCIATION FOR THE                  | NA   | PC                                   | SEE STATEMENT                    | ,           |
| ADVANCEMENT OF SCIENCE                        |  |                                      |                                  |             |
| 1200 NEW YORK AVENUE, NW                      |  |                                      |                                  |             |
| WASHINGTON, DC 20005                          |  |                                      |                                  |             |
|   |  |                                      |                                  | 600,000.    |
| AMERICAN ENTERPRISE INSTITUTE FOR             | NA   | PC                                   | SEE STATEMENT                    |             |
| PUBLIC POLICY RESEARCH                        |  |                                      |                                  |             |
| 1789 MASSACHUSETTS AVENUE, NW                 |  |                                      |                                  |             |
| WASHINGTON, DC 20036                          |  |                                      |                                  |             |
|   |  |                                      |                                  | 25,000.     |
| ART AFRICA CENTRE                             | NA   | PC                                   | SEE STATEMENT                    |             |
| 28 ST. GEORGES MALL, 5TH FLOOR THE            |  |                                      |                                  |             |
| EXCHANGE BUILDING CAPE TOWN, SOUTH            |  |                                      |                                  |             |
| AFRICA  |  |                                      |                                  |             |
|   |  |                                      |                                  | 14,850.     |
| ASIA FOUNDATION                               | NA   | PC                                   | SEE STATEMENT                    |             |
| 465 CALIFORNIA STREET 9TH FLOOR               |  |                                      |                                  |             |
| SAN FRANCISCO, CA 94104                       |  |                                      |                                  |             |
|   |  |                                      |                                  | 200.000     |
|   |  |                                      |                                  | 300,000.    |
| ASIA FOUNDATION                               | NA   | PC                                   | SEE STATEMENT                    |             |
| 465 CALIFORNIA STREET 9TH FLOOR               |  |                                      |                                  |             |
| SAN FRANCISCO, CA 94104                       |  |                                      |                                  |             |
|   |  |                                      |                                  | E0 000      |
| Table from a subject to the t                 |  | 1                                    |                                  | 50,000.     |
| Total from continuation sheets                |  |                                      |                                  | 66,708,902. |

| 3 Grants and Contributions Approved for Fu | ture Payment (Continuation)                                |                         |                                  |               |
|--|--|-------------------------|----------------------------------|---------------|
| Recipient                                  | If recipient is an individual,<br>show any relationship to | Foundation<br>status of | Purpose of grant or contribution | Amount        |
| Name and address (home or business)        | any foundation manager<br>or substantial contributor       | recipient               | Contribution                     |               |
| ASIAN VENTURE PHILANTHROPY NETWORK         | NA   | PC                      | SEE STATEMENT                    |               |
| LIMITED                                    |  |                         |                                  |               |
| 171 TRAS STREET 10-179 UNION BUILDING      | 3  |                         |                                  |               |
| SINGAPORE, CHINA                           |  |                         |                                  |               |
| BEYOND ZERO                                | NA   | NC                      | SEE STATEMENT                    | 100,000       |
| PO BOX 40530-00100                         | NA   | INC.                    | SEE SIAIEMENI                    |               |
| NAIROBI, KENYA                             |  |                         |                                  |               |
|  |  |                         |                                  |               |
|  |  |                         |                                  | 50,000        |
| CAB INTERNATIONAL                          | NA   | GOV                     | SEE STATEMENT                    |               |
| NOSWORTHY WAY                              |  |                         |                                  |               |
| OXFORDSHIRE, UNITED KINGDOM                |  |                         |                                  |               |
|  |  |                         |                                  |               |
|  |  |                         |                                  | 27,049        |
| CAPITAL FOR GOOD USA                       | NA   | PC                      | SEE STATEMENT                    |               |
| 1536 E. LANCASTER AVENUE                   |  |                         |                                  |               |
| PAOLI, PA 19301                            |  |                         |                                  |               |
|  |  |                         |                                  | 7,650         |
| CENTER FOR US GLOBAL LEADERSHIP            | NA   | PC                      | SEE STATEMENT                    | ,             |
| 1129 20TH STREET NW SUITE 600              |  |                         |                                  |               |
| WASHINGTON, DC 20036                       |  |                         |                                  |               |
|  |  |                         |                                  |               |
|  |  |                         |                                  | 50,000        |
| CHICAGO COUNCIL ON GLOBAL AFFAIRS          | NA   | PC                      | SEE STATEMENT                    |               |
| 180 N. STETSON AVENUE SUITE 1400           |  |                         |                                  |               |
| CHICAGO, IL 60601                          |  |                         |                                  |               |
|  |  |                         |                                  | 306,400       |
| CITY OF BOSTON                             | NA   | GOV                     | SEE STATEMENT                    | ,             |
| 1 CITY HALL SQUARE FIFTH FLOOR             |  |                         |                                  |               |
| BOSTON, MA 02201                           |  |                         |                                  |               |
|  |  |                         |                                  |               |
|  |  |                         |                                  | 100,000       |
| CLIMATE BONDS INITIATIVE                   | NA   | PC                      | SEE STATEMENT                    |               |
| 72 MUSWELL HILL PLACE N10 3RR              |  |                         |                                  |               |
| LONDON, UNITED KINGDOM                     |  |                         |                                  |               |
|  |  |                         |                                  | 20,000        |
| COUNCIL ON FOUNDATIONS, INC.               | NA   | PC                      | SEE STATEMENT                    | 20,000        |
| 2121 CRYSTAL DRIVE SUITE 700               |  |                         |                                  |               |
| ARLINGTON, VA 22202                        |  |                         |                                  |               |
|  |  |                         |                                  |               |
|  |  |                         |                                  | 110,000       |
| CUNY GRADUATE SCHOOL OF JOURNALISM         | NA   | PC                      | SEE STATEMENT                    |               |
| FOUNDATION INC.                            |  |                         |                                  |               |
| 219 WEST 40TH STREET                       |  |                         |                                  |               |
| NEW YORK, NY 10018                         |  |                         |                                  | 1 = 0 . 0 0 0 |
|  |  |                         |                                  | 150,000       |

| 3 Grants and Contributions Approved for Fut                           | ure Payment (Continuation)                              |                        |                     |         |
|---|---|------------------------|---------------------|---------|
| Recipient   | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or | Amount  |
| Name and address (home or business)                                   | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Anount  |
| DALBERG CONSULTING - U.S., LLC  | NA  | NC                     | SEE STATEMENT       |         |
| 99 MADISON AVENUE, 17TH FLOOR   |   |                        |                     |         |
| NEW YORK, NY 10016  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 67,00   |
| DALBERG RESEARCH LTD  | NA  | NC                     | SEE STATEMENT       |         |
| 72 - 82 ROSEBERY AVENUE, 2ND FLOOR<br>EC1R 4RW LONDON, UNITED KINGDOM |   |                        |                     |         |
| LEIR ART DONDON, ONTID RINODOM  |   |                        |                     |         |
|   |   |                        |                     | 50,600  |
| DANIEL J. EDELMAN, INC.   | NA  | NC                     | SEE STATEMENT       | ,       |
| 250 HUDSON STREET   |   |                        |                     |         |
| NEW YORK, NY 10013  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 50,000  |
| DATAKIND  | NA  | PC                     | SEE STATEMENT       |         |
| 195 MONTAGUE STREET SUITE 1222<br>BROOKLYN, NY 11201                  |   |                        |                     |         |
| BROOKEIN, NI 11201  |   |                        |                     |         |
|   |   |                        |                     | 25,000  |
| EIGHTEEN EAST CAPITAL LTD   | NA  | NC                     | SEE STATEMENT       | , ,     |
| 86-90 PAUL STREET   |   |                        |                     |         |
| LONDON, UNITED KINGDOM  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 50,000  |
| ELEVATE CONSULTING AND  | NA  | NC                     | SEE STATEMENT       |         |
| COMMUNICATIONS, LLC<br>P.O. BOX 8325                                  |   |                        |                     |         |
| PORTLAND, ME 04104  |   |                        |                     |         |
|   |   |                        |                     | 20,000  |
| ENTERPRISE COMMUNITY PARTNERS, INC.                                   | NA  | PC                     | SEE STATEMENT       | ,       |
| 11000 BROKEN LAND PARKWAY 7TH FLOOR                                   |   |                        |                     |         |
| COLUMBIA, MD 21044  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 100,000 |
| ENVIRONNEMENT ET DEVELOPPEMENT DU<br>TIERS MONDE                      | NA  | PC                     | SEE STATEMENT       |         |
| BATIMENT B, 1ER ETAGE AVENUE CHEIKH                                   |   |                        |                     |         |
| ANTA DIOP X CANAL IV BP DAKAR,  |   |                        |                     |         |
| SENEGAL   |   |                        |                     | 2,881   |
| FACTORE VENTURES PBC  | NA  | NC                     | SEE STATEMENT       | · ·     |
| 430 NORTH COLLEGE AVE   |   |                        |                     |         |
| FORT COLLINS, CO 80524  |   |                        |                     |         |
|   |   |                        |                     |         |
|   |   |                        |                     | 45,810  |
| FARM CONCERN INTERNATIONAL  | NA  | PC                     | SEE STATEMENT       |         |
| DEVELOPMENT TRUST<br>CO KARI NARL CAMPUS, WAIYAKI WAY,                |   |                        |                     |         |
| P.O. BOX 15185-00100 NAIROBI, KENYA                                   |   |                        |                     |         |
| · · · · · · · · · · · · · · · · · · ·                                 |   |                        |                     | 250,000 |
| Total from continuation sheets  | 1   | 1                      | 1                   |         |

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| 3 Grants and Contributions Approved for Futu | re Payment (Continuation)                               |                        |                     |           |
|--|---|------------------------|---------------------|-----------|
| Recipient                                    | If recipient is an individual, show any relationship to | Foundation             | Purpose of grant or |           |
| Name and address (home or business)          | any foundation manager<br>or substantial contributor    | status of<br>recipient | contribution        | Amount    |
| FEEDING AMERICA                              | NA  | PC                     | SEE STATEMENT       |           |
| 35 EAST UPPER WACKER DRIVE SUITE 2000        |   |                        |                     |           |
| CHICAGO, IL 60601                            |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 200,000   |
| FOOD FOR SOUL                                | NA  | PC                     | SEE STATEMENT       |           |
| VIA RUA MURO 85                              |   |                        |                     |           |
| MODENA, ITALY                                |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 300,000   |
| FOODSHOT GLOBAL, INC.                        | NA  | PC                     | SEE STATEMENT       |           |
| 104 WEST 123RD STREET APT 3                  |   |                        |                     |           |
| NEW YORK, NY 10027                           |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 125,000   |
| FOUNDATION FOR LOUISIANA                     | NA  | PC                     | SEE STATEMENT       |           |
| 4354 S. SHERWOOD FOREST BLVD. SUITE          |   |                        |                     |           |
| 100 BATON ROUGE, LA 70816                    |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 200,000   |
| FSG, INC.                                    | NA  | PC                     | SEE STATEMENT       |           |
| 500 BOYLSTON STREET SUITE 600                |   |                        |                     |           |
| BOSTON, MA 02116                             |   |                        |                     |           |
|  |   |                        |                     | 100.000   |
| EINDACION TIMEY ACCULACION CIVIL             |   | NO                     |                     | 100,000   |
| FUNDACION JUMEX ASOCIACION CIVIL             | NA  | NC                     | SEE STATEMENT       |           |
| BOULEVARD VIA MORELOS 72A COL.               |   |                        |                     |           |
| RUSTICA XALOSTOC ECATEPEC, MEXICO            |   |                        |                     |           |
|  |   |                        |                     | 6,000     |
| FUNDACION JUMEX ASOCIACION CIVIL             | NA  | NC                     | SEE STATEMENT       | 0,000     |
| BOULEVARD VIA MORELOS 72A COL.               |   | NC                     |                     |           |
| RUSTICA XALOSTOC ECATEPEC, MEXICO            |   |                        |                     |           |
| ,,   |   |                        |                     |           |
|  |   |                        |                     | 4,000     |
| GEORGETOWN UNIVERSITY                        | NA  | PC                     | SEE STATEMENT       | ,         |
| 37TH AND O STREETS, NW BOX 571168            |   |                        |                     |           |
| WASHINGTON, DC 20057                         |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 300,000   |
| GILDER LEHRMAN INSTITUTE OF AMERICAN         | NA  | PC                     | SEE STATEMENT       |           |
| HISTORY                                      |   |                        |                     |           |
| 49 WEST 45TH STREET 6TH FLOOR                |   |                        |                     |           |
| NEW YORK, NY 10036                           |   |                        |                     |           |
|  |   |                        |                     | 1,500,000 |
| GLOBAL HEALTH STRATEGIES LLC                 | NA  | NC                     | SEE STATEMENT       |           |
| 38 E 32ND STREET, 12TH FLOOR SUITE 900       |   |                        |                     |           |
| NEW YORK, NY 10016                           |   |                        |                     |           |
|  |   |                        |                     |           |
|  |   |                        |                     | 160,000   |
| Total from continuation sheets               |   |                        |                     |           |

|  | LLER FOUNDATION  |                                      | 13-16590                                  | 525        |
|--|--|--------------------------------------|---|------------|
| Part XV Supplementary Information  |  |                                      | Г   |            |
| 3 Grants and Contributions Approved for Futu   | <i>`</i>   | T                                    |   |            |
| Recipient Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution          | Amount     |
| GLOBAL INVESTMENT FUND FOR WATER<br>LIMITED  | NA   | NC                                   | TOWARD THE COSTS OF<br>PILOTING A PRIVATE |            |
| STEEL HOUSE, 13-17 PRINCES ROAD  |  |                                      | SECTOR-LED MICRO-LEVY                     |            |
| RICHMOND, SURREY, UNITED KINGDOM   |  |                                      | ON BOTTLED WATER                          | 100,000.   |
| GRACA MACHEL TRUST<br>BLOCK C, INVESTMENT PLACE 10TH ROAD,<br>HYDE PARK JOHANNESBURG, GAUTENG,       | NA   | PC                                   | SEE STATEMENT                             |            |
| SOUTH AFRICA   |  |                                      |   | 15,000.    |
| GRENZEBACH GLIER AND ASSOCIATES, INC.<br>401 N. MICHIGAN AVENUE SUITE 2800<br>CHICAGO, IL 60611      | NA   | NC                                   | SEE STATEMENT                             |            |
| GUARDIAN NEWS AND MEDIA LTD  | NA   | NC                                   | SEE STATEMENT                             | 1,305.     |
| KING PLACE 90 YORK WAY<br>LONDON, UNITED KINGDOM   |  | ne                                   |   |            |
|  |  |                                      |   | 1,000,000. |
| HEALTH STRATEGY AND POLICY INSTITUTE<br>A36 LANE, HO TUNG MAU ST CAU GIAY<br>DISTRICT HANOI, VIETNAM | NA   | GOV                                  | SEE STATEMENT                             |            |
|  |  |                                      |   | 50,000.    |
| HUMAN NETWORK INTERNATIONAL<br>53335 WISCONSIN AVENUE NW SUITE 440<br>WASHINGTON, DC 20015           | NA   | PC                                   | SEE STATEMENT                             |            |
|  |  |                                      |   | 55,000.    |
| IDENTITY2020 SYSTEMS INC.<br>264 CANAL STREET SUITE 4W<br>NEW YORK, NY 10013                         | NA   | PC                                   | SEE STATEMENT                             | ,          |
|  |  |                                      |   | 30,000.    |
| IDFC FOUNDATION<br>6TH FLOOR, THE CAPITAL COURT OLOF<br>PALME MARG NEW DELHI, INDIA                  | NA   | NC                                   | SEE STATEMENT                             |            |
|  |  |                                      |   | 200,000.   |
| IDH SUSTAINABLE TRADE INITIATIVE<br>ARTHUR VAN SCHENDELSTRAAT 500<br>UTRECHT, NETHERLANDS 3511       | NA   | PC                                   | SEE STATEMENT                             |            |
|  |  |                                      |   | 600,000.   |
| IMPACT INVESTMENT SHUJOG LIMITED<br>1 KING GEORGE'S AVENUE 05-00 REHAU<br>BUILDING HANOI, VIETNAM    | NA   | PC                                   | SEE STATEMENT                             |            |
|  |  |                                      |   | 50,000.    |

|  | LLER FOUNDATION  |                                      | 13-165                           | 9029                                    |
|--|--|--------------------------------------|----------------------------------|---|
| Part XV Supplementary Information                |  |                                      |                                  | 1                                       |
| 3 Grants and Contributions Approved for Futu     |  |                                      |                                  |   |
| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or contribution | Amount                                  |
| IMPACT SHARES CORP.                              | NA   | PC                                   | SEE STATEMENT                    |   |
| 2189 BROKEN BEND                                 |  |                                      |                                  |   |
| FRISCO, TX 75034                                 |  |                                      |                                  |   |
| ,  |  |                                      |                                  |   |
|  |  |                                      |                                  | 350,000.                                |
| IMPACTALPHA                                      | NA   | NC                                   | SEE STATEMENT                    | ,                                       |
| 2009 FRANCISCO STREET                            |  |                                      |                                  |   |
| BERKELEY, CA 94709                               |  |                                      |                                  |   |
|  |  |                                      |                                  |   |
|  |  |                                      |                                  | 15,000                                  |
| INDEPENDENT SECTOR                               | NA   | PC                                   | SEE STATEMENT                    |   |
| 1602 L STREET NW SUITE 900                       |  |                                      |                                  |   |
| WASHINGTON, DC 20036                             |  |                                      |                                  |   |
|  |  |                                      |                                  |   |
|  |  |                                      |                                  | 50,000.                                 |
| INSTITUTE FOR TRANSFORMATIVE                     | NA   | PC                                   | SEE STATEMENT                    |   |
| TECHNOLOGIES FOUNDATION<br>812 CREED ROAD        |  |                                      |                                  |   |
| OAKLAND, CA 94610                                |  |                                      |                                  |   |
| OARDAND, CA 94010                                |  |                                      |                                  | 200,000.                                |
| INSTITUTE OF INTERNATIONAL EDUCATION,            | NA   | PC                                   | SEE STATEMENT                    | 200,000                                 |
| INC.   |  |                                      |                                  |   |
| 809 UNITED NATIONS PLAZA                         |  |                                      |                                  |   |
| NEW YORK, NY 10017-3580                          |  |                                      |                                  |   |
|  |  |                                      |                                  | 1,580,554.                              |
| INSTITUTE OF INTERNATIONAL EDUCATION,            | NA   | PC                                   | SEE STATEMENT                    |   |
| INC.   |  |                                      |                                  |   |
| 809 UNITED NATIONS PLAZA                         |  |                                      |                                  |   |
| NEW YORK, NY 10017-3580                          |  |                                      |                                  |   |
|  |  |                                      |                                  | 849,600.                                |
| INTERNATIONAL BANK FOR RECONSTRUCTION            | NA   | GOV                                  | SEE STATEMENT                    |   |
| AND DEVELOPMENT                                  |  |                                      |                                  |   |
| 1818 H STREET, NW<br>WASHINGTON, DC 20433        |  |                                      |                                  |   |
|  |  |                                      |                                  | 750,000.                                |
| INTERNATIONAL BANK FOR RECONSTRUCTION            | NA   | GOV                                  | SEE STATEMENT                    | , |
| AND DEVELOPMENT                                  |  |                                      |                                  |   |
| 1818 H STREET, NW                                |  |                                      |                                  |   |
| WASHINGTON, DC 20433                             |  |                                      |                                  |   |
|  |  |                                      |                                  | 60,000.                                 |
| INTERNATIONAL CENTRE OF INSECT                   | NA   | PC                                   | SEE STATEMENT                    |   |
| PHYSIOLOGY AND ECOLOGY                           |  |                                      |                                  |   |
| DUDUVILLE, KASARANI P.O. BOX 30772               |  |                                      |                                  |   |
| NAIROBI, KENYA                                   |  |                                      |                                  |   |
|  |  |                                      |                                  | 200,000.                                |
| INTERNATIONAL FOOD POLICY RESEARCH               | NA   | PC                                   | SEE STATEMENT                    |   |
| INSTITUTE  |  |                                      |                                  |   |
| 2033 K STREET, N.W. SUITE 400                    |  |                                      |                                  |   |
| WASHINGTON, DC 20006-1002                        |  |                                      |                                  | 50 000                                  |
| Total from continuation sheets                   |  |                                      |                                  | 50,000.                                 |

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| Part XV         Supplementary Information           3         Grants and Contributions Approved for Future |  |                                      |                                     |          |
|--|--|--------------------------------------|-------------------------------------|----------|
| Recipient  | If recipient is an individual,   |                                      |                                     |          |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
| INTERNATIONAL FUND FOR AGRICULTURAL  | NA   | GOV                                  | SEE STATEMENT                       |          |
| DEVELOPMENT  |  |                                      |                                     |          |
| VIA PAOLO DI DONO, 44  |  |                                      |                                     |          |
| ,<br>ROME, ITALY   |  |                                      |                                     |          |
|  |  |                                      |                                     | 112,500. |
| INTERNATIONAL YOUTH FOUNDATION   | NA   | PC                                   | SEE STATEMENT                       |          |
| 1 EAST PRATT STREET SUITE 701  |  |                                      |                                     |          |
| BALTIMORE, MD 21202  |  |                                      |                                     |          |
|  |  |                                      |                                     |          |
|  |  |                                      |                                     | 20,000.  |
| JOHNS HOPKINS UNIVERSITY   | NA   | PC                                   | SEE STATEMENT                       |          |
| 3400 N. CHARLES STREET   |  |                                      |                                     |          |
| BALTIMORE, MD 21218  |  |                                      |                                     |          |
|  |  |                                      |                                     | 0 101    |
| KHOJ INTERNATIONAL ARTISTS   | NT3  | Da                                   |                                     | 8,191.   |
| ASSOCIATION  | NA   | PC                                   | SEE STATEMENT                       |          |
| S-17, KHIRKEE EXTENSION  |  |                                      |                                     |          |
| NEW DELHI, INDIA   |  |                                      |                                     |          |
|  |  |                                      |                                     | 66,617.  |
| KKS ADVISORS LLC   | NA   | NC                                   | SEE STATEMENT                       |          |
| 559 EAST 2ND STREET, UNIT 3  |  |                                      |                                     |          |
| BOSTON, MA 02127   |  |                                      |                                     |          |
|  |  |                                      |                                     |          |
|  |  |                                      |                                     | 100,000. |
| KNACK.IT CORP.   | NA   | NC                                   | SEE STATEMENT                       |          |
| P.O. BOX 591316  |  |                                      |                                     |          |
| SAN FRANCISCO, CA 94159  |  |                                      |                                     |          |
|  |  |                                      |                                     |          |
|  |  |                                      |                                     | 10,000.  |
| LINCOLN CENTER FOR THE PERFORMING  | NA   | PC                                   | SEE STATEMENT                       |          |
| ARTS, INC.<br>70 LINCOLN CENTER PLAZA  |  |                                      |                                     |          |
| NEW YORK, NY 10023-6583  |  |                                      |                                     |          |
| NEW TORK, NI 10025-0505  |  |                                      |                                     | 150,000. |
| LONDON SCHOOL OF ECONOMICS AND   | NA   | PC                                   | SEE STATEMENT                       | 100,000  |
| POLITICAL SCIENCE  |  |                                      |                                     |          |
| HOUGHTON STREET  |  |                                      |                                     |          |
| LONDON, UNITED KINGDOM   |  |                                      |                                     |          |
|  |  |                                      |                                     | 71,468.  |
| MAHILA SEWA TRUST  | NA   | PC                                   | SEE STATEMENT                       |          |
| SEWA RECEPTION CENTRE OPPOSITE   |  |                                      |                                     |          |
| VICTORIA GARDEN AHMEDABAD, INDIA   |  |                                      |                                     |          |
|  |  |                                      |                                     |          |
|  |  |                                      |                                     | 150,000. |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY  | NA   | PC                                   | SEE STATEMENT                       |          |
| 77 MASSACHUSETTS AVENUE, ROOM 3-208  |  |                                      |                                     |          |
| CAMBRIDGE, MA 02139-4307   |  |                                      |                                     |          |
|  |  |                                      |                                     | 400.000  |
| Total from continuation sheets   |  |                                      |                                     | 400,000. |

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| n)<br>Foundation<br>status of<br>recipient<br>PC<br>NC | Purpose of grant or<br>contribution<br>SEE STATEMENT<br>SEE STATEMENT | Amount   |
|--|---|--|
| PC   | SEE STATEMENT   | Amount   |
| PC   |   |  |
| NC   |   | 206,298  |
|  | DEE DIAIEMENI   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   | 10,000   |
| I  | SEE STATEMENT   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   | 131,812  |
| PC   | SEE STATEMENT   |  |
|  |   |  |
|  |   |  |
|  |   | 17,290   |
| PC   | SEE STATEMENT   | 17,250   |
| 10   |   |  |
|  |   |  |
|  |   |  |
|  |   | 240,000  |
| GOV  | SEE STATEMENT   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   | 50,000   |
| PC   | SEE STATEMENT   |  |
|  |   |  |
|  |   |  |
|  |   |  |
| Da   |   | 10,000   |
| PC   | SEE STATEMENT   |  |
|  |   |  |
|  |   |  |
|  |   | 75,000   |
| PC   | SEE STATEMENT   | ,  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   | 25,000   |
| PC   | SEE STATEMENT   | 1  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   | 50,000   |
|  | PC PC PC PC PC PC PC PC   | PC       SEE       STATEMENT         PC       SEE       STATEMENT         GOV       SEE       STATEMENT         PC       SEE       STATEMENT |

|  | ELLER FOUNDATION   |                         | 13-16596                         | 29         |
|--|--|-------------------------|----------------------------------|------------|
| Part XV Supplementary Information                |  |                         | 1                                |            |
| 3 Grants and Contributions Approved for Fut      | ture Payment (Continuation)  | -                       |                                  |            |
| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount     |
| · · · · · · · · · · · · · · · · · · ·            | or substantial contributor   | recipient               |                                  |            |
| NATURAL RESOURCES DEFENSE COUNCIL,               | NA   | PC                      | SEE STATEMENT                    |            |
| INC.   |  |                         |                                  |            |
| 40 WEST 20TH STREET                              |  |                         |                                  |            |
| NEW YORK, NY 10011                               |  |                         |                                  | 1 010 520  |
| NEW AVERTON BOUNDABLON                           |  |                         |                                  | 1,212,732. |
| NEW AMERICA FOUNDATION                           | NA   | PC                      | SEE STATEMENT                    |            |
| 740 15TH STREET NW, SUITE 900                    |  |                         |                                  |            |
| WASHINGTON, DC 20005                             |  |                         |                                  |            |
|  |  |                         |                                  | 50,000.    |
| NEW AMERICA FOUNDATION                           | NA   | PC                      | SEE STATEMENT                    | 50,000.    |
| 740 15TH STREET NW, SUITE 900                    | NA   | 10                      | SHE STATEMENT                    |            |
| WASHINGTON, DC 20005                             |  |                         |                                  |            |
| WASHINGTON, DC 20005                             |  |                         |                                  |            |
|  |  |                         |                                  | 10,000.    |
| NEW VENTURE FUND                                 | NA   | PC                      | FOR USE BY CO-IMPACT,            | 10,000.    |
| 1201 CONNECTICUT AVE. NW SUITE 300               |  | 10                      | A GLOBAL COLLABORATIVE           |            |
| WASHINGTON, DC 20036                             |  |                         | FOR SYSTEMS CHANGE,              |            |
|  |  |                         | TOWARD ITS OPERATIONAL           |            |
|  |  |                         | COSTS                            | 6,500,000. |
| NEWS DEEPLY, INC.                                | NA   | NC                      | SEE STATEMENT                    | 0,000,000. |
| 115 FIFTH AVE. 6TH FLOOR                         |  | inc.                    |                                  |            |
| NEW YORK, NY 10003                               |  |                         |                                  |            |
| NEW TORR, NT TOODS                               |  |                         |                                  |            |
|  |  |                         |                                  | 100,000.   |
| NONPROFIT FINANCE FUND                           | NA   | PC                      | SEE STATEMENT                    |            |
| 5 HANOVER SQUARE, 9TH FLOOR                      |  |                         |                                  |            |
| NEW YORK, NY 10004                               |  |                         |                                  |            |
| ,  |  |                         |                                  |            |
|  |  |                         |                                  | 100,000.   |
| NORTHWESTERN UNIVERSITY                          | NA   | PC                      | SEE STATEMENT                    |            |
| 633 CLARK STREET                                 |  |                         |                                  |            |
| EVANSTON, IL 60208                               |  |                         |                                  |            |
|  |  |                         |                                  |            |
|  |  |                         |                                  | 296,800.   |
| OXFAM-AMERICA INC.                               | NA   | PC                      | SEE STATEMENT                    |            |
| 226 CAUSEWAY STREET, 5TH FLOOR                   |  |                         |                                  |            |
| BOSTON, MA 02114-2206                            |  |                         |                                  |            |
|  |  |                         |                                  |            |
|  |  |                         |                                  | 100,000.   |
| PACT INSTITUTE, INC.                             | NA   | PC                      | SEE STATEMENT                    |            |
| 1828 L STREET NW                                 |  |                         |                                  |            |
| WASHINGTON, DC 20036                             |  |                         |                                  |            |
|  |  |                         |                                  |            |
|  |  |                         |                                  | 3,500,000. |
| PANAHPUR   | NA   | NC                      | SEE STATEMENT                    |            |
| 84 HIGH STREET                                   |  |                         |                                  |            |
| TONBRIDGE, KENT, UNITED KINGDOM TN9              |  |                         |                                  |            |
| 1AP  |  |                         |                                  |            |
|  |  |                         |                                  | 50,000.    |
| Total from continuation sheets                   |  |                         |                                  |            |

| 3 Grants and Contributions Approved for Fu | ture Payment (Continuation)  | 1                       |                                  |         |
|--|--|-------------------------|----------------------------------|---------|
| Recipient                                  | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount  |
| Name and address (home or business)        | or substantial contributor   | recipient               |                                  |         |
| PARTNERSHIP FOR PUBLIC SERVICE, INC.       | NA   | PC                      | SEE STATEMENT                    |         |
| 1100 NEW YORK AVENUE NW SUITE 1090         |  |                         |                                  |         |
| EAST WASHINGTON, DC 20005                  |  |                         |                                  |         |
|  |  |                         |                                  | E00.000 |
| РАТН                                       | NA   | PC                      | SEE STATEMENT                    | 500,000 |
| 2201 WESTLAKE AVE, SUITE 200               |  |                         |                                  |         |
| SEATTLE, WA 98121                          |  |                         |                                  |         |
|  |  |                         |                                  |         |
|  |  |                         |                                  | 338,805 |
| POST ROAD FOUNDATION                       | NA   | PC                      | SEE STATEMENT                    |         |
| 1935 ADDISON STREET SUITE A                |  |                         |                                  |         |
| BERKELEY, CA 94704                         |  |                         |                                  |         |
|  |  |                         |                                  | 30,000  |
| POWER FOR ALL                              | NA   | PC                      | SEE STATEMENT                    | 30,000  |
| 1012 TORNEY AVE                            |  |                         |                                  |         |
| SAN FRANCISCO, CA 94129                    |  |                         |                                  |         |
|  |  |                         |                                  |         |
|  |  |                         |                                  | 250,000 |
| PRABHAT EDUCATION FOUNDATION               | NA   | PC                      | SEE STATEMENT                    |         |
| B1002 RUSHIN TOWERS, OPP STAR BAZAAR       |  |                         |                                  |         |
| SATELLITE ROAD GUJARAT, INDIA              |  |                         |                                  |         |
|  |  |                         |                                  | 10,000  |
| PRESIDENT AND FELLOWS OF HARVARD           | NA   | PC                      | SEE STATEMENT                    | 10,000  |
| COLLEGE                                    |  |                         |                                  |         |
| 1350 MASSACHUSETTS AVENUE                  |  |                         |                                  |         |
| MASSACHUSETTS HALL CAMBRIDGE, MA           |  |                         |                                  |         |
| 02138                                      |  |                         |                                  | 251,261 |
| PRESIDENT AND FELLOWS OF HARVARD           | NA   | PC                      | SEE STATEMENT                    |         |
|  |  |                         |                                  |         |
| 1350 MASSACHUSETTS AVENUE                  |  |                         |                                  |         |
| MASSACHUSETTS HALL CAMBRIDGE, MA<br>02138  |  |                         |                                  | 30,000  |
| PRI ASSOCIATION                            | NA   | PC                      | SEE STATEMENT                    |         |
| 25 CAMPERDOWN STREET 5TH FLOOR             |  |                         |                                  |         |
| LONDON, UNITED KINGDOM                     |  |                         |                                  |         |
|  |  |                         |                                  |         |
|  |  |                         |                                  | 19,040  |
| PYXERA GLOBAL INC.                         | NA   | PC                      | SEE STATEMENT                    |         |
| 1030 15TH STREET NW, SUITE 730 EAST        |  |                         |                                  |         |
| WASHINGTON, DC 20005                       |  |                         |                                  |         |
|  |  |                         |                                  | 100,000 |
| RESULTS FOR DEVELOPMENT INSTITUTE,         | NA   | PC                      | SEE STATEMENT                    |         |
| INC.                                       |  |                         |                                  |         |
| 1111 19TH STREET, NW SUITE 700             |  |                         |                                  |         |
| WASHINGTON, DC 20036                       |  |                         |                                  |         |
|  |  |                         |                                  | 50,000  |

| Part XV Supplementary Information           |  |                         |                                  | 1          |
|---|--|-------------------------|----------------------------------|------------|
| 3 Grants and Contributions Approved for Fut |  | Т                       |                                  |            |
| Recipient                                   | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation<br>status of | Purpose of grant or contribution | Amount     |
| Name and address (home or business)         | or substantial contributor   | recipient               |                                  |            |
| RESULTS FOR DEVELOPMENT INSTITUTE,          | NA   | PC                      | SEE STATEMENT                    |            |
| INC.  |  |                         |                                  |            |
| 1111 19TH STREET, NW SUITE 700              |  |                         |                                  |            |
| WASHINGTON, DC 20036                        |  |                         |                                  |            |
|   |  |                         |                                  | 30,000.    |
| RIGHT MANAGEMENT INC.                       | NA   | NC                      | SEE STATEMENT                    |            |
| 100 MANPOWER PLACE<br>MILWAUKEE, WI 53212   |  |                         |                                  |            |
| MILWROREE, WI 55212                         |  |                         |                                  |            |
|   |  |                         |                                  | 20,000.    |
| ROCKEFELLER PHILANTHROPY ADVISORS,          | NA   | PC                      | SEE STATEMENT                    |            |
| INC.  |  |                         |                                  |            |
| 6 WEST 48TH STREET 10TH FLOOR               |  |                         |                                  |            |
| NEW YORK, NY 10036                          |  |                         |                                  |            |
|   |  |                         |                                  | 2,265,116. |
| ROCKEFELLER PHILANTHROPY ADVISORS,          | NA   | PC                      | SEE STATEMENT                    |            |
| INC.  |  |                         |                                  |            |
| 6 WEST 48TH STREET 10TH FLOOR               |  |                         |                                  |            |
| NEW YORK, NY 10036                          |  |                         |                                  |            |
|   |  |                         |                                  | 250,000.   |
| ROYAL INSTITUTE OF INTERNATIONAL            | NA   | PC                      | SEE STATEMENT                    |            |
| AFFAIRS                                     |  |                         |                                  |            |
| CHATHAM HOUSE 10 ST. JAMES'S SQUARE         |  |                         |                                  |            |
| LONDON, UNITED KINGDOM                      |  |                         |                                  | 22,670.    |
| SAMBODHI RESEARCH AND COMMUNICATIONS        | NA   | NC                      | SEE STATEMENT                    | 22,070.    |
| PRIVATE LIMITED                             |  |                         |                                  |            |
| C – 126, SECTOR 2, NOIDA                    |  |                         |                                  |            |
| UTTAR PRADESH, INDIA                        |  |                         |                                  |            |
|   |  |                         |                                  | 300,000.   |
| SHACK DWELLERS INTERNATIONAL                | NA   | PC                      | SEE STATEMENT                    |            |
| NO 4 SEYMOUR STREET OBSERVATORY             |  |                         |                                  |            |
| CAPE TOWN, SOUTH AFRICA                     |  |                         |                                  |            |
|   |  |                         |                                  |            |
|   |  |                         |                                  | 25,000.    |
| SHELL FOUNDATION                            | NA   | NC                      | SEE STATEMENT                    |            |
| SHELL CENTRE                                |  |                         |                                  |            |
| LONDON, UNITED KINGDOM                      |  |                         |                                  |            |
|   |  |                         |                                  | 150,000.   |
| SOUTHERN POVERTY LAW CENTER                 | NA   | PC                      | SEE STATEMENT                    |            |
| 400 WASHINGTON AVENUE                       |  |                         |                                  |            |
| MONTGOMERY, AL 36104                        |  |                         |                                  |            |
|   |  |                         |                                  |            |
|   |  |                         |                                  | 50,000.    |
| STICHTING DIENST LANDBOUWKUNDIG             | NA   | PC                      | SEE STATEMENT                    |            |
| ONDERZOEK                                   |  |                         |                                  |            |
| DROEVENDAALSESTEEG 1 6708 PB                |  |                         |                                  |            |
| WAGENINGEN GELDERLAND, NETHERLANDS          |  |                         |                                  |            |
|   |  |                         |                                  | 14,972.    |

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| 3 Grants and Contributions Approved for Fut   | ure Payment (Continuation)                                 |                         |                                  |           |
|---|--|-------------------------|----------------------------------|-----------|
| Recipient                                     | If recipient is an individual,<br>show any relationship to | Foundation<br>status of | Purpose of grant or contribution | Amount    |
| Name and address (home or business)           | any foundation manager<br>or substantial contributor       | recipient               | oonanbadon                       |           |
| SUSTAINABLE FOOD LAB                          | NA   | PC                      | SEE STATEMENT                    |           |
| 3 LINDEN ROAD                                 |  |                         |                                  |           |
| HARTLAND, VT 05048                            |  |                         |                                  |           |
|   |  |                         |                                  |           |
|   |  |                         |                                  | 50,00     |
| THE BOARD OF TRUSTEES OF THE LELAND           | NA   | PC                      | SEE STATEMENT                    |           |
| STANFORD JUNIOR UNIVERSITY                    |  |                         |                                  |           |
| 450 SERRA MALL                                |  |                         |                                  |           |
| STANFORD, CA 94305-2004                       |  |                         |                                  |           |
|   |  |                         |                                  | 400,000   |
| THE BOARD OF TRUSTEES OF THE LELAND           | NA   | PC                      | SEE STATEMENT                    |           |
| STANFORD JUNIOR UNIVERSITY                    |  |                         |                                  |           |
| 450 SERRA MALL                                |  |                         |                                  |           |
| STANFORD, CA 94305-2004                       |  |                         |                                  |           |
|   |  |                         |                                  | 107,474   |
| THE ENERGY FOR GROWTH HUB                     | NA   | NC                      | SEE STATEMENT                    |           |
| 2055 L STREET NW                              |  |                         |                                  |           |
| WASHINGTON, DC 20036                          |  |                         |                                  |           |
|   |  |                         |                                  |           |
|   |  |                         |                                  | 250,000   |
| THE GLOBAL STEERING GROUP FOR IMPACT          | NA   | PC                      | SEE STATEMENT                    |           |
| INVESTMENT                                    |  |                         |                                  |           |
| 32 HAMPSTEAD HIGH STREET NW3 1QD              |  |                         |                                  |           |
| LONDON, UNITED KINGDOM                        |  |                         |                                  |           |
|   |  |                         |                                  | 400,000   |
| THE MIAMI FOUNDATION INC.                     | NA   | PC                      | SEE STATEMENT                    |           |
| 40 NW 3RD STREET SUITE 305                    |  |                         |                                  |           |
| MIAMI, FL 33128                               |  |                         |                                  |           |
|   |  |                         |                                  | 150.000   |
| THE NEAR DOMINIATION THE                      | NT 7   | Da                      | SEE STATEMENT                    | 150,000   |
| THE MIAMI FOUNDATION INC.                     | NA   | PC                      | SEE STATEMENT                    |           |
| 40 NW 3RD STREET SUITE 305<br>MIAMI, FL 33128 |  |                         |                                  |           |
| MIAMI, FI 55120                               |  |                         |                                  |           |
|   |  |                         |                                  | 100,000   |
| THE NISKANEN CENTER INC.                      | NA   | PC                      | SEE STATEMENT                    | 100,000   |
| 820 FIRST STREET, NE 675                      |  | 10                      |                                  |           |
| WASHINGTON, DC 20002                          |  |                         |                                  |           |
|   |  |                         |                                  |           |
|   |  |                         |                                  | 25,000    |
| THE ROCKEFELLER FOUNDATION                    | NA   | PC                      | SEE STATEMENT                    |           |
| 420 FIFTH AVENUE                              |  |                         |                                  |           |
| NEW YORK, NY 10011-8306                       |  |                         |                                  |           |
| ,   |  |                         |                                  |           |
|   |  |                         |                                  | 1,472,795 |
| THE TRUSTEES OF COLUMBIA UNIVERSITY           | NA   | PC                      | SEE STATEMENT                    | , , ,     |
| IN THE CITY OF NEW YORK                       |  |                         |                                  |           |
| 622 WEST 113TH STREET, MC 4524                |  |                         |                                  |           |
| NEW YORK, NY 10025                            |  |                         |                                  |           |
|   |  |                         |                                  | 900,000   |
| Total from continuation sheets                | -1   | 1                       | 1                                | ,         |

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| Part XV       Supplementary Information         3       Grants and Contributions Approved for Future Payment (Continuation) |  |                        |                     |           |  |  |
|---|--|------------------------|---------------------|-----------|--|--|
| Recipient   | If recipient is an individual,   | Foundation             | Purpose of grant or |           |  |  |
| Name and address (home or business)   | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | contribution        | Amount    |  |  |
| THE URBAN INSTITUTE   | NA   | PC                     | SEE STATEMENT       |           |  |  |
| 2100 M STREET, N.W.   |  |                        |                     |           |  |  |
| WASHINGTON, DC 20037  |  |                        |                     |           |  |  |
|   |  |                        |                     |           |  |  |
| TRANSFAIR USA   | NA   | PC                     | SEE STATEMENT       | 3,029,387 |  |  |
| 1500 BROADWAY, SUITE 400  | NA   | rc                     | SEE SIRIEMENI       |           |  |  |
| OAKLAND, CA 94612   |  |                        |                     |           |  |  |
| ,   |  |                        |                     |           |  |  |
|   |  |                        |                     | 200,000   |  |  |
| TROOPER SANDERS   | NONE   | I                      | SEE STATEMENT       |           |  |  |
| 631 D STREET NW APT. 730  |  |                        |                     |           |  |  |
| WASHINGTON, DC 20004  |  |                        |                     |           |  |  |
|   |  |                        |                     | 120,000   |  |  |
| TRUSTEES OF BOSTON UNIVERSITY   | NA   | PC                     | SEE STATEMENT       |           |  |  |
| 881 COMMONWEALTH AVENUE   |  |                        |                     |           |  |  |
| BOSTON, MA 02215  |  |                        |                     |           |  |  |
|   |  |                        |                     |           |  |  |
|   |  |                        |                     | 125,000   |  |  |
| UNION OF CONCERNED SCIENTISTS, INC.<br>2 BRATTLE SQUARE   | NA   | PC                     | SEE STATEMENT       |           |  |  |
| Z BRATTLE SQUARE<br>CAMBRIDGE, MA 02138-3780  |  |                        |                     |           |  |  |
|   |  |                        |                     |           |  |  |
|   |  |                        |                     | 100,000   |  |  |
| UNITED NATIONS FOUNDATION, INC.   | NA   | PC                     | SEE STATEMENT       |           |  |  |
| 1750 PENNSYLVANIA AVENUE, NW, SUITE   |  |                        |                     |           |  |  |
| 300 WASHINGTON, DC 20006  |  |                        |                     |           |  |  |
|   |  |                        |                     | 50,000    |  |  |
| UNITED STATES ARTISTS, INC.   | NA   | PC                     | SEE STATEMENT       | 50,000    |  |  |
| 900 N. MICHIGAN AVENUE SUITE 1300   |  |                        |                     |           |  |  |
| CHICAGO, IL 60611   |  |                        |                     |           |  |  |
|   |  |                        |                     |           |  |  |
|   |  |                        |                     | 50,000    |  |  |
| UNIVERSITY COLLEGE LONDON   | NA   | PC                     | SEE STATEMENT       |           |  |  |
| GOWER STREET<br>LONDON, UNITED KINGDOM  |  |                        |                     |           |  |  |
|   |  |                        |                     |           |  |  |
|   |  |                        |                     | 267,000   |  |  |
| UNIVERSITY OF CHICAGO   | NA   | PC                     | SEE STATEMENT       |           |  |  |
| 5801 SOUTH ELLIS AVENUE   |  |                        |                     |           |  |  |
| CHICAGO, IL 60637   |  |                        |                     |           |  |  |
|   |  |                        |                     | 10 100    |  |  |
| UNIVERSITY OF GREENWICH   | NA   | PC                     | SEE STATEMENT       | 49,123    |  |  |
| MARITIME GREENWICH CAMPUS OLD ROYAL   |  |                        |                     |           |  |  |
| NAVAL COLLEGE LONDON, UNITED KINGDOM  |  |                        |                     |           |  |  |
| -   |  |                        |                     |           |  |  |
|   |  | 1                      |                     | 100,000   |  |  |

| Part XV         Supplementary Informatio           3         Grants and Contributions Approved for Fur |  |                        |                     |         |
|--|--|------------------------|---------------------|---------|
| Recipient  | If recipient is an individual,   | Foundation             | Purpose of grant or |         |
| Name and address (home or business)  | show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | contribution        | Amount  |
| UNIVERSITY OF NEW ORLEANS  | NA   | PC                     | SEE STATEMENT       |         |
| 2000 LAKESHORE DRIVE   |  |                        |                     |         |
| NEW ORLEANS, LA 70148  |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 260,966 |
| USHAHIDI, INC.<br>12472 LAKE UNDERHILL ROAD 330  | NA   | PC                     | SEE STATEMENT       |         |
| ORLANDO, FL 32828  |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 100,000 |
| VIRGINIA ORGANIZING  | NA   | PC                     | SEE STATEMENT       | ,       |
| 703 CONCORD AVENUE   |  |                        |                     |         |
| CHARLOTTESVILLE, VA 22903  |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 25,000  |
| VITAL RESEARCH LLC   | NA   | NC                     | SEE STATEMENT       |         |
| 6380 WILSHIRE BOULEVARD SUITE 1700   |  |                        |                     |         |
| LOS ANGELES, CA 90048  |  |                        |                     |         |
|  |  |                        |                     | 100,000 |
| VOX MEDIA, INC.  | NA   | NC                     | SEE STATEMENT       | ,       |
| 1201 CONNECTICUT AVE., NW 11TH FLOOR   |  |                        |                     |         |
| WASHINGTON, DC 20036   |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 130,100 |
| WENDY TAYLOR   | NONE   | I                      | SEE STATEMENT       |         |
| 2501 RIDGE ROAD DRIVE  |  |                        |                     |         |
| ALEXANDRIA, VA 22302   |  |                        |                     |         |
|  |  |                        |                     | 206,250 |
| WINDWARD FUND  | NA   | PC                     | SEE STATEMENT       | , ,     |
| 1201 CONNECTICUT AVE NW SUITE 300  |  |                        |                     |         |
| WASHINGTON, DC 20036   |  |                        |                     |         |
|  |  |                        |                     |         |
|  |  |                        |                     | 490,000 |
| WINDWARD FUND  | NA   | PC                     | SEE STATEMENT       |         |
| 1201 CONNECTICUT AVE NW SUITE 300  |  |                        |                     |         |
| WASHINGTON, DC 20036   |  |                        |                     |         |
|  |  |                        |                     | 100,000 |
| WORLD AFFAIRS COUNCIL OF NORTHERN  | NA   | PC                     | SEE STATEMENT       | ,       |
| CALIFORNIA   |  |                        |                     |         |
| 312 SUTTER STREET SUITE 200  |  |                        |                     |         |
| SAN FRANCISCO, CA 94108-4384   |  |                        |                     |         |
|  |  |                        |                     | 75,000  |
| WORLD FOOD PROGRAMME   | NA   | GOV                    | SEE STATEMENT       |         |
| VIA CESARE GIULIO VIOLA 68 PARCO DEI   |  |                        |                     |         |
| MEDICI ROME, ITALY 00148   |  |                        |                     |         |
|  |  |                        |                     | 14,332  |
| Total from continuation sheets   | 1  | 1                      | 1                   |         |

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| 3 Grants and Contributions Approved for F |  |                        |                                  |          |
|---|--|------------------------|----------------------------------|----------|
| Recipient                                 |  | Foundation             | Purpose of grant or contribution | <u> </u> |
| Name and address (home or business)       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | contribution                     | Amount   |
| ORLD RESOURCES INSTITUTE                  | NA   | PC                     | SEE STATEMENT                    |          |
| 0 G STREET, NE SUITE 800                  |  |                        |                                  |          |
| ASHINGTON, DC 20002                       |  |                        |                                  |          |
|   |  |                        |                                  |          |
| WORLD WILDLIFE FUND INC.                  | NA   | PC                     | SEE STATEMENT                    | 50,00    |
| .250 24TH STREET, NW                      |  |                        |                                  |          |
| ASHINGTON, DC 20037                       |  |                        |                                  |          |
|   |  |                        |                                  |          |
|   |  |                        |                                  | 963,00   |
|   |  |                        |                                  |          |
|   |  |                        |                                  |          |
|   |  |                        |                                  |          |
|   |  |                        |                                  |          |
|   |  |                        |                                  |          |
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|   |  |                        |                                  |          |
|   |  |                        |                                  |          |
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| Total from continuation sheets            |  |                        |                                  |          |

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - 100 WOMEN IN FINANCE FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ACCELERATOR FOR AMERICA

IN SUPPORT OF BUILDING REPLICABLE MODELS FOR CITIES TO SPARK

INCLUSIONARY DEVELOPMENT IN THEIR COMMUNITIES

NAME OF RECIPIENT - ACHIEVE LEARNING AND RESOURCE CENTER INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ACLU FOUNDATION (AMERICAN CIVIL LIBERTIES UNION

FOUNDATION INC)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ACLU FOUNDATION (AMERICAN CIVIL LIBERTIES UNION

FOUNDATION)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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Supplementary Information

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

#### ORGANIZATIONS

Part XV

NAME OF RECIPIENT - ACLU FOUNDATION AMERICAN CIVIL LIBERTIES UNION

#### FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ACLU FOUNDATION AMERICAN CIVIL LIBERTIES UNION

FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ACRE CAPITAL LIMITED

IN SUPPORT OF THE DESIGN OF AN IMPACT INVESTMENT VEHICLE THAT WOULD

LEVERAGE EXPORT CREDIT AGENCY CAPITAL TO FUND SOCIAL INFRASTRUCTURE

PROJECTS IN EMERGING MARKETS

NAME OF RECIPIENT - ACUMEN FUND, INC.

IN SUPPORT OF DEVELOPING A SUITE OF RESOURCES FOR STUDENT SOCIAL

INNOVATION COMPETITIONS, INCLUDING TOOLS, TRAINING, FUNDING, AND A

NETWORK OF PEER INNOVATORS AND INVESTORS

NAME OF RECIPIENT - ACUMEN FUND, INC.

IN SUPPORT OF EQUIPPING SOCIAL ENTERPRISES AND IMPACT INVESTORS WITH

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

INNOVATIVE TECHNOLOGIES TO COST-EFFECTIVELY COLLECT AND ANALYZE DATA ON

# THE SOCIAL IMPACT OF THEIR PROGRAMS

NAME OF RECIPIENT - ADESO

TOWARD THE COSTS OF ESTABLISHING A GLOBAL NETWORK OF SOUTHERN NGOS

WORKING ON HUMANITARIAN RESPONSE. RECOVERY AND RESILIENCE BUILDING

NAME OF RECIPIENT - ADVENTIST OUTREACH MINISTRIES INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AFRICA GREENCO

TOWARD THE COSTS OF ESTABLISHING A NEW PUBLIC-PRIVATE PARTNERSHIP THAT

CATALYZES PRIVATE SECTOR INVESTMENT IN AFRICAN ENERGY PROJECTS

NAME OF RECIPIENT - AFRICA KNOWS PHOTOGRAPHY LIMITED

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM EMERGING LEADERS

THROUGHOUT SUB-SAHARAN AFRICA ON THE MOST PROMISING SOLUTIONS TO

ECONOMIC, SOCIAL, AND ENVIRONMENTAL CHALLENGES FOR POOR AND VULNERABLE

PEOPLE

NAME OF RECIPIENT - AFRICA PRACTICE EAST AFRICA LIMITED

IN SUPPORT OF INCREASING AWARENESS OF THE ISSUE OF POSTHARVEST LOSS IN

SPECIFIC COUNTRIES OF SUB-SAHARA AFRICA AND ACROSS VALUE CHAINS

NAME OF RECIPIENT - AFRICA TWENTY FOUR MEDIA, LTD.

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF DEVELOPING MEDIA COLLATERAL TO RAISE AWARENESS ABOUT

POST-HARVEST LOSS TO DIFFERENT STAKEHOLDERS IN THE AGRICULTURE SECTOR

NAME OF RECIPIENT - AFRICAN DEVELOPMENT BANK

TOWARD THE COSTS OF ITS LEADERSHIP 4 AGRICULTURE PLATFORM TO SERVE AS A

FORUM FOR DIALOGUE AND POLICY FORMULATION TO ADVANCE AFRICAS

AGRICULTURE TRANSFORMATION AGENDA, AND OF SCALING YOUTH EMPLOYMENT

THROUGH ITS JOBS FOR YOUTH IN AFRICA PROGRAM

NAME OF RECIPIENT - AFRICAN RISK CAPACITY SPECIALIZED AGENCY OF THE

# AFRICAN UNION

IN SUPPORT OF PLANNING AND DESIGNING A NEW SOVEREIGN RISK POOL TO

INSURE PARTICIPATING NATIONS IN THE EVENT OF DISEASE OUTBREAKS AND

EPIDEMICS

NAME OF RECIPIENT - AFRICAN UNION COMMISSION

IN SUPPORT OF STRENGTHENING ITS INTERNAL CAPACITY TO DESIGN AND

IMPLEMENT THE AFRICA RISK CAPACITY PROJECT, A DISASTER RISK POOL THAT

WOULD PROVIDE RESOURCES TO AFRICAN NATIONS IN THE EVENT OF A SEVERE

WEATHER SHOCK

NAME OF RECIPIENT - AGNES M. DASEWICZ

IN SUPPORT OF A FELLOWSHIP TO LANDSCAPE OPPORTUNITIES FOR

INFRASTRUCTURE INVESTMENT IN SELECT CITIES IN THE U.S. AND ASIA, AND

FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - AID TECHNOLOGY LIMITED

IN SUPPORT OF IMPLEMENTING TWO DISCRETE PILOT PROJECTS THAT DEMONSTRATE

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THE TRANSFORMATIVE POTENTIAL OF BLOCKCHAIN TECHNOLOGY FOR SOCIAL IMPACT

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

# Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ALI FORNEY CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF CONVENING THE AFRICA GREEN REVOLUTION FORUM OVER

THE NEXT THREE YEARS AND OF DEVELOPING A REFRESHED STRATEGY TO SHAPE

THE FUTURE OF THE FORUM AND ITS ROLE IN INFLUENCING AND DRIVING A

SHARED VISION ON AN INCLUSIVE AGRICULTURAL TRANSFORMATION IN AFRICA

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF IMPLEMENTING ITS NEW STRATEGIC APPROACH TO

INCLUSIVE AND INTEGRATED AGRICULTURAL TRANSFORMATION IN AFRICA

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES

OF SMALL HOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE MAIZE VALUE

CHAIN IN TANZANIA

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF TRANSITIONING TO A NEW ORGANIZATIONAL STRUCTURE AND

DEVELOPING A SET OF CAPABILITIES BEST PLACED TO EXECUTE THE NEW

STRATEGY

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

IN SUPPORT OF BUILDING AND IMPROVING ITS INTERNAL PROGRAM MANAGEMENT

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

# CAPABILITIES IN ORDER TO EXECUTE A COORDINATED AND INTEGRATED

# POST-HARVEST LOSS INTERVENTION ON TANZANIAS MAIZE VALUE CHAIN

NAME OF RECIPIENT - ALLIANCE OF ARTISTS COMMUNITIES

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALLIANCE OF RESIDENT THEATRES NEW YORK INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALVIN AILEY DANCE FOUNDATION, INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALZHEIMER'S ASSOCIATION NATIONAL OFFICE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALZHEIMERS ASSOCIATION NATIONAL OFFICE

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# Part XV

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

## ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ALZHEIMERS FOUNDATION OF AMERICA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS. AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICAN CANCER SOCIETY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICAN CANCER SOCIETY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICAN CANCER SOCIETY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - AMERICAN DANCE MACHINE FOR THE 21ST CENTURY

FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY

RESEARCH

TOWARD THE COSTS OF DEVELOPING RECOMMENDATIONS THAT PROMOTE SOCIAL AND

ECONOMIC MOBILITY AMONG LOW- AND MIDDLE-INCOME AMERICANS THROUGH

ENTREPRENEURSHIP AND SELF-EMPLOYMENT

NAME OF RECIPIENT - AMERICAN FOUNDATION FOR SUICIDE PREVENTION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICAN JEWISH WORLD SERVICE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICAN RED CROSS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - AMERICANS UNITED FOR SEPARATION OF CHURCH AND STATE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ANDREW J. YOUNG FOUNDATION, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ANDREW SHAW MEMORIAL TRUST

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ANIMAL HAVEN INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ANTI-DEFAMATION LEAGUE

Supplementary Information

# TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - APCO WORLDWIDE INC.

IN SUPPORT OF PROVIDING COMMUNICATION AND STAKEHOLDER ENGAGEMENT

SUPPORT TO THE SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION AND

SMART POWER FOR RURAL DEVELOPMENT INITIATIVE

NAME OF RECIPIENT - APOLLO THEATER FOUNDATION, INC.

TOWARD THE COSTS OF ITS APOLLO RISING 2.0 CAPITAL CAMPAIGN TO ELEVATE

THE APOLLO TO A CENTER FOR 21ST CENTURY PERFORMING ARTS

NAME OF RECIPIENT - ARIEL EDUCATION INTIATIVE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ARLINGTON FOOD ASSISTANCE CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ARMILLARIA, LLC

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN GENERAL SUPPORT OF ESTABLISHING A NEW HYBRID SOCIAL ENTERPRISE TO

CONTINUE THE DEVELOPMENT AND DEPLOYMENT OF THE RESILIENCE EXCHANGE

PLATFORM.

NAME OF RECIPIENT - ART AFRICA CENTRE

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING AFRICAN ARTISTS AS

CANDIDATES FOR RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO

CENTER, ITALY

NAME OF RECIPIENT - ART EARTH TECH LIMITED

IN SUPPORT OF DEVELOPING A FEASIBILITY STUDY OF A NEW FUNDING MODEL FOR

HEALTH CARE WITH THE POTENTIAL TO SPUR INVESTMENT IN THE DEVELOPMENT

AND DISTRIBUTION OF DRUGS WITH HIGHER LIFESAVING HEALTH OUTCOMES FOR

POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - ART TO

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ) THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - ASIA FOUNDATION

IN SUPPORT OF FOSTERING CONSTRUCTIVE ENGAGEMENT BETWEEN CIVIL SOCIETY

ACTORS AND GOVERNMENT TO EFFECTIVELY UNDERTAKE POLICY REFORM IN MYANMAR

## 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ASIA FOUNDATION

IN SUPPORT OF ASSISTING THE ASSOCIATION OF SOUTHEAST ASIAN NATIONS

ASEAN ESTABLISH A CENTER FOR SUSTAINABLE DEVELOPMENT THAT WILL SOLIDIFY

ASEANS LEADERSHIP ROLE IN DEVELOPMENT OPERATION AND HUMANITARIAN

ASSISTANCE

NAME OF RECIPIENT - ASIAN VENTURE PHILANTHROPY NETWORK LIMITED

IN SUPPORT OF A PROJECT TO ADVANCE UNDERSTANDING OF THE CURRENT

LANDSCAPE OF INNOVATIVE FINANCING TOOLS IN ASIA

NAME OF RECIPIENT - ASIAN VENTURE PHILANTHROPY NETWORK LIMITED

IN SUPPORT OF BUILDING AN EFFECTIVE AND HIGH-IMPACT PHILANTHROPIC AND

SOCIAL INVESTMENT COMMUNITY IN ASIA

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

FOR USE BY ITS FUTURE OF WORK INITIATIVE TOWARD THE COSTS OF A

NONPARTISAN EFFORT TO IDENTIFY CONCRETE WAYS TO ADDRESS THE CHALLENGES

AMERICAN WORKERS FACE DUE TO THE CHANGING NATURE OF WORK IN THE 21ST

CENTURY

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

FOR USE BY ITS ASPEN FORUM FOR COMMUNITY SOLUTIONS TOWARD THE COSTS OF

EFFORTS TO DEEPEN AND EXPAND THE COMMUNITY-BASED WORK OF THE 100,000

OPPORTUNITIES INITIATIVE, AN EMPLOYER-LED COALITION COMMITTED TO

INCREASING EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN

THE U.S.

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOR USE BY ITS CONGRESSIONAL PROGRAM TOWARD THE COSTS OF A NONPARTISAN

PROJECT TO EDUCATE LEGISLATORS ON KEY PUBLIC POLICY ISSUES

NAME OF RECIPIENT - ASSOCIATION OF STATE AND TERRITORIAL HEALTH OFFICIALS

IN SUPPORT OF DEVELOPING A UNIFIED COMMUNICATIONS AND ADVOCACY CAMPAIGN

STRATEGY TO PRESERVE AND ENHANCE U.S. PUBLIC HEALTH FUNDING AND ADVANCE

SUPPORTIVE POLICY

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ASYLUM ACCESS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ATL EDUCATIONAL TELECOM COLLABORATIVE DBA PUBLIC

BROADCASTING ATLANTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BAKAS PILIPINAS INCORPORATED

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BANQU, INC.

IN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS

FOR BUILDING ECONOMIC IDENTITIES FOR REFUGEES

NAME OF RECIPIENT - BARNARD COLLEGE

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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NAME OF RECIPIENT - BARNARD COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BE LIKE BRIT

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BENNETT COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BEYOND ZERO

IN SUPPORT OF STUDYING THE FEASIBILITY OF ESTABLISHING NEW SPECIALIZED

CARE HEALTH FACILITIES IN KENYA TO HELP CONTROL HIV AND PROMOTE

MATERNAL, NEWBORN, AND CHILDRENS HEALTH

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BIKE AND WALK MONTCLAIR

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - BIPARTISAN POLICY CENTER, INC.

IN SUPPORT OF IDENTIFYING, CATALOGING AND ASSESSING INNOVATIVE POLICY

IDEAS AT THE STATE, COUNTY AND LOCAL LEVELS TO ENHANCE ECONOMIC

OPPORTUNITY AND SECURITY FOR AMERICAS VULNERABLE WORKERS

NAME OF RECIPIENT - BLUE STATE DIGITAL, INC.

IN SUPPORT OF BUILDING, LAUNCHING, AND OPTIMIZING A PUBLISHING AND

KNOWLEDGE-SHARING PLATFORM TO UNITE AND BUILD THE FIELD OF RESILIENCE

BY DELIVERING WIDE-RANGING, QUALITY CONTENT, CONNECTIONS, EVENTS, AND

RECOMMENDATIONS TO RESILIENCE PRACTITIONERS

NAME OF RECIPIENT - BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR

UNIVERSITY

FOR USE BY ITS NATURAL CAPITAL PROJECT IN SUPPORT OF DEVELOPING A

GLOBAL SCIENCE AND TECHNOLOGY PLATFORM TO MAINSTREAM ACCOUNTING FOR

NATURAL CAPITAL INTO POLICIES AND MARKETS AFFECTING HUMAN WELLBEING

NAME OF RECIPIENT - BRAIN ANEURYSM FOUNDATION INCORPORATED

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BROOKINGS INSTITUTION

IN SUPPORT OF A RESEARCH AND ENGAGEMENT EFFORT TO HELP RESHAPE AND

INFORM INTERNATIONAL COOPERATION THROUGH THE GROUP OF 20, A FORUM OF

THE WORLD'S LEADING INDUSTRIALIZED AND EMERGING ECONOMIES

NAME OF RECIPIENT - BROOKINGS INSTITUTION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN HISTORICAL SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN PUBLIC LIBRARY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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# Part XV Supplementary Information

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BROOKLYN WALDORF SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BRUNSWICK SCHOOL INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY

IN SUPPORT OF THE DEVELOPMENT AND EXPANSION OF THE GLOBAL IMPACT

SOURCING COALITION TO SUPPORT WIDE-SCALE ADOPTION OF IMPACT SOURCING AS

AN INCLUSIVE HIRING PRACTICE

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF ENABLING THE GLOBAL RESILIENCE PARTNERSHIP ASIA TO

ENHANCE ITS BUSINESS INTEGRATION ACTIONS AND STRATEGIES IN ORDER TO

INFLUENCE CORPORATE INVESTMENT IN CLIMATE RESILIENCE ACTIONS AND

INITIATIVES THROUGHOUT THE SOUTHEAST ASIA REGION

NAME OF RECIPIENT - BYTE BACK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CAB INTERNATIONAL

FOR USE BY ITS SCIDEV.NET DIVISION IN SUPPORT OF EXECUTING A THEMATIC

RESIDENCY AND A SELECT NUMBER OF CONFERENCES ALIGNED WITH SCIENCE FOR

DEVELOPMENT AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY,

NOVEMBER 2018, AND COLLECTING AND DISSEMINATING THE RESULTING KNOWLEDGE

ASSETS

NAME OF RECIPIENT - CAMPUS MISSION CHURCH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CAMPUS MISSION CHURCH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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### Part XV Supplementary Information

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - CAMPUS MISSION CHURCH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CAMPUS MISSION CHURCH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CAMPUS MISSION CHURCH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CAMPUS MISSION CHURCH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CAPITAL FOR GOOD USA

FOR USE BY FINANCING ALLIANCE FOR HEALTH IN SUPPORT OF DEVELOPING

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

INVESTMENT PLANNING, INNOVATIVE FINANCING STRATEGIES, AND CAPACITY

### MOBILIZATION FOR THE COMMUNITY HEALTH EXTENSION WORKERS PROGRAM IN

UGANDA

NAME OF RECIPIENT - CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE

### FOR USE BY ITS CARNEGIE-TSINGHUA CENTER FOR GLOBAL POLICY PROGRAMS

TOWARD THE COSTS OF PROVIDING RESEARCH AND ANALYSIS ON CHINA'S ROLE IN

GLOBAL DEVELOPMENT

NAME OF RECIPIENT - CAROLINA FOR KIBERA, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CASE WESTERN RESERVE UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CENTER FOR AMERICAN PROGRESS

IN SUPPORT OF RESEARCHING AND COMMUNICATING RECOMMENDATIONS FOR

ADVANCING EQUITY AND STIMULATING JOB GROWTH THROUGH FEDERAL

INFRASTRUCTURE INVESTMENTS

NAME OF RECIPIENT - CENTER FOR CREATIVE CHANGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CENTER FOR GLOBAL DEVELOPMENT

TOWARD THE COSTS OF ACCELERATING HIGH IMPACT ENERGY ACCESS FOR

HOUSEHOLDS AND BUSINESSES IN AFRICA AND INCUBATING THE ENERGY FOR

GROWTH HUB TO SUPPORT SMART, EFFECTIVE ENERGY SYSTEMS IN THE DEVELOPING

WORLD

NAME OF RECIPIENT - CENTER FOR MIGRATION STUDIES OF NEW YORK INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CENTER FOR REPRODUCTIVE RIGHTS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - CENTER FOR US GLOBAL LEADERSHIP

### TOWARD THE COSTS OF ITS HEARTLAND INITIATIVE AIMED AT RENEWING BROAD

ENGAGEMENT AROUND THE SIGNIFICANCE OF U.S. LEADERSHIP IN GLOBAL

DEVELOPMENT

NAME OF RECIPIENT - CENTRAL AMERICAN LEGAL ASSISTANCE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CENTRAL CONNECTICUT COAST YOUNG MENS CHRISTIAN

ASSOCIATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CEREBRAL PALSY FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CEREBRAL PALSY SOCIETY OF KENYA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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Supplementary Information

# ORGANIZATIONS

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NAME OF RECIPIENT - CERES, INC.

TOWARD THE COSTS OF PROMOTING ADOPTION OF ITS INVESTOR WATER RISK

ANALYSIS FRAMEWORK TO ENCOURAGE MORE SUSTAINABLE CORPORATE WATER

PRACTICES

NAME OF RECIPIENT - CHANCE FOR CHANGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF

OXFORD

FOR USE BY ITS OXFORD MARTIN SCHOOL IN SUPPORT OF THE ROCKEFELLER

FOUNDATION ECONOMIC COUNCIL ON PLANETARY HEALTH, AN EFFORT TO ESTABLISH

THE ECONOMIC AND BUSINESS CASE FOR PLANETARY HEALTH

NAME OF RECIPIENT - CHAUTAUQUA FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CHICAGO COOK WORKFORCE PARTNERSHIP

TOWARD THE COSTS OF LAUNCHING TECHNOLOGY TRAINING CENTERS IN CHICAGO TO

EQUIP LOW SKILL WORKERS WITH 21ST CENTURY TECH SKILLS THAT CAN INCREASE

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### Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ACCESS TO QUALITY JOBS

NAME OF RECIPIENT - CHICAGO COUNCIL ON GLOBAL AFFAIRS

IN SUPPORT OF A FELLOWSHIP TO REVIEW THE INTERNATIONAL INSTITUTIONS AND

PROGRAMS THAT COULD ADVANCE HUMANITARIAN RELIEF, DEVELOPMENT AND PEACE,

AND TO IDENTIFY SPECIFIC RECOMMENDATIONS FOR GOVERNANCE AND

ORGANIZATIONAL CHANGES TO IMPROVE THE EFFECTIVENESS OF INTERNATIONAL

RESPONSES TO HUMANITARIAN CHALLENGES

NAME OF RECIPIENT - CHICAGO PUBLIC MEDIA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CHILDREN INTERNATIONAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CHILDRENS MEDICAL RESEARCH FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CHS NORTH AMERICA FOUNDATION INC

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### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - CHS NORTH AMERICA FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CITY HARVEST INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CITY OF BOSTON

TOWARD THE COSTS OF EXPANDING ITS ECONOMIC MOBILITY LAB TO ADVANCE

ECONOMIC MOBILITY AND SECURITY FOR LOWER AND LOWER-MIDDLE CLASS

BOSTONIANS

NAME OF RECIPIENT - CITY UNIVERSITY OF NEW YORK

IN SUPPORT OF ESTABLISHING AN ENDOWMENT FUND FOR THE DAVID ROCKEFELLER

CULTURAL CORPS FELLOWSHIPS, TO PROVIDE TRAINING AND PAID INTERNSHIPS TO

LOW-INCOME YOUTH AT CULTURAL INSTITUTIONS THROUGHOUT NEW YORK CITY IN

AN EFFORT TO DIVERSIFY THE WORKFORCE OF THE CULTURAL SECTOR

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - CITYMEALS-ON-WHEELS

Supplementary Information

### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - CITYMEALS-ON-WHEELS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CLASSICAL THEATRE OF HARLEM INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CLASSICAL THEATRE OF HARLEM INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CLASSICAL THEATRE OF HARLEM INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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### Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - CLIMATE BONDS INITIATIVE

IN SUPPORT OF DEVELOPING DEFINITIONS AND STANDARDS THAT VERIFY THE

GREEN CREDENTIALS OF A BOND IN ORDER TO ENCOURAGE INVESTMENT IN CLIMATE

CHANGE ADAPTATION AND MITIGATION

NAME OF RECIPIENT - CLIMATE POLICY INITIATIVE, INC.

FOR USE BY ITS GLOBAL INNOVATION LAB FOR CLIMATE FINANCE IN SUPPORT OF

FURTHER DEVELOPING A CLIMATE RESILIENCE WORK STREAM TO LEVERAGE

INNOVATIVE SOURCES OF CAPITAL THAT BENEFIT POOR AND VULNERABLE

POPULATIONS, INCLUDING DEVELOPING INNOVATIVE FINANCIAL MECHANISMS TO

INCREASE ACCESS TO CLEAN AND SUSTAINABLE ENERGY

NAME OF RECIPIENT - CLOUD TIGER MEDIA, INC.

IN SUPPORT OF LEVERAGING THE POWER OF STORYTELLING TO HUMANIZE RESEARCH

FINDINGS AND PROMOTE INNOVATIVE WAYS TO INCLUDE MORE PEOPLE IN THE

CONVERSATION ABOUT HOW INNOVATIVE FINANCE, INCREASED ECONOMIC

OPPORTUNITY, AND COMMUNITY HEALTH POSITIVELY IMPACT THE LIVES OF POOR

AND VULNERABLE PEOPLE

NAME OF RECIPIENT - COLGATE UNIVERSITY TREASURERS OFFICE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COLORADO STATE UNIVERSITY

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF THE COLORADO-IOWA OBESITY INITIATIVE, AN EFFORT TO

IDENTIFY AND IMPLEMENT EFFECTIVE, COMMUNITY-BASED INTERVENTIONS TO

CURB THE RISE IN CHILDHOOD OBESITY IN THE U.S.

NAME OF RECIPIENT - COMMUNITY CHEST OF PORT WASHINGTON INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COMMUNITY SOLUTIONS INTERNATIONAL, INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COMPASSION UNLIMITED PLUS ACTION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COMPREHENSIVE DEVELOPMENT INCORPORATED

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COMPREHENSIVE DEVELOPMENT INCORPORATED

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - CONNECTICUT HUMANE SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CONNECTICUT HUMANE SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CONSUMER REPORTS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COOPERATIVE FOR ASSISTANCE AND RELIEF EVERYWHERE CARE

USA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - CORNELL UNIVERSITY

FOR USE BY ITS CENTER FOR SUSTAINABLE GLOBAL ENTERPRISE IN SUPPORT OF

DEVELOPING A CURRICULUM AND HOSTING A CERTIFICATE PROGRAM TO EDUCATE

SENIOR CORPORATE EXECUTIVES ON HOW TO BETTER ADDRESS AND CHAMPION

ISSUES OF FOOD WASTE AND LOSS WITHIN THEIR ORGANIZATIONS

NAME OF RECIPIENT - COUNCIL ON FOREIGN RELATIONS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COUNCIL ON FOUNDATIONS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - COUNCIL ON FOUNDATIONS, INC.

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE THE OPPORTUNITY,

LEADERSHIP AND TOOLS NEEDED BY PHILANTHROPIC ORGANIZATIONS TO EXPAND,

ENHANCE AND SUSTAIN THEIR ABILITY TO ADVANCE THE COMMON GOOD

NAME OF RECIPIENT - CREATIVE MIGRATION, INC.

IN SUPPORT OF CREATING A NEW CENTER IN BANGKOK TO SERVE AS A PLATFORM

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FOR PROMOTING CIVIL SOCIETY AND CREATIVITY IN THAILAND

Supplementary Information

NAME OF RECIPIENT - CREATIVE MIGRATION, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - CROSSBOUNDARY LLC

IN SUPPORT OF DEVELOPING AN INNOVATION LAB TO ASSESS THE VIABILITY OF

MINI-GRID MODELS TO SUPPORT ENERGY SERVICE COMPANIES TO ACCELERATE

ENERGY ACCESS FOR POOR AND VULNERABLE PEOPLE IN RURAL AREAS IN AFRICA

NAME OF RECIPIENT - CUNY GRADUATE SCHOOL OF JOURNALISM FOUNDATION INC.

FOR USE BY THE CITY UNIVERSITY OF NEW YORK GRADUATE SCHOOL OF

JOURNALISM IN SUPPORT OF CREATING A THREE YEAR RESILIENCE FELLOWSHIP

PROGRAM

NAME OF RECIPIENT - DALBERG CONSULTING U.S., LLC

IN SUPPORT OF EXECUTING A THEMED RESIDENCY AT THE ROCKEFELLER

FOUNDATION BELLAGIO CENTER, ITALY, JULYAUGUST 2017, AND COLLECTING AND

DISSEMINATING RESULTING KNOWLEDGE ASSETS

NAME OF RECIPIENT - DALBERG CONSULTING CC

IN SUPPORT OF CONDUCTING A PRE-FEASIBILITY ASSESSMENT FOR CREATING A

UNIQUE DIGITAL IDENTITY MANAGEMENT SYSTEM FOR THE CITIZENS OF ADDIS

ABABA, ETHIOPIA

NAME OF RECIPIENT - DANIEL J. EDELMAN, INC.

Supplementary Information

IN SUPPORT OF INCREASING THE UNDERSTANDING OF THE ISSUES RELATED TO

FOOD LOSS AND WASTE INCLUDING THE CHALLENGES OF ENDING HUNGER

ACHIEVING FOOD SECURITY AND IMPROVED NUTRITION, AND PROMOTING

SUSTAINABLE AGRICULTURE

Part XV

NAME OF RECIPIENT - DATAKIND

IN SUPPORT OF BUILDING KNOWLEDGE ABOUT HOW ARTIFICIAL INTELLIGENCE AND

PREDICTIVE ANALYTICS CAN IMPROVE LIVES AROUND THE WORLD, AND IN GENERAL

SUPPORT OF ITS MISSION TO CONNECT THE DATA SCIENCE AND NONPROFIT

COMMUNITIES FOR GREATER SOCIAL IMPACT

NAME OF RECIPIENT - DATAKIND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - DATAKIND, INC.

IN GENERAL SUPPORT OF ITS MISSION TO CONNECT THE DATA SCIENCE AND

NONPROFIT COMMUNITIES FOR GREATER SOCIAL IMPACT

NAME OF RECIPIENT - DISABLED AMERICAN VETERANS CHARITABLE SERVICE TRUST

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

### NAME OF RECIPIENT - DRAKE UNIVERSITY

Supplementary Information

### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - DUKE UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EAST MEADOW HIGH SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EAST MEADOW HIGH SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EB RESEARCH PARTNERSHIP INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - ECOLE D ECONOMIE DE PARIS

FOR USE BY ITS WORLD INEQUALITY LAB TOWARD THE COSTS OF ANALYZING

ECONOMIC INEQUALITY TRENDS IN EMERGING COUNTRIES IN THE CONTEXT OF THE

UNITED NATIONS' SUSTAINABLE DEVELOPMENT GOALS

NAME OF RECIPIENT - ECONOMIC POLICY INSTITUTE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EIGHTEEN EAST CAPITAL LTD

IN SUPPORT OF RESEARCHING AND DESIGNING SUSTAINABLE DEVELOPMENT

CERTIFICATES, A FUNDING MODEL TO INCREASE THE CAPACITY OF DEVELOPMENT

FINANCE INSTITUTIONS TO MAKE IMPACT INVESTMENTS IN UNDERDEVELOPED

MARKETS SERVING POOR AND VULNERABLE COMMUNITIES

NAME OF RECIPIENT - EIGHTEEN EAST CAPITAL LTD

IN SUPPORT OF DEVELOPING IMPACTBAY, A NOT-FOR-PROFIT MARKET PLACE

DESIGNED TO FACILITATE SECONDARY TRANSACTIONS OF FRONTIER AND EMERGING

MARKET IMPACT INVESTMENTS IN AN EFFORT TO GROW THE ASSETS AVAILABLE TO

BENEFIT POOR AND VULNERABLE POPULATIONS IN DEVELOPING COUNTRIES

NAME OF RECIPIENT - ELMIRA COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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## 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EMPOWER-EMERGING MARKETS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ENCORPS STEM TEACHERS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ENERGY 4 IMPACT

IN SUPPORT OF DEVELOPING AN INNOVATION LAB TO ASSESS THE VIABILITY OF

MINI-GRID MODELS TO SUPPORT ENERGY SERVICE COMPANIES TO ACCELERATE

ENERGY ACCESS FOR POOR AND VULNERABLE PEOPLE IN RURAL AREAS IN AFRICA

NAME OF RECIPIENT - ENTERPRISE COMMUNITY PARTNERS, INC.

TOWARD THE COSTS OF THE RESEARCH AND DESIGN OF A COMMUNITY DEVELOPMENT

FINANCE INDEX TO INCREASE CAPITAL FLOW INTO POOR AND VULNERABLE

POPULATIONS THROUGH NEW FINANCIAL PRODUCTS

NAME OF RECIPIENT - ENTERPRISE COMMUNITY PARTNERS, INC.

IN GENERAL SUPPORT OF ITS MISSION TO CREATE OPPORTUNITY FOR LOW- AND

MODERATE-INCOME PEOPLE THROUGH AFFORDABLE HOUSING IN DIVERSE, THRIVING

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

COMMUNITIES

NAME OF RECIPIENT - ENVIRONMENTAL DEFENSE FUND, INCORPORATED

IN SUPPORT OF DEVELOPING THE REDD ACCELERATION FUND RAF IN AN EFFORT TO

USE CLIMATE FINANCE TO REDUCE TROPICAL DEFORESTATION

NAME OF RECIPIENT - ENVIRONNEMENT ET DEVELOPPEMENT DU TIERS MONDE

IN SUPPORT OF DEVELOPING THE GENUINEALTERNATIVE REPORT ON AFRICA TO

SHOWCASE A NEW NARRATIVE FOR THE CONTINENT BASED ON AFRICAS INNOVATION

CAPACITY, BUSINESS AND ECONOMIC OPPORTUNITY, AND AVAILABILITY OF TALENT

AND SKILLS

NAME OF RECIPIENT - EPIC AFRICA FOUNDATION

IN SUPPORT OF CREATING A PAN-AFRICAN CIVIL SOCIETY ORGANIZATION

EXCELLENCE AWARD TO ENCOURAGE THE EFFICIENCY AND EFFECTIVENESS OF

PHILANTHROPIC AND CIVIL SOCIETY ORGANIZATIONS

NAME OF RECIPIENT - EQUAL JUSTICE INITIATIVE

IN GENERAL SUPPORT OF ITS MISSION TO CONTINUE COMBATTING INJUSTICES IN

THE UNITED STATES LEGAL SYSTEM INCLUDING MASS INCARCERATION THROUGH

ADVOCACY AND LITIGATION

NAME OF RECIPIENT - EQUALITY MYANMAR

IN SUPPORT OF ITS EFFORTS TO EMPOWER THE PEOPLE OF MYANMAR TO ENGAGE IN

SOCIAL TRANSFORMATION AND PROMOTE A CULTURE OF RIGHTS FOR ALL

NAME OF RECIPIENT - ESTUDIOOCA CO. LTD.

IN SUPPORT OF DESIGNING A GREEN INFRASTRUCTURE MASTER PLAN FOR UDON

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THANI, A CITY IN NORTHEASTERN THAILAND

NAME OF RECIPIENT - EUPHEMIA L HAYNES PUBLIC CHARTER SCHOOL INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EVERYTOWN FOR GUN SAFETY SUPPORT FUND INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - EVIDENCE ACTION INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FACTORE VENTURES PBC

IN SUPPORT OF A TECHNICAL AND MARKET ASSESSMENT OF HOW TO DELIVER

PRODUCTIVE POWER AND ASSOCIATED APPLIANCES TO POOR AND VULNERABLE

PEOPLE IN OFF-GRID CONTEXTS

NAME OF RECIPIENT - FAITH ASSEMBLY OF GOD

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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Part XV

NAME OF RECIPIENT - FAMILY HEALTH INTERNATIONAL

Supplementary Information

IN SUPPORT OF A PROJECT TO IDENTIFY AND ENGAGE HIGH-POTENTIAL

INNOVATORS IN DIGITAL TECHNOLOGY WITH A FOCUS ON RESILIENCE OUTCOMES IN

ASIA

NAME OF RECIPIENT - FARM CONCERN INTERNATIONAL DEVELOPMENT TRUST

IN SUPPORT OF THE DEVELOPMENT AND IMPLEMENTATION OF AN AGGREGATION

STRATEGY ACROSS THREE VALUE CHAINS - MANGO, MAIZE AND TOMATO - AND THE

DEVELOPMENT OF FORTY AGRI-MARKET SERVICE CENTERS

NAME OF RECIPIENT - FARM RADIO INTERNATIONAL

IN SUPPORT OF DEVELOPING BEHAVIOR CHANGE COMMUNICATION MESSAGING FOR

USE IN FARMER TRAINING AND TO SUPPORT DELIVERY OF POST-HARVEST

MESSAGING USING DIFFERENT MEDIA CHANNELS

NAME OF RECIPIENT - FAULT LINE THEATRE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FEEDING AMERICA

IN SUPPORT OF AN EFFORT TO IDENTIFY AND RESCUE SURPLUS MEAT AND SEAFOOD

AND REDISTRIBUTE IT TO FOOD INSECURE POPULATIONS IN THE U.S.

### NAME OF RECIPIENT - FEMINIST PRESS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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Part XV

NAME OF RECIPIENT - FLORENCE IMMIGRANT AND REFUGEE RIGHTS PROJECT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FLYING CARPET THEATRE COMPANY OF ATLANTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FLYING MANES THERAPEUTIC RIDING INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED

NATIONS

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO THE AFRICAN UNION IN

THE DEVELOPMENT OF POLICIES AND STRATEGIES FOR COUNTRY-SPECIFIC PLANS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TO REDUCE POST-HARVEST FOOD LOSS

NAME OF RECIPIENT - FOOD BANK FOR NEW YORK CITY FOOD FOR SURVIVAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FOOD FOR SOUL

IN SUPPORT OF BUILDING ITS ORGANIZATIONAL CAPACITY IN SUPPORT OF ITS

MISSION TO REDUCE FOOD WASTE AND ADDRESS FOOD INSECURITY, AND TO

SUPPORT THE LAUNCH OF SEVERAL INNOVATIVE SOUP KITCHENS IN THE UNITED

STATES

NAME OF RECIPIENT - FOODSHOT GLOBAL, INC.

TOWARD THE COSTS OF THE GROUNDBREAKER PRIZE TO SUPPORT INNOVATIVE WORK

THAT ADVANCES A HEALTHIER, MORE SUSTAINABLE, AND MORE EQUITABLE FOOD

SYSTEM

NAME OF RECIPIENT - FORDHAM PREPARATORY SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FORDHAM PREPARATORY SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - FORTUNE SOCIETY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FOUNDATION CENTER

IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN THE SOCIAL SECTOR BY

ADVANCING KNOWLEDGE ABOUT PHILANTHROPY IN THE U.S. AND AROUND THE WORLD

NAME OF RECIPIENT - FOUNDATION CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FOUNDATION CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FOUNDATION FINANCIAL OFFICERS GROUP, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FOUNDATION FOR THE GRADUATE INSTITUTE OF INTL

RELATIONS AND DEV

FOR USE BY ITS GLOBAL HEALTH CENTRE IN SUPPORT OF A ROUNDTABLE AND

DEBATE INTENDED TO MAKE THE ELECTION OF THE NEXT WORLD HEALTH

ORGANIZATION DIRECTOR-GENERAL A MORE OPEN AND INCLUSIVE PROCESS, AND TO

HIGHLIGHT THE TYPE OF LEADERSHIP NECESSARY FOR THE CURRENT GLOBAL

HEALTH LANDSCAPE

NAME OF RECIPIENT - FRICK COLLECTION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FRIENDS OF ALTA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FRIENDS OF CASCO BAY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - FRIENDS OF JIMMY CARTER NATIONAL HISTORIC SITE, INC.

TOWARD THE COSTS OF STRENGTHENING AND EXPANDING ITS EDUCATIONAL

PROGRAMMING FOR YOUTH IN GEORGIA AND AROUND THE U.S.

NAME OF RECIPIENT - FRIENDS OF THE GLOBAL FIGHT AGAINST AIDS TUBERCULOSIS

AND MALARIA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - FSG, INC.

IN SUPPORT OF ITS SHARED VALUE INITIATIVE TO CATALYZE EMPLOYER ADOPTION

OF IMPACT HIRING TO EXPAND EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED

YOUTH

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF CONTINUED DEVELOPMENT OF MARKET SYSTEMS INNOVATIONS

AS AN APPROACH TO ADVANCE INCLUSIVE ECONOMIES

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF ITS SHARED VALUE INITIATIVE, TO CONTINUE TO DEVELOP

THE CONCEPT OF SHARED VALUE AND PROMOTE IT AS A GUIDING PHILOSOPHY AND

CORE BUSINESS PRACTICE THAT DRIVES INNOVATION BY ADDRESSING SOCIAL

NEEDS

NAME OF RECIPIENT - FSG, INC.

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF ITS SHARED VALUE INITIATIVE, TO CONTINUE TO DEVELOP

THE CONCEPT OF SHARED VALUE AND PROMOTE IT AS A GUIDING PHILOSOPHY AND

CORE BUSINESS PRACTICE THAT DRIVES INNOVATION BY ADDRESSING SOCIAL

NEEDS

NAME OF RECIPIENT - FUND FOR THE CITY OF NEW YORK, INC.

FOR USE BY ITS PROJECT, ZAKTI, TOWARD THE COSTS OF IMPLEMENTING A PRIZE

THAT WILL YIELD SOLUTIONS FOR THE FOUNDATIONS SMART POWER FOR RURAL

DEVELOPMENT INITIATIVE, AND TO CONDUCT RESEARCH AND PRODUCE A PUBLIC

REPORT ABOUT THE EFFECTIVENESS OF APPLYING OPEN INNOVATION AND PRIZE

CHALLENGE METHODOLOGIES TO LARGE-SCALE PROBLEMS, SUCH AS ENERGY POVERTY

AND NUTRITION, EXPERIENCED BY POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS

AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS

AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATIONS

BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - FUNDERS NETWORK FOR SMART GROWTH AND LIVABLE

COMMUNITIES INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - FUTURE FACTORY LLP

IN SUPPORT OF DEVELOPING STRATEGIC OPPORTUNITIES THAT REDUCE

POST-HARVEST FOOD LOSS AND IMPROVE THE LIVELIHOODS OF SMALL-HOLDER

FARMERS THROUGH INNOVATIVE PROTOTYPING METHODOLOGIES

NAME OF RECIPIENT - GABRIEL FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GABRIEL FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GAY MENS HEALTH CRISIS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

### ORGANIZATIONS

NAME OF RECIPIENT - GCU NYC INC.

FOR USE BY ITS FAIR FASHION CENTER TOWARD THE COSTS OF PILOTING A NEW

INVESTMENT VEHICLE TO FINANCE LOW-COST, CLEAN ENERGY WITHIN THE FASHION

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

INDUSTRYS SUPPLY CHAIN, AND TO USE THE ENERGY COST SAVINGS FOR

IMPROVING THE WORKING AND LIVING CONDITIONS OF POOR AND VULNERABLE

WORKERS

NAME OF RECIPIENT - GENERATION YOU EMPLOYED, INC.

TOWARD THE COSTS OF MEASURING AND DEMONSTRATING IMPACT HIRING PRACTICES

THAT HELP COMPANIES HIRE OPPORTUNITY YOUTH AND OTHER MARGINALIZED

WORKER POPULATIONS

NAME OF RECIPIENT - GENESIS ANALYTICS PTY LTD

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE FOUNDATIONS

DIGITAL JOBS AFRICA INITIATIVE, AS WELL AS ITS GRANTEES AND PARTNERS,

IN ORDER TO BENEFIT THE FIELDS OF YOUTH EMPLOYMENT, DIGITAL JOBS AND

INCLUSIVE ECONOMIES

NAME OF RECIPIENT - GEORGETOWN UNIVERSITY

FOR USE BY ITS BEECK CENTER FOR SOCIAL IMPACT AND INNOVATION IN SUPPORT

OF LAUNCHING AND COORDINATING A DIGITAL STATE NETWORK, AN

ACTION-ORIENTED RESEARCH PORTFOLIO, AND AN OPEN PLATFORM FOR KNOWLEDGE

SHARING TO HELP CIVIL SERVANTS AND POLICY MAKERS LEVERAGE GOVERNMENT

DATA AND TECHNOLOGY ETHICALLY AND AT SCALE

NAME OF RECIPIENT - GEORGETOWN UNIVERSITY

FOR USE BY ITS BEECK CENTER FOR SOCIAL IMPACT AND INNOVATION IN SUPPORT

OF DESIGNING AN ETHICAL FRAMEWORK FOR BLOCKCHAIN TECHNOLOGY AND

STUDYING ITS ABILITY TO EXPAND THE DIGITAL IDENTITY OF POOR AND

VULNERABLE POPULATIONS

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY

TOWARD THE COSTS OF EXPANDING ITS HAMILTON EDUCATION PROGRAM TO PROVIDE

SUBSIDIZED TICKETS TO THE SHOW HAMILTON FOR UNDERPRIVILEGED HIGH SCHOOL

STUDENTS, AND TO DISTRIBUTE ACCOMPANYING EDUCATIONAL MATERIALS THAT

INTEGRATE THEATRICAL PERFORMANCE AND THE STUDY OF AMERICAN HISTORY

NAME OF RECIPIENT - GIRLS WHO INVEST INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GIVEWELL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GLACIER NATIONAL PARK CONSERVANCY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GLOBAL ALLIANCE FOR IMPROVED NUTRITION

FOR USE BY THE POSTHARVEST LOSS ALLIANCE FOR NUTRITION IN SUPPORT OF

TECHNICAL ASSISTANCE TO STAKEHOLDERS IN THE NIGERIAN TOMATO VALUE CHAIN

TO REDUCE POSTHARVEST LOSS AND IMPROVE PRODUCE PACKAGING IN ORDER TO

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

BENEFIT LOW INCOME CONSUMERS

NAME OF RECIPIENT - GLOBAL BPO SOLUTIONS, LLC

IN SUPPORT OF SCALING IMPACT SOURCING BY DRIVING MEMBERSHIP RECRUITMENT

FOR THE GLOBAL IMPACT SOURCING COALITION AND PROMOTING IMPACT SOURCING

TO NEW SECTORS

NAME OF RECIPIENT - GLOBAL HEALTH CORPS

IN SUPPORT OF DEVELOPING AND IMPLEMENTING A PLANETARY HEALTH TRAINING

CURRICULUM AND OTHER PROGRAMMING FOR ITS FELLOWS AND ALUMNI

NAME OF RECIPIENT - GLOBAL HEALTH STRATEGIES LLC

IN SUPPORT OF A PROJECT TO SUSTAIN MOMENTUM FOR UNIVERSAL HEALTH

COVERAGE UHC THROUGH BUILDING A SUSTAINABLE UHC COALITION

NAME OF RECIPIENT - GLOBAL HEALTH STRATEGIES LLC

IN SUPPORT OF DEVELOPING COMMUNICATIONS AND ADVOCACY STRATEGIES AND

MATERIALS TO POSITION DIGITALLY-ENABLED COMMUNITY HEALTH WITHIN GLOBAL

CONVERSATIONS ABOUT ACHIEVING UNIVERSAL HEALTH COVERAGE

NAME OF RECIPIENT - GLOBAL IMPACT INVESTING NETWORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GLOBAL KIDS, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - GODS LOVE WE DELIVER INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - GOWANUS CANAL CONSERVANCY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - GOWANUS CANAL CONSERVANCY INC.

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ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GRACA MACHEL TRUST

IN SUPPORT OF RESEARCHING AND DESIGNING A PAN-AFRICAN INVESTMENT

VEHICLE TO ACCELERATE WOMENS ECONOMIC DEVELOPMENT IN AFRICA

NAME OF RECIPIENT - GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

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ORGANIZATIONS

NAME OF RECIPIENT - GREAT BARRINGTON RUDOLF STEINER SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - GREAT NECK CHINESE ASSOCIATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - GREATER KANSAS CITY COMMUNITY FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GRENZEBACH GLIER AND ASSOCIATES, INC.

IN SUPPORT OF DEVELOPING AN ALUMNI ENGAGEMENT STRATEGY FOR THE

ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, IN ORDER TO ENHANCE

ALUMNI RELATIONS, PROMOTE ALUMNI ACHIEVEMENTS, AND SHARE KNOWLEDGE

NAME OF RECIPIENT - GROUNDSWELL COMMUNITY MURAL PROJECT INC

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### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - GROWHAUS

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ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD

IN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER

ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND

VULNERABLE COMMUNITIES IN URBAN AREAS

NAME OF RECIPIENT - GUIDESTAR

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - HABITAT FOR HUMANITY INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - HABITAT FOR HUMANITY INC.

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - HABITAT FOR HUMANITY INTERNATIONAL, INC.

IN SUPPORT OF CONDUCTING A FEASIBILITY STUDY ON THE VIABILITY OF A

SECURITIZATION MECHANISM FOR ITS AFFILIATES TO SCALE UP HOMEBUILDING

EFFORTS

NAME OF RECIPIENT - HARLEM CHILDRENS ZONE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - HARVEY SCHOOL

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NAME OF RECIPIENT - HEALTH SYSTEMS GLOBAL ASSOCIATION

IN SUPPORT OF THE FIFTH GLOBAL SYMPOSIA ON HEALTH SYSTEMS RESEARCH TO

BE HELD IN LIVERPOOL, ENGLAND, OCTOBER 2018, AND A SERIES OF THEMATIC

WORKING GROUP WEBINARS LEADING UP TO THE EVENT

NAME OF RECIPIENT - HEIFER INTERNATIONAL FOUNDATION

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NAME OF RECIPIENT - HEIFER PROJECT INTERNATIONAL

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NAME OF RECIPIENT - HENRY VISCARDI SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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ORGANIZATIONS

NAME OF RECIPIENT - HIAS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - HIAS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - HISPANIC FEDERATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - HISPANIC FEDERATION, INC.

TOWARD THE COSTS OF AN EFFORT TO REBUILD PUERTO RICOS COFFEE INDUSTRY

IN LOCAL COMMUNITIES FOLLOWING THE DEVASTATION OF HURRICANE MARIA

NAME OF RECIPIENT - HISTORIC HUDSON VALLEY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - HOMES FOR OUR TROOPS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - HOPE STRENGTHENS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

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NAME OF RECIPIENT - HOPEWELL FUND

FOR USE BY ITS ECONOMIC SECURITY PROJECT TOWARD THE COSTS OF RESEARCH

AND MODELING TO EXPLORE THE VIABILITY OF EARNED INCOME TAX CREDIT

INNOVATION IN SIX STATES

NAME OF RECIPIENT - HUMAN NEEDS PROJECT INC.

IN SUPPORT OF A PROJECT TO PROVIDE INNOVATIVE ENERGY TECHNOLOGIES IN

THE KIBERA SLUM IN NAIROBI, KENYA AND IN GENERAL SUPPORT OF ITS MISSION

TO ENABLE THE POOR TO ACCESS PROSPERITY THROUGH LOCALIZED COMMUNITY

CENTERS

NAME OF RECIPIENT - HUMAN RIGHTS CAMPAIGN FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - HUNTERDON LAND TRUST

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - IDENTITY2020 SYSTEMS INC.

IN SUPPORT OF EXPANDING ITS EFFORTS TO ASSESS AND IMPLEMENT PILOT

PROJECTS THAT SEEK TO PROVIDE DIGITAL IDENTITY TO POPULATIONS CURRENTLY

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

LACKING ANY TYPE OF FORMAL IDENTIFICATION

NAME OF RECIPIENT - IDENTITY2020 SYSTEMS INC.

TOWARD THE COSTS OF ESTABLISHING AN ALLIANCE OF GOVERNMENTS

NON-GOVERNMENTAL ORGANIZATIONS AND PRIVATE SECTOR COMPANIES COMMITTED

TO PROVIDING DIGITAL IDENTITY TO POPULATIONS CURRENTLY LACKING ANY TYPE

OF FORMAL IDENTIFICATION

NAME OF RECIPIENT - IDFC FOUNDATION

IN SUPPORT OF ITS IDFC INSTITUTE TO DEVELOP A CAPACITY-ENHANCING

FRAMEWORK IN ORDER TO PROVIDE CITIZEN-CENTRIC PUBLIC SERVICES THROUGH

THE USE OF DATA AND TECHNOLOGY

NAME OF RECIPIENT - IDH SUSTAINABLE TRADE INITIATIVE

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO CASSAVA PROCESSORS IN

NIGERIA IN ORDER TO INTEGRATE SMALL HOLDER FARMERS INTO THEIR SUPPLY

CHAINS AND RESOLVE SYSTEMIC CHALLENGES IN THE CASSAVA VALUE CHAIN

NAME OF RECIPIENT - IMANI REHABILITATION CENTRE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - IMENTOR

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - IMPACT SHARES CORP.

IN SUPPORT OF DEVELOPING STRATEGIES FOR A SERIES OF CAUSE-BASED

EXCHANGE TRADED FUNDS ETFS IN PARTNERSHIP WITH LEADING NONPROFITS IN AN

EFFORT TO CREATE BETTER SOCIALLY RESPONSIBLE INVESTMENT SOLUTIONS

NAME OF RECIPIENT - IMPACT SHARES CORP.

TOWARD THE COSTS OF DEVELOPING A SERIES OF CAUSE-BASED EXCHANGE TRADED

FUNDS (ETFS) IN PARTNERSHIP WITH LEADING NONPROFITS IN AN EFFORT TO

CREATE BETTER SOCIALLY RESPONSIBLE INVESTMENT SOLUTIONS

NAME OF RECIPIENT - IMPACTALPHA

IN SUPPORT OF ITS AGENTS OF IMPACT SCHOLARSHIP FUND, AN EFFORT TO

PROVIDE SUBSCRIPTIONS FOR ACADEMIC INSTITUTIONS TO A DAILY NEWSLETTER

AND OTHER CONTENT RELATED TO IMPACT INVESTING AND SUSTAINABLE FINANCE

NAME OF RECIPIENT - INDEPENDENT SECTOR

IN GENERAL SUPPORT OF ITS MISSION TO ADVANCE THE COMMON GOOD BY

LEADING, STRENGTHENING AND MOBILIZING THE NONPROFIT COMMUNITY

NAME OF RECIPIENT - INITIATIVE FOR GLOBAL DEVELOPMENT

IN SUPPORT OF SCOPING THE LANDSCAPE OF ALTERNATIVE MARKETS ACROSS THE

COMPONENTS OF THE FOUNDATIONS YIELDWISE MODEL, DEVELOPING

RECOMMENDATIONS FOR THE IMPLEMENTING PARTNERS AND ENABLING CONNECTIONS

FOR ACTIONABLE EXECUTION

NAME OF RECIPIENT - INNOVATIVE FINANCE FOUNDATION

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF THE SCALE-UP OF UNITLIFE, A GLOBAL INNOVATIVE FINANCING

MECHANISM TO FIGHT CHRONIC MALNUTRITION USING REVENUE FROM MICRO-LEVIES

ON NATURAL RESOURCES, AND DEVELOPING AN OPERATIONAL STRATEGY FOR

DEPLOYMENT OF THE CAPITAL IT RAISES

NAME OF RECIPIENT - INSTITUTE FOR ADVANCED STUDY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - INSTITUTE FOR SUSTAINABLE COMMUNITIES

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REALIZATION PROCESS TO ITS

WATER RESILIENT MANUFACTURING COMMUNITIES PROJECT IN INDIA

NAME OF RECIPIENT - INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES FOUNDATION

IN SUPPORT OF THE 50 BREAKTHROUGHS 2.0 PLATFORM WITH AN AIM TO

CONSOLIDATE AND INFLUENCE ADOPTION OF THE TECHNOLOGY FOR DEVELOPMENT

MODEL WITHIN THE LARGER PUBLIC, PRIVATE, AND NON-PROFIT ECOSYSTEM

NAME OF RECIPIENT - INSTITUTE OF CHARTERED ACCOUNTANTS IN ENGLAND AND

WALES

FOR USE BY ITS PROJECT, THE NATURAL CAPITAL COALITION, IN SUPPORT OF

STRENGTHENING THE NATURAL CAPITAL COMMUNITY BY STANDARDIZING A

FRAMEWORK FOR BUSINESSES TO MEASURE AND VALUE THEIR DIRECT AND INDIRECT

IMPACTS AND DEPENDENCIES ON NATURAL RESOURCES

NAME OF RECIPIENT - INSTITUTE OF DEVELOPMENT STUDIES

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IN SUPPORT OF ESTABLISHING A DIGITAL LIBRARY AND TEACHING CURRICULUM

THAT SEEKS TO PROTECT AND CONSERVE SHAN CULTURE IN MYANMAR

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING SEVERAL ACTIVITIES AND PROGRAMS AT THE

ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY THE SEMI-ANNUAL

COMPETITIONS FOR BELLAGIO RESIDENTS, THE BELLAGIO TRAVEL AND LEARNING

FUND, AND OUTREACH ACTIVITIES

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING SEVERAL ACTIVITIES AND PROGRAMS AT THE

ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY THE SEMI-ANNUAL

COMPETITIONS FOR BELLAGIO RESIDENTS, THE BELLAGIO TRAVEL AND LEARNING

FUND, AND OUTREACH ACTIVITIES

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING A TRAVEL AND LEARNING FUND TO FACILITATE

TRAVEL AND OTHER EXPENSES ASSOCIATED WITH THE ROCKEFELLER FOUNDATION

FELLOWSHIP PROGRAM

NAME OF RECIPIENT - INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON

TOPICS RELATED TO RESILIENCE AND INCLUSIVE ECONOMIES IN THE GLOBAL

SOUTH

NAME OF RECIPIENT - INTERNATIONAL ASSOCIATION OF OUTSOURCING

#### PROFESSIONALS

IN SUPPORT OF SCALING IMPACT SOURCING THROUGH AN ANNUAL RECOGNITION

PROCESS TO PROMOTE ITS ADOPTION AMONG MEMBERS AND ASSOCIATES IN THE

BUSINESS PROCESS OUTSOURCING SECTOR

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

IN SUPPORT OF DEVELOPING A GLOBAL FRAMEWORK FOR ACTION ON FOOD LOSS AND

WASTE INCLUDING DEVELOPING COUNTRY DIAGNOSTICS AND FORMING A HIGH-LEVEL

TASK FORCE

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

TOWARD THE COSTS OF DEVELOPING THE TROPICAL FOREST FINANCE FACILITY, AN

INSTRUMENT TO PROVIDE FINANCIAL INCENTIVES FOR TROPICAL FOREST

COUNTRIES TO SLOW AND REVERSE DEFORESTATION

NAME OF RECIPIENT - INTERNATIONAL CENTRE OF INSECT PHYSIOLOGY AND ECOLOGY

IN SUPPORT OF TESTING BUSINESS MODELS FOR SCALING INSECT-BASED PROTEIN

FEED FOR USE IN POULTRY FARMING AND AQUACULTURE IN KENYA

NAME OF RECIPIENT - INTERNATIONAL FOOD POLICY RESEARCH INSTITUTE

IN SUPPORT OF AN EXPERT CONSULTATION AND CONVENING ON THE UNIQUE ROLE

OF PROTEIN AND ANIMAL-SOURCED FOODS IN NUTRITION AND ADDITIONAL

ANALYSES RELATED TO NUTRITION PROTEIN, AND FOOD SYSTEMS

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NAME OF RECIPIENT - INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

IN SUPPORT OF PROVIDING TECHNICAL SUPPORT AND TRAINING TO A NETWORK OF

ROCKEFELLER FOUNDATION PARTNERS, IN AN EFFORT TO HELP FARMERS REDUCE

POST-HARVEST LOSSES FOR CROPS IN KENYA, RWANDA, NIGERIA AND TANZANIA

NAME OF RECIPIENT - INTERNATIONAL INSTITUTE FOR ENVIRONMENT AND

DEVELOPMENT

IN SUPPORT OF LAUNCHING THE INTERNATIONAL CENTRE FOR CLIMATE CHANGE AND

DEVELOPMENT TO DEVELOP AND IMPLEMENT A SERIES OF URBAN CLIMATE CHANGE

RESILIENCE-BUILDING SHORT COURSES AND A RELATED MASTERS OF SCIENCE

MODULE AND TO UNDERTAKE INSTITUTIONAL STRENGTHENING AND ORGANIZATIONAL

DEVELOPMENT

NAME OF RECIPIENT - INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION

IN SUPPORT OF ORGANIZING AND FACILITATING PARTNER CONVENINGS FOR THE

FOUNDATIONS YIELDWISE INITIATIVE, AND DOCUMENTING AND SHARING LEARNINGS

WITH STAKEHOLDERS

NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - INTERNATIONAL RESCUE COMMITTEE INC

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NAME OF RECIPIENT - INTERNATIONAL SENIOR LAWYERS PROJECT

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - INTERNATIONAL VENTURE PHILANTHROPY CENTRE

TOWARD THE COSTS OF ESTABLISHING THE AFRICAN VENTURE PHILANTHROPY

ASSOCIATION A MEMBERSHIP NETWORK WHICH WILL SERVE TO SIGNIFICANTLY

INCREASE THE FLOW OF EFFECTIVELY APPLIED FINANCIAL, HUMAN AND

INTELLECTUAL CAPITAL TOWARD POOR AND VULNERABLE COMMUNITIES IN AFRICA

NAME OF RECIPIENT - INTERNATIONAL YOUTH FOUNDATION

IN SUPPORT OF ESTABLISHING THE COHORT 2030 INITIATIVE AND PLANNING A

RELATED CONVENING AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER TO

ENGAGE NEXT GENERATION LEADERS TO DELIVER THE 2030 AGENDA FOR

SUSTAINABLE DEVELOPMENT

NAME OF RECIPIENT - IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF ESTABLISHING GLOBAL PARTNERSHIPS IN ORDER TO ADVANCE

COLLABORATIVE THINKING AND LEVERAGE EXPERTISE, KNOWLEDGE, AND

INNOVATION FOR THE SUSTAINABLE REDUCTION OF POST-HARVEST LOSS WITHIN

THE GLOBAL FOOD SYSTEM

NAME OF RECIPIENT - IQBUSINESS PTY LTD

IN SUPPORT OF PROMOTING THE DEMAND DRIVEN TRAINING TOOLKIT TO TECHNICAL

AND VOCATIONAL EDUCATION AND TRAINING COLLEGES AND UNIVERSITIES IN

SOUTH AFRICA TO SCALE SKILLS DEVELOPMENT FOR YOUTH

NAME OF RECIPIENT - ISIDORE NEWMAN SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ISIDORE NEWMAN SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - IT TAKES A VILLAGE TO EDUCATE A CHILD INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - JDRF INTERNATIONAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - JOHNS HOPKINS UNIVERSITY

FOR USE BY ITS BLOOMBERG SCHOOL OF PUBLIC HEALTHS JOHNS HOPKINS CENTER

FOR HEALTH SECURITY IN SUPPORT OF DEVELOPING A HEALTH SYSTEM RESILIENCE

CHECKLIST AND IMPLEMENTATION GUIDE THAT HEALTH SYSTEMS IN A BROAD SET

OF COUNTRIES CAN USE TO ENSURE AN EFFECTIVE RESPONSE TO HEALTH

EMERGENCIES

NAME OF RECIPIENT - JOYCE THEATER FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

#### PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

#### ORGANIZATIONS

NAME OF RECIPIENT - JUNTOS

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

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ORGANIZATIONS

NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - JUNTOS

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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Part XV

NAME OF RECIPIENT - JUNTOS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - KARAN CAPOOR

IN SUPPORT OF A FELLOWSHIP TO LANDSCAPE AND IDENTIFY THE MOST PROMISING

OPPORTUNITIES TO SCALE ENERGY INFRASTRUCTURE IN ORDER TO INCREASE

ENERGY ACCESS TO POOR PEOPLE AROUND THE WORLD, AND FURTHER CHARITABLE

PURPOSES

NAME OF RECIPIENT - KENAN-FLAGLER BUSINESS SCHOOL FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - KENAN-FLAGLER BUSINESS SCHOOL FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

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ORGANIZATIONS

NAME OF RECIPIENT - KENYA PRIVATE SECTOR ALLIANCE

TOWARD THE COSTS OF ITS AJIRA DIGITAL PROJECT TO SCALE DEMAND DRIVEN

TRAINING AND DEVELOP A SUSTAINABLE MODEL FOR CONNECTING DISADVANTAGED

YOUTH TO ONLINE JOBS

NAME OF RECIPIENT - KEYSTONE CENTER

TOWARD THE COSTS OF LAUNCHING A NATIONAL DIGITAL PLATFORM TO SHARE

DATA, TOOLS, RESEARCH, AND BEST PRACTICES PERTAINING TO FOOD LOSS AND

WASTE IN THE UNITED STATES

NAME OF RECIPIENT - KHOJ INTERNATIONAL ARTISTS ASSOCIATION

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ), THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - KIPP NEW JERSEY A NJ NONPROFIT CORPORATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - KKS ADVISORS LLC

IN SUPPORT OF DEVELOPING A MECHANISM TO IMPROVE THE SOCIAL AND

ENVIRONMENTAL OUTCOMES OF PRIVATE SECTOR COMPANIES BY INCREASING

COLLABORATION ACROSS CORPORATE ACTORS

NAME OF RECIPIENT - KKS ADVISORS LLC

IN SUPPORT OF PROVIDING ANALYSIS AND STRATEGIC SUPPORT TO ENABLE THE

ROCKEFELLER FOUNDATIONS REVALUE ECOSYSTEMS GRANTEES TO OPTIMIZE THE

POSITIONING OF AND INFLUENCE FROM THEIR EFFORTS

NAME OF RECIPIENT - KOIS UK LIMITED

IN SUPPORT OF CONDUCTING A FEASIBILITY STUDY ON A MULTI-YEAR LEASING

MECHANISM TO ADDRESS BUDGET PROCUREMENT CHALLENGES FACED IN THE

HUMANITARIAN SPACE, WITH THE INITIAL FOCUS ON REFUGEE HOUSING SHELTERS

NAME OF RECIPIENT - KURANTE, LLC

IN SUPPORT OF DEVELOPING NEW TECHNOLOGIES TO IMPROVE THE MONITORING AND

EVALUATION OF COMPLEX INTERVENTIONS AIMED AT ALLEVIATING POVERTY IN THE

GLOBAL SOUTH

NAME OF RECIPIENT - KYNE COMMUNICATIONS, INC.

IN SUPPORT OF ACTIVITIES TO RAISE AWARENESS, UNDERSTANDING, AND

ACCEPTANCE OF PLANETARY HEALTH, INCLUDING THE ECONOMIC BENEFITS OF

POLICIES THAT ADDRESS ENVIRONMENTAL SUSTAINABILITY TOGETHER WITH HUMAN

HEALTH AND DEVELOPMENT GOALS

NAME OF RECIPIENT - LEGAL AID SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - LEGAL AID SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - LEGAL AID SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - LEGAL AID SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - LEGAL AID SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - LINCOLN CENTER FOR THE PERFORMING ARTS, INC.

IN SUPPORT OF EXPANDING ITS PILOT FOR A SECOND ROUND OF THE LINCOLN

CENTER CULTURAL INNOVATION FUND, A GRANT PROGRAM TO SUPPORT NEW YORK

CITY-BASED CULTURAL AND COMMUNITY ORGANIZATIONS TO SPUR CULTURAL

INNOVATION IN THE SOUTH BRONX AND CENTRAL BROOKLYN

NAME OF RECIPIENT - LINCOLN CENTER THEATER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - LIONS HEAD GLOBAL PARTNERS LLP

IN SUPPORT OF LAUNCHING A DEBT RELIEF FACILITY FOR JORDAN AS IT

ADDRESSES THE NEEDS OF A SURGE IN REFUGEES, AS WELL AS A FEASIBILITY

STUDY FOR THE CREATION OF A JORDANIAN PERMANENT CAPITAL VEHICLE TO FUND

PROJECTS BENEFICIAL TO BOTH REFUGEES AND THE JORDANIAN POPULATION

NAME OF RECIPIENT - LIVING CITIES, INC. THE NATIONAL COMMUNITY

DEVELOPMENT INITIATIVE

IN SUPPORT OF A NEW CAMPAIGN TO SUPPORT THE START-UP AND SCALE-UP OF

COMPANIES THAT CREATE GOOD, STABLE JOBS FOR LOW-INCOME PEOPLE, AND IN

GENERAL SUPPORT OF ITS MISSION TO ADVANCE OPPORTUNITY AND PROSPERITY IN

U.S. CITIES AND THEIR LOW-INCOME POPULATIONS

NAME OF RECIPIENT - LIVING CITIES, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - LOCAL INITIATIVES SUPPORT CORPORATION

FOR USE BY ITS NEW ENERGY MODEL ORGANIZATION TOWARD THE COSTS OF

PILOTING STRATEGIES TO SCALE BUILDING ENERGY EFFICIENCY PROJECTS TO

BENEFIT LOW- AND MODERATE-INCOME PEOPLE AND COMMUNITIES

NAME OF RECIPIENT - LONGITUDE RESEARCH LTD

IN SUPPORT OF CONDUCTING RESEARCH TO MEASURE THE IMPACTS OF THE FIELD

OF IMPACT INVESTING AND THE FINANCIAL TIMES INVESTING FOR GOOD USA

SUMMIT IN NEW YORK

NAME OF RECIPIENT - LUTHERAN IMMIGRATION AND REFUGEE SERVICE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - LUTHERAN IMMIGRATION AND REFUGEE SERVICE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MAHIDOL UNIVERSITY

FOR USE BY ITS INSTITUTE FOR POPULATION AND SOCIAL RESEARCH IN SUPPORT

OF THE 2019, 2020 AND 2021 PRINCE MAHIDOL AWARD CONFERENCES, AIMED AT

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROMOTING EVIDENCE OF AND BEST PRACTICES ON STRENGTHENING HEALTH

SYSTEMS

NAME OF RECIPIENT - MAHILA SEWA TRUST

IN SUPPORT OF EXPANDING THE RURAL BUSINESS-TO-CONSUMER MARKET FOR ITS

WOMEN MEMBERS IN INDIA IN PARTNERSHIP WITH AMAZON

NAME OF RECIPIENT - MAKE THE ROAD NEW YORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MAKE-A-WISH FOUNDATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MANHATTAN CLASS COMPANY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MARIST COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - MARIST COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MARIST COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MARIST COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MARTHAS TABLE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO INCREASE ACCESS TO QUALITY

EDUCATION, HEALTHY FOOD, AND OPPORTUNITY FOR VULNERABLE AND IN-NEED

CHILDREN, FAMILIES AND NEIGHBORS

NAME OF RECIPIENT - MARTHA'S VINEYARD COMMUNITY SERVICES, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

IN SUPPORT OF CREATING A GLOBAL COMMISSION THAT WILL SHOWCASE AND

ADVANCE SPECIFIC RECOMMENDATIONS, POLICY ACTION, AND INVESTMENTS IN

INTEGRATED ELECTRIFICATION MODELS

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

FOR USE BY ITS SLOAN SCHOOL OF MANAGEMENTS LABORATORY FOR FINANCIAL

ENGINEERING IN SUPPORT OF DEVELOPING RESEARCH BACKED OBLIGATIONS

(RBOS), A NEW MODEL FOR FUNDING EARLY STAGE BIOMEDICAL RESEARCH

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

FOR USE BY ITS COMMUNITY INDICATORS LAB IN SUPPORT OF PILOTING

PARTICIPATORY REAL ESTATE DEVELOPMENT TOOLS IN THE BRONX TO INFORM

EFFORTS IN CITIES WHERE RESIDENTS ARE FACING THE THREAT OF DISPLACEMENT

NAME OF RECIPIENT - MATHEMATICA POLICY RESEARCH, INC.

FOR USE BY ITS CENTER FOR INTERNATIONAL POLICY AND PROGRAM EVALUATION

IN SUPPORT OF PRODUCING TWO CASE STUDIES AND CONDUCTING A FINAL

EVALUATION OF THE FOUNDATIONS TRANSFORMING HEALTH SYSTEMS INITIATIVE

NAME OF RECIPIENT - MAXTUDIO, SNC

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND

EVALUATION OF THE FOUNDATIONS INITIATIVES IN ORDER TO SUPPORT LEARNING

PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATIONS GRANTEES AND

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PARTNERS IN DEVELOPMENT AND PHILANTHROPY

NAME OF RECIPIENT - MCKINSEY AND COMPANY, INC. UNITED STATES

TOWARD THE COSTS OF IDENTIFYING POTENTIAL HIGH-IMPACT STRATEGIES AND

INNOVATIONS TO ADDRESS THE GLOBAL HEALTH SUSTAINABLE DEVELOPMENT GOALS

BY 2030

NAME OF RECIPIENT - MCKINSEY AND COMPANY, INC. UNITED STATES

TOWARD THE COSTS OF RESEARCH AND ANALYSIS TO DEFINE AND DETERMINE THE

ECONOMIC VALUE OF EFFECTIVE DIGITAL IDENTIFICATION

NAME OF RECIPIENT - MELANIE WALKER

IN SUPPORT OF A FELLOWSHIP TO IDENTIFY THE NEXT GENERATION OF

FUNDAMENTALS IN THE LIFE SCIENCES AND PROMOTE LESS INVASIVE APPROACHES

TO DISEASE MANAGEMENT, AND FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - MEMORIAL SLOAN KETTERING CANCER CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MEMORIAL SLOAN KETTERING CANCER CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MENTAL HEALTH AND ADDICTION NETWORK INC

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - MERCER STREET FRIENDS CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MERIDIAN INSTITUTE

IN SUPPORT OF THE SECOND PHASE OF AN EFFORT TO CREATE A GLOBAL

ARCHITECTURE FOR INTERNATIONAL TRANSACTIONS FOR REDUCED EMISSIONS FROM

DEFORESTATION AND FOREST DEGRADATION REDD INCLUDING THE DEVELOPMENT OF

A REDD STANDARD FOR FUTURE COMPLIANCE MARKETS

NAME OF RECIPIENT - MERIDIAN INSTITUTE

IN SUPPORT OF EXPLORING THE FEASIBILITY OF DEVELOPING A GLOBAL LIBRARY

FOR AGRICULTURAL IMAGES AND DATA GLAID INITIATIVE, IN AN EFFORT TO USE

DATA AND MACHINE LEARNING TO INCREASE EFFECTIVENESS ACROSS AGRICULTURAL

PRODUCTION AND DISTRIBUTION

NAME OF RECIPIENT - MERIDIAN INSTITUTE

TOWARD THE COSTS OF DEVELOPING A GLOBAL ARCHITECTURE FOR INTERNATIONAL

TRANSACTIONS FOR REDUCED EMISSIONS FROM DEFORESTATION AND FOREST

DEGRADATION REDD INCLUDING THE DEVELOPMENT OF A REDD STANDARD FOR

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FUTURE COMPLIANCE MARKETS

NAME OF RECIPIENT - METAVIVOR RESEARCH AND SUPPORT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - METROPOLITAN OPERA ASSOCIATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - METROWEST YMCA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MIAMI HERALD MEDIA COMPANY

TOWARD THE COSTS OF PUBLISHING A MULTI-PART, MULTIMEDIA JOURNALISM

REPORT ENTITLED PUERTO RICO: THE FORGOTTEN ISLAND, TO RECOGNIZE THE

ONE-YEAR ANNIVERSARY OF HURRICANE MARIA AND ITS IMPACT ON PUERTO RICO

NAME OF RECIPIENT - MILAN INNOVINCY B.V.

IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE

INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND

TANZANIA

NAME OF RECIPIENT - MILKEN INSTITUTE

TOWARD THE COSTS OF DEVELOPING AN INNOVATIVE IMPACT FUND FOR INVESTMENT

IN MINORITY-OWNED DEPOSITORY INSTITUTIONS IN AN EFFORT TO INCREASE

THEIR CAPACITY TO CATALYZE CAPITAL FOR MINORITY POPULATIONS AND OTHER

VULNERABLE GROUPS

NAME OF RECIPIENT - MINISTRY OF FINANCE, TRADE, INVESTMENT AND ECONOMIC

PLANNING

IN SUPPORT OF DESIGNING AND LAUNCHING A BLUE BOND TO SUPPORT INVESTMENT

IN OCEAN AND FISHERY VALUE CHAINS IN THE SEYCHELLES

NAME OF RECIPIENT - MISSION INVESTORS EXCHANGE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO BUILD PARTNERSHIPS AND STRENGTHEN

THE MISSION INVESTING FIELD THROUGH AN EXPANDED RANGE OF PROGRAMMING

NAME OF RECIPIENT - MONTANA COMMUNITY DEVELOPMENT CORPORATION

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE FINANCING AND CONSULTING

SERVICES THAT TRANSFORM THE LIVES OF INDIVIDUALS AND STRENGTHEN

COMMUNITY PROSPERITY IN MONTANA, WYOMING, AND IDAHO

NAME OF RECIPIENT - MOREHEAD-CAIN SCHOLARSHIP FUND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MOTE MARINE LABORATORY

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - MOUNT SINAI HOSPITAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - MS TRAINING CENTRE FOR DEVELOPMENT COOPERATION

FOR USE BY THE FIGHT INEQUALITY ALLIANCE IN SUPPORT OF ITS ONGOING

ACTIVITIES LEADING CIVIL SOCIETY ORGANIZATIONS THAT HAVE COME TOGETHER

TO ADDRESS THE GROWING CRISIS OF INEQUALITY

NAME OF RECIPIENT - NARAL PRO-CHOICE AMERICA FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL ACADEMY OF SCIENCES

IN SUPPORT OF EXPLORING THE DEVELOPMENT OF A NATIONAL INVESTMENTS

MODELLING TOOL IN ORDER TO USE SCIENCE AND SYSTEMS TO PRIORITIZE

DECISIONS RELATED TO THE SUSTAINABLE DEVELOPMENT GOALS

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#### 13-1659629

# Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - NATIONAL AIDS CONTROL COUNCIL

FOR USE BY THE BEYOND ZERO CAMPAIGN IN SUPPORT OF CREATING A NEW

STRATEGIC FRAMEWORK TO FURTHER THE CAMPAIGNS WORK TOWARD HIV CONTROL

AND THE PROMOTION OF MATERNAL, NEWBORN, AND CHILDREN'S HEALTH IN KENYA

NAME OF RECIPIENT - NATIONAL ALLIANCE FOR THE MENTALLY ILL OF NEW YORK

CITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL AUDUBON SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL BREAST CANCER FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL CENTER FOR CIVIC INNOVATION

FOR USE BY ITS PROJECT, GOVLAB, IN SUPPORT OF MAPPING BLOCKCHAIN

PRACTICES TO ASSESS THEIR POTENTIAL FOR EXPANDING THE DIGITAL IDENTITY

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

OF POOR AND VULNERABLE POPULATIONS

NAME OF RECIPIENT - NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY

IN GENERAL SUPPORT OF ITS MISSION TO PROMOTE PHILANTHROPY THAT SERVES

THE PUBLIC GOOD, IS RESPONSIVE TO PEOPLE AND COMMUNITIES WITH THE LEAST

WEALTH AND OPPORTUNITY, AND IS HELD ACCOUNTABLE TO THE HIGHEST

STANDARDS OF INTEGRITY AND OPENNESS

NAME OF RECIPIENT - NATIONAL DANCE INSTITUTE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL GEOGRAPHIC SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST

PRACTICES

IN SUPPORT OF RESEARCHING HOW GOVERNORS CAN INTEGRATE OPPORTUNITY ZONE

PLANNING INTO EXISTING ECONOMIC AND WORKFORCE DEVELOPMENT STRATEGIES

NAME OF RECIPIENT - NATIONAL MULTIPLE SCLEROSIS SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL PARTNERSHIP FOR WOMEN AND FAMILIES

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL SPAY ALLIANCE FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL WOMEN'S LAW CENTER

TOWARD THE COSTS OF INCREASING PUBLIC AWARENESS OF ISSUES RELATED TO

SEXUAL HARASSMENT IN THE WORKPLACE AND OF SUPPORTING EFFORTS TO DESIGN

AND EDUCATE ON POLICY SOLUTIONS TO IMPROVE WORKER PROTECTION

NAME OF RECIPIENT - NATURAL RESOURCES DEFENSE COUNCIL, INC.

IN SUPPORT OF REDUCING FOOD WASTE IN TWO U.S. CITIES OVER THE NEXT

FIVE YEARS, AND IMPLEMENTING ADDITIONAL PROGRAMS AND POLICIES THAT

PROMOTE GOOD WASTE PREVENTION, SURPLUS FOOD RESCUE, AND RECYCLING OF

FOOD SCRAPS ACROSS THE COUNTRY

NAME OF RECIPIENT - NATURE CONSERVANCY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEBRASKA COMMUNITY FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEST M PARENT TEACHERS ASSOCIATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW ALTERNATIVES FOR CHILDREN INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW ALTERNATIVES FOR LGBT HOMELESS YOUTH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

# Part XV

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

#### NAME OF RECIPIENT - NEW AMERICA FOUNDATION

Supplementary Information

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW AMERICA FOUNDATION

IN SUPPORT OF ITS NEW INITIATIVE, DIGITAL STATE PRACTICE, TO DEVELOP

THE NEXT GENERATION OF PUBLIC SECTOR. TECHNOLOGY PLATFORMS TO TRANSFORM

THE WAY GOVERNMENTS DELIVER VALUE FOR CITIZENS

NAME OF RECIPIENT - NEW AMERICA FOUNDATION

IN SUPPORT OF ITS BLOCKCHAIN TRUST ACCELERATOR PROGRAM, AN EFFORT TO

EXPAND PUBLIC UNDERSTANDING OF HOW BLOCKCHAIN TECHNOLOGY CAN ADDRESS

SOCIAL AND GOVERNANCE CHALLENGES

NAME OF RECIPIENT - NEW JERSEY AUDUBON SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW JERSEY AUDUBON SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

### NAME OF RECIPIENT - NEW JERSEY AUDUBON SOCIETY

Supplementary Information

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - NEW JERSEY BIKE AND WALK COALITION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW VENTURE FUND

FOR USE BY CO-IMPACT, A GLOBAL COLLABORATIVE FOR SYSTEMS CHANGE, TOWARD

THE COSTS OF FUNDING SYSTEMS CHANGE EFFORTS IN HEALTH, EDUCATION AND

ECONOMIC OPPORTUNITY FOCUSING ON UNDERSERVED POPULATIONS WITH THE

GREATEST NEED

NAME OF RECIPIENT - NEW VENTURE FUND

FOR USE BY ITS SKILLFUL FUND PROJECT IN SUPPORT OF THE REWORK AMERICA

TASK FORCE, A POLICY COMMISSION THAT AIMS TO HELP TRANSFORM THE

AMERICAN LABOR MARKET FROM ONE THAT VALUES DEGREES AND FORMAL TRAINING

TO ONE ROOTED IN SKILLS AND INDIVIDUAL POTENTIAL THEREBY UNLOCKING

OPPORTUNITIES FOR MILLIONS OF OVERLOOKED AMERICANS

NAME OF RECIPIENT - NEW VENTURE FUND

IN SUPPORT OF LAUNCHING AND MANAGING THE GLOBAL DEVELOPMENT AND

ECONOMIC OPPORTUNITY FUND IN ORDER TO STRENGTHEN AND INCREASE

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

DEVELOPMENT AND AID POLICY AND INCREASE ECONOMIC OPPORTUNITY IN THE

U.S.

NAME OF RECIPIENT - NEW VENTURE FUND

FOR USE BY ITS U.S. IMPACT INVESTING ALLIANCE TOWARD THE COSTS OF

DRIVING COLLABORATIVE PHILANTHROPIC ACTION TO ADVANCE THE BENEFIT OF

THE OPPORTUNITY ZONES COMMUNITY INVESTMENT TAX INCENTIVE FOR POOR AND

VULNERABLE PEOPLE

NAME OF RECIPIENT - NEW WOMEN NEW YORKERS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK CITY BALLET INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK CITY ENERGY EFFICIENCY CORPORATION

TOWARD THE COSTS OF AN EFFORT TO TRANSFORM AFFORDABLE MULTIFAMILY

BUILDINGS TO NET-ZERO OR NEAR NET-ZERO ENERGY USE

NAME OF RECIPIENT - NEW YORK FOUNDATION FOR ARCHITECTURE, INC.

IN SUPPORT OF DEVELOPING AND DISSEMINATING BUILDING DESIGN GUIDELINES

THAT ENABLE MORE EFFECTIVE SEPARATION AND COLLECTION OF FOOD WASTE,

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RECYCLABLES, AND OTHER TRASH IN ORDER TO SUPPORT URBAN ZERO WASTE

STRATEGIES

NAME OF RECIPIENT - NEW YORK LAW SCHOOL ALUMNI ASSOCIATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK LAW SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK LAW SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK LAW SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK LIVE ARTS

## TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

# ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - NEW YORK PUBLIC RADIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK PUBLIC RADIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK PUBLIC RADIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK PUBLIC RADIO

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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NAME OF RECIPIENT - NEW YORK SHAKESPEARE FESTIVAL

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - NEW YORK SHAKESPEARE FESTIVAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK TIMES COMPANY

TOWARD THE COSTS OF ITS 2018 CITIES FOR TOMORROW CONFERENCE, IN ORDER

TO RAISE AWARENESS OF OPPORTUNITY ZONES AND ECONOMIC DEVELOPMENT IN

LOW-INCOME AREAS OF THE U.S.

NAME OF RECIPIENT - NEW YORK TIMES COMPANY

IN SUPPORT OF ESTABLISHING A POD BUREAU IN SAN JUAN, PUERTO RICO TO

REPORT ON THE 1-YEAR ANNIVERSARY OF HURRICANE MARIA INCLUDING AN

ARTICLE ENTITLED PUERTO RICO IN RUINS

NAME OF RECIPIENT - NEW YORK TIMES NEEDIEST CASES FUND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NEW YORK UNIVERSITY

Supplementary Information

FOR USE BY ITS MARRON INSTITUTE OF URBAN MANAGEMENT IN SUPPORT OF A

PROJECT TO IDENTIFY THE SPATIAL ATTRIBUTES OF CITIES AND RECOMMEND

INTERVENTIONS THAT CAN IMPROVE THE LONG-TERM INCLUSIVENESS OF

METROPOLITAN AND URBAN ECONOMIES GLOBALLY

NAME OF RECIPIENT - NEW YORKERS FOR PARKS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - NEWS DEEPLY, INC.

IN SUPPORT OF SCOPING AND DESIGNING THE STRUCTURE AND CONTENT OF A

DIGITAL CONVENING PLATFORM INTENDED TO BECOME A HUB FOR GLOBAL

INFLUENCERS AND FUNCTION TO EXTEND AND AMPLIFY THEIR REACH

NAME OF RECIPIENT - NONPROFIT FINANCE FUND

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE NONPROFIT ORGANIZATIONS

WITH ADVISORY SERVICES AND TOOLS TO UNDERSTAND THEIR FINANCIAL HEALTH,

FINANCIALLY PROTECT THEMSELVES AND THEIR PROGRAMS, AND GROW

STRATEGICALLY

NAME OF RECIPIENT - NON-PROFIT TECHNOLOGY ENTERPRISE NETWORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

## 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - NON-PROFIT TECHNOLOGY ENTERPRISE NETWORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NON-PROFIT TECHNOLOGY ENTERPRISE NETWORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NON-PROFIT TECHNOLOGY ENTERPRISE NETWORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NORTHERN VIRGINIA COMMUNITY COLLEGE EDUCATIONAL

FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NORTHWESTERN UNIVERSITY

### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

# ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - NORTHWESTERN UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NORTHWESTERN UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - NORTHWESTERN UNIVERSITY

FOR USE BY ITS KELLOGG SCHOOL OF MANAGEMENT IN SUPPORT OF FACULTY

CONVENINGS AND CURRICULUM DEVELOPMENT IN AN EFFORT TO BUILD THE FIELD

OF IMPACT INVESTING IN BUSINESS AND PROFESSIONAL EDUCATION

NAME OF RECIPIENT - OBERLIN COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - OGILVY AND MATHER GROUP HOLDINGS LIMITED

IN SUPPORT OF FACILITATING A CONVENING ON EFFECTIVE COMMUNICATIONS TO

IMPLEMENT THE PARIS AGREEMENT, TO BE HELD AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY, JUNE 2017

NAME OF RECIPIENT - ONFRONTIERS INC.

IN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR ZILIENT, A RESILIENCE

ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORM

NAME OF RECIPIENT - OPERATIONS CROSSROADS AFRICA II INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ORPHEUS CHAMBER ORCHESTRA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - OUTSTANDING RENEWAL ENTERPRISES INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - OUTSTANDING RENEWAL ENTERPRISES INC

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - OVE ARUP AND PARTNERS INTERNATIONAL LIMITED

IN SUPPORT OF DEVELOPING A CITY WATER RESILIENCE FRAMEWORK TO PROVIDE A

COMPREHENSIVE SET OF INDICATORS, VARIABLES AND METRICS THAT CAN BE USED

BY CITIES TO MEASURE THE RESILIENCE OF THEIR URBAN WATER SYSTEMS OVER

TIME

NAME OF RECIPIENT - OVERSEAS DEVELOPMENT INSTITUTE

TOWARD THE COSTS OF DEVELOPING CONTENT FOR THE FUTURE OF FRAGILE STATES

CONFERENCE TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER,

ITALY, JUNE 2018

NAME OF RECIPIENT - OVERSEAS DEVELOPMENT INSTITUTE

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE

KNOWLEDGE BASE RELATED TO RESILIENCE THOUGHT AND ACTION FOR A GLOBAL

AUDIENCE

NAME OF RECIPIENT - OXFAM-AMERICA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - OXFAM-AMERICA INC

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - OXFAM-AMERICA INC.

IN SUPPORT OF CAPACITY BUILDING INITIATIVES, INCLUDING DATA MANAGEMENT

AND INTEGRATION, FOR GOVERNMENT AND CIVIL SOCIETY ORGANIZATIONS IN

ORDER TO PROMOTE CULTURAL DIVERSITY IN RAKHINE STATE, MYANMAR

NAME OF RECIPIENT - PACT INSTITUTE, INC.

TOWARD THE COSTS OF ESTABLISHING A SMART POWER MYANMAR FACILITY TO

ACCELERATE THE SPREAD OF DECENTRALIZED RENEWAL ENERGY SOLUTIONS IN

MYANMAR

NAME OF RECIPIENT - PAN MASSACHUSETTS CHALLENGE, INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PAN MASSACHUSETTS CHALLENGE, INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PAN MASSACHUSETTS CHALLENGE, INC

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PANAHPUR

TOWARD THE COSTS OF DEVELOPING PROJECT SNOWBALL, A PARTNERSHIP BETWEEN

CHARITABLE ORGANIZATIONS TO FACILITATE SECONDARY TRANSACTIONS OF HIGH

SOCIAL IMPACT INVESTMENTS FOR THE BENEFIT OF POOR AND VULNERABLE

POPULATIONS

NAME OF RECIPIENT - PARA SITE ART SPACE LIMITED

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ), THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - PARK SLOPE CHILD CARE COLLECTIVE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PARKSIDE SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PARTNERS ASIA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PARTNERS FOR A BETTER EDUCATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PARTNERS FOR A BETTER EDUCATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PARTNERS IN HEALTH (PIH)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - PARTNERSHIP FOR PUBLIC SERVICE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO REVITALIZE THE U.S. FEDERAL

GOVERNMENT BY TRANSFORMING THE WAY IT WORKS AND INSPIRING A NEW

GENERATION TO SERVE

NAME OF RECIPIENT - PATH

IN SUPPORT OF A PROJECT TO ASSESS WHETHER CULTURED PROTEINS,

PARTICULARLY EGG AND DAIRY, CAN PLAY A MEANINGFUL ROLE IN ADDRESSING

GLOBAL MALNUTRITION IN A MORE ENVIRONMENTALLY SUSTAINABLE WAY THAN

TRADITIONAL ANIMAL-SOURCED FOODS

NAME OF RECIPIENT - PATH

TOWARD THE COSTS OF TWO OF ITS DIGITAL SQUARE INITIATIVES, THE DIGITAL

REGIONAL EAST AFRICA COMMUNITY HEALTH INITIATIVE AND THE AFRICAN

ALLIANCE FOR DIGITAL HEALTH NETWORKS, BOTH SEEKING TO BUILD REGIONAL

DIGITAL HEALTH SYSTEMS AND CAPACITY IN EAST AFRICA

NAME OF RECIPIENT - PEAK GRANTMAKING

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PEN AMERICAN CENTER, INC.

IN PARTNERSHIP WITH ITS PEN MYANMAR, IN SUPPORT OF AN INITIATIVE TO

ADVANCE FREE EXPRESSION, TOLERANCE AND MULTICULTURALISM IN MYANMAR

NAME OF RECIPIENT - PENNINGTON SCHOOL PARENT TEACHER ORGANIZATION

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# TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

# ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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NAME OF RECIPIENT - PENNINGTON SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PER SCHOLAS, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PERSON TO PERSON INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PERSON TO PERSON INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - PET ANIMAL WELFARE SOCIETY OF CONNECTICUT (PAWS)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PETS ARE WONDERFUL SUPPORT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PHI BETA KAPPA SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PHILANTHROPY NEW YORK INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PHILANTHROPY NEW YORK INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PHILANTHROPY NEW YORK, INC.

IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN PHILANTHROPY BY

OFFERING PROGRAMS AND RESOURCES THAT SUPPORT EFFECTIVE, STRATEGIC

GRANTMAKING, FACILITATING COLLABORATION AND KNOWLEDGE SHARING, AND

COMMUNICATING THE VALUE OF THE PHILANTHROPIC SECTOR IN OUR SOCIETY, AND

TOWARD THE COSTS OF ITS FUND FOR 2025 CAPITAL CAMPAIGN, A CAPACITY

BUILDING EFFORT FOCUSED ON IMPLEMENTING ITS STRATEGIC PLAN

NAME OF RECIPIENT - PHYSICIANS FOR REPRODUCTIVE HEALTH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PHYSICIANS FOR REPRODUCTIVE HEALTH INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PIONEER ELEMENTARY SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD FEDERATION OF AMERICA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PLANNED PARENTHOOD OF NEW YORK CITY INC

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# TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

# ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - POLYPHONY FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PORT WASHINGTON FIRE DEPARTMENT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PORT WASHINGTON LIBRARY FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - POST ROAD FOUNDATION

TOWARD THE COSTS OF CONDUCTING PRE-FEASIBILITY STUDIES FOR THREE

COMMUNITIES IN THE U.S. TO ASSESS THE POTENTIAL FOR INTEGRATED AND

SUSTAINABLE DIGITAL INFRASTRUCTURE INVESTMENTS

## 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - POUND ANIMAL WELFARE SOCIETY OF MONTCLAIR INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - POWER FOR ALL

TOWARD THE COSTS OF ESTABLISHING A GLOBAL POLICY PLATFORM THAT ADVANCES

THE ADOPTION OF INTEGRATED NATIONAL ELECTRIFICATION FRAMEWORKS IN

LOW-ENERGY-ACCESS COUNTRIES

NAME OF RECIPIENT - POWER FOR ALL

IN SUPPORT OF THE DESIGN AND INITIAL IMPLEMENTATION OF AN INTEGRATED

ELECTRIFICATION APPROACH IN UGANDA

NAME OF RECIPIENT - PREGONES PUERTO RICAN TRAVELING THEATER INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PRESBYTERIAN CHURCH OF UPPER MONTCLAIR

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOR USE BY ITS T.H. CHAN SCHOOL OF PUBLIC HEALTH IN SUPPORT OF

ACTIVITIES TO LAUNCH A NEW FIELD OF PLANETARY HEALTH IN ORDER TO YIELD

BETTER SOLUTIONS TO HEALTH CHALLENGES IN THE 21ST CENTURY

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS HUTCHINS CENTER FOR AFRICAN AND AFRICAN AMERICAN

RESEARCH IN SUPPORT OF DEVELOPING SELMA ONLINE, A MULTIMEDIA,

INTERACTIVE ONLINE EDUCATION PLATFORM USING THE SELMA VOTING RIGHTS

CAMPAIGN AS A CASE-STUDY IN LEADERSHIP, DECISION-MAKING, RACE, CIVIL

RIGHTS AND ENGAGED CITIZENSHIP

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS HARVARD KENNEDY SCHOOLS CENTER FOR PUBLIC LEADERSHIP IN

SUPPORT OF THE INITIATIVE FOR RESPONSIBLE INVESTING, AN EFFORT TO

EXPLORE ALTERNATIVE APPROACHES TO INSTITUTIONAL INVESTMENT IN

SUSTAINABLE INFRASTRUCTURE IN THE U.S.

NAME OF RECIPIENT - PRI ASSOCIATION

TOWARD THE COSTS OF PROMOTING THE SYSTEMATIC AND TRANSPARENT

INCORPORATION OF ENVIRONMENTAL, SOCIAL AND GOVERNANCE FACTORS INTO

CREDIT RATINGS IN ORDER TO DEVELOP A MORE ECONOMICALLY EFFICIENT AND

SOCIALLY SUSTAINABLE SYSTEM

NAME OF RECIPIENT - PRINCESS GRACE FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PRINCETON DAY SCHOOL INC

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - PRINCETON PROJECT 55 INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PRINCETON PROJECT 55 INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PRINCETON PROJECT 55 INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PRINCETON UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

Supplementary Information

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - PRO PUBLICA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PROJECT OF EASTON INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PROJECT QUEST, INC.

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE CAREER OPPORTUNITIES TO

UNEMPLOYED, UNDEREMPLOYED AND UNDER-SKILLED COMMUNITY RESIDENTS TO

ACHIEVE FAMILY-SUSTAINING WAGES AND FINANCIAL SECURITY

NAME OF RECIPIENT - PROJECT SUNSHINE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PROSPECT PARK ALLIANCE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PROVIDING OPPORTUNITIES FOR INDEPENDENCE AND SOCIAL

EXPERIENCE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PROVIDING OPPORTUNITIES FOR INDEPENDENCE AND SOCIAL

EXPERIENCE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - PUBLISEZ, INC.

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS

SUPPORTED BY THE ROCKEFELLER FOUNDATIONS INNOVATIVE FINANCE ZERO GAP

PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING

AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE

HARNESSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL

SOCIAL AND ENVIRONMENTAL OUTCOMES

NAME OF RECIPIENT - PULITZER CENTER ON CRISIS REPORTING

IN SUPPORT OF A FELLOWSHIP PROGRAM TO PROMOTE INTERNATIONAL LONG-FORM

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

REPORTING AND EDUCATIONAL OUTREACH FOCUSED ON GLOBAL HEALTH, FRAGILITY

AND CONFLICT, AND FOOD SECURITY

NAME OF RECIPIENT - PULITZER CENTER ON CRISIS REPORTING

IN SUPPORT OF A FELLOWSHIP PROGRAM TO PROMOTE INTERNATIONAL LONG-FORM

REPORTING AND EDUCATIONAL OUTREACH FOCUSED ON GLOBAL HEALTH, CLIMATE

CHANGE, ENERGY ACCESS AND FOOD SECURITY

NAME OF RECIPIENT - PURDUE UNIVERSITY

TOWARD THE COSTS OF ITS PROJECT STRENGTHENING AFRICAN FOOD PROCESSORS

TO HELP REDUCE FOOD LOSS, EXPAND MARKETS FOR LOCAL FARMERS, AND IMPROVE

NUTRITION IN KENYA AND TANZANIA THROUGH INCREASED AVAILABILITY AND

ADOPTION OF MODERN FOOD PROCESSING TECHNOLOGIES

NAME OF RECIPIENT - PUSHKIN INDUSTRIES, INC.

IN SUPPORT OF PRODUCING THE SOLVABLE PODCAST, AN ORIGINAL AUDIO SERIES

SHOWCASING WORLD THINKERS PROPOSING SOLUTIONS TO THE WORLDS MOST

CHALLENGING PROBLEMS AFFECTING POOR AND VULNERABLE POPULATIONS

NAME OF RECIPIENT - PYMETRICS, INC.

IN SUPPORT OF A PILOT PROJECT TO DEMONSTRATE HOW CANDIDATE ASSESSMENT

TECHNOLOGIES CAN REDUCE BIAS IN YOUTH HIRING AND UNLOCK ADDITIONAL

EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN THE U.S.

NAME OF RECIPIENT - PYXERA GLOBAL INC.

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES

OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE NIGERIAN

TOMATO VALUE CHAIN

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - QUANTIFIED VENTURES LLC

IN SUPPORT OF ASSISTING TWO U.S. MUNICIPAL WATER AUTHORITIES TO ISSUE

PAY-FOR-SUCCESS MUNICIPAL BONDS TO FINANCE GREEN INFRASTRUCTURE

PROJECTS SERVING POOR AND VULNERABLE COMMUNITIES

NAME OF RECIPIENT - RAILS TO TRAILS CONSERVANCY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - RASHA Z. SALTI

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ), THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - RED DE FUNDACIONES DE PUERTO RICO INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - REFUGEE AND IMMIGRANT CENTER FOR EDUCATION AND LEGAL

#### 13-1659629

Supplementary Information

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

SERVICES (RAICES)

Part XV

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - REFUGEE AND IMMIGRANT CENTER FOR EDUCATION AND LEGAL

SERVICES (RAICES)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - REFUGEE AND IMMIGRANT CENTER FOR EDUCATION AND LEGAL

SERVICES (RAICES)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - REFUGEE AND IMMIGRANT CENTER FOR EDUCATION AND LEGAL

SERVICES (RAICES)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

#### ORGANIZATIONS

NAME OF RECIPIENT - REFUGEE AND IMMIGRANT CENTER FOR EDUCATION AND LEGAL

#### 13-1659629

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

Supplementary Information

### SERVICES (RAICES)

Part XV

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - REFUGEE AND IMMIGRANT CENTER FOR EDUCATION ANDLEGAL

SERVICES (RAICES)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - REFUGEES INTERNATIONAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - RENEWABLE ENERGY AND ENERGY EFFICIENCY PARTNERSHIP

IN SUPPORT OF A PROJECT TO PRODUCE A SERIES OF KNOWLEDGE PUBLICATIONS

AND FACILITATE RELATED ROUNDTABLES TO DISSEMINATE BEST PRACTICES AND

INSIGHTS BASED ON SMART POWER RURAL DEVELOPMENTS WORK IN INDIA ON

IMPLEMENTING MINI-GRIDS IN RURAL COMMUNITIES AND USING THE MINI-GRID

MODEL TO SPUR GLOBAL ECONOMIC DEVELOPMENT THROUGH ENERGY ACCESS

NAME OF RECIPIENT - RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW

YORK

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOR USE BY ITS INSTITUTE FOR STATE AND LOCAL GOVERNANCE IN SUPPORT OF

CONTINUING ITS EQUALITY INDICATORS PROJECT IN NEW YORK CITY WITH

ADDITIONAL FOCUS ON IMPROVING DISPARITIES IN HEALTH AND JUSTICE, AND OF

EXPANDING THE FRAMEWORK TO FIVE OTHER CITIES ACROSS THE COUNTRY THROUGH

EITHER TECHNICAL OR IMPLEMENTATION ASSISTANCE

NAME OF RECIPIENT - RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW

YORK

IN SUPPORT OF ITS CUNY CULTURAL CORPS TO PROVIDE TRAINING AND PAID

INTERNSHIPS TO LOW-INCOME YOUTH AT CULTURAL INSTITUTIONS THROUGHOUT NEW

YORK CITY IN AN EFFORT TO DIVERSIFY THE WORKFORCE OF THE CULTURAL

SECTOR

NAME OF RECIPIENT - RESEAU D ORGANISATIONS POUR LA SURVEILLANCE REGIONALE

DES MALADIES

IN SUPPORT OF THE WEST AFRICA NETWORK FOR INFECTIOUS DISEASE

SURVEILLANCE IN AN EFFORT TO IMPROVE SURVEILLANCE CAPACITY, PROMOTE

INNOVATION AND BUILD SUSTAINABLE NETWORKS TO REDUCE GLOBAL BIOLOGICAL

THREATS

NAME OF RECIPIENT - RESULTS FOR DEVELOPMENT INSTITUTE, INC.

FOR USE BY THE INTERNATIONAL DEVELOPMENT INNOVATION ALLIANCE, A NETWORK

OF LEADING DEVELOPMENT INNOVATION FUNDERS, IN SUPPORT OF PROMOTING AND

ADVANCING INNOVATION AS A MEANS OF TRANSFORMING THE LIVES OF POOR OR

VULNERABLE PEOPLE

NAME OF RECIPIENT - RESULTS FOR DEVELOPMENT INSTITUTE, INC.

IN SUPPORT OF THE PLANNING PHASE TO LANDSCAPE CIVIL SOCIETY

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ORGANIZATIONS IN AFRICA AND CREATE AN ACCESSIBLE, SEARCHABLE ONLINE

REPOSITORY TO FACILITATE THE STRENGTHENING OF THE SECTOR

NAME OF RECIPIENT - RICE UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - RIGHT MANAGEMENT INC.

IN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY

MODEL FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE

U.S.

NAME OF RECIPIENT - ROCK AND ROLL HALL OF FAME

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ROCKEFELLER ARCHIVE CENTER

IN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY

AND CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATIONS

HISTORY IN A GLOBAL CONTEXT

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY 100 RESILIENT CITIES TOWARD THE COSTS OF BUILDING CITIES

CAPACITY GLOBALLY TO MAINTAIN AND RECOVER CRITICAL FUNCTIONS IN THE

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FACE OF SHOCKS AND STRESSES, AND TO SUPPORT THEIR PROGRESS TOWARD

OPERATIONAL INDEPENDENCE AND SUSTAINABILITY

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY 100 RESILIENT CITIES IN SUPPORT OF THE RESILIENT PUERTO RICO

ADVISORY COMMISSION TO DEVELOP RECOMMENDATIONS FOR THE LONG-TERM

RECOVERY AND REBUILDING OF THE ISLAND AFTER HURRICANE MARIA

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY ITS FUND FOR SHARED INSIGHT TOWARD THE COSTS OF ITS EFFORTS

TO IMPROVE PHILANTHROPY BY INCREASING OPENNESS IN FOUNDATIONS AND

CAPTURING AND SHARING CROSS-CUTTING LEARNING ACROSS FOUNDATIONS

GRANTEES AND THEIR BENEFICIARIES

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY ITS UPSTART CO-LAB TOWARD THE COSTS OF ITS MISSION TO

CONNECT ARTISTS, IMPACT INVESTORS, AND SOCIAL ENTREPRENEURS TO CREATE

OPPORTUNITIES FOR SCALABLE SOCIAL IMPACT.

NAME OF RECIPIENT - ROCKY MOUNTAIN INSTITUTE

FOR USE BY ITS CARBON WAR ROOM, TOWARD THE COSTS OF THE SEED

INITIATIVE, TO ACCELERATE ENERGY ACCESS IN SUB-SAHARAN AFRICA BY

CREATING INTEGRATED ENERGY PLANS AND BUILDING THE CAPACITY OF THE

PUBLIC AND PRIVATE SECTORS TO ACT ON THESE PLANS

NAME OF RECIPIENT - RSG NEWCO, LLC

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO THE COMMUNITIES THRIVE

CHALLENGE GRANTEES TO HELP THEM SCALE THEIR IMPACT

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - RURAL DEVELOPMENT INSTITUTE

IN SUPPORT OF CONDUCTING A LAND TENURE ASSESSMENT TO UNDERSTAND THE

CORRELATION BETWEEN INCREASED INVESTMENTS IN THE MANGO VALUE CHAIN AND

THEIR IMPACT ON THE LAND TENURE STRUCTURES FOR SMALLHOLDER FARMERS

NAME OF RECIPIENT - SADIE NASH LEADERSHIP PROJECT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SADIE NASH LEADERSHIP PROJECT INC

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ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

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NAME OF RECIPIENT - SAFE PASSAGE PROJECT CORPORATION

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SAHA DERNEGI

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ), THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - SAMDANI ART FOUNDATION

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ), THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - SAMHITA SOCIAL VENTURES PRIVATE LIMITED

IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIAS

NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING

COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT

CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY

ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

NAME OF RECIPIENT - SAN FRANCISCO AIDS FOUNDATION

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NAME OF RECIPIENT - SAN FRANCISCO AIDS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SANCTUARY FOR FAMILIES INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SAVE THE BAY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SAVE THE CHILDREN, FEDERATION

FOR USE BY ITS PROJECT, THE START NETWORK, IN SUPPORT OF LAUNCHING A

REPLICA INSURANCE PRODUCT THROUGH THE AFRICAN RISK CAPACITY INITIATIVE,

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN AN EFFORT TO DEVELOP NEW FINANCING INSTRUMENTS TO ENABLE FASTER,

#### MORE EFFECTIVE HUMANITARIAN AID

NAME OF RECIPIENT - SAVE THE REDWOODS LEAGUE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SEA ISLANDS HUNGER AWARENESS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - SEA ISLANDS HUNGER AWARENESS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SEABROOK ISLAND GREEN SPACE CONSERVANCY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - SEABURY RESOURCES FOR AGING

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NAME OF RECIPIENT - SECRETARIAT OF THE UNITED NATIONS FRAMEWORK

CONVENTION ON CLIMATE CHG

IN SUPPORT OF LAUNCHING A PLANETARY HEALTH PILLAR WITHIN ITS MOMENTUM

FOR CHANGE INITIATIVE TO RECOGNIZE INNOVATIVE PLANETARY HEALTH

APPROACHES THAT HELP MITIGATE THE THREAT OF CLIMATE CHANGE WHILE

IMPROVING THE HEALTH AND RESILIENCE OF COMMUNITIES AROUND THE WORLD

NAME OF RECIPIENT - SERVICE YEAR EXCHANGE

IN GENERAL SUPPORT OF ITS MISSION TO MAKE A YEAR OF PUBLIC SERVICE A

COMMON OPPORTUNITY FOR ALL YOUNG AMERICANS

NAME OF RECIPIENT - SHACK DWELLERS INTERNATIONAL

IN SUPPORT OF STRENGTHENING ITS KNOW YOUR CITY DATA PLATFORM WITH THE

AIM OF MAKING IT THE PREMIER SOURCE OF COMMUNITY-GATHERED INFORMATION

ON INFORMAL SETTLEMENTS FOR RESILIENCE CITY PLANNING IN AFRICA, AND A

KEY PLATFORM PARTNER FOR RELEVANT CITIES IN THE 100 RESILIENT CITIES

NETWORK

NAME OF RECIPIENT - SHAKTI SUSTAINABLE ENERGY FOUNDATION

IN SUPPORT OF INFORMING POLICY OUTCOMES AND POLICY, REGULATORY AND

UTILITY PLANNING SOLUTIONS TO ENERGY ACCESS IN RURAL INDIA, ULTIMATELY

INCREASING THE AVAILABILITY OF PUBLIC FUNDING FOR RURAL DECENTRALIZED

RENEWABLE ENERGY POWER PLANTS AND OTHER ENERGY EFFICIENT TECHNOLOGIES

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - SHELL FOUNDATION

TOWARD THE COSTS OF A FEASIBILITY STUDY FOR A NEW MODEL OF ENERGY

COMPANY IN NIGERIA THAT WOULD PROVIDE UNIVERSAL ENERGY ACCESS THROUGH A

COMBINATION OF ON- AND OFF-GRID SOLUTIONS

NAME OF RECIPIENT - SILICON VALLEY COMMUNITY FOUNDATION

FOR USE BY ITS PROJECT, THE 100,000 OPPORTUNITIES INITIATIVE, TOWARD

THE COSTS OF AN EMPLOYER-LED COALITION COMMITTED TO INCREASING

EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN THE U.S.

NAME OF RECIPIENT - SKOWHEGAN SCHOOL OF PAINTING AND SCULPTURE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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ORGANIZATIONS

NAME OF RECIPIENT - SMITHSONIAN INSTITUTION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SOLIDAGO FOUNDATION

FOR USE BY THE WORKERS LAB IN SUPPORT OF DESIGNING AND POTENTIALLY

PILOTING PORTABLE BENEFITS THAT INCREASE FINANCIAL STABILITY FOR

WORKERS IN THE UNITED STATES

NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS

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NAME OF RECIPIENT - SOUTHAMPTON FRESH AIR HOME

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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NAME OF RECIPIENT - SOUTHERN POVERTY LAW CENTER INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - SOUTHERN POVERTY LAW CENTER

IN SUPPORT OF DEVELOPING A STUDENT CURRICULUM USING THE SELMA VOTING

RIGHTS CAMPAIGN AS A CASE-STUDY IN LEADERSHIP, DECISION-MAKING, RACE

CIVIL RIGHTS AND ENGAGED CITIZENSHIP

NAME OF RECIPIENT - SPECIAL OLYMPICS CONNECTICUT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - SQ FOUNDATION

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NAME OF RECIPIENT - SQ FOUNDATION

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NAME OF RECIPIENT - SQ FOUNDATION

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NAME OF RECIPIENT - SQ FOUNDATION

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NAME OF RECIPIENT - SQ FOUNDATION

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NAME OF RECIPIENT - SQ FOUNDATION

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NAME OF RECIPIENT - SQ FOUNDATION

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - ST RAPHAEL CHURCH

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NAME OF RECIPIENT - ST RAPHAEL CHURCH

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ST WILLIAM THE ABBOT ROMAN CATHOLIC CHURCH

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ST. BALDRICKS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - ST. BALDRICKS FOUNDATION

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#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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NAME OF RECIPIENT - ST. LUKES EPISCOPAL CHURCH

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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NAME OF RECIPIENT - ST. LUKE'S EPISCOPAL CHURCH

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ST. LUKE'S EPISCOPAL CHURCH

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - ST. MARY'S HOSPITAL FOR CHILDREN

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - STICHTING DIENST LANDBOUWKUNDIG ONDERZOEK

FOR USE BY THE CENTRE FOR DEVELOPMENT INNOVATION IN SUPPORT OF A STUDY

ON THE EFFECTIVENESS OF MULTI-STAKEHOLDER PLATFORMS AND PARTNERSHIPS IN

CERTAIN AGRICULTURE AND FOOD SECURITY VALUE CHAIN INTERVENTIONS

NAME OF RECIPIENT - STICHTING RABO FOUNDATION KLANTENFONDS

IN SUPPORT OF SETTING UP A GUARANTEE FACILITY FOR THE ENHANCED SUPPLIER

CHAIN MODEL TO SUPPORT SMALLHOLDER FARMERS AND SUPPLIERS AND ENCOURAGE

MORE SUSTAINABLE ENVIRONMENTAL, SOCIAL AND ECONOMIC PRACTICES

NAME OF RECIPIENT - STONE BARNS CENTER FOR FOOD AND AGRICULTURE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - STONEWALL COMMUNITY FUND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - STONEWALL COMMUNITY FUND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - STONY BROOK FOUNDATION

Supplementary Information

FOR USE BY ITS GLOBAL HEALTH INSTITUTE IN SUPPORT OF A FELLOWSHIP TO

LANDSCAPE AND IDENTIFY PROMISING OPPORTUNITIES TO IMPROVE ACCESS TO AND

QUALITY OF COMMUNITY-BASED PRIMARY HEALTH CARE THROUGH DATA AND OTHER

TECHNICAL TOOLS

Part XV

NAME OF RECIPIENT - STORYCORPS INC.

TOWARD THE COSTS OF ITS PROJECT ONE SMALL STEP THAT SEEKS TO HELP

BRIDGE AMERICAS POLITICAL DIVIDES THROUGH STORYTELLING

NAME OF RECIPIENT - SUMMIT SCHOOL FOUNDATION INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SUMMIT SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - SUSTAINABLE FOOD LAB

IN SUPPORT OF DEVELOPING AND INTEGRATING FOOD LOSS METRICS IN SELECTED

SUPPLY CHAIN PROCESSES FOR GLOBAL, REGIONAL, AND NATIONAL CORPORATES

WORKING ACROSS AGRICULTURAL CHAINS

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - SUSTAINBIZ, INC.

IN SUPPORT OF SYNTHESIZING KNOWLEDGE OF INSTITUTIONS AND ACTIONS

CURRENTLY UNDERTAKEN AND ENVISIONED TO QUANTIFY AND INTERNALIZE THE

VALUE OF ECOSYSTEMS IN PUBLIC AND PRIVATE INVESTMENT PRACTICE

NAME OF RECIPIENT - TABLE TO TABLE INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TAKATAKA SOLUTIONS LTD

TOWARD THE COSTS OF DEVELOPING A SUSTAINABLE WASTE MANAGEMENT BLUEPRINT

TO DEMONSTRATE HOW TO IMPLEMENT A RECYCLING-BASED WASTE MANAGEMENT

SYSTEM THAT CAN BE ADAPTED THROUGHOUT NAIROBI AND OTHER CITIES IN KENYA

NAME OF RECIPIENT - TECHNOSERVE, INC.

IN SUPPORT OF EXPANDING THE DEMONSTRATION OF FOOD LOSS REDUCTION IN

KENYA'S MANGO VALUE CHAIN IN ORDER TO IMPROVE THE LIVES OF SMALLHOLDER

FARMERS AND OTHER VULNERABLE PEOPLE

NAME OF RECIPIENT - TECHSOUP GLOBAL

FOR USE BY NGOSOURCE IN SUPPORT OF ONGOING OPERATIONS TO ENHANCE ITS

REPOSITORY OF EQUIVALENCY DETERMINATIONS IN ORDER TO STREAMLINE AND

DEMOCRATIZE INTERNATIONAL GRANTMAKING AND GLOBAL PHILANTHROPY

NAME OF RECIPIENT - TEMPLE UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TEMPOART PORTLAND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TEXAS CIVIL RIGHTS PROJECT

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE 24 HOUR PLAYS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE AMERICAN COUNCIL FOR VOLUNTARY INTERNATIONAL

ACTION, INC.

IN SUPPORT OF ADVANCING THE MEASUREMENT AND EVALUATION OF MARKET-BASED

SOLUTIONS FOR INTERNATIONAL DEVELOPMENT BY STRENGTHENING THE CAPACITY

TO DESIGN AND IMPLEMENT INNOVATIVE FINANCE APPROACHES

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - THE ASIA SOCIETY

FOR USE BY ITS UN SPECIAL ENVOY FOR HAITI IN SUPPORT OF DESIGNING A

HAITI CHOLERA IMPACT BOND, AN INNOVATIVE FINANCING MECHANISM TO

ELIMINATE THE TRANSMISSION OF CHOLERA IN HAITI

NAME OF RECIPIENT - THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR

UNIVERSITY

IN SUPPORT OF CREATING A NEW INITIATIVE TO PROVIDE COMPREHENSIVE

SUPPORT FOR TRANSFORMATIONAL INNOVATIONS IN GLOBAL FOOD SYSTEMS

NAME OF RECIPIENT - THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR

UNIVERSITY

FOR USE BY ITS IMMIGRATION POLICY LAB TOWARD THE COSTS OF IMPLEMENTING,

TESTING, AND EVALUATING THE USE OF A PREDICTIVE ANALYTICS TOOL IN

MATCHING REFUGEES TO RESETTLEMENT LOCATIONS IN TWO TO THREE PILOT

COUNTRIES

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF SURFACING, EXPLORING, AND ADVANCING MAJOR, HIGH-LEVERAGE

OPPORTUNITIES TO ADDRESS CRITICAL PROBLEMS FACING POOR OR VULNERABLE

POPULATIONS

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

TOWARD THE COSTS OF A CONVENING OF INDIAN PHILANTHROPIC LEADERS AND

FOUNDATIONS INTERESTED IN LEARNING ABOUT STRATEGIC PHILANTHROPY

NAME OF RECIPIENT - THE CENTER FOR EFFECTIVE PHILANTHROPY, INC.

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE DATA AND CREATE INSIGHT SO

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PHILANTHROPIC FUNDERS CAN BETTER DEFINE, ASSESS AND IMPROVE THEIR

EFFECTIVENESS AND IMPACT

NAME OF RECIPIENT - THE CENTER FOR EFFECTIVE PHILANTHROPY, INC.

IN SUPPORT OF COMPLETING A GRANTEE PERCEPTION REPORT FOR THE

ROCKEFELLER FOUNDATION, AS PART OF AN INDUSTRY-WIDE PROJECT DESIGNED TO

PROVIDE FEEDBACK ON THE PERCEPTIONS OF GRANTEES ABOUT THE PERFORMANCE

OF FOUNDATIONS, AND TO PROVIDE BENCHMARKING PERFORMANCE DATA TO

PARTICIPATING FOUNDATIONS AND THE BROADER PHILANTHROPIC COMMUNITY

NAME OF RECIPIENT - THE COMMUNICATIONS NETWORK

IN SUPPORT OF FURTHER DEVELOPMENT OF HATCH FOR GOOD AN INDEPENDENT

STORYTELLING PLATFORM FOR THE SOCIAL AND DEVELOPMENT SECTOR, AND

EXPLORING HOW TO FIT IT INTO THE NETWORKS MODEL

NAME OF RECIPIENT - THE COMMUNICATIONS NETWORK

IN SUPPORT OF DEVELOPING AND MANAGING THE FRANK KARELROCKEFELLER

FOUNDATION SCHOLARSHIP TO PROVIDE NONPROFIT COMMUNICATIONS LEADERS FREE

ATTENDANCE TO ITS ANNUAL COMNET CONFERENCE

NAME OF RECIPIENT - THE COMMUNITY FUND OF DARIEN

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE DOCUMENTARY GROUP, LLC

IN SUPPORT OF PRODUCING SOLVABLE, A MULTI-MEDIA STORYTELLING PROJECT

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THAT RAISES AWARENESS, CONVERSATION AND ACTION ON PRESSING GLOBAL

CHALLENGES FOR WHICH PROMISING SOLUTIONS HAVE BEEN IDENTIFIED, IN ORDER

TO INSPIRE COMMITMENT TO MAKE THOSE SOLUTIONS A REALITY FOR VULNERABLE

PEOPLE AROUND THE WORLD

NAME OF RECIPIENT - THE DSC PRIZE FOR SOUTH ASIAN LITERATURE

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY. UPON REQUEST OF KHOJ INTERNATIONAL ARTIST

ASSOCIATION (KHOJ), THE ROCKEFELLER FOUNDATION PAID \$1,000 TO SIX

SUB-GRANTEES, \$6,000 IN TOTAL. THE GRANT PAYMENTS DISBURSED TO THE

SUB-GRANTEES ON BEHALF OF KHOJ ARE PART OF THE TOTAL GRANT AWARDED TO

KHOJ.

NAME OF RECIPIENT - THE ENERGY FOR GROWTH HUB

TOWARD THE COSTS OF CREATING A NEW ENERGY CONSUMPTION METRIC THAT

BETTER CAPTURES ENERGYS ROLE IN ECONOMIC TRANSFORMATION AND HELPS TO

TRANSLATE RESEARCH INTO POLICY TO SUPPORT MORE EFFECTIVE AND INCREASED

ENERGY PROVISION FOR ECONOMIC GROWTH

NAME OF RECIPIENT - THE FRESH AIR FUND

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE FUTURO MEDIA GROUP

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE GHETTO FILM SCHOOL, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE GLOBAL DEVELOPMENT INCUBATOR, INC.

FOR USE BY ITS INITIATIVE FOR SMALLHOLDER FINANCE IN SUPPORT OF

PILOTING A TECHNOLOGY-DRIVEN FINANCING MECHANISM TO PROVIDE LOW-COST

SUPPLY CHAIN FINANCING TO UNDERSERVED SMALL AND MEDIUM-SIZED

ENTERPRISES IN INDIA AS AN INCENTIVE FOR MEETING ENVIRONMENTAL, LABOR

AND SAFETY STANDARDS

NAME OF RECIPIENT - THE GLOBAL STEERING GROUP FOR IMPACT INVESTMENT

IN GENERAL SUPPORT OF ITS MISSION TO CREATE A WORLD WHERE MEASURABLE

SOCIAL AND ENVIRONMENTAL IMPACT IS EMBRACED AS A DELIVERABLE DRIVER IN

EVERY INVESTMENT AND BUSINESS DECISION AFFECTING PEOPLE AND THE PLANET

NAME OF RECIPIENT - THE INDUSTRIAL COMMONS

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE RESOURCES AND SUPPORT TO

FIRMS AND NETWORKS IN A WAY THAT IMPROVES LIVELIHOODS AND ROOTS WEALTH

IN COMMUNITIES

NAME OF RECIPIENT - THE JAMES BEARD FOUNDATION, INC.

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF DEVELOPING A FOOD WASTE TRAINING PROGRAM FOR

CULINARY INSTRUCTORS TO TRAIN THE NEXT GENERATION OF CHEFS AND CULINARY

PROFESSIONALS TO PREVENT FOOD WASTE

NAME OF RECIPIENT - THE JAMES BEARD FOUNDATION, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE LEUKEMIA AND LYMPHOMA SOCIETY (LLS)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE LEUKEMIA AND LYMPHOMA SOCIETY (LLS)

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF THE REIMAGINING THE CIVIC COMMONS INITIATIVE, AN

EFFORT TO DEMONSTRATE HOW A CONNECTED SET OF CIVIC ASSETS CAN YIELD

INCREASED AND SHARED PROSPERITY FOR CITIES IN THE UNITED STATES

NAME OF RECIPIENT - THE NEW SCHOOL

#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - THE NEW SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE NISKANEN CENTER INC.

FOR USE BY ITS POVERTY AND WELFARE DEPARTMENT TOWARD THE COSTS OF

SOCIAL FINANCE RESEARCH TO PROMOTE SUSTAINABLE, PRO-GROWTH SOLUTIONS

FOR THE REVITALIZATION OF DISTRESSED COMMUNITIES

NAME OF RECIPIENT - THE PARENT TEACHER ASSOCIATION OF PS 41 MANHATTAN

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE PARENT TEACHER ASSOCIATION OF PS 41 MANHATTAN

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - THE PARENT TEACHER ASSOCIATION OF PS 41 MANHATTAN

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE PEW CHARITABLE TRUSTS

TOWARD THE COSTS OF IDENTIFYING FACTORS THAT SUPPORT AND INHIBIT

AMERICAN FAMILIES FROM BEING AND FEELING FINANCIALLY SECURE AND

UPWARDLY MOBILE

NAME OF RECIPIENT - THE POND THEATRE COMPANY INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

IN SUPPORT OF TESTING AND REFINING THE ROCKEFELLER FOUNDATIONS EMERGING

INCLUSIVE ECONOMIES FRAMEWORK IN DIFFERENT GEOGRAPHIC CONTEXTS AND

MARKETS, AND GENERATING NEW KNOWLEDGE ON WHAT IT TAKES TO BUILD AN

INCLUSIVE ECONOMY

NAME OF RECIPIENT - THE RESOLUTION PROJECT

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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NAME OF RECIPIENT - THE RESOLUTION PROJECT

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - THE RESOLUTION PROJECT, INC.

IN GENERAL SUPPORT OF ITS MISSION TO DEVELOP SOCIALLY-RESPONSIBLE YOUNG

LEADERS AND EMPOWER THEM TO MAKE A POSITIVE IMPACT TODAY

NAME OF RECIPIENT - THE ROXBURY LATIN SCHOOL INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE ROXBURY LATIN SCHOOL INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE SCHOTT FOUNDATION FOR PUBLIC EDUCATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE SYNERGOS INSTITUTE, INC.

Supplementary Information

IN GENERAL SUPPORT OF ITS MISSION TO MOBILIZE RESOURCES AND BRIDGE

SOCIAL AND ECONOMIC DIVIDES TO REDUCE POVERTY AND INCREASE EQUITY

AROUND THE WORLD

Part XV

NAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF

NEW YORK

FOR USE BY ITS MAILMAN SCHOOL OF PUBLIC HEALTH IN SUPPORT OF THE GLOBAL

CONSORTIUM ON CLIMATE AND HEALTH EDUCATION, AN EFFORT TO INCREASE

SOCIETAL AWARENESS OF AND RESILIENCE TO THE HUMAN AND PLANETARY HEALTH

EFFECTS OF CLIMATE CHANGE

NAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF

NEW YORK

FOR USE BY ITS SCHOOL OF INTERNATIONAL AND PUBLIC AFFAIRS IN SUPPORT OF

RESEARCH AND PUBLIC PRESENTATIONS ON RESILIENCE PRACTICE AND THEORY

NAME OF RECIPIENT - THE URBAN INSTITUTE

IN SUPPORT OF MONITORING AND EVALUATING THE PROGRESS AND LONG-TERM

IMPACTS OF 100 RESILIENT CITIES - PIONEERED BY THE ROCKEFELLER

FOUNDATION, AND GENERATING LEARNING FOR THE URBAN PLANNING AND

RESILIENCE MEASUREMENT FIELDS

NAME OF RECIPIENT - THEATRE OF THE OPPRESSED NYC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

#### 13-1659629

# Part XV Supplementary Information

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION

IN SUPPORT OF PRODUCING, CURATING, AND PUBLISHING A WIDE RANGE OF

MULTIMEDIA RESILIENCE CONTENT AND RESOURCES FOR ZILIENT, AN ONLINE

PUBLISHING AND KNOWLEDGE-SHARING PLATFORM FOR RESILIENCE

NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION

TOWARD THE COSTS OF INTEGRATING CONTENT FROM THE CITISCOPE GLOBAL NEWS

WEBSITE WITH ITS PLACE WEBSITE IN ORDER TO BOLSTER COVERAGE OF CITIES

AND URBAN DEVELOPMENT

NAME OF RECIPIENT - THUNDER VALLEY CDC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TRANSPORTATION ALTERNATIVES

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TREVOR PROJECT INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

#### 13-1659629

# Part XV Supplementary Information

### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

#### ORGANIZATIONS

NAME OF RECIPIENT - TRINITY COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TROOPER SANDERS

IN SUPPORT OF A FELLOWSHIP TO IDENTIFY JOB GROWTH OPPORTUNITIES

RESULTING FROM TECHNOLOGICAL ADVANCEMENT AND INNOVATION, AND FURTHER

CHARITABLE PURPOSES

NAME OF RECIPIENT - TRUST FOR CONSERVATION INNOVATION

FOR USE BY ITS PROJECT, THE RESILIENT BY DESIGN CHALLENGE, TOWARD THE

COSTS OF DELIVERING A CHALLENGE IN THE SAN FRANCISCO BAY AREA

NAME OF RECIPIENT - TRUSTEES OF BOSTON UNIVERSITY

FOR USE BY ITS INITIATIVE ON CITIES TOWARD THE COSTS OF CONDUCTING THE

ANNUAL MENINO SURVEY OF MAYORS AND DISSEMINATING THE SURVEY FINDINGS

BROADLY

NAME OF RECIPIENT - TRUSTEES OF BOSTON UNIVERSITY

FOR USE BY ITS INITIATIVE ON CITIES TOWARD THE COSTS OF CONDUCTING THE

2017 MENINO SURVEY OF MAYORS, INCREASING THE SURVEY'S REPRESENTATION OF

AND RELEVANCE TO CITIES IN THE U.S., AND DISSEMINATING THE SURVEY

FINDINGS BROADLY

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW

YORK

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TRUSTEES OF DARTMOUTH COLLEGE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TUSCAN SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TUSCAN SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

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PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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ORGANIZATIONS

Part XV

NAME OF RECIPIENT - TUSCAN SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TUSCAN SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TUSCAN SCHOOL PTA

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - TUSK STRATEGIES, INC.

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF

RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP

EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - UNION OF CONCERNED SCIENTISTS INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - UNION OF CONCERNED SCIENTISTS, INC.

TOWARD THE COSTS OF A SUSTAINED AND STRATEGIC COMMUNICATIONS EFFORT TO

DEFEND THE VITAL ROLE SCIENCE PLAYS IN OUR DEMOCRACY TO KEEP AMERICANS

SAFE AND HEALTHY

NAME OF RECIPIENT - UNITED NATIONS ECONOMIC AND SOCIAL COMMISSION FOR

ASIA AND THE PACIFIC

IN SUPPORT OF THE PRODUCTION, LAUNCH AND DISSEMINATION OF THE FUTURE OF

ASIA-PACIFIC CITIES REPORT AND 7TH ASIA PACIFIC URBAN FORUM

NAME OF RECIPIENT - UNITED NATIONS ECONOMIC COMMISSION FOR AFRICA

IN SUPPORT OF DEVELOPING KNOWLEDGE-BASED ADVOCACY BRIEFS AND OF

ORGANIZING A MULTI-STAKEHOLDER CONTINENTAL FORUM TO FURTHER THE AFRICAN

CONTINENTAL FREE TRADE AREA AGREEMENT

NAME OF RECIPIENT - UNITED NATIONS FOUNDATION, INC.

FOR USE BY ITS FUTURE STATE INITIATIVE IN SUPPORT OF A PLANNING PHASE

TO EXPLORE WAYS TO ASSIST NATIONS AND INSTITUTIONS WHO WANT TO EMULATE

AND ADAPT MODELS THAT FOSTER INCLUSIVE DIGITAL INFRASTRUCTURE

NAME OF RECIPIENT - UNITED NATIONS HUMAN SETTLEMENTS PROGRAMME

IN SUPPORT OF PREPARATIONS BY ITS URBAN ECOLOGY AND RESILIENCE POLICY

UNIT FOR THE 2016 HABITAT III CONVENING TO BE HELD IN QUITO, ECUADOR,

OCTOBER 2016

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - UNITED STATES ENDOWMENT FOR FORESTRY AND COMMUNITIES.

INC.

FOR USE BY ITS PROJECT, FOREST RESILIENCE BOND, TOWARD THE COSTS OF

FUNDING FOREST RESTORATION IN THE U.S. BY LEVERAGING PRIVATE CAPITAL

NAME OF RECIPIENT - UNIVERSITY COLLEGE LONDON

FOR USE BY ITS INSTITUTE FOR INNOVATION AND PUBLIC PURPOSE IN SUPPORT

OF ESTABLISHING A MISSION-ORIENTED INNOVATION NETWORK TO FOSTER

CROSS-SECTORAL SOLUTIONS TO GLOBAL CHALLENGES

NAME OF RECIPIENT - UNIVERSITY OF CHICAGO

FOR USE BY ITS INTERNATIONAL INNOVATION CORPS IN SUPPORT OF AN E-HEALTH

SYSTEMS PROJECT WITH THE MINISTRY OF HEALTH AND FAMILY WELFARE OF INDIA

TO IMPROVE THE EFFICIENCY AND EFFECTIVENESS OF PUBLIC HEALTHCARE IN

INDIA

NAME OF RECIPIENT - UNIVERSITY OF GREENWICH

FOR USE BY ITS NATURAL RESOURCES INSTITUTE IN SUPPORT OF DEVELOPING A

CASSAVA BAG THAT WILL INCREASE THE SHELF LIFE OF CASSAVA BY UP TO 10

DAYS IN ORDER TO IMPROVE FOOD SECURITY FOR POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - UNIVERSITY OF MARYLAND

FOR USE BY ITS ROBERT H. SMITH SCHOOL OF BUSINESS IN SUPPORT OF

CONDUCTING RESEARCH AND ANALYSIS ON POST-HARVEST LOSS ACROSS VALUE

CHAINS AND TO SHARE THE LEARNING WITH KEY STAKEHOLDERS

NAME OF RECIPIENT - UNIVERSITY OF MASSACHUSETTS, AMHERST

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF ESTABLISHING THE ELECTRICITY GROWTH AND USE IN

DEVELOPING ECONOMIES (E-GUIDE) CENTER THAT WILL USE ADVANCED ANALYTICS

TO IMPROVE THE UNDERSTANDING OF ENERGY DEMAND AND USE IN EMERGING

COUNTRIES AND CONTRIBUTE TO IMPROVED ENERGY PLANNING, ACCESS AND THE

PRODUCTIVE USE OF ENERGY

NAME OF RECIPIENT - UNIVERSITY OF NAIROBI

IN SUPPORT OF ESTABLISHING FRUIT AGGREGATION AND PROCESSING HUBS FOR

SMALLHOLDER FARMERS, AND FACILITATING THE FIRST ALL AFRICA POSTHARVEST

CONGRESS AND EXHIBITION, TO BE HELD IN NAIROBI, KENYA, MARCH 2017

NAME OF RECIPIENT - UNIVERSITY OF NEBRASKA FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - UNIVERSITY OF PITTSBURGH

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - UNIVERSITY OF VIRGINIA DARDEN SCHOOL FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - USHAHIDI, INC.

TOWARD THE COST OF BUILDING MACHINE LEARNING SOFTWARE TO AUTONOMOUSLY

MATCH CRISIS-AFFECTED COMMUNITIES WITH THE SERVICES, MATERIALS AND HELP

THEY NEED

NAME OF RECIPIENT - USHAHIDI, INC.

IN SUPPORT OF ITS UCHAGUZI ELECTION MONITORING PROGRAM FOR THE RERUN OF

THE 2017 KENYAN PRESIDENTIAL ELECTION

NAME OF RECIPIENT - USO OF METROPOLITAN NEW YORK, INC.

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - VALUELAB BV

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REVIEW PROCESS TO FOUR

PROJECTS IN ORDER TO VALIDATE THE PROCESS WHILE BUILDING THE RESILIENCE

CAPACITY OF THE PARTICIPATING INTUITIONS

NAME OF RECIPIENT - VERA INSTITUTE OF JUSTICE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - VERA INSTITUTE OF JUSTICE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - VETERANS OF FOREIGN WARS FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - VILCAP INC.

TOWARD THE COSTS OF A ONE-YEAR PILOT TO BUILD A MORE INCLUSIVE

ENTREPRENEURIAL ECOSYSTEM IN WASHINGTON, D.C. AND TO CREATE A

REPLICABLE MODEL FOR SUBSEQUENT DEPLOYMENT IN OTHER U.S. CITIES

NAME OF RECIPIENT - VIRGINIA ORGANIZING

FOR USE BY ITS PROJECT, TRANSFORM FINANCE, TOWARD THE COSTS OF

RESEARCHING AND ANALYZING FINANCIAL TOOLS IN AN EFFORT TO EMPOWER

INVESTORS TO PLAY A ROLE IN FURTHERING THE GOALS OF SOCIAL AND

ENVIRONMENTAL JUSTICE MOVEMENTS IN THE PUBLIC MARKETS

NAME OF RECIPIENT - VOX MEDIA, INC.

IN SUPPORT OF EXPANDING ITS COVERAGE TO INCLUDE A NEW DIGITAL SECTION

DEDICATED TO COVERING THE POLICIES, PEOPLE AND IDEAS THAT DRIVE THE

MODERN ALTRUIST COMMUNITY

NAME OF RECIPIENT - WALDORF SCHOOL OF GARDEN CITY

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#### TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

Supplementary Information

#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - WATERSIDE SCHOOL INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WATERSIDE SCHOOL INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WENDY TAYLOR

IN SUPPORT OF A FELLOWSHIP TO LEVERAGE ACCELERATING TECHNOLOGIES AND

DATA TO IMPROVE DISEASE SURVEILLANCE AND RESPONSE, AND DIGITALLY

ENABLED COMMUNITY HEALTH, AND FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - WENDY TAYLOR

IN SUPPORT OF A FELLOWSHIP TO LEVERAGE ACCELERATING TECHNOLOGIES AND

DATA TO IMPROVE DISEASE SURVEILLANCE AND RESPONSE, AND DIGITALLY

ENABLED COMMUNITY HEALTH, AND FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - WEROBOTICS, INC.

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### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

#### ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WEROBOTICS, INC.

TOWARD THE COSTS OF EXPANDING THE FLYING LABS MODEL, INNOVATION LABS

THAT BUILD CAPACITY AROUND THE USE OF ROBOTICS IN THE SOCIAL SECTOR OF

DEVELOPING COUNTRIES, TO THREE NEW REGIONS, AND TO STRENGTHEN ITS

ORGANIZATIONAL STRUCTURE AND REACH

NAME OF RECIPIENT - WHITNEY MUSEUM OF AMERICAN ART

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WIKIMEDIA FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WIKIMEDIA FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

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NAME OF RECIPIENT - WILD BIRD FUND INC

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TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - WILDERNESS SOCIETY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WILLA CATHER FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WINDWARD FUND

FOR USE BY ITS WATER FUNDER INITIATIVE TOWARD THE COSTS OF COORDINATING

A COMMUNITY OF PHILANTHROPIES WORKING TO ADDRESS WATER CHALLENGES

IMPACTING COMMUNITIES AND FRESHWATER ECOSYSTEMS IN THE U.S. WEST

NAME OF RECIPIENT - WINDWARD FUND

TOWARD THE COSTS OF IMPLEMENTING THE RESILIENCE MEASUREMENT, EVIDENCE

AND LEARNING COMMUNITY OF PRACTICE, A PROJECT WHICH BRINGS TOGETHER

LEADING RESILIENCE MEASUREMENT EXPERTS AND PRACTITIONERS TO JOINTLY

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ADVANCE THE CONCEPT AND PRACTICE OF RESILIENCE MEASUREMENT

NAME OF RECIPIENT - WINDWARD FUND

FOR USE BY FACTOR(E) VENTURES, PBC IN SUPPORT OF TESTING TECHNOLOGIES

AND BUSINESS MODELS WHICH WILL UTILIZE ENERGY TO UNLOCK AGRICULTURAL

PRODUCTIVITY AND CREATE A COMMUNITY OF PRACTICE FOCUSED ON JOINT

PLANNING, RESEARCH AND INVESTMENT RELATED TO THE INTERSECTION OF ENERGY

AND AGRICULTURE TO DRIVE ECONOMIC OPPORTUNITY IN SUB-SAHARAN AFRICA

NAME OF RECIPIENT - WNET

TOWARD THE COSTS OF PRODUCING EPISODE 9, THE FUTURE OF CITIES FOR ITS

AWARD-WINNING DOCUMENTARY SERIES, NEW YORK

NAME OF RECIPIENT - WOMEN FOR WOMEN INTERNATIONAL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WOMEN IN THE WORLD MEDIA LLC

TOWARD THE COSTS OF ITS ANNUAL WOMEN IN THE WORLD SUMMIT WHICH

ADDRESSES WOMENS ISSUES AND CONCERNS GLOBALLY

NAME OF RECIPIENT - WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA

TOWARD THE COSTS OF ITS GLOBAL PHILANTHROPY FORUM, WHICH BRINGS

TOGETHER FOUNDATION LEADERS, INDIVIDUAL DONORS, SOCIAL INVESTORS, AND

AGENTS OF CHANGE TO LEARN MORE ABOUT OPPORTUNITIES FOR INTERNATIONAL

PHILANTHROPY

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - WORLD ECONOMIC FORUM

TOWARD THE COSTS OF BRINGING INNOVATIVE SOLUTIONS TO TODAYS MOST

PRESSING GLOBAL CHALLENGES THROUGH ITS LONG-TERM INVESTING

INFRASTRUCTURE AND DEVELOPMENT, GENDER, EDUCATION AND EMPLOYMENT, FOOD

SECURITY AND AGRICULTURE, AND HEALTH AND HEALTHCARE PROGRAMS

NAME OF RECIPIENT - WORLD ECONOMIC FORUM

IN SUPPORT OF BRINGING INNOVATIVE SOLUTIONS TO TODAYS MOST PRESSING

GLOBAL CHALLENGES THROUGH ITS CENTRE FOR PUBLIC GOODS, CENTRE FOR THE

NEW ECONOMY AND SOCIETY, SHAPING THE FUTURE OF FOOD SYSTEMS INITIATIVE,

AND SHAPING THE FUTURE OF HEALTH AND HEALTHCARE SYSTEMS INITIATIVE

NAME OF RECIPIENT - WORLD HEALTH ORGANIZATION

IN SUPPORT OF SERVING AS THE OFFICIAL SOURCE FOR MEASURING AND

MONITORING UNIVERSAL HEALTH COVERAGE (UHC) PROGRESS, AND COORDINATING

STRATEGIES AND ACTIVITIES TOWARDS ATTAINING THE SUSTAINABLE DEVELOPMENT

GOALS 3.8

NAME OF RECIPIENT - WORLD PARROT TRUST USA INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WORLD RESOURCES INSTITUTE

IN SUPPORT OF PRODUCING TWO PUBLICATIONS ON FOOD LOSS AND WASTE THAT

SEEK TO CONSOLIDATE A GLOBAL NARRATIVE ABOUT THE ISSUE AND SHARE NEW

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

QUANTITATIVE EVIDENCE ON EFFECTIVE ACTION

NAME OF RECIPIENT - WORLD WILDLIFE FUND INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - WORLD WILDLIFE FUND INC.

IN SUPPORT OF REDUCING FOOD WASTE AND ASSESSING GLOBAL PROTEIN

PRODUCTION SYSTEMS TO INFORM HIGH-IMPACT, STRATEGIC INTERVENTIONS AIMED

AT SUPPORTING A NOURISHING AND SUSTAINABLE FOOD SYSTEM

NAME OF RECIPIENT - WORLDWIDE INITIATIVES FOR GRANTMAKER SUPPORT, INC.

IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN PHILANTHROPY AND A

CULTURE OF GIVING THROUGH MUTUAL LEARNING AND SUPPORT, KNOWLEDGE

SHARING AND PROFESSIONAL DEVELOPMENT AMONG ITS MEMBERS

NAME OF RECIPIENT - XAVIER HIGH SCHOOL

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - YALE UNIVERSITY

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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#### Part XV Supplementary Information

#### 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

ORGANIZATIONS

NAME OF RECIPIENT - YALE UNIVERSITY

FOR USE BY ITS CENTER FOR THE STUDY OF GLOBALIZATION IN SUPPORT OF

ACTIVITIES TO AID AND PROMOTE THE WORK OF THE ROCKEFELLER FOUNDATION

COMMISSION ON PLANETARY HEALTH, AN INITIATIVE TO DEVELOP THE ECONOMIC

CASE FOR THE NEW FIELD OF PLANETARY HEALTH, AND TO RECOMMEND HIGH-LEVEL

POLICY ACTIONS

NAME OF RECIPIENT - YALE UNIVERSITY

FOR USE BY ITS CENTER FOR THE STUDY OF GLOBALIZATION TOWARD THE COSTS

OF DEVELOPING A SET OF GUIDING PRINCIPLES FOR EQUITABLE AND FINANCIALLY

SUSTAINABLE UNIVERSAL HEALTH COVERAGE UHC, WHICH WILL SERVE AS A

RESOURCE FOR GOVERNMENTS TO DEVELOP UHC STRATEGIES AND POLICIES AND AS

AN INSTRUMENT FOR ORGANIZATIONS AND AGENCIES TO DISCUSS AND BENCHMARK

PROGRESS

NAME OF RECIPIENT - YIDDISH BOOK CENTER

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - YOUNG MENS CHRISTIAN ASSOCIATION OF MONTCLAIR

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - YOUNG SURVIVAL COALITION INC

Supplementary Information

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - YOUNG WOMENS LEADERSHIP NETWORK, INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - YOUTH SAFETY AWARENESS INITIATIVE

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - YUNUS SOCIAL BUSINESS GMBH

IN SUPPORT OF PILOTING THE SOCIAL SUCCESS NOTE, AN INNOVATIVE FINANCING

INSTRUMENT, TO ENABLE IMPACT WATER OR A COMPARABLE SOCIAL ENTERPRISE)

TO FUND WATER PURIFICATION SYSTEMS IN UGANDA

NAME OF RECIPIENT - ZIGEN FUND INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

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# 3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

**Supplementary Information** 

ORGANIZATIONS

Part XV

NAME OF RECIPIENT - ZIGEN FUND INC

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ACUMEN FUND, INC.

IN SUPPORT OF DEVELOPING A SUITE OF RESOURCES FOR STUDENT SOCIAL

INNOVATION COMPETITIONS, INCLUDING TOOLS, TRAINING, FUNDING, AND A

NETWORK OF PEER INNOVATORS AND INVESTORS

NAME OF RECIPIENT - ACUMEN FUND, INC.

IN SUPPORT OF EQUIPPING SOCIAL ENTERPRISES AND IMPACT INVESTORS WITH

INNOVATIVE TECHNOLOGIES TO COST-EFFECTIVELY COLLECT AND ANALYZE DATA ON

THE SOCIAL IMPACT OF THEIR PROGRAMS

NAME OF RECIPIENT - AFRICAN DEVELOPMENT BANK

TOWARD THE COSTS OF ITS LEADERSHIP 4 AGRICULTURE PLATFORM TO SERVE AS A

FORUM FOR DIALOGUE AND POLICY FORMULATION TO ADVANCE AFRICAS

AGRICULTURE TRANSFORMATION AGENDA, AND OF SCALING YOUTH EMPLOYMENT

THROUGH ITS JOBS FOR YOUTH IN AFRICA PROGRAM

NAME OF RECIPIENT - AFRICAN RISK CAPACITY SPECIALIZED AGENCY OF THE

AFRICAN UNION

IN SUPPORT OF PLANNING AND DESIGNING A NEW SOVEREIGN RISK POOL TO

INSURE PARTICIPATING NATIONS IN THE EVENT OF DISEASE OUTBREAKS AND

EPIDEMICS

NAME OF RECIPIENT - AID TECHNOLOGY LIMITED

IN SUPPORT OF IMPLEMENTING TWO DISCRETE PILOT PROJECTS THAT DEMONSTRATE

THE TRANSFORMATIVE POTENTIAL OF BLOCKCHAIN TECHNOLOGY FOR SOCIAL IMPACT

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF IMPLEMENTING ITS NEW STRATEGIC APPROACH TO

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

INCLUSIVE AND INTEGRATED AGRICULTURAL TRANSFORMATION IN AFRICA

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES

OF SMALL HOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE MAIZE VALUE

CHAIN IN TANZANIA

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF CONVENING THE AFRICA GREEN REVOLUTION FORUM OVER

THE NEXT THREE YEARS AND OF DEVELOPING A REFRESHED STRATEGY TO SHAPE

THE FUTURE OF THE FORUM AND ITS ROLE IN INFLUENCING AND DRIVING A

SHARED VISION ON AN INCLUSIVE AGRICULTURAL TRANSFORMATION IN AFRICA

NAME OF RECIPIENT - AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE

TOWARD THE COSTS OF ESTABLISHING THE CENTER FOR SCIENTIFIC EVIDENCE IN

PUBLIC ISSUES IN ORDER TO PROVIDE IMPARTIAL, EVIDENCE-BASED,

RAPID-RESPONSE SCIENTIFIC INFORMATION RELEVANT TO PUBLIC ISSUES

NAME OF RECIPIENT - AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY

RESEARCH

TOWARD THE COSTS OF DEVELOPING RECOMMENDATIONS THAT PROMOTE SOCIAL AND

ECONOMIC MOBILITY AMONG LOW- AND MIDDLE-INCOME AMERICANS THROUGH

ENTREPRENEURSHIP AND SELF-EMPLOYMENT

NAME OF RECIPIENT - ART AFRICA CENTRE

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING AFRICAN ARTISTS AS

CANDIDATES FOR RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO

CENTER, ITALY

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ASIA FOUNDATION

IN SUPPORT OF ASSISTING THE ASSOCIATION OF SOUTHEAST ASIAN NATIONS

ASEAN ESTABLISH A CENTER FOR SUSTAINABLE DEVELOPMENT THAT WILL SOLIDIFY

ASEANS LEADERSHIP ROLE IN DEVELOPMENT OPERATION AND HUMANITARIAN

ASSISTANCE

NAME OF RECIPIENT - ASIA FOUNDATION

IN SUPPORT OF FOSTERING CONSTRUCTIVE ENGAGEMENT BETWEEN CIVIL SOCIETY

ACTORS AND GOVERNMENT TO EFFECTIVELY UNDERTAKE POLICY REFORM IN MYANMAR

NAME OF RECIPIENT - ASIAN VENTURE PHILANTHROPY NETWORK LIMITED

IN SUPPORT OF A PROJECT TO ADVANCE UNDERSTANDING OF THE CURRENT

LANDSCAPE OF INNOVATIVE FINANCING TOOLS IN ASIA

NAME OF RECIPIENT - BEYOND ZERO

IN SUPPORT OF STUDYING THE FEASIBILITY OF ESTABLISHING NEW SPECIALIZED

CARE HEALTH FACILITIES IN KENYA TO HELP CONTROL HIV AND PROMOTE

MATERNAL, NEWBORN, AND CHILDRENS HEALTH

NAME OF RECIPIENT - CAB INTERNATIONAL

FOR USE BY ITS SCIDEV.NET DIVISION IN SUPPORT OF EXECUTING A THEMATIC

RESIDENCY AND A SELECT NUMBER OF CONFERENCES ALIGNED WITH SCIENCE FOR

DEVELOPMENT AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY,

NOVEMBER 2018, AND COLLECTING AND DISSEMINATING THE RESULTING KNOWLEDGE

ASSETS

NAME OF RECIPIENT - CAPITAL FOR GOOD USA

#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR USE BY FINANCING ALLIANCE FOR HEALTH IN SUPPORT OF DEVELOPING

INVESTMENT PLANNING, INNOVATIVE FINANCING STRATEGIES, AND CAPACITY

MOBILIZATION FOR THE COMMUNITY HEALTH EXTENSION WORKERS PROGRAM IN

UGANDA

NAME OF RECIPIENT - CENTER FOR US GLOBAL LEADERSHIP

TOWARD THE COSTS OF ITS HEARTLAND INITIATIVE AIMED AT RENEWING BROAD

ENGAGEMENT AROUND THE SIGNIFICANCE OF U.S. LEADERSHIP IN GLOBAL

DEVELOPMENT

NAME OF RECIPIENT - CHICAGO COUNCIL ON GLOBAL AFFAIRS

IN SUPPORT OF A FELLOWSHIP TO REVIEW THE INTERNATIONAL INSTITUTIONS AND

PROGRAMS THAT COULD ADVANCE HUMANITARIAN RELIEF, DEVELOPMENT AND PEACE,

AND TO IDENTIFY SPECIFIC RECOMMENDATIONS FOR GOVERNANCE AND

ORGANIZATIONAL CHANGES TO IMPROVE THE EFFECTIVENESS OF INTERNATIONAL

RESPONSES TO HUMANITARIAN CHALLENGES

NAME OF RECIPIENT - CITY OF BOSTON

TOWARD THE COSTS OF EXPANDING ITS ECONOMIC MOBILITY LAB TO ADVANCE

ECONOMIC MOBILITY AND SECURITY FOR LOWER AND LOWER-MIDDLE CLASS

BOSTONIANS

NAME OF RECIPIENT - CLIMATE BONDS INITIATIVE

IN SUPPORT OF DEVELOPING DEMONSTRATION PROJECTS TO PROMOTE THE USE OF

FINANCIAL AGGREGATION SOLUTIONS FOR GREEN PROJECTS IN DEVELOPING

COUNTRIES

NAME OF RECIPIENT - COUNCIL ON FOUNDATIONS, INC.

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE THE OPPORTUNITY,

LEADERSHIP AND TOOLS NEEDED BY PHILANTHROPIC ORGANIZATIONS TO EXPAND,

ENHANCE AND SUSTAIN THEIR ABILITY TO ADVANCE THE COMMON GOOD

NAME OF RECIPIENT - CUNY GRADUATE SCHOOL OF JOURNALISM FOUNDATION INC.

FOR USE BY THE CITY UNIVERSITY OF NEW YORK GRADUATE SCHOOL OF

JOURNALISM IN SUPPORT OF CREATING A THREE YEAR RESILIENCE FELLOWSHIP

PROGRAM

NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT SYNTHESIZES

FORWARD-LOOKING KNOWLEDGE ON PRESSING PROBLEMS AND DYNAMIC

OPPORTUNITIES IN THE LIVES OF POOR OR VULNERABLE POPULATIONS

NAME OF RECIPIENT - DALBERG RESEARCH LTD

IN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE

THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY

ON EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLE

NAME OF RECIPIENT - DANIEL J. EDELMAN, INC.

IN SUPPORT OF INCREASING THE UNDERSTANDING OF THE ISSUES RELATED TO

FOOD LOSS AND WASTE INCLUDING THE CHALLENGES OF ENDING HUNGER,

ACHIEVING FOOD SECURITY AND IMPROVED NUTRITION, AND PROMOTING

SUSTAINABLE AGRICULTURE

NAME OF RECIPIENT - DATAKIND

IN SUPPORT OF BUILDING KNOWLEDGE ABOUT HOW ARTIFICIAL INTELLIGENCE AND

PREDICTIVE ANALYTICS CAN IMPROVE LIVES AROUND THE WORLD, AND IN GENERAL

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#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

SUPPORT OF ITS MISSION TO CONNECT THE DATA SCIENCE AND NONPROFIT

COMMUNITIES FOR GREATER SOCIAL IMPACT

NAME OF RECIPIENT - EIGHTEEN EAST CAPITAL LTD

IN SUPPORT OF RESEARCHING AND DESIGNING SUSTAINABLE DEVELOPMENT

CERTIFICATES, A FUNDING MODEL TO INCREASE THE CAPACITY OF DEVELOPMENT

FINANCE INSTITUTIONS TO MAKE IMPACT INVESTMENTS IN UNDERDEVELOPED

MARKETS SERVING POOR AND VULNERABLE COMMUNITIES

NAME OF RECIPIENT - ELEVATE CONSULTING AND COMMUNICATIONS, LLC

IN SUPPORT OF ESTABLISHING THE NORTHEAST INFRASTRUCTURE ACCELERATOR AND

DESIGNING AN INFRASTRUCTURE INVESTMENT MODEL FOR STATES AND REGIONS TO

UNDERSTAND THE SAVINGS ASSOCIATED WITH ADOPTING A RESILIENT WATER

INFRASTRUCTURE

NAME OF RECIPIENT - ENTERPRISE COMMUNITY PARTNERS, INC.

TOWARD THE COSTS OF THE RESEARCH AND DESIGN OF A COMMUNITY DEVELOPMENT

FINANCE INDEX TO INCREASE CAPITAL FLOW INTO POOR AND VULNERABLE

POPULATIONS THROUGH NEW FINANCIAL PRODUCTS

NAME OF RECIPIENT - ENVIRONNEMENT ET DEVELOPPEMENT DU TIERS MONDE

IN SUPPORT OF DEVELOPING THE GENUINEALTERNATIVE REPORT ON AFRICA TO

SHOWCASE A NEW NARRATIVE FOR THE CONTINENT BASED ON AFRICAS INNOVATION

CAPACITY, BUSINESS AND ECONOMIC OPPORTUNITY, AND AVAILABILITY OF TALENT

AND SKILLS

NAME OF RECIPIENT - FACTORE VENTURES PBC

IN SUPPORT OF A TECHNICAL AND MARKET ASSESSMENT OF HOW TO DELIVER

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

PRODUCTIVE POWER AND ASSOCIATED APPLIANCES TO POOR AND VULNERABLE

PEOPLE IN OFF-GRID CONTEXTS

NAME OF RECIPIENT - FARM CONCERN INTERNATIONAL DEVELOPMENT TRUST

IN SUPPORT OF THE DEVELOPMENT AND IMPLEMENTATION OF AN AGGREGATION

STRATEGY ACROSS THREE VALUE CHAINS - MANGO, MAIZE AND TOMATO - AND THE

DEVELOPMENT OF FORTY AGRI-MARKET SERVICE CENTERS

NAME OF RECIPIENT - FEEDING AMERICA

IN SUPPORT OF AN EFFORT TO IDENTIFY AND RESCUE SURPLUS MEAT AND SEAFOOD

AND REDISTRIBUTE IT TO FOOD INSECURE POPULATIONS IN THE U.S.

NAME OF RECIPIENT - FOOD FOR SOUL

IN SUPPORT OF BUILDING ITS ORGANIZATIONAL CAPACITY IN SUPPORT OF ITS

MISSION TO REDUCE FOOD WASTE AND ADDRESS FOOD INSECURITY, AND TO

SUPPORT THE LAUNCH OF SEVERAL INNOVATIVE SOUP KITCHENS IN THE UNITED

STATES

NAME OF RECIPIENT - FOODSHOT GLOBAL, INC.

TOWARD THE COSTS OF THE GROUNDBREAKER PRIZE TO SUPPORT INNOVATIVE WORK

THAT ADVANCES A HEALTHIER, MORE SUSTAINABLE, AND MORE EQUITABLE FOOD

SYSTEM

NAME OF RECIPIENT - FOUNDATION FOR LOUISIANA

TOWARD THE COSTS OF EXPANDING AND ENHANCING ITS WORK IN CREATING

REGIONAL AND STATEWIDE CONSISTENCY IN PLANNING FOR FUTURE FLOOD RISK

NAME OF RECIPIENT - FSG, INC.

#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF ITS SHARED VALUE INITIATIVE, TO CONTINUE TO DEVELOP

THE CONCEPT OF SHARED VALUE AND PROMOTE IT AS A GUIDING PHILOSOPHY AND

CORE BUSINESS PRACTICE THAT DRIVES INNOVATION BY ADDRESSING SOCIAL

NEEDS

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS

AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS

AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - GEORGETOWN UNIVERSITY

FOR USE BY ITS BEECK CENTER FOR SOCIAL IMPACT INNOVATION IN SUPPORT OF

LAUNCHING AND COORDINATING A DIGITAL STATE NETWORK, AN ACTION-ORIENTED

RESEARCH PORTFOLIO, AND AN OPEN PLATFORM FOR KNOWLEDGE SHARING TO HELP

CIVIL SERVANTS AND POLICY MAKERS LEVERAGE GOVERNMENT DATA AND

TECHNOLOGY ETHICALLY AND AT SCALE

NAME OF RECIPIENT - GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY

TOWARD THE COSTS OF EXPANDING ITS HAMILTON EDUCATION PROGRAM TO PROVIDE

SUBSIDIZED TICKETS TO THE SHOW HAMILTON FOR UNDERPRIVILEGED HIGH SCHOOL

STUDENTS, AND TO DISTRIBUTE ACCOMPANYING EDUCATIONAL MATERIALS THAT

INTEGRATE THEATRICAL PERFORMANCE AND THE STUDY OF AMERICAN HISTORY

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - GLOBAL HEALTH STRATEGIES LLC

IN SUPPORT OF DEVELOPING COMMUNICATIONS AND ADVOCACY STRATEGIES AND

MATERIALS TO POSITION DIGITALLY-ENABLED COMMUNITY HEALTH WITHIN GLOBAL

CONVERSATIONS ABOUT ACHIEVING UNIVERSAL HEALTH COVERAGE

NAME OF RECIPIENT - GRACA MACHEL TRUST

IN SUPPORT OF RESEARCHING AND DESIGNING A PAN-AFRICAN INVESTMENT

VEHICLE TO ACCELERATE WOMEN'S ECONOMIC DEVELOPMENT IN AFRICA

NAME OF RECIPIENT - GRENZEBACH GLIER AND ASSOCIATES, INC.

IN SUPPORT OF DEVELOPING AN ALUMNI ENGAGEMENT STRATEGY FOR THE

ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, IN ORDER TO ENHANCE

ALUMNI RELATIONS, PROMOTE ALUMNI ACHIEVEMENTS, AND SHARE KNOWLEDGE

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD

IN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER

ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND

VULNERABLE COMMUNITIES IN URBAN AREAS

NAME OF RECIPIENT - HEALTH STRATEGY AND POLICY INSTITUTE

IN SUPPORT OF A PROJECT TO STRENGTHEN POLICY AROUND AND THE PRACTICE OF

IMPROVING UNIVERSAL HEALTH COVERAGE IMPLEMENTATION IN VIETNAM BY USING

EVIDENCE-BASED DATA AND ANALYSES AND BUILDING LOCAL CAPACITY

NAME OF RECIPIENT - HUMAN NETWORK INTERNATIONAL

IN SUPPORT OF TESTING A TECHNOLOGY-ENABLED APPROACH FOR COLLECTING

MONITORING AND EVALUATION DATA ON VULNERABLE POPULATIONS IN RURAL

COMMUNITIES

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - IDENTITY2020 SYSTEMS INC.

IN SUPPORT OF EXPANDING ITS EFFORTS TO ASSESS AND IMPLEMENT PILOT

PROJECTS THAT SEEK TO PROVIDE DIGITAL IDENTITY TO POPULATIONS CURRENTLY

LACKING ANY TYPE OF FORMAL IDENTIFICATION

NAME OF RECIPIENT - IDFC FOUNDATION

IN SUPPORT OF ITS IDFC INSTITUTE TO DEVELOP A CAPACITY-ENHANCING

FRAMEWORK IN ORDER TO PROVIDE CITIZEN-CENTRIC PUBLIC SERVICES THROUGH

THE USE OF DATA AND TECHNOLOGY

NAME OF RECIPIENT - IDH SUSTAINABLE TRADE INITIATIVE

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO CASSAVA PROCESSORS IN

NIGERIA IN ORDER TO INTEGRATE SMALL HOLDER FARMERS INTO THEIR SUPPLY

CHAINS AND RESOLVE SYSTEMIC CHALLENGES IN THE CASSAVA VALUE CHAIN

NAME OF RECIPIENT - IMPACT INVESTMENT SHUJOG LIMITED

IN SUPPORT OF MONITORING AND EVALUATING THE WOMENS LIVELIHOOD BOND, A

REPLICABLE SOCIAL MISSION BOND DESIGNED TO RAISE CAPITAL TO PROMOTE

ECONOMIC INCLUSION AND RESILIENCE FOR POOR AND VULNERABLE WOMEN IN

SOUTH AND SOUTHEAST ASIA

NAME OF RECIPIENT - IMPACT SHARES CORP.

IN SUPPORT OF DEVELOPING STRATEGIES FOR A SERIES OF CAUSE-BASED

EXCHANGE TRADED FUNDS ETFS IN PARTNERSHIP WITH LEADING NONPROFITS IN AN

EFFORT TO CREATE BETTER SOCIALLY RESPONSIBLE INVESTMENT SOLUTIONS

NAME OF RECIPIENT - IMPACTALPHA

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IN SUPPORT OF ITS AGENTS OF IMPACT SCHOLARSHIP FUND, AN EFFORT TO

PROVIDE SUBSCRIPTIONS FOR ACADEMIC INSTITUTIONS TO A DAILY NEWSLETTER

AND OTHER CONTENT RELATED TO IMPACT INVESTING AND SUSTAINABLE FINANCE

NAME OF RECIPIENT - INDEPENDENT SECTOR

IN GENERAL SUPPORT OF ITS MISSION TO ADVANCE THE COMMON GOOD BY

LEADING, STRENGTHENING AND MOBILIZING THE NONPROFIT COMMUNITY

NAME OF RECIPIENT - INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES FOUNDATION

IN SUPPORT OF THE 50 BREAKTHROUGHS 2.0 PLATFORM WITH AN AIM TO

CONSOLIDATE AND INFLUENCE ADOPTION OF THE TECHNOLOGY FOR DEVELOPMENT

MODEL WITHIN THE LARGER PUBLIC, PRIVATE, AND NON-PROFIT ECOSYSTEM

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING SEVERAL ACTIVITIES AND PROGRAMS AT THE

ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY THE SEMI-ANNUAL

COMPETITIONS FOR BELLAGIO RESIDENTS, THE BELLAGIO TRAVEL AND LEARNING

FUND, AND OUTREACH ACTIVITIES

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING SEVERAL ACTIVITIES AND PROGRAMS AT THE

ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY THE SEMI-ANNUAL

COMPETITIONS FOR BELLAGIO RESIDENTS, THE BELLAGIO TRAVEL AND LEARNING

FUND, AND OUTREACH ACTIVITIES

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

IN SUPPORT OF DEVELOPING A GLOBAL FRAMEWORK FOR ACTION ON FOOD LOSS AND

WASTE INCLUDING DEVELOPING COUNTRY DIAGNOSTICS AND FORMING A HIGH-LEVEL

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#### Part XV Supplementary Information

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

TASK FORCE

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

TOWARD THE COSTS OF DEVELOPING THE TROPICAL FOREST FINANCE FACILITY, AN

INSTRUMENT TO PROVIDE FINANCIAL INCENTIVES FOR TROPICAL FOREST

COUNTRIES TO SLOW AND REVERSE DEFORESTATION

NAME OF RECIPIENT - INTERNATIONAL CENTRE OF INSECT PHYSIOLOGY AND ECOLOGY

IN SUPPORT OF TESTING BUSINESS MODELS FOR SCALING INSECT-BASED PROTEIN

FEED FOR USE IN POULTRY FARMING AND AQUACULTURE IN KENYA

NAME OF RECIPIENT - INTERNATIONAL FOOD POLICY RESEARCH INSTITUTE

IN SUPPORT OF AN EXPERT CONSULTATION AND CONVENING ON THE UNIQUE ROLE

OF PROTEIN AND ANIMAL-SOURCED FOODS IN NUTRITION AND ADDITIONAL

ANALYSES RELATED TO NUTRITION, PROTEIN, AND FOOD SYSTEMS

NAME OF RECIPIENT - INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

IN SUPPORT OF PROVIDING TECHNICAL SUPPORT AND TRAINING TO A NETWORK OF

ROCKEFELLER FOUNDATION PARTNERS, IN AN EFFORT TO HELP FARMERS REDUCE

POST-HARVEST LOSSES FOR CROPS IN KENYA, RWANDA, NIGERIA AND TANZANIA

NAME OF RECIPIENT - INTERNATIONAL YOUTH FOUNDATION

IN SUPPORT OF ESTABLISHING THE COHORT 2030 INITIATIVE AND PLANNING A

RELATED CONVENING AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER TO

ENGAGE NEXT GENERATION LEADERS TO DELIVER THE 2030 AGENDA FOR

SUSTAINABLE DEVELOPMENT

NAME OF RECIPIENT - JOHNS HOPKINS UNIVERSITY

#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR USE BY ITS BLOOMBERG SCHOOL OF PUBLIC HEALTHS JOHNS HOPKINS CENTER

FOR HEALTH SECURITY IN SUPPORT OF DEVELOPING A HEALTH SYSTEM RESILIENCE

CHECKLIST AND IMPLEMENTATION GUIDE THAT HEALTH SYSTEMS IN A BROAD SET

OF COUNTRIES CAN USE TO ENSURE AN EFFECTIVE RESPONSE TO HEALTH

EMERGENCIES

NAME OF RECIPIENT - KHOJ INTERNATIONAL ARTISTS ASSOCIATION

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS

CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION

BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - KKS ADVISORS LLC

IN SUPPORT OF DEVELOPING A MECHANISM TO IMPROVE THE SOCIAL AND

ENVIRONMENTAL OUTCOMES OF PRIVATE SECTOR COMPANIES BY INCREASING

COLLABORATION ACROSS CORPORATE ACTORS

NAME OF RECIPIENT - KNACK.IT CORP.

IN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING

TECHNOLOGY AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED

STATES, SOUTH AFRICA, AND INDIA

NAME OF RECIPIENT - LINCOLN CENTER FOR THE PERFORMING ARTS, INC.

IN SUPPORT OF EXPANDING ITS PILOT FOR A SECOND ROUND OF THE LINCOLN

CENTER CULTURAL INNOVATION FUND, A GRANT PROGRAM TO SUPPORT NEW YORK

CITY-BASED CULTURAL AND COMMUNITY ORGANIZATIONS TO SPUR CULTURAL

INNOVATION IN THE SOUTH BRONX AND CENTRAL BROOKLYN

NAME OF RECIPIENT - LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR USE BY ITS INTERNATIONAL GROWTH CENTRE TOWARD THE COSTS OF

COLLABORATING WITH THE GOVERNMENT OF SIERRA LEONE TO DEVELOP A NATIONAL

STRATEGIC PLAN FOR A COMMUNITY HEALTH WORKFORCE AS AN INTEGRAL PART OF

THEIR EFFORTS TO BUILD RESILIENCE WITHIN THE COUNTRYS HEALTH SYSTEM IN

THE WAKE OF THE EBOLA CRISIS

NAME OF RECIPIENT - MAHILA SEWA TRUST

IN SUPPORT OF EXPANDING THE RURAL BUSINESS-TO-CONSUMER MARKET FOR ITS

WOMEN MEMBERS IN INDIA IN PARTNERSHIP WITH AMAZON

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

IN SUPPORT OF CREATING A GLOBAL COMMISSION THAT WILL SHOWCASE AND

ADVANCE SPECIFIC RECOMMENDATIONS, POLICY ACTION, AND INVESTMENTS IN

INTEGRATED ELECTRIFICATION MODELS

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

FOR USE BY ITS SLOAN SCHOOL OF MANAGEMENTS LABORATORY FOR FINANCIAL

ENGINEERING IN SUPPORT OF DEVELOPING RESEARCH BACKED OBLIGATIONS RBOS,

A NEW MODEL FOR FUNDING EARLY STAGE BIOMEDICAL RESEARCH

NAME OF RECIPIENT - MAXTUDIO, SNC

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND

EVALUATION OF THE FOUNDATIONS INITIATIVES IN ORDER TO SUPPORT LEARNING

PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATIONS GRANTEES AND

PARTNERS IN DEVELOPMENT AND PHILANTHROPY

NAME OF RECIPIENT - MELANIE WALKER

IN SUPPORT OF A FELLOWSHIP TO IDENTIFY THE NEXT GENERATION OF

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#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FUNDAMENTALS IN THE LIFE SCIENCES AND PROMOTE LESS INVASIVE APPROACHES

TO DISEASE MANAGEMENT, AND FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - MERIDIAN INSTITUTE

IN SUPPORT OF EXPLORING THE FEASIBILITY OF DEVELOPING A GLOBAL LIBRARY

FOR AGRICULTURAL IMAGES AND DATA (GLAID) INITIATIVE, IN AN EFFORT TO

USE DATA AND MACHINE LEARNING TO INCREASE EFFECTIVENESS ACROSS

AGRICULTURAL PRODUCTION AND DISTRIBUTION

NAME OF RECIPIENT - MILKEN INSTITUTE

TOWARD THE COSTS OF DEVELOPING AN INNOVATIVE IMPACT FUND FOR INVESTMENT

IN MINORITY-OWNED DEPOSITORY INSTITUTIONS IN AN EFFORT TO INCREASE

THEIR CAPACITY TO CATALYZE CAPITAL FOR MINORITY POPULATIONS AND OTHER

VULNERABLE GROUPS

NAME OF RECIPIENT - MINISTRY OF FINANCE, TRADE, INVESTMENT AND ECONOMIC

#### PLANNING

IN SUPPORT OF DESIGNING AND LAUNCHING A BLUE BOND TO SUPPORT INVESTMENT

IN OCEAN AND FISHERY VALUE CHAINS IN THE SEYCHELLES

NAME OF RECIPIENT - NATIONAL ACADEMY OF SCIENCES

IN SUPPORT OF EXPLORING THE DEVELOPMENT OF A NATIONAL INVESTMENTS

MODELLING TOOL IN ORDER TO USE SCIENCE AND SYSTEMS TO PRIORITIZE

DECISIONS RELATED TO THE SUSTAINABLE DEVELOPMENT GOALS

NAME OF RECIPIENT - NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY

IN GENERAL SUPPORT OF ITS MISSION TO PROMOTE PHILANTHROPY THAT SERVES

THE PUBLIC GOOD, IS RESPONSIVE TO PEOPLE AND COMMUNITIES WITH THE LEAST

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WEALTH AND OPPORTUNITY, AND IS HELD ACCOUNTABLE TO THE HIGHEST

STANDARDS OF INTEGRITY AND OPENNESS

NAME OF RECIPIENT - NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST

PRACTICES

IN SUPPORT OF RESEARCHING HOW GOVERNORS CAN INTEGRATE OPPORTUNITY ZONE

PLANNING INTO EXISTING ECONOMIC AND WORKFORCE DEVELOPMENT STRATEGIES

NAME OF RECIPIENT - NATIONAL WOMEN'S LAW CENTER

TOWARD THE COSTS OF INCREASING PUBLIC AWARENESS OF ISSUES RELATED TO

SEXUAL HARASSMENT IN THE WORKPLACE AND OF SUPPORTING EFFORTS TO DESIGN

AND EDUCATE ON POLICY SOLUTIONS TO IMPROVE WORKER PROTECTION

NAME OF RECIPIENT - NATURAL RESOURCES DEFENSE COUNCIL, INC.

IN SUPPORT OF REDUCING FOOD WASTE IN TWO U.S. CITIES OVER THE NEXT

FIVE YEARS, AND IMPLEMENTING ADDITIONAL PROGRAMS AND POLICIES THAT

PROMOTE GOOD WASTE PREVENTION, SURPLUS FOOD RESCUE, AND RECYCLING OF

FOOD SCRAPS ACROSS THE COUNTRY

NAME OF RECIPIENT - NEW AMERICA FOUNDATION

TOWARD THE COSTS OF A DIGITAL HEALTH INNOVATION SUMMIT THAT WILL

EXPLORE INNOVATIVE APPLICATIONS OF INFORMATION TECHNOLOGY TO IMPROVE

NUTRITION FOR LOW-INCOME WOMEN AND CHILDREN IN THE U.S.

NAME OF RECIPIENT - NEW AMERICA FOUNDATION

IN SUPPORT OF ITS BLOCKCHAIN TRUST ACCELERATOR PROGRAM, AN EFFORT TO

EXPAND PUBLIC UNDERSTANDING OF HOW BLOCKCHAIN TECHNOLOGY CAN ADDRESS

SOCIAL AND GOVERNANCE CHALLENGES

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - NEWS DEEPLY, INC.

IN SUPPORT OF SCOPING AND DESIGNING THE STRUCTURE AND CONTENT OF A

DIGITAL CONVENING PLATFORM INTENDED TO BECOME A HUB FOR GLOBAL

INFLUENCERS AND FUNCTION TO EXTEND AND AMPLIFY THEIR REACH

NAME OF RECIPIENT - NONPROFIT FINANCE FUND

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE NONPROFIT ORGANIZATIONS

WITH ADVISORY SERVICES AND TOOLS TO UNDERSTAND THEIR FINANCIAL HEALTH,

FINANCIALLY PROTECT THEMSELVES AND THEIR PROGRAMS, AND GROW

STRATEGICALLY

NAME OF RECIPIENT - NORTHWESTERN UNIVERSITY

FOR USE BY ITS KELLOGG SCHOOL OF MANAGEMENT IN SUPPORT OF FACULTY

CONVENINGS AND CURRICULUM DEVELOPMENT IN AN EFFORT TO BUILD THE FIELD

OF IMPACT INVESTING IN BUSINESS AND PROFESSIONAL EDUCATION

NAME OF RECIPIENT - OXFAM-AMERICA INC.

IN SUPPORT OF CAPACITY BUILDING INITIATIVES, INCLUDING DATA MANAGEMENT

AND INTEGRATION, FOR GOVERNMENT AND CIVIL SOCIETY ORGANIZATIONS IN

ORDER TO PROMOTE CULTURAL DIVERSITY IN RAKHINE STATE, MYANMAR

NAME OF RECIPIENT - PACT INSTITUTE, INC.

TOWARD THE COSTS OF ESTABLISHING A SMART POWER MYANMAR FACILITY TO

ACCELERATE THE SPREAD OF DECENTRALIZED RENEWAL ENERGY SOLUTIONS IN

MYANMAR

NAME OF RECIPIENT - PANAHPUR

#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF DEVELOPING PROJECT SNOWBALL, A PARTNERSHIP BETWEEN

CHARITABLE ORGANIZATIONS TO FACILITATE SECONDARY TRANSACTIONS OF HIGH

SOCIAL IMPACT INVESTMENTS FOR THE BENEFIT OF POOR AND VULNERABLE

POPULATIONS

NAME OF RECIPIENT - PARTNERSHIP FOR PUBLIC SERVICE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO REVITALIZE THE U.S. FEDERAL

GOVERNMENT BY TRANSFORMING THE WAY IT WORKS AND INSPIRING A NEW

GENERATION TO SERVE

NAME OF RECIPIENT - PATH

IN SUPPORT OF A PROJECT TO ASSESS WHETHER CULTURED PROTEINS,

PARTICULARLY EGG AND DAIRY, CAN PLAY A MEANINGFUL ROLE IN ADDRESSING

GLOBAL MALNUTRITION IN A MORE ENVIRONMENTALLY SUSTAINABLE WAY THAN

TRADITIONAL ANIMAL-SOURCED FOODS

NAME OF RECIPIENT - POST ROAD FOUNDATION

TOWARD THE COSTS OF CONDUCTING PRE-FEASIBILITY STUDIES FOR THREE

COMMUNITIES IN THE U.S. TO ASSESS THE POTENTIAL FOR INTEGRATED AND

SUSTAINABLE DIGITAL INFRASTRUCTURE INVESTMENTS

NAME OF RECIPIENT - POWER FOR ALL

IN SUPPORT OF THE DESIGN AND INITIAL IMPLEMENTATION OF AN INTEGRATED

ELECTRIFICATION APPROACH IN UGANDA

NAME OF RECIPIENT - PRABHAT EDUCATION FOUNDATION

IN SUPPORT OF STRENGTHENING ITS CORE CAPACITY AND LEADERSHIP CAPACIATY

TO ACHIEVE ITS MISSION OF PROVIDING COMMUNITY-BASED SERVICES TO

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

MARGINALIZED DISABLED POPULATIONS IN THE SLUMS OF AHMEDABAD. INDIA

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS HUTCHINS CENTER FOR AFRICAN AND AFRICAN AMERICAN

RESEARCH IN SUPPORT OF DEVELOPING SELMA ONLINE, A MULTIMEDIA,

INTERACTIVE ONLINE EDUCATION PLATFORM USING THE SELMA VOTING RIGHTS

CAMPAIGN AS A CASE-STUDY IN LEADERSHIP, DECISION-MAKING, RACE, CIVIL

RIGHTS AND ENGAGED CITIZENSHIP

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS HARVARD KENNEDY SCHOOLS CENTER FOR PUBLIC LEADERSHIP IN

SUPPORT OF THE INITIATIVE FOR RESPONSIBLE INVESTING, AN EFFORT TO

EXPLORE ALTERNATIVE APPROACHES TO INSTITUTIONAL INVESTMENT IN

SUSTAINABLE INFRASTRUCTURE IN THE U.S.

NAME OF RECIPIENT - PRI ASSOCIATION

TOWARD THE COSTS OF PROMOTING THE SYSTEMATIC AND TRANSPARENT

INCORPORATION OF ENVIRONMENTAL, SOCIAL AND GOVERNANCE FACTORS INTO

CREDIT RATINGS IN ORDER TO DEVELOP A MORE ECONOMICALLY EFFICIENT AND

SOCIALLY SUSTAINABLE SYSTEM

NAME OF RECIPIENT - PYXERA GLOBAL INC.

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES

OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE NIGERIAN

TOMATO VALUE CHAIN

NAME OF RECIPIENT - RESULTS FOR DEVELOPMENT INSTITUTE, INC.

FOR USE BY THE INTERNATIONAL DEVELOPMENT INNOVATION ALLIANCE, A NETWORK

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OF LEADING DEVELOPMENT INNOVATION FUNDERS, IN SUPPORT OF PROMOTING AND

ADVANCING INNOVATION AS A MEANS OF TRANSFORMING THE LIVES OF POOR OR

VULNERABLE PEOPLE

NAME OF RECIPIENT - RESULTS FOR DEVELOPMENT INSTITUTE, INC.

IN SUPPORT OF THE PLANNING PHASE TO LANDSCAPE CIVIL SOCIETY

ORGANIZATIONS IN AFRICA AND CREATE AN ACCESSIBLE, SEARCHABLE ONLINE

REPOSITORY TO FACILITATE THE STRENGTHENING OF THE SECTOR

NAME OF RECIPIENT - RIGHT MANAGEMENT INC.

IN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY

MODEL FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE

U.S.

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY 100 RESILIENT CITIES IN SUPPORT OF EXPANDING AND ENRICHING

THE GLOBAL RESILIENCE ACADEMY CURRICULUM AND INSTITUTIONALIZING ITS

APPROACH IN PARTNERSHIP WITH COLUMBIA UNIVERSITYS GRADUATE SCHOOL OF

ARCHITECTURE, PLANNING, AND PRESERVATION

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY ITS FUND FOR SHARED INSIGHT TOWARD THE COSTS OF ITS EFFORTS

TO IMPROVE PHILANTHROPY BY INCREASING OPENNESS IN FOUNDATIONS AND

CAPTURING AND SHARING CROSS-CUTTING LEARNING ACROSS FOUNDATIONS,

GRANTEES AND THEIR BENEFICIARIES

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS

IN SUPPORT OF RESEARCH IDENTIFYING POLICY PRIORITIES AND ENTRY POINTS

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR MAINSTREAMING THE CIRCULAR ECONOMY CONCEPT IN DEVELOPING COUNTRIES

NAME OF RECIPIENT - SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED

IN SUPPORT OF A PROJECT TO CONDUCT AN IMPACT ASSESSMENT OF THE

FOUNDATIONS SMART POWER FOR RURAL DEVELOPMENT SPRD INITIATIVE AND THE

EXTENT TO WHICH THE INITIATIVE IS POSITIVELY IMPACTING THE LIVES OF

PEOPLE AND SPURRING ECONOMIC GROWTH IN SPRD-SUPPORTED COMMUNITIES IN

INDIA

NAME OF RECIPIENT - SHACK DWELLERS INTERNATIONAL

IN SUPPORT OF STRENGTHENING ITS KNOW YOUR CITY DATA PLATFORM WITH THE

AIM OF MAKING IT THE PREMIER SOURCE OF COMMUNITY-GATHERED INFORMATION

ON INFORMAL SETTLEMENTS FOR RESILIENCE CITY PLANNING IN AFRICA, AND A

KEY PLATFORM PARTNER FOR RELEVANT CITIES IN THE 100 RESILIENT CITIES

NETWORK

NAME OF RECIPIENT - SHELL FOUNDATION

TOWARD THE COSTS OF A FEASIBILITY STUDY FOR A NEW MODEL OF ENERGY

COMPANY IN NIGERIA THAT WOULD PROVIDE UNIVERSAL ENERGY ACCESS THROUGH A

COMBINATION OF ON- AND OFF-GRID SOLUTIONS

NAME OF RECIPIENT - SOUTHERN POVERTY LAW CENTER

IN SUPPORT OF DEVELOPING A STUDENT CURRICULUM USING THE SELMA VOTING

RIGHTS CAMPAIGN AS A CASE-STUDY IN LEADERSHIP, DECISION-MAKING, RACE,

CIVIL RIGHTS AND ENGAGED CITIZENSHIP

NAME OF RECIPIENT - STICHTING DIENST LANDBOUWKUNDIG ONDERZOEK

FOR USE BY THE CENTRE FOR DEVELOPMENT INNOVATION IN SUPPORT OF A STUDY

823651 04-01-18

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

#### ON THE EFFECTIVENESS OF MULTI-STAKEHOLDER PLATFORMS AND PARTNERSHIPS IN

CERTAIN AGRICULTURE AND FOOD SECURITY VALUE CHAIN INTERVENTIONS

NAME OF RECIPIENT - SUSTAINABLE FOOD LAB

IN SUPPORT OF DEVELOPING AND INTEGRATING FOOD LOSS METRICS IN SELECTED

SUPPLY CHAIN PROCESSES FOR GLOBAL, REGIONAL, AND NATIONAL CORPORATES

WORKING ACROSS AGRICULTURAL CHAINS

NAME OF RECIPIENT - THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR

#### UNIVERSITY

IN SUPPORT OF CREATING A NEW INITIATIVE TO PROVIDE COMPREHENSIVE

SUPPORT FOR TRANSFORMATIONAL INNOVATIONS IN GLOBAL FOOD SYSTEMS

NAME OF RECIPIENT - THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR

#### UNIVERSITY

FOR USE BY ITS IMMIGRATION POLICY LAB TOWARD THE COSTS OF IMPLEMENTING,

TESTING, AND EVALUATING THE USE OF A PREDICTIVE ANALYTICS TOOL IN

MATCHING REFUGEES TO RESETTLEMENT LOCATIONS IN TWO TO THREE PILOT

COUNTRIES

NAME OF RECIPIENT - THE ENERGY FOR GROWTH HUB

TOWARD THE COSTS OF CREATING A NEW ENERGY CONSUMPTION METRIC THAT

BETTER CAPTURES ENERGYS ROLE IN ECONOMIC TRANSFORMATION AND HELPS TO

TRANSLATE RESEARCH INTO POLICY TO SUPPORT MORE EFFECTIVE AND INCREASED

ENERGY PROVISION FOR ECONOMIC GROWTH

NAME OF RECIPIENT - THE GLOBAL STEERING GROUP FOR IMPACT INVESTMENT

IN GENERAL SUPPORT OF ITS MISSION TO CREATE A WORLD WHERE MEASURABLE

823651 04-01-18

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

SOCIAL AND ENVIRONMENTAL IMPACT IS EMBRACED AS A DELIVERABLE DRIVER IN

EVERY INVESTMENT AND BUSINESS DECISION AFFECTING PEOPLE AND THE PLANET

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF EXPLORING THE INTEGRATION OF MIAMI AND CUBA INTO

THE 100 RESILIENT CITIES RESILIENCE FRAMEWORK

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF ITS ROLE AS THE CONVENER, COMMUNICATOR, ADVISOR

AND MONITOR OF PROGRESS FOR THE GREATER MIAMI AND THE BEACHES 100

RESILIENT CITIES RESILIENCE STRATEGY AND PARTNERSHIP, AND SUPPORT FOR

THE VAN ALEN INSTITUTES SOUTH FLORIDA CHALLENGE

NAME OF RECIPIENT - THE NISKANEN CENTER INC.

FOR USE BY ITS POVERTY AND WELFARE DEPARTMENT TOWARD THE COSTS OF

SOCIAL FINANCE RESEARCH TO PROMOTE SUSTAINABLE, PRO-GROWTH SOLUTIONS

FOR THE REVITALIZATION OF DISTRESSED COMMUNITIES

NAME OF RECIPIENT - THE ROCKEFELLER FOUNDATION

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR

PROGRAMS, AS WELL AS CONTRIBUTIONS TO PHILANTHROPIC SECTOR

ORGANIZATIONS

NAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF

NEW YORK

FOR USE BY ITS SCHOOL OF INTERNATIONAL AND PUBLIC AFFAIRS IN SUPPORT OF

RESEARCH AND PUBLIC PRESENTATIONS ON RESILIENCE PRACTICE AND THEORY

823651 04-01-18

# THE ROCKEFELLER FOUNDATION 13-1659629 Part XV Supplementary Information 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution NAME OF RECIPIENT - THE URBAN INSTITUTE IN SUPPORT OF MONITORING AND EVALUATING THE PROGRESS AND LONG-TERM IMPACTS OF 100 RESILIENT CITIES - PIONEERED BY THE ROCKEFELLER FOUNDATION, AND GENERATING LEARNING FOR THE URBAN PLANNING AND RESILIENCE MEASUREMENT FIELDS NAME OF RECIPIENT - TRANSFAIR USA TOWARD THE COSTS OF ACTIVITIES DESIGNED TO DEVELOP A MARKET FOR RESPONSIBLE SEAFOOD BY SCALING FAIR TRADE PRINCIPLES TO OTHER SEAFOOD COMMODITIES NAME OF RECIPIENT - TROOPER SANDERS IN SUPPORT OF A FELLOWSHIP TO IDENTIFY JOB GROWTH OPPORTUNITIES RESULTING FROM TECHNOLOGICAL ADVANCEMENT AND INNOVATION, AND FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - TRUSTEES OF BOSTON UNIVERSITY

FOR USE BY ITS INITIATIVE ON CITIES TOWARD THE COSTS OF CONDUCTING THE

ANNUAL MENINO SURVEY OF MAYORS AND DISSEMINATING THE SURVEY FINDINGS

BROADLY

NAME OF RECIPIENT - UNION OF CONCERNED SCIENTISTS, INC.

TOWARD THE COSTS OF A SUSTAINED AND STRATEGIC COMMUNICATIONS EFFORT TO

DEFEND THE VITAL ROLE SCIENCE PLAYS IN OUR DEMOCRACY TO KEEP AMERICANS

SAFE AND HEALTHY

NAME OF RECIPIENT - UNITED NATIONS FOUNDATION, INC.

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR USE BY ITS FUTURE STATE INITIATIVE IN SUPPORT OF A PLANNING PHASE

TO EXPLORE WAYS TO ASSIST NATIONS AND INSTITUTIONS WHO WANT TO EMULATE

AND ADAPT MODELS THAT FOSTER INCLUSIVE DIGITAL INFRASTRUCTURE

NAME OF RECIPIENT - UNITED STATES ARTISTS, INC.

IN SUPPORT OF IDENTIFYING POTENTIAL ARTISTS FOR THE ARTS AND LITERARY

ARTS RESIDENCY PROGRAM AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER,

ITALY

NAME OF RECIPIENT - UNIVERSITY COLLEGE LONDON

FOR USE BY ITS INSTITUTE FOR INNOVATION AND PUBLIC PURPOSE IN SUPPORT

OF ESTABLISHING A MISSION-ORIENTED INNOVATION NETWORK TO FOSTER

CROSS-SECTORAL SOLUTIONS TO GLOBAL CHALLENGES

NAME OF RECIPIENT - UNIVERSITY OF CHICAGO

FOR USE BY ITS INTERNATIONAL INNOVATION CORPS IN SUPPORT OF AN E-HEALTH

SYSTEMS PROJECT WITH THE MINISTRY OF HEALTH AND FAMILY WELFARE OF INDIA

TO IMPROVE THE EFFICIENCY AND EFFECTIVENESS OF PUBLIC HEALTHCARE IN

INDIA

NAME OF RECIPIENT - UNIVERSITY OF GREENWICH

FOR USE BY ITS NATURAL RESOURCES INSTITUTE IN SUPPORT OF DEVELOPING A

CASSAVA BAG THAT WILL INCREASE THE SHELF LIFE OF CASSAVA BY UP TO 10

DAYS IN ORDER TO IMPROVE FOOD SECURITY FOR POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - UNIVERSITY OF NEW ORLEANS

FOR USE BY ITS CENTER FOR HAZARDS ASSESSMENT, RESPONSE AND TECHNOLOGY

TOWARD THE COSTS OF LEADING GLOBAL CONVERSATIONS AND RESEARCH TO

823651 04-01-18

#### 3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

ENHANCE THE COLLECTIVE UNDERSTANDING OF HOW TO PLAN FOR AND ADAPT TO

CLIMATE CHANGE AND SEA LEVEL RISE

NAME OF RECIPIENT - USHAHIDI, INC.

TOWARD THE COST OF BUILDING MACHINE LEARNING SOFTWARE TO AUTONOMOUSLY

MATCH CRISIS-AFFECTED COMMUNITIES WITH THE SERVICES, MATERIALS AND HELP

THEY NEED

NAME OF RECIPIENT - VIRGINIA ORGANIZING

FOR USE BY ITS PROJECT, TRANSFORM FINANCE, TOWARD THE COSTS OF

DEVELOPING AN INVESTING TOOLKIT THAT AIMS TO EMPOWER INVESTORS TO MAKE

CAPITAL ALLOCATION DECISIONS BASED ON AN ENHANCED UNDERSTANDING OF

RACIAL JUSTICE

NAME OF RECIPIENT - VITAL RESEARCH LLC

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE ROCKEFELLER

FOUNDATION BELLAGIO CENTER, ITS GRANTEES, RESIDENTS AND CONFERENCE

PARTICIPANTS IN ORDER TO SUPPORT THE ADAPTIVE MANAGEMENT OF THE CENTER

AND TO DEVELOP KNOWLEDGE PRODUCTS ON USING IT TO PROMOTE GLOBAL CHANGE

NAME OF RECIPIENT - VOX MEDIA, INC.

IN SUPPORT OF EXPANDING ITS COVERAGE TO INCLUDE A NEW DIGITAL SECTION

DEDICATED TO COVERING THE POLICIES, PEOPLE AND IDEAS THAT DRIVE THE

MODERN ALTRUIST COMMUNITY

NAME OF RECIPIENT - WENDY TAYLOR

IN SUPPORT OF A FELLOWSHIP TO LEVERAGE ACCELERATING TECHNOLOGIES AND

DATA TO IMPROVE DISEASE SURVEILLANCE AND RESPONSE, AND DIGITALLY

823651 04-01-18

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

ENABLED COMMUNITY HEALTH, AND FURTHER CHARITABLE PURPOSES

NAME OF RECIPIENT - WINDWARD FUND

FOR USE BY FACTOR E VENTURES, PBC IN SUPPORT OF TESTING TECHNOLOGIES

AND BUSINESS MODELS WHICH WILL UTILIZE ENERGY TO UNLOCK AGRICULTURAL

PRODUCTIVITY AND CREATE A COMMUNITY OF PRACTICE FOCUSED ON JOINT

PLANNING, RESEARCH AND INVESTMENT RELATED TO THE INTERSECTION OF ENERGY

AND AGRICULTURE TO DRIVE ECONOMIC OPPORTUNITY IN SUB-SAHARAN AFRICA

NAME OF RECIPIENT - WINDWARD FUND

TOWARD THE COSTS OF IMPLEMENTING THE RESILIENCE MEASUREMENT, EVIDENCE

AND LEARNING COMMUNITY OF PRACTICE, A PROJECT WHICH BRINGS TOGETHER

LEADING RESILIENCE MEASUREMENT EXPERTS AND PRACTITIONERS TO JOINTLY

ADVANCE THE CONCEPT AND PRACTICE OF RESILIENCE MEASUREMENT

NAME OF RECIPIENT - WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA

TOWARD THE COSTS OF ITS GLOBAL PHILANTHROPY FORUM, WHICH BRINGS

TOGETHER FOUNDATION LEADERS, INDIVIDUAL DONORS, SOCIAL INVESTORS, AND

AGENTS OF CHANGE TO LEARN MORE ABOUT OPPORTUNITIES FOR INTERNATIONAL

PHILANTHROPY

NAME OF RECIPIENT - WORLD FOOD PROGRAMME

IN SUPPORT OF SCALING ITS AGRICULTURAL MARKET ACCESS ZERO FOOD LOSS

INITIATIVE TO TANZANIA AND TO ALIGN POST-HARVEST TRAINING AND

TECHNOLOGIES WITH EXISTING VALUE CHAINS

NAME OF RECIPIENT - WORLD RESOURCES INSTITUTE

IN SUPPORT OF PRODUCING TWO PUBLICATIONS ON FOOD LOSS AND WASTE THAT

823651 04-01-18

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

SEEK TO CONSOLIDATE A GLOBAL NARRATIVE ABOUT THE ISSUE AND SHARE NEW

QUANTITATIVE EVIDENCE ON EFFECTIVE ACTION

NAME OF RECIPIENT - WORLD WILDLIFE FUND INC.

IN SUPPORT OF REDUCING FOOD WASTE AND ASSESSING GLOBAL PROTEIN

PRODUCTION SYSTEMS TO INFORM HIGH-IMPACT, STRATEGIC INTERVENTIONS AIMED

AT SUPPORTING A NOURISHING AND SUSTAINABLE FOOD SYSTEM

823651 04-01-18

|  | Form | 2220 |
|--|------|------|
|--|------|------|

# **Underpayment of Estimated Tax by Corporations** Attach to the corporation's tax return.

FORM 990-PF

OMB No. 1545-0123

2018

| Department of the Treasury<br>Internal Revenue Service |  |
|--|--|
| Name   |  |

► Go to www.irs.gov/Form2220 for instructions and the latest information.

Employer identification number 13-1659629

| THE | ROCKEFELLER | FOUNDATION |
|-----|-------------|------------|
|     |             |            |

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

| Part I | Required Annual Payment |
|--------|-------------------------|

| 1 Total tax (see instructions)  |                      | 1              | 2,845,043. |
|---|----------------------|----------------|------------|
| <b>2 a</b> Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1   | 2a                   |                |            |
| <b>b</b> Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method                                  | 2b                   |                |            |
| <b>c</b> Credit for federal tax paid on fuels (see instructions)  | 20                   |                |            |
| d Total. Add lines 2a through 2c  |                      | 2d             |            |
| 3 Subtract line 2d from line 1. If the result is less than \$500, <b>do not</b> complete or file this form. The corp does not owe the penalty   | ooration             | 3              | 2,845,043. |
| 4 Enter the tax shown on the corporation's 2017 income tax return. See instructions. Caution: If the tax or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line |                      | 4              | 4,750,009. |
| <b>5 Required annual payment.</b> Enter the <b>smaller</b> of line 3 or line 4. If the corporation is required to skip  |                      |                |            |
| enter the amount from line 3  | ,                    |                | 2,845,043. |
| Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked,  | the corporation must | file Form 2220 | •          |

even if it does not owe a penalty. See instructions.

| 6 | The corporation is using the adjusted seasonal installment method. |
|---|--|
| U |  |

X The corporation is using the annualized income installment method. 7

The corporation is a "large corporation" figuring its first required installment based on the prior year's tax

Part III Figuring the Underpayment

|    |   |         | (a)                        | (b)                       | (C)        | (d)        |
|----|---|---------|----------------------------|---------------------------|------------|------------|
| 9  | Installment due dates. Enter in columns (a) through<br>(d) the 15th day of the 4th (Form 990-PF filers:<br>Use 5th month), 6th, 9th, and 12th months of the<br>corporation's tax year | 9       | 05/15/18                   | 06/15/18                  | 09/15/18   | 12/15/18   |
| 10 | Required installments. If the box on line 6 and/or line 7   |         |                            |                           |            |            |
|    | above is checked, enter the amounts from Sch A, line 38. If   |         |                            |                           |            |            |
|    | the box on line 8 (but not 6 or 7) is checked, see instructions   |         |                            |                           |            |            |
|    | for the amounts to enter. If none of these boxes are checked,   |         |                            |                           |            |            |
|    | enter 25% (0.25) of line 5 above in each column   | 10      | 711,261.                   | 711,261.                  | 711,260.   | 711,261.   |
| 11 | Estimated tax paid or credited for each period. For   |         |                            |                           |            |            |
|    | column (a) only, enter the amount from line 11 on line 15.  |         |                            |                           |            |            |
|    | See instructions  | 11      | 8,203,324.                 | 2,250,000.                | 265,000.   |            |
|    | Complete lines 12 through 18 of one column  |         |                            |                           |            |            |
|    | before going to the next column.  |         |                            |                           |            |            |
| 12 | Enter amount, if any, from line 18 of the preceding column  | 12      |                            | 7,492,063.                | 9,030,802. | 8,584,542. |
| 13 | Add lines 11 and 12   | 13      |                            | 9,742,063.                | 9,295,802. | 8,584,542. |
| 14 | Add amounts on lines 16 and 17 of the preceding column  | 14      |                            |                           |            |            |
| 15 | Subtract line 14 from line 13. If zero or less, enter -0-   | 15      | 8,203,324.                 | 9,742,063.                | 9,295,802. | 8,584,542. |
| 16 | If the amount on line 15 is zero, subtract line 13 from line  |         |                            |                           |            |            |
|    | 14. Otherwise, enter -0-  | 16      |                            | 0.                        | 0.         |            |
| 17 | Underpayment. If line 15 is less than or equal to line 10,  |         |                            |                           |            |            |
|    | subtract line 15 from line 10. Then go to line 12 of the next   |         |                            |                           |            |            |
|    | column. Otherwise, go to line 18  | 17      |                            |                           |            |            |
| 18 | <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10   |         |                            |                           |            |            |
|    | from line 15. Then go to line 12 of the next column   | 18      | 7,492,063.                 | 9,030,802.                | 8,584,542. |            |
| Go | to Part IV on page 2 to figure the penalty. Do not go to Part IV  | / if th | ere are no entries on line | e 17 - no penalty is owed | l.         |            |
|    |   |         |                            |                           |            |            |

For Paperwork Reduction Act Notice, see separate instructions. LHA

Form 2220 (2018)

# Part IV Figuring the Penalty

|   |         | (a)               | (b)                        | (C) | (d)   |
|---|---------|-------------------|----------------------------|-----|-------|
| Enter the date of payment or the 15th day of the 4th month<br>after the close of the tax year, whichever is earlier.<br>(C corporations with tax years ending June 30<br>and S corporations: Use 3rd month instead of 4th month.<br>Form 990-PF and Form 990-T filers: Use 5th month<br>instead of 4th month.) See instructions | 19      |                   |                            |     |       |
| Number of days from due date of installment on line 9 to the  |         |                   |                            |     |       |
| date shown on line 19   | 20      |                   |                            |     |       |
| Number of days on line 20 after 4/15/2018 and before 7/1/2018   | 21      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 21 x 5% (0.05)   | 22      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 06/30/2018 and before 10/1/2018   | 23      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 23 x 5% (0.05)   | 24      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 9/30/2018 and before 1/1/2019   | 25      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 25 x 5% (0.05)   | 26      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 12/31/2018 and before 4/1/2019  | 27      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 27 x 6% (0.06) 365   | 28      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 3/31/2019 and before 7/1/2019   | 29      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 29 x *%  | 30      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 6/30/2019 and before 10/1/2019  | 31      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 31 x *%  | 32      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 9/30/2019 and before 1/1/2020   | 33      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 33 x *%  | 34      | \$                | \$                         | \$  | \$    |
| Number of days on line 20 after 12/31/2019 and before 3/16/2020   | 35      |                   |                            |     |       |
| Underpayment on line 17 x Number of days on line 35 x *%  | 36      | \$                | \$                         | \$  | \$    |
| Add lines 22, 24, 26, 28, 30, 32, 34, and 36  | 37      | \$                | \$                         | \$  | \$    |
| Penalty. Add columns (a) through (d) of line 37. Enter the  | otal he | e and on Form 112 | D, line 34; or the compara | ble |       |
| line for other income toy returne   |         |                   |                            |     | 38 \$ |

\* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at **www.irs.gov**. You can also call 1-800-829-4933 to get interest rate information.

Form **2220** (2018)

812802 01-09-19

#### Form 2220 (2018) Adjusted Seasonal Installment Method and Annualized Income Installment Method Schedule A

See instructions.

Form 1120S filers: For lines 1, 2, 3, and 21 "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies

# Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

|  |     | (a)            | (b)            | (C)            | (d)             |
|--|-----|----------------|----------------|----------------|-----------------|
| 1 Enter taxable income for the following periods.  |     | First 3 months | First 5 months | First 8 months | First 11 months |
| <b>a</b> Tax year beginning in 2015  | 1a  |                |                |                |                 |
|  | 1b  |                |                |                |                 |
| <b>b</b> Tax year beginning in 2016  |     |                |                |                |                 |
| <b>c</b> Tax year beginning in 2017  | 1c  |                |                |                |                 |
| c Tax year beginning in 2017     c Tax year beginning in 2017     c Tax year beginning in 2017   |     |                |                |                |                 |
| 2 Enter taxable income for each pende for the tax year beginning in<br>2018. See the instructions for the treatment of extraordinary items | 2   |                |                |                |                 |
|  |     |                |                |                |                 |
| 3 Enter taxable income for the following periods.  |     | First 4 months | First 6 months | First 9 months | Entire year     |
|  |     |                |                |                |                 |
| <b>a</b> Tax year beginning in 2015  | 3a  |                |                |                |                 |
| <b>a</b> Tax year beginning in 2015  | 50  |                |                |                |                 |
| <b>b</b> Tax year beginning in 2016  | 3b  |                |                |                |                 |
| <b>D</b> Tax year beginning in 2016  |     |                |                |                |                 |
| <b>c</b> Tax year beginning in 2017  | 3c  |                |                |                |                 |
| 4 Divide the amount in each column on line 1a by the   |     |                |                |                |                 |
| amount in column (d) on line 3a  | 4   |                |                |                |                 |
| 5 Divide the amount in each column on line 1b by the   |     |                |                |                |                 |
| amount in column (d) on line 3b  | 5   |                |                |                |                 |
| 6 Divide the amount in each column on line 1c by the   |     |                |                |                |                 |
| amount in column (d) on line 3c  | 6   |                |                |                |                 |
| ( )  |     |                |                |                |                 |
| 7 Add lines 4 through 6  | 7   |                |                |                |                 |
| •  |     |                |                |                |                 |
| 8 Divide line 7 by 3.0   | 8   |                |                |                |                 |
| 9a Divide line 2 by line 8   | 9a  |                |                |                |                 |
| <b>b</b> Extraordinary items (see instructions)  | 9b  |                |                |                |                 |
| <b>c</b> Add lines 9a and 9b   | 9c  |                |                |                |                 |
| <b>10</b> Figure the tax on the amt on In 9c using the instr for Form  |     |                |                |                |                 |
| 1120, Sch J, line 2, or comparable line of corp's return   | 10  |                |                |                |                 |
| <b>11a</b> Divide the amount in columns (a) through (c) on line 3a   |     |                |                |                |                 |
| by the amount in column (d) on line 3a   | 11a |                |                |                |                 |
| <b>b</b> Divide the amount in columns (a) through (c) on line 3b   |     |                |                |                |                 |
| by the amount in column (d) on line 3b   | 11b |                |                |                |                 |
| <b>c</b> Divide the amount in columns (a) through (c) on line 3c   |     |                |                |                |                 |
| by the amount in column (d) on line 3c   | 11c |                |                |                |                 |
| 12 Add lines 11a through 11c   | 12  |                |                |                |                 |
| 13 Divide line 12 by 3.0   | 13  |                |                |                |                 |
| 14 Multiply the amount in columns (a) through (c) of line 10   |     |                |                |                |                 |
| by columns (a) through (c) of line 13. In column (d), enter  |     |                |                |                |                 |
| the amount from line 10, column (d)  | 14  |                |                |                |                 |
| 15 Enter any alternative minimum tax for each payment  |     |                |                |                |                 |
| period. See instructions   | 15  |                |                |                |                 |
|  |     |                |                |                |                 |
| <b>16</b> Enter any other taxes for each payment period. See instr.  | 16  |                |                |                |                 |
| 17 Add lines 14 through 16   | 17  |                |                |                |                 |
| <b>18</b> For each period, enter the same type of credits as allowed   |     |                |                |                |                 |
| on Form 2220, lines 1 and 2c. See instructions   | 18  |                |                |                |                 |
| <b>19</b> Total tax after credits. Subtract line 18 from line 17. If   |     |                |                |                |                 |
| zero or less, enter -0-  | 19  |                |                |                |                 |

812821 01-09-19

# Form 2220 (2018)

# Part II Annualized Income Installment Method

|   |     | (a)          | (b)            | (C)            | (d)          |
|---|-----|--------------|----------------|----------------|--------------|
|   | ΙΓ  | First 2      | First <u>3</u> | First <u>6</u> | First 9      |
| 20 Annualization periods (see instructions)   | 20  | months       | months         | months         | months       |
| 21 Enter taxable income for each annualization period. See  |     |              |                |                |              |
| instructions for the treatment of extraordinary items $\ldots$  | 21  | 131,856,796. | 155,501,349.   | 217,151,899.   | 333,352,180. |
| 22 Annualization amounts (see instructions)   | 22  | 6.00000      | 4.00000        | 2.000000       | 1.333330     |
| 23a Annualized taxable income. Multiply line 21 by line 22  | 23a | 791,140,776. | 622,005,396.   | 434,303,798.   | 444,468,462. |
| <b>b</b> Extraordinary items (see instructions)   | 23b |              |                |                |              |
| c Add lines 23a and 23b   | 23c | 791,140,776. | 622,005,396.   | 434,303,798.   | 444,468,462. |
| 24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2,                 |     |              |                |                |              |
| or comparable line of corporation's return  | 24  | 7,911,408.   | 6,220,054.     | 4,343,038.     | 4,444,685.   |
| 25 Enter any alternative minimum tax for each payment period (see instructions)                                       | 25  |              |                |                |              |
| 26 Enter any other taxes for each payment period. See instr.  | 26  |              |                |                |              |
| 27 Total tax. Add lines 24 through 26   | 27  | 7,911,408.   | 6,220,054.     | 4,343,038.     | 4,444,685.   |
| <b>28</b> For each period, enter the same type of credits as allowed  |     |              |                |                |              |
| on Form 2220, lines 1 and 2c. See instructions  | 28  |              |                |                |              |
| 29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-                                 | 29  | 7,911,408.   | 6,220,054.     | 4,343,038.     | 4,444,685.   |
| <b>30</b> Applicable percentage   | 30  | 25%          | 50%            | 75%            | 100%         |
| 31 Multiply line 29 by line 30  | 31  | 1,977,852.   | 3,110,027.     | 3,257,279.     | 4,444,685.   |
| Part III Required Installments  |     |              |                |                |              |
| Note: Complete lines 32 through 38 of one column  |     | 1st          | 2nd            | 3rd            | 4th          |
| before completing the next column.  |     | installment  | installment    | installment    | installment  |
| 32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are |     |              |                |                |              |
| completed, enter the <b>smaller</b> of the amounts in each column from line 19 or line 31                             | 32  | 1,977,852.   | 3,110,027.     | 3,257,279.     | 4,444,685.   |
| <b>33</b> Add the amounts in all preceding columns of line 38. See instructions                                       | 33  |              | 711,261.       | 1,422,522.     | 2,133,782.   |
| 34 Adjusted seasonal or annualized income installments.   |     |              |                |                |              |

1,977,852.

711,261.

711,261.

711,261,

2,398,766.

711,261

711,261.

711,261

- 34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-
- **35** Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. **Note:** "Large corporations," see the instructions for line 10 for the amounts to enter .....
- **36** Subtract line 38 of the preceding column from line 37 of the preceding column
- 37 Add lines 35 and 36
  38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions

18221107 756359 1621570.011

...

1,834,757,

711,260

711,260,

711,260.

711,261. Form **2220** (2018)

2,310,903.

711,261.

711,261.

\*\* ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

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812822 01-09-19

# FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE   | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--|-----------------------------|---------------------------------|-------------------------------|
| INTEREST ON SAVINGS AND<br>TEMPORARY CASH INVESTMENTS<br>IRS REFUND INTEREST | 2,854,363.<br>178.          | 2,854,363.<br>178.              |                               |
| TOTAL TO PART I, LINE 3  | 2,854,541.                  | 2,854,541.                      |                               |

| FORM 990-PF  | DIVIDENDS       | AND INTEREST                  | FROM SECUR                  | ITIES S'                          | TATEMENT 2                    |
|--|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| SOURCE   | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
| DIVIDENDS AND<br>INTEREST<br>DIVIDENDS AND<br>INTEREST THROUGH | 16,337,968.     | 0.                            | 16,337,968.                 | 16,337,968.                       |                               |
| K-1S   | 0.              | 0.                            | 0.                          | 33,317,124.                       |                               |
| TO PART I, LINE 4  | 16,337,968.     | 0.                            | 16,337,968.                 | 49,655,092.                       |                               |

| FORM 990-PF OT   | THER INCOME                 |                                   | STATEMENT 3 |
|--|-----------------------------|-----------------------------------|-------------|
| DESCRIPTION  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME |             |
| OTHER INCOME THROUGH K-1S, NET OF                          | 0                           | . 5,719,828.                      |             |
| PROGRAM RELATED INVESTMENT INCOME<br>FOREIGN CURRENCY LOSS | 685,497<br>-438,139         | . 685,497.                        |             |
| SECURITIES LITIGATION PROCEEDS<br>GRANT REFUNDS            | 15,206                      | . 15,206.                         |             |
| ROYALTIES  | 749,746<br>1,558            | . 1,558.                          |             |
| OTHER INCOME<br>UBIT STATE REFUNDS                         | 25,673<br>232,139           | •                                 |             |
| TOTAL TO FORM 990-PF, PART I, LINE                         | 11 1,271,680                | . 6,009,623.                      |             |

| FORM 990-PF                 | LEGAL                        | FEES                              | STATEMENT 4                   |                               |  |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|--|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| AXIOM LEGAL SOLUTIONS, INC. | 312,904.                     | 0.                                |                               | 312,904.                      |  |
| AZB & PARTNERS              | 43,486.                      |                                   |                               | 43,486.                       |  |
| BATES WELLS BRAITHWAITE     |                              |                                   |                               |                               |  |
| LONDON LLP                  | 133.                         | Ο.                                |                               | 133.                          |  |
| CENTRAL LAW GUATELMALA      |                              |                                   |                               |                               |  |
| CORP.                       | 6,634.                       | Ο.                                |                               | 6,634.                        |  |
| DAVIS WRIGHT TREMAINE LLP   | 27,003.                      |                                   |                               | 27,003.                       |  |
| FRAGOMEN, DEL REY, BERNSEN  |                              |                                   |                               |                               |  |
| & LOEWY, P.C.               | 17,129.                      | Ο.                                |                               | 17,129.                       |  |
| GOODELL, DEVRIES, LEECH &   |                              |                                   |                               |                               |  |
| DANN, LLP                   | 106,852.                     | Ο.                                |                               | 106,852.                      |  |
| HOGAN LOVELLS US LLP        | 583.                         | Ο.                                |                               | 583.                          |  |
| K & L GATES LLP             | 11,041.                      | 0.                                |                               | 11,041.                       |  |
| KAPLAN & STRATTON           | 5,942.                       | 0.                                |                               | 5,942.                        |  |
| MORRISON & FOERSTER LLP     | 58,475.                      | Ο.                                |                               | 58,475.                       |  |
| PATTERSON, BELKNAP, WEBB &  |                              |                                   |                               |                               |  |
| TYLER LLP                   | 2,336,773.                   | Ο.                                |                               | 2,336,773.                    |  |
| PLANET DEPOS, LLC           | 2,281.                       | Ο.                                |                               | 2,281.                        |  |
| PROSKAUER ROSE LLP          | 4,200.                       | 4,200.                            |                               | 0.                            |  |
| REED SMITH LLP              | 212,493.                     | Ο.                                |                               | 94,253.                       |  |
| ROPES AND GRAY LLP          | 129,978.                     | 129,978.                          |                               | 0.                            |  |
| SIAM CITY LAW OFFICES       |                              |                                   |                               |                               |  |
| LIMITED                     | 1,622.                       | 0.                                |                               | 1,622.                        |  |
| SIMPSON THACHER & BARTLETT  |                              |                                   |                               |                               |  |
| LLP                         | 143,565.                     | 0.                                |                               | 143,565.                      |  |
| TRAVIS WEISSE               | 2,256.                       | 0.                                |                               | 2,256.                        |  |
| TRISTER, ROSS, SCHADLER &   |                              |                                   |                               |                               |  |
| GOLD, PLLC                  | 17,606.                      | 0.                                |                               | 17,606.                       |  |
| UNITY LEGAL                 | 8,235.                       | 0.                                |                               | 8,235.                        |  |
| TO FM 990-PF, PG 1, LN 16A  | 3,449,191.                   | 134,178.                          |                               | 3,196,773.                    |  |

| FORM 990-PF                           | ACCOUNTING FEES ST           |                                   |                               | STATEMENT 5                   |
|---------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                           | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| PKF O'CONNOR DAVIES, LLP              | 398,344.                     | 200,281.                          |                               | 140,063.                      |
| <br>TO FORM 990-PF, PG 1, LN 16B<br>= | 398,344.                     | 200,281.                          |                               | 140,063.                      |

| FORM 990-PF  | OTHER PROFESSIONAL FEES      |                                   |                               | 990-PF OTHER PROFESSIONAL FEES STATEMEN |  | TATEMENT 6 |
|--|------------------------------|-----------------------------------|-------------------------------|---|--|------------|
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES           |  |            |
| CONSULTANTS<br>(ADMINISTRATIVE)<br>CONSULTANTS (DIRECT | 3,727,096.                   | 0.                                |                               | 3,727,096.                              |  |            |
| PROGRAMMATIC EXPENDITURES)<br>CUSTODIANS & INVESTMENT  | 8,922,186.                   | 0.                                |                               | 8,495,270.                              |  |            |
| MANAGERS   | 6,041,651.                   | 4,852,173.                        |                               | 0.                                      |  |            |
| TO FORM 990-PF, PG 1, LN 160                           | 18,690,933.                  | 4,852,173.                        |                               | 12,222,366.                             |  |            |

| FORM 990-PF  | TAX                            | ES                                | STATEMENT 7                   |                               |  |
|--|--------------------------------|-----------------------------------|-------------------------------|-------------------------------|--|
| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS   | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| FOREIGN WITHHOLDING TAXES<br>FEDERAL EXCISE AND UBI TAX<br>STATE UBI TAXES | 300.<br>7,090,642.<br>410,796. | 0.                                |                               | 0.<br>0.<br>0.                |  |
| TO FORM 990-PF, PG 1, LN 18  | 7,501,738.                     | 3,013,499.                        |                               | 0.                            |  |

| FORM 990-PF                  | OTHER E                      | XPENSES                           | STATEMENT 8                   |                               |  |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|--|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |  |
| COMPUTER/FURNITURE AND       |                              |                                   |                               |                               |  |
| EQUIPMENT                    | 1,274,298.                   | 5,946.                            |                               | 1,268,352.                    |  |
| OFFICE EXPENSES (SUPPLIES,   |                              |                                   |                               |                               |  |
| POSTAGE, OTHER)              | 513,697.                     | 1,196.                            |                               | 513,186.                      |  |
| SUNDRIES (INSURANCE,         |                              |                                   |                               |                               |  |
| MEMBERSHIPS, TRAINING, STAFF |                              |                                   |                               |                               |  |
| FUNCTIONS, OTHER)            | 1,128,449.                   | 14,002.                           |                               | 1,114,447.                    |  |
| CREDIT FACILITY FEES         | 252,778.                     | Ο.                                |                               | 252,778.                      |  |
| OTHER PORTFOLIO DEDUCTIONS   | 0.                           | 32,577,162.                       |                               | 0.                            |  |
| BROKER COMMISSIONS           | 9,976.                       | 9,976.                            |                               | 0.                            |  |
| TO FORM 990-PF, PG 1, LN 23  | 3,179,198.                   | 32,608,282.                       |                               | 3,148,763.                    |  |
|                              |                              |                                   |                               |                               |  |

| FORM 990-PF    | OTHER I | NCREASES  | IN NET  | ASSETS   | OR | FUND  | BALANCES | STATEMENT 9 |
|----------------|---------|-----------|---------|----------|----|-------|----------|-------------|
| DESCRIPTION    |         |           |         |          |    |       |          | AMOUNT      |
| PENSION AND PO | STRETIR | EMENT BEN | EFIT L  | IABILITY | AD | JUSTI | MENTS    | 208,162.    |
| DEFERRED FEDER | AL EXCI | SE TAX BE | NEFIT   |          |    |       |          | 5,145,000.  |
| CHANGE IN FAIR | VALUE   | OF INTERE | ST RAT  | E SWAP   |    |       |          | 81,355.     |
| DEFERRED COMPE | NSATION | PLAN (45  | 57B) UN | REALIZEI | )  |       |          | 48,675.     |
| TOTAL TO FORM  | 990-PF, | PART III  | , LINE  | 3        |    |       |          | 5,483,192.  |

| FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT | 1 | 0 |
|--|---|---|
|--|---|---|

| DESCRIPTION  | U.S.<br>GOV'T | OTHER<br>GOV ' T | BOOK VALUE  | FAIR MARKET<br>VALUE |
|--|---------------|------------------|-------------|----------------------|
| SHORT TERM INVESTMENT FUND II                      |               |                  |             |                      |
| INVESTMENT FUND II                                 |               |                  | 8,187,991.  | 8,187,991.           |
| U S TREASURY NOTE 1.375% 01/15/2020                | Х             |                  |             |                      |
| DD 01/15/17  | 37            |                  | 493,690.    | 493,690.             |
| U S TREASURY NOTE 1.625% 02/15/2026<br>DD 02/15/16 | X             |                  | 1,497,744.  | 1,497,744.           |
| U S TREASURY NOTE 1.625% 11/15/2022                | х             |                  | 1,107,711.  | 1,107,711.           |
| DD 11/15/12  |               |                  | 1,839,371.  | 1,839,371.           |
| U S TREASURY NOTE 1.750% 05/15/2023                | Х             |                  |             |                      |
| DD 05/15/13  |               |                  | 3,294,532.  | 3,294,532.           |
| U S TREASURY NOTE 2.000% 02/15/2023                | Х             |                  |             |                      |
| DD 02/15/13<br>U S TREASURY NOTE 2.000% 11/15/2021 | x             |                  | 294,153.    | 294,153.             |
| DD 11/15/11  | Δ             |                  | 2,072,847.  | 2,072,847.           |
| U S TREASURY NOTE 2.000% 11/15/2026                | х             |                  | 2,072,027.  | <i>_,</i> ,,,,,,,,,, |
| DD 11/15/16  |               |                  | 1,909,140.  | 1,909,140.           |
| U S TREASURY NOTE 2.250% 11/15/2025                | Х             |                  |             |                      |
| DD 11/15/15  |               |                  | 2,738,204.  | 2,738,204.           |
| U S TREASURY NOTE 2.375% 05/15/2027                | Х             |                  | 1 664 400   | 1 664 400            |
| DD 05/15/17<br>U S TREASURY NOTE 2.500% 05/15/2024 | х             |                  | 1,664,402.  | 1,664,402.           |
| DD 05/15/14  | Δ             |                  | 3,493,560.  | 3,493,560.           |
| U S TREASURY NOTE 2.625% 05/15/2021                | х             |                  | -,,         | -,,                  |
| DD 05/15/18  |               |                  | 2,508,000.  | 2,508,000.           |
| U S TREASURY NOTE 2.625% 11/15/2020                | Х             |                  |             |                      |
| DD 11/15/10  |               |                  | 901,656.    | 901,656.             |
| U S TREASURY NOTE 2.875% 05/15/2028                | Х             |                  |             |                      |
| DD 05/15/18  |               |                  | 1,218,420.  | 1,218,420.           |
|  |               | _                |             |                      |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                  |               |                  | 32,113,710. | 32,113,710.          |
| TOTAL STATE AND MUNICIPAL GOVERNMENT               | r OBLIG       | ATIONS           |             |                      |
| TOTAL TO FORM 990-PF, PART II, LINE                | 10A           | -                | 32,113,710. | 32,113,710.          |
|  |               | =                |             |                      |

327 STATEMENT(S) 9, 10 2018.05000 THE ROCKEFELLER FOUNDATIO 16215701

| FORM | 99( | )-PF |
|------|-----|------|
|------|-----|------|

## CORPORATE STOCK

## STATEMENT 11

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|---|------------|----------------------|
| CARBON BLACK INC                        | 704,483.   | 704,483.             |
| COUPA SOFTWARE INC                      | 957,044.   | 957,044.             |
| DESPEGAR.COM CORP                       | 1,395,914. | 1,395,914.           |
| DROPBOX INC                             | 1,430,100. | 1,430,100.           |
| FACEBOOK INC                            | 470,089.   | 470,089.             |
| IQIYI INC ADR                           | 322,694.   | 322,694.             |
| MINERVA NETWORKS SERIES AD              | 67,845.    | 67,845.              |
| OKTA INC                                | 638,000.   | 638,000.             |
| PPDAI GROUP INC ADR                     | 1,212,944. | 1,212,944.           |
| SNAP INC                                | 275,500.   | 275,500.             |
| SPOTIFY TECHNOLOGY SA                   | 161,170.   | 161,170.             |
| SQUARE INC                              | 1,121,800. | 1,121,800.           |
| STITCH FIX INC                          | 810,391.   | 810,391.             |
| ZUORA INC                               | 195,622.   | 195,622.             |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 9,763,596. | 9,763,596.           |

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 12

| DESCRIPTION                         | VALUATION<br>METHOD | BOOK VALUE      | FAIR MARKET<br>VALUE |
|-------------------------------------|---------------------|-----------------|----------------------|
| 12 WEST CAPITAL                     | FMV                 | 32,770,757.     | 32,770,757.          |
| 12 WEST PUNCH CARD FUND LP          | FMV                 | 3,089,458.      | 3,089,458.           |
| 12 WEST SPV DC OPP FUND             | FMV                 | 2,435,199.      | 2,435,199.           |
| ABRY ADVANCED SECS FD III LP        | FMV                 | 9,613,725.      | 9,613,725.           |
| ABRY ADVANCED SECURITIES FD II      | FMV                 | 1,138,711.      | 1,138,711.           |
| ABRY HERITAGE PARTNERS LP           | FMV                 | 1,465,622.      | 1,465,622.           |
| ABRY PARTNERS VI LP                 | FMV                 | 327,648.        | 327,648.             |
| ABRY PARTNERS VII L.P.              | FMV                 | 4,171,112.      | 4,171,112.           |
| ABRY PARTNERS VIII LP               | FMV                 | 12,329,402.     | 12,329,402.          |
| ABRY SENIOR EQUITY IV L.P.          | FMV                 | 5,759,891.      | 5,759,891.           |
| ABRY SENIOR EQUITY V LP             | FMV                 | 1,365,152.      | 1,365,152.           |
| ACCEL GROWTH FD IV LP               | FMV                 | 11,284,832.     | 11,284,832.          |
| ACCEL GROWTH FUND II LP             | FMV                 | 18,903,335.     | 18,903,335.          |
| ACCEL GROWTH FUND III LP            | FMV                 | 24,324,316.     | 24,324,316.          |
| ACCEL INDIA III LIMITED PARTNERSHIP | FMV                 | 10,860,793.     | 10,860,793.          |
| ACCEL INDIA IV LP                   | FMV                 | 18,204,410.     | 18,204,410.          |
| ACCEL INDIA V LP                    | FMV                 | 4,634,155.      | 4,634,155.           |
| ACCEL IX LP                         | FMV                 | 2,565,561.      | 2,565,561.           |
| ACCEL LEADERS FUND LP               | FMV                 | 4,042,554.      | 4,042,554.           |
| ACCEL X LP                          | FMV                 | 16,289,009.     | 16,289,009.          |
| ACCEL XI                            | FMV                 | 7,404,246.      | 7,404,246.           |
| ACCEL XII LP                        | FMV                 | 11,958,010.     | 11,958,010.          |
| ACCEL XIII LP                       | FMV                 | 3,235,841.      | 3,235,841.           |
| ADAGE CAPITAL PARTNERS              | FMV                 | 140,254,087.    | 140,254,087.         |
| ADELIS EQUITY PARTNERS FD I AB      | FMV                 | 10,346,481.     | 10,346,481.          |
| ADELIS EQUITY PARTNERS FUND II AB   | FMV                 | 2,494,806.      | 2,494,806.           |
| ADP II HOLDING 8 LP                 | FMV                 | 3,596,524.      | 3,596,524.           |
| AETOS CAPITAL ASIA T E II LTD       | FMV                 | 1,412,398.      | 1,412,398.           |
|                                     | 328                 | STAT            | EMENT(S) 11, 12      |
| 221107 756359 1621570.011           |                     | E ROCKEFELLER F | OUNDATIO 162157      |

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|  |            |                         | <u> </u>                |
|--|------------|-------------------------|-------------------------|
| AFRICAN DEVELOP PTNS II HLDG 4 LP                              | FMV        | 4,219,507.              | 4,219,507.              |
| AFRICAN DEVELOPMENT PARTNERS II LP                             | FMV        | 10,740,060.             | 10,740,060.             |
| AH ANNEX FUND L.P  | FMV        | 7,118,806.              | 7,118,806.              |
| AH BIO FUND I LP   | FMV        | 2,975,393.              | 2,975,393.              |
| AH BIO FUND II LP  | FMV        | 2,365,078.              | 2,365,078.              |
| AH PARALLEL FUND III LP  | FMV        | 8,862,461.              | 8,862,461.              |
| AH PARALLEL FUND IV LP   | FMV        | 13,175,693.             | 13,175,693.             |
| AH PARALLEL FUND V LP  | FMV        | 4,553,077.              | 4,553,077.              |
| ALCHEMY SPECIAL OPP FD III LP                                  | FMV        | 9,294,153.              | 9,294,153.              |
| ALLIED INVENTORS FUND LLC                                      | FMV        | 1,421,206.              | 1,421,206.              |
| ALPINE INVESTORS III   | FMV        | 6,817,001.              | 6,817,001.              |
| ALPINE INVESTORS IV LP   | FMV        | 19,035,308.             | 19,035,308.             |
| ALPINE INVESTORS V LP  | FMV        | 24,107,708.             | 24,107,708.             |
| ANCHORAGE CAPITAL PARTNERS OFFSHORE LTD                        | FMV        | 65 497 216              | 65 497 216              |
| ANCHORAGE CAPITAL PTNRS FD III                                 |            | 65,487,216.<br>153,448. | 65,487,216.<br>153,448. |
| ANDREESSEN HOROWITZ FD IV LP                                   | FMV<br>FMV | 20,210,088.             | 20,210,088.             |
| ANDREESSEN HOROWITZ FD IV LF<br>ANDREESSEN HOROWITZ FUND II LP | FMV        | 16,406,702.             | 16,406,702.             |
| ANDREESSEN HOROWITZ FUND III LP                                | FMV        | 26,276,991.             | 26,276,991.             |
| ANDREESSEN HOROWITZ FUND V LP                                  | FMV        | 5,972,399.              | 5,972,399.              |
| ANDREESSEN HOROWITZ FOND V HP<br>AP CAYMAN PARTNERS II LP      | FMV        | 176,912.                | 176,912.                |
| ARC ENERGY FUND 7 US LP  | FMV        | 8,626,532.              | 8,626,532.              |
| ARC ENERGY FUND 8 LP   | FMV        | 6,890,081.              | 6,890,081.              |
| ARCLIGHT ENERGY III  | FMV        | 43,703.                 | 43,703.                 |
| ARCLIGHT ENERGY PARTNERS FUND V LP                             | FMV        | 5,846,103.              | 5,846,103.              |
| ARCLIGHT ENERGY PTNRS FUND IV LP                               | FMV        | 1,348,082.              | 1,348,082.              |
| ASLAN RLTY PRTNRS II LP  | FMV        | 1,647,625.              | 1,647,625.              |
| ASPENLEAF ENERGY LIMITED                                       | FMV        | 2,525,992.              | 2,525,992.              |
| ATERIAN INVESTMENT PARTNERS II LP                              | FMV        | 18,030,217.             | 18,030,217.             |
| AUDAX PRIVATE EQUITY FUND II                                   | FMV        | 775,813.                | 775,813.                |
| AUDAX PRIVATE EQUITY FUND III                                  | FMV        | 1,547,050.              | 1,547,050.              |
| AUDAX PRIVATE EQUITY FUND IV LP                                | FMV        | 16,076,968.             | 16,076,968.             |
| BAILLIE GIFFORD EM FUND CL K                                   | FMV        | 58,753,053.             | 58,753,053.             |
| BAIN CAPITAL ASIA FUND LP                                      | FMV        | 87,446.                 | 87,446.                 |
| BAIN CAPITAL FUND IX LP  | FMV        | 1,074,348.              | 1,074,348.              |
| BAIN CAPITAL FUND VIII-E LP                                    | FMV        | 448,145.                | 448,145.                |
| BAIN CAPITAL IX COINVESTMENT FUND                              | FMV        |                         |                         |
| LP   |            | 835,196.                | 835,196.                |
| BANYAN PARTNERS FD I LP  | FMV        | 22,364,777.             | 22,364,777.             |
| BANYAN PARTNERS FUND II LP                                     | FMV        | 73,164,173.             | 73,164,173.             |
| BANYAN PARTNERS FUND III LP                                    | FMV        | 11,851,969.             | 11,851,969.             |
| BATTERY VENTURES VI LP   | FMV        | 51,097.                 | 51,097.                 |
| BAUPOST VALUE IV   | FMV        | 87,746,620.             | 87,746,620.             |
| BC EUROPEAN CAPITAL VII  | FMV        | 91,381.                 | 91,381.                 |
| BENCHMARK CAP PTNRS VII-ANNEX LP                               | FMV        | 523,283.                | 523,283.                |
| BENCHMARK CAPITAL PARTNERS IV                                  | FMV        | 652,452.                | 652,452.                |
| BENCHMARK CAPITAL PARTNERS IX LP                               | FMV        | 562,697.                | 562,697.                |
| BENCHMARK CAPITAL PARTNERS V                                   | FMV        | 5,950,687.              | 5,950,687.              |
| BENCHMARK CAPITAL PARTNERS VI LP                               | FMV        | 9,575,568.              | 9,575,568.              |
| BENCHMARK CAPITAL PARTNERS VIII LP                             | FMV        | 15,794,462.             | 15,794,462.             |
| BENCHMARK CAPITAL PTRNS VII                                    | FMV        | 134,471,529.            | 134,471,529.            |
| BERKSHIRE FUND VI LP   | FMV        | 4,642,392.              | 4,642,392.              |
| BERKSHIRE FUND VII LP  | FMV        | 4,369,608.              | 4,369,608.              |
| BERKSHIRE FUND VIII LP   | FMV        | 11,238,677.             | 11,238,677.             |
| BLACKROCK 1 3YR TREASURY INDEX NON                             | FMV        |                         |                         |
| LENDABLE FUND B  |            | 112,864,672.            | 112,864,672.            |
| BLACKROCK 7 10YR TREASURY INDEX NON                            | FMV        |                         |                         |
| LENDABLE FUND B  |            | 56,731,615.             | 56,731,615.             |
|  |            |                         |                         |

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| BLACKSTONE RE PARTNERS VIII LP                                  | FMV        | 11,782,583.                | 11,782,583.                |
| BLC ENERGY FUND B LP  | FMV        | 1,992,767.                 | 1,992,767.                 |
| BLC II FUND B LP  | FMV        | 4,876,632.                 | 4,876,632.                 |
| BLUE RIDGE OFFSHORE PARTNERSHIP LP                              | FMV        |                            |                            |
| 01/07   |            | 1,036,660.                 | 1,036,660.                 |
| BLUEHOUSE ACCESS PROP III A                                     | FMV        | 8,365,158.                 | 8,365,158.                 |
| BLUEHOUSE PROPERTY FD IV FEEDER LP                              | FMV        | 3,128,553.<br>43,059,077.  | 3,128,553.<br>43,059,077.  |
| BRACEBRIDGE<br>BRIDGEWATER PURE ALPHA                           | FMV<br>FMV | 43,039,077.<br>32,884,991. | 43,059,077.<br>32,884,991. |
| BRIDGEWATER PURE ALPHA FUND II                                  | FMV        | 52,004,551.                | 52,004,551.                |
| ACCOUNT 2   | 1 11 4     | 32,980,008.                | 32,980,008.                |
| BROOKDALE INVESTORS FIVE LP                                     | FMV        | 3,057,507.                 | 3,057,507.                 |
| BROOKDALE INVESTORS SIX LP                                      | FMV        | 6,827.                     | 6,827.                     |
| CADENT ENERGY PARTNERS I  | FMV        | 168,305.                   | 168,305.                   |
| CADENT ENERGY PARTNERS II                                       | FMV        | 12,951,851.                | 12,951,851.                |
| CAPITAL RESOURCE PARTNERS V                                     | FMV        | 2,158,356.                 | 2,158,356.                 |
| CARL MARKS STRATEGIC OPP II LP                                  | FMV        | 25,958,351.                | 25,958,351.                |
| CARNELIAN ENERGY CAPITAL II LP                                  | FMV        | 4,659,460.                 | 4,659,460.                 |
| CARNELIAN ENERGY CAPITAL LP                                     | FMV        | 8,001,688.                 | 8,001,688.                 |
| CASTANEA PARTNERS III LP  | FMV        | 9,971,529.                 | 9,971,529.                 |
| CATALYST FUND IV PARALLEL LP                                    | FMV        | 4,426,069.                 | 4,426,069.                 |
| CATALYST FUND LIMITED PARTNERSHIP                               | FMV        |                            | 14 544 016                 |
|   |            | 14,544,916.<br>5,978,021.  | 14,544,916.<br>5,978,021.  |
| CATALYST FUND V<br>CERBERUS INSTITUTIONAL PARTNERS              | FMV<br>FMV | 5,978,021.                 | 5,978,021.                 |
| (SERIES FOUR) L P   | FMV        | 2,806,379.                 | 2,806,379.                 |
| CERBERUS INSTITUTIONAL PARTNERS LP                              | FMV        | 2,000,000                  | 2,000,075.                 |
| SERIES III  |            | 398,457.                   | 398,457.                   |
| CERBERUS INSTL PARTNERS V LP                                    | FMV        | 14,408,456.                | ,<br>14,408,456.           |
| CHARLES RIVER PARTNERSHIP XI                                    | FMV        | 145,022.                   | 145,022.                   |
| CHARLES RIVER PARTNERSHIP XII LP                                | FMV        | 188,251.                   | 188,251.                   |
| CHARLES RIVER PARTNERSHIP XIII LP                               | FMV        | 1,065,067.                 | 1,065,067.                 |
| CHARLES RIVER XII ANNEX   | FMV        | 11,629.                    | 11,629.                    |
| CHRYSCAPITAL IV LLC   | FMV        | 471.                       | 471.                       |
| CHRYSCAPITAL V LLC  | FMV        | 137.                       | 137.                       |
| CICC GROWTH I   | FMV        | 6,688,594.                 | 6,688,594.                 |
| COMMONFUND NATURAL RESOURCE VI                                  | FMV        | 1,299,493.                 | 1,299,493.                 |
| COMMONFUND NATURAL RESOURCES                                    | FMV        |                            |                            |
| PARTNERS VII<br>CROSSPOINT VTR PTRS 2000 Q                      | FMV        | 5,527,466.<br>526,313.     | 5,527,466.<br>526,313.     |
| CRP XI ANNEX FUND LP  | FMV        | 834.                       | 834.                       |
| DECHENG CAP CHINA LIFE SCIENCE USD                              | FMV        | 004.                       | 034.                       |
| FD II LP  | 1 11 4     | 13,984,093.                | 13,984,093.                |
| DECHENG CAP CHN LIFE SCI III LP USD                             | FMV        | 4,672,395.                 | 4,672,395.                 |
| DENHAM COMMODITY PARTNERS VI                                    | FMV        | 20,428,102.                | 20,428,102.                |
| DYMON ASIA MACRO FUND SERIES 1                                  | FMV        | 9,771,235.                 | 9,771,235.                 |
| DYMON ASIA MACRO FUND SERIES 393                                | FMV        | 5,246,250.                 | 5,246,250.                 |
| DYMON ASIA MACRO FUND SERIES 450                                | FMV        | 32,184,000.                | 32,184,000.                |
| DYMON ASIA MACRO FUND SERIES 702                                | FMV        | 4,897,600.                 | 4,897,600.                 |
| DYNAMO BRAZIL VIII  | FMV        | 12,926,267.                | 12,926,267.                |
| EDGBASTON ASIAN EQUITY TRUST                                    | FMV        | 56,091,281.                | 56,091,281.                |
| ELLIOT INTERNATIONAL  | FMV        | 7,188,001.                 | 7,188,001.                 |
| EMERALD HILL CAP PARTNERS II LP                                 | FMV        | 16,237,771.                | 16,237,771.                |
| EMERALD HILL CAP PARTNERS III LP                                | FMV        | 7,255,530.                 | 7,255,530.                 |
| ENA FUND  | FMV        | 30,114,404.<br>11,314,978  | 30,114,404.                |
| ENCAP ENERGY CAPITAL FD X LP                                    | FMV        | 11,314,978.<br>3 380 911   | 11,314,978.<br>3 380 911   |
|   |            |                            |                            |
| FARALLON CAPITAL INST PARTNERS LP<br>FIRELAKE STRATEGIC TECH II | FMV<br>FMV | 3,380,911.<br>1,212,427.   | 3,380,91<br>1,212,42       |

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| FIVE POINT ENERGY FUND I LP                                 | FMV        | 34,133,871.              | 34,133,871.              |
| FIVE POINT ENERGY FUND I-B LP                               | FMV        | 7,904,900.               | 7,904,900.               |
| FIVE POINT ENERGY FUND II LP                                | FMV        | 7,570,142.               | 7,570,142.               |
| FIVE POINT ENERGY FUND II-A                                 | FMV        | 4,375,371.               | 4,375,371.               |
| FLEXPOINT FUND II LP  | FMV        | 3,670,124.               | 3,670,124.               |
| FLEXPOINT FUND III LP                                       | FMV        | 7,010,011.               | 7,010,011.               |
| FLEXPOINT PPH AGGREGATOR LLC                                | FMV        | 2,870,249.               | 2,870,249.               |
| FLEXPOINT SPECIAL ASSETS FD LP                              | FMV        | 931,211.                 | 931,211.                 |
| FORESITE CAPITAL FD II LP                                   | FMV        | 13,237,486.              | 13,237,486.              |
| FORESITE CAPITAL FUND IV LP                                 | FMV        | 6,840,603.               | 6,840,603.               |
| FORESITE CAPITAL III LP                                     | FMV        | 14,209,518.              | 14,209,518.              |
| FORESITE CAPITAL V-B LLC<br>FORESITE CAPITAL V-C LLC        | FMV        | 2,718,063.               | 2,718,063.               |
| FORESITE CAPITAL V-C LLC<br>FORESITE CAPITAL V-D LLC        | FMV<br>FMV | 1,338,538.<br>1,866,763. | 1,338,538.<br>1,866,763. |
| FORTRESS INVEST FD III (FD-B)                               | FMV        | 665,392.                 | 665,392.                 |
| FORTRESS INVEST FD III (FD-B)<br>FORTRESS INVESTMENT FUND V | FMV        | 005,552.                 | 005,552.                 |
| (COINVESTMENT FUNDS)  | FMV        | 2,113,843.               | 2,113,843.               |
| FORTRESS INVESTMENT FUND V (FUND C)                         | FMV        | 2,113,043.               | 2,113,043.               |
| LP  | 1 11 0     | 16,945,395.              | 16,945,395.              |
| FORTRESS INVESTMENTS IV                                     | FMV        | 2,904,210.               | 2,904,210.               |
| FORUM ASIAN REALTY INCOME II LP                             | FMV        | 12,769.                  | 12,769.                  |
| FP DFT AGGREGATOR LLC                                       | FMV        | 2,501,214.               | 2,501,214.               |
| GENERATION IM GLOBAL EQUITY FUND                            | FMV        | _,,                      | _, · · _ , ·             |
| LLC   |            | 121,969,446.             | 121,969,446.             |
| GGV CAPITAL V   | FMV        | 15,495,807.              | 15,495,807.              |
| GGV CAPITAL VI LP   | FMV        | 5,875,495.               | 5,875,495.               |
| GGV CAPITAL VI PLUS LP                                      | FMV        | 1,081,475.               | 1,081,475.               |
| GGV DISCOVERY FD I LP                                       | FMV        | 4,685,162.               | 4,685,162.               |
| GLENDON OPPORTUNITIES FD LP                                 | FMV        | 18,589,575.              | 18,589,575.              |
| GLOBAL MKT NEUTRAL FUND B                                   | FMV        | 41,499,566.              | 41,499,566.              |
| GRAFTON DIRECT ASSETS STRATEGY LP                           | FMV        | 12,813,003.              | 12,813,003.              |
| GRAHAM PARTNERS II  | FMV        | 978,492.                 | 978,492.                 |
| GRAHAM PTNRS II CO-INVEST LP                                | FMV        | 65,098.                  | 65,098.                  |
| GRATICULE ASIA MACRO FUND                                   | FMV        | 42,188,605.              | 42,188,605.              |
| HAMPSHIRE PARTNERS FUND VIII                                | FMV        | 2,784,065.               | 2,784,065.               |
| HARVEST SMALL CAP OFFSHORE CLASS A                          | FMV        |                          |                          |
| SER 1   |            | 18,798,912.              | 18,798,912.              |
| HARVEST SMALL CAP OFFSHORE CLASS A                          | FMV        |                          |                          |
| SER 4 2017  |            | 459,614.                 | 459,614.                 |
| HARVEST SMALL CAP OFFSHORE CLASS A                          | FMV        |                          |                          |
| SERIES 12 2018  |            | 2,805,011.               | 2,805,011.               |
| HARVEST SMALL CAP OFFSHORE CLASS A                          | FMV        |                          |                          |
| SERIES 2 2018   |            | 506,747.                 | 506,747.                 |
| HARVEST SMALL CAP OFFSHORE LTD                              | FMV        | 1 401 533                | 1 401 500                |
| CLASS A SER 1 2017<br>HARVEST SMALL CAP OFFSHORE LTD        | T7 M 7 7   | 1,401,522.               | 1,401,522.               |
| CLASS A SER 2 2017  | FMV        | 704,108.                 | 704,108.                 |
| HARVEST SMALL CAP OFFSHORE LTD                              | FMV        | 704,108.                 | 704,100.                 |
| CLASS A SERIES 1 2018                                       | F M V      | 1,008,163.               | 1,008,163.               |
| HARVEST SMALL CAP OFFSHORE LTD                              | FMV        | 1,000,103.               | 1,000,105.               |
| CLASS A SERIES 11 2018                                      | 1.14.6     | 3,135,005.               | 3,135,005.               |
| HARVEST SMALL CAP OFFSHORE LTD                              | FMV        | 5,155,005.               | 5,155,005.               |
| CLASS A SERIES 12 2017                                      | 1.14.6     | 1,470,498.               | 1,470,498.               |
| HARVEST SMALL CAP OFFSHORE LTD                              | FMV        | 1,1,0,190.               | 1,170,190.               |
| CLASS A SERIES 7 2018                                       | 1 1/1 V    | 2,908,206.               | 2,908,206.               |
| HEMISFERIO SUL INVESTIMENTOS FD IV                          | FMV        | 1,261,725.               | 1,261,725.               |
| HEMISFERIO SUL INVESTIMENTOS FD IV                          | FMV        | -,-······                | -,-,-,·-,·               |
| (A)   |            | 1,261,725.               | 1,261,725.               |
| ·/  |            | , _, _,                  | , _, _, •                |

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| HEMISFERIO SUL INVESTIMENTOS FD IV                                      | FMV        |                        |             |
|---|------------|------------------------|-------------|
| (B)   |            | 1,261,725.             |             |
| HIGHFIELDS  | FMV        | 17,305,804.            |             |
| HIGHLAND CAPITAL PARTNERS V   | FMV        | 171,332.               |             |
| HIGHLAND CAPITAL PARTNERS VI L P  | FMV        | 724,715.               |             |
| HOSKING PARTNERS LLC FUND (HPGEF)                                       | FMV        | 96,168,840.            |             |
| HSI HIGH YIELD CREDIT I A LP  | FMV        | 429,328.               |             |
| HSI HIGH YIELD CREDIT I B LP  | FMV        | 429,328.               | 429,328.    |
| HSI HIGH YIELD CREDIT I CO- INVEST                                      | FMV        |                        |             |
| PADDOCK LP  |            | 156,262.               | 156,262.    |
| HSI HIGH YIELD CREDIT I CO-INVEST                                       | FMV        |                        |             |
| PADDOCK A   |            | 156,262.               | 156,262.    |
| HSI HIGH YIELD CREDIT I CO-INVEST                                       | FMV        | 156,060                | 150.000     |
| PADDOCK B   |            | 156,262.               | 156,262.    |
| HSI HIGH YIELD CREDIT I LP  | FMV        | 429,328.<br>1,755,309. | 429,328.    |
| HSI SPECIAL OPPORTUNITIES II (1) LP                                     | FMV        |                        |             |
| HSI SPECIAL OPPORTUNITIES II (2)<br>HSI SPECIAL OPPORTUNITIES II (3) LP | FMV        | 1,755,309.             |             |
|   | FMV        | 1,755,309.             | 1,042,000.  |
| HUTENSKY CAPITAL REIT 1<br>ICG COMMUNICATIONS INC WT EXP                | FMV<br>FMV | 1,042,000.             | 1,042,000.  |
| 10/10/07  | F M V      | 921.                   | 921.        |
| IDG ACCEL CHINA CAPITAL I   | FMV        | 13,267,307.            |             |
| IDG ACCEL CHINA GROWTH FD II  | FMV        | 33,979,659.            |             |
| IDG CHINA VENTURE CAP FD IV LP  | FMV        | 21,718,828.            | 21,718,828. |
| IDG-ACCEL CHINA CAPITAL FUND II   | FMV        | 21,710,020.            | 21,710,020. |
| L.P.  | F M V      | 12,789,318.            | 12,789,318. |
| IDG-ACCEL CHINA GROWTH FUND III LP                                      | FMV        | 15,929,738.            |             |
| IDG-ACCEL CHINA GROWTH FUND LP  | FMV        | 7,407,155.             |             |
| INSTITUTIONAL VENTURE L P IV  | FMV        | 1,187,822.             |             |
| JC FLOWERS II LP  | FMV        | 1,131,918.             |             |
| JUNIPER CAPITAL III LP  | FMV        | 1,828,637.             |             |
| KEP II INVESTORS LP   | FMV        | 3,646,037.             | 3,646,037.  |
| KEYWISE GREATER CHINA OPP FUND UR                                       | FMV        | -,,                    | -,,         |
| AAI INVESTMENT  | 1 11 4     | 234,225.               | 234,225.    |
| KILDARE EUROPEAN PARTNERS I LP  | FMV        |                        | 6,163,369.  |
| KING STREET CAPITAL II  | FMV        | 230,531.               | 230,531.    |
| LANSDOWNE UK EQUITY FUND LIMITED  | FMV        | 46,365,665.            | 46,365,665. |
| LASCAUX RESOURCE CAPITAL FUND I LP                                      | FMV        | 7,692,514.             | 7,692,514.  |
| LATIN POWER FD III  | FMV        | 815,711.               | 815,711.    |
| LC FUND V LP  | FMV        |                        | 10,032,140. |
| LIME ROCK PARTNERS III LP   | FMV        | 102,491.               | 102,491.    |
| LIME ROCK PARTNERS IV AF LP   | FMV        | 7,881,977.             | 7,881,977.  |
| LIME ROCK PARTNERS IV LP  | FMV        | 917,000.               | 917,000.    |
| LIME ROCK PARTNERS V LP   | FMV        | 6,862,312.             | 6,862,312.  |
| LITTLEJOHN FUND III LP  | FMV        | 265,271.               | 265,271.    |
| LITTLEJOHN FUND IV  | FMV        | 4,000,668.             | 4,000,668.  |
| LJ BRODER CO-INVEST II LLC  | FMV        | 933,179.               | 933,179.    |
| LJ BRODER CO-INVEST LLC   | FMV        | 3,703,896.             | 3,703,896.  |
| LNK PARTNERS II L.P.  | FMV        | 25,385,240.            | 25,385,240. |
| LNK PARTNERS III LP   | FMV        | 3,198,233.             | 3,198,233.  |
| LONE PINON LTD  | FMV        | 7,444,119.             | 7,444,119.  |
| LONE STAR FUND V (U.S.) L.P.  | FMV        | 1,885,240.             | 1,885,240.  |
| LONE STAR FUND VI U S LP  | FMV        | 3,126,606.             | 3,126,606.  |
| LONE STAR RE FUND II (US) LP  | FMV        | 578,103.               | 578,103.    |
| LONGREACH CAPITAL PARTNERS I LP   | FMV        | 5,286,559.             | 5,286,559.  |
| LONGREACH CAPITAL PTNRS 2-USD LP  | FMV        | 10,185,303.            | 10,185,303. |
| LONGREACH CP 3 USD LP   | FMV        | 1,754,507.             | 1,754,507.  |
| LVM LP CO INVESTMENT LP   | FMV        | 2,126,415.             | 2,126,415.  |
|   |            |                        |             |
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| LYME FOREST FUND   | FMV            | 27,800.     | 27,800.     |
| LYME FOREST FUND III   | FMV            | 2,890,000.  | 2,890,000.  |
| M + H REALTY PART V LP   | FMV            | 1,215,541.  | 1,215,541.  |
| MAGELLAN-FRONTEGRA   | FMV            | 67,193,254. | 67,193,254. |
| MAP 2006   | FMV            | 11,649,603. | 11,649,603. |
| MARATHON SPECIAL OPPORTUNITY FUND                                | FMV            | 342,342.    | 342,342.    |
| MERIT ENERGY PARTNERS E-1  | FMV            | 486,481.    | 486,481.    |
| MERIT ENERGY PARTNERS F-I LP                                     | FMV            | 62,957.     | 62,957.     |
| MISSIONPOINT CAPITAL PARTNERS FUND                               | FMV            |             |             |
| I LP   |                | 4,173,401.  | 4,173,401.  |
| MODERN RESOURCES INC   | FMV            | 652,834.    | 652,834.    |
| MOHR DAVIDOW VENTURES IX   | FMV            | 8,920,082.  | 8,920,082.  |
| MOHR DAVIDOW VENTURES VI LP                                      | FMV            | 2,235,489.  | 2,235,489.  |
| MOHR DAVIDOW VENTURES VII LP                                     | FMV            | 1,078,181.  | 1,078,181.  |
| MOHR DAVIDOW VENTURES VIII                                       | FMV            | 1,586,397.  | 1,586,397.  |
| MORGAN STANLEY-PRIVATE EQUITY ASIA                               | FMV            |             |             |
| LP   |                | 1,537,212.  | 1,537,212.  |
| NATIONAL CAPITAL PROP III  | FMV            | 33,162.     | 33,162.     |
| NATIONAL CAPITAL PROPERTIES IV                                   | FMV            | 4,789,883.  | 4,789,883.  |
| NEA IX LP  | FMV            | 472,717.    | 472,717.    |
| NEUBERGER BERMAN CHINA EQUITY                                    | FMV            | 55,162,513. | 55,162,513. |
| NEW ENTERPRISE ASSOCIATES X                                      | FMV            | 1,344,899.  | 1,344,899.  |
| NEW ENTERPRISE ASSOCIATES XII LP                                 | FMV            | 734,290.    | 734,290.    |
| NEW ENTERPRISE XI  | FMV            | 1,274,958.  | 1,274,958.  |
| NORTH BRIDGE GROWTH I LP   | FMV            | 1,657,720.  | 1,657,720.  |
| OAKTREE CAP MGMT OPP FD IV LP                                    | FMV            | 123.        | 123.        |
| OCM OPPORTUNITIES FUND III LP                                    | FMV            | 2,252.      | 2,252.      |
| ONYX LATIN AMERICA EQUITY FD                                     | FMV            | 18,558,612. | 18,558,612. |
| ORION EUR RE II EURO   | FMV            | 11,516.     | 11,516.     |
| ORION EUROPEAN REAL ESTATE III                                   | FMV            | 1,332,452.  | 1,332,452.  |
| OZ EUROPE OVERSEAS FUND LTD CLASS B<br>OZ OVERSEAS               | FMV            | 120,619.    | 120,619.    |
| OZ OVERSEAS<br>PALOMA INT FI ALPHA                               | FMV            | 1,861,879.  | 1,861,879.  |
| PALOMA INT FI ALPHA<br>PALOMA INT'L CLASS C USD LEAD             | FMV            | 30,072,043. | 30,072,043. |
| SERIES   | FMV            | 26,917,855. | 26,917,855. |
| PALOMA INT'L CLASS D USD LEAD                                    | FMV            | 20,917,055. | 20,917,055. |
| SERIES   | ΓMV            | 21 222 915  | 21,333,815. |
| PARK WEST CLASS A SERIES 28 AUGST                                |                | 21,333,815. | 21,333,013. |
| ISSUE  | FMV            | 36,100,468. | 36,100,468. |
| PARK WEST CLASS A SERIES 30                                      | FMV            | 18,800,100. | 18,800,100. |
| PARK WEST CLASS A SERIES 50<br>PARVUS EURO ABS OPP FD JUL2016    | FMV<br>FMV     | 4,672,121.  | 4,672,121.  |
| PARVUS EURO ABS OPP FD 5012010<br>PARVUS EURO ABS OPP FD SEP2015 | FMV            | 24,235,794. | 24,235,794. |
| PEARL CIII HOLDINGS LP   | FMV            | 3,177,413.  | 3,177,413.  |
| PEARL ENERGY INVESTMENTS II LP                                   | FMV            | 1,093,235.  | 1,093,235.  |
| PEEPUL CAPITAL II LLC  | FMV            | 6,666,912.  | 6,666,912.  |
| PENDING SECURITY TRANSACTIONS &                                  | FMV            | 0,000,512.  | 0,000,912.  |
| FUNDING - NET  | 1.11.4         | 7,516,838.  | 7,516,838.  |
| PERCEPTIVE LIFE SCI CLASS AA                                     | FMV            | 40,686,833. | 40,686,833. |
| POINTSTATE FUND  | FMV            | 35,123,931. | 35,123,931. |
| PRIVATVARDE FUND LP  | FMV            | 4,731.      | 4,731.      |
| PROSPERITAS RE PARTNERS III LP                                   | FMV            | 35,015.     | 35,015.     |
| PROSPERITAS RE PARINERS III LF<br>PROSPERITAS RE PTNRS III A LP  | FMV            | 35,004.     | 35,013.     |
| PROSPERITAS RE PINKS III A DP<br>PROSPERITAS RE PINKS III B LP   | FMV            | 34,999.     | 34,999.     |
| QUANTUM ENERGY PARTNERS IV                                       | FMV            | 2,525,000.  | 2,525,000.  |
| QUANTUM ENERGY PARTNERS V  | FMV            | 7,196,000.  | 7,196,000.  |
| REDPOINT OMEGA   | FMV            | 8,271,903.  | 8,271,903.  |
| REDPOINT VENTURES II   | FMV            | 298,168.    | 298,168.    |
| REDPOINT VENTURES III  | FMV            | 2,612,952.  | 2,612,952.  |
|  | <b>·</b> · · · | _,,         | _,,         |
|  |                | 0.00        |             |

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| RESOURCE CAPITAL FUND VI LP<br>ROCKLAND POWER PARTNERS LP     | FMV        | 14,689,288.               | 14 600 000                |
|---|------------|---------------------------|---------------------------|
| DOCKLAND DOWED DADENFOG LD                                    |            | ,                         | 14,689,288.               |
|   | FMV        | 3,211,046.                | 3,211,046.                |
| RWC EM MKT EQTY FD  | FMV        | 61,462,948.               | 61,462,948.               |
| SB ASIA INVESTMENT FUND II LP                                 | FMV        | 4,129,788.                | 4,129,788.                |
| SCGE OFFSHORE FUND  | FMV        | 59,284,016.               | 59,284,016.               |
| SEQUOIA CAP CHINA GR FD V LP                                  | FMV        | 8,386,261.                | 8,386,261.                |
| SEQUOIA CAP CHINA GROWTH FD I                                 | FMV        | 10,554,299.               | 10,554,299.               |
| SEQUOIA CAP CHINA GROWTH FD III LP                            | FMV        | 42,039,691.               | 42,039,691.               |
| SEQUOIA CAP CHINA GROWTH IV LP                                | FMV        | 25,116,116.               | 25,116,116.               |
| SEQUOIA CAP CHINA SEED FD I LP                                | FMV        | 395,249.                  | 395,249.                  |
| SEQUOIA CAP CHINA VENTURE 2010                                | FMV        | 8,731,248.                | 8,731,248.                |
| SEQUOIA CAP CHINA VENTURE FD IV                               | FMV        | 11,023,725.               | 11,023,725.               |
| SEQUOIA CAP CHINA VENTURE V LP                                | FMV        | 12,410,293.               | 12,410,293.               |
| SEQUOIA CAP CHINA VENTURE VI LP                               | FMV        | 7,590,281.                | 7,590,281.                |
| SEQUOIA CAP CN VENT FD VII LP<br>SEOUOIA CAP GBL GROWTH FD II | FMV        | 3,343,676.<br>30,912,669. | 3,343,676.                |
| SEQUOIA CAP GBL GROWTH FD II<br>SEQUOIA CAP GROWTH FUND III   | FMV<br>FMV | 5,623,276.                | 30,912,669.<br>5,623,276. |
| SEQUOIA CAP GROWIN FOND III<br>SEQUOIA CAP INDIA FD IV LP     | FMV        | 26,676,489.               | 26,676,489.               |
| SEQUOIA CAP INDIA FD IV HF<br>SEQUOIA CAP ISRAEL VENTURE FD V | FMV        | 8,997,754.                | 8,997,754.                |
| SEQUOIA CAP US GR FD VIII LP                                  | FMV        | 4,912,989.                | 4,912,989.                |
| SEQUOIA CAP US GROWTH FD VIII LP                              | FMV        | 16,466,065.               | 16,466,065.               |
| SEQUOIA CAP US GROWTH FD VII LP                               | FMV        | 14,947,927.               | 14,947,927.               |
| SEQUOIA CAP US SCOUT SEED FD III LP                           | FMV        | 639,820.                  | 639,820.                  |
| SEQUOIA CAP US VENT FD XVI LP                                 | FMV        | 1,346,033.                | 1,346,033.                |
| SEQUOIA CAP US VENTURE 2010                                   | FMV        | 39,744,009.               | 39,744,009.               |
| SEQUOIA CAP US VENTURE FD XV LP                               | FMV        | 9,467,018.                | 9,467,018.                |
| SEQUOIA CAPITAL CHINA GR 2010 LP                              | FMV        | 7,277,411.                | 7,277,411.                |
| SEQUOIA CAPITAL CHINA I LP                                    | FMV        | 9,138,454.                | 9,138,454.                |
| SEQUOIA CAPITAL CHINA II                                      | FMV        | 15,889,719.               | 15,889,719.               |
| SEQUOIA CAPITAL GLOBAL GROWTH FD                              | FMV        | 23,332,995.               | 23,332,995.               |
| SEQUOIA CAPITAL INDIA GROWTH FD II                            | FMV        | 5,596,388.                | 5,596,388.                |
| SEQUOIA CAPITAL INDIA GROWTH FUND I                           | FMV        | 1,695,383.                | 1,695,383.                |
| SEQUOIA CAPITAL INDIA III LP                                  | FMV        | 2,593,574.                | 2,593,574.                |
| SEQUOIA CAPITAL INDIA V LTD                                   | FMV        | 12,599,960.               | 12,599,960.               |
| SEQUOIA CAPITAL INDIA VI LTD                                  | FMV        | 2,121,734.                | 2,121,734.                |
| SEQUOIA CAPITAL ISRAEL III                                    | FMV        | 1,556,680.                | 1,556,680.                |
| SEQUOIA CAPITAL ISRAEL IV LP                                  | FMV        | 3,651,818.                | 3,651,818.                |
| SEQUOIA CAPITAL IX LP   | FMV        | 127,820.                  | 127,820.                  |
| SEQUOIA CAPITAL U S SCOUT SEED                                | FMV        | 257 025                   | 257 025                   |
| FUND 2013 L P   | T71M37     | 357,825.                  | 357,825.                  |
| SEQUOIA CAPITAL U.S. GROWTH FUND V<br>L.P.                    | FMV        | 19,601,248.               | 19,601,248.               |
| SEQUOIA CAPITAL US GROWTH FUND IV                             | FMV        | 19,001,240.               | 19,001,240.               |
| LP  | 1.11.0     | 15,295,042.               | 15,295,042.               |
| SEQUOIA CAPITAL US VENTURE 2010                               | FMV        | ,                         | ,                         |
| FUND-SEED FUND  | 1 11 0     | 5,591,151.                | 5,591,151.                |
| SEQUOIA CAPITAL US VENTURE FUND XIV                           | FMV        | 24,084,198.               | 24,084,198.               |
| SEQUOIA CAPITAL X LP  | FMV        | 305,874.                  | 305,874.                  |
| SEQUOIA CAPITAL XI LP   | FMV        | 81,505.                   | 81,505.                   |
| SEQUOIA CAPITAL XII LP  | FMV        | 27,818,987.               | 27,818,987.               |
| SFC ENERGY PARTNERS II-B                                      | FMV        | 6,878,452.                | 6,878,452.                |
| SG GROWTH PARTNERS II LP                                      | FMV        | 20,029,980.               | 20,029,980.               |
| SG GROWTH PARTNERS III LP                                     | FMV        | 20,660,105.               | 20,660,105.               |
| SG III CO-INVEST I LP   | FMV        | 5,163,213.                | 5,163,213.                |
| SILCHESTER INTL INV TOBACCO                                   | FMV        | 96,110,583.               | 96,110,583.               |
| SOWOOD ALPHA FUND   | FMV        | 95,846.                   | 95,846.                   |
| SUMMIT PARTNERS EUROPE PE LP                                  | FMV        | 6,109,730.                | 6,109,730.                |

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| SUMMIT PARTNERS PRIVATE EQUITY FUND    | FMV |                |                |
|--|-----|----------------|----------------|
| VII-A LP                               |     | 1,965,947.     | 1,965,947.     |
| SUMMIT PTNRS EUROPE GROWTH EQUITY      | FMV |                |                |
| FD II SCSP                             |     | 2,453,391.     | 2,453,391.     |
| SUMMIT VENTURES II-A                   | FMV | 316,830.       | 316,830.       |
| SUMMITT VENTURES VI                    | FMV | 386,927.       | 386,927.       |
| THE CHILDRENS INVESTMENT FUND -        | FMV |                |                |
| CLASS D1                               |     | 148,152,818.   | 148,152,818.   |
| THINK INVESTMENTS OFFSHORE LTD         | FMV | 15,458,460.    | 15,458,460.    |
| THINK INVESTMENTS TRANCHE 01 D11       | FMV | 285,690.       | 285,690.       |
| THINK INVESTMENTS TRANCHE 01 NSE       | FMV | 672,328.       | 672,328.       |
| TIGER GLOBAL PRVT INV PRTNRS V         | FMV | 2,429,130.     | 2,429,130.     |
| TIGER GLOBAL PVT INVESTMENT FUND IV    | FMV | 730,171.       | 730,171.       |
| TPG AXON PART FEE RESERVE              | FMV | 22,834.        | 22,834.        |
| TPG AXON PARTNERS (OFFSHORE)           | FMV | 919,443.       | 919,443.       |
| TRIVEST CHINA FOCUS FUND               | FMV | 46,774,202.    | 46,774,202.    |
| TRIVEST CHINA FOCUS FUND B ( DI-2)     | FMV |                |                |
| SHARES                                 |     | 868,269.       | 868,269.       |
| TRIVEST CHINA FOCUS FUND B (DI-1)      | FMV |                |                |
| SHARES                                 |     | 2,171,585.     | 2,171,585.     |
| UNICORN PARTNERS FD II LP              | FMV | 811,308.       | 811,308.       |
| UNICORN PARTNERS FD ZERO LP            | FMV | 6,067,044.     | 6,067,044.     |
| UNICORN PARTNERS FUND I LP             | FMV | 2,547,208.     | 2,547,208.     |
| US VENTURE PARTNERS IX LP              | FMV | 3,477,140.     | 3,477,140.     |
| US VENTURE PARTNERS VII                | FMV | 778,242.       | 778,242.       |
| US VENTURE PARTNERS VIII LP            | FMV | 245,240.       | 245,240.       |
| VARDE FUND IX LP                       | FMV | 174,115.       | 174,115.       |
| VARDE FUND VIII LP                     | FMV | 112,084.       | 112,084.       |
| VESTAR CAPITAL PARTNERS III LP         | FMV | 55,695.        | 55,695.        |
| VESTAR CAPITAL PARTNERS IV LP          | FMV | 219,543.       | 219,543.       |
| WELLINGTON BAY POND                    | FMV | 29,242,628.    | 29,242,628.    |
| WELLINGTON SPINDRIFT PARTNERS          | FMV | 1,898.         | 1,898.         |
| WTC-CTF EMO FUND                       | FMV | 55,711,851.    | 55,711,851.    |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |     | 4,183,874,772. | 4,183,874,772. |

# FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT ST

| TATEMENT | 13 |  |
|----------|----|--|
|          |    |  |

| DESCRIPTION  | COST OR<br>OTHER BASIS | ACCUMULATED<br>DEPRECIATION | BOOK VALUE |
|--|------------------------|-----------------------------|------------|
| CONDOMINIUM INTEREST IN 420<br>FIFTH AVE AND IMPROVEMENTS<br>FURNITURE, FIXTURES AND | 30,483,582.            | 23,353,283.                 | 7,130,299. |
| EQUIPMENT  | 18,112,218.            | 15,780,043.                 | 2,332,175. |
| TOTAL TO FM 990-PF, PART II, LN 14   | 48,595,800.            | 39,133,326.                 | 9,462,474. |

| FORM 990-PF                       | OTHER ASSETS                  |                           | STATEMENT 14         |
|-----------------------------------|-------------------------------|---------------------------|----------------------|
| DESCRIPTION                       | BEGINNING OF<br>YR BOOK VALUE | END OF YEAR<br>BOOK VALUE | FAIR MARKET<br>VALUE |
| PROGRAM RELATED INVESTMENTS       | 24,717,843.                   | 32,382,255.               | 32,382,255.          |
| PREPAID PENSION COST              | 21,596,290.                   | 22,184,494.               | 22,184,494.          |
| REDEMPTIONS, DIVIDENDS, INTEREST, |                               |                           |                      |
| AND OTHER RECEIVABLES             | 404,115.                      | 499,219.                  | 499,219.             |
| PREPAID FEDERAL EXCISE AND        |                               |                           |                      |
| UNRELATED BUSINESS INCOME TAXES   | 3,040,659.                    | 2,371,992.                | 2,371,992.           |
| INTEREST RATE SWAP ASSET          | 12,082.                       | 93,437.                   | 93,437.              |
| TO FORM 990-PF, PART II, LINE 15  | 49,770,989.                   | 57,531,397.               | 57,531,397.          |

FORM 990-PF

OTHER LIABILITIES

STATEMENT 15

| DESCRIPTION   | BOY AMOUNT                                | EOY AMOUNT                               |
|---|---|--|
| POSTRETIREMENT BENEFIT OBLIGATION<br>DEFERRED FEDERAL EXCISE TAX<br>TERM LOAN PAYABLE | 21,967,834.<br>21,439,000.<br>10,095,069. | 21,403,503.<br>16,294,000.<br>8,364,486. |
| TOTAL TO FORM 990-PF, PART II, LINE 22  | 53,501,903.                               | 46,061,989.                              |

| FORM 990-PF | NAME OF FOREIGN COUNT  | FRY IN WHICH   | STATEMENT 16 |
|-------------|------------------------|----------------|--------------|
|             | ORGANIZATION HAS FINAN | NCIAL INTEREST |              |

NAME OF COUNTRY

INDIA ITALY UNITED KINGDOM KENYA THAILAND

1,611,106.

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| FORM 990-PF                          | TRANSFERS TO CONTROLLED ENTITIES<br>PART VII-A, LINE 11   | STATEMENT 17          |
|--------------------------------------|---|-----------------------|
| NAME OF CONTROLI                     | LED ENTITY  | EMPLOYER ID NO        |
| SMART POWER FOR<br>STMT 27)          | RURAL DEVELOPMENT INDIA FOUNDATION (SEE                   | 12-3456789            |
| ADDRESS                              |   |                       |
| C/O SCORPIO CORI<br>, NEW DELHI, INI | PORATE ADVISORSS-405 (LGF), GREATER KAILASH<br>DIA 110048 | - II                  |
| DESCRIPTION OF                       | TRANSFER  |                       |
| OPERATIONAL FUNI                     | DING  |                       |
|                                      |   | AMOUNT<br>OF TRANSFER |
|                                      |   |                       |

TOTAL AMOUNT OF TRANSFERS TO CONTROLLED ENTITIES

13-1659629

10,000,000.

10,000,000.

| PART VII-A, LINE 11          NAME OF CONTROLLED ENTITY       EMPLOYER ID NO         HOSKING PARTNERS EQUITY FUND LLC (SEE STMT 27)       32-2587661         ADDRESS       IANDMARK SQUARE WEST BAY ROAD PO BOX 775         GRAND CAYMAN, CAYMAN ISLANDS KY1-9006       DESCRIPTION OF TRANSFER |                 |                                  |                |
|--|-----------------|----------------------------------|----------------|
| HOSKING PARTNERS EQUITY FUND LLC (SEE STMT 27) 32-2587661<br>ADDRESS<br>LANDMARK SQUARE WEST BAY ROAD PO BOX 775<br>GRAND CAYMAN, CAYMAN ISLANDS KY1-9006<br>DESCRIPTION OF TRANSFER<br>DISTRIBUTION<br>AMOUNT   | FORM 990-PF     |                                  | STATEMENT 18   |
| ADDRESS<br>  | NAME OF CONTROI | LED ENTITY                       | EMPLOYER ID NO |
| LANDMARK SQUARE WEST BAY ROAD PO BOX 775<br>GRAND CAYMAN, CAYMAN ISLANDS KY1-9006<br>DESCRIPTION OF TRANSFER<br>DISTRIBUTION<br>AMOUNT   | HOSKING PARTNER | RS EQUITY FUND LLC (SEE STMT 27) | 32-2587661     |
| GRAND CAYMAN, CAYMAN ISLANDS KY1-9006 DESCRIPTION OF TRANSFER DISTRIBUTION AMOUNT  | ADDRESS         |                                  |                |
| DISTRIBUTION   |                 |                                  |                |
| AMOUNT   | DESCRIPTION OF  | TRANSFER                         |                |
|  | DISTRIBUTION    |                                  |                |
|  |                 |                                  |                |
|  |                 |                                  |                |

TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES

FORM 990-PF

# PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 19

| NAME AND ADDRESS   | TITLE AND<br>AVRG HRS/WK |         |    |    |
|--|--------------------------|---------|----|----|
| RICHARD D. PARSONS<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018       | TRUSTEE, CHAIR<br>2.00   | 10,000. | 0. | 0. |
| HELENE GAYLE<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018             | TRUSTEE<br>2.00          | 19,626. | 0. | 0. |
| MELLODY HOBSON<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018           | TRUSTEE<br>2.00          | 5,000.  | 0. | 0. |
| WALTER ISAACSON<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018          | TRUSTEE<br>2.00          | 7,000.  | 0. | 0. |
| DONALD KABERUKA<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018          |                          | 7,500.  | 0. | 0. |
| MARTIN LEIBOWITZ<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018         | TRUSTEE<br>2.50          | 9,500.  | 0. | 0. |
| YIFEI LI<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                 | TRUSTEE<br>2.00          | 7,000.  | 0. | 0. |
| STRIVE MASIYIWA<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018          | TRUSTEE<br>2.50          | 5,000.  | 0. | 0. |
| NGOZI OKONJO-IWEALA<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018      | TRUSTEE<br>2.00          | 5,000.  | 0. | 0. |
| SHARON PERCY ROCKEFELLER<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018 | TRUSTEE<br>3.00          | 8,000.  | 0. | 0. |

| THE ROCKEFELLER FOUNDATION   |                           |                               | 13-                | 1659629 |
|--|---------------------------|-------------------------------|--------------------|---------|
| JOHN W. ROWE<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                       | TRUSTEE<br>2.50           | 15,796.                       | 0.                 | Ο.      |
| JAMES G. STAVRIDIS<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                 | TRUSTEE<br>2.50           | 9,500.                        | 0.                 | 0.      |
| RAVI VENKATESAN<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                    | TRUSTEE<br>2.00           | 12,628.                       | 0.                 | Ο.      |
| RAJEEV SHAH<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                        | PRESIDENT<br>45.00        |                               | 75,449.            | Ο.      |
| STEVEN VANROEKEL - CHIEF OPERATING<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018 |                           | EFFECTIVE SEPT.<br>110,769.   |                    | 0.      |
| PATRICK BRENNAN - CHIEF OPERATING<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10019  |                           | THROUGH SEPTEMBE 407,201.     |                    | Ο.      |
| PETER MADONIA - CHIEF OPERATING<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018    |                           | THROUGH FEBRUARY<br>101,835.  | 2018<br>9,760.     | 0.      |
| DONNA J. DEAN<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                      | CHIEF INV<br>45.00        | ESTMENT OFFICER<br>1,169,402. | 57,227.            | 0.      |
| DOMINICK IMPEMBA - TREASURER & CFO<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018 | <b>EFFECTIVE</b><br>45.00 |                               | 75,461.            | ٥.      |
| ELLEN TAUS<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                         | <b>TREASURER</b><br>45.00 | & CFO THROUGH JU<br>230,474.  | LY 2018<br>42,554. | Ο.      |
| SHARI PATRICK - GENERAL COUNSEL<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018    | AND CORP.<br>45.00        | SECRETARY<br>489,030.         | 74,532.            | 0.      |
| ZIA KHAN<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018                           | VICE PRES                 | IDENT<br>489,218.             | 54,388.            | 0.      |
|  |                           |                               |                    |         |

| THE ROCKEFELLER FOUNDATION  |                         |            | 13-1     | 659629 |
|---|-------------------------|------------|----------|--------|
| CHRISTINE M. HEENAN<br>C/O FOUNDATION, 420 FIFTH AVENUE<br>NEW YORK, NY 10018 | VICE PRESIDENT<br>45.00 | 387,578.   | 52,842.  | 0.     |
| TOTALS INCLUDED ON 990-PF, PAGE 6,  | PART VIII               | 4,979,358. | 509,112. | 0.     |

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EXPENDITURE RESPONSIBILITY STATEMENT PART VII-B, LINE 5C STATEMENT 20

#### GRANTEE'S NAME

FORM 990-PF

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS

512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W) MUMBAI, INDIA, 400 064

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | <del></del>   |                 |
| 361,843.     | 06/30/09      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/16/18, 011/15/18, 02/05/19, 05/16/19, 08/19/19, 09/06/19

ANY DIVERSION BY GRANTEE

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS

512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W) MUMBAI, INDIA, 400 064

 $\frac{\text{GRANT AMOUNT}}{4^{93,281.}} \qquad \frac{\text{DATE OF GRANT}}{11/16/10} \qquad \frac{\text{AMOUNT EXPENDED}}{0.}$ 

### PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/16/18, 011/15/18, 02/05/19, 05/16/19, 08/19/19, 09/06/19

ANY DIVERSION BY GRANTEE

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS

512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W) MUMBAI, INDIA, 400 064

 $\frac{\text{GRANT AMOUNT}}{135,646.} \qquad \frac{\text{DATE OF GRANT}}{11/15/11} \qquad \frac{\text{AMOUNT EXPENDED}}{0.}$ 

## PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/16/18, 011/15/18, 02/05/19, 05/16/19, 08/19/19, 09/06/19

ANY DIVERSION BY GRANTEE

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS

512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W) MUMBAI, INDIA, 400 064

PURPOSE OF GRANT

PAYMENT TOWARD A \$80,000 PROGRAM-RELATED INVESTMENT IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/16/18, 011/15/18, 02/05/19, 05/16/19, 08/19/19, 09/06/19

ANY DIVERSION BY GRANTEE

ACRE CAPITAL LIMITED

GRANTEE'S ADDRESS

SHOEMAKER HOUSE, 37 GREAT GUILDFORD STREET, SEI 0ES LONDON, UNITED KINGDOM

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 400,840. | 11/08/18      | 77,566.         |

### PURPOSE OF GRANT

IN SUPPORT OF THE DESIGN OF AN IMPACT INVESTMENT VEHICLE THAT WOULD LEVERAGE EXPORT CREDIT AGENCY CAPITAL TO FUND SOCIAL INFRASTRUCTURE PROJECTS IN EMERGING MARKETS

#### DATES OF REPORTS BY GRANTEE

04/30/19, 07/03/19

ANY DIVERSION BY GRANTEE

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS

76 NINTH AVENUE SUITE 315 NEW YORK, NY 10011

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 630,000.     | 12/29/08      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/30/18,08/29/18, 09/30/18, 01/23/19,05/06/19,08/20/19

ANY DIVERSION BY GRANTEE

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS

76 NINTH AVENUE, SUITE 315 NEW YORK, NY 10011

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 875,000.     | 11/13/11      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/30/18,08/29/18, 09/30/18, 01/23/19,05/06/19,08/20/19

ANY DIVERSION BY GRANTEE

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS

76 NINTH AVENUE, SUITE 315 NEW YORK, NY 10011

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 1,750,000. | 12/19/13      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/30/18,08/29/18, 09/30/18, 01/23/19,05/06/19,08/20/19

ANY DIVERSION BY GRANTEE

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS

76 NINTH AVENUE, SUITE 315 NEW YORK, NY 10011

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 125,543. | 01/13/17      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/30/18,08/29/18, 09/30/18, 01/23/19,05/06/19,08/20/19

ANY DIVERSION BY GRANTEE

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS

76 NINTH AVENUE, SUITE 315 NEW YORK, NY 10011

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 119,457.     | 11/29/18      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA

DATES OF REPORTS BY GRANTEE

01/23/19,05/06/19,08/20/19

ANY DIVERSION BY GRANTEE

AFRICA KNOWS PHOTOGRAPHY LIMITED

GRANTEE'S ADDRESS

PO BOX 68151-00200 NAIROBI, KENYA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 5,000.       | 03/06/18      | 5,000.          |

### PURPOSE OF GRANT

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM EMERGING LEADERS THROUGHOUT SUB-SAHARAN AFRICA ON THE MOST PROMISING SOLUTIONS TO ECONOMIC, SOCIAL, AND ENVIRONMENTAL CHALLENGES FOR POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

02/07/18

ANY DIVERSION BY GRANTEE

AFRICA PRACTICE EAST AFRICA LIMITED

GRANTEE'S ADDRESS

14 CAMBRIDGE COURT LONDON, UNITED KINGDOM, W6 7NJ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 8,418.       | 04/25/18      | 8,418.          |

## PURPOSE OF GRANT

IN SUPPORT OF INCREASING AWARENESS OF THE ISSUE OF POSTHARVEST LOSS IN SPECIFIC COUNTRIES OF SUB-SAHARA AFRICA AND ACROSS VALUE CHAINS

### DATES OF REPORTS BY GRANTEE

12/21/17

ANY DIVERSION BY GRANTEE

AFRICA PRACTICE EAST AFRICA LIMITED

GRANTEE'S ADDRESS

14 CAMBRIDGE COURT LONDON, UNITED KINGDOM, W6 7NJ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 24,000.      | 04/25/18      | 24,000.         |

## PURPOSE OF GRANT

IN SUPPORT OF INCREASING AWARENESS OF THE ISSUE OF POSTHARVEST LOSS IN SPECIFIC COUNTRIES OF SUB-SAHARA AFRICA AND ACROSS VALUE CHAINS

### DATES OF REPORTS BY GRANTEE

12/21/17

ANY DIVERSION BY GRANTEE

AFRICA TWENTY-FOUR MEDIA, LTD.

GRANTEE'S ADDRESS

P.O.BOX 1403 00502 NAIROBI, KENYA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 20,000.      | 01/31/18      | 20,000.         |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING MEDIA COLLATERAL TO RAISE AWARENESS ABOUT POST-HARVEST LOSS TO DIFFERENT STAKEHOLDERS IN THE AGRICULTURE SECTOR

DATES OF REPORTS BY GRANTEE

04/04/18, 0515/18, 05/16/18, 06/28/18

ANY DIVERSION BY GRANTEE

AFRICA TWENTY-FOUR MEDIA, LTD.

GRANTEE'S ADDRESS

P.O.BOX 1403 00502 NAIROBI, KENYA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 5,000.       | 09/07/18      | 5,000.          |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING MEDIA COLLATERAL TO RAISE AWARENESS ABOUT POST-HARVEST LOSS TO DIFFERENT STAKEHOLDERS IN THE AGRICULTURE SECTOR

DATES OF REPORTS BY GRANTEE

06/28/18

ANY DIVERSION BY GRANTEE

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESS

PLOT 4 CHWA II ROAD, MBUYA HILL KAMPALA, UGANDA

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 30,411. | 11/16/11      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/29/17,03/01/18,05/30/18, 01/04/19, 01/25/19, 08/15/19

ANY DIVERSION BY GRANTEE

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESS

PLOT 4 CHWA II ROAD, MBUYA HILL KAMPALA, UGANDA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          | <u> </u>      |                 |
|       | 598,025. | 12/10/12      | 0.              |

#### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/29/17,03/01/18,05/30/18, 01/04/19, 01/25/19, 08/15/19

ANY DIVERSION BY GRANTEE

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESS

PLOT 4 CHWA II ROAD, MBUYA HILL KAMPALA, UGANDA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               | <del></del>     |
|       | 358,758. | 12/16/13      | 0.              |

#### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/29/17,03/01/18,05/30/18, 01/04/19, 01/25/19, 08/15/19

ANY DIVERSION BY GRANTEE

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESS

PLOT 4 CHWA II ROAD, MBUYA HILL KAMPALA, UGANDA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 306,000. | 11/26/14      | 0.              |

#### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/29/17,03/01/18,05/30/18, 01/04/19, 01/25/19, 08/15/19

ANY DIVERSION BY GRANTEE

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESS

PLOT 4 CHWA II ROAD, MBUYA HILL KAMPALA, UGANDA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | <u> </u>      |                 |
| 192,000.     | 10/27/15      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/29/17,03/01/18,05/30/18, 01/04/19, 01/25/19, 08/15/19

ANY DIVERSION BY GRANTEE

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESS

PLOT 4 CHWA II ROAD, MBUYA HILL KAMPALA, UGANDA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               |                 |
|       | 110,000. | 09/13/16      | 0.              |

#### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/29/17,03/01/18,05/30/18, 01/04/19, 01/25/19, 08/15/19

ANY DIVERSION BY GRANTEE

AID TECHNOLOGY LIMITED

GRANTEE'S ADDRESS

THE DIGITAL HUB, ROE LANE DUBLIN, IRELAND, D08 TCV4

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 11/29/17      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF IMPLEMENTING TWO DISCRETE PILOT PROJECTS THAT DEMONSTRATE THE TRANSFORMATIVE POTENTIAL OF BLOCKCHAIN TECHNOLOGY FOR SOCIAL IMPACT

#### DATES OF REPORTS BY GRANTEE

07/3/18,07/17/18, 12/10/18

ANY DIVERSION BY GRANTEE

AID TECHNOLOGY LIMITED

GRANTEE'S ADDRESS

THE DIGITAL HUB, ROE LANE DUBLIN, IRELAND, D08 TCV4

| GRANT AMOUNT | DATE    | OF GRANT | AMOUNT | EXPENDED |
|--------------|---------|----------|--------|----------|
| 80           | ,000. 0 | 8/30/18  |        | 80,000.  |

PURPOSE OF GRANT

IN SUPPORT OF IMPLEMENTING TWO DISCRETE PILOT PROJECTS THAT DEMONSTRATE THE TRANSFORMATIVE POTENTIAL OF BLOCKCHAIN TECHNOLOGY FOR SOCIAL IMPACT

DATES OF REPORTS BY GRANTEE

12/10/18

ANY DIVERSION BY GRANTEE

APCO WORLDWIDE INC.

GRANTEE'S ADDRESS

1299 PENNSYLVANIA AVE NW WASHINGTON, DC 20004

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          | <del></del>   |                 |
|       | 275,000. | 07/13/17      | 275,000.        |

PURPOSE OF GRANT

IN SUPPORT OF ADVANCING FRESHWATER RESILIENCE GLOBALLY, INCLUDING THE COSTS OF FACILITATING A CONFERENCE ON WATER VALUATION TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, AND SUPPORTING A CONVENING OF GLOBAL LEADERS ON WORLD WATER DAY

DATES OF REPORTS BY GRANTEE

08/21/17,06/21/18

ANY DIVERSION BY GRANTEE

APCO WORLDWIDE INC.

GRANTEE'S ADDRESS

1299 PENNSYLVANIA AVE NW WASHINGTON, DC 20004

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 81,250.      | 10/04/17      | 81,250.         |

PURPOSE OF GRANT

IN SUPPORT OF ADVANCING FRESHWATER RESILIENCE GLOBALLY, INCLUDING THE COSTS OF FACILITATING A CONFERENCE ON WATER VALUATION TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, AND SUPPORTING A CONVENING OF GLOBAL LEADERS ON WORLD WATER DAY

DATES OF REPORTS BY GRANTEE

06/21/18

ANY DIVERSION BY GRANTEE

APCO WORLDWIDE INC.

GRANTEE'S ADDRESS

1299 PENNSYLVANIA AVE NW, SUITE 300 WASHINGTON, DC 20004

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 100,000.     | 07/18/18      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF PROVIDING COMMUNICATION AND STAKEHOLDER ENGAGEMENT SUPPORT TO THE SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION AND SMART POWER FOR RURAL DEVELOPMENT INITIATIVE

DATES OF REPORTS BY GRANTEE

09/05/18

ANY DIVERSION BY GRANTEE

ARAB WORLD & AFRICA TRAINING CO.

GRANTEE'S ADDRESS

ALMADINEH ALMONAWARAH STREET, OMAIR LOUZI BUILDING, OFFICE # 101 AMMAN, JORDAN

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 76,000.      | 10/13/15      | 76,000.         |

#### PURPOSE OF GRANT

IN SUPPORT OF THE PRODUCTION OF FOUR NEWSLETTERS AND TWO WORKSHOPS TO PROMOTE A PAN-AFRICAN DIALOGUE ON THE FUTURE OF THE AFRICAN CONTINENT WITH A FOCUS ON INTEGRATION OF THE MIDDLE EAST AND NORTH AFRICA REGION WITH SUB-SAHARAN AFRICA TO PROMOTE SOCIAL AND ECONOMIC DEVELOPMENT

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 09/21/17, 09/27/18, 02/04/19, 02/04/19, 04/24/19, 05/15/19

ANY DIVERSION BY GRANTEE

ART EARTH TECH LIMITED

GRANTEE'S ADDRESS

ALDWINKLE LODGE PETERBOROUGH, UNITED KINGDOM, PE85SZ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 175,000.     | 08/23/17      | 175,000.        |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A FEASIBILITY STUDY OF A NEW FUNDING MODEL FOR HEALTH CARE WITH THE POTENTIAL TO SPUR INVESTMENT IN THE DEVELOPMENT AND DISTRIBUTION OF DRUGS WITH HIGHER LIFESAVING HEALTH OUTCOMES FOR POOR AND VULNERABLE PEOPLE

#### DATES OF REPORTS BY GRANTEE

09/15/17,01/31/18,02/21/18, 08/30/18, 12/13/18, 12/16/18

ANY DIVERSION BY GRANTEE

ART EARTH TECH LIMITED

GRANTEE'S ADDRESS

ALDWINKLE LODGE PETERBOROUGH, UNITED KINGDOM, PE85SZ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 24,975.      | 12/19/18      | 24,975.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A FEASIBILITY STUDY OF A NEW FUNDING MODEL FOR HEALTH CARE WITH THE POTENTIAL TO SPUR INVESTMENT IN THE DEVELOPMENT AND DISTRIBUTION OF DRUGS WITH HIGHER LIFESAVING HEALTH OUTCOMES FOR POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

12/16/18

ANY DIVERSION BY GRANTEE

ATLAS AI P.B.C

GRANTEE'S ADDRESS

1076 CATHCART WAY STANFORD, CA 94305

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 1 500 000    | 06/09/19      | 0               |
| 1,500,000.   | 06/08/18      | υ.              |

PURPOSE OF GRANT

REVOLUTIONIZE MEASUREMENT OF ECONOMIC AND AGRICULTURAL ACTIVITY IN THE DEVELOPMENT SECTOR IN AFRICA AND OTHER EMERGING MARKETS.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 01/08/19, 03/14/19, 05/09/19, 06/28/19, 07/18/19, 09/19/19

ANY DIVERSION BY GRANTEE

ATLAS AI P.B.C

GRANTEE'S ADDRESS

1076 CATHCART WAY STANFORD, CA 94305

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 500,000.     | 12/19/18      | 0.              |

PURPOSE OF GRANT

REVOLUTIONIZE MEASUREMENT OF ECONOMIC AND AGRICULTURAL ACTIVITY IN THE DEVELOPMENT SECTOR IN AFRICA AND OTHER EMERGING MARKETS.

#### DATES OF REPORTS BY GRANTEE

01/08/19, 03/14/19, 05/09/19, 06/28/19, 07/18/19, 09/19/19

ANY DIVERSION BY GRANTEE

BANQU, INC.

GRANTEE'S ADDRESS

4100 HEATHERTON PLACE MINNETONKA, MN 55345

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 300,000.     | 09/15/16      | 300,000.        |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS FOR BUILDING ECONOMIC IDENTITIES FOR REFUGEES

DATES OF REPORTS BY GRANTEE

02/22/17,07/11/17,03/12/18, 09/04/18

ANY DIVERSION BY GRANTEE

BANQU, INC.

GRANTEE'S ADDRESS

4100 HEATHERTON PLACE MINNETONKA, MN 55345

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 25,000.      | 07/13/17      | 25,000.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS FOR BUILDING ECONOMIC IDENTITIES FOR REFUGEES

#### DATES OF REPORTS BY GRANTEE

03/12/18, 09/04/18

ANY DIVERSION BY GRANTEE

BANQU, INC.

GRANTEE'S ADDRESS

4100 HEATHERTON PLACE MINNETONKA, MN 55345

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 25,000.      | 08/31/18      | 25,000.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS FOR BUILDING ECONOMIC IDENTITIES FOR REFUGEES

#### DATES OF REPORTS BY GRANTEE

03/12/18, 09/04/18

ANY DIVERSION BY GRANTEE

BCLF VENTURES LLC, INC.

GRANTEE'S ADDRESS

56 WARREN STREET BOSTON, MA 02119

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 750,000.     | 05/08/02      | 0.              |

PURPOSE OF GRANT

PAYMENT IN FULL OF A \$750,000 PROGRAM-RELATED INVESTMENT COMMITMENT TO SUPPORT ITS INVESTMENTS IN BUSINESSES LOCATED IN MASSACHUSETTS AND THE NORTHEASTERN UNITED STATES THAT CAN GENERATE FINANCIAL AND SOCIAL RETURNS THROUGH CREATION AND RETENTION OF HIGH-QUALITY ENTRY- AND MID-LEVEL JOBS FOR LOW-INCOME AND OTHER DISADVANTAGED GROUPS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

#### BEYOND ZERO

GRANTEE'S ADDRESS

PO BOX 40530-00100 NAIROBI, KENYA

| GRANT AMOUNT D | ATE OF GRANT | AMOUNT EXPENDED |
|----------------|--------------|-----------------|
| 300,000.       | 04/25/17     | 300,000.        |

PURPOSE OF GRANT

IN SUPPORT OF STUDYING THE FEASIBILITY OF ESTABLISHING NEW SPECIALIZED CARE HEALTH FACILITIES IN KENYA TO HELP CONTROL HIV AND PROMOTE MATERNAL, NEWBORN, AND CHILDRENS HEALTH

#### DATES OF REPORTS BY GRANTEE

11/07/17, 03/09/18, 05/31/18, 12/14/18, 12/22/18, 03/12/19, 03/15/19

ANY DIVERSION BY GRANTEE

#### BEYOND ZERO

GRANTEE'S ADDRESS

PO BOX 40530-00100 NAIROBI, KENYA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 150,000. | 04/18/18      | 22,704.         |

PURPOSE OF GRANT

IN SUPPORT OF STUDYING THE FEASIBILITY OF ESTABLISHING NEW SPECIALIZED CARE HEALTH FACILITIES IN KENYA TO HELP CONTROL HIV AND PROMOTE MATERNAL, NEWBORN, AND CHILDRENS HEALTH

#### DATES OF REPORTS BY GRANTEE

05/31/18, 12/14/18, 12/22/18, 03/12/19, 03/15/19

ANY DIVERSION BY GRANTEE

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS

101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 400,000. | 09/20/17      | 400,000.        |

### PURPOSE OF GRANT

IN SUPPORT OF BUILDING, LAUNCHING, AND OPTIMIZING A PUBLISHING AND KNOWLEDGE-SHARING PLATFORM TO UNITE AND BUILD THE FIELD OF RESILIENCE BY DELIVERING WIDE-RANGING, QUALITY CONTENT, CONNECTIONS, EVENTS, AND RECOMMENDATIONS TO RESILIENCE PRACTITIONERS

DATES OF REPORTS BY GRANTEE

02/28/18, 11/20/18, 02/27/19, 05/02/19

ANY DIVERSION BY GRANTEE

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS

101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 110,000. | 12/12/18      | 110,000.        |

PURPOSE OF GRANT

IN SUPPORT OF BUILDING, LAUNCHING, AND OPTIMIZING A PUBLISHING AND KNOWLEDGE-SHARING PLATFORM TO UNITE AND BUILD THE FIELD OF RESILIENCE BY DELIVERING WIDE-RANGING, QUALITY CONTENT, CONNECTIONS, EVENTS, AND RECOMMENDATIONS TO RESILIENCE PRACTITIONERS

DATES OF REPORTS BY GRANTEE

02/27/19, 05/02/19

ANY DIVERSION BY GRANTEE

BUNI MEDIA LIMITED

GRANTEE'S ADDRESS

REVLON PROFESSIONAL PLAZA, 3RD FLOOR NAIROBI, KENYA, 00100

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 30,000.      | 07/28/17      | 30,000.         |
| 50,000.      | 0//20/1/      | 50,000.         |

PURPOSE OF GRANT

TOWARD THE COSTS OF CREATING A GRAPHIC VERSION OF THE KENYAN CONSTITUTION IN ORDER TO MAKE IT MORE ACCESSIBLE AND THEREBY EDUCATE, ENGAGE AND EMPOWER THE CITIZENS OF KENYA

DATES OF REPORTS BY GRANTEE

02/28/18, 10/12/18, 11/27/18

ANY DIVERSION BY GRANTEE

CLOUD TIGER MEDIA, INC.

GRANTEE'S ADDRESS

6380 WILSHIRE BLVD., 15TH FLOOR LOS ANGELES, CA 90048

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 275,000      | . 01/31/18    | 275,000.        |

PURPOSE OF GRANT

IN SUPPORT OF LEVERAGING THE POWER OF STORYTELLING TO HUMANIZE RESEARCH FINDINGS AND PROMOTE INNOVATIVE WAYS TO INCLUDE MORE PEOPLE IN THE CONVERSATION ABOUT HOW INNOVATIVE FINANCE, INCREASED ECONOMIC OPPORTUNITY, AND COMMUNITY HEALTH POSITIVELY IMPACT THE LIVES OF POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

09/13/18, 09/14/18, 12/13/18, 12/17/18

ANY DIVERSION BY GRANTEE

CLOUD TIGER MEDIA, INC.

GRANTEE'S ADDRESS

6380 WILSHIRE BLVD., 15TH FLOOR LOS ANGELES, CA 90048

| GRANT AMOUNT | DATE OF GRANT   | AMOUNT EXPENDED |
|--------------|-----------------|-----------------|
| 50,00        | <br>D. 12/19/18 | 50,000.         |

PURPOSE OF GRANT

IN SUPPORT OF LEVERAGING THE POWER OF STORYTELLING TO HUMANIZE RESEARCH FINDINGS AND PROMOTE INNOVATIVE WAYS TO INCLUDE MORE PEOPLE IN THE CONVERSATION ABOUT HOW INNOVATIVE FINANCE, INCREASED ECONOMIC OPPORTUNITY, AND COMMUNITY HEALTH POSITIVELY IMPACT THE LIVES OF POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

12/17/18

ANY DIVERSION BY GRANTEE

CLOUD TIGER MEDIA, INC.

GRANTEE'S ADDRESS

6380 WILSHIRE BLVD., 15TH FLOOR LOS ANGELES, CA 90048

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | ·             |                 |
| 100,000.     | . 12/19/18    | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF LEVERAGING THE POWER OF STORYTELLING TO HUMANIZE RESEARCH FINDINGS AND PROMOTE INNOVATIVE WAYS TO INCLUDE MORE PEOPLE IN THE CONVERSATION ABOUT HOW INNOVATIVE FINANCE, INCREASED ECONOMIC OPPORTUNITY, AND COMMUNITY HEALTH POSITIVELY IMPACT THE LIVES OF POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

12/17/18

ANY DIVERSION BY GRANTEE

CLOUDFACTORY GROUP LIMITED

GRANTEE'S ADDRESS

1010 MIRAMAR TOWER, 132 NATHAN ROAD, TSIM SHA TSUI, KOWLOON HONG KONG, HONG KONG

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 1,000,000. | 12/11/14      | 0.              |

#### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT JOB CREATION AND SKILLS DEVELOPMENT FOR DISADVANTAGED AFRICAN YOUTH BY CAPITALIZING A LEADING IMPACT SOURCING COMPANY THAT IS GROWING IN KENYA AS WELL AS OTHER AFRICAN COUNTRIES

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/31/18, 08/31/18, 11/15/18, 03/01/19, 06/04/19, 08/28/19

ANY DIVERSION BY GRANTEE

CLOUDFACTORY GROUP LIMITED

GRANTEE'S ADDRESS

1010 MIRAMAR TOWER, 132 NATHAN ROAD, TSIM SHA TSUI, KOWLOON HONG KONG, HONG KONG

| GRANT AMOUNT | DATE   | OF GRANT | AMOUNT | EXPENDED |
|--------------|--------|----------|--------|----------|
| 1,000,       | 00. 08 | /18/15   |        | 0.       |

#### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT JOB CREATION AND SKILLS DEVELOPMENT FOR DISADVANTAGED AFRICAN YOUTH BY CAPITALIZING A LEADING IMPACT SOURCING COMPANY THAT IS GROWING IN KENYA AS WELL AS OTHER AFRICAN COUNTRIES

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/31/18, 08/31/18, 11/15/18, 03/01/19, 06/04/19, 08/28/19

ANY DIVERSION BY GRANTEE

CROSSBOUNDARY LLC

GRANTEE'S ADDRESS

1220 19TH STREET NW, SUITE 500 WASHINGTON, DC 20036

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 500,000. | 01/26/18      | 500,000.        |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING AN INNOVATION LAB TO ASSESS THE VIABILITY OF MINI-GRID MODELS TO SUPPORT ENERGY SERVICE COMPANIES TO ACCELERATE ENERGY ACCESS FOR POOR AND VULNERABLE PEOPLE IN RURAL AREAS IN AFRICA

#### DATES OF REPORTS BY GRANTEE

05/03/18, 05/15/18, 12/13/18, 12/16/18, 05/29/19, 06/03/19

ANY DIVERSION BY GRANTEE

CROSSBOUNDARY LLC

GRANTEE'S ADDRESS

1220 19TH STREET NW, SUITE 500 WASHINGTON, DC 20036

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 250,000. | 05/31/18      | 250,000.        |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING AN INNOVATION LAB TO ASSESS THE VIABILITY OF MINI-GRID MODELS TO SUPPORT ENERGY SERVICE COMPANIES TO ACCELERATE ENERGY ACCESS FOR POOR AND VULNERABLE PEOPLE IN RURAL AREAS IN AFRICA

DATES OF REPORTS BY GRANTEE

12/13/18, 12/16/18, 05/29/19, 06/03/19

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS

99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016

| GRANT AMOUNT D | ATE OF GRANT | AMOUNT EXPENDED |
|----------------|--------------|-----------------|
| 350,000.       | 06/02/17     | 0.              |

### PURPOSE OF GRANT

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT SYNTHESIZES FORWARD-LOOKING KNOWLEDGE ON PRESSING PROBLEMS AND DYNAMIC OPPORTUNITIES IN THE LIVES OF POOR OR VULNERABLE POPULATIONS

### DATES OF REPORTS BY GRANTEE

12/18/17, 09/05/19

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS

99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 44,530.      | 01/05/18      | 44,530.         |

PURPOSE OF GRANT

IN SUPPORT OF EXECUTING A THEMED RESIDENCY AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, JULY/AUGUST 2017, AND COLLECTING AND DISSEMINATING RESULTING KNOWLEDGE ASSETS

DATES OF REPORTS BY GRANTEE

12/13/17

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING CC

GRANTEE'S ADDRESS

195 JAN SMUTS AVENUE, ROSEBANK JOHANNESBURG, ZAMBIA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 115,525.     | 02/07/18      | 115,525.        |

### PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING A PRE-FEASIBILITY ASSESSMENT FOR CREATING A UNIQUE DIGITAL IDENTITY MANAGEMENT SYSTEM FOR THE CITIZENS OF ADDIS ABABA, ETHIOPIA

DATES OF REPORTS BY GRANTEE

03/16/18, 05/21/18, 05/25/18, 09/12/18

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING CC

GRANTEE'S ADDRESS

195 JAN SMUTS AVENUE, ROSEBANK JOHANNESBURG, ZAMBIA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,075.      | 06/07/18      | 50,075.         |

### PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING A PRE-FEASIBILITY ASSESSMENT FOR CREATING A UNIQUE DIGITAL IDENTITY MANAGEMENT SYSTEM FOR THE CITIZENS OF ADDIS ABABA, ETHIOPIA

#### DATES OF REPORTS BY GRANTEE

09/12/18

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING CC

GRANTEE'S ADDRESS

195 JAN SMUTS AVENUE, ROSEBANK JOHANNESBURG, ZAMBIA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 17,764.      | 11/08/18      | 17,764.         |

### PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING A PRE-FEASIBILITY ASSESSMENT FOR CREATING A UNIQUE DIGITAL IDENTITY MANAGEMENT SYSTEM FOR THE CITIZENS OF ADDIS ABABA, ETHIOPIA

#### DATES OF REPORTS BY GRANTEE

09/12/18

ANY DIVERSION BY GRANTEE

DALBERG RESEARCH LTD

GRANTEE'S ADDRESS

72 - 82 ROSEBERY AVENUE, 2ND FLOOR LONDON, UNITED KINGDOM, EC1R 4RW

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               |                 |
|       | 190,000. | 12/15/16      | 120,000.        |

PURPOSE OF GRANT

IN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLE. INCOMPLETE REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE.

DATES OF REPORTS BY GRANTEE

08/29/17,08/30/17,09/13/17,09/25/17

ANY DIVERSION BY GRANTEE

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS

250 HUDSON STREET NEW YORK, NY 10013

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 200,000.     | 12/21/17      | 200,000.        |

PURPOSE OF GRANT

IN SUPPORT OF INCREASING THE UNDERSTANDING OF THE ISSUES RELATED TO FOOD LOSS AND WASTE INCLUDING THE CHALLENGES OF ENDING HUNGER, ACHIEVING FOOD SECURITY AND IMPROVED NUTRITION, AND PROMOTING SUSTAINABLE AGRICULTURE

DATES OF REPORTS BY GRANTEE

04/09/18,07/16/18, 09/11/18

ANY DIVERSION BY GRANTEE

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS

250 HUDSON STREET NEW YORK, NY 10013

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 08/02/18      | 46,367.         |

## PURPOSE OF GRANT

IN SUPPORT OF INCREASING THE UNDERSTANDING OF THE ISSUES RELATED TO FOOD LOSS AND WASTE INCLUDING THE CHALLENGES OF ENDING HUNGER, ACHIEVING FOOD SECURITY AND IMPROVED NUTRITION, AND PROMOTING SUSTAINABLE AGRICULTURE

DATES OF REPORTS BY GRANTEE

09/11/18

ANY DIVERSION BY GRANTEE

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS

250 HUDSON STREET NEW YORK, NY 10013

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 11/01/18      | 0.              |

## PURPOSE OF GRANT

IN SUPPORT OF INCREASING THE UNDERSTANDING OF THE ISSUES RELATED TO FOOD LOSS AND WASTE INCLUDING THE CHALLENGES OF ENDING HUNGER, ACHIEVING FOOD SECURITY AND IMPROVED NUTRITION, AND PROMOTING SUSTAINABLE AGRICULTURE

DATES OF REPORTS BY GRANTEE

NO REPORTS TO DATE

ANY DIVERSION BY GRANTEE

DIABETIC ASSOCIATION OF BANGLADESH

GRANTEE'S ADDRESS

122 KAZI NAZRUL ISLAM AVENUE, SHAHBAG DHAKA, BANGLADESH, 1000

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 135,000.
 12/21/11
 135,000.

PURPOSE OF GRANT

FOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF SCIENCE CURRICULUM ON HEALTH INFORMATICS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/14/17, 12/13/17, 04/06/18, 05/29/18, 07/01/19, 09/23/19

ANY DIVERSION BY GRANTEE

DIABETIC ASSOCIATION OF BANGLADESH

GRANTEE'S ADDRESS

122 KAZI NAZRUL ISLAM AVENUE, SHAHBAG DHAKA, BANGLADESH, 1000

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 90,000.      | 12/16/12      | 53,112.         |

PURPOSE OF GRANT

FOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF SCIENCE CURRICULUM ON HEALTH INFORMATICS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/14/17, 12/13/17, 04/06/18, 05/29/18, 07/01/19, 09/23/19

ANY DIVERSION BY GRANTEE

EIGHTEEN EAST CAPITAL LTD

GRANTEE'S ADDRESS

86-90 PAUL STREET LONDON, UNITED KINGDOM, EC2A 4NE

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 175,000. | 12/13/17      | 175,000.        |

## PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING IMPACTBAY, A NOT-FOR-PROFIT MARKET PLACE DESIGNED TO FACILITATE SECONDARY TRANSACTIONS OF FRONTIER AND EMERGING MARKET IMPACT INVESTMENTS IN AN EFFORT TO GROW THE ASSETS AVAILABLE TO BENEFIT POOR AND VULNERABLE POPULATIONS IN DEVELOPING COUNTRIES

#### DATES OF REPORTS BY GRANTEE

02/27/18, 03/29/18, 05/31/18, 07/31/18, 02/25/19

ANY DIVERSION BY GRANTEE

EIGHTEEN EAST CAPITAL LTD

GRANTEE'S ADDRESS

86-90 PAUL STREET LONDON, UNITED KINGDOM, EC2A 4NE

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 25,000. | 08/15/18      | 2,387.          |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING IMPACTBAY, A NOT-FOR-PROFIT MARKET PLACE DESIGNED TO FACILITATE SECONDARY TRANSACTIONS OF FRONTIER AND EMERGING MARKET IMPACT INVESTMENTS IN AN EFFORT TO GROW THE ASSETS AVAILABLE TO BENEFIT POOR AND VULNERABLE POPULATIONS IN DEVELOPING COUNTRIES

DATES OF REPORTS BY GRANTEE

02/25/19

ANY DIVERSION BY GRANTEE

EIGHTEEN EAST CAPITAL LTD

GRANTEE'S ADDRESS

86-90 PAUL STREET LONDON, UNITED KINGDOM, EC2A 4NE

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 12/07/18      | 16,073.         |

### PURPOSE OF GRANT

IN SUPPORT OF RESEARCHING AND DESIGNING SUSTAINABLE DEVELOPMENT CERTIFICATES, A FUNDING MODEL TO INCREASE THE CAPACITY OF DEVELOPMENT FINANCE INSTITUTIONS TO MAKE IMPACT INVESTMENTS IN UNDERDEVELOPED MARKETS SERVING POOR AND VULNERABLE COMMUNITIES

### DATES OF REPORTS BY GRANTEE

02/22/19, 05/27/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 500,000.     | 06/26/14      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| 513,114. 12/08/15 | 0 |
|-------------------|---|

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 734,886.     | 12/30/16      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 812,000. | 09/13/17      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 264,000. | 01/03/18      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 288,000.     | 03/02/18      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 92,000.      | 08/01/18      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/21/18, 12/03/18, 02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS

220 2ND AVE S. SEATTLE, WA 98014

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 112,000. | 12/18/18      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

DATES OF REPORTS BY GRANTEE

02/22/19, 03/07/19, 05/21/19, 06/04/19

ANY DIVERSION BY GRANTEE

ELEVATE CONSULTING & COMMUNICATIONS, LLC

GRANTEE'S ADDRESS

P.O. BOX 8325 PORTLAND, ME 04104

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 180,000.     | 07/31/15      | 174,190.        |

PURPOSE OF GRANT

IN SUPPORT OF ESTABLISHING THE NORTHEAST INFRASTRUCTURE ACCELERATOR AND DESIGNING AN INFRASTRUCTURE INVESTMENT MODEL FOR STATES AND REGIONS TO UNDERSTAND THE SAVINGS ASSOCIATED WITH ADOPTING A RESILIENT WATER INFRASTRUCTURE

#### DATES OF REPORTS BY GRANTEE

03/18/16, 06/30/17, 10/18/18, 07/12/19, 07/14/19

ANY DIVERSION BY GRANTEE

ESTUDIOOCA CO. LTD.

GRANTEE'S ADDRESS

65 CHALOEMPAKRIATE SOI 8 BANGKOK, THAILAND, 10250

| GRANT | AMOUNT  | DATE OF | GRANT | AMOUNT | EXPENDED |
|-------|---------|---------|-------|--------|----------|
|       | 70,000. | 07/07   | /17   |        | 70,000.  |

PURPOSE OF GRANT

IN SUPPORT OF DESIGNING A GREEN INFRASTRUCTURE MASTER PLAN FOR UDON THANI, A CITY IN NORTHEASTERN THAILAND

#### DATES OF REPORTS BY GRANTEE

01/17/18,02/28/18,05/31/18,08/20/18

ANY DIVERSION BY GRANTEE

ESTUDIOOCA CO. LTD.

GRANTEE'S ADDRESS

65 CHALOEMPAKRIATE SOI 8 BANGKOK, THAILAND, 10250

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 18,011. | 09/27/18      | 18,011.         |

PURPOSE OF GRANT

IN SUPPORT OF DESIGNING A GREEN INFRASTRUCTURE MASTER PLAN FOR UDON THANI, A CITY IN NORTHEASTERN THAILAND

#### DATES OF REPORTS BY GRANTEE

05/31/18, 08/20/18

ANY DIVERSION BY GRANTEE

FACTORE VENTURES PBC

GRANTEE'S ADDRESS

430 NORTH COLLEGE AVE FORT COLLINS, CO 80524

| GRANT AMOUNT | DATE     | E OF GRANI | T AMOUNT | EXPENDED |
|--------------|----------|------------|----------|----------|
| 300,         | . 000. O | 01/05/18   |          | 261,941. |

### PURPOSE OF GRANT

IN SUPPORT OF A TECHNICAL AND MARKET ASSESSMENT OF HOW TO DELIVER PRODUCTIVE POWER AND ASSOCIATED APPLIANCES TO POOR AND VULNERABLE PEOPLE IN OFF-GRID CONTEXTS

#### DATES OF REPORTS BY GRANTEE

01/31/18, 02/06/18, 05/01/18, 11/16/18, 01/31/19, 03/27/19

ANY DIVERSION BY GRANTEE

FACTORE VENTURES PBC

GRANTEE'S ADDRESS

430 NORTH COLLEGE AVE FORT COLLINS, CO 80524

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 158,100.     | 05/17/18      | 0.              |

PURPOSE OF GRANT

IN SUPPORT OF A TECHNICAL AND MARKET ASSESSMENT OF HOW TO DELIVER PRODUCTIVE POWER AND ASSOCIATED APPLIANCES TO POOR AND VULNERABLE PEOPLE IN OFF-GRID CONTEXTS

DATES OF REPORTS BY GRANTEE

11/16/18, 01/31/19, 03/27/19

ANY DIVERSION BY GRANTEE

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESS

BOULEVARD VIA MORELOS 72-A ECATEPEC, MEXICO

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 44,200.      | 12/17/15      | 44,200.         |
| 44,200.      | 12/1/10       | 44,200.         |

### PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

#### DATES OF REPORTS BY GRANTEE

01/19/16, 02/26/16, 04/15/16, 06/15/16, 03/15/17, 03/15/18, 03/01/19

ANY DIVERSION BY GRANTEE

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESS

BOULEVARD VIA MORELOS 72-A ECATEPEC, MEXICO

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 15,000.      | 06/28/16      | 4,121.          |

PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

DATES OF REPORTS BY GRANTEE

03/15/17,03/15/18, 03/01/19

ANY DIVERSION BY GRANTEE

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESS

BOULEVARD VIA MORELOS 72-A, COL. RUSTICA XALOSTOC ECATEPEC, MEXICO

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,000.      | 01/03/18      | 49,889.         |

## PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/18/18, 12/20/18, 01/29/19, 02/28/19, 03/04/19, 05/03/19

ANY DIVERSION BY GRANTEE

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESS

BOULEVARD VIA MORELOS 72-A, COL. RUSTICA XALOSTOC ECATEPEC, MEXICO

| GRANT | AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|-------|--------|---------------|-----------------|
|       | 68.    | 02/07/18      | 68.             |

## PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATIONS BELLAGIO CENTER IN ITALY

DATES OF REPORTS BY GRANTEE

01/04/18

ANY DIVERSION BY GRANTEE

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESS

BOULEVARD VIA MORELOS 72-A, COL. RUSTICA XALOSTOC ECATEPEC, MEXICO

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 49,800. | 06/07/18      | 0.              |

## PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

#### DATES OF REPORTS BY GRANTEE

12/20/18, 01/29/19, 02/28/19, 03/04/19, 05/03/19

ANY DIVERSION BY GRANTEE

FUTURE FACTORY LLP

GRANTEE'S ADDRESS

A-55, NANDJYOT INDUSTRIAL ESTATE, 2ND FLOOR, SAFED POOL, AK ROAD, MUMBAI, ANDHERI EAST, INDIA, 400072

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 42,013.      | 03/29/18      | 42,013.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING STRATEGIC OPPORTUNITIES THAT REDUCE POST-HARVEST FOOD LOSS AND IMPROVE THE LIVELIHOODS OF SMALL-HOLDER FARMERS THROUGH INNOVATIVE PROTOTYPING METHODOLOGIES

DATES OF REPORTS BY GRANTEE

03/19/18

ANY DIVERSION BY GRANTEE

GENESIS ANALYTICS PTY LTD

GRANTEE'S ADDRESS

50 SIXTH ROAD, HYDE PARK JOHANNESBURG, ZAMBIA, 2196

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 25,000.      | 03/29/18      | 25,000.         |

PURPOSE OF GRANT

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE FOUNDATIONS DIGITAL JOBS AFRICA INITIATIVE, AS WELL AS ITS GRANTEES AND PARTNERS, IN ORDER TO BENEFIT THE FIELDS OF YOUTH EMPLOYMENT, DIGITAL JOBS AND INCLUSIVE ECONOMIES

DATES OF REPORTS BY GRANTEE

02/28/18, 04/10/18

ANY DIVERSION BY GRANTEE

GLOBAL BPO SOLUTIONS, LLC

GRANTEE'S ADDRESS

15600 NE 8TH STREET, SUITE B1-800 BELLEVUE, WA 98008

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 45,000.      | 03/26/18      | 45,000.         |

## PURPOSE OF GRANT

IN SUPPORT OF SCALING IMPACT SOURCING BY DRIVING MEMBERSHIP RECRUITMENT FOR THE GLOBAL IMPACT SOURCING COALITION AND PROMOTING IMPACT SOURCING TO NEW SECTORS

#### DATES OF REPORTS BY GRANTEE

02/27/18, 02/28/18

ANY DIVERSION BY GRANTEE

GLOBAL HEALTH STRATEGIES LLC

GRANTEE'S ADDRESS

38 E 32ND STREET, 12TH FLOOR, SUITE 900 NEW YORK, NY 10016

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 299,910. | 01/05/18      | 299,910.        |

### PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO SUSTAIN MOMENTUM FOR UNIVERSAL HEALTH COVERAGE (UHC) THROUGH BUILDING A SUSTAINABLE UHC COALITION

#### DATES OF REPORTS BY GRANTEE

03/05/18, 12/20/18, 12/22/18, 05/28/19, 07/27/19

ANY DIVERSION BY GRANTEE

GLOBAL HEALTH STRATEGIES LLC

GRANTEE'S ADDRESS

38 E 32ND STREET, 12TH FLOOR, SUITE 900 NEW YORK, NY 10016

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 04/19/18      | 200,000.        |

## PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO SUSTAIN MOMENTUM FOR UNIVERSAL HEALTH COVERAGE (UHC) THROUGH BUILDING A SUSTAINABLE UHC COALITION

DATES OF REPORTS BY GRANTEE

12/20/18, 12/22/18, 05/28/19, 07/27/19

ANY DIVERSION BY GRANTEE

GLOBAL HEALTH STRATEGIES LLC

GRANTEE'S ADDRESS

38 E 32ND STREET, 12TH FLOOR, SUITE 900 NEW YORK, NY 10016

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 89,735. | 07/18/18      | 89,735.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING COMMUNICATIONS AND ADVOCACY STRATEGIES AND MATERIALS TO POSITION DIGITALLY-ENABLED COMMUNITY HEALTH WITHIN GLOBAL CONVERSATIONS ABOUT ACHIEVING UNIVERSAL HEALTH COVERAGE

DATES OF REPORTS BY GRANTEE

05/28/19, 07/14/19, 9/14/19, 09/16/19

ANY DIVERSION BY GRANTEE

GLOBAL INVESTMENT FUND FOR WATER LIMITED

GRANTEE'S ADDRESS

STEEL HOUSE, 13-17 PRINCES ROAD SURREY, UNITED KINGDOM, TW10 6DG

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 100,000.     | 11/14/17      | 100,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF PILOTING A PRIVATE SECTOR-LED MICRO-LEVY ON BOTTLED WATER

DATES OF REPORTS BY GRANTEE

11/06/18, 06/24/19, 08/01/19

ANY DIVERSION BY GRANTEE

GRENZEBACH GLIER AND ASSOCIATES, INC.

GRANTEE'S ADDRESS

401 N. MICHIGAN AVENUE CHICAGO, IL 60611

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 56,210.      | 05/04/17      | 56,210.         |

## PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING AN ALUMNI ENGAGEMENT STRATEGY FOR THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, IN ORDER TO ENHANCE ALUMNI RELATIONS, PROMOTE ALUMNI ACHIEVEMENTS, AND SHARE KNOWLEDGE

DATES OF REPORTS BY GRANTEE

08/23/17, 02/02/18, 08/09/18, 09/27/18

ANY DIVERSION BY GRANTEE

GRENZEBACH GLIER AND ASSOCIATES, INC.

GRANTEE'S ADDRESS

401 N. MICHIGAN AVENUE CHICAGO, IL 60611

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 45,960.      | 08/17/17      | 45,960.         |

## PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING AN ALUMNI ENGAGEMENT STRATEGY FOR THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, IN ORDER TO ENHANCE ALUMNI RELATIONS, PROMOTE ALUMNI ACHIEVEMENTS, AND SHARE KNOWLEDGE

DATES OF REPORTS BY GRANTEE

02/02/18, 08/09/18, 09/27/18

ANY DIVERSION BY GRANTEE

GRENZEBACH GLIER AND ASSOCIATES, INC.

GRANTEE'S ADDRESS

401 N. MICHIGAN AVENUE CHICAGO, IL 60611

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | 10/10/10      |                 |
| 8,730.       | 10/18/18      | 8,730.          |

## PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING AN ALUMNI ENGAGEMENT STRATEGY FOR THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, IN ORDER TO ENHANCE ALUMNI RELATIONS, PROMOTE ALUMNI ACHIEVEMENTS, AND SHARE KNOWLEDGE

### DATES OF REPORTS BY GRANTEE

08/09/18, 09/27/18

ANY DIVERSION BY GRANTEE

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESS

KING PLACE 90 YORK WAY LONDON, UNITED KINGDOM, N1 9GU

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 1,000,000.   | 12/19/16      | 1,000,000.      |

PURPOSE OF GRANT

IN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND VULNERABLE COMMUNITIES IN URBAN AREAS

DATES OF REPORTS BY GRANTEE

08/15/17, 07/20/18, 07/24/18, 08/09/19

ANY DIVERSION BY GRANTEE

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESS

KING PLACE, 90 YORK WAY LONDON, UNITED KINGDOM, N1 9GU

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 1,000,000.   | 08/30/18      | 1,000,000.      |

PURPOSE OF GRANT

IN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND VULNERABLE COMMUNITIES IN URBAN AREAS

DATES OF REPORTS BY GRANTEE

08/09/19

ANY DIVERSION BY GRANTEE

HEALTH CO PARTICIPANT LLC

GRANTEE'S ADDRESS

1700 MARKET STREET, 19TH FLOOR PHILADELPHIA, PA 19103

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 1,500,000.   | 12/19/13      | 1,090,075.      |

PURPOSE OF GRANT

PAYMENT TOWARD A \$3,000,000 PROGRAM RELATED INVESTMENT LOAN TO SUPPORT EXPANDING AND IMPROVING AFFORDABLE PRIMARY HEALTHCARE AND CREATING JOBS FOR LOW-INCOME INDIVIDUALS, BY PROVIDING LOANS TO FEDERALLY QUALIFIED HEALTHCARE CENTERS; \$1,090,075 PRINCIPAL REPAID

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/17/18, 08/16/18, 11/13/18, 02/12/19, 06/16/19, 08/13/19

ANY DIVERSION BY GRANTEE

HEALTH CO PARTICIPANT LLC

GRANTEE'S ADDRESS

1700 MARKET STREET, 19TH FLOOR PHILADELPHIA, PA 19103

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | <del></del>   |                 |
| 1,125,000.   | 03/06/14      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$3,000,000 PROGRAM RELATED INVESTMENT LOAN TO SUPPORT EXPANDING AND IMPROVING AFFORDABLE PRIMARY HEALTHCARE AND CREATING JOBS FOR LOW-INCOME INDIVIDUALS, BY PROVIDING LOANS TO FEDERALLY QUALIFIED HEALTHCARE CENTERS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/17/18, 08/16/18, 11/13/18, 02/12/19, 06/16/19, 08/13/19

ANY DIVERSION BY GRANTEE

HEALTH CO PARTICIPANT LLC

GRANTEE'S ADDRESS

1700 MARKET STREET, 19TH FLOOR PHILADELPHIA, PA 19103

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 375,000.     | 03/26/15      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$3,000,000 PROGRAM RELATED INVESTMENT LOAN TO SUPPORT EXPANDING AND IMPROVING AFFORDABLE PRIMARY HEALTHCARE AND CREATING JOBS FOR LOW-INCOME INDIVIDUALS, BY PROVIDING LOANS TO FEDERALLY QUALIFIED HEALTHCARE CENTERS

### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/17/18, 08/16/18, 11/13/18, 02/12/19, 06/16/19, 08/13/19

ANY DIVERSION BY GRANTEE

HUSK POWER SYSTEMS PRIVATE LIMITED

GRANTEE'S ADDRESS

45E, FIRST FLOOR, HASANPUR VILLAGE NEW DELHI, INDIA, 110092

| GRANT AMO | OUNT       | DATE | OF  | GRANT | AMOUNT | EXPENDED |
|-----------|------------|------|-----|-------|--------|----------|
|           | 1,002,349. | 08   | /31 | /18   |        | 0.       |

## PURPOSE OF GRANT

TO SUPPORT A SMART POWER OF RURAL DEVELOPMENT INITIATIVE THAT AIMS TO FACILITATE THE PROVISION OF POWER TO HOUSEHOLDS AND MICROENTERPRISES IN DISADVANATGED, RURAL COMMUNITIES TO ENABLE

DATES OF REPORTS BY GRANTEE

05/30/19

ANY DIVERSION BY GRANTEE

IDFC FOUNDATION

### GRANTEE'S ADDRESS

6TH FLOOR, THE CAPITAL COURT', OLOF PALME MARG, MUNIRKA NEW DELHI, INDIA, 110067

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 300,000.     | 10/16/18      | 23,892.         |

## PURPOSE OF GRANT

IN SUPPORT OF ITS IDFC INSTITUTE TO DEVELOP A CAPACITY-ENHANCING FRAMEWORK IN ORDER TO PROVIDE CITIZEN-CENTRIC PUBLIC SERVICES THROUGH THE USE OF DATA AND TECHNOLOGY

#### DATES OF REPORTS BY GRANTEE

12/28/18, 03/31/19, 05/31/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT AMOU | NT DA    | TE OF | GRANT | AMOUNT | EXPENDED |
|------------|----------|-------|-------|--------|----------|
|            | <u> </u> |       |       |        |          |
|            | 407,295. | 11/18 | 3/10  |        | 0.       |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 117,028. | 05/23/11      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 173,985. | 12/31/12      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT AMOUNT | DATE   | OF GRANT | AMOUNT | EXPENDED |
|--------------|--------|----------|--------|----------|
| 200,1        | .75. 1 | 0/30/13  |        | 0.       |

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 77,221.      | 11/26/14      | ٥.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 14,503. | 12/10/15      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESS

AV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

| GRANT AM | IOUNT   | DATE C | )F | GRANT | AMOUNT | EXPENDE | D  |
|----------|---------|--------|----|-------|--------|---------|----|
|          | 11,718. | 12/    | 06 | /16   |        |         | 0. |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 10/24/17,04/30/18,05/2/18, 07/30/18, 10/30/18, 04/29/19

ANY DIVERSION BY GRANTEE

IHABA - INVESTMENTS AND ADVISORY SERVICES, SA

GRANTEE'S ADDRESS

54 AVENIDA DE SAO VICENTE, PALMAREJO PRAIA, CAPE VERDE

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 75,000.      | 06/29/18      | 75,000.         |

## PURPOSE OF GRANT

TOWARD THE COSTS OF ITS 2018 AFRICA INNOVATION SUMMIT TO BE HELD IN KIGALI, RWANDA, JUNE 6-8, 2018

### DATES OF REPORTS BY GRANTEE

08/10/18, 5/2/19

ANY DIVERSION BY GRANTEE

## IMPACTALPHA

GRANTEE'S ADDRESS

2009 FRANCISCO STREET BERKELEY, CA 94709

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 25.000  |               |                 |
|       | 35,000. | 09/20/18      | υ.              |

## PURPOSE OF GRANT

IN SUPPORT OF ITS AGENTS OF IMPACT SCHOLARSHIP FUND, AN EFFORT TO PROVIDE SUBSCRIPTIONS FOR ACADEMIC INSTITUTIONS TO A DAILY NEWSLETTER AND OTHER CONTENT RELATED TO IMPACT INVESTING AND SUSTAINABLE FINANCE

DATES OF REPORTS BY GRANTEE

NO REPORTS TO DATE

ANY DIVERSION BY GRANTEE

INNOVATIVE FINANCE FOUNDATION

GRANTEE'S ADDRESS

7 AVENUE PICTET-DE-ROCHEMONT GENEVA, SWITZERLAND, 1207

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 25,000.      | 08/30/18      | 25,000.         |

## PURPOSE OF GRANT

IN SUPPORT OF THE SCALE-UP OF UNITLIFE, A GLOBAL INNOVATIVE FINANCING MECHANISM TO FIGHT CHRONIC MALNUTRITION USING REVENUE FROM MICRO-LEVIES ON NATURAL RESOURCES, AND DEVELOPING AN OPERATIONAL STRATEGY FOR DEPLOYMENT OF THE CAPITAL IT RAISES

## DATES OF REPORTS BY GRANTEE

04/10/17, 04/14/17

ANY DIVERSION BY GRANTEE

INSTITUTE OF CHARTERED ACCOUNTANTS IN ENGLAND AND WALES

GRANTEE'S ADDRESS

CHARTERED ACCOUNTANTS HALL, MOORGATE PLACE LONDON, UNITED KINGDOM, EC2R 6EA

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 105,000.
 04/04/18
 105,000.

## PURPOSE OF GRANT

FOR USE BY ITS PROJECT, THE NATURAL CAPITAL COALITION, IN SUPPORT OF STRENGTHENING THE NATURAL CAPITAL COMMUNITY BY STANDARDIZING A FRAMEWORK FOR BUSINESSES TO MEASURE AND VALUE THEIR DIRECT AND INDIRECT IMPACTS AND DEPENDENCIES ON NATURAL RESOURCES

DATES OF REPORTS BY GRANTEE

08/30/18

ANY DIVERSION BY GRANTEE

INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

GRANTEE'S ADDRESS

10TH FLOOR, UNIT NO. A1002, A BLOCK HYDERABAD, INDIA, 500 032

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 130,000.     | 03/17/16      | 130,000.        |

## PURPOSE OF GRANT

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED TO RESILIENCE AND INCLUSIVE ECONOMIES IN THE GLOBAL SOUTH

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/17/17, 11/01/17, 01/10/18, 05/23/18, 06/15/18, 10/29/18

ANY DIVERSION BY GRANTEE

INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

GRANTEE'S ADDRESS

10TH FLOOR, UNIT NO. A1002, A BLOCK HYDERABAD, INDIA, 500 032

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 10,600.      | 11/08/18      | 10,600.         |

## PURPOSE OF GRANT

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED TO RESILIENCE AND INCLUSIVE ECONOMIES IN THE GLOBAL SOUTH

DATES OF REPORTS BY GRANTEE

10/29/18

ANY DIVERSION BY GRANTEE

INTERNATIONAL ASSOCIATION OF OUTSOURCING PROFESSIONALS

GRANTEE'S ADDRESS

4333 INDIAN DEER ROAD WINDERMERE, FL 34786

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 30,000.      | 08/03/17      | 6,950.          |

## PURPOSE OF GRANT

IN SUPPORT OF SCALING IMPACT SOURCING THROUGH AN ANNUAL RECOGNITION PROCESS TO PROMOTE ITS ADOPTION AMONG MEMBERS AND ASSOCIATES IN THE BUSINESS PROCESS OUTSOURCING SECTOR

#### DATES OF REPORTS BY GRANTEE

07/24/17,07/31/17,01/15/18,04/26/18, 03/15/19

ANY DIVERSION BY GRANTEE

INTERNATIONAL ASSOCIATION OF OUTSOURCING PROFESSIONALS

GRANTEE'S ADDRESS

4333 INDIAN DEER ROAD WINDERMERE, FL 34786

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 15,000.
 05/16/18
 0.

PURPOSE OF GRANT

IN SUPPORT OF SCALING IMPACT SOURCING THROUGH AN ANNUAL RECOGNITION PROCESS TO PROMOTE ITS ADOPTION AMONG MEMBERS AND ASSOCIATES IN THE BUSINESS PROCESS OUTSOURCING SECTOR

DATES OF REPORTS BY GRANTEE

03/15/19

ANY DIVERSION BY GRANTEE

IQBUSINESS (PTY) LTD

GRANTEE'S ADDRESS

IQBUSINESS PARK, NO 3 THIRD AVENUE SANDTON SANDTON, SOUTH AFRICA, 2128

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 150,000.     | 11/02/17      | 150,000.        |

## PURPOSE OF GRANT

IN SUPPORT OF PROMOTING THE DEMAND DRIVEN TRAINING TOOLKIT TO TECHNICAL AND VOCATIONAL EDUCATION AND TRAINING COLLEGES AND UNIVERSITIES IN SOUTH AFRICA TO SCALE SKILLS DEVELOPMENT FOR YOUTH

#### DATES OF REPORTS BY GRANTEE

12/15/17,04/30/18,08/31/18, 10/31/18, 08/31/19

ANY DIVERSION BY GRANTEE

IQBUSINESS (PTY) LTD

GRANTEE'S ADDRESS

IQBUSINESS PARK, NO 3 THIRD AVENUE SANDTON SANDTON, SOUTH AFRICA, 2128

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 100,000.     | 05/16/18      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF PROMOTING THE DEMAND DRIVEN TRAINING TOOLKIT TO TECHNICAL AND VOCATIONAL EDUCATION AND TRAINING COLLEGES AND UNIVERSITIES IN SOUTH AFRICA TO SCALE SKILLS DEVELOPMENT FOR YOUTH

DATES OF REPORTS BY GRANTEE

8/31/2018, 10/31/18, 08/31/19

ANY DIVERSION BY GRANTEE

IQBUSINESS (PTY) LTD

GRANTEE'S ADDRESS

IQBUSINESS PARK, NO 3 THIRD AVENUE SANDTON SANDTON, SOUTH AFRICA, 2128

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| <del></del>  |               | <del></del>     |
| 73,167.      | 11/16/18      | 20,631.         |

# PURPOSE OF GRANT

IN SUPPORT OF PROMOTING THE DEMAND DRIVEN TRAINING TOOLKIT TO TECHNICAL AND VOCATIONAL EDUCATION AND TRAINING COLLEGES AND UNIVERSITIES IN SOUTH AFRICA TO SCALE SKILLS DEVELOPMENT FOR YOUTH

DATES OF REPORTS BY GRANTEE

08/31/19

ANY DIVERSION BY GRANTEE

KENYA PRIVATE SECTOR ALLIANCE

GRANTEE'S ADDRESS

P.O. BOX 3556-00100 NAIROBI, KENYA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 700,000. | 04/04/17      | 700,000.        |

## PURPOSE OF GRANT

TOWARD THE COSTS OF ITS AJIRA DIGITAL PROJECT TO SCALE DEMAND DRIVEN TRAINING AND DEVELOP A SUSTAINABLE MODEL FOR CONNECTING DISADVANTAGED YOUTH TO ONLINE JOBS

#### DATES OF REPORTS BY GRANTEE

05/15/17,05/31/17,09/29/17,10/16/17,02/28/18, 2/28/19, 08/30/19

ANY DIVERSION BY GRANTEE

KENYA PRIVATE SECTOR ALLIANCE

GRANTEE'S ADDRESS

P.O. BOX 3556-00100 NAIROBI, KENYA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 150,000.     | 10/11/17      | 150,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF ITS AJIRA DIGITAL PROJECT TO SCALE DEMAND DRIVEN TRAINING AND DEVELOP A SUSTAINABLE MODEL FOR CONNECTING DISADVANTAGED YOUTH TO ONLINE JOBS

DATES OF REPORTS BY GRANTEE

10/16/17,02/28/18, 2/28/19, 08/30/19

ANY DIVERSION BY GRANTEE

KENYA PRIVATE SECTOR ALLIANCE

GRANTEE'S ADDRESS

P.O. BOX 3556-00100 NAIROBI, KENYA

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 150,000. | 05/25/18      | 150,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF ITS AJIRA DIGITAL PROJECT TO SCALE DEMAND DRIVEN TRAINING AND DEVELOP A SUSTAINABLE MODEL FOR CONNECTING DISADVANTAGED YOUTH TO ONLINE JOBS

### DATES OF REPORTS BY GRANTEE

02/28/19, 08/30/19

ANY DIVERSION BY GRANTEE

KKS ADVISORS LLC

GRANTEE'S ADDRESS

559 EAST 2ND STREET, UNIT 3 BOSTON, MA 02127

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 89,470.      | 01/09/18      | 89,470.         |

PURPOSE OF GRANT

IN SUPPORT OF PROVIDING ANALYSIS AND STRATEGIC SUPPORT TO ENABLE THE ROCKEFELLER FOUNDATIONS REVALUE ECOSYSTEMS GRANTEES TO OPTIMIZE THE POSITIONING OF AND INFLUENCE FROM THEIR EFFORTS

DATES OF REPORTS BY GRANTEE

04/02/18

ANY DIVERSION BY GRANTEE

KKS ADVISORS LLC

GRANTEE'S ADDRESS

559 EAST 2ND STREET, UNIT 3 BOSTON, MA 02127

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 200,000.     | 01/09/18      | 200,000.        |

## PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A MECHANISM TO IMPROVE THE SOCIAL AND ENVIRONMENTAL OUTCOMES OF PRIVATE SECTOR COMPANIES BY INCREASING COLLABORATION ACROSS CORPORATE ACTORS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/20/18, 03/18/19, 03/20/19, 05/14/19, 06/27/19, 08/12/19

ANY DIVERSION BY GRANTEE

KKS ADVISORS LLC

GRANTEE'S ADDRESS

559 EAST 2ND STREET, UNIT 3 BOSTON, MA 02127

| GRANT AMOUN | IT DATE | E OF GRANT | AMOUNT | EXPENDED |
|-------------|---------|------------|--------|----------|
| 1(          |         | 0/04/18    |        | 109,620. |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A MECHANISM TO IMPROVE THE SOCIAL AND ENVIRONMENTAL OUTCOMES OF PRIVATE SECTOR COMPANIES BY INCREASING COLLABORATION ACROSS CORPORATE ACTORS

DATES OF REPORTS BY GRANTEE

03/18/19, 03/20/19, 05/14/19, 06/27/19

ANY DIVERSION BY GRANTEE

KNACK.IT CORP.

GRANTEE'S ADDRESS

P.O. BOX 591316 SAN FRANCISCO, CA 94159

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | 10/00/15      |                 |
| 900,000.     | 12/22/15      | 89,695.         |

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING TECHNOLOGY AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED STATES, SOUTH AFRICA, AND INDIA. INCOMPLETE REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE. A LETTER WAS SENT TO THE GRANTEE IN 2019 DEMANDING REPAYMENT OF THE GRANT.

DATES OF REPORTS BY GRANTEE

02/29/16,08/16/17

ANY DIVERSION BY GRANTEE

KNACK.IT CORP.

GRANTEE'S ADDRESS

P.O. BOX 591316 SAN FRANCISCO, CA 94159

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 90,000.      | 05/26/16      | 0.              |

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING TECHNOLOGY AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED STATES, SOUTH AFRICA, AND INDIA. INCOMPLETE REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE. A LETTER WAS SENT TO THE GRANTEE IN 2019 DEMANDING REPAYMENT OF THE GRANT.

DATES OF REPORTS BY GRANTEE

08/16/17

ANY DIVERSION BY GRANTEE

KOIS UK LIMITED

GRANTEE'S ADDRESS

34B YORK WAY, IMPACT HUB LONDON, UNITED KINGDOM, N1 9AB

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 20,000. | 04/12/18      | 20,000.         |

PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING A FEASIBILITY STUDY ON A MULTI-YEAR LEASING MECHANISM TO ADDRESS BUDGET PROCUREMENT CHALLENGES FACED IN THE HUMANITARIAN SPACE, WITH THE INITIAL FOCUS ON REFUGEE HOUSING SHELTERS

DATES OF REPORTS BY GRANTEE

06/06/18, 07/18/18

ANY DIVERSION BY GRANTEE

KURANTE, LLC

GRANTEE'S ADDRESS

708 OCEAN AVE #33 BROOKLYN, NY 11226

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 5,000.       | 03/01/18      | 5,000.          |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING NEW TECHNOLOGIES TO IMPROVE THE MONITORING AND EVALUATION OF COMPLEX INTERVENTIONS AIMED AT ALLEVIATING POVERTY IN THE GLOBAL SOUTH

### DATES OF REPORTS BY GRANTEE

02/12/18, 02/13/18

ANY DIVERSION BY GRANTEE

KYNE COMMUNICATIONS, INC.

GRANTEE'S ADDRESS

252 W. 37TH STREET, SUITE 500E NEW YORK, NY 10018

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 25,000.      | 08/09/18      | 25,000.         |

## PURPOSE OF GRANT

IN SUPPORT OF ACTIVITIES TO RAISE AWARENESS, UNDERSTANDING, AND ACCEPTANCE OF PLANETARY HEALTH, INCLUDING THE ECONOMIC BENEFITS OF POLICIES THAT ADDRESS ENVIRONMENTAL SUSTAINABILITY TOGETHER WITH HUMAN HEALTH AND DEVELOPMENT GOALS

### DATES OF REPORTS BY GRANTEE

06/11/18, 06/13/18

ANY DIVERSION BY GRANTEE

LION'S HEAD GLOBAL PARTNERS LLP

GRANTEE'S ADDRESS

154 BUCKINGHAM PALACE ROAD LONDON, UNITED KINGDOM, SW1W 9TR

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 11/29/17      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF LAUNCHING A DEBT RELIEF FACILITY FOR JORDAN AS IT ADDRESSES THE NEEDS OF A SURGE IN REFUGEES, AS WELL AS A FEASIBILITY STUDY FOR THE CREATION OF A JORDANIAN PERMANENT CAPITAL VEHICLE TO FUND PROJECTS BENEFICIAL TO BOTH REFUGEES AND THE JORDANIAN POPULATION

DATES OF REPORTS BY GRANTEE

01/24/18, 02/28/18, 04/27/18, 06/28/18, 09/16/18

ANY DIVERSION BY GRANTEE

LION'S HEAD GLOBAL PARTNERS LLP

GRANTEE'S ADDRESS

154 BUCKINGHAM PALACE ROAD LONDON, UNITED KINGDOM, SW1W 9TR

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,000.      | 07/06/18      | 50,000.         |

### PURPOSE OF GRANT

IN SUPPORT OF LAUNCHING A DEBT RELIEF FACILITY FOR JORDAN AS IT ADDRESSES THE NEEDS OF A SURGE IN REFUGEES, AS WELL AS A FEASIBILITY STUDY FOR THE CREATION OF A JORDANIAN PERMANENT CAPITAL VEHICLE TO FUND PROJECTS BENEFICIAL TO BOTH REFUGEES AND THE JORDANIAN POPULATION

DATES OF REPORTS BY GRANTEE

09/16/18

ANY DIVERSION BY GRANTEE

LIVELIHOOD IMPACT FUND, L.P.

GRANTEE'S ADDRESS

637 NATOMA STREET #2 SAN FRANCISCO, CA 94103

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 800,015.     | 12/18/15      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT IMPROVING THE LIVELIHOODS OF THE WORKING POOR BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES IN SOUTHEAST ASIA AND INDIA, FOCUSING ON SUPPLY CHAIN, DISTRIBUTION, GENERAL BUSINESS SERVICES AND OTHER INDUSTRIES THAT REDUCE WASTE AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION AMONGST THE WORKING POOR

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 04/05/18,05/30/18,08/28/18, 12/03/18, 03/04/19, 09/05/19

ANY DIVERSION BY GRANTEE

LIVELIHOOD IMPACT FUND, L.P.

GRANTEE'S ADDRESS

637 NATOMA STREET #2 SAN FRANCISCO, CA 94103

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 252.066      | 0E/1C/1C      |                 |
| 352,066.     | 05/16/16      | υ.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT IMPROVING THE LIVELIHOODS OF THE WORKING POOR BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES IN SOUTHEAST ASIA AND INDIA, FOCUSING ON SUPPLY CHAIN, DISTRIBUTION, GENERAL BUSINESS SERVICES AND OTHER INDUSTRIES THAT REDUCE WASTE AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION AMONGST THE WORKING POOR

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 04/05/18,05/30/18,08/28/18, 12/03/18, 03/04/19, 09/05/19

ANY DIVERSION BY GRANTEE

LIVELIHOOD IMPACT FUND, L.P.

GRANTEE'S ADDRESS

637 NATOMA STREET #2 SAN FRANCISCO, CA 94103

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 520,000. | 10/31/17      | 0.              |

### PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT IMPROVING THE LIVELIHOODS OF THE WORKING POOR BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES IN SOUTHEAST ASIA AND INDIA, FOCUSING ON SUPPLY CHAIN, DISTRIBUTION, GENERAL BUSINESS SERVICES AND OTHER INDUSTRIES THAT REDUCE WASTE AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION AMONGST THE WORKING POOR

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 04/05/18,05/30/18,08/28/18, 12/03/18, 03/04/19, 09/05/19

ANY DIVERSION BY GRANTEE

LONGITUDE RESEARCH LTD

GRANTEE'S ADDRESS

LION COURT, 25 PROCTOR STREET LONDON, UNITED KINGDOM, WC1V 6NY

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 150,000.     | 12/05/18      | 150,000.        |

PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING RESEARCH TO MEASURE THE IMPACTS OF THE FIELD OF IMPACT INVESTING AND THE FINANCIAL TIMES INVESTING FOR GOOD USA SUMMIT IN NEW YORK

DATES OF REPORTS BY GRANTEE

07/18/19, 08/20/19, 08/21/19, 09/12/19

ANY DIVERSION BY GRANTEE

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS

600 ALEXANDER PARK, SUITE 100 PRINCETON, NJ 08540

| GRANT | AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|-------|--------|---------------|-----------------|
|       | 5,000. | 02/09/18      | 5,000.          |

PURPOSE OF GRANT

FOR USE BY ITS CENTER FOR INTERNATIONAL POLICY AND PROGRAM EVALUATION IN SUPPORT OF PRODUCING TWO CASE STUDIES AND CONDUCTING A FINAL EVALUATION OF THE FOUNDATIONS TRANSFORMING HEALTH SYSTEMS INITIATIVE

DATES OF REPORTS BY GRANTEE

12/15/17

ANY DIVERSION BY GRANTEE

MAXTUDIO, SNC

GRANTEE'S ADDRESS

PIAZZA MEUCCI 23 ROME, ITALY, 146

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 100,000.     | 05/24/16      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND EVALUATION OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATIONS GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 12/21/17,12/22/17,02/23/18, 02/21/19, 06/24/19, 07/11/19

ANY DIVERSION BY GRANTEE

MAXTUDIO, SNC

GRANTEE'S ADDRESS

PIAZZA MEUCCI 23 ROME, ITALY, 146

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 80,000.      | 05/11/17      | 80,000.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND EVALUATION OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATIONS GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY

#### DATES OF REPORTS BY GRANTEE

05/26/17,09/25/17,12/21/17,12/22/17,02/23/18, 02/21/19, 06/24/19, 07/11/19

ANY DIVERSION BY GRANTEE

MAXTUDIO, SNC

GRANTEE'S ADDRESS

PIAZZA MEUCCI 23 ROME, ITALY, 146

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 10,000.      | 01/23/18      | 10,000.         |

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND EVALUATION OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATIONS GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY

DATES OF REPORTS BY GRANTEE

02/23/18, 02/21/19, 06/24/19, 07/11/19

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC. UNITED STATES

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 550,000.     | 01/05/18      | 550,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF IDENTIFYING POTENTIAL HIGH-IMPACT STRATEGIES AND INNOVATIONS TO ADDRESS THE GLOBAL HEALTH SUSTAINABLE DEVELOPMENT GOALS BY 2030

### DATES OF REPORTS BY GRANTEE

12/17/17, 12/28/17

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC. UNITED STATES

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 150,000.     | 06/14/18      | 150,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF RESEARCH AND ANALYSIS TO DEFINE AND DETERMINE THE ECONOMIC VALUE OF EFFECTIVE DIGITAL IDENTIFICATION

DATES OF REPORTS BY GRANTEE

06/11/18, 10/30/18, 01/31/19

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC. UNITED STATES

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 25,000.      | 11/19/18      | 25,000.         |

PURPOSE OF GRANT

TOWARD THE COSTS OF RESEARCH AND ANALYSIS TO DEFINE AND DETERMINE THE ECONOMIC VALUE OF EFFECTIVE DIGITAL IDENTIFICATION

DATES OF REPORTS BY GRANTEE

01/31/19

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC. UNITED STATES

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,000.      | 11/19/18      | 50,000.         |

PURPOSE OF GRANT

TOWARD THE COSTS OF RESEARCH AND ANALYSIS TO DEFINE AND DETERMINE THE ECONOMIC VALUE OF EFFECTIVE DIGITAL IDENTIFICATION

DATES OF REPORTS BY GRANTEE

01/31/19

ANY DIVERSION BY GRANTEE

MIAMI HERALD MEDIA COMPANY

**GRANTEE'S ADDRESS** 

3511 NW 91 AVENUE MIAMI, FL 33172

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 11/12/18      | 200,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF PUBLISHING A MULTI-PART, MULTIMEDIA JOURNALISM REPORT ENTITLED "PUERTO RICO: THE FORGOTTEN ISLAND," TO RECOGNIZE THE ONE-YEAR ANNIVERSARY OF HURRICANE MARIA AND ITS IMPACT ON PUERTO RICO

DATES OF REPORTS BY GRANTEE

12/20/18, 01/15/19, 02/28/19

ANY DIVERSION BY GRANTEE

MILAN INNOVINCY B.V.

GRANTEE'S ADDRESS

KAPTEYNSTRAAT 1 NOORDWIJK, NETHERLANDS, 2201BB

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 244,600.     | 12/19/16      | 244,600.        |

PURPOSE OF GRANT

IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND TANZANIA

#### DATES OF REPORTS BY GRANTEE

08/15/17, 11/15/17, 12/11/17, 02/28/18, 03/22/18, 04/03/18, 10/31/18

ANY DIVERSION BY GRANTEE

MILAN INNOVINCY B.V.

GRANTEE'S ADDRESS

KAPTEYNSTRAAT 1 NOORDWIJK, NETHERLANDS, 2201BB

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 97,840.      | 08/30/17      | 97,840.         |

### PURPOSE OF GRANT

IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND TANZANIA

#### DATES OF REPORTS BY GRANTEE

11/15/17, 12/11/17, 02/28/18, 03/22/18, 04/03/18, 10/31/18

ANY DIVERSION BY GRANTEE

MILAN INNOVINCY B.V.

GRANTEE'S ADDRESS

KAPTEYNSTRAAT 1 NOORDWIJK, NETHERLANDS, 2201BB

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 97,840.      | 01/03/18      | 97,840.         |

### PURPOSE OF GRANT

IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND TANZANIA

DATES OF REPORTS BY GRANTEE

02/28/18, 03/22/18, 04/03/18, 10/31/18

ANY DIVERSION BY GRANTEE

MILAN INNOVINCY B.V.

GRANTEE'S ADDRESS

KAPTEYNSTRAAT 1 NOORDWIJK, NETHERLANDS, 2201BB

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 48,920.      | 12/19/18      | 48,920.         |

PURPOSE OF GRANT

IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND TANZANIA

DATES OF REPORTS BY GRANTEE

43404

ANY DIVERSION BY GRANTEE

NEW YORK ACQUISITION FUND, LLC

GRANTEE'S ADDRESS

588 BROADWAY, SUITE 1103 NEW YORK, NY 10012

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 5 000 000  | 09/15/06      | 1,000,000.      |
|       | 5,000,000. | 09/15/06      | 1,000,00        |

PURPOSE OF GRANT

PAYMENT IN FULL OF A \$5,000,000 PROGRAM-RELATED INVESTMENT TO CAPITALIZE THE NEW YORK CITY ACQUISITION LOAN FUND, LLC, WHICH WILL ACCELERATE AND INCREASE THE DEVELOPMENT OF AFFORDABLE HOUSING IN NEW YORK CITY. AMOUNT EXPENDED REFLECTS A RETURN OF PRINCIPAL FOR LOAN GUARANTEE.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 06/09/18,08/27/18, 12/05/18, 03/01/2019, 06/07/19, 09/03/19

ANY DIVERSION BY GRANTEE

NEW YORK TIMES COMPANY

GRANTEE'S ADDRESS

620 EIGHTH AVENUE NEW YORK, NY 10036-3959

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 11/09/18      | 200,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF ITS 2018 CITIES FOR TOMORROW CONFERENCE, IN ORDER TO RAISE AWARENESS OF OPPORTUNITY ZONES AND ECONOMIC DEVELOPMENT IN LOW-INCOME AREAS OF THE U.S.

DATES OF REPORTS BY GRANTEE

01/15/19

ANY DIVERSION BY GRANTEE

NEW YORK TIMES COMPANY

GRANTEE'S ADDRESS

620 EIGHTH AVENUE NEW YORK, NY 10036-3959

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 40,000.      | 11/30/18      | 40,000.         |

PURPOSE OF GRANT

IN SUPPORT OF ESTABLISHING A "POD BUREAU" IN SAN JUAN, PUERTO RICO TO REPORT ON THE 1-YEAR ANNIVERSARY OF HURRICANE MARIA INCLUDING AN ARTICLE ENTITLED "PUERTO RICO IN RUINS"

DATES OF REPORTS BY GRANTEE

07/24/19, 08/16/19

ANY DIVERSION BY GRANTEE

NEWS DEEPLY, INC.

GRANTEE'S ADDRESS

115 FIFTH AVE., 6TH FLOOR NEW YORK, NY 10003

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 172,500. | 12/06/18      | 172,500.        |

PURPOSE OF GRANT

IN SUPPORT OF SCOPING AND DESIGNING THE STRUCTURE AND CONTENT OF A DIGITAL CONVENING PLATFORM INTENDED TO BECOME A HUB FOR GLOBAL INFLUENCERS AND FUNCTION TO EXTEND AND AMPLIFY THEIR REACH

DATES OF REPORTS BY GRANTEE

01/09/19, 02/19/19, 02/21/19, 03/04/19, 04/22/19

ANY DIVERSION BY GRANTEE

OGILVY & MATHER GROUP (HOLDINGS) LIMITED

GRANTEE'S ADDRESS

SEA CONTAINERS, 18 UPPER GROUND LONDON, UNITED KINGDOM, SE1 9RQ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | <del></del>   |                 |
| 210,000.     | 08/30/17      | 210,000.        |

### PURPOSE OF GRANT

IN SUPPORT OF FACILITATING A CONVENING ON "EFFECTIVE COMMUNICATIONS TO IMPLEMENT THE PARIS AGREEMENT," TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, JUNE 2017

#### DATES OF REPORTS BY GRANTEE

09/01/17, 04/24/18, 04/26/18, 08/14/18, 01/16/19

ANY DIVERSION BY GRANTEE

OGILVY & MATHER GROUP (HOLDINGS) LIMITED

GRANTEE'S ADDRESS

SEA CONTAINERS, 18 UPPER GROUND LONDON, UNITED KINGDOM, SE1 9RQ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 60,000.      | 08/30/18      | 60,000.         |

### PURPOSE OF GRANT

IN SUPPORT OF FACILITATING A CONVENING ON "EFFECTIVE COMMUNICATIONS TO IMPLEMENT THE PARIS AGREEMENT," TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, JUNE 2017

DATES OF REPORTS BY GRANTEE

01/16/19

ANY DIVERSION BY GRANTEE

OGILVY PUBLIC RELATIONS WORLDWIDE INC.

GRANTEE'S ADDRESS

636 11TH AVENUE NEW YORK, NY 10036

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 11/02/16      | 200,000.        |

PURPOSE OF GRANT

IN SUPPORT OF PROVIDING COMMUNICATIONS SUPPORT FOR THE INFLUENCE OBJECTIVES OF THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, TO INFORM NEW AUDIENCES ABOUT THE RESIDENCY AND CONFERENCE OPPORTUNITIES, INCREASE AWARENESS OF THE FOUNDATIONS STRATEGIC GOALS AMONGST PARTICIPANTS, AND ELEVATE THE WORK ADVANCED BY PARTICIPANTS, PARTICULARLY THOSE WORKING TO BUILD RESILIENCE AND MORE INCLUSIVE ECONOMIES

DATES OF REPORTS BY GRANTEE

03/03/17,03/31/17,06/20/17,04/23/18

ANY DIVERSION BY GRANTEE

OGILVY PUBLIC RELATIONS WORLDWIDE INC.

GRANTEE'S ADDRESS

636 11TH AVENUE NEW YORK, NY 10036

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 10/04/17      | 105,840.        |

### PURPOSE OF GRANT

IN SUPPORT OF PROVIDING COMMUNICATIONS SUPPORT FOR THE INFLUENCE OBJECTIVES OF THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, TO INFORM NEW AUDIENCES ABOUT THE RESIDENCY AND CONFERENCE OPPORTUNITIES, INCREASE AWARENESS OF THE FOUNDATIONS STRATEGIC GOALS AMONGST PARTICIPANTS, AND ELEVATE THE WORK ADVANCED BY PARTICIPANTS, PARTICULARLY THOSE WORKING TO BUILD RESILIENCE AND MORE INCLUSIVE ECONOMIES

DATES OF REPORTS BY GRANTEE

04/23/18

ANY DIVERSION BY GRANTEE

OMC POWER PRIVATE LTD

GRANTEE'S ADDRESS

201, SUMER KENDRA, 400018 P.B. MARG, WORLI MUMBAI, INDIA, 400018

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 2,932,336. | 01/16/17      | 0.              |

PURPOSE OF GRANT

POWER PRIVATE LTD TO SUPPORT A SMART POWER OF RURAL DEVELOPMENT INITIATIVE THAT AIMS TO FACILITATE THE PROVISION OF POWER TO HOUSEHOLDS AND MICROENTERPRISES IN DISADVANATGED, RURAL COMMUNITIES TO ENABLE ECONOMIC DEVELOPMENT AND IMPROVED HEALTH AND EDUCATION

#### DATES OF REPORTS BY GRANTEE

12/29/17, 03/31/18, 06/29/18, 10/12/18, 01/18/19, 04/15/19, 08/20/19

ANY DIVERSION BY GRANTEE

OMC POWER PRIVATE LTD

GRANTEE'S ADDRESS

201, SUMER KENDRA, 400018 P.B. MARG, WORLI MUMBAI, INDIA, 400018

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               |                 |
|       | 730,000. | 12/11/18      | 0.              |

### PURPOSE OF GRANT

OMC POWER PRIVATE LTD TO SUPPORT A SMART POWER OF RURAL DEVELOPMENT INITIATIVE THAT AIMS TO FACILITATE THE PROVISION OF POWER TO HOUSEHOLDS AND MICROENTERPRISES IN DISADVANATGED, RURAL COMMUNITIES TO ENABLE ECONOMIC DEVELOPMENT AND IMPROVED HEALTH AND EDUCATION

DATES OF REPORTS BY GRANTEE

01/18/19, 04/15/19, 08/20/19

ANY DIVERSION BY GRANTEE

**OMNIVORE PARTNERS OFFSHORE FUND 2** 

GRANTEE'S ADDRESS

ROGERS CAPITAL FUND SERVICES, 3RD FL ROGERS HOUSE, #5 PRES. JOHN KENNEDY ST PORT LOUIS, MAURITIUS

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               |                 |
|       | 217,656. | 02/01/18      | 0.              |

### PURPOSE OF GRANT

TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURAL SECTOR IN INDIA. THESE COMPANIES WILL SUPPORT THE REDUCTION OF POST-HARVEST LOSS, AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION FOR POOR SMALLHOLDER FARMERS.

#### DATES OF REPORTS BY GRANTEE

05/11/18, 08/16/18, 11/14/18, 02/22/19, 05/28/19, 07/01/19, 09/20/19

ANY DIVERSION BY GRANTEE

**OMNIVORE PARTNERS OFFSHORE FUND 2** 

GRANTEE'S ADDRESS

ROGERS CAPITAL FUND SERVICES, 3RD FL ROGERS HOUSE, #5 PRES. JOHN KENNEDY ST PORT LOUIS, MAURITIUS

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 19,472.      | 03/16/18      | 0.              |

### PURPOSE OF GRANT

TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURAL SECTOR IN INDIA. THESE COMPANIES WILL SUPPORT THE REDUCTION OF POST-HARVEST LOSS, AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION FOR POOR SMALLHOLDER FARMERS.

#### DATES OF REPORTS BY GRANTEE

05/11/18, 08/16/18, 11/14/18, 02/22/19, 05/28/19, 07/01/19, 09/20/19

ANY DIVERSION BY GRANTEE

**OMNIVORE PARTNERS OFFSHORE FUND 2** 

GRANTEE'S ADDRESS

ROGERS CAPITAL FUND SERVICES, 3RD FL ROGERS HOUSE, #5 PRES. JOHN KENNEDY ST PORT LOUIS, MAURITIUS

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       |         |               |                 |
|       | 56,843. | 06/13/18      | 0.              |

### PURPOSE OF GRANT

TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURAL SECTOR IN INDIA. THESE COMPANIES WILL SUPPORT THE REDUCTION OF POST-HARVEST LOSS, AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION FOR POOR SMALLHOLDER FARMERS.

#### DATES OF REPORTS BY GRANTEE

08/16/18, 11/14/18, 02/22/19, 05/28/19, 07/01/19, 09/20/19

ANY DIVERSION BY GRANTEE

**OMNIVORE PARTNERS OFFSHORE FUND 2** 

GRANTEE'S ADDRESS

ROGERS CAPITAL FUND SERVICES, 3RD FL ROGERS HOUSE, #5 PRES. JOHN KENNEDY ST PORT LOUIS, MAURITIUS

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       |         | <del></del>   |                 |
|       | 55,708. | 08/30/18      | 0.              |

### PURPOSE OF GRANT

TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURAL SECTOR IN INDIA. THESE COMPANIES WILL SUPPORT THE REDUCTION OF POST-HARVEST LOSS, AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION FOR POOR SMALLHOLDER FARMERS.

#### DATES OF REPORTS BY GRANTEE

11/14/18, 02/22/19, 05/28/19, 07/01/19, 09/20/19

ANY DIVERSION BY GRANTEE

**OMNIVORE PARTNERS OFFSHORE FUND 2** 

GRANTEE'S ADDRESS

ROGERS CAPITAL FUND SERVICES, 3RD FL ROGERS HOUSE, #5 PRES. JOHN KENNEDY ST PORT LOUIS, MAURITIUS

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       |         |               |                 |
|       | 57,888. | 10/03/18      | 0.              |

### PURPOSE OF GRANT

TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURAL SECTOR IN INDIA. THESE COMPANIES WILL SUPPORT THE REDUCTION OF POST-HARVEST LOSS, AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION FOR POOR SMALLHOLDER FARMERS.

#### DATES OF REPORTS BY GRANTEE

11/14/18, 02/22/19, 05/28/19, 07/01/19, 09/20/19

ANY DIVERSION BY GRANTEE

**OMNIVORE PARTNERS OFFSHORE FUND 2** 

GRANTEE'S ADDRESS

ROGERS CAPITAL FUND SERVICES, 3RD FL ROGERS HOUSE, #5 PRES. JOHN KENNEDY ST PORT LOUIS, MAURITIUS

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               |                 |
|       | 125,041. | 10/31/18      | 0.              |

### PURPOSE OF GRANT

TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURAL SECTOR IN INDIA. THESE COMPANIES WILL SUPPORT THE REDUCTION OF POST-HARVEST LOSS, AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION FOR POOR SMALLHOLDER FARMERS.

#### DATES OF REPORTS BY GRANTEE

11/14/18, 02/22/19, 05/28/19, 07/01/19, 09/20/19

ANY DIVERSION BY GRANTEE

ONFRONTIERS INC.

GRANTEE'S ADDRESS

1407 BROADWAY NEW YORK, NY 10018

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 04/13/17      | 200,000.        |

PURPOSE OF GRANT

IN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR "ZILIENT," A RESILIENCE ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORM

DATES OF REPORTS BY GRANTEE

02/26/18, 10/10/18, 02/26/19

ANY DIVERSION BY GRANTEE

ONFRONTIERS INC.

GRANTEE'S ADDRESS

1407 BROADWAY NEW YORK, NY 10018

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 03/16/18      | 200,000.        |

PURPOSE OF GRANT

IN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR "ZILIENT," A RESILIENCE ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORM

#### DATES OF REPORTS BY GRANTEE

10/10/18, 02/26/19

ANY DIVERSION BY GRANTEE

ONFRONTIERS INC.

GRANTEE'S ADDRESS

1407 BROADWAY NEW YORK, NY 10018

| GRANT AMOUNT I | DATE OF GRANT | AMOUNT EXPENDED |
|----------------|---------------|-----------------|
| 47,800.        | 11/09/18      | 47.800.         |

PURPOSE OF GRANT

IN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR "ZILIENT," A RESILIENCE ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORM

DATES OF REPORTS BY GRANTEE

02/26/19

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET LONDON, UNITED KINGDOM, W1T 4BQ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 666,000.     | 09/20/16      | 666,000.        |

### PURPOSE OF GRANT

IN SUPPORT OF ENABLING CITIES TO USE THE CITY RESILIENCE INDEX TO ASSESS THEIR RESILIENCE THROUGH DIRECT ENGAGEMENT, TECHNICAL SUPPORT AND GUIDING MATERIALS

#### DATES OF REPORTS BY GRANTEE

PREV REPORTS + 07/07/17,09/21/17,10/21/17,06/18/18, 09/30/18, 11/25/18

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET LONDON, UNITED KINGDOM, W1T 4BQ

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 300,000. | 09/20/17      | 300,000.        |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A CITY WATER RESILIENCE FRAMEWORK TO PROVIDE A COMPREHENSIVE SET OF INDICATORS, VARIABLES AND METRICS THAT CAN BE USED BY CITIES TO MEASURE THE RESILIENCE OF THEIR URBAN WATER SYSTEMS OVER TIME

DATES OF REPORTS BY GRANTEE

02/02/18, 06/24/18, 12/20/18, 3/29/19

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET LONDON, UNITED KINGDOM, W1T 4BQ

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 332,943.     | 10/11/17      | 332,943.        |
| 552,545.     | 10/11/1/      | 552,545.        |

## PURPOSE OF GRANT

IN SUPPORT OF ENABLING CITIES TO USE THE CITY RESILIENCE INDEX TO ASSESS THEIR RESILIENCE THROUGH DIRECT ENGAGEMENT, TECHNICAL SUPPORT AND GUIDING MATERIALS

DATES OF REPORTS BY GRANTEE

10/21/17,06/18/18, 09/30/18, 11/25/18

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET LONDON, UNITED KINGDOM, W1T 4BQ

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 02/22/18      | 99,227.         |

### PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING A CITY WATER RESILIENCE FRAMEWORK TO PROVIDE A COMPREHENSIVE SET OF INDICATORS, VARIABLES AND METRICS THAT CAN BE USED BY CITIES TO MEASURE THE RESILIENCE OF THEIR URBAN WATER SYSTEMS OVER TIME

DATES OF REPORTS BY GRANTEE

06/24/18, 12/20/18, 3/29/19

ANY DIVERSION BY GRANTEE

PAMIGA FINANCE SA

GRANTEE'S ADDRESS

7 RUE TAYLOR PARIS, FRANCE, 75010

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 500,000. | 05/25/16      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE GENERAL EXPANSION OF MICROFINANCE INSTITUTIONS AND OTHER NON-BANK FINANCE INSTITUTIONS LENDING TO BASE OF THE PYRAMID POPULATIONS IN RURAL AFRICA, BY FACILITATING MICROLOANS FINANCING ACCESS TO SOLAR ENERGY AND WATER AS WELL AS FEMALE ENTREPRENEURS IN RURAL AFRICA

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 03/13/18,04/30/18,05/23/18,08/16/18, 11/15/18, 08/19/19

ANY DIVERSION BY GRANTEE

#### PANAHPUR

GRANTEE'S ADDRESS

84 HIGH STREET TONBRIDGE, KENT, UNITED KINGDOM, TN9 1AP

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 300,000.     | 06/07/18      | 0.              |

### PURPOSE OF GRANT

TOWARD THE COSTS OF DEVELOPING PROJECT SNOWBALL, A PARTNERSHIP BETWEEN CHARITABLE ORGANIZATIONS TO FACILITATE SECONDARY TRANSACTIONS OF HIGH SOCIAL IMPACT INVESTMENTS FOR THE BENEFIT OF POOR AND VULNERABLE POPULATIONS

#### DATES OF REPORTS BY GRANTEE

06/25/18, 06/29/18, 07/30/18, 11/20/18, 09/09/19

ANY DIVERSION BY GRANTEE

#### PANAHPUR

GRANTEE'S ADDRESS

84 HIGH STREET TONBRIDGE, KENT, UNITED KINGDOM, TN9 1AP

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 75,000. | 10/04/18      | 0.              |

### PURPOSE OF GRANT

TOWARD THE COSTS OF DEVELOPING PROJECT SNOWBALL, A PARTNERSHIP BETWEEN CHARITABLE ORGANIZATIONS TO FACILITATE SECONDARY TRANSACTIONS OF HIGH SOCIAL IMPACT INVESTMENTS FOR THE BENEFIT OF POOR AND VULNERABLE POPULATIONS

### DATES OF REPORTS BY GRANTEE

11/20/18, 09/09/19

ANY DIVERSION BY GRANTEE

#### PANAHPUR

GRANTEE'S ADDRESS

84 HIGH STREET TONBRIDGE, KENT, UNITED KINGDOM, TN9 1AP

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 75,000.      | 11/30/18      | 0.              |

### PURPOSE OF GRANT

TOWARD THE COSTS OF DEVELOPING PROJECT SNOWBALL, A PARTNERSHIP BETWEEN CHARITABLE ORGANIZATIONS TO FACILITATE SECONDARY TRANSACTIONS OF HIGH SOCIAL IMPACT INVESTMENTS FOR THE BENEFIT OF POOR AND VULNERABLE POPULATIONS

#### DATES OF REPORTS BY GRANTEE

09/09/19

ANY DIVERSION BY GRANTEE

PUBLISEZ, INC.

GRANTEE'S ADDRESS

27 PARK PLACE BROOKLYN, NY 11217

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 162,500. | 10/26/17      | 162,500.        |

PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS SUPPORTED BY THE ROCKEFELLER FOUNDATIONS INNOVATIVE FINANCE "ZERO GAP" PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE HARNESSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL SOCIAL AND ENVIRONMENTAL OUTCOMES

DATES OF REPORTS BY GRANTEE

02/03/18,03/05/18, 01/28/19

ANY DIVERSION BY GRANTEE

PUBLISEZ, INC.

GRANTEE'S ADDRESS

27 PARK PLACE BROOKLYN, NY 11217

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              | <del></del>   |                 |
| 255,928.     | 03/26/18      | 255,928.        |

PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS SUPPORTED BY THE ROCKEFELLER FOUNDATIONS INNOVATIVE FINANCE "ZERO GAP" PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE HARNESSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL SOCIAL AND ENVIRONMENTAL OUTCOMES

DATES OF REPORTS BY GRANTEE

01/28/19

ANY DIVERSION BY GRANTEE

PUSHKIN INDUSTRIES, INC.

GRANTEE'S ADDRESS

C/O JACOB WEISBERG, 142 DUANE STREET, 2A NEW YORK, NY 10013

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 750,000.
 12/12/18
 623,045.

PURPOSE OF GRANT

IN SUPPORT OF PRODUCING THE "SOLVABLE" PODCAST, AN ORIGINAL AUDIO SERIES SHOWCASING WORLD THINKERS PROPOSING SOLUTIONS TO THE WORLDS MOST CHALLENGING PROBLEMS AFFECTING POOR AND VULNERABLE POPULATIONS

#### DATES OF REPORTS BY GRANTEE

01/31/19, 05/23/19, 06/03/19, 06/05/19, 07/16/19, 07/31/19, 08/01/19

ANY DIVERSION BY GRANTEE

PYMETRICS, INC.

GRANTEE'S ADDRESS

79 MADISON AVENUE NEW YORK, NY 10016

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 06/14/17      | 44,950.         |

PURPOSE OF GRANT

IN SUPPORT OF A PILOT PROJECT TO DEMONSTRATE HOW CANDIDATE ASSESSMENT TECHNOLOGIES CAN REDUCE BIAS IN YOUTH HIRING AND UNLOCK ADDITIONAL EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN THE U.S.

#### DATES OF REPORTS BY GRANTEE

03/22/18, 10/03/18, 11/08/18, 08/08/19

ANY DIVERSION BY GRANTEE

PYMETRICS, INC.

GRANTEE'S ADDRESS

79 MADISON AVENUE NEW YORK, NY 10016

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,000.      | 04/17/18      | 0.              |

PURPOSE OF GRANT

IN SUPPORT OF A PILOT PROJECT TO DEMONSTRATE HOW CANDIDATE ASSESSMENT TECHNOLOGIES CAN REDUCE BIAS IN YOUTH HIRING AND UNLOCK ADDITIONAL EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN THE U.S.

DATES OF REPORTS BY GRANTEE

10/03/18, 11/08/18, 08/08/19

ANY DIVERSION BY GRANTEE

QUANTIFIED VENTURES LLC

GRANTEE'S ADDRESS

1875 CONNECTICUT AVENUE NW WASHINGTON, DC 20009

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 267,688.     | 05/11/17      | 241,192.        |

### PURPOSE OF GRANT

IN SUPPORT OF ASSISTING TWO U.S. MUNICIPAL WATER AUTHORITIES TO ISSUE PAY-FOR-SUCCESS MUNICIPAL BONDS TO FINANCE GREEN INFRASTRUCTURE PROJECTS SERVING POOR AND VULNERABLE COMMUNITIES

#### DATES OF REPORTS BY GRANTEE

PIROR REPORTS+ 02/07/18, 02/28/18, 03/01/18, 11/12/18, 03/25/19, 04/23/19

ANY DIVERSION BY GRANTEE

QUANTIFIED VENTURES LLC

GRANTEE'S ADDRESS

1875 CONNECTICUT AVENUE NW WASHINGTON, DC 20009

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 50,000. | 02/22/18      | 0.              |

### PURPOSE OF GRANT

IN SUPPORT OF ASSISTING TWO U.S. MUNICIPAL WATER AUTHORITIES TO ISSUE PAY-FOR-SUCCESS MUNICIPAL BONDS TO FINANCE GREEN INFRASTRUCTURE PROJECTS SERVING POOR AND VULNERABLE COMMUNITIES

#### DATES OF REPORTS BY GRANTEE

02/28/18, 03/01/18, 11/12/18, 03/25/19, 04/23/19

ANY DIVERSION BY GRANTEE

QUANTIFIED VENTURES LLC

GRANTEE'S ADDRESS

1875 CONNECTICUT AVENUE NW WASHINGTON, DC 20009

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 12,500. | 12/13/18      | 0.              |

### PURPOSE OF GRANT

IN SUPPORT OF ASSISTING TWO U.S. MUNICIPAL WATER AUTHORITIES TO ISSUE PAY-FOR-SUCCESS MUNICIPAL BONDS TO FINANCE GREEN INFRASTRUCTURE PROJECTS SERVING POOR AND VULNERABLE COMMUNITIES

#### DATES OF REPORTS BY GRANTEE

03/25/19, 04/23/19

ANY DIVERSION BY GRANTEE

RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES

GRANTEE'S ADDRESS

17 RUE BOURGELAT, 20 RUE DE LA VILLETTE LYON, FRANCE, 69002

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 500,000.
 02/14/18
 500,000.

### PURPOSE OF GRANT

IN SUPPORT OF THE WEST AFRICA NETWORK FOR INFECTIOUS DISEASE SURVEILLANCE IN AN EFFORT TO IMPROVE SURVEILLANCE CAPACITY, PROMOTE INNOVATION AND BUILD SUSTAINABLE NETWORKS TO REDUCE GLOBAL BIOLOGICAL THREATS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/13/18, 09/15/18, 03/19/19, 04/20/19, 6/19/19, 07/31/19

ANY DIVERSION BY GRANTEE

RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES

GRANTEE'S ADDRESS

17 RUE BOURGELAT, 20 RUE DE LA VILLETTE LYON, FRANCE, 69002

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 250,000.
 06/29/18
 250,000.

### PURPOSE OF GRANT

IN SUPPORT OF THE WEST AFRICA NETWORK FOR INFECTIOUS DISEASE SURVEILLANCE IN AN EFFORT TO IMPROVE SURVEILLANCE CAPACITY, PROMOTE INNOVATION AND BUILD SUSTAINABLE NETWORKS TO REDUCE GLOBAL BIOLOGICAL THREATS

#### DATES OF REPORTS BY GRANTEE

07/13/18, 09/15/18, 03/19/19, 04/20/19, 6/19/19, 07/31/19

ANY DIVERSION BY GRANTEE

RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES

GRANTEE'S ADDRESS

17 RUE BOURGELAT, 20 RUE DE LA VILLETTE LYON, FRANCE, 69002

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 499,980.
 06/29/18
 499,980.

#### PURPOSE OF GRANT

IN SUPPORT OF THE WEST AFRICA NETWORK FOR INFECTIOUS DISEASE SURVEILLANCE IN AN EFFORT TO IMPROVE SURVEILLANCE CAPACITY, PROMOTE INNOVATION AND BUILD SUSTAINABLE NETWORKS TO REDUCE GLOBAL BIOLOGICAL THREATS

#### DATES OF REPORTS BY GRANTEE

07/13/18, 09/15/18, 03/19/19, 04/20/19, 6/19/19, 07/31/19

ANY DIVERSION BY GRANTEE

RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES

GRANTEE'S ADDRESS

17 RUE BOURGELAT, 20 RUE DE LA VILLETTE LYON, FRANCE, 69002

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 300,000.
 09/19/18
 300,000.

### PURPOSE OF GRANT

IN SUPPORT OF THE WEST AFRICA NETWORK FOR INFECTIOUS DISEASE SURVEILLANCE IN AN EFFORT TO IMPROVE SURVEILLANCE CAPACITY, PROMOTE INNOVATION AND BUILD SUSTAINABLE NETWORKS TO REDUCE GLOBAL BIOLOGICAL THREATS

DATES OF REPORTS BY GRANTEE

03/19/19, 04/20/19, 6/19/19, 07/31/19

ANY DIVERSION BY GRANTEE

RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES

GRANTEE'S ADDRESS

17 RUE BOURGELAT, 20 RUE DE LA VILLETTE LYON, FRANCE, 69002

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 200,000.
 10/12/18
 200,000.

### PURPOSE OF GRANT

IN SUPPORT OF THE WEST AFRICA NETWORK FOR INFECTIOUS DISEASE SURVEILLANCE IN AN EFFORT TO IMPROVE SURVEILLANCE CAPACITY, PROMOTE INNOVATION AND BUILD SUSTAINABLE NETWORKS TO REDUCE GLOBAL BIOLOGICAL THREATS

DATES OF REPORTS BY GRANTEE

03/19/19, 04/20/19, 6/19/19, 07/31/19

ANY DIVERSION BY GRANTEE

RIGHT MANAGEMENT INC.

GRANTEE'S ADDRESS

100 MANPOWER PLACE MILWAUKEE, WI 53212

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 100,000.     | 10/11/17      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY MODEL FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE U.S.

#### DATES OF REPORTS BY GRANTEE

12/08/17,12/12/17, 02/18/19, 02/18/19, 03/15/19

ANY DIVERSION BY GRANTEE

RIGHT MANAGEMENT INC.

GRANTEE'S ADDRESS

100 MANPOWER PLACE MILWAUKEE, WI 53212

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 40,000. | 01/05/18      | 40,000.         |

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY MODEL FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE U.S.

DATES OF REPORTS BY GRANTEE

02/18/19, 03/15/19

ANY DIVERSION BY GRANTEE

#### ROCKEFELLER ARCHIVE CENTER

GRANTEE'S ADDRESS

15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 226,240. | 10/11/18      | 0.              |

### PURPOSE OF GRANT

IN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY AND CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATIONS HISTORY IN A GLOBAL CONTEXT

#### DATES OF REPORTS BY GRANTEE

NO REPORTS TO DATE

ANY DIVERSION BY GRANTEE

ROCKEFELLER ARCHIVE CENTER\*

GRANTEE'S ADDRESS

15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 168,394.     | 10/06/16      | 168,394.        |

PURPOSE OF GRANT

IN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY AND CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATIONS HISTORY IN A GLOBAL CONTEXT

#### DATES OF REPORTS BY GRANTEE

08/31/17, 08/31/18

ANY DIVERSION BY GRANTEE

ROCKEFELLER ARCHIVE CENTER\*

GRANTEE'S ADDRESS

15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 172,249.     | 10/11/17      | 32,201.         |

PURPOSE OF GRANT

IN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY AND CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATIONS HISTORY IN A GLOBAL CONTEXT

#### DATES OF REPORTS BY GRANTEE

08/31/18

ANY DIVERSION BY GRANTEE

RSG NEWCO, LLC

GRANTEE'S ADDRESS

3223 ARAPAHOE AVENUE, SUITE 210 BOULDER, CO 80303

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 200,000. | 11/21/18      | 13,367.         |

### PURPOSE OF GRANT

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO THE COMMUNITIES THRIVE CHALLENGE GRANTEES TO HELP THEM SCALE THEIR IMPACT

#### DATES OF REPORTS BY GRANTEE

12/05/18, 02/27/19

ANY DIVERSION BY GRANTEE

RSG NEWCO, LLC

GRANTEE'S ADDRESS

3223 ARAPAHOE AVENUE, SUITE 210 BOULDER, CO 80303

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 296,834. | 12/18/18      | 0.              |

### PURPOSE OF GRANT

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO THE COMMUNITIES THRIVE CHALLENGE GRANTEES TO HELP THEM SCALE THEIR IMPACT

#### DATES OF REPORTS BY GRANTEE

02/27/19

ANY DIVERSION BY GRANTEE

SAL FOREST CO. LTD.

GRANTEE'S ADDRESS

2, SUKHUMVIT 43 ROAD BANGKOK, THAILAND, 10110

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 17,000.      | 03/30/16      | 14,962.         |

### PURPOSE OF GRANT

IN SUPPORT OF RESEARCH TO DEVELOP A CASE STUDY FOR PROMOTING FINANCIAL INCENTIVES TO ENCOURAGE ORGANIC FARMING IN THAILAND IN ORDER TO IMPROVE THE LIVELIHOODS AND ECONOMIC RETURNS OF SMALLHOLDER FARMERS

#### DATES OF REPORTS BY GRANTEE

08/15/16, 11/14/16, 04/04/17, 07/18/18, 10/07/19

ANY DIVERSION BY GRANTEE

SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED

GRANTEE'S ADDRESS

C - 126, SECTOR 2, NOIDA UTTAR PRADESH, INDIA, 201 301

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 38,124.      | 04/18/17      | 38,124.         |

PURPOSE OF GRANT

IN SUPPORT OF ANALYZING IMPACT POTENTIAL AND KEY AREAS OF RISK RELATED TO INTERVENTIONS DESIGNED TO REDUCE POST-HARVEST LOSS AND GENERATE BENEFITS FOR SMALL-HOLDER FARMERS IN INDIA

DATES OF REPORTS BY GRANTEE

09/26/17,03/16/18,05/28/18

ANY DIVERSION BY GRANTEE

SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED

GRANTEE'S ADDRESS

C - 126, SECTOR 2, NOIDA UTTAR PRADESH, INDIA, 201 301

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 70,801.      | 10/11/17      | 70,801.         |

PURPOSE OF GRANT

IN SUPPORT OF ANALYZING IMPACT POTENTIAL AND KEY AREAS OF RISK RELATED TO INTERVENTIONS DESIGNED TO REDUCE POST-HARVEST LOSS AND GENERATE BENEFITS FOR SMALL-HOLDER FARMERS IN INDIA

DATES OF REPORTS BY GRANTEE

03/16/18,05/28/18

ANY DIVERSION BY GRANTEE

SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED

GRANTEE'S ADDRESS

C - 126, SECTOR 2, NOIDA UTTAR PRADESH, INDIA, 201 301

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       |          |               |                 |
|       | 200,000. | 12/06/17      | 36,302.         |

### PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO CONDUCT AN IMPACT ASSESSMENT OF THE FOUNDATIONS SMART POWER FOR RURAL DEVELOPMENT (SPRD) INITIATIVE AND THE EXTENT TO WHICH THE INITIATIVE IS POSITIVELY IMPACTING THE LIVES OF PEOPLE AND SPURRING ECONOMIC GROWTH IN SPRD-SUPPORTED COMMUNITIES IN INDIA

DATES OF REPORTS BY GRANTEE

08/21/18,08/23/18

ANY DIVERSION BY GRANTEE

SAMHITA SOCIAL VENTURES PRIVATE LIMITED

GRANTEE'S ADDRESS

502, ATLANTA CENTRE, SONAWALA CROSS LANE, GOREGAON (EAST) MUMBAI, INDIA, 400063

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 10,000. | 02/07/18      | 10,000.         |

#### PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIAS NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

DATES OF REPORTS BY GRANTEE

12/12/17, 12/14/17

ANY DIVERSION BY GRANTEE

SHELL FOUNDATION

GRANTEE'S ADDRESS

SHELL CENTRE LONDON, UNITED KINGDOM, SE1 7NA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 250,000.     | 12/07/18      | 12,000.         |

### PURPOSE OF GRANT

TOWARD THE COSTS OF A FEASIBILITY STUDY FOR A NEW MODEL OF ENERGY COMPANY IN NIGERIA THAT WOULD PROVIDE UNIVERSAL ENERGY ACCESS THROUGH A COMBINATION OF ON- AND OFF-GRID SOLUTIONS

DATES OF REPORTS BY GRANTEE

03/11/19, 03/21/19, 05/30/19

ANY DIVERSION BY GRANTEE

SIXUP COMMUNITY FUND II, LLC

GRANTEE'S ADDRESS

230 CALIFORNIA ST, SUITE 600 SAN FRANCISCO, CA 94111

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 3,000,000. | 10/10/18      | 0.              |

PURPOSE OF GRANT

TO PROVIDE EDUCATION FINANCING TO HIGH-ACHIEVING, LOW-INCOME STUDENTS BY CAPITALIZING AN ONLINE LENDING PLATFORM INNOVATING COLLEGE FINANCING AND EDUCATION SUPPORT SERVICES

DATES OF REPORTS BY GRANTEE

08/22/19

ANY DIVERSION BY GRANTEE

SIXUP PBC, INC.

GRANTEE'S ADDRESS

230 CALIFORNIA ST, SUITE 600 SAN FRANCISCO, CA 94111

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 1,000,000. | 08/24/18      | 0.              |

### PURPOSE OF GRANT

TO PROVIDE EDUCATION FINANCING TO HIGH-ACHIEVING, LOW-INCOME STUDENTS BY CAPITALIZING AN ONLINE LENDING PLATFORM INNOVATING COLLEGE FINANCING AND EDUCATION SUPPORT SERVICES

DATES OF REPORTS BY GRANTEE

08/22/19

ANY DIVERSION BY GRANTEE

SKDKNICKERBOCKER LLC

GRANTEE'S ADDRESS

594 BROADWAY, SUITE 805 NEW YORK, NY 10012

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 245,000. | 06/28/16      | 245,000.        |

#### PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS AND COMMUNITY ENGAGEMENT ASSISTANCE FOR THE NATIONAL DISASTER RESILIENCE COMPETITION WINNING JURISDICTIONS, AND RESILIENCE OUTREACH AND COMMUNICATIONS TO FEDERAL AGENCIES, PHILANTHROPIC ORGANIZATIONS, AND PRIVATE BUSINESSES

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 11/23/16,04/17/17,04/19/17,07/12/17,12/22/17,04/19/18

ANY DIVERSION BY GRANTEE

SKDKNICKERBOCKER LLC

GRANTEE'S ADDRESS

594 BROADWAY, SUITE 805 NEW YORK, NY 10012

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,000.      | 04/28/17      | 50,000.         |

### PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS AND COMMUNITY ENGAGEMENT ASSISTANCE FOR THE NATIONAL DISASTER RESILIENCE COMPETITION WINNING JURISDICTIONS, AND RESILIENCE OUTREACH AND COMMUNICATIONS TO FEDERAL AGENCIES, PHILANTHROPIC ORGANIZATIONS, AND PRIVATE BUSINESSES

DATES OF REPORTS BY GRANTEE

07/12/17,12/22/17,04/19/18

ANY DIVERSION BY GRANTEE

SKDKNICKERBOCKER LLC

GRANTEE'S ADDRESS

594 BROADWAY, SUITE 805 NEW YORK, NY 10012

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 10,000.      | 10/04/17      | 10,000.         |

### PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS AND COMMUNITY ENGAGEMENT ASSISTANCE FOR THE NATIONAL DISASTER RESILIENCE COMPETITION WINNING JURISDICTIONS, AND RESILIENCE OUTREACH AND COMMUNICATIONS TO FEDERAL AGENCIES, PHILANTHROPIC ORGANIZATIONS, AND PRIVATE BUSINESSES

DATES OF REPORTS BY GRANTEE

12/22/17,04/19/18

ANY DIVERSION BY GRANTEE

SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION

GRANTEE'S ADDRESS

C/O SCORPIO CORPORATE ADVISORS NEW DELHI, INDIA, 110048

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 5,000,000.   | 08/11/16      | 5,000,000.      |
| 5,000,000.   | 00/11/10      | 5,000,000.      |

### PURPOSE OF GRANT

IN SUPPORT OF SCALING UP ORGANIZATIONAL CAPACITY TO SUPPORT THE LAUNCH OF 300 NEW DECENTRALIZED RENEWABLE ENERGY POWER PLANTS IN INDIA AND PROVIDE CONTINUED ACCELERATED ENERGY ACCESS TO RURAL HOMES AND LOCAL BUSINESSES.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/25/18, 07/31/18, 08/21/18, 09/10/18, 11/09/18, 12/19/18

ANY DIVERSION BY GRANTEE

SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION

GRANTEE'S ADDRESS

C/O SCORPIO CORPORATE ADVISORS NEW DELHI, INDIA, 110048

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 2,981,229.   | 11/14/17      | 2,981,229.      |

### PURPOSE OF GRANT

IN SUPPORT OF SCALING UP ORGANIZATIONAL CAPACITY TO SUPPORT THE LAUNCH OF 300 NEW DECENTRALIZED RENEWABLE ENERGY POWER PLANTS IN INDIA AND PROVIDE CONTINUED ACCELERATED ENERGY ACCESS TO RURAL HOMES AND LOCAL BUSINESSES.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/25/18, 07/31/18, 08/21/18, 09/10/18, 11/09/18, 12/19/18

ANY DIVERSION BY GRANTEE

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS

42 PORTLAND PLACE LONDON, UNITED KINGDOM, W1B 1NB

| GRANT AMOUN | IT DATE              | OF GRANT | AMOUNT | EXPENDED |
|-------------|----------------------|----------|--------|----------|
|             | <sup>39,462.</sup> 0 | 8/10/11  |        | 0.       |

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/31/15, 09/29/15, 10/13/15, 02/13/16, 04/15/16, 07/15/16

ANY DIVERSION BY GRANTEE

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS

42 PORTLAND PLACE LONDON, UNITED KINGDOM, W1B 1NB

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 64,775.      | 07/05/12      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/31/15, 09/29/15, 10/13/15, 02/13/16, 04/15/16, 07/15/16

ANY DIVERSION BY GRANTEE

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS

42 PORTLAND PLACE LONDON, UNITED KINGDOM, W1B 1NB

| GRANT | AMOUNT  | DATE OF | GRANT | AMOUNT | EXPENDED |
|-------|---------|---------|-------|--------|----------|
|       | 77,560. | 09/18   | /13   |        | 0.       |

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/31/15, 09/29/15, 10/13/15, 02/13/16, 04/15/16, 07/15/16

ANY DIVERSION BY GRANTEE

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS

42 PORTLAND PLACE LONDON, UNITED KINGDOM, W1B 1NB

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       | 81,871. | 09/05/14      | 0.              |

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 07/31/15, 09/29/15, 10/13/15, 02/13/16, 04/15/16, 07/15/16

ANY DIVERSION BY GRANTEE

STICHTING AFRILABS FOUNDATION

GRANTEE'S ADDRESS

GRAVENHEKJE 1A AMSTERDAM, NETHERLANDS, 1011TG

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 23,736.      | 09/28/14      | 1,235.          |

PURPOSE OF GRANT

IN SUPPORT OF BUILDING A PIPELINE OF PROMISING INNOVATIONS THAT CREATE JOBS FOR DISADVANTAGED AFRICAN YOUTH IN COLLABORATION WITH ITS NETWORK OF TECHNOLOGY INNOVATION LABS ACROSS AFRICA. INCOMPLETE REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE.

DATES OF REPORTS BY GRANTEE

02/27/15,05/04/16,10/03/17

ANY DIVERSION BY GRANTEE

SUNFUNDER BEYOND THE GRID SOLAR FUND LLC

GRANTEE'S ADDRESS

419 7TH ST NW SUITE 300 WASHINGTON, DC 20004

 GRANT AMOUNT
 DATE OF GRANT
 AMOUNT EXPENDED

 715,000.
 11/08/16
 0.

### PURPOSE OF GRANT

PAYMENT TOWARD A \$3,000,000 PROGRAM-RELATED INVESTMENT FOR THE BENEFIT OF COMPANIES SERVING OFF-GRID POPULATIONS IN EMERGING MARKETS IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PIOR REPORTS+ 05/17/18, 08/06/18, 11/21/18, 03/04/19, 05/16/19, 08/19/19

ANY DIVERSION BY GRANTEE

SUNFUNDER BEYOND THE GRID SOLAR FUND LLC

GRANTEE'S ADDRESS

419 7TH ST NW SUITE 300 WASHINGTON, DC 20004

 $\frac{\text{GRANT AMOUNT}}{1,457,157.} \qquad \frac{\text{DATE OF GRANT}}{11/06/17} \qquad \frac{\text{AMOUNT EXPENDED}}{0.}$ 

### PURPOSE OF GRANT

PAYMENT TOWARD A \$3,000,000 PROGRAM-RELATED INVESTMENT FOR THE BENEFIT OF COMPANIES SERVING OFF-GRID POPULATIONS IN EMERGING MARKETS IN EAST AFRICA

#### DATES OF REPORTS BY GRANTEE

PIOR REPORTS+ 05/17/18, 08/06/18, 11/21/18, 03/04/19, 05/16/19, 08/19/19

ANY DIVERSION BY GRANTEE

SUNFUNDER BEYOND THE GRID SOLAR FUND LLC

GRANTEE'S ADDRESS

419 7TH ST NW SUITE 300 WASHINGTON, DC 20004

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 827,843.     | 03/29/18      | 0.              |

### PURPOSE OF GRANT

SUNFUNDER (SF) TO SUPPORT A MISSION TO UNLOCK CAPITAL FOR ENERGY IN EMERGING MARKET. SF'S EFFORTS TO FILL CRITICAL GAPS FOR THE UNDERSERVED RURAL POOR, THE POPULATION MOST AFFLICTED, AND TRAPPED IN POVERTY, BY LACK OF ACCESS TO ENERGY SOLUTIONS.

#### DATES OF REPORTS BY GRANTEE

04/30/18,05/17/18, 08/06/18, 11/21/18, 03/04/19, 05/16/19, 08/19/19

ANY DIVERSION BY GRANTEE

SUSTAINBIZ, INC.

GRANTEE'S ADDRESS

1700 15TH STREET, NW, #103 WASHINGTON, DC 20009

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 25,000.      | 03/08/18      | 25,000.         |

### PURPOSE OF GRANT

IN SUPPORT OF SYNTHESIZING KNOWLEDGE OF INSTITUTIONS AND ACTIONS CURRENTLY UNDERTAKEN AND ENVISIONED TO QUANTIFY AND INTERNALIZE THE VALUE OF ECOSYSTEMS IN PUBLIC AND PRIVATE INVESTMENT PRACTICE

#### DATES OF REPORTS BY GRANTEE

02/01/18, 02/02/18

ANY DIVERSION BY GRANTEE

TAKATAKA SOLUTIONS LTD

GRANTEE'S ADDRESS

P.O. BOX 29273 NAIROBI, KENYA, 00625

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 80,000.      | 07/13/17      | 80,000.         |

PURPOSE OF GRANT

TOWARD THE COSTS OF DEVELOPING A SUSTAINABLE WASTE MANAGEMENT BLUEPRINT TO DEMONSTRATE HOW TO IMPLEMENT A RECYCLING-BASED WASTE MANAGEMENT SYSTEM THAT CAN BE ADAPTED THROUGHOUT NAIROBI AND OTHER CITIES IN KENYA

DATES OF REPORTS BY GRANTEE

07/29/18, 10/25/18, 06/06/19

ANY DIVERSION BY GRANTEE

TAKATAKA SOLUTIONS LTD

GRANTEE'S ADDRESS

P.O. BOX 29273 NAIROBI, KENYA, 00625

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 10,000.      | 11/29/18      | 10,000.         |

PURPOSE OF GRANT

TOWARD THE COSTS OF DEVELOPING A SUSTAINABLE WASTE MANAGEMENT BLUEPRINT TO DEMONSTRATE HOW TO IMPLEMENT A RECYCLING-BASED WASTE MANAGEMENT SYSTEM THAT CAN BE ADAPTED THROUGHOUT NAIROBI AND OTHER CITIES IN KENYA

DATES OF REPORTS BY GRANTEE

06/06/19

ANY DIVERSION BY GRANTEE

TALENT BEYOND BOUNDARIES INC.

GRANTEE'S ADDRESS

2000 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 150,000.     | 11/06/17      | 150,000.        |

PURPOSE OF GRANT

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE SAFE AND LEGAL IMMIGRATION AND LIVELIHOOD OPPORTUNITIES FOR REFUGEES

#### DATES OF REPORTS BY GRANTEE

03/08/18, 11/29/18

ANY DIVERSION BY GRANTEE

THE CARE INSTITUTE INC.

GRANTEE'S ADDRESS

77 4TH AVENUE WALTHAM, MA 02451

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 170,000.     | 11/01/17      | 170,000.        |

PURPOSE OF GRANT

IN SUPPORT OF A MEDIA AWARENESS CAMPAIGN AROUND A WORKFORCE TRAINING PROGRAM TO EMPOWER AND EMPLOY REFUGEES IN GERMANYS CARE INDUSTRY

#### DATES OF REPORTS BY GRANTEE

12/18/17, 01/05/18, 02/28/18, 03/01/18, 04/17/18, 06/27/18, 07/03/18

ANY DIVERSION BY GRANTEE

THE DOCUMENTARY GROUP, LLC

GRANTEE'S ADDRESS

114 WEST 26TH STREET, 7TH FLOOR NEW YORK, NY 10001

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 500,000. | 12/13/18      | 26,105.         |

### PURPOSE OF GRANT

IN SUPPORT OF PRODUCING "SOLVABLE," A MULTI-MEDIA STORYTELLING PROJECT THAT RAISES AWARENESS, CONVERSATION AND ACTION ON PRESSING GLOBAL CHALLENGES FOR WHICH PROMISING SOLUTIONS HAVE BEEN IDENTIFIED, IN ORDER TO INSPIRE COMMITMENT TO MAKE THOSE SOLUTIONS A REALITY FOR VULNERABLE PEOPLE AROUND THE WORLD

DATES OF REPORTS BY GRANTEE

03/15/19, 04/17/19, 05/16/19, 07/10/19, 07/23/19

ANY DIVERSION BY GRANTEE

THE ENERGY FOR GROWTH HUB

GRANTEE'S ADDRESS

2055 L STREET NW WASHINGTON, DC 20036

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 500,000.     | 12/06/18      | 0.              |

PURPOSE OF GRANT

TOWARD THE COSTS OF CREATING A NEW ENERGY CONSUMPTION METRIC THAT BETTER CAPTURES ENERGYS ROLE IN ECONOMIC TRANSFORMATION AND HELPS TO TRANSLATE RESEARCH INTO POLICY TO SUPPORT MORE EFFECTIVE AND INCREASED ENERGY PROVISION FOR ECONOMIC GROWTH

DATES OF REPORTS BY GRANTEE

02/25/19

ANY DIVERSION BY GRANTEE

THE GLOBAL DEVELOPMENT INCUBATOR, INC.

GRANTEE'S ADDRESS

1634 EYE STREET NW WASHINGTON, DC 20006

| GRANT    | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|----------|----------|---------------|-----------------|
| <u> </u> |          |               |                 |
|          | 320,000. | 05/05/17      | 320,000.        |

PURPOSE OF GRANT

FOR USE BY ITS INITIATIVE FOR SMALLHOLDER FINANCE IN SUPPORT OF PILOTING A TECHNOLOGY-DRIVEN FINANCING MECHANISM TO PROVIDE LOW-COST SUPPLY CHAIN FINANCING TO UNDERSERVED SMALL AND MEDIUM-SIZED ENTERPRISES IN INDIA AS AN INCENTIVE FOR MEETING ENVIRONMENTAL, LABOR AND SAFETY STANDARDS

#### DATES OF REPORTS BY GRANTEE

07/17/17,08/15/17,09/21/17,02/28/18,03/06/18, 12/18/18, 02/27/19

ANY DIVERSION BY GRANTEE

THE GLOBAL DEVELOPMENT INCUBATOR, INC.

GRANTEE'S ADDRESS

1634 EYE STREET NW WASHINGTON, DC 20006

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 100,000.     | 10/30/17      | 19,054.         |

PURPOSE OF GRANT

FOR USE BY ITS INITIATIVE FOR SMALLHOLDER FINANCE IN SUPPORT OF PILOTING A TECHNOLOGY-DRIVEN FINANCING MECHANISM TO PROVIDE LOW-COST SUPPLY CHAIN FINANCING TO UNDERSERVED SMALL AND MEDIUM-SIZED ENTERPRISES IN INDIA AS AN INCENTIVE FOR MEETING ENVIRONMENTAL, LABOR AND SAFETY STANDARDS

DATES OF REPORTS BY GRANTEE

02/28/18, 03/06/18, 12/18/18, 02/27/19

ANY DIVERSION BY GRANTEE

THE GLOBAL DEVELOPMENT INCUBATOR, INC.

GRANTEE'S ADDRESS

1634 EYE STREET NW WASHINGTON, DC 20006

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 20,000.      | 05/23/18      | 0.              |

PURPOSE OF GRANT

FOR USE BY ITS INITIATIVE FOR SMALLHOLDER FINANCE IN SUPPORT OF PILOTING A TECHNOLOGY-DRIVEN FINANCING MECHANISM TO PROVIDE LOW-COST SUPPLY CHAIN FINANCING TO UNDERSERVED SMALL AND MEDIUM-SIZED ENTERPRISES IN INDIA AS AN INCENTIVE FOR MEETING ENVIRONMENTAL, LABOR AND SAFETY STANDARDS

DATES OF REPORTS BY GRANTEE

12/18/18, 02/27/19

ANY DIVERSION BY GRANTEE

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS

928 BROADWAY, SUITE 300 NEW YORK, NY 10010

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 2,500,000.   | 10/28/16      | 2,500,000.      |

PURPOSE OF GRANT

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

#### DATES OF REPORTS BY GRANTEE

02/27/17,09/29/17,09/30/17,02/05/18, 09/26/18, 11/29/18

ANY DIVERSION BY GRANTEE

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS

928 BROADWAY, SUITE 300 NEW YORK, NY 10010

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 2,000,000. | 11/06/17      | 2,000,000.      |

PURPOSE OF GRANT

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

DATES OF REPORTS BY GRANTEE

02/05/18, 09/26/18, 11/29/18

ANY DIVERSION BY GRANTEE

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS

928 BROADWAY, SUITE 300 NEW YORK, NY 10010

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 03/16/18      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

DATES OF REPORTS BY GRANTEE

09/26/18, 11/29/18

ANY DIVERSION BY GRANTEE

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS

928 BROADWAY, SUITE 300 NEW YORK, NY 10010

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 765,191.     | 03/16/18      | 765,191.        |

PURPOSE OF GRANT

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

DATES OF REPORTS BY GRANTEE

09/26/18, 11/29/18

ANY DIVERSION BY GRANTEE

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS

928 BROADWAY, SUITE 300 NEW YORK, NY 10010

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 100,000. | 12/19/18      | 100,000.        |

PURPOSE OF GRANT

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

DATES OF REPORTS BY GRANTEE

11/29/18

ANY DIVERSION BY GRANTEE

### VALUELAB BV

GRANTEE'S ADDRESS

JOOP DEN UYLLAAN 18 LEIDEN, NETHERLANDS, 2314GC

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 50,000.      | 01/18/18      | 50,000.         |

PURPOSE OF GRANT

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REVIEW PROCESS TO FOUR PROJECTS IN ORDER TO VALIDATE THE PROCESS WHILE BUILDING THE RESILIENCE CAPACITY OF THE PARTICIPATING INTUITIONS

DATES OF REPORTS BY GRANTEE

12/12/17

ANY DIVERSION BY GRANTEE

VITAL RESEARCH LLC

GRANTEE'S ADDRESS

6380 WILSHIRE BOULEVARD LOS ANGELES, CA 90048

| GRANT | AMOUNT  | DATE OF GRANT | AMOUNT EXPENDED |
|-------|---------|---------------|-----------------|
|       |         |               |                 |
|       | 90,000. | 11/10/16      | 59,161.         |

### PURPOSE OF GRANT

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITS GRANTEES, RESIDENTS AND CONFERENCE PARTICIPANTS IN ORDER TO SUPPORT THE ADAPTIVE MANAGEMENT OF THE CENTER AND TO DEVELOP KNOWLEDGE PRODUCTS ON USING IT TO PROMOTE GLOBAL CHANGE

DATES OF REPORTS BY GRANTEE

03/23/17,10/06/17,04/26/18

ANY DIVERSION BY GRANTEE

VOX MEDIA, INC.

GRANTEE'S ADDRESS

1201 CONNECTICUT AVE., NW, 11TH FLOOR WASHINGTON, DC 20036

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
|              |               |                 |
| 250,000.     | 07/18/18      | 123,382.        |

### PURPOSE OF GRANT

IN SUPPORT OF EXPANDING ITS COVERAGE TO INCLUDE A NEW DIGITAL SECTION DEDICATED TO COVERING THE POLICIES, PEOPLE AND IDEAS THAT DRIVE THE MODERN ALTRUIST COMMUNITY

#### DATES OF REPORTS BY GRANTEE

12/31/18, 03/01/19, 09/16/19

ANY DIVERSION BY GRANTEE

WOMEN IN THE WORLD MEDIA LLC

GRANTEE'S ADDRESS

620 EIGHTH AVENUE, 17TH FLOOR NEW YORK, NY 10018

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 150,000.     | 05/01/18      | 150,000.        |

### PURPOSE OF GRANT

TOWARD THE COSTS OF ITS ANNUAL WOMEN IN THE WORLD SUMMIT WHICH ADDRESSES WOMENS ISSUES AND CONCERNS GLOBALLY

#### DATES OF REPORTS BY GRANTEE

07/05/18, 09/21/18

ANY DIVERSION BY GRANTEE

WORLD ECONOMIC FORUM

GRANTEE'S ADDRESS

91-93 ROUTE DE LA CAPITE, COLOGNY GENEVA, SWITZERLAND, CH-1223

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 800,000.     | 01/18/18      | 800,000.        |

PURPOSE OF GRANT

TOWARD THE COSTS OF BRINGING INNOVATIVE SOLUTIONS TO TODAYS MOST PRESSING GLOBAL CHALLENGES THROUGH ITS LONG-TERM INVESTING, INFRASTRUCTURE AND DEVELOPMENT, GENDER, EDUCATION AND EMPLOYMENT, FOOD SECURITY AND AGRICULTURE, AND HEALTH AND HEALTHCARE PROGRAMS

DATES OF REPORTS BY GRANTEE

09/17/18, 01/21/19

ANY DIVERSION BY GRANTEE

WORLD ECONOMIC FORUM

GRANTEE'S ADDRESS

91-93 ROUTE DE LA CAPITE, COLOGNY GENEVA, SWITZERLAND, CH-1223

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|--------------|---------------|-----------------|
| 750,000.     | 11/02/18      | 255,890.        |

PURPOSE OF GRANT

IN SUPPORT OF BRINGING INNOVATIVE SOLUTIONS TO TODAYS MOST PRESSING GLOBAL CHALLENGES THROUGH ITS CENTRE FOR PUBLIC GOODS, CENTRE FOR THE NEW ECONOMY AND SOCIETY, SHAPING THE FUTURE OF FOOD SYSTEMS INITIATIVE, AND SHAPING THE FUTURE OF HEALTH AND HEALTHCARE SYSTEMS INITIATIVE

DATES OF REPORTS BY GRANTEE

08/30/19

ANY DIVERSION BY GRANTEE

WORLD FOOD PRIZE FOUNDATION\*

GRANTEE'S ADDRESS

666 GRAND AVENUE, SUITE 1700 DES MOINES, IA 50309

| GRANT | AMOUNT     | DATE OF GRANT | AMOUNT EXPENDED |
|-------|------------|---------------|-----------------|
|       | 1,000,000. | 10/11/11      | 235,000.        |

#### PURPOSE OF GRANT

TO ENDOW AN ANNUAL AWARD IN HONOR OF DR. NORMAN E. BORLAUG RECOGNIZING AN OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN AGRICULTURAL DEVELOPMENT, AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARS

#### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 01/26/15, 02/02/15, 02/04/16, 01/30/17, 02/09/18, 03/05/19

ANY DIVERSION BY GRANTEE

## GRANTEE'S NAME

WORLD FOOD PRIZE FOUNDATION\*

GRANTEE'S ADDRESS

666 GRAND AVENUE, SUITE 1700 DES MOINES, IA 50309

| GRANT | AMOUNT   | DATE OF GRANT | AMOUNT EXPENDED |
|-------|----------|---------------|-----------------|
|       | 250,000. | 03/29/12      | 0.              |

## PURPOSE OF GRANT

TO ENDOW AN ANNUAL AWARD IN HONOR OF DR. NORMAN E. BORLAUG RECOGNIZING AN OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN AGRICULTURAL DEVELOPMENT, AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARS

### DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 01/26/15, 02/02/15, 02/04/16, 01/30/17, 02/08/18, 03/15/19

ANY DIVERSION BY GRANTEE

NO

## GRANTEE'S NAME

YUNUS SOCIAL BUSINESS GMBH

GRANTEE'S ADDRESS

NIDDASTR. 63 FRANKFURT AM MAIN, GERMANY, 60329

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |  |  |
|--------------|---------------|-----------------|--|--|
|              |               |                 |  |  |
| 113,002.     | 11/16/18      | 49,967.         |  |  |

PURPOSE OF GRANT

IN SUPPORT OF PILOTING THE SOCIAL SUCCESS NOTE, AN INNOVATIVE FINANCING INSTRUMENT, TO ENABLE IMPACT WATER (OR A COMPARABLE SOCIAL ENTERPRISE) TO FUND WATER PURIFICATION SYSTEMS IN UGANDA

DATES OF REPORTS BY GRANTEE

04/18/19

ANY DIVERSION BY GRANTEE

NO

| FORM 990-PF  | SUMMARY OF PF   | ROGRAM-RELATE                                   | D INVESTMENTS                      | STATEMENT 21 |
|--|---|---|------------------------------------|--------------|
| DESCRIPTION  |   |   |                                    |              |
| A PRI TO SIXUP CO<br>FINANCING TO HIGH<br>CAPITALIZING AN C<br>FINANCING AND EDU                                   | I-ACHIEVING, LOW<br>NLINE LENDING H                   | V-INCOME STUD<br>PLATFORM INNO                  | ENTS BY                            |              |
|  |   |   |                                    | AMOUNT       |
| TO FORM 990-PF, P  | PART IX-B, LINE                                       | 1   |                                    | 3,000,000    |
| FORM 990-PF  | OTHER PROGRAM   | I-RELATED INV                                   | ESTMENTS                           | STATEMENT 22 |
| DESCRIPTION  |   |   |                                    | AMOUNT       |
| A PRI TO HUSK POW<br>POWER OF RURAL DE<br>FACILITATE THE PE<br>MICROENTERPRISES<br>ENABLE ECONOMIC I<br>EDUCATION. | EVELOPMENT INIT<br>OVISION OF POWE<br>IN DISADVANATGE | LATIVE THAT A<br>ER TO HOUSEHO<br>ED, RURAL COM | IMS TO<br>LDS AND<br>MUNITIES TO   | 1,002,349    |
| DESCRIPTION  |   |   |                                    | AMOUNT       |
| A PRI TO SIXUP PE<br>HIGH-ACHIEVING, I<br>ONLINE LENDING PI<br>EDUCATION SUPPORT                                   | OW-INCOME STUDE                                       | ENTS BY CAPIT                                   | ALIZING AN                         | 1,000,000    |
| DESCRIPTION  |   |   |                                    | AMOUNT       |
| A PRI TO SUNFUNDE<br>CAPITAL FOR ENERG<br>CRITICAL GAPS FOF<br>MOST AFFLICTED, A<br>ENERGY SOLUTIONS.              | Y IN EMERGING N<br>THE UNDERSERVE<br>ND TRAPPED IN E  | ARKET. SF'S<br>D RURAL POOR                     | EFFORTS TO FILL<br>, THE POPULATIO |              |
| DESCRIPTION  |   |   |                                    | AMOUNT       |
| A PRI TO ELEVAR E<br>SOCIAL ENTERPRISE<br>POPULATIONS IN SO  | S SERVING BASE  | OF THE PYRAM                                    | ID (BOP)                           | 756,000      |

THE ROCKEFELLER FOUNDATION

13-1659629

## DESCRIPTION

A PRI TO OMC POWER PRIVATE LTD TO SUPPORT A SMART POWER OF RURAL DEVELOPMENT INITIATIVE THAT AIMS TO FACILITATE THE PROVISION OF POWER TO HOUSEHOLDS AND MICROENTERPRISES IN DISADVANATGED, RURAL COMMUNITIES TO ENABLE ECONOMIC DEVELOPMENT AND IMPROVED HEALTH AND EDUCATION.

## DESCRIPTION

A PRI TO OMNIVORE PARTNERS OFFSHORE FUND 2 TO IMPROVE THE LIVELIHOODS OF POOR SMALLHOLDER FARMERS BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES IN THE AGRICULTURE SECTOR IN INDIA.

## DESCRIPTION AMOUNT A PRI TO ACUMEN CAPITAL MARKETS I, LP IN SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN EAST AFRICA AND SOUTH ASIA.

TOTAL TO FORM 990-PF, PART IX-B, LINE 3

| FORM 990-PF                 | U.          | HER REVENUE               | STATEMENT 23 |                    |   |
|-----------------------------|-------------|---------------------------|--------------|--------------------|---|
| DESCRIPTION                 | BUS<br>CODE | UNRELATED<br>BUSINESS INC | EXCL<br>CODE | EXCLUDED<br>AMOUNT | RELATED OR<br>EXEMPT FUNC-<br>TION INCOME |
| FOREIGN CURRENCY LOSS       |             |                           | 14           | -438,139.          |   |
| SECURITIES LITIGATION       |             |                           | 01           | 15 206             |   |
| PROCEEDS                    |             |                           | 01           | 15,206.            |   |
| GRANT REFUNDS               |             |                           | 01           | 749,746.           |   |
| ROYALTIES                   |             |                           | 15           | 1,558.             |   |
| OTHER INCOME THROUGH K-1S   | 525990      |                           |              |                    |   |
| NOT ON BOOKS                |             | 5,222,047.                | 01           | -5,222,047.        |   |
| OTHER INCOME                |             |                           | 01           | 25,673.            |   |
| UBIT STATE REFUNDS          |             |                           | 01           | 232,139.           |   |
| UBI INTEREST AND            | 525990      |                           |              |                    |   |
| DIVIDENDS THROUGH K-1S      |             | 19,855,991.               | 14           | -19,855,991.       |   |
| TOTAL TO FORM 990-PF, PG 12 | 2, LN 11    | 25,078,038.               | -            | -24,491,855.       |   |

18221107 756359 1621570.011

13-1659629

AMOUNT

AMOUNT

730,000.

532,608.

119,457.

4,968,257.

### FORM/LINE IDENTIFIER

990PF, PAGE 10, PART XV, LINES 2A THROUGH 2D

**EXPLANATION:** 

GRANT APPLICATION SUBMISSION INFORMATION

(A) THE FOUNDATION DID NOT ACCEPT UNSOLICITED INQUIRIES IN 2018 EXCEPT FOR APPLICANTS TO THE BELLAGIO CENTER, ITALY.

(B) APPLICANTS TO THE BELLAGIO CENTER, ITALY, ARE ASKED TO APPLY ON LINE AT WWW.ROCKEFELLERFOUNDATION.ORG.

(C) IN 2018, THERE WERE NO SPECIFIC SUBMISSION DEADLINES FOR THE FOUNDATION'S PROGRAMS, OTHER THAN FOR THE BELLAGIO PROGRAM. IN ADDITION, FROM TIME TO TIME, REQUESTS FOR PROPOSALS WERE SENT OUT WITH SPECIFIC DEADLINES TO A SELECT GROUP OF PROSPECTIVE GRANTEES FOR PARTICULAR PROJECTS.

(D) THE ROCKEFELLER FOUNDATION DEVELOPS ITS PROGRAMS, STRATEGIES AND APPROACHES DESIGNED TO HAVE AN IMPACT ON A PARTICULAR SET OF ISSUES OR CHALLENGES THROUGH A CONSULTATIVE AND COLLABORATIVE PROCESS. WE ENGAGE A VARIETY OF GROUPS, INCLUDING SUBJECT MATTER EXPERTS, POLICYMAKERS AND REPRESENTATIVES OF APPLICABLE COMMUNITIES TO FULLY UNDERSTAND A GIVEN CHALLENGE OR OPPORTUNITY, INCLUDING THE ECONOMIC, POLITICAL OR SOCIAL CONTEXT IN WHICH IT RESIDES. IN THE COURSE OF PROGRAM DEVELOPMENT, THE FOUNDATION IDENTIFIES AND CONSIDERS ORGANIZATIONS THAT WE BELIEVE ARE BEST POSITIONED TO IMPLEMENT DEFINED COMPONENTS OF A PROGRAM. THIS PROCESS HELPS DETERMINE THE ORGANIZATIONS TO WHICH THE FOUNDATION WILL PROVIDE GRANTS, AND WE WILL THEN REQUEST PROJECT PROPOSALS FROM THOSE ORGANIZATIONS. IN ORDER TO FOCUS AND MAXIMIZE THE IMPACT OF OUR GRANTMAKING, WE RARELY PROVIDE FUNDING IN RESPONSE TO UNSOLICITED PROPOSALS. AS A MATTER OF POLICY, THE FOUNDATION DOES NOT GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS OR, EXCEPT IN RARE CASES, FUND ENDOWMENTS, OR CONTRIBUTE TO BUILDING AND OPERATING FUNDS.

DURING 2018, THE ROCKEFELLER FOUNDATION PURSUED ITS 1913 MISSION OF PROMOTING THE WELL-BEING OF HUMANITY THROUGHOUT THE WORLD BY BUILDING COLLABORTIVE RELATIONSHIPS WITH PARTNERS AND GRANTEES TO SOLVE GLOBAL CHALLENGES RELATED TO HEALTH, FOOD, POWER AND ECONOMIC MOBILITY. CURRENT WORK WITHIN THESE INITIATIVES, AS WELL AS AREAS BEING EXPLORED FOR FUTURE INITIATIVES, ARE DESCRIBED ON THE FOUNDATION'S WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG, WHICH IS CONTINUALLY UPDATED. ACTIVE WORK DURING 2018 INCLUDED: 100 RESILIENT CITIES; THE ALLIANCE FOR A GREEN REVOLUTION IN AFRICA; SMART POWER FOR RURAL DEVELOPMENT; INNOVATIVE FINANCE; AND CO-IMPACT.

THE FOUNDATION ALSO OPERATES A CONFERENCE AND STUDY PROGRAM AT ITS BELLAGIO CENTER IN ITALY.

GENERAL EXPLANATION

STATEMENT 25

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PART VII-B, LINE 5(C) - EXPENDITURE RESPONSIBILITY GRANTS AND PRIS

EXPLANATION:

THE FOLLOWING TEXT RELATES TO THE STATEMENT 20, THE EXPENDITURE RESPONSIBILITY STATEMENT, IN RESPONSE TO PART VII-B, LINE 5C.

PROSPECTIVE GRANTEES ARE ASKED TO FURNISH INFORMATION IN ORDER TO ENABLE THE FOUNDATION TO DETERMINE WHETHER THEY HAVE RECEIVED A DETERMINATION OF 501(C)(3) STATUS FROM THE U.S. INTERNAL REVENUE SERVICE OR MAY BE CONSIDERED THE EQUIVALENT OF A TAX-EXEMPT CHARITY, IF FORMED OUTSIDE THE UNITED STATES. PROSPECTIVE GRANTEES WITH RESPECT TO WHOM THE FOUNDATION IS BELIEVED TO HAVE "EXPENDITURE RESPONSIBILITY" OBLIGATIONS ARE INFORMED BY LETTER, BEFORE PAYMENT IS MADE ON A GRANT, OF THE RESPONSIBILITIES IMPOSED UPON THE FOUNDATION AND ITS GRANTEES BY THE INTERNAL REVENUE CODE OF 1986, AS AMENDED. EACH GRANTEE MUST AGREE IN WRITING TO THOSE TERMS AND CONDITIONS, INCLUDING THE OBLIGATION TO PROVIDE FINANCIAL AND SUBSTANTIVE REPORTS ON HOW FOUNDATION GRANT FUNDS ARE EXPENDED. THE REPORTS THAT ARE RECEIVED ARE REVIEWED FOR GENERAL ACCURACY, SUFFICIENCY AND COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT. ADDITIONAL INFORMATION IS REQUESTED FROM GRANTEES IN INSTANCES WHERE REPORTS ARE CONSIDERED INADEQUATE. WHERE THE FOUNDATION HAS NOT RECEIVED FINANCIAL OR SUBSTANTIVE REPORTS FROM A GRANTEE WHEN EXPECTED, FOUNDATION STAFF FOLLOW UP IN SEVERAL WAYS, INCLUDING REMINDERS THAT ARE SENT AT MONTHLY INTERVALS AFTER REPORTS ARE EXPECTED AND PERSONAL FOLLOW-UP BY PROGRAM AND GRANTS MANAGEMENT STAFF THROUGH TELEPHONE, MAIL AND E-MAIL.

STATEMENT 20 LISTS GRANT AND PROGRAM-RELATED INVESTMENT EXPENDITURES WITH RESPECT TO WHICH THE FOUNDATION HAS EXERCISED EXPENDITURE RESPONSIBILITY. WHERE THE GRANTEE'S NAME IS FOLLOWED BY AN ASTERISK (\*), THE GRANTEE ORGANIZATION IS EITHER A PRIVATE FOUNDATION, A PRIVATE OPERATING FOUNDATION, OR A FOREIGN PRIVATE-FOUNDATION EQUIVALENT. ALL OTHER GRANTEES ARE NON-501(C)(3) ORGANIZATIONS OR ORGANIZATIONS FOR WHICH THE FOUNDATION HAS NOT MADE A REASONABLE DETERMINATION OF 501(C)(3) EQUIVALENCY STATUS THAT RECEIVED GRANTS FOR CHARITABLE PROJECTS AND MAINTAINED PAYMENTS IN SEGREGATED FUNDS AS REQUIRED BY TREASURY REG. 53.4945-6(C).

IF A PARTICULAR GRANT EXPENDITURE IS SHOWN TO HAVE BEEN FULLY EXPENDED BY THE GRANTEE BUT THE FOUNDATION HAS NOT YET RECEIVED ONE OR MORE NARRATIVE REPORTS, THE FOUNDATION WILL REPORT ON THE STATUS OF THE EXPENDITURE ON OUR 2018 990-PF. UNLESS OTHERWISE NOTED, THE REPORTS RECEIVED WERE FOUND TO BE IN ORDER AND TO SHOW THAT THE FUNDS WERE FULLY EXPENDED FOR THE PURPOSE OF THE GRANT.

REPORTING STATUS IS AS OF 10/18/19.

GENERAL EXPLANATION

STATEMENT 26

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PART XV - FOUNDATION STATUS CODE "PC"

EXPLANATION:

WITH REGARD TO PART XV SUPPLEMENTARY INFORMATION REFLECTING GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR AND APPROVED FOR FUTURE PAYMENT, ORGANIZATIONS GIVEN THE FOUNDATION STATUS CODE DESIGNATION "PC" (OR PUBLIC CHARITY) INCLUDE U.S. PUBLIC CHARITIES DESCRIBED IN SECTION 509(A)(1) OR (2) OF THE CODE AND ORGANIZATIONS BASED OUTSIDE THE U.S. THAT HAVE BEEN FOUND TO BE THE EQUIVALENT OF A U.S. PUBLIC CHARITY.

GENERAL EXPLANATION

STATEMENT 27

### FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990-PF, PAGE 5, PART VII-A, LINE 11 - CONTROLLED ENTITIES

EXPLANATION:

THE FOLLOWING TWO CONTROLLED ENTITIES ARE NOT EXCESS BUSINESS HOLDINGS:

1.

SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION ("SPI") C/O SCORPIO CORPORATE ADVISORSS-405 (LGF), GREATER KAILASH - II NEW DELHI, INDIA EIN# N/A\*\* TRANSACTION: \$1,611,106.35 OPERATIONAL FUNDING TRANSFERRED TO SPI

\*\*THIS ENTITY IS FOREIGN AND HAS NO EIN#, HOWEVER IN ORDER FOR THE RETURN TO BE ACCEPTABLE FOR E-FILING, WE USED THE EIN# 12-3456789

2.

HOSKING PARTNERS EQUITY FUND LLC LANDMARK SQUARE, WEST BAY ROAD, PO BOX 775 GRAND CAYMAN, CAYMAN ISLANDS KY1-9006 EIN# 32-2587661 TRANSACTION: \$10,000,000 PARTNERSHIP DISTRIBUTION GENERAL EXPLANATION

STATEMENT 28

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PAGE 7, PART IX-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

EXPLANATION:

THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY SUMMARY OF DIRECT CHARITABLE ACTIVITES FORM 990PF, PART IX-A

THE BELLAGIO CENTER HAD OPERATING EXPENSES OF \$5,658,878. SINCE 1959, THE CENTER HAS SERVED AS A RESOURCE FOR FOSTERING DIALOGUE AND PROBLEM SOLVING FOR DIVERSE PARTICIPANTS AROUND THE WORLD. THE CENTER HOSTS CONFERENCES THAT FOCUS ON TOPICS OF INTERNATIONAL INTEREST, WITH PREFERENCE GIVEN TO APPLICANTS WHO PROMOTE DEVELOPMENT AND ALIGN WITH THE FOUNDATION'S AREAS OF INTEREST. IN 2018 THE BELLAGIO CENTER HOSTED 46 MEETINGS WITH A TOTAL OF 1,106 PARTICIPANTS; 36% OF WHOM WERE FROM THE UNITED STATES, 33% FROM DEVELOPED COUNTRIES OUTSIDE OF UNITED STATES, AND 31% FROM DEVELOPING COUNTRIES. IN ADDITION, THE CENTER OFFERS TWO-TO-FOUR WEEK RESIDENCIES TO SCHOLARS, ARTISTS, AND PRACTITIONERS. IN 2018, THE CENTER HOSTED 109 RESIDENTS, 44% OF WHOM WERE FROM THE UNITED STATES, 25% FROM DEVELOPED COUNTRIES OUTSIDE OF THE UNITED STATES, AND 31% FROM DEVELOPED COUNTRIES. A LISTING OF 2018 CONFERENCES, TEAM RESIDENCIES AND INDIVIDUAL RESIDENTS IS BELOW.

2018 BELLAGIO CENTER CONFERENCES

2/6/2018 TO 2/10/2018, "IRRIGATING AFRICA REFRAMING AGRICULTURAL INVESTMENT. A DISCUSSION AND SYNTHESIS OF RESEARCH UNDERTAKEN BY THE SAFI PROJECT AND PARTNERS," GLOBAL DEVELOPMENT INSTITUTE, UNIVERSITY OF MANCHESTER, HALL, KATHERINE, UNITED KINGDOM 2/12/2018 TO 2/16/2018, "BUILDING RESILIENCE AGAINST CROP DISEASES: A GLOBAL SURVEILLANCE SYSTEM (GSS), " INTERNATIONAL CENTER FOR TROPICAL AGRICULTURE -CIAT, CARVAJAL, MONICA, COLOMBIA 2/19/2018 TO 2/23/2018, "OUR URBAN FUTURE: IMPLEMENTING AN OWNERSHIP MINDSET IN AFRICA'S CITIES," THE UGANDA NATIONAL ACADEMY OF SCIENCES, SEWANKAMBO, NELSON, UGANDA 2/20/2018 TO 2/24/2018, "GENDER, SOCIAL INNOVATION, AND INCLUSION CONFERENCE, "ASHOKA, KARLIN, SAMANTHA, UNITED STATES 2/26/2018 TO 3/2/2018, "GLOBAL NETWORK OF INNOVATION/TRAINING/EXTENSION CENTERS TO PROMOTE AND SUSTAIN AFFORDABLE ELECTRICITY IN DEVELOPING AND MIDDLE INCOME COUNTRIES, " THE NATIONAL ACADEMIES OF SCIENCES, ENGINEERING, AND MEDICINE, SCHWEITZER, GLENN, UNITED STATES 3/12/2018 TO 3/16/2018, "INCLUSIVE ECONOMIES: A MULTI-DIMENSIONAL FRAMEWORK FOR UNDERSTANDING, MEASURING, AND PROMOTING INCLUSIVE ECONOMIES," UNIVERSITY OF CALIFORNIA, SANTA CRUZ; EVERETT PROGRAM, BENNER, CHRIS, UNITED STATES 3/13/2018 TO 3/17/2018, "CROWDLAW: PEOPLE-LED INNOVATION IN URBAN LAWMAKING," THE GOVERNANCE LAB, NOVECK, BETH, UNITED STATES 3/19/2018 TO 3/23/2018, "BUILDING RESILIENT HEALTH SYSTEMS AND TOWARDS THE SUSTAINABILITY OF UNIVERSAL HEALTH COVERAGE," NATIONAL HEALTH SECURITY OFFICE (NHSO), THAMMATACH-AREE, JADEJ, THAILAND

3/20/2018 TO 3/24/2018, "WHOLESALE IMPACT INVESTORS - A WORKING GROUP OF THE GLOBAL STEERING GROUP ON IMPACT INVESTMENT," BIG SOCIETY CAPITAL, PRIOR, CLIFFORD, UNITED KINGDOM 3/26/2018 TO 3/30/2018, "ACTIONABLE GOVERNANCE: THE MISSING LINKS. DEFINITIONS, FRAMEWORKS AND MEASUREMENTS OF ACTIONABLE GOVERNANCE IN HEALTH SYSTEMS, " WORLD HEALTH ORGANIZATION, BIGDELI, MARYAM, BELGIUM 3/26/2018 TO 3/30/2018, "COLLABORATIVE ACTIONS FOR ENDING ALL FORMS OF MALNUTRITION: ENGAGING MULTIDISCIPLINARY STAKEHOLDERS IN ADDRESSING THE NEW NUTRITION REALITY," WORLD HEALTH ORGANIZATION, DEMAIO, ALESSANDRO, ITALY 4/9/2018 TO 4/13/2018, "HUMANITARIAN DIALOGUE," THE ROCKEFELLER FOUNDATION, BERTINI, CATHERINE, UNITED STATES 4/23/2018 TO 4/27/2018, "BUILDING AN OPEN GOVERNMENT CHAMPIONS NETWORK," OPEN GOVERNMENT PARTNERSHIP, POWELL, JOE, UNITED KINGDOM 5/1/2018 TO 5/5/2018, "ACHIEVING A COMMON AGENDA FOR MEASUREMENT AND ACCOUNTABILITY FOR SUSTAINABLE ADOLESCENT DEVELOPMENT, " JOHNS HOPKINS BLOOMBERG SCHOOL OF PUBLIC HEALTH, BLUM, ROBERT, UNITED STATES 5/7/2018 TO 5/11/2018, "HARNESSING BLOCKCHAIN FOR SOCIAL GOOD," BLOCKCHAIN TRUST ACCELERATOR, NEW AMERICA, TILLEMANN, TOMICAH, UNITED STATES 5/20/2018 TO 5/24/2018, "THE FUTURE OF RESILIENCE SUMMIT 2018," TUSK STRATEGIES, OTTENHOFF, ABIGAIL, UNITED STATES 6/4/2018 TO 6/8/2018, "ACHIEVING HIGH QUALITY HEALTH SYSTEMS IN THE SDG ERA: A PREVIEW OF THE FINDINGS OF THE LANCET GLOBAL HEALTH COMMISSION ON HIGH QUALITY HEALTH SYSTEMS, " HARVARD T.H. CHAN SCHOOL OF PUBLIC HEALTH, KRUK, MARGARET, UNITED STATES 6/11/2018 TO 6/15/2018, "THE FIELD EPIDEMIOLOGY TRAINING ROADMAP: CHARTING A PATH FOR ALL PARTNERS TO GLOBAL FIELD EPIDEMIOLOGY CAPACITY," THE TASK FORCE FOR GLOBAL HEALTH, O'CARROLL, PATRICK, UNITED STATES 6/18/2018 TO 6/22/2018, "ROCKEFELLER FOUNDATION BOARD MEETING 2018," THE ROCKEFELLER FOUNDATION, PATRICK, SHARI, UNITED STATES 6/22/2018 TO 6/25/2018, "THE FUTURE OF FRAGILE STATES, MOVING FORWARD TO GALVANISE ACTION," MAGIC SEED MEDIA, SUTHERLAND, SOPHIE, UNITED KINGDOM 6/28/2018 TO 7/1/2018, "INNOVATIVE FRONTIERS OF DEVELOPMENT," MAGIC SEED MEDIA, SUTHERLAND, SOPHIE, UNITED KINGDOM 7/2/2018 TO 7/6/2018, "PANDEMICS AND GOVERNANCE," THE ROCKEFELLER FOUNDATION, BERTINI, CATHERINE, UNITED STATES 7/3/2018 TO 7/6/2018, "MAINSTREAMING CLIMATE FINANCE," CLIMATE POLICY INITIATIVE (CPI), BUCHNER, BARBARA, AUSTRIA 7/9/2018 TO 7/13/2018, "PANDEMICS AND TECH," THE ROCKEFELLER FOUNDATION, TAYLOR, WENDY, UNITED STATES 7/17/2018 TO 7/20/2018, "THE FUTURE OF DEMOCRACY ROUNDTABLE," BERGGRUEN INSTITUTE, NAKAGAWA, DAWN, UNITED STATES 7/23/2018 TO 7/27/2018, "UTILITIES 2.0," POWER FOR ALL, SKIERKA, KRISTINA, UNITED STATES 7/31/2018 TO 8/4/2018, "COHORT 2030 CONVENING," INTERNATIONAL YOUTH FOUNDATION, REGMI, ASHOK, UNITED STATES 8/6/2018 TO 8/10/2018, "SOLVING THE CRISIS OF STREET HOMELESSNESS: HOW CITIES CAN UNDERSTAND THE CHALLENGE AND TAKE ACTION," BLOOMBERG ASSOCIATES, GIBBS, LINDA, UNITED STATES 8/20/2018 TO 8/24/2018, "THE FUTURE GLOBAL UNIVERSITY," RICE UNIVERSITY, LEVANDER, CAROLINE, UNITED STATES 8/27/2018 TO 9/1/2018, "ACCADEMIA CONCERTANTE WORKSHOP," ACCADEMIA CONCERTANTE D'ARCHI DI MILANO, BENAGLIA, MAURO IVANO, ITALY 8/28/2018 TO 9/1/2018, "EVIDENCE-INFORMED POLICYMAKING TOWARDS BUILDING A GLOBAL COMMUNITY OF PRACTICE," THE WILLIAM AND FLORA HEWLETT FOUNDATION, LUCAS, SARAH, UNITED STATES 9/3/2018 TO 9/7/2018, "NEW PARTNERSHIPS TO IMPROVE HEALTH IN LOW-INCOME

THE ROLE OF ANCHOR INSTITUTIONS," PARTNERS FOR LIVABLE **NEIGHBORHOODS:** COMMUNITIES, MCNULTY, PENELOPE, UNITED STATES 9/10/2018 TO 9/14/2018, "MOVING BEYOND CONCEPT AND MEASUREMENT: ADVANCING WELL-BEING IN AN INEQUITABLE WORLD, " ROBERT WOOD JOHNSON FOUNDATION, PLOUGH, ALONZO, UNITED STATES 9/17/2018 TO 9/21/2018, "ENHANCING RESILIENCE THROUGH ADAPTIVE LEARNING: SCALING UP SOUTHERN-LED DEVELOPMENT IN RAPIDLY-CHANGING AND COMPLEX ENVIRONMENTS, " BRAC, CHOWDHURY, AHMED MUSHTAQUE RAZA, BANGLADESH 9/24/2018 TO 9/28/2018, "DEVELOPING A STRATEGIC ACTION PLAN AND RESEARCH AGENDA TO INCREASE THE CAPACITY OF LEAST DEVELOPED COUNTRIES TO IMPLEMENT NATIONAL CLIMATE ACTION, " WWW.ICCCAD.NET, HUQ, SALEEMUL, UNITED KINGDOM 9/25/2018 TO 9/29/2018, "NATURAL PRODUCTS IN PEST MANAGEMENT: INNOVATIVE APPROACHES FOR INCREASING THEIR USE," NATIONAL RESEARCH COUNCIL (CNR), VURRO, MAURIZIO, ITALY 10/1/2018 TO 10/5/2018, "MAKING MEDIA ABOUT CLIMATE CHANGE," GEORGE WASHINGTON UNIVERSITY, MCCORMICK, SABRINA, UNITED STATES 10/8/2018 TO 10/12/2018, "IDIA INNOVATION MANAGEMENT," RESULTS FOR DEVELOPMENT, FEENY, THOMAS, UNITED KINGDOM 10/15/2018 TO 10/19/2018, "GLOBAL TRANSFORMATION ROUNDTABLE CONVENING," CONCORDIA LLC, BINGLER, STEVEN, UNITED STATES 10/17/2018 TO 10/20/2018, "PRECISION PUBLIC HEALTH IN THE 21ST CENTURY," ACCENTURE, CHOU, CHRISTINA, UNITED STATES 10/29/2018 TO 11/2/2018, "NEW APPROACHES TO FORCED DISPLACEMENT: SUSTAINABLE PRIVATE SECTOR ENGAGEMENT IN REFUGEE AND HOST LIVELIHOODS," CENTER FOR GLOBAL DEVELOPMENT, HUANG, CINDY, UNITED STATES 11/5/2018 TO 11/9/2018, "CITY WATER RESILIENCE FRAMEWORK OPPORTUNITY FRAMING WORKSHOP," BRUCE, ALEXA, 11/6/2018 TO 11/10/2018, "VALUE OF EARTH OBSERVATIONS FOR MONITORING SDG PROGRESS - IN REGARD TO SDG INDICATOR 6.6.1 ON WATER-RELATED ECOSYSTEMS," UN ENVIRONMENT, TCHADIE, ALAIN MICHEL, CAMEROON 11/12/2018 TO 11/16/2018, "OPTIMA NUTRITION: OPTIMIZING COUNTRY FINANCING FOR NUTRITION: FINALIZATION AND ROLL-OUT OF THE NEW TOOL," WORLD BANK GROUP, SHEKAR, MEERA, INDIA 11/20/2018 TO 11/24/2018, "ALLIES FOR ACTION: DRIVING BUSINESS ACTION ON THE SUSTAINABLE DEVELOPMENT GOALS THROUGH THE WORLD BENCHMARKING ALLIANCE," UNITED NATIONS FOUNDATION, DESAI, PRATIK, UNITED STATES 12/3/2018 TO 12/7/2018, "CAN WE AFFORD UHC? YES AS LONG AS WE GET OUR ECONOMICS RIGHT! USING COST-EFFECTIVENESS THRESHOLDS IN LMICS AS THEY PROGRESS TOWARDS UHC: WHO DECIDES? HOW SHOULD THEY DECIDE?" CENTER FOR GLOBAL DEVELOPMENT, CHALKIDOU, KALIPSO, UNITED KINGDOM

### TEAM RESIDENCIES

ARTHUR (ART), BLUME, UNITED STATES, WASHINGTON STATE UNIVERSITY, USA, "THE FORT PECK INDIAN RESERVATION RESILIENCY PROJECT" (03/13/18 TO 04/10/18) MONICA, SKEWES, UNITED STATES, MONTANA STATE UNIVERSITY, "THE FORT PECK INDIAN RESERVATION RESILIENCY PROJECT" (03/13/18 TO 04/10/18) RACHELLE, BUCHBINDER, AUSTRALIA, CABRINI INSTITUTE AND MONASH UNIVERSITY, "HIPPOCRASY: HOW MEDICINE BETRAYED ITS OATH. AN EXPLORATION OF SOCIETY'S OVERRELIANCE ON MEDICINE AND THE MEDICALIZATION OF THE NORMAL CONDITIONS OF LIFE" (07/10/18 TO 08/07/18) IAN, HARRIS, AUSTRALIA, UNIVERSITY OF NEW SOUTH WALES (UNSW SYDNEY), "HIPPOCRASY: HOW MEDICINE BETRAYED ITS OATH. AN EXPLORATION OF SOCIETY'S OVERRELIANCE ON MEDICINE AND THE MEDICALIZATION OF SOCIETY'S OVERRELIANCE ON MEDICINE AND THE MEDICALIZATION OF CONDITIONS OF LIFE" (07/10/18 TO 08/07/18) DAN, KASEJE, KENYA, TROPICAL INSTITUTE OF COMMUNITY HEALTH IN AFRICA (TICH), "FRAGILE STATES AND NON-HEALTH SECTORS: TACKLING CHALLENGING CONTEXTS FOR COMMUNITY HEALTH INTERVENTION" (08/28/18 TO 09/24/18) NANCY, EDWARDS, CANADA, UNIVERSITY OF OTTAWA, "FRAGILE STATES AND NON-HEALTH SECTORS: TACKLING CHALLENGING CONTEXTS FOR COMMUNITY HEALTH INTERVENTION" (08/28/18 TO 09/24/18) STEVEN, SINDING, UNITED STATES, INTERNATIONAL PLANNED PARENTHOOD FEDERATION, "REPRODUCTIVE RIGHTS AND POPULATION POLICIES: FROM EITHER/OR TO BOTH/AND" (10/02/18 TO 10/30/18) CARMEN, BARROSO, UNITED STATES, INTERNATIONAL PLANNED PARENTHOOD FEDERATION/WESTERN HEMISPHERE REGION, "REPRODUCTIVE RIGHTS AND POPULATION POLICIES: FROM EITHER/OR TO BOTH/AND" (10/02/18 TO 10/30/18) ADRIAN, MATEJKA, UNITED STATES, INDIANA UNIVERSITY BLOOMINGTON, "WHITE LIES" (10/02/18 TO 10/30/18) NICHOLAS, GALANIN, UNITED STATES, "WHITE LIES" (10/02/18 TO 10/30/18)

2018 BELLAGIO CENTER RESIDENTS:

JANE, THOMAS, CANADA, "COMMUNITY PARTICIPATION IN DISASTER RECONSTRUCTION AND RECOVERY: A CASE STUDY FROM A PAKISTAN EARTHQUAKE" GODFRIED, DONKOR, UNITED KINGDOM, "FINANCIAL TIMES COAT OF ARMS. (AN EXPLORATION AND VISUAL INVESTIGATION INTO THE HISTORY OF THE MOVEMENTS OF PEOPLE)" ALAN, MILLER, UNITED STATES, CONSULTANT, "FROM MONTREAL TO PARIS AND BEYOND: REFLECTIONS ON THE EMERGENCE OF GLOBAL ENVIRONMENTAL ISSUES AND WHY SOLVING THEM HAS BECOME SO DIFFICULT" NICOLETTA, IACOBACCI, ITALY, HYPERLOOP TRANSPORTATION TECHNOLOGIES, "HOMO DEUTHICUS BLENDING HOMO DEUS WITH HOMO ETHICUS: A QUEST FOR A COMMON ETHICAL GROUND TO DEVELOP EMERGING TECHNOLOGIES" PETER, LITTLEJOHNS, UNITED KINGDOM, KING'S COLLEGE LONDON, "IMPROVING EQUITABLE ACCESS TO HEALTH CARE SERVICES THROUGH DESIGNING, TESTING AND IMPLEMENTING A DECISION MAKING AUDIT TOOL (DMAT) TO SUPPORT PUBLIC ENGAGEMENT IN MAKING DIFFICULT HEALTH PRIORITISATION DECISIONS" MONIQUE, VILLA, FRANCE, THOMSON REUTERS, "SLAVERY: HIDDEN IN PLAIN SIGHT" NJABULO, NDEBELE, SOUTH AFRICA, UNIVERSITY OF CAPE TOWN, "THE NIGHT OF THE KILLING OF THE DOGS (A NOVEL) THIS TITLE MAY CHANGE AT PUBLICATION" CLAUDIA, SURJADJAJA, INDONESIA, ALERTASIA FOUNDATION, "WINNING HEALTH GAIN FOR MILLIONS OF PEOPLE BY COMBINING THE DISTINCT INTERESTS OF THE SCIENTIFIC, HUMANITARIAN AND POLITICAL COMMUNITIES TO MAKE A UNIFIED STRATEGY FOR MALARIA MANAGEMENT" JUDITH, SHAMIAN, CANADA, ICN (INTERNATIONAL COUNCIL OF NURSES), "GLOBAL AGENDA FOR THE HEALTH AND WELLNESS OF ALL: WHY NURSES ARE NOT AT THE POLICY TABLE, SHOULD THEY HAVE A SEAT AT THE TABLE, HOW CAN THEY GET THERE?" NKEMNGONG, NKENGASONG, CAMEROON, UNIVERSITY OF YAOUNDE 1, "LITERATURE AND ENVIRONMENTAL EDUCATION: A POSTCOLONIAL ECOCRITICAL STUDY OF CAMEROONIAN FICTION" SAMANTHA, ANGUS, UNITED KINGDOM, PAN MACMILLAN, "LIFEBOAT 12" ISABELLA, EPIU, UGANDA, KENYATTA UNIVERSITY SCHOOL OF MEDICINE, "PROMOTING UNIVERSAL HEALTH COVERAGE BY INCREASING ACCESS TO SAFE ANESTHESIA" ALVARO, SARMIENTO, PERU, HDPERU, "SEMBRADORAS DE VIDA / LIFE SEEDERS" MARK, GEVISSER, IRELAND, "THE PINK LINE: THE WORLD'S QUEER FRONTIERS" JODY, HEYMANN, UNITED STATES, UCLA FIELDING SCHOOL OF PUBLIC HEALTH, "ENDURING RIGHTS IN TIMES OF CHANGE: HOW CONSTITUTIONS WORLDWIDE CAN LAY THE FOUNDATION FOR EQUAL OPPORTUNITIES AND ECONOMIC INCLUSION" ROBIN, GORNA, UNITED KINGDOM, SHEDECIDES, "MEMOIR OF A FEARSOME WOMAN FIGHTING AIDS: REFLECTIONS OF 30 YEARS OF AIDS ADVOCACY FROM A FEMINIST PERSPECTIVE: WHAT CAN WE LEARN FOR FUTURE SOCIAL JUSTICE & GLOBAL

HEALTH?" ISHMAEL, RANDALL WEEKS, UNITED STATES, IRW STUDIO, "THE FUTURE AMAZONIAN" JANICE, SCHAFFER, UNITED STATES, J-LAB: THE INSTITUTE FOR INTERACTIVE JOURNALISM, "A CALL FOR A NEW JOURNALISM: A FIELD GUIDE TO NEWS THAT WORKS" MARCIA, LINN, UNITED STATES, UC BERKELEY, "LEARNING SCIENCE FOR LIFETECHNOLOGY MATTERS" DOUG, HATTAWAY, UNITED STATES, HATTAWAY COMMUNICATIONS, "ACHIEVE GREAT THINGS: HOW TO INSPIRE AND ENGAGE PEOPLE WITH THE ART AND SCIENCE OF ASPIRATIONAL COMMUNICATIONS" ROBERT, BARSKY, UNITED STATES, VANDERBILT UNIVERSITY, "MIGRANT INTERACTIONS WITH HOST COUNTRY OFFICIALS: COMMUNICATION, POLICY AND LAW ON THE FRONT LINES" KATIE, REDFORD, UNITED STATES, EARTHRIGHTS INTERNATIONAL, "RULES FOR RADICAL LAWYERS" MSHAI, MWANGOLA, KENYA, THE PERFORMANCE COLLECTIVE, "KHANGA CONVERSATIONS: EXPLORING KENYA'S HISTORICAL, POLITICAL AND SOCIO-CULTURAL REALITIES THROUGH ITS "NATIONAL CLOTH" JONATAS, MANZOLLI, BRAZIL, UNIVERSITY OF CAMPINAS (UNICAMP), "PSSAROS DE PAPEL: MULTIMODAL OPERA ON PEOPLE, BIRDS, SHELTERS AND REFUGEES" ROBERT, KAPLAN, UNITED STATES, STANFORD UNIVERSITY, "SICK SPENDING: CHANGING THE FOCUS OF SPENDING ON MEDICINE AND BIOMEDICAL RESEARCH" DIKGANG, MOSENEKE, SOUTH AFRICA, CONSTITUTIONAL COURT OF SOUTH AFRICA, "JUDICIAL MEMOIR" VANESSA, WATSON, SOUTH AFRICA, UNIVERSITY OF CAPE TOWN, "GOVERNING FOOD SYSTEMS TO ALLEVIATE POVERTY IN SECONDARY CITIES IN AFRICA" AUDRA, DIPTEE, CANADA, CARLETON UNIVERSITY, "NEW STRATEGIES FOR THE BATTLE AGAINST MODERN DAY SLAVERY" GAIUTRA, BAHADUR, UNITED STATES, NYU, "THE WOMAN FROM AMERICA" FREDERICA, PERERA, UNITED STATES, COLUMBIA UNIVERSITY, "INCREASING RESILIENCE AND EQUITY BY PROTECTING CHILDREN'S HEALTH FROM AIR POLLUTION AND CLIMATE CHANGE" KALYANI, SHANKAR, INDIA, "IS INDIA MOVING TOWARDS THE RIGHT WING LIKE THE REST OF THE WORLD AND IF SO WHY?" AYESHA, KIDWAI, INDIA, JAWAHARLAL NEHRU UNIVERSITY (JNU), NEW DELHI, "'TELLING THE LIFE OF A SECULAR + MUSLIM + WOMAN: ANIS KIDWAI'S THE DUST OF THE CARAVAN'" HELENA, NGOWI, TANZANIA, SOKOINE UNIVERSITY OF AGRICULTURE, "RESEARCH EVIDENCE INTO POLICY AND PRACTICE TO IMPROVE ECONOMIC RESILIENCE IN SUB-SAHARAN AFRICA; A CASE OF TAENIA SOLIUM CONTROL IN TANZANIA (REPPSOL PROJECT)" DEREK, HYRA, UNITED STATES, AMERICAN UNIVERSITY, "URBAN RENEWAL AND UNREST: RACE, RIOTS, AND DEMOCRACY" JOSE, SARUKHAN KERMEZ, MEXICO, COMISIN NAL.L PARA EL CONOCIMIENTO Y USO DE LA BIODIUVERSIDAD CONABIO, "A NETWORK ANALYSIS OF THE DEVELOPMENT OF TERRESTRIAL ECOLOGY IN MEXICO" WILLIAM, BURNS, UNITED STATES, CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE, "AMERICAN DIPLOMACY FOR A NEW WORLD ORDER" MOURID, BARGHOUTI, JORDAN, "COMPLETING A POETRY BOOK : "AS IF A BALCONY COLLAPSED WITH ALL ITS FLOWERS" (TEMPORARY TITLE OF THE BOOK)" ANDREW, LIKIERMAN, UNITED KINGDOM, LONDON BUSINESS SCHOOL, "TO ENABLE INDIVIDUALS IN ORGANIZATIONS, AND ESPECIALLY THEIR LEADERS, TO IDENTIFY AND DEVELOP GOOD JUDGMENT IN THEMSELVES, COLLEAGUES AND PROSPECTIVE COLLEAGUES THROUGH A WORKABLE BASIS OF MEASUREMENT AND ASSESSMENT. YABA, BADOE, GHANA, FADOA FILMS, "WOLFLIGHT, A NOVEL FOR YOUNG ADULTS" DAVID, MICHAELS, UNITED STATES, GEORGE WASHINGTON UNIVERSITY SCHOOL OF PUBLIC HEALTH, "DEFEATING DOUBT: MANUFACTURED UNCERTAINTY, PRODUCT DEFENSE AND PROTECTING THE INTEGRITY OF PUBLIC HEALTH AND ENVIRONMENTAL SCIENCE"

REBECCA, WINTHROP, UNITED STATES, CENTER FOR UNIVERSAL EDUCATION AT BROOKINGS, "HOW CAN WE "LEAPFROG" EDUCATION OUTCOMES?" ANNA, KONIK, POLAND, FREELANCE VISUAL ARTIST, "IN THE SAME CITY, UNDER THE SAME SKY..." SONIA, NAZARIO, UNITED STATES, SONIA NAZARIO, "INNER STRENGTH: HOW FIVE WOMEN TURNED PERSONAL TRAGEDIES INTO MODELS THAT SUCCESSFULLY TACKLE AMERICA'S BIGGEST SOCIAL PROBLEMS" KRISHAN, DHAWAN, INDIA, SHAKTI SUSTAINABLE ENERGY FOUNDATION, "SUSTAINABILITY AND BUSINESS - A COURSE DESIGN FOR BUSINESS SCHOOL STUDENTS IN INDIA" ADAM, BLACKWELL, CANADA, DEVELOPMENT SERVICES GROUP, INC., "THE IMPACT OF THE ILLICIT ECONOMY ON CONFLICT AND VIOLENCE." MEHITABEL, IQANI, SOUTH AFRICA, UNIVERSITY OF THE WITWATERSRAND, "GARBAGE IN POPULAR CULTURE: CONSUMPTION AND THE AESTHETICS OF WASTE" ATSANGO, CHESONI, KENYA, KENYA HUMAN RIGHTS COMMISSION (KHRC), "MEMOIR ON HER EXPERIENCE AS A MEMBER OF KENYA'S CONSTITUTION AND DEMOCRACY MOVEMENTS" NANCY, BERLINGER, UNITED STATES, THE HASTINGS CENTER, "MIGRANTS AS SOCIAL CITIZENS" SAMUEL, DURANT, UNITED STATES, CALIFORNIA INSTITUTE OF THE ARTS, "MOHAMMED MOSSADEGH, A PART OF THE NON-ALIGNED MOVEMENT PROJECT (EMPIRE'S RUINS, DREAMS OF FREEDOM)" PAUL, SMITH, UNITED STATES, GEORGETOWN UNIVERSTITY LAW CENTER, "THE SUPREME COURT AND GAY RIGHTS AND VOTING RIGHTS IN THE ERA OF SCALIA AND KENNEDY" LEYTE, WINFIELD, UNITED STATES, SPELMAN COLLEGE, "TRAINING THE INVISIBLE SCIENTIST" NAEEM, MOHAIEMEN, UNITED STATES, SHOBAK.ORG, "TWO MEETINGS AND A FUNERAL: HOW THE THIRD WORLD LEFT WAS BORN IN BANDUNG, RAISED IN ALGIERS, AND DIED IN DHAKA" ALFREDO, RUGELES, VENEZUELA, FUNDACIN MUSICAL SIMN BOLVAR, "RESISTENCIA Y RESILENCIA", A CHAMBER MUSIC SEXTET COMPOSITION FOR FLUTE, CLARINET, VIOLIN, CELLO, PIANO AND PERCUSSION" п DANIEL, MARTINEZ, UNITED STATES, UNIVERSITY OF CALIFORNIA, IRVINE, SOMEONE ONCE TOLD ME THAT TIME IS A FLAT CIRCLE (VIEWS OF HUMANS FROM THE 5TH DIMENSION)" SINAN, ANTOON, UNITED STATES, NEW YORK UNIVERSITY, "A BAGHDAD DIVA (NOVEL)" JOSE, BOWEN, UNITED STATES, GOUCHER COLLEGE, "A NEW 3RS: USING BEHAVIORAL SCIENCE TO PREPARE STUDENTS FOR A NEW LEARNING ECONOMY" MARK, BITTMAN, UNITED STATES, DOUBLE B PUBLISHING, "COMPLETING A NEW BOOK ENTITLED ANIMAL, VEGETABLE, JUNK." EKHOSUEHI, IYAHEN, BARBADOS, AFRICAN RISK CAPACITY, "OPERATIONAL INSIGHTS FROM THE FIELD: CLIMATE RISK POOLS AND THEIR ROLE IN SUPPORTING RESILIENCE AND IMPROVED PUBLIC FINANCIAL MANAGEMENT OF DISASTERS: EXPERIENCES FROM AFRICA AND THE CARIBBEAN" TANIA, SINGER, GERMANY, MAX PLANCK INSTITUTE FOR HUMAN COGNITIVE AND BRAIN SCIENCES, "THE COMPASSIONATE BRAIN: A ROAD MAP OF INNER AND SOCIETAL TRANSFORMATION" IEVA, JUSIONYTE, LITHUANIA, HARVARD UNIVERSITY, "THRESHOLD: EMERGENCY AND RESCUE ON THE U.S.-MEXICO BORDER" NANCY, MCGAW, UNITED STATES, THE ASPEN INSTITUTE BUSINESS & SOCIETY PROGRAM, " "BON VOYAGE: A TRAVELER'S GUIDE FOR CORPORATE SOCIAL ENTREPRENEURS, " A BOOK REFLECTING LESSONS FROM A FELLOWSHIP PROGRAM FOR INNOVATORS IN BUSINESS RUN BY THE ASPEN INSTITUTE BUSINESS AND SOCIETY PROGRAM" KLEBER, DE MENDONA VASCONCELLOS FILHO, BRAZIL, CINEMASCPIO, "AGENTE SECRETO (BRAZILIAN TITLE) / PRIVATE SETTINGS (ENGLISH TITLE)" KABIR, SEHGAL, UNITED STATES, MUSIC FOR TOMORROW, "ANGELA'S RING: A EUROPEAN DEBT CRISIS OPERA"

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(Rev. January 2019)

# Application for Automatic Extension of Time To File an Exempt Organization Return

Enter filer's identifying number

Department of the Treasury Internal Revenue Service File a separate application for each return.

Go to www.irs.gov/Form8868 for the latest information.

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits*.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|  |  |                            |                                     |   | Enter mer sidentnying number |                    |  |
|--|--|----------------------------|-------------------------------------|---|------------------------------|--------------------|--|
| Type or  | Name of exempt organization or other filer, see instru                     | ictions.                   |                                     | Employer identification number (EIN) or |                              |                    |  |
| print  |  |                            |                                     |   |                              |                    |  |
| File by the  | THE ROCKEFELLER FOUNDATION   | THE ROCKEFELLER FOUNDATION |                                     |   | 13-1                         | 1659629            |  |
| due date for<br>filing your<br>return. See   | for Number, street, and room or suite no. If a P.O. box, see instructions. |                            |                                     | Social security number (SSN)            |                              |                    |  |
| instructions   |  |                            |                                     |   |                              |                    |  |
| Enter the  | Return Code for the return that this application is for (fil               | e a separat                | te application for each return)     |   |                              | 0 4                |  |
| Applicat   | ion  | Return                     | Application                         |   |                              | Return             |  |
| Is For   |  | Code                       | Is For                              |   |                              | Code               |  |
|  | 0 or Form 990-EZ   | 01                         | Form 990-T (corporation)            |   |                              | 07                 |  |
| Form 99  | 0-BL   | 02                         | Form 1041-A                         |   |                              | 08                 |  |
| Form 47  | 20 (individual)  | 03                         | Form 4720 (other than individual)   |   |                              | 09                 |  |
| Form 99  |  | 04                         | Form 5227                           |   |                              | 10                 |  |
| Form 99  | 0-T (sec. 401(a) or 408(a) trust)  | 05                         | Form 6069                           |   |                              | 11                 |  |
|  | D-T (trust other than above)   | 06                         | Form 8870                           |   |                              | 12                 |  |
|  | DOMINICK J. IMPEMBA,   | CPA/CGMA                   | CFO                                 |   |                              |                    |  |
| • The b  | ooks are in the care of 🕨 420 FIFTH AVENUE - NE                            | W YORK,                    | NY 10018-2702                       |   |                              |                    |  |
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|  | organization does not have an office or place of business                  | s in the Un                | ited States, check this box         |   |                              |                    |  |
|  | is for a Group Return, enter the organization's four digit                 |                            |                                     |   |                              |                    |  |
| box 🕨  | . If it is for part of the group, check this box                           | _                          | ch a list with the names and EINs o |   |                              |                    |  |
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| <b>1</b> Ire   | equest an automatic 6-month extension of time until                        | NOVEMBE                    | R 15, 2019, to fil                  | e the exem                              | npt organ                    | ization return for |  |
|  | e organization named above. The extension is for the org                   | anization's                |                                     |   |                              |                    |  |
|  | X calendar year 2018 or  |                            |                                     |   |                              |                    |  |
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| 2 lft  | he tax year entered in line 1 is for less than 12 months, c                | heck reaso                 | on: Initial return                  | Final retur                             | n                            |                    |  |
|  | Change in accounting period  |                            |                                     |   |                              |                    |  |
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|  | y nonrefundable credits. See instructions.                                 | ,                          |                                     | 3a                                      | \$                           | 8,143,250.         |  |
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|  | : If you are going to make an electronic funds withdrawal                  |                            |                                     | 453-EO an                               | d Form 8                     | 879-EO for payment |  |
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Form 8868 (Rev. 1-2019)

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