

# TAX RETURN FILING INSTRUCTIONS

NEW YORK FORM CHAR500

FOR THE YEAR ENDING  
DECEMBER 31, 2016

Prepared for	THE ROCKEFELLER FOUNDATION 420 FIFTH AVENUE NEW YORK, NY 10018-2702
Prepared by	PKF O'CONNOR DAVIES, LLP 665 FIFTH AVENUE NEW YORK, NY 10022
Amount due or refund	BALANCE DUE OF \$1,500.00
Make check payable to	DEPARTMENT OF LAW
Mail tax return and check (if applicable) to	NYS OFFICE OF ATTORNEY GENERAL CHARITIES BUREAU REGISTRATION SECTION 120 BROADWAY NEW YORK, NY 10271
Return must be mailed on or before	PLEASE MAIL AS SOON AS POSSIBLE.
Special Instructions	THE REPORT SHOULD BE SIGNED AND DATED BY THE AUTHORIZED INDIVIDUAL(S).  THE ATTACHED COPY OF FEDERAL FORM 990-PF MUST BE PROPERLY SIGNED AND DATED.

# CHAR500

NYS Annual Filing for Charitable Organizations  
www.CharitiesNYS.com

Send with fee and attachments to:  
NYS Office of the Attorney General  
Charities Bureau Registration Section  
120 Broadway  
New York, NY 10271

## 2016

**Open to Public  
Inspection**

### 1. General Information

For Fiscal Year Beginning (mm/dd/yyyy) **01/01/2016** and Ending (mm/dd/yyyy) **12/31/2016**

Check if Applicable: <input type="checkbox"/> Address Change <input type="checkbox"/> Name Change <input type="checkbox"/> Initial Filing <input type="checkbox"/> Final Filing <input type="checkbox"/> Amended Filing <input type="checkbox"/> Reg ID Pending	Name of Organization: <b>THE ROCKEFELLER FOUNDATION</b>	Employer Identification Number (EIN): <b>13-1659629</b>
	Mailing Address: <b>420 FIFTH AVENUE</b>	NY Registration Number: <b>00-54-96</b>
	City / State / ZIP: <b>NEW YORK, NY 10018-2702</b>	Telephone: <b>212 852-8361</b>
	Website: <b>WWW.ROCKEFELLERFOUNDATION.ORG</b>	Email:

Check your organization's registration category: ☐ 7A only ☒ EPTL only ☐ DUAL (7A & EPTL) ☐ EXEMPT Confirm your Registration Category in the Charities Registry at www.CharitiesNYS.com

### 2. Certification

See instructions for certification requirements. Improper certification is a violation of law that may be subject to penalties.

*We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.*

President or Authorized Officer:	<b>PETER MADONIA</b> COO	Signature	Print Name and Title	Date
Chief Financial Officer or Treasurer:	<b>ELLEN TAUS</b> CFO	Signature	Print Name and Title	Date

### 3. Annual Reporting Exemption

Check the exemption(s) that apply to your filing. If your organization is claiming an exemption under one category (7A or EPTL only filers) or both categories (DUAL filers) that apply to your registration, complete only parts 1, 2, and 3, and submit the certified Char500. No fee, schedules, or additional attachments are required. If you cannot claim an exemption or are a DUAL filer that claims only one exemption, you must file applicable schedules and attachments and pay applicable fees.

- ☐ 3a. 7A filing exemption: Total contributions from NY State including residents, foundations, government agencies, etc, did not exceed \$25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during the fiscal year. Or the organization qualifies for another 7A exemption (see instructions).
- ☐ 3b. EPTL filing exemption: Gross receipts did not exceed \$25,000 and the market value of assets did not exceed \$25,000 at any time during the fiscal year.

### 4. Schedules and Attachments

See the following page for a checklist of schedules and attachments to complete your filing.

☐ Yes ☐ No 4a. Did your organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? If yes, complete Schedule 4a.

☐ Yes ☐ No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.

### 5. Fee

See the checklist on the next page to calculate your fee(s). Indicate fee(s) you are submitting here:	7A filing fee: \$ _____	EPTL filing fee: \$ <u>1,500.</u>	Total fee: \$ <u>1,500.</u>	Make a single check or money order payable to: <b>"Department of Law"</b>
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## THE ROCKEFELLER FOUNDATION

# CHAR500

## Annual Filing Checklist

Simply submit the certified CHAR500 with no fee, schedule, or additional attachments IF:

- Your organization is registered as 7A only and you marked the 7A filing exemption in Part 3.
- Your organization is registered as EPTL only and you marked the EPTL filing exemption in Part 3.
- Your organization is registered as DUAL and you marked both the 7A and EPTL filing exemption in Part 3.

### Checklist of Schedules and Attachments

Check the schedules you must submit with your CHAR500 as described in Part 4:

- ☐ If you answered "yes" in Part 4a, submit Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
- ☐ If you answered "yes" in Part 4b, submit Schedule 4b: Government Grants

Check the financial attachments you must submit with your CHAR500:

- ☒ IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable
- ☐ All additional IRS Form 990 Schedules, including Schedule B (Schedule of Contributors).
- ☐ Our organization was eligible for and filed an IRS 990-N e-postcard. We have included an IRS Form 990-EZ for state purposes only.

If you are a 7A only or DUAL filer, submit the applicable independent Certified Public Accountant's Review or Audit Report:

- ☐ Review Report if you received total revenue and support greater than \$250,000 and up to \$750,000.
- ☐ Audit Report if you received total revenue and support greater than \$750,000
- ☐ No Review Report or Audit Report is required because total revenue and support is less than \$250,000
- ☐ We are a DUAL filer and checked box 3a, no Review Report or Audit Report is required

### Calculate Your Fee

For 7A and DUAL filers, calculate the 7A fee:

- ☐ \$0, if you checked the 7A exemption in Part 3a
- ☐ \$25, if you did not check the 7A exemption in Part 3a

For EPTL and DUAL filers, calculate the EPTL fee:

- ☐ \$0, if you checked the EPTL exemption in Part 3b
- ☐ \$25, if the NET WORTH is less than \$50,000
- ☐ \$50, if the NET WORTH is \$50,000 or more but less than \$250,000
- ☐ \$100, if the NET WORTH is \$250,000 or more but less than \$1,000,000
- ☐ \$250, if the NET WORTH is \$1,000,000 or more but less than \$10,000,000
- ☐ \$750, if the NET WORTH is \$10,000,000 or more but less than \$50,000,000
- ☒ \$1500, if the NET WORTH is \$50,000,000 or more

### Send Your Filing

Send your CHAR500, all schedules and attachments, and total fee to:

NYS Office of the Attorney General  
Charities Bureau Registration Section  
120 Broadway  
New York, NY 10271

#### Is my Registration Category 7A, EPTL, DUAL or EXEMPT?

Organizations are assigned a Registration Category upon registration with the NY Charities Bureau:

**7A** filers are registered to solicit contributions in New York under Article 7-A of the Executive Law ("7A")

**EPTL** filers are registered under the Estates, Powers & Trusts Law ("EPTL") because they hold assets and/or conduct activities for charitable purposes in NY.

**DUAL** filers are registered under both 7A and EPTL.

**EXEMPT** filers have registered with the NY Charities Bureau and meet conditions in **Schedule E - Registration Exemption for Charitable Organizations**. These organizations are not required to file annual financial reports but may do so voluntarily.

Confirm your Registration Category and learn more about NY law at [www.CharitiesNYS.com](http://www.CharitiesNYS.com)

#### Where do I find my organization's NET WORTH?

NET WORTH for fee purposes is calculated on:

- IRS Form 990 Part I, line 22
- IRS Form 990 EZ Part I, line 21
- IRS Form 990 PF, calculate the difference between Total Assets at Fair Market Value (Part II, line 16(c)) and Total Liabilities (Part II, line 23(b)).

EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No. 1545-0052

**2016**

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>THE ROCKEFELLER FOUNDATION</b>		A Employer identification number <b>13-1659629</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>420 FIFTH AVENUE</b>	Room/suite	B Telephone number <b>212-852-8361</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10018-2702</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return  <input type="checkbox"/> Final return  <input type="checkbox"/> Address change         </div> <div> <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Amended return  <input type="checkbox"/> Name change         </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/>  2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>4,086,668,694.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received			<b>N/A</b>	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	283,390.	283,390.		<b>STATEMENT 1</b>
	4 Dividends and interest from securities	22,580,277.	50,187,842.		<b>STATEMENT 2</b>
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	56,360,378.			
	b Gross sales price for all assets on line 6a	668,434,625.			
	7 Capital gain net income (from Part IV, line 2)		44,520,387.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	-3,377,033.	-4,445,004.		<b>STATEMENT 3</b>	
12 Total. Add lines 1 through 11	75,847,012.	90,546,615.			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	4,882,005.	1,474,692.		3,407,245.
	14 Other employee salaries and wages	20,908,867.	3,956,577.		16,822,603.
	15 Pension plans, employee benefits	5,774,327.	860,953.		7,213,728.
	16a Legal fees <b>STMT 4</b>	1,479,015.	153,375.		1,325,640.
	b Accounting fees <b>STMT 5</b>	401,815.	169,757.		174,058.
	c Other professional fees <b>STMT 6</b>	11,863,521.	6,403,190.		5,460,331.
	17 Interest	442,307.	2,075,039.		442,307.
	18 Taxes <b>STMT 7</b>	708,730.	2,618,338.		0.
	19 Depreciation and depletion	9,638,448.	204,549.		
	20 Occupancy	2,120,591.	175,301.		2,019,203.
	21 Travel, conferences, and meetings	3,255,677.	194,229.		3,085,561.
	22 Printing and publications	268,464.	24,387.		244,077.
	23 Other expenses <b>STMT 8</b>	3,253,243.	30,334,217.		3,162,783.
	24 Total operating and administrative expenses. Add lines 13 through 23	64,997,010.	48,644,604.		43,357,536.
	25 Contributions, gifts, grants paid	175,752,761.			150,502,426.
26 Total expenses and disbursements. Add lines 24 and 25	240,749,771.	48,644,604.		193,859,962.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-164,902,759.				
b Net investment income (if negative, enter -0-)		41,902,011.			
c Adjusted net income (if negative, enter -0-)			<b>N/A</b>		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		5,943,198.	16,629,161.	16,629,161.
	2	Savings and temporary cash investments		126,070,538.	159,071,729.	159,071,729.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		62,348.		
	10a	Investments - U.S. and state government obligations	STMT 11	24,969,977.	25,924,263.	25,924,263.
	b	Investments - corporate stock	STMT 12	415,648,388.	361,125,145.	361,125,145.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment: basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other	STMT 13	3,531,060,055.	3,469,204,796.	3,469,204,796.	
14	Land, buildings, and equipment: basis	44,973,783.				
	Less: accumulated depreciation	STMT 14	33,650,084.	20,059,557.	11,323,699.	
15	Other assets (describe)	STATEMENT 15)	37,357,160.	43,389,901.	43,389,901.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,161,171,221.	4,086,668,694.	4,086,668,694.	
Liabilities	17	Accounts payable and accrued expenses		8,851,816.	9,027,458.	
	18	Grants payable		69,568,929.	95,987,689.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)	STATEMENT 16)	92,792,476.	46,100,547.	
	23	Total liabilities (add lines 17 through 22)		171,213,221.	151,115,694.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		3,989,958,000.	3,935,553,000.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		3,989,958,000.	3,935,553,000.	
	31	Total liabilities and net assets/fund balances		4,161,171,221.	4,086,668,694.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,989,958,000.
2	Enter amount from Part I, line 27a	2	-164,902,759.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 9	3	113,609,753.
4	Add lines 1, 2, and 3	4	3,938,664,994.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 10	5	3,111,994.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,935,553,000.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>				
<b>b</b> SEE ATTACHED STATEMENTS				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 668,434,625.		663,865,492.	44,520,387.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			44,520,387.

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>		<b>2</b>	44,520,387.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	212,112,263.	4,158,196,009.	.051011
2014	196,412,211.	4,061,252,404.	.048362
2013	190,491,092.	3,738,351,329.	.050956
2012	183,007,418.	3,527,282,012.	.051883
2011	171,707,357.	3,509,252,056.	.048930

<b>2</b> Total of line 1, column (d)	<b>2</b>	.251142
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.050228
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	3,954,610,518.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	198,632,177.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	419,020.
<b>7</b> Add lines 5 and 6	<b>7</b>	199,051,197.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	200,467,052.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	419,020.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	419,020.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	419,020.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	5,472,353.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,472,353.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,053,333.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 5,053,333. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY, CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2016)

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) <b>STATEMENT 18</b> <span style="float: right;"><b>STMT 19</b></span>	11 X	
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.ROCKEFELLERFOUNDATION.ORG</b>	13 X	
14 The books are in care of <b>ELLEN TAUS, CFO</b> Telephone no. <b>212-852-8220</b> Located at <b>420 FIFTH AVENUE, NEW YORK, NY</b> ZIP+4 <b>10018-2702</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <span style="float: right;"><b>N/A</b></span>		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <b>SEE STATEMENT 17</b>	16 X	

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>N/A</b>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>N/A</b>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 21** ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 20		4,882,005.	413,581.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHUN LAI 420 FIFTH AVENUE, NEW YORK, NY 10018	DEPUTY CHIEF INVESTMENT OFFICER 45.00	907,936.	38,420.	0.
DOUGLASS COYLE 420 FIFTH AVENUE, NEW YORK, NY 10018	MANAGING DIRECTOR, INVESTMENTS 45.00	649,774.	38,155.	0.
MICHELLE PAK 420 FIFTH AVENUE, NEW YORK, NY 10018	MANAGING DIRECTOR, INVESTMENTS 45.00	601,175.	39,081.	0.
LAUREN JACOBSON 420 FIFTH AVENUE, NEW YORK, NY 10018	DIRECTOR, INVESTMENTS 45.00	491,949.	40,438.	0.
RONALD CHEN 420 FIFTH AVENUE, NEW YORK, NY 10018	MANAGING DIRECTOR, INVESTMENTS 45.00	409,734.	29,787.	0.
<b>Total</b> number of other employees paid over \$50,000 <input type="checkbox"/>				120

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PATTERSON, BELKNAP, WEBB & TYLER LLP - 1133 AVENUE OF THE AMERICAS, NEW YORK, NY 10036	LEGAL SERVICES	949,293.
GENERATION INVESTMENT MANAGEMENT U.S., LLP ONE BRYANT PARK 48TH FL., NEW YORK, NY 10036	INVESTMENT MANAGEMENT	940,423.
THE BANK OF NEW YORK MELLON 135 SANTILLI HIGHWAY, EVERETT, MA 02149	CUSTODIAL SERVICE	924,761.
WELLINGTON EM OPP 280 CONGRESS STREET, BOSTON, MA 02210	INVESTMENT MANAGEMENT	793,118.
VENTUCOM LLC - 145 WEST 28TH ST., 10TH FL., NEW YORK, NY 10001	IT CONSULTANT	722,980.
Total number of others receiving over \$50,000 for professional services		52

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENTS 29 & 30	
	4,325,833.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 SEE STATEMENT 22	2,820,000.
2 A PRI TO ELEVAR EQUITY TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA.	734,886.
All other program-related investments. See instructions.	
3	
SEE STATEMENT 23	1,694,880.
Total. Add lines 1 through 3	5,249,766.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities .....	1a	3,975,647,562.
b	Average of monthly cash balances .....	1b	29,068,649.
c	Fair market value of all other assets .....	1c	10,116,802.
d	<b>Total</b> (add lines 1a, b, and c) .....	1d	4,014,833,013.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	1e	0.
2	Acquisition indebtedness applicable to line 1 assets .....	2	0.
3	Subtract line 2 from line 1d .....	3	4,014,833,013.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) .....	4	60,222,495.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 .....	5	3,954,610,518.
6	<b>Minimum investment return.</b> Enter 5% of line 5 .....	6	197,730,526.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6 .....	1	197,730,526.
2a	Tax on investment income for 2016 from Part VI, line 5 .....	2a	419,020.
b	Income tax for 2016. (This does not include the tax from Part VI.) .....	2b	
c	Add lines 2a and 2b .....	2c	419,020.
3	Distributable amount before adjustments. Subtract line 2c from line 1 .....	3	197,311,506.
4	Recoveries of amounts treated as qualifying distributions .....	4	2,572,147.
5	Add lines 3 and 4 .....	5	199,883,653.
6	Deduction from distributable amount (see instructions) .....	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 .....	7	199,883,653.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....	1a	193,859,962.
b	Program-related investments - total from Part IX-B .....	1b	5,249,766.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes .....	2	1,357,324.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required) .....	3a	
b	Cash distribution test (attach the required schedule) .....	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 .....	4	200,467,052.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b .....	5	419,020.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 .....	6	200,048,032.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 .....				199,883,653.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only .....			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 .....				
b From 2012 ..... 9,140,481.				
c From 2013 ..... 2,569,481.				
d From 2014 .....				
e From 2015 ..... 5,493,837.				
f Total of lines 3a through e ..... 17,203,799.				
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$200,467,052.				
a Applied to 2015, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions) .....	0.			
d Applied to 2016 distributable amount .....				199,883,653.
e Remaining amount distributed out of corpus ..... 583,399.				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 ..... 17,787,198.				
b Prior years' undistributed income. Subtract line 4b from line 2b .....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed .....		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions .....		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 .....				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) .....	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 .....	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a .....	17,787,198.			
10 Analysis of line 9:				
a Excess from 2012 ... 9,140,481.				
b Excess from 2013 ... 2,569,481.				
c Excess from 2014 ...				
d Excess from 2015 ... 5,493,837.				
e Excess from 2016 ... 583,399.				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

OFFICE OF GRANTS MANAGEMENT, 212-852-8465  
420 FIFTH AVENUE, NEW YORK, NY 10018

**b** The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 25

**c** Any submission deadlines:

SEE STATEMENT 25

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 25

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
Name and address (home or business)				
a Paid during the year				
ACCENTURE FOUNDATION (SOUTH AFRICA) TRUST BUILDING 19, HARROWDENE OFFICE PARK KELVIN DRIVE WOODMEAD, JOHANNESBURG, SOUTH AFRICA	N/A	NC	SEE STATEMENT	400,000.
ACCENTURE LLP 161 N. CLARK STREET CHICAGO, IL 60601	N/A	NC	SEE STATEMENT	90,000.
ACCESS HEALTH INTERNATIONAL, INC. 845 UN PLAZA SUITE 86A NEW YORK, NY 10017-3536	N/A	PC	SEE STATEMENT	200,000.
ACCION INTERNATIONAL 10 FAWCETT STREET SUITE 204 CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	250,000.
ACTION ON DISABILITY AND DEVELOPMENT THE OLD CHURCH SCHOOL BUTTS HILL FROME, FROME, UNITED KINGDOM BA11 1HR	N/A	PC	SEE STATEMENT	50,000.
Total SEE CONTINUATION SHEET(S)			3a	150,502,426.
b Approved for future payment				
ACCENTURE LLP 161 N. CLARK STREET CHICAGO, IL 60601	N/A	NC	SEE STATEMENT	25,000.
ACCESS HEALTH INTERNATIONAL, INC. 845 UN PLAZA SUITE 86A NEW YORK, NY 10017-3536	N/A	PC	SEE STATEMENT	79,000.
ADESO 1250 24TH STREET NW SUITE 300 WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	10,000.
Total SEE CONTINUATION SHEET(S)			3b	95,987,689.

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**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Exempt Organizations		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash .....	1a(1)		X
(2) Other assets .....	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization .....	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization .....	1b(2)		X
(3) Rental of facilities, equipment, or other assets .....	1b(3)		X
(4) Reimbursement arrangements .....	1b(4)		X
(5) Loans or loan guarantees .....	1b(5)		X
(6) Performance of services or membership or fundraising solicitations .....	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees .....	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				<b>CHIEF FINANCIAL OFFICER</b> <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No		
	Signature of officer or trustee		Date	Title			
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check <input type="checkbox"/> if self-employed	PTIN
	THOMAS BLANEY				11/13/17		P00234022
	Firm's name ▶ PKF O'CONNOR DAVIES, LLP					Firm's EIN ▶ 27-1728945	
	Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022					Phone no. (212) 286-2600	



THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P		
b	MISCELLANEOUS (EQUITY OTHER)	P		
c	MISCELLANEOUS (FIXED INCOME FUTURES)	P		
d	BLUE RIDGE OFFSHORE	P		
e	BRAHMAN PARTNERS II	P		
f	SAB OVERSEAS FUND	P		
g	SCGE OFFSHORE FUND	P		
h	THINK INVESTMENTS	P		
i	WELLINGTON SPINDRIFT	P		
j	FARALLON	P		
k	KING STREET CAP II	P		
l	OCH-ZIFF EUR OVRSEAS	P		
m	OZ OVERSEAS FUND II	P		
n	CARL MARKS STRAT OPP	P		
o	WATERSHED	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 668,434,625.		663,865,492.	4,569,133.
b			6,173,785.
c			85,843.
d			3,310,603.
e			-9,689,463.
f			273,345.
g			-18,760,397.
h			71,195.
i			-672,606.
j			301,615.
k			155,148.
l			-18,923.
m			19,216,829.
n			164,563.
o			37,769.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,569,133.
b			6,173,785.
c			85,843.
d			3,310,603.
e			-9,689,463.
f			273,345.
g			-18,760,397.
h			71,195.
i			-672,606.
j			301,615.
k			155,148.
l			-18,923.
m			19,216,829.
n			164,563.
o			37,769.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BREVAN HOWARD FUND	P		
b	BREVAN HOWARD M-S	P		
c	ALCHEMY SPEC OPPS 3	P		
d	CERBERUS III	P		
e	CERBERUS IV	P		
f	HSI HY CREDIT I	P		
g	HSI HY CREDIT I (A)	P		
h	HSI HY CREDIT I (B)	P		
i	BLACKSTONE RE VIII	P		
j	BROOKDALE FUND VI	P		
k	HEMISFERIO SUL IV	P		
l	HEMISFERIO SUL IV A	P		
m	HEMISFERIO SUL IV B	P		
n	HUTENSKY FUND II	P		
o	KILDARE EUROPEAN	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-607,024.
b			641,066.
c			380,089.
d			1,008,243.
e			2,624,146.
f			50,517.
g			50,517.
h			50,517.
i			209,262.
j			827,256.
k			-4,401.
l			-4,401.
m			-4,401.
n			768,301.
o			1,860,025.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-607,024.
b			641,066.
c			380,089.
d			1,008,243.
e			2,624,146.
f			50,517.
g			50,517.
h			50,517.
i			209,262.
j			827,256.
k			-4,401.
l			-4,401.
m			-4,401.
n			768,301.
o			1,860,025.

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LONE STAR RE FUND II	P		
b M&H RLTY PARTNERS V	P		
c MOORFIELD RE FUND II	P		
d NCP III (QUADRANGLE)	P		
e PROSPERITAS RE III	P		
f PROSPERITAS RE III-A	P		
g PROSPERITAS RE III-B	P		
h SVEAFSTIGHETER III	P		
i FREMONT FUND I	P		
j SHORENSTEIN VI	P		
k ARCLIGHT FUND IV	P		
l CADENT ENERGY II	P		
m CARNELIAN ENERGY	P		
n COMMONFD NAT RES VI	P		
o COMMONFD NAT RES VII	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			294,401.
b			1,205,970.
c			692,069.
d			1,213,094.
e			5,642.
f			5,642.
g			5,642.
h			1,519,249.
i			-3,322,700.
j			11,577.
k			1,357,574.
l			1,434,841.
m			1,912,500.
n			894,934.
o			328,163.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			294,401.
b			1,205,970.
c			692,069.
d			1,213,094.
e			5,642.
f			5,642.
g			5,642.
h			1,519,249.
i			-3,322,700.
j			11,577.
k			1,357,574.
l			1,434,841.
m			1,912,500.
n			894,934.
o			328,163.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DENHAM CAPITAL VI		P		
b LIME ROCK FUND IV		P		
c LIME ROCK FUND V		P		
d LIME ROCK RES		P		
e MISSIONPOINT I		P		
f QUANTUM ENERGY IV		P		
g LYME FOREST FUND		P		
h ABRY PARTNERS VI		P		
i ABRY PARTNERS VII		P		
j ABRY SR EQUITY FUND		P		
k ALPINE INVESTORS III		P		
l ALPINE INVESTORS IV		P		
m AUDAX FUND II		P		
n AUDAX FUND III		P		
o AUDAX FUND IV		P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			300,172.
b			208,024.
c			6,731.
d			-7,576,053.
e			283,488.
f			26,423.
g			299,000.
h			2,350,468.
i			1,442,092.
j			79,550.
k			2,393,498.
l			279,331.
m			1,286,517.
n			8,684,402.
o			1,914,635.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			300,172.
b			208,024.
c			6,731.
d			-7,576,053.
e			283,488.
f			26,423.
g			299,000.
h			2,350,468.
i			1,442,092.
j			79,550.
k			2,393,498.
l			279,331.
m			1,286,517.
n			8,684,402.
o			1,914,635.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BAIN CAP IX CO-INV	P		
b	BAIN CAPITAL IX	P		
c	BERKSHIRE PRTS VI	P		
d	BERKSHIRE PRTS VII	P		
e	BERSKHIRE PRTS VIII	P		
f	FLEXPOINT FUND II	P		
g	GRAHAM FUND II	P		
h	GRAHAM II CO-INV	P		
i	LITTLEJOHN III	P		
j	LITTLEJOHN IV	P		
k	LNK PARTNERS	P		
l	SUMMIT PE FUND VI	P		
m	SUMMIT PE FUND VII	P		
n	SUMMIT VENTURE II-A	P		
o	BAIN CAP VIII EUR	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			151,666.
b			248,861.
c			1,773,918.
d			1,280,213.
e			174,274.
f			121,253.
g			131,297.
h			72,400.
i			19,884.
j			3,205,345.
k			293,546.
l			126,057.
m			456,149.
n			667,330.
o			1,788,733.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			151,666.
b			248,861.
c			1,773,918.
d			1,280,213.
e			174,274.
f			121,253.
g			131,297.
h			72,400.
i			19,884.
j			3,205,345.
k			293,546.
l			126,057.
m			456,149.
n			667,330.
o			1,788,733.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BAIN CAPITAL ASIA	P		
b	CATALYST CAPITAL IV	P		
c	CATALYST FUND V	P		
d	CATALYST IV PARALLEL	P		
e	CHRYSLER CAPITAL V	P		
f	CICC GROWTH CAP I	P		
g	JC FLOWERS II	P		
h	MORGAN STANLEY ASIA	P		
i	TIGER GLOBAL PIP IV	P		
j	CODE HENN AND SIMM	P		
k	WELSH CARSON IX	P		
l	WELSH CARSON VIII	P		
m	EMERALD HILL II	P		
n	EMERALD HILL III	P		
o	ACCEL FUND IX	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			99,401.
b			-13,242.
c			437,770.
d			71,342.
e			-242,563.
f			687,939.
g			44,070.
h			59,260.
i			-382,556.
j			-4,615,156.
k			-5,772,722.
l			-6,307,428.
m			545,837.
n			39,302.
o			1,646,329.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			99,401.
b			-13,242.
c			437,770.
d			71,342.
e			-242,563.
f			687,939.
g			44,070.
h			59,260.
i			-382,556.
j			-4,615,156.
k			-5,772,722.
l			-6,307,428.
m			545,837.
n			39,302.
o			1,646,329.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ACCEL FUND X	P		
b ACCEL FUND XI	P		
c ACCEL GROWTH II	P		
d ACCEL XII L.P.	P		
e AH III	P		
f AH PARALLEL III	P		
g AH PARALLEL IV	P		
h ANDRSSEN HOROWITZ IV	P		
i BENCHMARK CAP V	P		
j BENCHMARK CAP VI	P		
k BENCHMARK CAPVII	P		
l FIRELAKE FUND I	P		
m FIRELAKE FUND II	P		
n HIGHLAND CAPITAL VI	P		
o MOHR DAVIDOW IX	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			1,697,771.
b			414,703.
c			35,525.
d			450,740.
e			128,005.
f			64,003.
g			228,959.
h			183,168.
i			797,738.
j			6,054,832.
k			1,041,806.
l			-3,482,507.
m			4,524,872.
n			726,252.
o			404,183.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,697,771.
b			414,703.
c			35,525.
d			450,740.
e			128,005.
f			64,003.
g			228,959.
h			183,168.
i			797,738.
j			6,054,832.
k			1,041,806.
l			-3,482,507.
m			4,524,872.
n			726,252.
o			404,183.

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NEW ENTERPRISE XI	P		
b	NEW ENTERPRISE XII	P		
c	NORTH BRIDGE GRWTH I	P		
d	REDPOINT VENST III	P		
e	REDPOINT VENTS OMEGA	P		
f	SEQUOIA CAPITAL XI	P		
g	SEQUOIA CAPITAL XII	P		
h	SEQUOIA US GRWTH III	P		
i	SEQUOIA US VENT 2010	P		
j	SQUIAUSVENT2010 SEED	P		
k	US VENTURE IX	P		
l	IDG-ACCEL CHINA	P		
m	IDG-ACCEL CHN GR II	P		
n	IIF FUND I	P		
o	LC FUND V	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			318,383.
b			251,385.
c			76,815.
d			3,619,317.
e			1,539,674.
f			560,179.
g			340,675.
h			574,742.
i			97,981.
j			48,785.
k			546,683.
l			556,231.
m			2,508,595.
n			-2,672,379.
o			2,355,256.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			318,383.
b			251,385.
c			76,815.
d			3,619,317.
e			1,539,674.
f			560,179.
g			340,675.
h			574,742.
i			97,981.
j			48,785.
k			546,683.
l			556,231.
m			2,508,595.
n			-2,672,379.
o			2,355,256.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	



THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SB ASIA INVEST II	P		
b	SEQUOIA CAP CHINA	P		
c	SEQUOIA CAP CHINA II	P		
d	SEQUOIA CAP CHN GR I	P		
e	SEQUOIA CAP GLOBAL	P		
f	SEQUOIA CHINA GRW 10	P		
g	SEQUOIA CHINA VEN 10	P		
h	SEQUOIA CHINA VEN IV	P		
i	SEQUOIA INDIA GR I	P		
j	SEQUOIA INDIA III	P		
k	SEQUOIA ISRAEL III	P		
l	SEQUOIA ISRAEL IV	P		
m	SEQUOIA ISRAEL VN V	P		
n	BATTERY VENTRS VI	P		
o	CHARLES RIVER XII	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			2,229,808.
b			183,660.
c			2,542,545.
d			23,000.
e			447,728.
f			3,184,884.
g			823,477.
h			141,143.
i			447,580.
j			384,262.
k			27,595.
l			539,816.
m			199,001.
n			81,054.
o			39,198.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,229,808.
b			183,660.
c			2,542,545.
d			23,000.
e			447,728.
f			3,184,884.
g			823,477.
h			141,143.
i			447,580.
j			384,262.
k			27,595.
l			539,816.
m			199,001.
n			81,054.
o			39,198.

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE ROCKEFELLER FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	REDPOINT VENST I	P		
b	SEQUOIA CAPITAL IX	P		
c	ACUMEN CAPITAL MARKETS FUND I	P		
d	TROWE PRICE	P		
e	ADJUSTMENT FOR K-1 ACTIVITY	P		
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-5,035,128.
b			12,997.
c			-3,255,000.
d			-63,150.
e			-11,839,991.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-5,035,128.
b			12,997.
c			-3,255,000.
d			-63,150.
e			-11,839,991.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	44,520,387.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ACUMEN FUND, INC. 40 WORTH STREET SUITE 303 NEW YORK, NY 10013	N/A	PC	SEE STATEMENT	250,000.
ADESO 1250 24TH STREET NW SUITE 300 WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	90,000.
ADMINISTRATORS OF THE TULANE EDUCATIONAL FUND OFFICE OF DEVELOPMENT NEW ORLEANS, LA 70161-9986	N/A	PC	MATCHING GIFT	11,808.
AFRICA GREENCO 20-22 BEDFORD ROW LONDON, LONDON, UNITED KINGDOM WC1R 4JS	N/A	PC	SEE STATEMENT	249,600.
AFRICA GREENCO 20-22 BEDFORD ROW LONDON, LONDON, UNITED KINGDOM WC1R 4JS	N/A	PC	SEE STATEMENT	700,000.
AFRICA KNOWS PHOTOGRAPHY LIMITED PO BOX 68151-00200 NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	30,000.
AFRICA PRACTICE EAST AFRICA LIMITED 14 CAMBRIDGE COURT LONDON, LONDON, UNITED KINGDOM W6 7NJ	N/A	NC	SEE STATEMENT	35,000.
AFRICA TWENTY-FOUR MEDIA, LTD. P.O.BOX 1403 00502 NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	125,000.
AFRICAN ASSOCIATION OF AGRICULTURAL ECONOMISTS C/O AFR ECO RES CONSORTIUM (AERC), 4TH FL, MEBANK TOWERS, MILIMANI RD, NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	70,000.
AFRICAN MEDIA INITIATIVE P.O. BOX 51366-00100 6TH FLOOR FEDHA PLAZA, MPAKA ROAD WESTLANDS, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	10,000.
<b>Total from continuation sheets</b>				<b>149,512,426.</b>

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AG INNOVATIONS NETWORK 101 MORRIS STREET, SUITE 212 SEBASTOPOL, CA 95472	N/A	PC	SEE STATEMENT	125,000.
AGA KHAN UNIVERSITY STADIUM ROAD P.O. BOX 3500 KARACHI, KARACHI, PAKISTAN 74800	N/A	PC	SEE STATEMENT	25,000.
AISHA ABUBAKAR AUDU A3 FOUNDATION 45 AJOSE ADEOGUN STREET UTAKO DISTRICT ABUJA, ABUJA, NIGERIA	N/A	PC	SEE STATEMENT	160,000.
ALAYA LLC 651 COLLEGE AVENUE BOULDER, CO 80302	N/A	NC	SEE STATEMENT	60,000.
ALBANY LAW SCHOOL 80 NEW SCOTLAND AVENUE ALBANY, NY 12208	N/A	PC	MATCHING GIFT	30,000.
ALBRIGHT STONEBRIDGE GROUP LLC 601 13TH STREET, NW 10TH FLOOR WASHINGTON, DC 20005	N/A	NC	SEE STATEMENT	300,000.
ALI FORNEY CENTER 224 WEST 35TH ST 15TH FL NEW YORK, NY 10001	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
ALI FORNEY CENTER 224 WEST 35TH ST 15TH FL NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	1,000.
ALL HANDS VOLUNTEERS, INC. 6 COUNTY RD., SUITE 6 MATTAPOISETT, MA 02739	N/A	PC	SEE STATEMENT	150,000.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA P.O. BOX 66773 WESTLANDS, 00800 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	400,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA P.O. BOX 66773 WESTLANDS, 00800 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	595,772.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA P.O. BOX 66773 WESTLANDS, 00800 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	2,500,000.
ALUTIQ HERITAGE FOUNDATION 215 MISSION RD., STE. 101 KODIAK, AK 99615-6327	N/A	PC	MATCHING GIFT	750.
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVE N.W. WASHINGTON, DC 20005	N/A	PC	MATCHING GIFT	30,000.
AMERICAN CANCER SOCIETY - NATIONAL OFFICE 250 WILLIAMS ST NW ATLANTA, GA 30303	N/A	PC	MATCHING GIFT	150.
AMERICAN CANCER SOCIETY - NEW ENGLAND DIVISION 30 SPEEN ST FRAMINGHAM, MA 01701	N/A	PC	MATCHING GIFT	15,000.
AMERICAN CANCER SOCIETY - OKLAHOMA CITY 6525 N MERIDIAN STE 110 OKLAHOMA CITY, OK 73116	N/A	PC	MATCHING GIFT	300.
AMERICAN FRIENDS OF JORDAN RIVER VILLAGE 244 MADISON AVENUE, SUITE 482 NEW YORK, NY 10016	N/A	PC	MATCHING GIFT	375.
AMERICAN JEWISH WORLD SERVICE INC 45 W 36TH ST., FLOOR 10 NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	1,620.
AMERICAN REFUGEE COMMITTEE 615 1ST AVE N.E. STE 500 MINNEAPOLIS, MN 55413	N/A	PC	MATCHING GIFT	1,500.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ANIMAL HAVEN INC 200 CENTRE STREET NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	105.
ANIMAL HAVEN INC. 200 CENTRE STREET NEW YORK, NY 10013	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
APCO WORLDWIDE INC. 1299 PENNSYLVANIA AVE NW SUITE 300 WASHINGTON, DC 20004	N/A	NC	SEE STATEMENT	300,000.
APCO WORLDWIDE LIMITED 1903 CAMBRIDGE HOUSE, TAIKOO PLACE 979 KING'S ROAD, QUARRY BAY HONG KONG, HONG KONG, CHINA	N/A	NC	SEE STATEMENT	30,000.
ARETE STORIES LTD. 2 CHUTE LODGE CHUTE FOREST ANDOVER, ANDOVER, UNITED KINGDOM SP11 9DG	N/A	NC	SEE STATEMENT	9,850.
ARETE STORIES LTD. 2 CHUTE LODGE CHUTE FOREST ANDOVER, ANDOVER, UNITED KINGDOM SP11 9DG	N/A	NC	SEE STATEMENT	46,730.
ARMILLARIA, LLC 2420 NE SANDY BLVD. SUITE 103 PORTLAND, OR 97232	N/A	PC	SEE STATEMENT	247,500.
ART AFRICA CENTRE 28 ST. GEORGE'S MALL, 5TH FLOOR THE EXCHANGE BUILDING CAPE TOWN, CAPE TOWN, SOUTH AFRICA	N/A	PC	SEE STATEMENT	2,619.
ART AFRICA CENTRE 28 ST. GEORGE'S MALL, 5TH FLOOR THE EXCHANGE BUILDING CAPE TOWN, CAPE TOWN, SOUTH AFRICA	N/A	PC	SEE STATEMENT	107,290.
ART CREATES US, INC. 601 WEST 26TH STREET SUITE 325-248 NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	50,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASIA FOUNDATION 465 CALIFORNIA STREET 9TH FLOOR SAN FRANCISCO, CA 94104	N/A	PC	SEE STATEMENT	56,073.
ASIA FOUNDATION 465 CALIFORNIA STREET 9TH FLOOR SAN FRANCISCO, CA 94104	N/A	PC	SEE STATEMENT	180,000.
ASIAN COALITION FOR HOUSING RIGHTS 73 SOI SONTHIWATTANA 4 LADPRAO 110 ROAD. BANGKOK, BANGKOK, THAILAND 10310	N/A	PC	SEE STATEMENT	19,000.
ASIAN DEVELOPMENT BANK 6 ADB AVENUE, MANDALUYONG CITY 1550 METRO MANILA, MANILA, PHILIPPINES 1550	N/A	GOV	SEE STATEMENT	500,000.
ASIAN VENTURE PHILANTHROPY NETWORK LIMITED 3 SHENTON WAY, SHENTON HOUSE NO 02-08 SINGAPORE 068805	N/A	PC	SEE STATEMENT	30,000.
ASPEN GLOBAL CHANGE INSTITUTE, INC. 104 MIDLAND AVE.. SUITE 205 BASALT, CO 81621	N/A	PC	CHARITABLE DONATION	25,000.
ASPEN INSTITUTE INC. ONE DUPONT CIR N.W. STE 700 WASHINGTON, DC 20036-1133	N/A	PC	MATCHING GIFT	90,000.
ASPEN INSTITUTE, INC. ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036-1133	N/A	PC	SEE STATEMENT	100,000.
ASPEN INSTITUTE, INC. ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036-1133	N/A	PC	SEE STATEMENT	100,000.
ASPEN INSTITUTE, INC. ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036-1133	N/A	PC	SEE STATEMENT	250,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASPEN INSTITUTE, INC. ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036-1133	N/A	PC	SEE STATEMENT	600,000.
ASPEN NETWORK OF DEVELOPMENT ENTREPRENEURS ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036	N/A	PC	MEMBERSHIP CONTRIBUTION	10,000.
ASSOCIATION FOR THE ADVANCEMENT OF AFRICAN WOMEN ECONOMISTS 1117 STONERIDGE DRIVE LAWRENCE, KS 66049-4772	N/A	PC	SEE STATEMENT	10,000.
ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO VIA LAXXARETTO 12 BELLAGIO, ITALY 22021	N/A	PC	SEE STATEMENT	1,000.
ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO VIA LAXXARETTO 12 BELLAGIO, ITALY 22021	N/A	PC	SEE STATEMENT	1,000.
ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO VIA LAXXARETTO 12 BELLAGIO, ITALY 22021	N/A	PC	SEE STATEMENT	5,000.
ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO VIA LAXXARETTO 12 BELLAGIO, ITALY 22021	N/A	PC	CHARITABLE DONATION	81,599.
AUBURN UNIVERSITY 107 SAMFORD HALL 182 S.COLLEGE STREET AUBURN, AL 36849	N/A	PC	SEE STATEMENT	28,500.
B LAB COMPANY 155 E. LANCASTER AVENUE 2ND FLOOR WAYNE, PA 19087	N/A	PC	SEE STATEMENT	99,350.
B LAB COMPANY 155 E. LANCASTER AVENUE 2ND FLOOR WAYNE, PA 19087	N/A	PC	SEE STATEMENT	300,000.
Total from continuation sheets .....				



**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BACK ON MY FEET 100 SOUTH BROAD STREET, SUITE 1400 PHILADELPHIA, PA 19110	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
BALLET TECH FOUNDATION INC. 890 BROADWAY NEW YORK, NY 10003	N/A	PC	MATCHING GIFT	164.
BANQU, INC. 4100 HEATHERTON PLACE MINNETONKA, MN 55345	N/A	NC	SEE STATEMENT	300,000.
BARROW GROUP INC 312 WEST 36TH STREET SUITE 4W NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	21,000.
BE THE CHANGE, INC. 200 CLARENDON STREET 44TH FLOOR BOSTON, MA 02116	N/A	PC	SEE STATEMENT	500,000.
BEHAVIORAL IDEAS LAB, INC. 80 BROAD STREET, 30TH FLOOR NEW YORK, NY 10004	N/A	PC	SEE STATEMENT	40,000.
BEHAVIORAL IDEAS LAB, INC. 80 BROAD STREET, 30TH FLOOR NEW YORK, NY 10004	N/A	PC	SEE STATEMENT	42,637.
BELLAGIO CENTER THE ROCKEFELLER FOUNDATION VILLA SERBELLONI BELLAGIO, ITALY	N/A	PC	DCA - SEE STATEMENTS 29 & 30	4,325,833.
BEND THE ARC - A JEWISH PARTNERSHIP FOR JUSTICE 330 7TH AVENUE, SUITE 1902 NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	250,000.
BERKLEE COLLEGE OF MUSIC INC. 1140 BOYLSTON STREET BOSTON, MA 02215	N/A	PC	MATCHING GIFT	15,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BILL, HILLARY & CHELSEA CLINTON FOUNDATION 1271 AVE. OF THE AMERICAS 42 FLOOR NEW YORK, NY 10020	N/A	PC	SEE STATEMENT	550,000.
BLUE STATE DIGITAL, INC. 101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	80,000.
BLUE STATE DIGITAL, INC. 101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	100,000.
BLUE STATE DIGITAL, INC. 101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	250,000.
BLUE STATE DIGITAL, INC. 101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	1,100,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY C/O OFFICE OF SPONSORED RESEARCH 3160 PORTER DRIVE, SUITE 100 PALO ALTO, CA 94304	N/A	PC	SEE STATEMENT	500,000.
BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS 1901 S. FIRST STREET, SUITE A CHAMPAIGN, IL 61820	N/A	PC	SEE STATEMENT	25,000.
BOWDOIN COLLEGE 4100 COLLEGE STATION BRUNSWICK, ME 04011-8432	N/A	PC	MATCHING GIFT	1,500.
BRAC USA INC. 110 WILLIAM STREET, SUITE 2902 NEW YORK, NY 10038	N/A	PC	SEE STATEMENT	250,000.
BREAKING GROUND 505 EIGHTH AVENUE, 5TH FLOOR NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	7,500.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRICK THEATER P.O. BOX 1851 NEW YORK, NY 10101-1851	N/A	PC	MATCHING GIFT	15,000.
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036-2188	N/A	PC	SEE STATEMENT	100,000.
BROOKLYN GOWANUS CANAL CONSERVANCY P.O. BOX 150-652 BROOKLYN, NY 11215	N/A	PC	MATCHING GIFT	2,250.
BROOKLYN HISTORICAL SOCIETY 128 PIERREPONT STREET BROOKLYN, NY 11201	N/A	PC	MATCHING GIFT	1,500.
BROOKLYN PUBLIC LIBRARY 1 GRAND ARMY PLAZA BROOKLYN, NY 11238	N/A	PC	MATCHING GIFT	303.
BROWN UNIVERSITY IN PROVIDENCE IN THE STATE OF RHODE ISLAND P.O. BOX 1908 PROVIDENCE, RI 02912-1908	N/A	PC	MATCHING GIFT	3,000.
BRYN MAWR COLLEGE 101 N. MERION AVE BRYN MAWR, PA 19010	N/A	PC	MATCHING GIFT	4,503.
BUCKMINSTER FULLER INSTITUTE 181 N. 11TH STREET SUITE 402 BROOKLYN, NY 11211	N/A	PC	CHARITABLE DONATION	25,000.
BUDDHIST GLOBAL RELIEF PO BOX 1611 SPARTA, NJ 07871	N/A	PC	MATCHING GIFT	150.
BURNES COMMUNICATIONS 7910 WOODMONT AVENUE SUITE 700 BETHESDA, MD 20814-3015	N/A	NC	SEE STATEMENT	5,280.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BUSINESS FOR SOCIAL RESPONSIBILITY 88 KEARNY STREET 12TH FLOOR SAN FRANCISCO, CA 94108	N/A	PC	SEE STATEMENT	200,000.
BUSINESS FOR SOCIAL RESPONSIBILITY 88 KEARNY STREET 12TH FLOOR SAN FRANCISCO, CA 94108	N/A	PC	SEE STATEMENT	240,162.
BUSINESS FOR SOCIAL RESPONSIBILITY 88 KEARNY STREET 12TH FLOOR SAN FRANCISCO, CA 94108	N/A	PC	SEE STATEMENT	270,000.
CARIBBEAN MUSEUM CENTER FOR THE ARTS, INC. 10 STRAND STREET ST. CROIX, FREDERIKSTEAD, VIRGIN ISLANDS 00840	N/A	PC	MATCHING GIFT	120.
CAROLINA FOR KIBERA 301 PITTSBORO ST. STE. 3002 CHAPEL HILL, NC 27599	N/A	PC	MATCHING GIFT	450.
CAROLINA JUSTICE POLICY CENTER P.O. BOX 309 DURHAM, NC 27702-0309	N/A	PC	MATCHING GIFT	750.
CARRY THE LOAD DALLAS P.O. BOX 261904 PLANO, TX 75026	N/A	PC	MATCHING GIFT	75.
CATALYST INC. 120 WALL STREET, 15TH FLOOR NEW YORK, NY 10005	N/A	PC	SEE STATEMENT	100,000.
CAUDILL WEBSITE DESIGN & CONSTRUCTION, INC. 1050 CONNECTICUT AVE. NW 10TH FLOOR WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	23,300.
CENTER FOR CHILDREN'S INITIATIVES 322 8TH AVE., 4TH FLOOR NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	900.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR GLOBAL DEVELOPMENT 2055 L STREET NW, FIFTH FLOOR WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	50,000.
CENTER FOR GLOBAL DEVELOPMENT 2055 L STREET NW, FIFTH FLOOR WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	299,359.
CEREBRAL PALSY FOUNDATION 3 COLUMBUS CIRCLE NEW YORK, NY 10019	N/A	PC	MATCHING GIFT	750.
CERES, INC. 99 CHAUNCY STREET 6TH FLOOR BOSTON, MA 02111	N/A	PC	SEE STATEMENT	50,000.
CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF OXFORD UNIVERSITY OFFICES WELLINGTON SQUARE OXFORD, OXFORD, UNITED KINGDOM OX1 2JD	N/A	PC	SEE STATEMENT	1,750,000.
CHILDREN INTERNATIONAL 2000 E RED BRIDGE RD KANSAS CITY, MO 64131	N/A	PC	MATCHING GIFT	3,852.
CHILDREN INTERNATIONAL 2000 E RED BRIDGE RD KANSAS CITY, MO 64131	N/A	PC	MATCHING GIFT	225.
CHILDREN INTERNATIONAL 2000 E RED BRIDGE RD KANSAS CITY, MO 64131	N/A	PC	MATCHING GIFT	30,000.
CHILDREN INTERNATIONAL 2000 EAST RED BRIDGE ROAD KANSAS CITY, MO 64121	N/A	PC	MATCHING GIFT	900.
CHOCORUA LAKE CONSERVANCY PO BOX 105 CHOCORUA, NH 03817	N/A	PC	MATCHING GIFT	75.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CISION US, INC. 130 E RANDOLPH ST. REET, 7TH FLOOR CHICAGO, IL 60601	N/A	NC	SEE STATEMENT	79,000.
CITIES DEVELOPMENT INSTITUTE OF ASIA, INC. SUITE 202-203 HANSTON BLDG EMERALD AVENUE PASIG CITY 1600, METRO MANILA, PASIG CITY 1600, METRO MA,	N/A	PC	SEE STATEMENT	5,000.
CITISCOPE 7008 GLEASON ROAD MINNEAPOLIS, MN 55439-1605	N/A	PC	SEE STATEMENT	100,000.
CITY HARVEST INC 6 E 32ND ST FL 5 NEW YORK, NY 10016	N/A	PC	MATCHING GIFT	300.
CITY HARVEST, INC. 6 EAST 32ND STREET, 5TH FLOOR NEW YORK, NY 10016	N/A	PC	SEE STATEMENT	239,979.
CIVIC HALL LABS, INC. 156 FIFTH AVENUE 2ND FLOOR NEW YORK, NY 11217	N/A	PC	SEE STATEMENT	72,000.
CLIMATE BONDS INITIATIVE 72 MUSWELL HILL PLACE N10 3RR LONDON, LONDON, UNITED KINGDOM N10 3RR	N/A	PC	SEE STATEMENT	45,000.
CLIMATE BONDS INITIATIVE 72 MUSWELL HILL PLACE N10 3RR LONDON, LONDON, UNITED KINGDOM N10 3RR	N/A	PC	SEE STATEMENT	300,000.
CLIMATE POLICY INITIATIVE, INC. 235 MONTGOMERY STREET, 13TH FLOOR SAN FRANCISCO, CA 94104	N/A	POF	SEE STATEMENT	200,000.
CLIMATE RISK MANAGEMENT LIMITED HEXGREAVE HALL, FARNSFIELD NEWARK, NOTTINGHAMSHIRE UNITED KINGDOM	N/A	NC	SEE STATEMENT	10,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CLOUD TIGER MEDIA, INC. 230 W 41ST STREET 15TH FLOOR NEW YORK, NY 10036	N/A	NC	SEE STATEMENT	250,000.
COALITION FOR INCLUSIVE CAPITALISM US INC. 435 EAST 52ND STREET APT. 18C NEW YORK, NY 10022	N/A	POF	SEE STATEMENT	150,000.
CODERSTRUST GLOBAL APS ABENRA 33 1 TH COPENHAGEN, COPENHAGEN, DENMARK 1124	N/A	NC	SEE STATEMENT	10,000.
COLUMBIA LAND CONSERVANCY INC 49 MAIN STREEET CHATHAM, NY 12037	N/A	PC	MATCHING GIFT	1,500.
COMMUNITY VOICES HEARD, INC. 115 EAST 106TH STREET, 3RD FLOOR NEW YORK, NY 10029	N/A	PC	SEE STATEMENT	20,000.
CONCORD ANTIQUARIAN SOCIETY 200 LEXINGTON ROAD BOX 146 CONCORD, MA 01742	N/A	PC	MATCHING GIFT	15,000.
CONFERENCING IN A BOX LIMITED MORNING SIDE, 2ND FLOOR NGONG ROAD NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	193,540.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD NEWINGTON, CT 06111	N/A	PC	MATCHING GIFT	750.
CONNEXUS CORPORATION 6231 LEESBURG PIKE NO 310 FALLS CHURCH, VA 22044	N/A	NC	SEE STATEMENT	25,000.
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE, SUITE 500 ARLINGTON, VA 22202	N/A	PC	SEE STATEMENT	70,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE, SUITE 500 ARLINGTON, VA 22202	N/A	PC	SEE STATEMENT	148,866.
CONSORTIUM OF UNIVERSITIES FOR GLOBAL HEALTH 1608 RHODE ISLAND AVENUE, NW SUITE 240 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	24,922.
CONSULTATIVE GROUP ON BIOLOGICAL DIVERSITY P.O. BOX 29361 SAN FRANCISCO, CA 94129	N/A	PC	MEMBERSHIP CONTRIBUTION	19,500.
COOPERATIVE FOR ASSISTANCE AND RELIEF EVERYWHERE INC. - CARE 151 ELLIS ST N.E. ATLANTA, GA 30303-2442	N/A	PC	MATCHING GIFT	150.
CORNELL UNIVERSITY 130 E SENECA ST., STE. 400 ITHACA, NY 14850-4353	N/A	PC	MATCHING GIFT	3,000.
CORNELL UNIVERSITY 300 DAY HALL ITHACA, NY 14853	N/A	PC	SEE STATEMENT	150,000.
CORPORATE COUNCIL ON AFRICA 1100 17TH STREET, NW SUITE 1000 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	41,100.
CORPORATION OF HAVERFORD COLLEGE 370 LANCASTER AVE HAVERFORD, PA 19041	N/A	PC	MATCHING GIFT	303.
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	SEE STATEMENT	24,245.
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	SEE STATEMENT	70,000.
Total from continuation sheets .....				



**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	SEE STATEMENT	169,500.
COUNCIL ON FOUNDATIONS, INC. 2121 CRYSTAL DRIVE SUITE 700 ARLINGTON, VA 22202	N/A	PC	SEE STATEMENT	55,000.
COVENANT HOUSE NEW JERSEY INC 330 WASHINGTON ST NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	300.
COVENANT HOUSE NEW JERSEY INC 330 WASHINGTON ST NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	90.
COVENANT HOUSE NEW JERSEY INC 330 WASHINGTON ST NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	150.
COVENANT HOUSE NEW JERSEY INC 330 WASHINGTON ST NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	75.
COVENANT HOUSE NEW JERSEY INC 330 WASHINGTON ST NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	150.
COVENANT HOUSE NEW YORK 460 WEST 41ST STREET NEW YORK, NY 10036	N/A	PC	MATCHING GIFT	300.
COVENANT HOUSE 461 EIGHTH AVENUE NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	750.
CREATIVES ON RELEASE FOUNDATION 8939 S SEPULVEDA BLVD STE 110 #778 LOS ANGELES, CA 90045	N/A	PC	MATCHING GIFT	150.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CROSSBOUNDARY LLC 1220 19TH STREET NW SUITE 500 WASHINGTON DC, DC 20036	N/A	NC	SEE STATEMENT	200,000.
CURATIO INTERNATIONAL FOUNDATION 3 KAVSADZE AVE. TBILISI, TBILISI, GEORGIA 0162	N/A	NC	SEE STATEMENT	50,000.
DALBERG CONSULTING - U.S., LLC 99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	98,000.
DALBERG CONSULTING - U.S., LLC 99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	149,468.
DALBERG CONSULTING - U.S., LLC 99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	175,000.
DALBERG CONSULTING - U.S., LLC 99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	750,630.
DALBERG CONSULTING CC 195 JAN SMUTS AVENUE ROSEBANK JOHANNESBURG, JOHANNESBURG, SOUTH AFRICA 2092	N/A	NC	SEE STATEMENT	250,000.
DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED MEZ. FL., A WING, BARODAWALA MANSION 81 DR. ANNIE BESANT RADD, WORLI MUMBAI, MUMBAI, INDIA 400018	N/A	NC	SEE STATEMENT	267,382.
DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED MEZZ FL, A WING, BARODAWALA MANSION 81 DR. ANNIE BESANT RD, WORLI MUMBAI, MUMBAI, INDIA 400018	N/A	NC	SEE STATEMENT	250,000.
DALBERG GROUP APS OVERGADEN OVEN VANDET 10, 1ST FLOOR 1415 COPENHAGEN K, COPENHAGEN K, DENMARK	N/A	NC	SEE STATEMENT	70,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DALBERG RESEARCH LTD 72 - 82 ROSEBURY AVENUE, 2ND FLOOR EC1R 4RW LONDON, LONDON, UNITED KINGDOM	N/A	NC	SEE STATEMENT	190,000.
DANIEL J. EDELMAN, INC. 250 HUDSON STREET NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	17,164.
DANIEL J. EDELMAN, INC. 250 HUDSON STREET NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	460,000.
DANIEL J. EDELMAN, INC. 250 HUDSON STREET NEW YORK, NY 10013	N/A	NC	IN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING THE ISSUE OF FOOD WASTE	500,000.
DATAKIND, INC. 156 FIFTH AVENUE SUITE 502 NEW YORK, NY 10010	N/A	PC	SEE STATEMENT	80,000.
DELOITTE CONSULTING LLP 350 SOUTH GRAND SUITE 200 LOS ANGELES, CA 90071-3462	N/A	NC	SEE STATEMENT	100,000.
DEUTSCHE GESELLSCHAFT FÜR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH DAG-HAMMARSKJOLD-WEG 1-5 65760 ESCHBORN DEUTSCHLAND, DEUTSCHLAND,	N/A	PC	SEE STATEMENT	80,000.
DOANE ACADEMY 350 RIVERBANK BURLINGTON, NJ 08016	N/A	PC	MATCHING GIFT	60,000.
DOCTORS WITHOUT BORDERS USA INC 333 SEVENTH AVE FL 2 NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	3,750.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	1,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DUKE UNIVERSITY P.O. BOX 90581 DURHAM, NC 27708-0581	N/A	PC	MATCHING GIFT	37,800.
E.L. HAYNES PUBLIC CHARTER SCHOOL 3600 GEORGIA AVENUE NW WASHINGTON, DC 20010	N/A	PC	MATCHING GIFT	7,500.
E.L. HAYNES PUBLIC CHARTER SCHOOL 3600 GEORGIA AVENUE NW WASHINGTON, DC 20010	N/A	PC	MATCHING GIFT	3,750.
EAST AFRICA ASSOCIATION OF GRANTMAKERS P.O. BOX 49626-00100 GPO NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	34,429.
ECOSYSTEM ECONOMICS LLC 50 SW BOND STREET, SUITE 4 BEND, OR 97702	N/A	NC	SEE STATEMENT	188,707.
EDGE FUNDERS ALLIANCE 116 E. 16TH STREET NO 7 NEW YORK, NY 10003	N/A	PC	MEMBERSHIP CONTRIBUTION	5,000.
EKO ASSET MANAGEMENT PARTNERS, LLC C/O WOLFENSOHN & CO. 1350 AVENUE OF THE AMERICAS, 29TH FLOOR NEW YORK, NY 10019	N/A	NC	SEE STATEMENT	195,510.
ENVIRONMENTAL DEFENSE FUND 257 PARK AVE S NEW YORK, NY 10010	N/A	PC	MATCHING GIFT	15,000.
ENVIRONMENTAL DEFENSE FUND, INCORPORATED 257 PARK AVENUE SOUTH 17TH FLOOR NEW YORK, NY 10010	N/A	PC	SEE STATEMENT	450,000.
ENVIRONNEMENT ET DEVELOPPEMENT DU TIERS MONDE CPLX SICAP PT E BATIMENT B, 1ER ETAGE AVE CHEIKH ANTA DIOP X CANAL IV DAKAR, DAKAR, SENEGAL 3370	N/A	PC	SEE STATEMENT	70,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ETHIOPIAN INSTITUTE OF AGRICULTURAL RESEARCH P.O. BOX 2003 ADDIS ABABA, ADDIS ABABA, ETHIOPIA	N/A	GOV	SEE STATEMENT	82,600.
EUROPEAN FOUNDATION CENTRE 78, AVENUE DE LA TOISON D'OR BRUSSELS 1060 BELGIUM	N/A	PC	MEMBERSHIP CONTRIBUTION	5,795.
EVEREST GLOBAL INC. 12770 MERIT DRIVE SUITE 800 DALLAS, TX 75251	N/A	NC	IN SUPPORT OF REFINING THE GLOBAL BUSINESS CASE FOR IMPACT SOURCING WITH A FOCUS ON SOUTH AFRICA	115,000.
EXPERIA EVENTS PTE LTD SINGAPOE CHANGI AIRPORT TERMINAL 2 LEVEL 3 NO038-017 CHANGI, CHANGI, SINGAPORE 819643	N/A	NC	IN SUPPORT OF ORGANIZING THE 2016 WORLD CITIES SUMMIT, TO BE HELD IN SINGAPORE, JULY 2016	135,000.
FAITH ASSEMBLY OF GOD OF ROCKLAND COUNTY 921 CHESTNUT RIDGE ROAD CHESTNUT RIDGE, NY 10977	N/A	PC	MATCHING GIFT	60.
FAITH ASSEMBLY OF GOD OF ROCKLAND COUNTY 921 CHESTNUT RIDGE ROAD CHESTNUT RIDGE, NY 10977	N/A	PC	MATCHING GIFT	14,700.
FAITH ASSEMBLY OF GOD OF ROCKLAND COUNTY 921 CHESTNUT RIDGE ROAD CHESTNUT RIDGE, NY 10977	N/A	PC	MATCHING GIFT	13,500.
FAMILY HEALTH INTERNATIONAL FHI 360 HEADQUARTERS 359 BLACKWELL STREET, SUITE 200 DURHAM, NC 27701	N/A	PC	SEE STATEMENT	149,705.
FARM SANCTUARY INC P.O. BOX 150 WATKINS GLEN, NY 14891	N/A	PC	MATCHING GIFT	150.
FAULT LINE THEATRE INC. 520 8TH AVE, SUITE 336 NEW YORK, NY 10018-6507	N/A	PC	MATCHING GIFT	300.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FEEDING AMERICA 35 E. WACKER DRIVE, SUITE 2000 CHICAGO, IL 60601	N/A	PC	SEE STATEMENT	200,000.
FEMMES AFRICA SOLIDARITE 8 RUE DU VIEUX-BILLARD PO BOX 5037 GENEVA 11, GENEVA 11, SWITZERLAND 1211	N/A	PC	SEE STATEMENT	80,000.
FLYING MANES THERAPEUTIC RIDING INC PO BOX 508 SCARSDALE, NY 10583	N/A	PC	MATCHING GIFT	3,750.
FNDN FOR THAILAND RURAL RECONSTRUCTION MOVEMENT UNDER ROYAL PATRONAGE 2044/21 NEW PETCHBURI ROAD HUAYKWANG BANGKOK, BANGKOK, THAILAND 10320	N/A	PC	SEE STATEMENT	290,000.
FNDN FOR THE GRADUATE INSTITUTE OF INT'L RELATIONS AND DEVELOPMENT MAISON DE LA PAIX CHEMIN EUGENE-RIGOT 2 GENEVA 21, GENEVA 21, SWITZERLAND 1211	N/A	PC	SEE STATEMENT	140,000.
FORBES MEDIA LLC 499 WASHINGTON BLVD. JERSEY CITY, NJ 07310	N/A	NC	TOWARD THE COSTS OF ITS FORBES WOMEN'S SUMMIT AND THE LAUNCH OF THE "100X25" CAMPAIGN	200,000.
FOUNDATION CENTER 79 FIFTH AVENUE NEW YORK, NY 10003	N/A	PC	SEE STATEMENT	100,000.
FOUNDATION FOR THE GLOBAL COMPACT 685 THIRD AVENUE, 12TH FLOOR NEW YORK, NY 10017	N/A	PC	SEE STATEMENT	50,000.
FRACTURED ATLAS PRODUCTIONS INC 248 W 35TH ST FL 10 NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	4,500.
FRACTURED ATLAS PRODUCTIONS INC 248 W 35TH ST FL 10 NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	4,500.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRACTURED ATLAS PRODUCTIONS INC 248 W 35TH ST FL 10 NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	1,500.
FRACTURED ATLAS PRODUCTIONS, INC. 248 W. 35TH STREET 10T FLOOR NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	1,000.
FRESH AIR FUND 633 THIRD AVENUE 14TH FLOOR NEW YORK, NY 10017	N/A	PC	CHARITABLE DONATION	50,000.
FRIENDS OF ALTA P.O. BOX 8126 ALTA, UT 84902	N/A	PC	MATCHING GIFT	750.
FRIENDS OF THE BRITISH COUNCIL, USA 1025 CONNECTICUT AVE., NW SUITE 1000 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	200,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	50,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	100,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	100,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	208,791.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	575,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	698,200.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	700,000.
FT PUBLICATIONS INC. 330 HUDSON STREET 8TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	150,000.
FT PUBLICATIONS INC. 330 HUDSON STREET 8TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	150,000.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS, 6TH FLOOR NEW YORK, NY 10013-1590	N/A	PC	SEE STATEMENT	66,500.
FUNDACION JUMEX ASOCIACION CIVIL BOULEVARD VIA MORELOS 72-A COL. RUSTICA XALOSTOC ECATEPEC, MEXICO	N/A	NC	SEE STATEMENT	15,000.
FUNDACION JUMEX ASOCIACION CIVIL BOULEVARD VIA MORELOS 72-A COL. RUSTICA XALOSTOC ECATEPEC, MEXICO	N/A	NC	SEE STATEMENT	46,900.
FUNDERS' NETWORK FOR SMART GROWTH AND LIVABLE COMMUNITIES INC. EXECUTIVE DIRECTOR 1500 SAN REMO AVE CORAL GABLES, FL 33146	N/A	PC	MEMBERSHIP CONTRIBUTION	30,000.
FUZU LIMITED HAMEENTIE 157, 6TH FLOOR HELSINKI, HELSINKI, FINLAND 00560	N/A	NC	SEE STATEMENT	50,000.
GABRIEL FOUNDATION 1025 ACOMA ST DENVER, CO 80204	N/A	PC	MATCHING GIFT	915.
Total from continuation sheets .....				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GAY MENS HEALTH CRISIS INC 446 W 33RD STREET NEW YORK, NY 10001-2601	N/A	PC	MATCHING GIFT	150.
GENESIS ANALYTICS PTY LTD 50 SIXTH ROAD HYDE PARK JOHANNESBURG, JOHANNESBURG, SOUTH AFRICA 2196	N/A	NC	SEE STATEMENT	100,000.
GEORGE WASHINGTON UNIVERSITY 2121 I STREET, N.W. WASHINGTON, DC 20052	N/A	PC	SEE STATEMENT	240,000.
GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY 49 WEST 45TH STREET 6TH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	961,000.
GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY 49 WEST 45TH STREET 6TH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	1,589,631.
GLOBAL ALLIANCE FOR IMPROVED NUTRITION RUE DE VERMONT 3739 GENEVA, GENEVA, SWITZERLAND CH-1202	N/A	PC	SEE STATEMENT	260,000.
GLOBAL BPO SOLUTIONS, LLC 15600 NE 8TH STREET SUITE B1-800 BELLEVUE, WA 98008	N/A	NC	SEE STATEMENT	100,000.
GLOBAL FEEDBACK LIMITED FITZROY HOUSE 18 ASHWIN STREET LONDON, LONDON, UNITED KINGDOM E8 3DL	N/A	PC	SEE STATEMENT	201,040.
GLOBAL HEALTH CORPS ONE PENN PLAZA, SUITE 6271 NEW YORK, NY 10119	N/A	PC	SEE STATEMENT	75,000.
GLOBAL HEALTH STRATEGIES LLC 38 E 32ND STREET, 12TH FLOOR SUITE 900 NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	24,611.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GLOBAL HEALTH STRATEGIES LLC 38 E 32ND STREET, 12TH FLOOR SUITE 900 NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	30,000.
GLOBAL HEALTH STRATEGIES LLC 38 E 32ND STREET, 12TH FLOOR SUITE 900 NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	500,000.
GLOBAL IMPACT INVESTING NETWORK 30 BROAD STREET 38TH FLOOR NEW YORK, NY 10004	N/A	PC	TOWARD THE COSTS OF STRENGTHENING THE EVIDENCE BASE FOR IMPACT INVESTING AND MARKET SOLUTIONS	200,000.
GLOBAL INVESTMENT FUND FOR WATER LIMITED STEEL HOUSE, 13-17 PRINCES ROAD RICHMOND, SURREY, UNITED KINGDOM TW10 6DG	N/A	NC	SEE STATEMENT	299,530.
GLOBAL KNOWLEDGE INITIATIVE 1200 NEW YORK AVENUE, NW SUITE 113 WASHINGTON, DC 20005	N/A	PC	IN SUPPORT OF PROMOTING THE USE OF SCANNING INSIGHTS TO STRENGTHEN SOCIAL SECTOR DECISION MAKING	79,992.
GLOBAL KNOWLEDGE INITIATIVE 1200 NEW YORK AVENUE, NW SUITE 113 WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	415,550.
GLOBAL STRATEGY GROUP, LLC 215 PARK AVENUE, 15TH FLOOR NEW YORK, NY 10003	N/A	NC	SEE STATEMENT	35,000.
GLOBAL STRATEGY GROUP, LLC 215 PARK AVENUE, 15TH FLOOR NEW YORK, NY 10003	N/A	NC	SEE STATEMENT	195,000.
GLOBALAGRISK, INC. 1008 SOUTH BROADWAY LEXINGTON, KY 40504	N/A	NC	SEE STATEMENT	200,000.
GLOBALGIVING FOUNDATION INC 1110 VERMONT AVENUE, NW, SUITE 550 WASHINGTON, DC 20005	N/A	PC	MATCHING GIFT	225.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GODS LOVE WE DELIVER 166 AVENUE OF AMERICAS NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	150.
GOWANUS CANAL CONSERVANCY INC. P.O. BOX 150-652 BROOKLYN, NY 11215	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
GRACE INSTITUTE 40 RECTOR STREET 14TH FL NEW YORK, NY 10006	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES ST NW SUITE 404 WASHINGTON, DC 20036	N/A	PC	MEMBERSHIP CONTRIBUTION	15,000.
GRANTMAKERS IN THE ARTS 4055 21ST AVE W STE 100 SEATTLE, WA 98199	N/A	PC	MEMBERSHIP CONTRIBUTION	25,000.
GRANTS MANAGERS NETWORK 1101 14TH STREET NW SUITE 420 WASHINGTON, DC 20005	N/A	PC	MEMBERSHIP CONTRIBUTION	10,000.
GRANTS MANAGERS NETWORK 1101 14TH STREET NW SUITE 420 WASHINGTON, DC 20005	N/A	PC	MEMBERSHIP CONTRIBUTION	10,000.
GREATER NEW ORLEANS DEVELOPMENT FOUNDATION 1100 POYDRAS ST. STE. 3475 NEW ORLEANS, LA 70163	N/A	PC	CHARITABLE DONATION	25,000.
GREATER NEW ORLEANS DEVELOPMENT FOUNDATION 1100 POYDRAS STREET SUITE 3475 NEW ORLEANS, LA 70163	N/A	PC	SEE STATEMENT	100,000.
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC. 3939 CAMPBELL AVENUE ARLINGTON, VA 22206	N/A	PC	SEE STATEMENT	150,000.
<b>Total from continuation sheets</b> .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREEN BERET FOUNDATION 14402 BLANCO RD. NO 101 SAN ANTONIO, TX 78216	N/A	PC	MATCHING GIFT	157.
GREEN BRONX MACHINE INTERNATIONAL 3935 BLACKSTONE #12G BRONX, NY 10471	N/A	PC	SEE STATEMENT	19,500.
GUARDIAN NEWS AND MEDIA LTD KING PLACE 90 YORK WAY LONDON, LONDON, UNITED KINGDOM N1 9GU	N/A	NC	SEE STATEMENT	39,350.
GUARDIAN NEWS AND MEDIA LTD KING PLACE 90 YORK WAY LONDON, LONDON, UNITED KINGDOM N1 9GU	N/A	NC	SEE STATEMENT	140,000.
GUARDIAN NEWS AND MEDIA LTD KING PLACE 90 YORK WAY LONDON, LONDON, UNITED KINGDOM N1 9GU	N/A	NC	SEE STATEMENT	1,000,000.
GUARDIAN NEWS AND MEDIA LTD KING PLACE 90 YORK WAY LONDON, LONDON, UNITED KINGDOM N1 9GU	N/A	NC	SEE STATEMENT	1,000,000.
GUIDESTAR USA, INC. 4801 COURTHOUSE STREET SUITE 220 WILLIAMSBURG, VA 23188-2678	N/A	PC	SEE STATEMENT	30,000.
HABITAT FOR HUMANITY INTERNATIONAL INC P.O. BOX 7024 AMERICUS, GA 31709	N/A	PC	MATCHING GIFT	1,050.
HARAMBEE YOUTH EMPLOYMENT ACCELERATOR NPC FIRST FLOOR, 70 FOX STREET JOHANNESBURG, GAUTENG, SOUTH AFRICA 2001	N/A	PC	SEE STATEMENT	500,000.
HATTAWAY COMMUNICATIONS, INC. 1717 RHODE ISLAND AVENUE, NW SUITE 650 WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	50,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HATTAWAY COMMUNICATIONS, INC. 1717 RHODE ISLAND AVENUE, NW SUITE 650 WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	125,000.
HATTAWAY COMMUNICATIONS, INC. 1717 RHODE ISLAND AVENUE, NW SUITE 650 WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	150,000.
HEALTH STRATEGY AND POLICY INSTITUTE A36 LANE, HO TUNG MAU ST CAU GIAY DISTRICT HANOI, HANOI, VIETNAM	N/A	GOV	SEE STATEMENT	300,000.
HEIFER PROJECT INTERNATIONAL P.O. BOX 8058 LITTLE ROCK, AR 72203-8058	N/A	PC	MATCHING GIFT	180.
HEIFER PROJECT INTERNATIONAL P.O. BOX 8058 LITTLE ROCK, AR 72203-8058	N/A	PC	MATCHING GIFT	180.
HOLLABACK 30 3RD AVENUE 800B BROOKLYN, NY 11217	N/A	PC	MATCHING GIFT	3,600.
HR&A ADVISORS, INC 99 HUDSON STREET, 3RD FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	196,500.
HR&A ADVISORS, INC. 99 HUDSON STREET, 3RD FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	556,155.
HR&A ADVISORS, INC. 99 HUDSON STREET, 3RD FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	1,500,000.
HUMANE FARMING ASSOCIATION P.O. BOX 3577 SAN RAFAEL, CA 94912	N/A	PC	MATCHING GIFT	150.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
IDEO LP 100 FOREST AVENUE PALO ALTO, CA 94301	N/A	NC	SEE STATEMENT	119,000.
IDEO LP 100 FOREST AVENUE PALO ALTO, CA 94301	N/A	NC	SEE STATEMENT	125,000.
IDEO LP 100 FOREST AVENUE PALO ALTO, CA 94301	N/A	NC	SEE STATEMENT	200,000.
IDEO LP 100 FOREST AVENUE PALO ALTO, CA 94301	N/A	NC	SEE STATEMENT	250,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105	N/A	PC	SEE STATEMENT	300,000.
IMPACT INVESTMENT SHUJOG LIMITED 1 KING GEORGE'S AVENUE 05-00 REHAU BUILDING SINGAPORE 208557	N/A	PC	SEE STATEMENT	50,000.
IMPACT INVESTMENT SHUJOG LIMITED 1 KING GEORGE'S AVENUE NO 05-00 REHAU BUILDING SINGAPORE 208557	N/A	PC	SEE STATEMENT	250,000.
IMPACTXDESIGN LLC 1120 EUCLID STREET, NW NO 3 WASHINGTON, DC 20009	N/A	NC	SEE STATEMENT	35,000.
INCANDESCENT, LLC 27 WEST 24TH STREET SUITE 10E NEW YORK, NY 10010	N/A	NC	SEE STATEMENT	912,500.
INDEPENDENT SECTOR 1602 L STREET NW SUITE 900 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	25,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INITIATIVE FOR GLOBAL DEVELOPMENT 1130 CONNECTICUT AVE. NW #400 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	200,000.
INNOVATE-EDUCATE 228 GRIFFIN STREET SANTE FE, NM 87501	N/A	PC	SEE STATEMENT	50,000.
INNOVATE-EDUCATE 228 GRIFFIN STREET SANTE FE, NM 87501	N/A	PC	SEE STATEMENT	200,000.
INNOVATION NETWORK, INC. 1625 K STREET NW SUITE 1050 WASHINGTON, DC 20006	N/A	PC	SEE STATEMENT	50,000.
INNOVATIVE FINANCE FOUNDATION 7 AVENUE PICTET-DE-ROCHEMONT GENEVA, GENEVA, SWITZERLAND 1207	N/A	NC	SEE STATEMENT	285,000.
INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	MATCHING GIFT	75,000.
INSTITUTE FOR POLICY ALTERNATIVES BOX 541 TAMALE, TAMALE, GHANA	N/A	NC	SEE STATEMENT	20,000.
INSTITUTE FOR SOCIAL AND ENVIRONMENTAL TRANSITION-INTERNATIONAL 948 NORTH STREET SUITE 7 & 9 BOULDER, CO 80304	N/A	PC	SEE STATEMENT	30,000.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	N/A	PC	SEE STATEMENT	125,000.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	N/A	PC	SEE STATEMENT	180,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INSTITUTE OF INTERNATIONAL EDUCATION, INC. 809 UNITED NATIONS PLAZA NEW YORK, NY 10017-3580	N/A	PC	SEE STATEMENT	1,000,000.
INTELLECAP ADVISORY SERVICES PRIVATE LIMITED A 1002, 10TH FLOOR, A BLOCK THE PLATINA BUIDLING GACHIBOWLI, HYDERABAD, TELANGANA, INDIA 500 032	N/A	NC	SEE STATEMENT	30,000.
INTELLECAP ADVISORY SERVICES PRIVATE LIMITED A 1002, 10TH FLOOR, A BLOCK THE PLATINA BUIDLING GACHIBOWLI, HYDERABAD, TELANGANA, INDIA 500 032	N/A	NC	SEE STATEMENT	130,000.
INTER-AMERICAN DEVELOPMENT BANK 1300 NEW YORK AVENUE, NW WASHINGTON, DC 20015	N/A	GOV	SEE STATEMENT	300,000.
INTERACTION: THE AMERICAN COUNCIL FOR VOLUNTARY INT'L ACTION, INC. 1400 16TH STREET, NW SUITE 210 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	150,000.
INTERLOCHEN CENTER FOR THE ARTS P.O. BOX 199 INTERLOCHEN, MI 49643-0199	N/A	PC	MATCHING GIFT	4,500.
INTERNATIONAL ASSOCIATION OF UNIVERSITIES UNESCO HOUSE 1 RUE MIOLLIS PARIS, PARIS, FRANCE 75015	N/A	PC	SEE STATEMENT	45,000.
INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT 1818 H STREET, NW WASHINGTON, DC 20433	N/A	GOV	SEE STATEMENT	250,000.
INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT 1818 H STREET, NW WASHINGTON, DC 20433	N/A	GOV	SEE STATEMENT	350,000.
INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT 1818 H STREET, NW WASHINGTON, DC 20433	N/A	GOV	SEE STATEMENT	1,000,000.
<b>Total from continuation sheets</b>				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT 1818 H STREET, NW WASHINGTON, DC 20433	N/A	GOV	SEE STATEMENT	1,000,000.
INTERNATIONAL CENTER FOR RESEARCH ON WOMEN 1120 20TH STREET, N.W. SUITE 500 N. WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	200,000.
INTERNATIONAL CIVIL SOCIETY CENTRE AGRICOLA STRABE 26 BERLIN, BERLIN, GERMANY 10555	N/A	PC	TOWARD THE COSTS OF LAUNCHING AN INAUGURAL GLOBAL HORIZON SCANNING NETWORK OF INTERNATIONAL NGOS	90,000.
INTERNATIONAL INSTITUTE FOR ENVIRONMENT AND DEVELOPMENT 80-86 GRAY'S INN ROAD LONDON, LONDON, UNITED KINGDOM WC1X 8NH	N/A	PC	SEE STATEMENT	10,000.
INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION 601 WEST 26TH STREET NO 325-1 NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	216,106.
INTERNATIONAL RESCUE COMMITTEE INC 122 E 42ND ST FL 12 NEW YORK, NY 10168	N/A	PC	MATCHING GIFT	450.
INTERNATIONAL RESCUE COMMITTEE INC 122 E 42ND ST FL 12 NEW YORK, NY 10168	N/A	PC	MATCHING GIFT	3,000.
INTERNET FOUNDATION FOR THE DEVELOPMENT OF THAILAND 92/1, UNIT 3B, KRUNGTHONBURI ROAD BANGLUMPHOOLANG, KLONGSAN BANGKOK, THAILAND 10600	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
ITAD LIMITED 12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE, HOVE, UNITED KINGDOM BN3 7ET	N/A	NC	SEE STATEMENT	50,000.
ITAD LIMITED 12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE, HOVE, UNITED KINGDOM BN3 7ET	N/A	NC	SEE STATEMENT	200,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JACARANDA WORKSHOP LIMITED P.O.BOX 73776-00200 NAIROBI, KENYA	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	5,000.
JOHN CARROLL UNIVERSITY 1 JOHN CARROLL BLVD UNIVERSITY HT, OH 44118	N/A	PC	CHARITABLE DONATION	30,000.
JOHN THEISSEN CHILDREN'S FOUNDATION 1881 WANTAGH AVE WANTAGH, NY 11793	N/A	PC	SEE STATEMENT	1,000.
JUAN CARLOS CASTILLA-RUBIO RUA SILVIA CELESTE DE CAMPOS, 506 SAO PAULO, SAO PAULO, BRAZIL 05462-010	NONE	I	SEE STATEMENT	50,000.
KENAN-FLAGLER BUSINESS SCHOOL FOUNDATION P.O. BOX 309 CHAPEL HILL, NC 27514	N/A	PC	MATCHING GIFT	3,750.
KEYSTONE CENTER 1628 STS JOHN ROAD KEYSTONE, CO 80435	N/A	PC	SEE STATEMENT	100,000.
KHOJ INTERNATIONAL ARTISTS ASSOCIATION S-17, KHIRKEE EXTENSION NEW DELHI, NEW DELHI, INDIA 110017	N/A	PC	SEE STATEMENT	15,000.
KHOJ INTERNATIONAL ARTISTS ASSOCIATION S-17, KHIRKEE EXTENSION NEW DELHI, NEW DELHI, INDIA 110017	N/A	PC	SEE STATEMENT	40,000.
KHON KAEN UNIVERSITY 123 MITARARP ROAD MUANG KHON KAEN, KHON KAEN, THAILAND 40002	N/A	PC	SEE STATEMENT	25,000.
KIDS FOR KIDS PRODUCTIONS, INC. ST. JOHNS UNIVERSITY OAKDALE, NY 11769	N/A	PC	MATCHING GIFT	75.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KKS ADVISORS LLC 559 EAST 2ND STREET, UNIT 3 BOSTON, MA 02127	N/A	NC	SEE STATEMENT	268,410.
KNACK.IT CORP. P.O. BOX 591316 SAN FRANCISCO, CA 94159	N/A	NC	SEE STATEMENT	90,000.
KORN FERRY INTERNATIONAL 1900 AVENUE OF THE STARS SUITE 2600 LOS ANGELES, CA 90067	N/A	NC	SEE STATEMENT	498,700.
KPMG EAST AFRICA LIMITED PO BOX 1130 MANOR HOUSE, 1ST FLOOR PORT LOUIS, PORT LOUIS, MAURITIUS	N/A	NC	SEE STATEMENT	3,000,000.
KYNE COMMUNICATIONS, INC. 252 W. 37TH STREET, SUITE 500E NEW YORK, NY 10018	N/A	NC	SEE STATEMENT	200,000.
LAST MILE HEALTH ONE CONGRESS STREET, SUITE 113 BOSTON, MA 02114	N/A	PC	SEE STATEMENT	404,876.
LEADERSUP 2401 ELLIOT AVENUE, SUITE 375 SEATTLE, WA 98107	N/A	PC	SEE STATEMENT	50,000.
LEADERSUP 2401 ELLIOT AVENUE, SUITE 375 SEATTLE, WA 98107	N/A	PC	SEE STATEMENT	400,000.
LINCOLN CENTER FOR THE PERFORMING ARTS, INC. 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6583	N/A	PC	SEE STATEMENT	1,000,000.
LION'S HEAD GLOBAL PARTNERS LLP 154 BUCKINGHAM PALACE ROAD LONDON, LONDON, UNITED KINGDOM SW1W 9TR	N/A	NC	SEE STATEMENT	200,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LION'S HEAD GLOBAL PARTNERS LLP 154 BUCKINGHAM PALACE ROAD LONDON, LONDON, UNITED KINGDOM SW1W 9TR	N/A	NC	SEE STATEMENT	300,000.
LIVING CITIES, INC. THE NATIONAL COMMUNITY DEVELOPMENT INITIATIVE 1040 AVENUE OF THE AMERICAS FLOOR 17 NEW YORK, NY 10018	N/A	PC	SEE STATEMENT	1,500,000.
LONDON LIVITY NPC UNIT 29&30, 2ND FLOOR, BRICKFIELD PLACE 18 BRICKFIELD RD, SALT RIVER CAPE TOWN, CAPE TOWN, SOUTH AFRICA	N/A	PC	SEE STATEMENT	100,000.
LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE HOUGHTON STREET LONDON, LONDON, UNITED KINGDOM WC2A 2AE	N/A	PC	SEE STATEMENT	300,000.
LOW DESIGN OFFICE, LLC 215 BEAUREGARD STREET SAN ANTONIO, TX 78204	N/A	NC	SEE STATEMENT	25,000.
LYMPHOMA RESEARCH FOUNDATION 115 BROADWAY 13TH FL NEW YORK, NY 10006	N/A	PC	MATCHING GIFT	75.
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, NAKHON PATHOM, THAILAND 73170	N/A	PC	SEE STATEMENT	100,000.
MAKING CENTS INTERNATIONAL, INC. 1350 CONNECTICUT AVE., N.W. SUITE 410 WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	357,362.
MANAGEMENT SCIENCES FOR HEALTH, INC. 200 RIVERS EDGE DRIVE MEDFORD, MA 02155	N/A	PC	SEE STATEMENT	29,485.
MANAGEMENT SCIENCES FOR HEALTH, INC. 200 RIVERS EDGE DRIVE MEDFORD, MA 02155	N/A	PC	SEE STATEMENT	74,218.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MAPLE STREET SCHOOL 21 LINCOLN ROAD BROOKLYN, NY 11225	N/A	PC	MATCHING GIFT	300.
MARC LUSTGARTEN PANCREATIC CANCER FOUNDATION 1111 STEWART AVE BETHPAGE, NY 11714	N/A	PC	MATCHING GIFT	750.
MARIST COLLEGE 3399 NORTH RD. POUGHKEEPSIE, NY 12601-1387	N/A	PC	MATCHING GIFT	75.
MARIST COLLEGE 3399 NORTH RD. POUGHKEEPSIE, NY 12601-1387	N/A	PC	MATCHING GIFT	75.
MARIST COLLEGE 3399 NORTH RD. POUGHKEEPSIE, NY 12601-1387	N/A	PC	MATCHING GIFT	75.
MARTHAS TABLE 2114 14TH ST N.W. WASHINGTON, DC 20009	N/A	PC	MATCHING GIFT	750.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE, ROOM 3-208 CAMBRIDGE, MA 02139-4307	N/A	PC	SEE STATEMENT	50,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE, ROOM 3-208 CAMBRIDGE, MA 02139-4307	N/A	PC	SEE STATEMENT	100,000.
MATTER SOLUTIONS LTD. PALLADIUM HOUSE 1-4 ARGYLL STREET LONDON, LONDON, UNITED KINGDOM W1F 7LD	N/A	NC	SEE STATEMENT	162,858.
MATTER UNLIMITED LLC 175 VARICK STREET, 8TH FLOOR NEW YORK, NY 10014	N/A	NC	SEE STATEMENT	320,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MAXTUDIO, SNC PIAZZA MEUCCI 23 ROME, ROME, ITALY 00146	N/A	NC	SEE STATEMENT	25,000.
MAXTUDIO, SNC PIAZZA MEUCCI 23 ROME, ROME, ITALY 00146	N/A	NC	SEE STATEMENT	100,000.
MAYOR'S FUND TO ADVANCE NEW YORK CITY 253 BROADWAY 6TH FLOOR NEW YORK, NY 10007	N/A	PC	SEE STATEMENT	300,000.
MCKINSEY & COMPANY, INC. 55 EAST, 52ND STREET NEW YORK, NY 10022	N/A	NC	SEE STATEMENT	435,000.
MEADOW HIGH SCHOOL PTSA 101 CAMAN AVENUE EAST MEADOW, NY 11554-1156	N/A	PC	MATCHING GIFT	300.
MEDIC MOBILE, INC. 3254 19TH STREET, FLOOR TWO SAN FRANCISCO, CA 94110	N/A	PC	SEE STATEMENT	150,000.
MEETING HOUSE FAMILY CENTER INC-THE MEETING HOUSE AFTERSCHOOL 133 EAST 58TH ST., STE. 705 NEW YORK, NY 10022	N/A	PC	MATCHING GIFT	2,250.
MEMORIAL SLOAN-KETTERING CANCER CENTER OFFICE OF DEVELOPMENT - MATCHING GIFTS NEW YORK, NY 10065	N/A	PC	MATCHING GIFT	1,050.
MERCY CORPS 45 SW ANKENY ST. PORTLAND, OR 97204-3504	N/A	PC	SEE STATEMENT	50,000.
MERCY CORPS 45 SW ANKENY ST. PORTLAND, OR 97204-3504	N/A	PC	SEE STATEMENT	400,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MERCY FOR ANIMALS 8033 SUNSET BLVD STE 864 LOS ANGELES, CA 90046	N/A	PC	MATCHING GIFT	600.
METRO CARERING P.O. BOX 300459 DENVER, CO 80218	N/A	PC	MATCHING GIFT	300.
METROPOLITAN OPERA ASSOCIATION INC 30 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	MATCHING GIFT	1,200.
METROPOLITAN OPERA ASSOCIATION INC 30 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	MATCHING GIFT	15,000.
MILAN INNOVINCY B.V. KAPTEYNSTRAAT 1 NOORDWIJK, NOORDWIJK, NETHERLANDS 2201BB	N/A	NC	SEE STATEMENT	244,600.
MILTON ACADEMY 170 CENTRE ST MILTON, MA 02186	N/A	PC	MATCHING GIFT	450.
MISERICORDIA HEART OF MERCY HOME 6300 RIDGE AVE CHICAGO, IL 60660	N/A	PC	MATCHING GIFT	1,050.
MISSION HUB LLC 901 MISSION STREET., SUITE 105 SAN FRANCISCO, CA 94103	N/A	NC	SEE STATEMENT	47,000.
MISSION INVESTORS EXCHANGE INC. 2440 WEST EL CAMINO REAL, SUITE 300 MOUNTAIN VIEW, CA 94040-1498	N/A	PC	MEMBERSHIP CONTRIBUTION	5,000.
MISSION INVESTORS EXCHANGE, INC. 107 SPRING STREET SEATTLE, WA 98104	N/A	PC	SEE STATEMENT	100,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MONITOR GOVERNMENT VENTURE SERVICES, LLC 444 SPEAR STREET SUITE 210 SAN FRANCISCO, CA 94105	N/A	NC	SEE STATEMENT	150,000.
MOREHEAD CAIN FOUNDATION P.O. BOX 690 CHAPEL HILL, NC 27514-0690	N/A	PC	MATCHING GIFT	600.
MOTHER CAROLINE ACADEMY AND EDUCATION CENTER 515 BLUE HILL AVE. DORCHESTER, MA 02121	N/A	PC	MATCHING GIFT	7,500.
MOTHER CAROLINE ACADEMY AND EDUCATION CENTER 515 BLUE HILL AVE. DORCHESTER, MA 02121	N/A	PC	MATCHING GIFT	7,500.
MOUNT SINAI MEDICAL CENTER, INC. OFFICE OF DEVELOPMENT, ONE GUSTAVE L. LEVY PL, BOX 1049 SUITE 300 NEW YORK, NY 10029	N/A	PC	CHARITABLE DONATION	5,000.
MUNICIPAL ART SOCIETY OF NEW YORK 488 MADISON AVENUE SUITE 1900 NEW YORK, NY 10022	N/A	PC	SEE STATEMENT	300,000.
MUSLIM ADVOCATES P.O. BOX 71080 OAKLAND, CA 94612	N/A	PC	MATCHING GIFT	1,503.
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET, NW WASHINGTON, DC 20001	N/A	PC	SEE STATEMENT	90,000.
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET, NW WASHINGTON, DC 20001	N/A	PC	SEE STATEMENT	150,000.
NATIONAL ACADEMY OF SCIENCES OFFICE OF DEVELOPMENT NAS-335 WASHINGTON, DC 20001	N/A	PC	MATCHING GIFT	7,200.
<b>Total from continuation sheets</b>				



**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL AIDS CONTROL COUNCIL PO BOX 61307-00200 NAIROBI, NAIROBI, KENYA	N/A	GOV	SEE STATEMENT	80,000.
NATIONAL ALLIANCE OF MEDIA ARTS CENTERS, INC 3965 LINWOOD AVENUE OAKLAND, CA 94602	N/A	PC	SEE STATEMENT	100,000.
NATIONAL ASSOCIATION OF CORPORATE DIRECTORS 2001 PENNSYLVANIA AVE., NW SUITE 500 WASHINGTON, DC 20006	N/A	PC	SEE STATEMENT	100,000.
NATIONAL AUDUBON SOCIETY INC. 2 3RD ST., STE. 480 TROY, NY 12180-3234	N/A	PC	MATCHING GIFT	1,500.
NATIONAL CHILD RESEARCH CENTER 3209 HIGHLAND PLACE N.W. WASHINGTON, DC 20008	N/A	PC	MATCHING GIFT	750.
NATIONAL CHILD RESEARCH CENTER 3209 HIGHLAND PLACE N.W. WASHINGTON, DC 20008	N/A	PC	MATCHING GIFT	750.
NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE 10 SPRING GARDENS LONDON, LONDON, UNITED KINGDOM SW1A 2BU	N/A	GOV	SEE STATEMENT	300,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY - NEBRASKA CHAPTER 7611 STATE LINE, SUITE 100 KANSAS CITY, MO 64114	N/A	PC	MATCHING GIFT	750.
NATIONAL OUTDOOR LEADERSHIP SCHOOL 284 LINCOLN ST LANDER, WY 82520	N/A	PC	MATCHING GIFT	2,250.
NATIONAL WOMENS LAW CENTER 11 DUPONT CIR N.W. STE 800 WASHINGTON, DC 20036-1207	N/A	PC	MATCHING GIFT	2,250.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL YIDDISH BOOK CENTER INC. 1021 WEST STREET AMHERST, MA 01002-3375	N/A	PC	MATCHING GIFT	1,500.
NATURAL RESOURCES DEFENSE COUNCIL, INC. 40 WEST 20TH STREET NEW YORK, NY 10011	N/A	PC	SEE STATEMENT	650,000.
NATUROPATHS WITHOUT BORDERS 1051 S DOBSON ROAD NO 124 MESA, AZ 85202	N/A	PC	SEE STATEMENT	1,000.
NEIGHBORS TOGETHER CORP. P.O. BOX 330-562 BROOKLYN, NY 11233-0015	N/A	PC	MATCHING GIFT	1,803.
NEW AMERICA FOUNDATION 740 15TH STREET NW, SUITE 900 WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	200,000.
NEW MUSEUM OF CONTEMPORARY ART 235 BOWERY NEW YORK, NY 10025	N/A	PC	SEE STATEMENT	250,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	75,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	100,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	150,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	1,500,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW VENTURE FUND 1201 CONNECTICUT AVENUE WASHINGTON, DC 20036	N/A	PC	CHARITABLE DONATION	30,000.
NEW YORK CITY BALLET INC 20 LINCOLN CENTER NEW YORK, NY 10023	N/A	PC	MATCHING GIFT	375.
NEW YORK FOUNDATION FOR ARCHITECTURE, INC. 536 LAGUARDIA PLACE NEW YORK, NY 10012	N/A	PC	SEE STATEMENT	50,000.
NEW YORK LAW SCHOOL 185 W. BROADWAY NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	3,000.
NEW YORK PUBLIC RADIO 160 VARICK ST 9TH FL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	750.
NEW YORK PUBLIC RADIO 160 VARICK ST 9TH FL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	222.
NEW YORK PUBLIC RADIO 160 VARICK ST 9TH FL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	743.
NEW YORK PUBLIC RADIO 160 VARICK ST 9TH FL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	1,536.
NEW YORK PUBLIC RADIO 160 VARICK ST 9TH FL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	303.
NEW YORK PUBLIC RADIO 160 VARICK ST 9TH FL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	225.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013-1270	N/A	PC	SEE STATEMENT	150,000.
NEW YORK ROAD RUNNERS INC 156 W. 56TH ST., FLOOR 3 NEW YORK, NY 10019-3877	N/A	PC	MATCHING GIFT	3,000.
NEW YORK SHAKESPEARE FESTIVAL 425 LAFAYETTE STREET NEW YORK, NY 10003	N/A	PC	SEE STATEMENT	250,000.
NEW YORK TIMES COMPANY 620 EIGHTH AVENUE NEW YORK, NY 10036-3959	N/A	NC	SEE STATEMENT	325,000.
NEW YORK UNIVERSITY 25 WEST 4TH ST., 4TH FLOOR NEW YORK, NY 10012	N/A	PC	MATCHING GIFT	1,503.
NEWARK MUSEUM ASSOCIATION 49 WASHINGTON STREET NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	60.
NEWARK PUBLIC RADIO INC 54 PARK PL NEWARK, NJ 07102	N/A	PC	MATCHING GIFT	459.
NEXT CITY, INC. 1500 JFK BLVD., SUITE 1220 PHILADELPHIA, PA 19102	N/A	PC	SEE STATEMENT	25,000.
NON-PROFIT TECHNOLOGY ENTERPRISE NETWORK 1020 SW TAYLOR ST., #800 PORTLAND, OR 97205	N/A	PC	MATCHING GIFT	945.
NONPROFIT FINANCE FUND 70 W. 36TH STREET NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	3,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NONPROFIT FINANCE FUND 70 WEST 36TH STREET 11TH FLOOR NEW YORK, NY 10018	N/A	PC	SEE STATEMENT	75,000.
NORTHWESTERN UNIVERSITY 1201 DAVIS ST RM I-400 EVANSTON, IL 60201	N/A	PC	MATCHING GIFT	15,000.
NORWALK COMMUNITY-TECHNICAL COLLEGE FOUNDATION INC 188 RICHARDS AVENUE NORWALK, CT 06854	N/A	PC	MATCHING GIFT	900.
NOVAK DJOKOVIC FOUNDATION USA 8401 CHAGRIN RD STE 16 CHAGRIN FALLS, OH 44023	N/A	PC	MATCHING GIFT	150.
OCEAN CONSERVANCY INC 1300 19TH ST N.W. FL 8 WASHINGTON, DC 20036	N/A	PC	MATCHING GIFT	120.
OGILVY PUBLIC RELATIONS WORLDWIDE INC. 636 11TH AVENUE NEW YORK, NY 10036	N/A	NC	SEE STATEMENT	200,000.
OHANA OASIS P.O. BOX 758 KILAUEA, HI 96754	N/A	PC	MATCHING GIFT	150.
ONFRONTIERS INC. 1407 BROADWAY 24TH FLOOR NEW YORK, NY 10018	N/A	NC	SEE STATEMENT	400,000.
ORGANIZATIONAL RESEARCH SERVICES, INC. 1100 OLIVE WAY SUITE 1350 SEATTLE, WA 98101	N/A	NC	SEE STATEMENT	58,000.
OUTSTANDING RENEWAL ENTERPRISES INC PO BOX 20488 NEW YORK, NY 10009	N/A	PC	DONATION RELATED TO THE FOUNDATION'S "DAY OF SERVICE", WHERE STAFF VOLUNTEER WITH A LOCAL ORGANIZATION	20,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OVE ARUP & PARTNERS INTERNATIONAL LIMITED 13 FITZROY STREET W1T 4BQ LONDON, LONDON, UNITED KINGDOM W1T 4BQ	N/A	NC	SEE STATEMENT	666,000.
OVERSEAS DEVELOPMENT INSTITUTE 203 BLACKFRIARS ROAD LONDON, LONDON, UNITED KINGDOM SE1 8NJ	N/A	PC	SEE STATEMENT	25,000.
OVERSEAS DEVELOPMENT INSTITUTE 203 BLACKFRIARS ROAD LONDON, LONDON, UNITED KINGDOM SE1 8NJ	N/A	PC	SEE STATEMENT	225,000.
OXFAM OXFAM HOUSE JOHN SMITH DRIVE OXFORD OS4 2JY, OXFORD OS4 2JY, UNITED KINGDOM	N/A	PC	SEE STATEMENT	125,000.
OXFAM-AMERICA INC. 226 CAUSEWAY STREET, 5TH FLOOR BOSTON, MA 02114-2206	N/A	PC	SEE STATEMENT	99,770.
PANCREATIC CANCER ACTION NETWORK INC 1500 ROSECRANS AVE STE 200 MANHATTAN BEACH, CA 90266	N/A	PC	MATCHING GIFT	1,500.
PANDAS INTERNATIONAL P.O. BOX 620335 LITTLETON, CO 80162	N/A	PC	MATCHING GIFT	75.
PANORAMA GLOBAL 117 EAST LOUISA STREET SEATTLE, WA 98102	N/A	PC	SEE STATEMENT	338,829.
PARTNERS FOR A BETTER EDUCATION INC. 200 N BROADWAY TARRYTOWN, NY 10591-2624	N/A	PC	MATCHING GIFT	1,500.
PENNINGTON SCHOOL 112 WEST DELAWARE AVENUE PENNINGTON, NJ 08534-9986	N/A	PC	MATCHING GIFT	1,500.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PENNINGTON SCHOOL 112 WEST DELAWARE AVENUE PENNINGTON, NJ 08534-9986	N/A	PC	MATCHING GIFT	300.
PEOPLE FOR THE ETHICAL TREATMENT OF ANIMALS INC 501 FRONT ST NORFOLK, VA 23510	N/A	PC	MATCHING GIFT	1,080.
PERSON TO PERSON INC 1864 POST RD DARIEN, CT 06820	N/A	PC	MATCHING GIFT	1,500.
PETS ARE WONDERFUL SUPPORT 240 KENT AVENUE, 3RD FLOOR BROOKLYN, NY 11249	N/A	PC	MATCHING GIFT	150.
PHILANTHROPY NEW YORK, INC. 1500 BROADWAY, 7TH FLOOR FOURTH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	40,000.
PHYSICIANS FOR REPRODUCTIVE HEALTH INC 55 W 39TH ST FL 10 NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	3,000.
PIERPONT MORGAN LIBRARY 225 MADISON AVENUE NEW YORK, NY 10016	N/A	PC	MATCHING GIFT	390.
PIERPONT MORGAN LIBRARY 225 MADISON AVENUE NEW YORK, NY 10016	N/A	PC	MATCHING GIFT	390.
PLAN INTERNATIONAL (UK) FINS GATE 5-7 CRANWOOD STREET LONDON, LONDON, UNITED KINGDOM EC1V 9LH	N/A	PC	SEE STATEMENT	25,700.
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAM STREET NO 9 NEW YORK, NY 10038-3804	N/A	PC	MATCHING GIFT	3,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAM STREET NO 9 NEW YORK, NY 10038-3804	N/A	PC	MATCHING GIFT	750.
PRACTICAL ACTION THE SCHUMACHER CENTRE BOURTON ON DUNSMORE RUGBY, WARWICKSHIRE, UNITED KINGDOM CV23 9QZ	N/A	PC	SEE STATEMENT	25,000.
PREMISE DATA CORPORATION 645 7TH STREET SAN FRANCISCO, CA 94103	N/A	NC	SEE STATEMENT	105,000.
PRESBYTERIAN CHURCH OF UPPER MONTCLAIR 53 NORWOOD AVE UPPER MONTCLAIR, NJ 07043	N/A	PC	MATCHING GIFT	8,100.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	24,913.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	100,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	109,285.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	227,143.
PRINCETON PROJECT 55 12 STOCKTON ST. PRINCETON, NJ 08540	N/A	PC	MATCHING GIFT	3,165.
PROJECT OF EASTON INC. 330 FERRY ST. EASTON, PA 18042	N/A	PC	MATCHING GIFT	225.
<b>Total from continuation sheets</b>				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROJECT SUNSHINE INC. 211 EAST 43RD STREET, SUITE 401 NEW YORK, NY 10017	N/A	PC	MATCHING GIFT	3,000.
PROSPECT PARK ALLIANCE INC 95 PROSPECT PARK W BROOKLYN, NY 11215	N/A	PC	MATCHING GIFT	3,000.
PROSPECT PARK ALLIANCE INC 95 PROSPECT PARK W BROOKLYN, NY 11215	N/A	PC	MATCHING GIFT	375.
PUBLIC HEALTH FOUNDATION OF INDIA PLOT NO. 47, SECTOR 44 GURGAON HARYANA, HARYANA, INDIA 122002	N/A	PC	SEE STATEMENT	25,000.
PUBLICOLOR INC 20 WEST 36 STREET 9TH FLOOR NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	450.
PUBLISEZ, INC. 27 PARK PLACE BROOKLYN, NY 11217	N/A	NC	SEE STATEMENT	105,000.
PUBLISEZ, INC. 27 PARK PLACE BROOKLYN, NY 11217	N/A	NC	SEE STATEMENT	175,000.
PURPOSE GLOBAL LLC 115 5TH AVENUE, 6TH FLOOR NEW YORK, NY 10003	N/A	NC	SEE STATEMENT	1,200,000.
QUEENS UNIVERSITY OF CHARLOTTE 1900 SELWYN AVENUE CHARLOTTE, NC 28274	N/A	PC	MATCHING GIFT	9,000.
QUEENS UNIVERSITY OF CHARLOTTE 1900 SELWYN AVENUE CHARLOTTE, NC 28274	N/A	PC	MATCHING GIFT	1,500.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RABIN STRATEGIC PARTNERS, INC. 104 W 40TH STREET, FLOOR 3 NEW YORK, NY 10018	N/A	NC	SEE STATEMENT	387,150.
RADBURN ELEMENTARY SCHOOL PTA 18-00 RADBURN ROAD FAIR LAWN, NJ 07410	N/A	PC	MATCHING GIFT	375.
RAILS TO TRAILS CONSERVANCY 2121 WARD CT N.W. 5TH FL WASHINGTON, DC 20037	N/A	PC	MATCHING GIFT	300.
RAINFOREST FOUNDATION INC 1000 DEAN STREET, SUITE 430 BROOKLYN, NY 11238	N/A	PC	MATCHING GIFT	1,500.
RAMAPO FOR CHILDREN INC P.O. BOX 266 RHINEBECK, NY 12572-9910	N/A	PC	MATCHING GIFT	750.
RAND CORPORATION 1776 MAIN STREET SANTA MONICA, CA 90407	N/A	PC	SEE STATEMENT	300,000.
RAND CORPORATION 1776 MAIN STREET SANTA MONICA, CA 90407	N/A	PC	SEE STATEMENT	395,301.
REDSTONE STRATEGY GROUP, LLC 1542 HIGH STREET BOULDER, CO 80304	N/A	NC	SEE STATEMENT	47,040.
REGENTS OF THE UNIVERSITY OF MICHIGAN WOLVERINE TOWER ANN ARBOR, MI 48109	N/A	PC	MATCHING GIFT	303.
REGIONAL PLAN ASSOCIATION, INC. 4 IRVING PLACE, SUITE 711-S NEW YORK, NY 10003	N/A	PC	SEE STATEMENT	500,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RENEWABLE ENERGY AND ENERGY EFFICIENCY PARTNERSHIP WAGRAMERSTRASSE 5 , VIENNA INTERNATIONAL CENTER D2169 A-1400 VIENNA, VIENNA, AUSTRIA	N/A	PC	SEE STATEMENT	180,000.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 230 WEST 41ST STREET NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	400,000.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 230 WEST 41ST STREET NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	500,000.
RESEARCH TRIANGLE INSTITUTE 3040 E. CORNWALLIS ROAD RESEARCH TRIANGLE PARK, NC 27709	N/A	PC	SEE STATEMENT	200,000.
RESEARCH TRIANGLE INSTITUTE 3040 E. CORNWALLIS ROAD RESEARCH TRIANGLE PARK, NC 27709	N/A	PC	IN SUPPORT OF CONDUCTING AN IMPACT EVALUATION OF THE FOUNDATION'S YIELDWISE INITIATIVE	375,000.
RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES IMMEUBLE LE BONNEL 20 RUE DE LA VILLETTE 69328 LYON CEDEX 01, 69328 LYON CEDEX 01, FRANCE	N/A	PC	SEE STATEMENT	375,000.
RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES IMMEUBLE LE BONNEL 20 RUE DE LA VILLETTE 69328 LYON CEDEX 01, 69328 LYON CEDEX 01, FRANCE	N/A	PC	SEE STATEMENT	525,000.
RESOLVE, INC. 1255 23RD STREET, NW, NO 275 WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	80,000.
RESOURCE ALLIANCE LIMITED 5TH FLOOR, DEVELOPMENT HOUSE 56-64 LEONARD STREET LONDON, LONDON, UNITED KINGDOM EC2A 4LT	N/A	PC	SEE STATEMENT	80,000.
RESULTS FOR DEVELOPMENT INSTITUTE, INC. 1100 15TH STREET, NW SUITE 400 WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	250,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RIVERKEEPER INC 20 SECOR RD OSSINING, NY 10562	N/A	PC	MATCHING GIFT	3,750.
ROBIN HOOD FOUNDATION 826 BROADWAY FL 9 NEW YORK, NY 10003	N/A	PC	MATCHING GIFT	2,250.
ROCKEFELLER ARCHIVE CENTER 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	N/A	POF	SEE STATEMENT	168,394.
ROCKEFELLER PHILANTHROPY ADVISORS INC 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A	PC	IN-KIND CONTRIBUTION FOR THE 100 RESILIENT CITIES PROJECT	252,884.
ROCKEFELLER PHILANTHROPY ADVISORS, INC. 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	250,000.
ROCKEFELLER PHILANTHROPY ADVISORS, INC. 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	9,700,000.
ROCKEFELLER PHILANTHROPY ADVISORS, INC. 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	20,000,000.
ROCKY MOUNTAIN INSTITUTE 1820 FOLSOM STREET BOULDER, CO 80302-5703	N/A	PC	SEE STATEMENT	375,000.
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS CHATHAM HOUSE 10 ST. JAMES'S SQUARE LONDON, LONDON, UNITED KINGDOM SW1Y 4LE	N/A	PC	SEE STATEMENT	25,000.
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS CHATHAM HOUSE 10 ST. JAMES'S SQUARE LONDON, LONDON, UNITED KINGDOM SW1Y 4LE	N/A	PC	SEE STATEMENT	225,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS CHATHAM HOUSE 10 ST. JAMES'S SQUARE LONDON, LONDON, UNITED KINGDOM SW1Y 4LE	N/A	PC	SEE STATEMENT	700,000.
RUCKUS SOCIETY P.O. BOX 28741 OAKLAND, CA 94604	N/A	PC	MATCHING GIFT	300.
RURAL DEVELOPMENT INSTITUTE 1424 FOURTH AVE. SUITE 300 SEATTLE, WA 98101	N/A	PC	SEE STATEMENT	150,000.
SAFE PASSAGE PROJECT NEW YORK LAW SCHOOL NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	4,500.
SAILORS FOR THE SEA INC 449 THAMES ST 300D NEWPORT, RI 02840	N/A	PC	MATCHING GIFT	90,000.
SAL FOREST CO. LTD. 2, SUKHUMVIT 43 ROAD WATTANA BANGKOK, BANGKOK, THAILAND 10110	N/A	NC	SEE STATEMENT	17,000.
SAMASOURCE, INC. 2017 MISSION STREET SUITE 301 SAN FRANCISCO, CA 94110	N/A	PC	SEE STATEMENT	150,000.
SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED C - 126, SECTOR 2, NOIDA UTTAR PRADESH, UTTAR PRADESH, INDIA 201 301	N/A	NC	SEE STATEMENT	200,000.
SAMHITA SOCIAL VENTURES PRIVATE LIMITED 502, ATLANTA CENTRE SONAWALA CROSS LANE MUMBAI, MUMBAI, INDIA 400063	N/A	NC	SEE STATEMENT	110,000.
SAN FRANCISCO AIDS FOUNDATION 1035 MARKET ST STE 400 SAN FRANCISCO, CA 94103	N/A	PC	MATCHING GIFT	300.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SANCTUARY FOR FAMILIES INC P.O. BOX 1406 NEW YORK, NY 10268-1406	N/A	PC	MATCHING GIFT	750.
SAVE OUR SEABIRDS 1708 KEN THOMPSON PKWY SARASOTA, FL 34236	N/A	PC	MATCHING GIFT	375.
SCHOOL FUND 1034 STANLEY WAY PALO ALTO, CA 94303	N/A	PC	MATCHING GIFT	75.
SECRET. OF THE U.N. FWK CONV ON CLIM. CHG THE KYOTO PROT AND THE PARIS AGMT UNFCCC SECRETARIAT, UN CAMPUS PLATZ DER VEREINTEN NATIONEN 1 BONN, BONN, GERMANY 53113	N/A	GOV	SEE STATEMENT	350,000.
SHAKTI SUSTAINABLE ENERGY FOUNDATION THE CAPITAL COURT 104B/2 FOURTH FLOOR LEFT WING NEW DELHI, NEW DELHI, INDIA 110067	N/A	PC	SEE STATEMENT	379,413.
SHELTER BOX USA 8374 MARKET ST NO 203 LAKEWOOD RANCH, FL 34202	N/A	PC	MATCHING GIFT	75.
SHULE FOUNDATION 211 EAST 35TH STREET, NO 3G NEW YORK, NY 10016	N/A	PC	MATCHING GIFT	30,000.
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVE N.W. WASHINGTON, DC 20016	N/A	PC	MATCHING GIFT	750.
SKDKNICKERBOCKER LLC 594 BROADWAY, SUITE 805 NEW YORK, NY 10012	N/A	NC	SEE STATEMENT	245,000.
SKDKNICKERBOCKER LLC 594 BROADWAY, SUITE 805 NEW YORK, NY 10012	N/A	NC	SEE STATEMENT	330,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION C/O SCORPIO CORPORATE ADVISORS S-405 (LGF), GREATER KAILASH - II NEW DELHI, NEW DELHI, INDIA 110048	N/A	NC	SEE STATEMENT	5,000,000.
SMARTMEME INC - CENTER FOR STORY-BASED STRATEGY 654 13TH ST. NO 2 OAKLAND, CA 94612	N/A	PC	MATCHING GIFT	300.
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE, S.W. ROOM 205 - MRC 016 WASHINGTON, DC 20560	N/A	PC	SEE STATEMENT	500,000.
SOCIETY FOR PARTICIPATORY RESEARCH IN ASIA 42, TUGHLAKABAD INSTITUTIONAL AREA NEW DELHI, NEW DELHI, INDIA 110062	N/A	PC	SEE STATEMENT	150,000.
SOCIETY FOR TECHNOLOGY AND ACTION FOR RURAL ADVANCEMENT B-32, TARA CRESCENT QUTUB INSTITUTIONAL AREA NEW DELHI, NEW DELHI, INDIA 110016	N/A	PC	SEE STATEMENT	69,564.
SOCIETY OF THE THIRD STREET MUSIC SCHOOL SETTLEMENT INC. 235 E 11TH ST. NEW YORK, NY 10003-7305	N/A	PC	MATCHING GIFT	1,050.
SOCIETY OF THE THIRD STREET MUSIC SCHOOL SETTLEMENT INC. 235 E 11TH ST. NEW YORK, NY 10003-7305	N/A	PC	MATCHING GIFT	1,050.
SOCIETY OF THE THIRD STREET MUSIC SCHOOL SETTLEMENT 235 EAST 11TH STREET NEW YORK, NY 10003-7305	N/A	PC	SEE STATEMENT	1,000.
SOLIDARIETA KENYA ONLUS V.G. MAZZINI, NO. 18 ERBA (COMO), ITALY	N/A	PC	SEE STATEMENT	10,000.
SOUTHAMPTON FRESH AIR HOME 36 BARKERS ISLAND RD SOUTHAMPTON, NY 11968	N/A	PC	MATCHING GIFT	3,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SPARK PROGRAM, INC. 223 W. JACKSON BLVD., SUITE 520 CHICAGO, IL 60606	N/A	PC	SEE STATEMENT	100,000.
SPITFIRE STRATEGIES LLC 1800 M STREET, NW SUITE 300 NORTH WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	500,000.
ST. BALDRICKS FOUNDATION INC 1333 S MAYFLOWER AVE STE 400 MONROVIA, CA 91016	N/A	PC	MATCHING GIFT	225.
ST. BALDRICKS FOUNDATION INC 1333 S MAYFLOWER AVE STE 400 MONROVIA, CA 91016	N/A	PC	MATCHING GIFT	750.
ST. JUDE CHILDRENS RESEARCH HOSPITAL INC 501 ST. JUDE PLACE MEMPHIS, TN 38105	N/A	PC	MATCHING GIFT	150.
ST. RAPHAEL ROMAN CATHOLIC CHURCH 600 NEWBRIDGE ROAD EAST MEADOW, NY 11554	N/A	PC	MATCHING GIFT	2,340.
ST. WILLIAM THE ABBOT ROMAN CATHOLIC CHURCH 2000 JACKSON AVENUE SEAFORD, NY 11783	N/A	PC	MATCHING GIFT	4,875.
START SMALL THINK BIG C/O BRONX BUSINESS INCUBATOR BRONX, NY 10474	N/A	PC	MATCHING GIFT	3,000.
STICHTING DIENST LANDBOUWKUNDIG ONDERZOEK DROEVENDAALSESTEEG 1 6708 PB WAGENINGEN GELDERLAND, NETHERLANDS	N/A	PC	SEE STATEMENT	100,000.
STIER FORWARD, LLC 808 DRIGGS AVENUE, SUITE 5C BROOKLYN, NY 11211	N/A	NC	SEE STATEMENT	100,000.
<b>Total from continuation sheets</b>				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STIFTUNG GLOBAL INFRASTRUCTURE BASEL ELISABETHENSTRASSE 22 CH-4051 BASEL, BASEL, SWITZERLAND	N/A	PC	SEE STATEMENT	225,000.
STONEWALL COMMUNITY FUND 446 WEST 33RD ST. NEW YORK, NY 10001	N/A	PC	MATCHING GIFT	15,000.
STUDENTS FOR A FREE TIBET INC. 602 E 14TH ST, 2ND FLR NEW YORK, NY 10009	N/A	PC	MATCHING GIFT	3,750.
STUDENTS FOR A FREE TIBET INC. 602 E 14TH ST, 2ND FLR NEW YORK, NY 10009	N/A	PC	MATCHING GIFT	300.
SUNDANCE INSTITUTE 5900 WILSHIRE BLVD, SUITE 800 LOS ANGELES, CA 90036	N/A	PC	SEE STATEMENT	350,000.
SUSTAINABILITY ACCOUNTING STANDARDS BOARD 1045 SANSOME STREET SUITE 450 SAN FRANCISCO, CA 94111	N/A	PC	SEE STATEMENT	150,000.
TAEDOKI B.V. JJ CREMERPLEIN 52-2 AMSTERDAM, AMSTERDAM, NETHERLANDS	N/A	NC	SEE STATEMENT	21,194.
TARU LEADING EDGE PRIVATE LIMITED D-406, GANESH MERIDIAN OPP. GUJARAT HIGH COURT AHMEDABAD, AHMEDABAD, INDIA 380060	N/A	NC	SEE STATEMENT	28,570.
TARU LEADING EDGE PRIVATE LIMITED D-406, GANESH MERIDIAN OPP. GUJARAT HIGH COURT AHMEDABAD, AHMEDABAD, INDIA 380060	N/A	NC	SEE STATEMENT	45,155.
TARU LEADING EDGE PRIVATE LIMITED D-406, GANESH MERIDIAN OPP. GUJARAT HIGH COURT AHMEDABAD, AHMEDABAD, INDIA 380060	N/A	NC	SEE STATEMENT	111,975.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TCC GROUP, INC. 31 WEST 27TH STREET 4TH FLOOR NEW YORK, NY 10001	N/A	NC	SEE STATEMENT	110,000.
TECHNOSERVE, INC. 1120 19TH STREET NW 8TH FLOOR WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	500,000.
TECHNOSERVE, INC. 1120 19TH STREET NW 8TH FLOOR WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	1,000,000.
TECHSOUP GLOBAL 435 BRANNAN STREET SUITE 100 SAN FRANCISCO, CA 94107	N/A	PC	SEE STATEMENT	150,000.
THAMMASAT UNIVERSITY 2 PRACHEN ROAD BANGKOK, BANGKOK, THAILAND 10200	N/A	PC	SEE STATEMENT	69,991.
THAMMASAT UNIVERSITY 2 PRACHEN ROAD BANGKOK, BANGKOK, THAILAND 10200	N/A	PC	SEE STATEMENT	125,000.
THE ATLANTIC MONTHLY GROUP, INC. 600 NEW HAMPSHIRE AVE. NW WASHINGTON, DC 20037	N/A	NC	SEE STATEMENT	315,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	90,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	215,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	300,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	390,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	435,450.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	IN SUPPORT OF IDENTIFYING COMPELLING OPPORTUNITIES FOR TRANSFORMATIVE SOCIAL IMPACT	500,000.
THE CENTER FOR EFFECTIVE PHILANTHROPY, INC. 675 MASSACHUSETTS AVENUE 7TH FLOOR CAMBRIDGE, MA 02139	N/A	PC	SEE STATEMENT	100,000.
THE CENTER FOR GLOBAL ENTERPRISE LTD. 200 PARK AVE., SUITE 1700 NEW YORK, NY 10166	N/A	PC	SEE STATEMENT	100,000.
THE CLASSICAL THEATRE OF HARLEM 566 WEST 159TH STREET NEW YORK, NY 10032	N/A	PC	MATCHING GIFT	15,000.
THE CLIMATE GROUP, INC. 145 WEST 58TH STREET, SUITE 2A NEW YORK, NY 10019	N/A	PC	TOWARD THE COSTS OF THE "INDIA ENERGY ACCESS SUMMIT 2016" TO BE HELD IN DELHI, INDIA, AUGUST 2016	33,000.
THE COMMUNICATIONS NETWORK 1717 NORTH NAPER BLVD, SUITE 102 NAPERVILLE, IL 60563	N/A	PC	SEE STATEMENT	150,000.
THE FISTULA FOUNDATION 1922 THE ALAMEDA, SUITE 302 SAN JOSE, CA 95126-1430	N/A	PC	MATCHING GIFT	75.
THE FORUM FOR YOUTH INVESTMENT 7064 EASTERN AVENUE, NW WASHINGTON, DC 20012	N/A	PC	SEE STATEMENT	50,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE FUND FOR PUBLIC SCHOOLS, INC. 52 CHAMBERS STREET, ROOM 305 NEW YORK, NY 10007	N/A	PC	SEE STATEMENT	50,000.
THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC. 812 CREED ROAD OAKLAND, CA 94610	N/A	NC	SEE STATEMENT	445,000.
THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC. 812 CREED ROAD OAKLAND, CA 94610	N/A	NC	SEE STATEMENT	1,000,000.
THE MEDIAE COMPANY LIMITED TURLEY FARM DELLEY END HAILEY, HAILEY, UNITED KINGDOM OX29 9XA	N/A	NC	SEE STATEMENT	140,000.
THE MIAMI FOUNDATION INC. 40 NW 3RD STREET SUITE 305 MIAMI, FL 33128	N/A	PC	SEE STATEMENT	250,000.
THE MIAMI FOUNDATION INC. 40 NW 3RD STREET SUITE 305 MIAMI, FL 33128	N/A	PC	SEE STATEMENT	475,000.
THE MIAMI FOUNDATION INC. 40 NW 3RD STREET SUITE 305 MIAMI, FL 33128	N/A	PC	SEE STATEMENT	770,000.
THE NATURE CONSERVANCY 4245 N. FAIRFAX DRIVE SUITE 100 ARLINGTON, VA 22203-1606	N/A	PC	SEE STATEMENT	350,000.
THE NEW REALITY FOUNDATION 1300 CLAY ST., STE. 600 OAKLAND, CA 94612	N/A	PC	MATCHING GIFT	15,000.
THE NEWS LITERACY PROJECT, INC. 5525 DEVON ROAD BETHESDA, MD 20814	N/A	PC	SEE STATEMENT	150,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE NORTHWEST FILM FORUM 1515 - 12TH AVENUE SEATTLE, WA 98122	N/A	PC	MATCHING GIFT	1,500.
THE PICTURE HOUSE REGIONAL FILM CENTER 175 WOLFS LANE PELHAM, NY 10803	N/A	PC	SEE STATEMENT	1,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA 1156 HIGH STREET SANTA CRUZ, CA 95064-1077	N/A	PC	SEE STATEMENT	250,260.
THE SYNERGOS INSTITUTE, INC. 3 EAST 54TH STREET 14TH FLOOR NEW YORK, NY 10022	N/A	PC	SEE STATEMENT	50,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 202 LOW MEMORIAL LIBRARY 535 W 116TH STREET NEW YORK, NY 10027	N/A	PC	SEE STATEMENT	45,412.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 202 LOW MEMORIAL LIBRARY 535 W 116TH STREET NEW YORK, NY 10027	N/A	PC	SEE STATEMENT	235,021.
THE URBAN INSTITUTE 2100 M STREET, N.W. WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	1,100,000.
THEATER LABRADOR, INC. 90 HUDSON STREET NO 2E NEW YORK, NY 10013	N/A	PC	MATCHING GIFT	150.
THOMSON REUTERS FOUNDATION THE THOMSON REUTERS BUILDING 30 SOUTH COLONNADE, CANARY WHARF LONDON, LONDON, UNITED KINGDOM E14 SEP	N/A	PC	SEE STATEMENT	5,000.
THOMSON REUTERS FOUNDATION THE THOMSON REUTERS BUILDING 30 SOUTH COLONNADE, CANARY WHARF LONDON, LONDON, UNITED KINGDOM E14 SEP	N/A	PC	SEE STATEMENT	145,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THOMSON REUTERS FOUNDATION THE THOMSON REUTERS BUILDING 30 SOUTH COLONNADE, CANARY WHARF LONDON, LONDON, UNITED KINGDOM E14 SEP	N/A	PC	SEE STATEMENT	750,000.
THREAD P.O. BOX 1584 BALTIMORE, MD 21203	N/A	PC	SEE STATEMENT	1,000.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	N/A	PC	CHARITABLE DONATION	25,000.
TRANSFAIR USA 1500 BROADWAY, SUITE 400 OAKLAND, CA 94612	N/A	PC	SEE STATEMENT	224,599.
TRINITY COLLEGE 300 SUMMIT ST. HARTFORD, CT 06106	N/A	PC	MATCHING GIFT	3,000.
TRINITY GRACE CHURCH 21 WEST 38TH ST, FL 2 NEW YORK, NY 10018	N/A	PC	MATCHING GIFT	600.
TRUST FOR CONSERVATION INNOVATION 405 14TH STREET, SUITE 164 OAKLAND, CA 94612-2705	N/A	PC	SEE STATEMENT	178,455.
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 622 W 113TH ST, MC 4524 NEW YORK, NY 10025	N/A	PC	MATCHING GIFT	3,000.
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 622 W 113TH ST, MC 4524 NEW YORK, NY 10025	N/A	PC	MATCHING GIFT	750.
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 622 W 113TH ST, MC 4524 NEW YORK, NY 10025	N/A	PC	MATCHING GIFT	750.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TRUSTEES OF PRINCETON UNIVERSITY 330 ALEXANDER ST 4TH FL PRINCETON, NJ 08543-5357	N/A	PC	MATCHING GIFT	15,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BLDG PHILADELPHIA, PA 19104-6285	N/A	PC	MATCHING GIFT	15,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BLDG PHILADELPHIA, PA 19104-6285	N/A	PC	MATCHING GIFT	90,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA OFFICE OF RESEARCH SERVICES 3451 WALNUT STREET, RM. P-221 PHILADELPHIA, PA 19104-6205	N/A	PC	SEE STATEMENT	25,790.
TURRET MEDIA FZ LLC PO BOX 77806 OFFICE 201, LEVEL 2 ABU DHABI, ABU DHABI, UNITED ARAB EMIRATES	N/A	NC	SEE STATEMENT	40,000.
TUSK STRATEGIES, INC. 928 BROADWAY, SUITE 300 NEW YORK, NY 10010	N/A	NC	SEE STATEMENT	252,500.
TUSK STRATEGIES, INC. 928 BROADWAY, SUITE 300 NEW YORK, NY 10010	N/A	NC	SEE STATEMENT	2,500,000.
UNITED NATIONS ECONOMIC COMMISSION FOR AFRICA PO BOX 3001 ADDIS ABABA, ADDIS ABABA, ETHIOPIA	N/A	GOV	SEE STATEMENT	50,000.
UNITED NATIONS ENTITY FOR GENDER EQUALITY AND THE EMPOWERMENT OF WOMEN 220 EAST 42ND STREET NEW YORK, NY 10017	N/A	GOV	SEE STATEMENT	350,000.
UNITED NATIONS ENVIRONMENT PROGRAMME 15 RUE MILAN PARIS, PARIS, FRANCE 75009	N/A	GOV	SEE STATEMENT	20,608.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED NATIONS FOUNDATION, INC. 1750 PENNSYLVANIA AVENUE, NW, SUITE 300 WASHINGTON, DC 20006	N/A	PC	SEE STATEMENT	250,000.
UNITED NATIONS HUMAN SETTLEMENTS PROGRAMME P.O. BOX 30030 NAIROBI, NAIROBI, KENYA	N/A	GOV	SEE STATEMENT	75,000.
UNITED NATIONS UNIVERSITY 5-53-70, JINGUMAE SHIBUYA-KU TOKYO, TOKYO, JAPAN 150-8925	N/A	GOV	SEE STATEMENT	29,881.
UNITED STATES ARTISTS, INC. 900 N. MICHIGAN AVENUE SUITE 1300 CHICAGO, IL 60611	N/A	PC	SEE STATEMENT	27,000.
UNITED STATES ARTISTS, INC. 900 N. MICHIGAN AVENUE SUITE 1300 CHICAGO, IL 60611	N/A	PC	SEE STATEMENT	50,000.
UNITED STATES ENDOWMENT FOR FORESTRY AND COMMUNITIES, INC. 908 EAST NORTH STREET GREENVILLE, SC 29601	N/A	PC	SEE STATEMENT	350,000.
UNIVERSITY OF CAPE TOWN PRIVATE BAG X3 RONDEBOSCH 7701 CAPE TOWN, CAPE TOWN, SOUTH AFRICA	N/A	PC	SEE STATEMENT	331,200.
UNIVERSITY OF CHICAGO 5235 SOUTH HARPER COURT CHICAGO, IL 60615	N/A	PC	MATCHING GIFT	3,750.
UNIVERSITY OF CONNECTICUT FOUNDATION INCORPORATED 2390 ALUMNI DR UNIT 3206 STORRS, CT 06269-3206	N/A	PC	MATCHING GIFT	1,500.
UNIVERSITY OF GHANA P.O. BOX LG25 LEGON, ACCRA, LEGON, ACCRA, GHANA	N/A	PC	SEE STATEMENT	19,908.
<b>Total from continuation sheets</b> .....				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF HAWAII FOUNDATION P.O. BOX 11270 HONOLULU, HI 96828-0270	N/A	PC	MATCHING GIFT	30,000.
UNIVERSITY OF MARYLAND 3112 LEE BUILDING 7809 REGENTS DRIVE COLLEGE PARK, MD 20742-1811	N/A	GOV	SEE STATEMENT	100,000.
UNIVERSITY OF MARYLAND 3112 LEE BUILDING 7809 REGENTS DRIVE COLLEGE PARK, MD 20742-1811	N/A	GOV	SEE STATEMENT	150,000.
UNIVERSITY OF MASSACHUSETTS, AMHERST OFFICE OF GRANT AND CONTRACT ADMINISTRATION 70 BUTTERFIELD TERRACE AMHERST, MA 01003-9242	N/A	PC	SEE STATEMENT	323,977.
UNIVERSITY OF NAIROBI P.O. BOX 30197-00100 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	200,000.
UNIVERSITY OF NEBRASKA FOUNDATION P.O. BOX 82555 LINCOLN, NE 68501-2555	N/A	PC	MATCHING GIFT	4,500.
UNIVERSITY OF PENNSYLVANIA CLASS GIVING DIR., 45TH AND 50TH REUNIONS, DEV. AND ALUMNI RELATIONS PHILADELPHIA, PA 19104	N/A	PC	SEE STATEMENT	1,000.
UNREASONABLE INSTITUTE 1801 13TH STREET SUITE 400 BOULDER, CO 80302	N/A	PC	SEE STATEMENT	800,000.
UNTITLED THEATER CO. NO 61 215 W. 95TH ST. NEW YORK, NY 10025	N/A	PC	MATCHING GIFT	4,500.
URBAN INSTITUTE 2100 M STREET, N.W. WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	45,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
URBAN INSTITUTE 2100 M STREET, N.W. WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	50,000.
URBAN INSTITUTE 2100 M STREET, N.W. WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	310,000.
URBAN INSTITUTE 2100 M STREET, N.W. WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	1,000,000.
VALUELAB BV JOOP DEN UYLLAAN 18 LEIDEN, LEIDEN, NETHERLANDS 2314GC	N/A	NC	SEE STATEMENT	219,000.
VERA INSTITUTE OF JUSTICE 233 BROADWAY 12TH FLOOR NEW YORK, NY 10279	N/A	PC	MATCHING GIFT	150.
VIBRANT DATA, INC. 943 CLAY STREET SAN FRANCISCO, CA 94108	N/A	NC	SEE STATEMENT	50,000.
VISCARDI CENTER 201 I.U. WILLETS ROAD ALBERTSON, NY 11507-1599	N/A	PC	MATCHING GIFT	6,480.
VISCARDI CENTER 201 I.U. WILLETS ROAD ALBERTSON, NY 11507-1599	N/A	PC	MATCHING GIFT	7,500.
VITAL RESEARCH LLC 6380 WILSHIRE BOULEVARD SUITE 1700 LOS ANGELES, CA 90048	N/A	NC	SEE STATEMENT	150,000.
VITAL VOICES GLOBAL PARTNERSHIP INC 1625 MASSACHUSETTS AVE N.W. STE 300 WASHINGTON, DC 20036	N/A	PC	MATCHING GIFT	4,500.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WAMU-FM 88.5 - THE AMERICAN UNIVERSITY 4400 MASSACHUSETTS AVE N.W. WASHINGTON, DC 20016-8082	N/A	PC	MATCHING GIFT	1,080.
WASAFIRI CONSULTING LTD. THE DOCK WILBURY VILLAS, HOVE EAST SUSSEX, EAST SUSSEX, UNITED KINGDOM BN3 6AH	N/A	NC	IN SUPPORT OF SERVING AS A LEARNING PARTNER FOR "RESILIENCE WEEK" TO BE HELD IN NAIROBI, KENYA, DECEMBER 2016	33,761.
WATERFRONT ALLIANCE, INC. 217 WATER STREET SUITE 300 NEW YORK, NY 10038	N/A	PC	SEE STATEMENT	200,000.
WELCOMING AMERICA INC. 315 W. PONCE DE LEON AVE., SUITE 500 DECATUR, GA 30030	N/A	PC	SEE STATEMENT	200,000.
WEROBOTICS, INC. 1919 BILTMORE AVENUE NO4 WASHINGTON, DC 20009	N/A	PC	SEE STATEMENT	500,000.
WESPO, INC. 423 WASHINGTON STREET, 4TH FLOOR SAN FRANCISCO, CA 94111	N/A	NC	SEE STATEMENT	236,400.
WESPO, INC. 423 WASHINGTON STREET, 4TH FLOOR SAN FRANCISCO, CA 94111	N/A	NC	SEE STATEMENT	350,900.
WEST COAST INFRASTRUCTURE EXCHANGE 308 SW 1ST AVENUE, SUITE 110 PORTLAND, OR 97204	N/A	PC	SEE STATEMENT	5,000.
WEST SIDE CATHOLIC CENTER 3135 LORAIN AVENUE CLEVELAND, OH 44113	N/A	PC	MATCHING GIFT	3,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST ST BOSTON, MA 02135	N/A	PC	MATCHING GIFT	360.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WHAT WOULD JANE DO LLC 3249 CASITAS AVE. LOS ANGELES, CA 90039	N/A	NC	SEE STATEMENT	404,000.
WILL AND JADA SMITH FAMILY FOUNDATION 1880 CENTURY PARK EAST, NO 1600 LOS ANGELES, CA 90067	N/A	NC	SEE STATEMENT	350,000.
WILLA CATHER FOUNDATION 413 NORTH WEBSTER RED CLOUD, NE 68970	N/A	PC	MATCHING GIFT	750.
WILLIAMS COLLEGE 75 PARK ST. WILLIAMSTOWN, MA 01267	N/A	PC	MATCHING GIFT	1,500.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	150,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	300,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	500,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	500,000.
WOMEN DELIVER INC. 588 BROADWAY SUITE 905 NEW YORK, NY 10012	N/A	PC	SEE STATEMENT	100,000.
WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND ORGANIZING 521 ROYAL EXCHANGE MANCHESTER, MANCHESTER, UNITED KINGDOM M2 7EN	N/A	PC	SEE STATEMENT	100,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND ORGANIZING 521 ROYAL EXCHANGE MANCHESTER, MANCHESTER, UNITED KINGDOM M2 7EN	N/A	PC	SEE STATEMENT	250,000.
WOMEN IN THE WORLD MEDIA LLC 620 EIGHTH AVENUE, 17TH FLOOR NEW YORK, NY 10018	N/A	NC	SEE STATEMENT	350,000.
WORKLIFE PARTNERSHIP 99 INCA STREET DENVER, CO 80223	N/A	PC	SEE STATEMENT	25,000.
WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA 312 SUTTER STREET SUITE 200 SAN FRANCISCO, CA 94108-4384	N/A	PC	SEE STATEMENT	22,138.
WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA 312 SUTTER STREET SUITE 200 SAN FRANCISCO, CA 94108-4384	N/A	PC	SEE STATEMENT	75,000.
WORLD FOOD PROGRAMME VIA CESARE GIULIO VIOLA 68 PARCO DEI MEDICI ROME, ITALY 00148	N/A	GOV	SEE STATEMENT	289,698.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH-1211 GENEVA, GENEVA, SWITZERLAND 27	N/A	GOV	SEE STATEMENT	59,681.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH-1211 GENEVA, GENEVA, SWITZERLAND 27	N/A	GOV	SEE STATEMENT	750,000.
WORLD PARROT TRUST USA INC P.O. BOX 935 LAKE ALFRED, FL 33850-0935	N/A	PC	MATCHING GIFT	873.
WORLD WILDLIFE FUND INC. 1250 24TH STREET, NW WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	85,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WORLDWIDE INITIATIVES FOR GRANTMAKER SUPPORT, INC. AVENIDA 9 DE JULHO, 5143 SUITE 61 SAO PAULO, SAO PAULO, BRAZIL 01407-200	N/A	PC	SEE STATEMENT	80,000.
YALE UNIVERSITY P.O. BOX 2038 NEW HAVEN, CT 06521-2038	N/A	PC	MATCHING GIFT	30,000.
YALE UNIVERSITY WOODBRIIDGE HALL 105 WALL STREET NEW HAVEN, CT 06520-8229	N/A	PC	SEE STATEMENT	225,000.
YALE UNIVERSITY WOODBRIIDGE HALL 105 WALL STREET NEW HAVEN, CT 06520-8229	N/A	PC	SEE STATEMENT	450,000.
YMCA 88 REMICK BLVD SPRINGBORO, OH 45066	N/A	PC	MATCHING GIFT	1,500.
YOUNG WOMEN'S LEADERSHIP NETWORK INC. 322 EIGHTH AVENUE 14TH FLOOR NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	30,000.
YOUTH CHALLENGE 800 SHARON DRIVE WESTLAKE, OH 44145	N/A	PC	MATCHING GIFT	3,000.
YUNUS SOCIAL BUSINESS GMBH NIDDASTR. 63 FRANKFURT AM MAIN, FRANKFURT AM MAIN, GERMANY 60329	N/A	NC	SEE STATEMENT	98,047.
ZAMIR CHORALE FOUNDATION, INC. 120 RIVERSIDE DRIVE. NEW YORK, NY 10024	N/A	PC	MATCHING GIFT	300.
ZERO POINT ZERO PRODUCTION, INC. 875 AVENUE OF THE AMERICAS NEW YORK, NY 10001	N/A	NC	SEE STATEMENT	3,584,265.
Total from continuation sheets .....				

## 3 Grants and Contributions Paid During the Year (Continuation)

[illegible]

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ADHIKAAR FOR HUMAN RIGHTS AND SOCIAL JUSTICE 71-07 WOODSIDE AVENUE WOODSIDE, NY 11377	N/A	PC	SEE STATEMENT	25,000.
AFRICA GREENCO 20-22 BEDFORD ROW LONDON, LONDON, UNITED KINGDOM WC1R 4JS	N/A	PC	SEE STATEMENT	339,170.
AFRICA KNOWS PHOTOGRAPHY LIMITED PO BOX 68151-00200 NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	45,000.
AFRICA PRACTICE EAST AFRICA LIMITED 14 CAMBRIDGE COURT LONDON, LONDON, UNITED KINGDOM W6 7NJ	N/A	NC	SEE STATEMENT	75,918.
AFRICA TWENTY-FOUR MEDIA, LTD. P.O.BOX 1403 00502 NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	125,000.
AFRICAN UNION COMMISSION P.O. BOX 3243 ADDIS ABABA, ADDIS ABABA, ETHIOPIA	N/A	GOV	SEE STATEMENT	50,000.
AGRICULTURE CLIMATE CHANGE EDUCATION COMMUNITY PROGRAMMES MOMBASA ROAD PANESAR CENTRE, 3RD FLOOR NAIROBI, NAIROBI, KENYA 00300	N/A	NC	SEE STATEMENT	5,000.
ALAYA LLC 651 COLLEGE AVENUE BOULDER, CO 80302	N/A	NC	SEE STATEMENT	95,000.
ALBRIGHT STONEBRIDGE GROUP LLC 601 13TH STREET, NW 10TH FLOOR WASHINGTON, DC 20005	N/A	NC	SEE STATEMENT	200,000.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA P.O. BOX 66773 WESTLANDS, 00800 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	2,124,221.
<b>Total from continuation sheets</b>				<b>95,873,689.</b>



**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA P.O. BOX 66773 WESTLANDS, 00800 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	600,000.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA P.O. BOX 66773 WESTLANDS, 00800 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	449,121.
ARMILLARIA, LLC 2420 NE SANDY BLVD. SUITE 103 PORTLAND, OR 97232	N/A	PC	SEE STATEMENT	27,500.
ART AFRICA CENTRE 28 ST. GEORGE'S MALL, 5TH FLOOR THE EXCHANGE BUILDING CAPE TOWN, CAPE TOWN, SOUTH AFRICA	N/A	PC	SEE STATEMENT	16,094.
ASIAN VENTURE PHILANTHROPY NETWORK LIMITED 3 SHENTON WAY, SHENTON HOUSE NO02-08 SINGAPORE 068805	N/A	PC	SEE STATEMENT	20,000.
ASPEN INSTITUTE, INC. ONE DUPONT CIRCLE, NW SUITE 700 WASHINGTON, DC 20036-1133	N/A	PC	SEE STATEMENT	100,000.
AUBURN UNIVERSITY 107 SAMFORD HALL 182 S.COLLEGE STREET AUBURN, AL 36849	N/A	PC	SEE STATEMENT	5,000.
B LAB COMPANY 155 E. LANCASTER AVENUE 2ND FLOOR WAYNE, PA 19087	N/A	PC	SEE STATEMENT	200,000.
BANGABANDHU SHEIKH MUJIB MEDICAL UNIVERSITY SHAHBAGH AVENUE DHAKA 1000, DHAKA 1000, BANGLADESH	N/A	PC	SEE STATEMENT	150,000.
BANQU, INC. 4100 HEATHERTON PLACE MINNETONKA, MN 55345	N/A	NC	SEE STATEMENT	50,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BEHAVIORAL IDEAS LAB, INC. 80 BROAD STREET, 30TH FLOOR NEW YORK, NY 10004	N/A	PC	SEE STATEMENT	10,000.
BLUE STATE DIGITAL, INC. 101 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	1,510,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY C/O OFFICE OF SPONSORED RESEARCH 3160 PORTER DRIVE, SUITE 100 PALO ALTO, CA 94304	N/A	PC	SEE STATEMENT	120,000.
BRAC USA INC. 110 WILLIAM STREET, SUITE 2902 NEW YORK, NY 10038	N/A	PC	SEE STATEMENT	50,000.
BUSINESS FOR SOCIAL RESPONSIBILITY 88 KEARNY STREET 12TH FLOOR SAN FRANCISCO, CA 94108	N/A	PC	SEE STATEMENT	180,000.
BUSINESS FOR SOCIAL RESPONSIBILITY 88 KEARNY STREET 12TH FLOOR SAN FRANCISCO, CA 94108	N/A	PC	SEE STATEMENT	149,366.
CERES, INC. 99 CHAUNCY STREET 6TH FLOOR BOSTON, MA 02111	N/A	PC	SEE STATEMENT	50,000.
CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF OXFORD UNIVERSITY OFFICES WELLINGTON SQUARE OXFORD, OXFORD, UNITED KINGDOM OX1 2JD	N/A	PC	SEE STATEMENT	250,000.
CITISCOPE 7008 GLEASON ROAD MINNEAPOLIS, MN 55439-1605	N/A	PC	SEE STATEMENT	25,000.
CIVIC HALL LABS, INC. 156 FIFTH AVENUE 2ND FLOOR NEW YORK, NY 11217	N/A	PC	SEE STATEMENT	13,100.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CLIMATE BONDS INITIATIVE 72 MUSWELL HILL PLACE N10 3RR LONDON, LONDON, UNITED KINGDOM N10 3RR	N/A	PC	SEE STATEMENT	125,000.
CLIMATE BONDS INITIATIVE 72 MUSWELL HILL PLACE N10 3RR LONDON, LONDON, UNITED KINGDOM N10 3RR	N/A	PC	SEE STATEMENT	45,000.
CONFERENCING IN A BOX LIMITED MORNING SIDE, 2ND FLOOR NGONG ROAD NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	20,000.
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE, SUITE 500 ARLINGTON, VA 22202	N/A	PC	SEE STATEMENT	14,509.
CORNELL UNIVERSITY 300 DAY HALL ITHACA, NY 14853	N/A	PC	SEE STATEMENT	132,152.
CORPORATE COUNCIL ON AFRICA 1100 17TH STREET, NW SUITE 1000 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	10,000.
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	SEE STATEMENT	25,000.
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	SEE STATEMENT	5,900.
COUNCIL ON FOUNDATIONS, INC. 2121 CRYSTAL DRIVE SUITE 700 ARLINGTON, VA 22202	N/A	PC	SEE STATEMENT	55,000.
CURATIO INTERNATIONAL FOUNDATION 3 KAVSADZE AVE. TBILISI, TBILISI, GEORGIA 0162	N/A	NC	SEE STATEMENT	50,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DALBERG CONSULTING - U.S., LLC 99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	499,470.
DALBERG CONSULTING - U.S., LLC 99 MADISON AVENUE, 17TH FLOOR NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	75,000.
DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED MEZZ FL, A WING, BARODAWALA MANSION 81 DR. ANNIE BESANT RD, WORLI MUMBAI, MUMBAI, INDIA 400018	N/A	NC	SEE STATEMENT	200,000.
DALBERG GROUP APS OVERGADEN OVEN VANDET 10, 1ST FLOOR 1415 COPENHAGEN K, COPENHAGEN K, DENMARK	N/A	NC	SEE STATEMENT	79,625.
DALBERG RESEARCH LTD 72 - 82 ROSEBERY AVENUE, 2ND FLOOR EC1R 4RW LONDON, LONDON, UNITED KINGDOM	N/A	NC	SEE STATEMENT	50,600.
DALBERG RESEARCH LTD 72 - 82 ROSEBERY AVENUE, 2ND FLOOR EC1R 4RW LONDON, LONDON, UNITED KINGDOM	N/A	NC	SEE STATEMENT	30,000.
DANIEL J. EDELMAN, INC. 250 HUDSON STREET NEW YORK, NY 10013	N/A	NC	IN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING THE ISSUE OF FOOD WASTE	149,990.
DANIEL J. EDELMAN, INC. 250 HUDSON STREET NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	100,000.
DEUTSCHE GESELLSCHAFT FÜR INTERNATIONALE ZUSAMMENARBEIT (GIZ) GMBH DAG-HAMMARSKJOLD-WEG 1-5 65760 ESCHBORN DEUTSCHLAND, DEUTSCHLAND,	N/A	PC	SEE STATEMENT	30,000.
ELEVATE CONSULTING & COMMUNICATIONS, LLC P.O. BOX 8325 PORTLAND, ME 04104	N/A	NC	SEE STATEMENT	20,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ENVIRONMENTAL DEFENSE FUND, INCORPORATED 257 PARK AVENUE SOUTH 17TH FLOOR NEW YORK, NY 10010	N/A	PC	SEE STATEMENT	125,000.
ENVIRONNEMENT ET DEVELOPPEMENT DU TIERS MONDE CPLX SICAP PT E BATIMENT B, 1ER ETAGE AVE CHEIKH ANTA DIOP X CANAL IV DAKAR, DAKAR, SENEGAL 3370	N/A	PC	SEE STATEMENT	10,000.
ETHIOPIAN INSTITUTE OF AGRICULTURAL RESEARCH P.O. BOX 2003 ADDIS ABABA, ADDIS ABABA, ETHIOPIA	N/A	GOV	SEE STATEMENT	50,000.
FAMILY HEALTH INTERNATIONAL FHI 360 HEADQUARTERS 359 BLACKWELL STREET, SUITE 200 DURHAM, NC 27701	N/A	PC	SEE STATEMENT	149,700.
FEEDING AMERICA 35 E. WACKER DRIVE, SUITE 2000 CHICAGO, IL 60601	N/A	PC	SEE STATEMENT	150,000.
FNDN FOR THE GRADUATE INSTITUTE OF INT'L RELATIONS AND DEVELOPMENT MAISON DE LA PAIX CHEMIN EUGENE-RIGOT 2 GENEVA 21, GENEVA 21, SWITZERLAND 1211	N/A	PC	SEE STATEMENT	10,708.
FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED NATIONS VIALE DELLE TERME DI CARACALLA ROME, ROME, ITALY 00153	N/A	GOV	SEE STATEMENT	249,298.
FOUNDATION CENTER 79 FIFTH AVENUE NEW YORK, NY 10003	N/A	PC	SEE STATEMENT	100,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	200,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	100,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	100,000.
FSG, INC. 500 BOYLSTON STREET SUITE 600 BOSTON, MA 02116	N/A	PC	SEE STATEMENT	25,000.
FT PUBLICATIONS INC. 330 HUDSON STREET 8TH FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	50,000.
FUND FOR CITIES OF SERVICE, INC. 120 PARK AVENUE, 23RD FLOOR NEW YORK, NY 10017	N/A	PC	SEE STATEMENT	600,000.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS, 6TH FLOOR NEW YORK, NY 10013-1590	N/A	PC	SEE STATEMENT	7,500.
FUNDACION JUMEX ASOCIACION CIVIL BOULEVARD VIA MORELOS 72-A COL. RUSTICA XALOSTOC ECATEPEC, MEXICO	N/A	NC	SEE STATEMENT	4,000.
FUNDACION JUMEX ASOCIACION CIVIL BOULEVARD VIA MORELOS 72-A COL. RUSTICA XALOSTOC ECATEPEC, MEXICO	N/A	NC	SEE STATEMENT	3,000.
GENESIS ANALYTICS PTY LTD 50 SIXTH ROAD HYDE PARK JOHANNESBURG, JOHANNESBURG, SOUTH AFRICA 2196	N/A	NC	SEE STATEMENT	300,000.
GLOBAL ALLIANCE FOR IMPROVED NUTRITION RUE DE VERMONT 3739 GENEVA, GENEVA, SWITZERLAND CH-1202	N/A	PC	SEE STATEMENT	290,000.
GLOBAL BPO SOLUTIONS, LLC 15600 NE 8TH STREET SUITE B1-800 BELLEVUE, WA 98008	N/A	NC	SEE STATEMENT	30,040.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GLOBAL IMPACT INVESTING NETWORK 30 BROAD STREET 38TH FLOOR NEW YORK, NY 10004	N/A	PC	TOWARD THE COSTS OF STRENGTHENING THE EVIDENCE BASE FOR IMPACT INVESTING AND MARKET SOLUTIONS	300,000.
GLOBAL KNOWLEDGE INITIATIVE 1200 NEW YORK AVENUE, NW SUITE 113 WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	60,000.
GUARDIAN NEWS AND MEDIA LTD KING PLACE 90 YORK WAY LONDON, LONDON, UNITED KINGDOM N1 9GU	N/A	NC	SEE STATEMENT	2,000,000.
GUARDIAN NEWS AND MEDIA LTD KING PLACE 90 YORK WAY LONDON, LONDON, UNITED KINGDOM N1 9GU	N/A	NC	SEE STATEMENT	30,317.
GUIDESTAR USA, INC. 4801 COURTHOUSE STREET SUITE 220 WILLIAMSBURG, VA 23188-2678	N/A	PC	SEE STATEMENT	30,000.
HARAMBEE YOUTH EMPLOYMENT ACCELERATOR NPC FIRST FLOOR, 70 FOX STREET JOHANNESBURG, GAUTENG, SOUTH AFRICA 2001	N/A	PC	SEE STATEMENT	750,000.
HATTAWAY COMMUNICATIONS, INC. 1717 RHODE ISLAND AVENUE, NW SUITE 650 WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	125,000.
HEALTH STRATEGY AND POLICY INSTITUTE A36 LANE, HO TUNG MAU ST CAU GIAY DISTRICT HANOI, HANOI, VIETNAM	N/A	GOV	SEE STATEMENT	50,000.
HR&A ADVISORS, INC 99 HUDSON STREET, 3RD FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	3,500.
HR&A ADVISORS, INC. 99 HUDSON STREET, 3RD FLOOR NEW YORK, NY 10013	N/A	NC	SEE STATEMENT	500,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
IDEO LP 100 FOREST AVENUE PALO ALTO, CA 94301	N/A	NC	SEE STATEMENT	240,000.
IDEO LP 100 FOREST AVENUE PALO ALTO, CA 94301	N/A	NC	SEE STATEMENT	75,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105	N/A	PC	SEE STATEMENT	205,293.
IMPACT INVESTMENT SHUJOG LIMITED 1 KING GEORGE'S AVENUE NO05-00 REHAU BUILDING SINGAPORE 208557	N/A	PC	SEE STATEMENT	50,000.
IMPACTXDESIGN LLC 1120 EUCLID STREET, NW NO 3 WASHINGTON, DC 20009	N/A	NC	SEE STATEMENT	30,000.
INDEPENDENT SECTOR 1602 L STREET NW SUITE 900 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	25,000.
INITIATIVE FOR A COMPETITIVE INNER CITY, INC. 56 WARREN STREET SUITE 300 ROXBURY, MA 02119	N/A	PC	SEE STATEMENT	50,000.
INITIATIVE FOR GLOBAL DEVELOPMENT 1130 CONNECTICUT AVE. NW NO 400 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	250,000.
INNOVATE-EDUCATE 228 GRIFFIN STREET SANTE FE, NM 87501	N/A	PC	SEE STATEMENT	172,000.
INNOVATION NETWORK, INC. 1625 K STREET NW SUITE 1050 WASHINGTON, DC 20006	N/A	PC	SEE STATEMENT	50,000.
<b>Total from continuation sheets</b>				



**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INNOVATIVE FINANCE FOUNDATION 7 AVENUE PICTET-DE-ROCHEMONT GENEVA, GENEVA, SWITZERLAND 1207	N/A	NC	SEE STATEMENT	25,000.
INSTITUTE FOR SOCIAL AND ENVIRONMENTAL TRANSITION-INTERNATIONAL 948 NORTH STREET SUITE 7 & 9 BOULDER, CO 80304	N/A	PC	SEE STATEMENT	70,000.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	N/A	PC	SEE STATEMENT	24,990.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	N/A	PC	SEE STATEMENT	20,000.
INSTITUTE OF CHARTERED ACCOUNTANTS IN ENGLAND AND WALES CHARTERED ACCOUNTANTS' HALL MOORGATE PLACE LONDON, LONDON, UNITED KINGDOM EC2R 6EA	N/A	NC	SEE STATEMENT	210,000.
INSTITUTE OF INTERNATIONAL EDUCATION, INC. 809 UNITED NATIONS PLAZA NEW YORK, NY 10017-3580	N/A	PC	SEE STATEMENT	750,380.
INTELLECAP ADVISORY SERVICES PRIVATE LIMITED A 1002, 10TH FLOOR, A BLOCK THE PLATINA BUIDLING GACHIBOWLI, HYDERABAD, TELANGANA, INDIA 500 032	N/A	NC	SEE STATEMENT	30,000.
INTELLECAP ADVISORY SERVICES PRIVATE LIMITED A 1002, 10TH FLOOR, A BLOCK THE PLATINA BUIDLING GACHIBOWLI, HYDERABAD, TELANGANA, INDIA 500 032	N/A	NC	SEE STATEMENT	30,000.
INTERACTION: THE AMERICAN COUNCIL FOR VOLUNTARY INT'L ACTION, INC. 1400 16TH STREET, NW SUITE 210 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	150,000.
INTERACTION: THE AMERICAN COUNCIL FOR VOLUNTARY INT'L ACTION, INC. 1400 16TH STREET, NW SUITE 210 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	15,210.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INTERNATIONAL ASSOCIATION OF UNIVERSITIES UNESCO HOUSE 1 RUE MIOLLIS PARIS, PARIS, FRANCE 75015	N/A	PC	SEE STATEMENT	48,370.
INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT 1818 H STREET, NW WASHINGTON, DC 20433	N/A	GOV	SEE STATEMENT	175,000.
INTERNATIONAL CENTER FOR RESEARCH ON WOMEN 1120 20TH STREET, N.W. SUITE 500 N. WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	85,000.
INTERNATIONAL CIVIL SOCIETY CENTRE AGRICOLA STRABE 26 BERLIN, BERLIN, GERMANY 10555	N/A	PC	TOWARD THE COSTS OF LAUNCHING AN INAUGURAL GLOBAL HORIZON SCANNING NETWORK OF INTERNATIONAL NGOS	60,000.
INTERNATIONAL INSTITUTE FOR ENVIRONMENT AND DEVELOPMENT 80-86 GRAY'S INN ROAD LONDON, LONDON, UNITED KINGDOM WC1X 8NH	N/A	PC	SEE STATEMENT	94,817.
INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION 601 WEST 26TH STREET NO 325-1 NEW YORK, NY 10001	N/A	PC	SEE STATEMENT	66,000.
ITAD LIMITED 12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE, HOVE, UNITED KINGDOM BN3 7ET	N/A	NC	SEE STATEMENT	100,000.
ITAD LIMITED 12 ENGLISH BUSINESS PARK ENGLISH CLOSE HOVE, HOVE, UNITED KINGDOM BN3 7ET	N/A	NC	SEE STATEMENT	1,750.
KEYSTONE CENTER 1628 STS JOHN ROAD KEYSTONE, CO 80435	N/A	PC	SEE STATEMENT	175,000.
KHOJ INTERNATIONAL ARTISTS ASSOCIATION S-17, KHIRKEE EXTENSION NEW DELHI, NEW DELHI, INDIA 110017	N/A	PC	SEE STATEMENT	22,512.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KKS ADVISORS LLC 559 EAST 2ND STREET, UNIT 3 BOSTON, MA 02127	N/A	NC	SEE STATEMENT	89,470.
KNACK.IT CORP. P.O. BOX 591316 SAN FRANCISCO, CA 94159	N/A	NC	SEE STATEMENT	10,000.
KPMG EAST AFRICA LIMITED PO BOX 1130 MANOR HOUSE, 1ST FLOOR PORT LOUIS, PORT LOUIS, MAURITIUS	N/A	NC	SEE STATEMENT	11,265,000.
KYNE COMMUNICATIONS, INC. 252 W. 37TH STREET, SUITE 500E NEW YORK, NY 10018	N/A	NC	SEE STATEMENT	150,000.
LEADERSUP 2401 ELLIOT AVENUE, SUITE 375 SEATTLE, WA 98107	N/A	PC	SEE STATEMENT	100,000.
LINA SRIVASTAVA CONSULTING LLC 300 EAST 33RD STREET, NO. 6P NEW YORK, NY 10016	N/A	NC	SEE STATEMENT	20,000.
LION'S HEAD GLOBAL PARTNERS LLP 154 BUCKINGHAM PALACE ROAD LONDON, LONDON, UNITED KINGDOM SW1W 9TR	N/A	NC	SEE STATEMENT	200,000.
LION'S HEAD GLOBAL PARTNERS LLP 154 BUCKINGHAM PALACE ROAD LONDON, LONDON, UNITED KINGDOM SW1W 9TR	N/A	NC	SEE STATEMENT	75,000.
LIVING CITIES, INC. THE NATIONAL COMMUNITY DEVELOPMENT INITIATIVE 1040 AVENUE OF THE AMERICAS FLOOR 17 NEW YORK, NY 10018	N/A	PC	SEE STATEMENT	1,500,000.
LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE HOUGHTON STREET LONDON, LONDON, UNITED KINGDOM WC2A 2AE	N/A	PC	SEE STATEMENT	93,348.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, NAKHON PATHOM, THAILAND 73170	N/A	PC	SEE STATEMENT	100,000.
MAKING CENTS INTERNATIONAL, INC. 1350 CONNECTICUT AVE., N.W. SUITE 410 WASHINGTON, DC 20036	N/A	NC	SEE STATEMENT	292,380.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE, ROOM 3-208 CAMBRIDGE, MA 02139-4307	N/A	PC	SEE STATEMENT	60,000.
MATHEMATICA POLICY RESEARCH, INC. 600 ALEXANDER PARK SUITE 100 PRINCETON, NJ 08540	N/A	NC	SEE STATEMENT	115,000.
MATTER SOLUTIONS LTD. PALLADIUM HOUSE 1-4 ARGYLL STREET LONDON, LONDON, UNITED KINGDOM W1F 7LD	N/A	NC	SEE STATEMENT	11,050.
MATTER UNLIMITED LLC 175 VARICK STREET, 8TH FLOOR NEW YORK, NY 10014	N/A	NC	SEE STATEMENT	190,000.
MAXTUDIO, SNC PIAZZA MEUCCI 23 ROME, ROME, ITALY 00146	N/A	NC	SEE STATEMENT	100,000.
MAYOR'S FUND TO ADVANCE NEW YORK CITY 253 BROADWAY 6TH FLOOR NEW YORK, NY 10007	N/A	PC	SEE STATEMENT	550,000.
MCKINSEY AND COMPANY AFRICA (PTY) LTD 88 STELLA STREET, SANDOWN MEWS EAST SANDTON, SANDTON, SOUTH AFRICA	N/A	NC	SEE STATEMENT	20,040.
MEDIC MOBILE, INC. 3254 19TH STREET, FLOOR TWO SAN FRANCISCO, CA 94110	N/A	PC	SEE STATEMENT	100,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MILAN INNOVINCY B.V. KAPTEYNSTRAAT 1 NOORDWIJK, NOORDWIJK, NETHERLANDS 2201BB	N/A	NC	SEE STATEMENT	244,600.
MISSION HUB LLC 901 MISSION STREET., SUITE 105 SAN FRANCISCO, CA 94103	N/A	NC	SEE STATEMENT	3,000.
MONITOR GOVERNMENT VENTURE SERVICES, LLC 444 SPEAR STREET SUITE 210 SAN FRANCISCO, CA 94105	N/A	NC	SEE STATEMENT	25,000.
MUNICIPAL ART SOCIETY OF NEW YORK 488 MADISON AVENUE SUITE 1900 NEW YORK, NY 10022	N/A	PC	SEE STATEMENT	20,000.
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET, NW WASHINGTON, DC 20001	N/A	PC	SEE STATEMENT	10,000.
NATIONAL AIDS CONTROL COUNCIL PO BOX 61307-00200 NAIROBI, NAIROBI, KENYA	N/A	GOV	SEE STATEMENT	20,000.
NATIONAL ASSOCIATION OF CORPORATE DIRECTORS 2001 PENNSYLVANIA AVE., NW SUITE 500 WASHINGTON, DC 20006	N/A	PC	SEE STATEMENT	60,000.
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET NW, SUITE 825 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	75,000.
NATURAL RESOURCES DEFENSE COUNCIL, INC. 40 WEST 20TH STREET NEW YORK, NY 10011	N/A	PC	SEE STATEMENT	346,151.
NEW AMERICA FOUNDATION 740 15TH STREET NW, SUITE 900 WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	50,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	400,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	125,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE. NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	50,000.
NEW YORK FOUNDATION FOR ARCHITECTURE, INC. 536 LAGUARDIA PLACE NEW YORK, NY 10012	N/A	PC	SEE STATEMENT	249,077.
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013-1270	N/A	PC	SEE STATEMENT	100,000.
NEW YORK TIMES COMPANY 620 EIGHTH AVENUE NEW YORK, NY 10036-3959	N/A	NC	SEE STATEMENT	325,000.
NEW YORK UNIVERSITY 655 BROADWAY ROOM 801 NEW YORK, NY 10012	N/A	PC	SEE STATEMENT	400,000.
OGILVY PUBLIC RELATIONS WORLDWIDE INC. 636 11TH AVENUE NEW YORK, NY 10036	N/A	NC	SEE STATEMENT	200,000.
ONFRONTIERS INC. 1407 BROADWAY 24TH FLOOR NEW YORK, NY 10018	N/A	NC	SEE STATEMENT	597,800.
ORGANIZATIONAL RESEARCH SERVICES, INC. 1100 OLIVE WAY SUITE 1350 SEATTLE, WA 98101	N/A	NC	SEE STATEMENT	2,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OVE ARUP & PARTNERS INTERNATIONAL LIMITED 13 FITZROY STREET W1T 4BQ LONDON, LONDON, UNITED KINGDOM W1T 4BQ	N/A	NC	SEE STATEMENT	332,943.
OVERSEAS DEVELOPMENT INSTITUTE 203 BLACKFRIARS ROAD LONDON, LONDON, UNITED KINGDOM SE1 8NJ	N/A	PC	SEE STATEMENT	25,000.
PAMOJA MEDIA EAST AFRICA LIMITED NO 4 KINDARUMA LANE, OFF NGONG ROAD NEAR ST. NICHOLAS PRIMARY SCHOOL NAIROBI, NAIROBI, KENYA	N/A	NC	SEE STATEMENT	5,000.
PANORAMA GLOBAL 117 EAST LOUISA STREET SEATTLE, WA 98102	N/A	PC	SEE STATEMENT	332,500.
PHILANTHROPY NEW YORK, INC. 1500 BROADWAY, 7TH FLOOR FOURTH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	80,000.
PREMISE DATA CORPORATION 645 7TH STREET SAN FRANCISCO, CA 94103	N/A	NC	SEE STATEMENT	95,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	2,883,907.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	50,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	49,297.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1350 MASSACHUSETTS AVENUE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	SEE STATEMENT	20,000.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PRI ASSOCIATION 25 CAMPERDOWN STREET 5TH FLOOR LONDON, LONDON, UNITED KINGDOM E1 8DZ	N/A	PC	SEE STATEMENT	119,080.
PUBLISEZ, INC. 27 PARK PLACE BROOKLYN, NY 11217	N/A	NC	SEE STATEMENT	255,000.
PUBLISEZ, INC. 27 PARK PLACE BROOKLYN, NY 11217	N/A	NC	SEE STATEMENT	100,000.
PURPOSE GLOBAL LLC 115 5TH AVENUE, 6TH FLOOR NEW YORK, NY 10003	N/A	NC	SEE STATEMENT	460,000.
PYXERA GLOBAL INC. 1030 15TH STREET NW, SUITE 730 EAST WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	3,500,000.
RAND CORPORATION 1776 MAIN STREET SANTA MONICA, CA 90407	N/A	PC	SEE STATEMENT	238,335.
REGIONAL PLAN ASSOCIATION, INC. 4 IRVING PLACE, SUITE 711-S NEW YORK, NY 10003	N/A	PC	SEE STATEMENT	95,000.
RENEWABLE ENERGY AND ENERGY EFFICIENCY PARTNERSHIP WAGRAMESTRASSE 5 , VIENNA INTERNATIONAL CENTER D2169 A-1400 VIENNA, VIENNA, AUSTRIA	N/A	PC	SEE STATEMENT	62,894.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 230 WEST 41ST STREET NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	2,000,000.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 230 WEST 41ST STREET NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	100,000.
<b>Total from continuation sheets</b>				



**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RESEARCH TRIANGLE INSTITUTE 3040 E. CORNWALLIS ROAD RESEARCH TRIANGLE PARK, NC 27709	N/A	PC	IN SUPPORT OF CONDUCTING AN IMPACT EVALUATION OF THE FOUNDATION'S YIELDWISE INITIATIVE	125,000.
RESEARCH TRIANGLE INSTITUTE 3040 E. CORNWALLIS ROAD RESEARCH TRIANGLE PARK, NC 27709	N/A	PC	SEE STATEMENT	50,000.
RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE DES MALADIES IMMEUBLE LE BONNEL 20 RUE DE LA VILLETTE 69328 LYON CEDEX 01, 69328 LYON CEDEX 01, FRANCE	N/A	PC	SEE STATEMENT	227,476.
RESOURCE ALLIANCE LIMITED 5TH FLOOR, DEVELOPMENT HOUSE 56-64 LEONARD STREET LONDON, LONDON, UNITED KINGDOM EC2A 4LT	N/A	PC	SEE STATEMENT	62,650.
RESULTS FOR DEVELOPMENT INSTITUTE, INC. 1100 15TH STREET, NW SUITE 400 WASHINGTON, DC 20005	N/A	PC	SEE STATEMENT	250,000.
RIGHT MANAGEMENT INC. 100 MANPOWER PLACE MILWAUKEE, WI 53212	N/A	NC	SEE STATEMENT	160,000.
ROCKEFELLER ARCHIVE CENTER 15 DAYTON AVENUE SLEEPY HOLLOW, NY 10591	N/A	POF	SEE STATEMENT	398,489.
ROCKEFELLER FOUNDATION DONATIONS 420 FIFTH AVENUE NEW YORK, NY 10018	N/A	PC	SEE STATEMENT	648,554.
ROCKEFELLER PHILANTHROPY ADVISORS, INC. 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A	PC	SEE STATEMENT	20,000,000.
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS CHATHAM HOUSE 10 ST. JAMES'S SQUARE LONDON, LONDON, UNITED KINGDOM SW1Y 4LE	N/A	PC	SEE STATEMENT	40,070.
Total from continuation sheets .....				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS CHATHAM HOUSE 10 ST. JAMES'S SQUARE LONDON, LONDON, UNITED KINGDOM SW1Y 4LE	N/A	PC	SEE STATEMENT	25,000.
ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS CHATHAM HOUSE 10 ST. JAMES'S SQUARE LONDON, LONDON, UNITED KINGDOM SW1Y 4LE	N/A	PC	SEE STATEMENT	22,670.
RURAL DEVELOPMENT INSTITUTE 1424 FOURTH AVE. SUITE 300 SEATTLE, WA 98101	N/A	PC	SEE STATEMENT	113,079.
SAMASOURCE, INC. 2017 MISSION STREET SUITE 301 SAN FRANCISCO, CA 94110	N/A	PC	SEE STATEMENT	1,250,000.
SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED C - 126, SECTOR 2, NOIDA UTTAR PRADESH, UTTAR PRADESH, INDIA 201 301	N/A	NC	SEE STATEMENT	10,000.
SAMHITA SOCIAL VENTURES PRIVATE LIMITED 502, ATLANTA CENTRE SONAWALA CROSS LANE MUMBAI, MUMBAI, INDIA 400063	N/A	NC	SEE STATEMENT	40,000.
SECRETARIAT OF THE U.N. FRAMWORK CONVENTION ON CLIMATE CHANGE UNFCCC SECRETARIAT, UN CAMPUS PLATZ DER VEREINTEN NATIONEN 1 BONN, BONN, GERMANY 53113	N/A	GOV	SEE STATEMENT	650,000.
SHACK DWELLERS INTERNATIONAL PO BOX 13033 MOWBRAY CAPE TOWN, CAPE TOWN, SOUTH AFRICA 7705	N/A	PC	SEE STATEMENT	6,000.
SKDKNICKERBOCKER LLC 594 BROADWAY, SUITE 805 NEW YORK, NY 10012	N/A	NC	SEE STATEMENT	60,000.
SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION C/O SCORPIO CORPORATE ADVISORS S-405 (LGF), GREATER KAILASH - II NEW DELHI, NEW DELHI, INDIA 110048	N/A	NC	SEE STATEMENT	2,981,229.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOLUTIONS JOURNALISM NETWORK, INC. 79 MADISON AVENUE 2ND FLOOR, NO 224 NEW YORK, NY 10016	N/A	PC	SEE STATEMENT	250,000.
STICHTING DIENST LANDBOUWKUNDIG ONDERZOEK DROEVENDAALSESTEEG 1 6708 PB WAGENINGEN GELDERLAND, NETHERLANDS	N/A	PC	SEE STATEMENT	350,772.
STIFTUNG GLOBAL INFRASTRUCTURE BASEL ELISABETHENSTRASSE 22 CH-4051 BASEL, BASEL, SWITZERLAND	N/A	PC	SEE STATEMENT	25,206.
SUSTAINABILITY ACCOUNTING STANDARDS BOARD 1045 SANSOME STREET SUITE 450 SAN FRANCISCO, CA 94111	N/A	PC	SEE STATEMENT	150,000.
SUSTAINABLE FISHERIES PARTNERSHIP FOUNDATION 4348 WAIALAE AVE., NO 692 HONOLULU, HI 96816	N/A	PC	SEE STATEMENT	200,000.
SUSTAINBIZ, INC. 1700 15TH STREET, NW NO103 WASHINGTON, DC 20009	N/A	NC	SEE STATEMENT	25,000.
TARU LEADING EDGE PRIVATE LIMITED D-406, GANESH MERIDIAN OPP. GUJARAT HIGH COURT AHMEDABAD, AHMEDABAD, INDIA 380060	N/A	NC	SEE STATEMENT	22,528.
TCC GROUP, INC. 31 WEST 27TH STREET 4TH FLOOR NEW YORK, NY 10001	N/A	NC	SEE STATEMENT	40,000.
TECHNOSERVE, INC. 1120 19TH STREET NW 8TH FLOOR WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	1,000,000.
TECHNOSERVE, INC. 1120 19TH STREET NW 8TH FLOOR WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	183,266.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TECHSOUP GLOBAL 435 BRANNAN STREET SUITE 100 SAN FRANCISCO, CA 94107	N/A	PC	SEE STATEMENT	150,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	IN SUPPORT OF IDENTIFYING COMPELLING OPPORTUNITIES FOR TRANSFORMATIVE SOCIAL IMPACT	125,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	60,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	10,000.
THE BRIDGESPAN GROUP, INC. 2 COPLEY PLACE SUITE 3700B BOSTON, MA 02116	N/A	PC	SEE STATEMENT	10,000.
THE CENTER FOR EFFECTIVE PHILANTHROPY, INC. 675 MASSACHUSETTS AVENUE 7TH FLOOR CAMBRIDGE, MA 02139	N/A	PC	SEE STATEMENT	200,000.
THE FUND FOR PUBLIC SCHOOLS, INC. 52 CHAMBERS STREET, ROOM 305 NEW YORK, NY 10007	N/A	PC	SEE STATEMENT	25,000.
THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC. 812 CREED ROAD OAKLAND, CA 94610	N/A	NC	SEE STATEMENT	500,000.
THE MEDIAE COMPANY LIMITED TURLEY FARM DELLEY END HAILEY, HAILEY, UNITED KINGDOM OX29 9XA	N/A	NC	SEE STATEMENT	20,000.
THE MIAMI FOUNDATION INC. 40 NW 3RD STREET SUITE 305 MIAMI, FL 33128	N/A	PC	SEE STATEMENT	1,250,000.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE MIAMI FOUNDATION INC. 40 NW 3RD STREET SUITE 305 MIAMI, FL 33128	N/A	PC	SEE STATEMENT	150,000.
THE MIAMI FOUNDATION INC. 40 NW 3RD STREET SUITE 305 MIAMI, FL 33128	N/A	PC	SEE STATEMENT	100,000.
THE NATURE CONSERVANCY 4245 N. FAIRFAX DRIVE SUITE 100 ARLINGTON, VA 22203-1606	N/A	PC	SEE STATEMENT	150,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA 1156 HIGH STREET SANTA CRUZ, CA 95064-1077	N/A	PC	SEE STATEMENT	200,000.
THE REGENTS OF THE UNIVERSITY OF COLORADO MAILSTOP F428, ANSCHUTZ MEDICAL CAMPUS BUILDING 500 AURORA, CO 80217	N/A	PC	SEE STATEMENT	25,000.
THE SYNERGOS INSTITUTE, INC. 3 EAST 54TH STREET 14TH FLOOR NEW YORK, NY 10022	N/A	PC	SEE STATEMENT	100,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 202 LOW MEMORIAL LIBRARY 535 W 116TH STREET NEW YORK, NY 10027	N/A	PC	SEE STATEMENT	1,500,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 202 LOW MEMORIAL LIBRARY 535 W 116TH STREET NEW YORK, NY 10027	N/A	PC	SEE STATEMENT	35,253.
THE URBAN INSTITUTE 2100 M STREET, N.W. WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	4,029,387.
THOMSON REUTERS FOUNDATION THE THOMSON REUTERS BUILDING 30 SOUTH COLONNADE, CANARY WHARF LONDON, LONDON, UNITED KINGDOM E14 5EP	N/A	PC	SEE STATEMENT	448,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THOMSON REUTERS FOUNDATION THE THOMSON REUTERS BUILDING 30 SOUTH COLONNADE, CANARY WHARF LONDON, LONDON, UNITED KINGDOM E14 SEP	N/A	PC	SEE STATEMENT	15,000.
TIE, INC. 2903 BUNKER HILL LANE SUITE 15 SANTA CLARA, CA 95054	N/A	NC	SEE STATEMENT	150,000.
TRANSFAIR USA 1500 BROADWAY, SUITE 400 OAKLAND, CA 94612	N/A	PC	SEE STATEMENT	200,000.
TREATMENT ACTION CAMPAIGN 122 LONGMARKET STREET THIRD FLOOR, WESTMINSTER HOUSE CAPE TOWN, CAPE TOWN, SOUTH AFRICA 8001	N/A	PC	SEE STATEMENT	60,000.
TRUST FOR CONSERVATION INNOVATION 405 14TH STREET, SUITE 164 OAKLAND, CA 94612-2705	N/A	PC	SEE STATEMENT	50,000.
TURRET MEDIA FZ LLC PO BOX 77806 OFFICE 201, LEVEL 2 ABU DHABI, ABU DHABI, UNITED ARAB EMIRATES	N/A	NC	SEE STATEMENT	10,000.
TUSK STRATEGIES, INC. 928 BROADWAY, SUITE 300 NEW YORK, NY 10010	N/A	NC	SEE STATEMENT	3,963,358.
UNITED NATIONS HUMAN SETTLEMENTS PROGRAMME P.O. BOX 30030 NAIROBI, NAIROBI, KENYA	N/A	GOV	SEE STATEMENT	75,000.
UNITED STATES ENDOWMENT FOR FORESTRY AND COMMUNITIES, INC. 908 EAST NORTH STREET GREENVILLE, SC 29601	N/A	PC	SEE STATEMENT	150,000.
UNITED STATES FUND FOR UNICEF 125 MAIDEN LANE 10TH FLOOR NEW YORK, NY 10038	N/A	PC	SEE STATEMENT	20,415.
Total from continuation sheets .....				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MARYLAND 3112 LEE BUILDING 7809 REGENTS DRIVE COLLEGE PARK, MD 20742-1811	N/A	GOV	SEE STATEMENT	200,000.
UNIVERSITY OF MASSACHUSETTS, AMHERST OFFICE OF GRANT AND CONTRACT ADMINISTRATION 70 BUTTERFIELD TERRACE AMHERST, MA 01003-9242	N/A	PC	SEE STATEMENT	35,799.
UNIVERSITY OF NAIROBI P.O. BOX 30197-00100 NAIROBI, NAIROBI, KENYA	N/A	PC	SEE STATEMENT	304,823.
UNIVERSITY OF RWANDA KIGALI KICUKIRO, KICUKIRO, RWANDA	N/A	PC	SEE STATEMENT	10,144.
UNREASONABLE INSTITUTE 1801 13TH STREET SUITE 400 BOULDER, CO 80302	N/A	PC	SEE STATEMENT	1,025,000.
VALUELAB BV JOOP DEN UYLLAAN 18 LEIDEN, LEIDEN, NETHERLANDS 2314GC	N/A	NC	SEE STATEMENT	50,000.
VITAL RESEARCH LLC 6380 WILSHIRE BOULEVARD SUITE 1700 LOS ANGELES, CA 90048	N/A	NC	SEE STATEMENT	100,000.
WASAFIRI CONSULTING LTD. THE DOCK WILBURY VILLAS, HOVE EAST SUSSEX, EAST SUSSEX, UNITED KINGDOM BN3 6AH	N/A	NC	IN SUPPORT OF SERVING AS A LEARNING PARTNER FOR "RESILIENCE WEEK" TO BE HELD IN NAIROBI, KENYA, DECEMBER 2016	14,471.
WATERFRONT ALLIANCE, INC. 217 WATER STREET SUITE 300 NEW YORK, NY 10038	N/A	PC	SEE STATEMENT	50,000.
WELCOMING AMERICA INC. 315 W. PONCE DE LEON AVE., SUITE 500 DECATUR, GA 30030	N/A	PC	SEE STATEMENT	50,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WEROBOTICS, INC. 1919 BILTMORE AVENUE NO4 WASHINGTON, DC 20009	N/A	PC	SEE STATEMENT	102,101.
WESPO, INC. 423 WASHINGTON STREET, 4TH FLOOR SAN FRANCISCO, CA 94111	N/A	NC	SEE STATEMENT	200,400.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	500,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SEE STATEMENT	150,000.
WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND ORGANIZING 521 ROYAL EXCHANGE MANCHESTER, MANCHESTER, UNITED KINGDOM M2 7EN	N/A	PC	SEE STATEMENT	300,000.
WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND ORGANIZING 521 ROYAL EXCHANGE MANCHESTER, MANCHESTER, UNITED KINGDOM M2 7EN	N/A	PC	SEE STATEMENT	49,550.
WORKFORCE DEVELOPMENT CORPORATION 110 WILLIAM STREET 8TH FLOOR NEW YORK, NY 10038	N/A	PC	SEE STATEMENT	100,000.
WORLD FOOD PROGRAMME VIA CESARE GIULIO VIOLA 68 PARCO DEI MEDICI ROME, ITALY 00148	N/A	GOV	SEE STATEMENT	14,332.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH-1211 GENEVA, GENEVA, SWITZERLAND 27	N/A	GOV	SEE STATEMENT	748,945.
WORLD WILDLIFE FUND INC. 1250 24TH STREET, NW WASHINGTON, DC 20037	N/A	PC	SEE STATEMENT	165,000.
Total from continuation sheets .....				



### 3 Grants and Contributions Approved for Future Payment (Continuation)

Total from continuation sheets

**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - ACCENTURE FOUNDATION (SOUTH AFRICA) TRUST

TOWARD THE COSTS OF ITS SKILLS TO SUCCEED PROGRAM TO TRAIN, MENTOR AND  
PLACE DISADVANTAGED SOUTH AFRICAN YOUTH IN SOFTWARE DEVELOPMENT AND  
OTHER COMPUTER PROGRAMING JOBS

NAME OF RECIPIENT - ACCENTURE LLP

FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS IN SUPPORT OF  
INFORMING THE GLOBAL RESILIENCE PARTNERSHIP'S TRANSITION TO A NEW  
OPERATING MODEL

NAME OF RECIPIENT - ACCESS HEALTH INTERNATIONAL, INC.

FOR USE BY ITS JOINT LEARNING NETWORK FOR UNIVERSAL HEALTHCARE IN  
SUPPORT OF THE JOINT LEARNING FUND IN ORDER TO BROADEN ENGAGEMENT AND  
TECHNICAL LEARNING OUTCOMES WITHIN MEMBER COUNTRIES, INSTITUTIONALIZE  
COUNTRY CORE GROUPS, AND STRENGTHEN ITS PROFILE THROUGH SUPPORT FOR  
COUNTRY-LEVEL EFFORTS

NAME OF RECIPIENT - ACCION INTERNATIONAL

FOR USE BY ITS CENTER FOR FINANCIAL INCLUSION (CFI) TOWARD THE COSTS OF  
THE CFI RESEARCH FELLOWS PROGRAM TO INVESTIGATE WAYS TO EXTEND  
FINANCIAL TOOLS AND SERVICES TO THOSE WHO LACK ACCESS GLOBALLY

NAME OF RECIPIENT - ACTION ON DISABILITY AND DEVELOPMENT

IN SUPPORT OF A COLLABORATIVE PROJECT WITH THE INSTITUTE OF DEVELOPMENT  
STUDIES AND THE COADY INTERNATIONAL INSTITUTE TO EXAMINE HOW  
MARKET-BASED SOLUTIONS CAN IMPROVE THE LIVES OF POOR AND VULNERABLE  
PEOPLE IN DEVELOPING COUNTRIES, WITH A FOCUS ON UGANDA

**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - ACUMEN FUND, INC.

TOWARD THE COSTS OF ITS 15TH ANNIVERSARY GALA AND IN GENERAL SUPPORT OF ITS MISSION TO CHANGE THE WAY THE WORLD TACKLES POVERTY BY INVESTING IN COMPANIES, LEADERS AND CHANGE

NAME OF RECIPIENT - ADESO

TOWARD THE COSTS OF ESTABLISHING A GLOBAL NETWORK OF SOUTHERN NGOS WORKING ON HUMANITARIAN RESPONSE, RECOVERY AND RESILIENCE BUILDING

NAME OF RECIPIENT - AFRICA GREENCO

IN SUPPORT OF DEVELOPING A FEASIBILITY ASSESSMENT FOR A NEW PUBLIC-PRIVATE PARTNERSHIP THAT CATALYZES PRIVATE SECTOR INVESTMENT IN AFRICAN ENERGY PROJECTS

NAME OF RECIPIENT - AFRICA GREENCO

IN SUPPORT OF ESTABLISHING A NEW PUBLIC-PRIVATE PARTNERSHIP THAT CATALYZES PRIVATE SECTOR INVESTMENT IN AFRICAN ENERGY PROJECTS

NAME OF RECIPIENT - AFRICA KNOWS PHOTOGRAPHY LIMITED

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM EMERGING LEADERS THROUGHOUT SUB-SAHARAN AFRICA ON THE MOST PROMISING SOLUTIONS TO ECONOMIC, SOCIAL, AND ENVIRONMENTAL CHALLENGES FOR POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - AFRICA PRACTICE EAST AFRICA LIMITED

IN SUPPORT OF INCREASING AWARENESS OF THE ISSUE OF POSTHARVEST LOSS IN SPECIFIC COUNTRIES OF SUB-SAHARA AFRICA AND ACROSS VALUE CHAINS

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - AFRICA TWENTY-FOUR MEDIA, LTD.

IN SUPPORT OF DEVELOPING MEDIA COLLATERAL TO RAISE AWARENESS ABOUT  
POST-HARVEST LOSS TO DIFFERENT STAKEHOLDERS IN THE AGRICULTURE SECTOR

NAME OF RECIPIENT - AFRICAN ASSOCIATION OF AGRICULTURAL ECONOMISTS

TOWARD THE COSTS OF ITS 5TH INTERNATIONAL CONFERENCE

THEMED, "TRANSFORMING SMALLHOLDER AGRICULTURE IN AFRICA: THE ROLE OF  
POLICY AND GOVERNANCE," TO BE HELD IN ADDIS ABABA, ETHIOPIA, SEPTEMBER  
2016

NAME OF RECIPIENT - AFRICAN MEDIA INITIATIVE

TOWARD THE COSTS OF PLANNING AND ORGANIZING A "CONSULTATIVE FORUM ON  
MEDIA STRATEGIES," AN ETHICS AND LEADERSHIP TRAINING WORKSHOP, AND THE  
AFRICAN MEDIA LEADERSHIP FORUM 2015 TO BE HELD IN JOHANNESBURG, SOUTH  
AFRICA

NAME OF RECIPIENT - AG INNOVATIONS NETWORK

FOR USE BY ITS SUSTAINABLE FOOD LABORATORY TO DEVELOP AND TEST METRICS  
THAT PROMOTE CROSS-SECTOR ENGAGEMENT TO REDUCE POST-HARVEST FOOD LOSS  
AND IMPROVE THE LIVES OF SMALLHOLDER FARMERS AND OTHER VULNERABLE  
PEOPLE

NAME OF RECIPIENT - AGA KHAN UNIVERSITY

FOR USE BY ITS EAST AFRICA INSTITUTE IN SUPPORT OF DIALOGUES AND A  
COMPARATIVE STUDY ON THE POLICY, LEGAL, AND INSTITUTIONAL ARRANGEMENTS  
OF EAST AFRICA'S EXTRACTIVE SECTOR IN ORDER TO EXPLORE HOW TO MAXIMIZE  
THE DEVELOPMENT IMPACT OF THE EXTRACTING OF NATURAL RESOURCES IN THE  
REGION

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - AISHA ABUBAKAR AUDU A3 FOUNDATION

IN SUPPORT OF AN INFORMATION TECHNOLOGY ESSENTIALS PROGRAM DESIGNED TO  
TRAIN HIGH POTENTIAL BUT DISADVANTAGED YOUTH IN NIGERIA FOR PLACEMENT  
IN THE DIGITAL ECONOMY

NAME OF RECIPIENT - ALAYA LLC

IN SUPPORT OF PREPARATIONS FOR A CONVENING FOCUSED ON IDENTIFYING  
CRITICAL PATTERNS, TENSIONS, AND PROGRESS IN RESILIENCE PRACTICE AND  
THEORY IN ORDER TO STRENGTHEN FUTURE APPLICATIONS OF RESILIENCE, TO BE  
HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, MAY 2017

NAME OF RECIPIENT - ALBRIGHT STONEBRIDGE GROUP LLC

IN SUPPORT OF CONDUCTING AGRICULTURAL POLICY ASSESSMENTS IN TANZANIA  
AND NIGERIA IN ORDER TO CONTRIBUTE TO AGRICULTURAL DEVELOPMENT AND  
IMPROVED OUTCOMES FOR SMALL SHAREHOLDER FARMERS

NAME OF RECIPIENT - ALI FORNEY CENTER

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - ALL HANDS VOLUNTEERS, INC.

IN SUPPORT OF EXPLORING OPPORTUNITIES FOR BUILDING GREATER  
POST-DISASTER RESILIENCE THROUGH NEW FUNDING STRATEGIES

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF TRANSITIONING TO A NEW ORGANIZATIONAL STRUCTURE AND  
DEVELOPING A SET OF CAPABILITIES BEST PLACED TO EXECUTE THE NEW

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

## STRATEGY

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA  
IN SUPPORT OF TESTING AN INTEGRATED POST-HARVEST LOSS INTERVENTION  
MODEL THAT INCORPORATES FINANCING, TECHNOLOGY, AGGREGATING FARMERS, AND  
CONNECTING THEM TO ALTERNATIVE MARKETS IN AN EFFORT TO REDUCE  
POST-HARVEST LOSS IN THE TANZANIAN MAIZE VALUE CHAIN

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA  
IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES  
OF SMALL HOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE MAIZE VALUE  
CHAIN IN TANZANIA

NAME OF RECIPIENT - APCO WORLDWIDE INC.  
IN SUPPORT OF A PROJECT TO PROVIDE COMMUNICATION AND STAKEHOLDER  
ENGAGEMENT SUPPORT TO SMART POWER INDIA

NAME OF RECIPIENT - APCO WORLDWIDE LIMITED  
IN SUPPORT OF A PROJECT TO PROVIDE ON-GOING COMMUNICATIONS SUPPORT TO  
THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN) INITIATIVE,  
IN ORDER TO LEVERAGE THE BODY OF WORK GENERATED THROUGH ACCCRN TO  
FURTHER GALVANIZE INTEREST AND ACTION ON URBAN CLIMATE CHANGE

NAME OF RECIPIENT - ARETE STORIES LTD.  
IN SUPPORT OF PRODUCING A PHOTO BOOK TO FURTHER DOCUMENT AND SHARE THE  
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA'S (AGRA) WORK AS PART OF THE  
AGRA AT 10 CELEBRATIONS, SEPTEMBER 2016

**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - ARETE STORIES LTD.

IN SUPPORT OF DOCUMENTING THE ALLIANCE FOR A GREEN REVOLUTION IN  
AFRICA'S (AGRA) WORK WITH PHOTOGRAPHS FOR EXHIBITION AT THE AGRA@10  
CELEBRATIONS, SEPTEMBER 2016

NAME OF RECIPIENT - ARMILLARIA, LLC

IN GENERAL SUPPORT OF ESTABLISHING A NEW HYBRID SOCIAL ENTERPRISE TO  
CONTINUE THE DEVELOPMENT AND DEPLOYMENT OF THE RESILIENCE EXCHANGE  
PLATFORM.

NAME OF RECIPIENT - ART AFRICA CENTRE

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING AFRICAN ARTISTS AS  
CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S  
BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - ART AFRICA CENTRE

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING AFRICAN ARTISTS AS  
CANDIDATES FOR RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S BELLAGIO  
CENTER, ITALY, AND OF FACILITATING A BELLAGIO ALUMNI ENGAGEMENT EVENT  
IN NAIROBI, KENYA

NAME OF RECIPIENT - ART CREATES US, INC.

TOWARD THE COSTS OF PILOTING ITS COLLABORATIVE PROGRAMS FOR YOUTH ARTS  
LEARNING IN MIAMI-DADE COUNTY

NAME OF RECIPIENT - ASIA FOUNDATION

IN SUPPORT OF SUPPLEMENTING A PROJECT TO ADAPT, TEST AND APPLY THE  
ROCKEFELLER FOUNDATION-ARUP CITY RESILIENCE FRAMEWORK AND INDEX IN 25

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

CITIES IN VIETNAM, FOR EVENTUAL APPLICATION ACROSS ADDITIONAL CITIES  
NATIONALLY AND GLOBALLY

NAME OF RECIPIENT - ASIA FOUNDATION

IN SUPPORT OF A PROJECT TO ADAPT, TEST AND APPLY THE ROCKEFELLER  
FOUNDATION-ARUP CITY RESILIENCE FRAMEWORK AND INDEX IN 25 CITIES IN  
VIETNAM, FOR EVENTUAL APPLICATION ACROSS ADDITIONAL CITIES NATIONALLY  
AND GLOBALLY

NAME OF RECIPIENT - ASIAN COALITION FOR HOUSING RIGHTS

IN A SUPPORT OF A STUDY TO EVALUATE AND REFLECT ON THE STATE OF  
COMMUNITY FINANCE IN ASIA IN ORDER TO IDENTIFY AND FRAME STRATEGIC  
OPPORTUNITIES TO STRENGTHEN COMMUNITY FINANCE OPPORTUNITIES

NAME OF RECIPIENT - ASIAN DEVELOPMENT BANK

TOWARD THE COSTS OF ESTABLISHING THE URBAN CLIMATE CHANGE RESILIENCE  
TRUST FUND, A MULTI-DONOR TRUST FUND THAT WILL SCALE-UP INVESTMENT IN  
URBAN CLIMATE CHANGE RESILIENCE BUILDING IN 25 CITIES IN ASIA BY  
SUPPORTING THE INTEGRATION OF CLIMATE CHANGE RESILIENCE INTO CITY-WIDE  
AND SECTORAL PLANNING, REGULATIONS AND INVESTMENT

NAME OF RECIPIENT - ASIAN VENTURE PHILANTHROPY NETWORK LIMITED

TOWARD THE COSTS OF ESTABLISHING A KNOWLEDGE CENTER THAT WILL SERVE AS  
A RESOURCE FOR VENTURE PHILANTHROPISTS AND ADVANCE PHILANTHROPIC  
PRACTICE IN ASIA

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

FOR USE BY ITS ASPEN FORUM FOR COMMUNITY SOLUTIONS TOWARD THE COSTS OF



**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

THE 100,000 OPPORTUNITIES INITIATIVE, AN EMPLOYER-LED COALITION  
COMMITTED TO CREATING EMPLOYMENT PATHWAYS FOR YOUNG PEOPLE IN THE U.S.

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

TOWARD THE COSTS OF THE FUTURE OF WORK INITIATIVE, WHICH AIMS TO  
STRENGTHEN THE SOCIAL CONTRACT AND PROTECTIONS FOR INDEPENDENT WORKERS,  
AND TO INSPIRE A MORE INCLUSIVE VERSION OF 21ST-CENTURY CAPITALISM IN  
AMERICA

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

TOWARD THE COSTS OF ITS 2016 ASPEN IDEAS FESTIVAL AND SPOTLIGHT HEALTH  
CONVENING TO BE HELD IN ASPEN, COLORADO, JUNE 2016

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.

FOR USE BY ITS ASPEN FORUM FOR COMMUNITY SOLUTIONS TOWARD THE COSTS OF  
EFFORTS TO DEEPEN AND EXPAND THE COMMUNITY-BASED WORK OF THE 100,000  
OPPORTUNITIES INITIATIVE, AN EMPLOYER-LED COALITION COMMITTED TO  
INCREASING EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN  
THE U.S.

NAME OF RECIPIENT - ASSOCIATION FOR THE ADVANCEMENT OF AFRICAN WOMEN  
ECONOMISTS

IN SUPPORT OF ITS PROJECT "CAPACITY BUILDING OF AFRICAN WOMEN  
ECONOMISTS" AND ACCOMPANYING RESEARCH ON INCLUSIVE ECONOMIES

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - ASSOCIAZIONE VOLONTARI SOCCORSO BELLAGIO

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - AUBURN UNIVERSITY

FOR USE BY ITS HUNGER SOLUTIONS INSTITUTE AND THE UNIVERSITIES FIGHTING  
WORLD HUNGER TOWARD THE COSTS OF A CURRICULUM, TOOLKIT, AND SUMMIT TO  
EDUCATE AND MOBILIZE STUDENT LEADERS TO ADDRESS FOOD WASTE AND HUNGER  
ON THEIR CAMPUSES

NAME OF RECIPIENT - B LAB COMPANY

IN SUPPORT OF CONDUCTING A FEASIBILITY STUDY TO DETERMINE WHETHER  
IMPACT INVESTMENT METRICS CAN BE INTEGRATED INTO THE BLOOMBERG TERMINAL  
IN ORDER TO SUPPORT THE OVERALL MAINSTREAMING OF THE FIELD OF IMPACT  
INVESTING

NAME OF RECIPIENT - B LAB COMPANY

TOWARD THE COSTS OF ITS BEST FOR NEW YORK CITY INITIATIVE AND THE  
NATIONAL EXPANSION OF THE BEST FOR PROJECT TO PROVIDE TOOLS FOR SMALL  
BUSINESSES TO MEASURE, BENCHMARK, AND IMPROVE THEIR ECONOMIC AND SOCIAL  
IMPACT

NAME OF RECIPIENT - BANQU, INC.

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS  
FOR BUILDING ECONOMIC IDENTITIES FOR REFUGEES

NAME OF RECIPIENT - BE THE CHANGE, INC.

TOWARD THE COSTS OF THE 2016 NATIONAL OPPORTUNITY SUMMIT, TO EDUCATE  
NATIONAL LEADERS ABOUT THE WAYS IN WHICH THEY CAN INCREASE ECONOMIC  
OPPORTUNITY IN AMERICA AND CREATE STRONGER EDUCATION, TRAINING AND  
CAREER PATHWAYS FOR YOUNG ADULTS

NAME OF RECIPIENT - BEHAVIORAL IDEAS LAB, INC.

IN SUPPORT OF EXECUTING A"BEHAVIOR CHANGE" THEMED MONTH AT THE  
ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, JULY 2016 AND COLLECTING  
AND DISSEMINATING RESULTING KNOWLEDGE ASSETS

NAME OF RECIPIENT - BEHAVIORAL IDEAS LAB, INC.

IN SUPPORT OF IDENTIFYING BEHAVIORALLY-INFORMED, INNOVATIVE  
INTERVENTIONS AND CONTEXTUAL RISKS TO IMPROVE STRATEGY DEVELOPMENT AND  
ADAPTIVE PLANNING FOR MAXIMUM SOCIAL IMPACT

NAME OF RECIPIENT - BEND THE ARC - A JEWISH PARTNERSHIP FOR JUSTICE  
FOR USE BY ITS CARING ACROSS GENERATIONS CAMPAIGN TOWARD THE COSTS OF  
THE"WHO CARES" PROJECT TO CATALYZE BROAD-BASED CHANGE IN CULTURAL NORMS  
AND BEHAVIORS SURROUNDING CAREGIVING AND THE CARE WORKFORCE

NAME OF RECIPIENT - BILL, HILLARY & CHELSEA CLINTON FOUNDATION

TOWARD THE COSTS OF ITS 2016 CLINTON GLOBAL INITIATIVE ANNUAL MEETING,  
TO CATALYZE A COMMUNITY OF GLOBAL LEADERS TO DEVISE AND IMPLEMENT  
INNOVATIVE SOLUTIONS TO GLOBAL CHALLENGES SUCH AS ENERGY AND CLIMATE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

CHANGE, POVERTY ALLEVIATION, GLOBAL HEALTH AND EDUCATION

NAME OF RECIPIENT - BLUE STATE DIGITAL, INC.

IN SUPPORT OF DEVELOPING A PROTOTYPE TO CONNECT AND SCALE THE  
ROCKEFELLER FOUNDATION'S RESILIENCE PORTFOLIO AND COMMUNITY TO SUPPORT  
THE GOAL OF BUILDING A FIELD OF RESILIENCE

NAME OF RECIPIENT - BLUE STATE DIGITAL, INC.

IN SUPPORT OF DEVELOPING A DIGITAL STRATEGY AND PROTOTYPE TO CONNECT  
AND SCALE THE ROCKEFELLER FOUNDATION'S RESILIENCE PORTFOLIO AND  
COMMUNITY TO SUPPORT THE GOAL OF BUILDING A FIELD OF RESILIENCE

NAME OF RECIPIENT - BLUE STATE DIGITAL, INC.

IN SUPPORT OF ORGANIZING A CONVENING TO AID IN THE DEVELOPMENT OF A  
LONG TERM VISION AND SECURE PARTNERSHIP COMMITMENTS FOR THE ADOPTION,  
UPTAKE AND SCALING OF THE RESILIENCE DIGITAL EXPERIENCE PROJECT, TO BE  
HELD AT THE ROCKEFELLER FOUNDATION'S BELLAGIO CENTER

NAME OF RECIPIENT - BLUE STATE DIGITAL, INC.

IN SUPPORT OF BUILDING, LAUNCHING, AND OPTIMIZING A PUBLISHING AND  
KNOWLEDGE-SHARING PLATFORM TO UNITE AND BUILD THE FIELD OF RESILIENCE  
BY DELIVERING WIDE-RANGING, QUALITY CONTENT, CONNECTIONS, EVENTS, AND  
RECOMMENDATIONS TO RESILIENCE PRACTITIONERS

NAME OF RECIPIENT - BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR  
UNIVERSITY

FOR USE BY ITS CENTER OF PHILANTHROPY AND CIVIL SOCIETY IN SUPPORT OF  
CONVENING ITS ANNUAL JUNIOR SCHOLARS FORUM AND OF WRITING AND

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PUBLISHING "THE NONPROFIT SECTOR: A RESEARCH HANDBOOK, THIRD EDITION"

NAME OF RECIPIENT - BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS  
TOWARD THE COSTS OF THE FIRST INTERNATIONAL CONGRESS FOR POSTHARVEST  
LOSS PREVENTION TO BE HELD IN ROME, ITALY, OCTOBER 2015

NAME OF RECIPIENT - BRAC USA INC.  
IN SUPPORT OF PROVIDING SUBSIDIZED FINANCIAL SERVICES TO POOR AND  
INFORMALLY EMPLOYED COMMUNITIES IN SIERRA LEONE AND LIBERIA IN AN  
EFFORT TO BUILD RESILIENCE TO THE EBOLA EPIDEMIC, AND TO STRENGTHEN  
INTERNAL CAPACITY TO DELIVER SERVICES IN PERIODS OF CRISIS

NAME OF RECIPIENT - BROOKINGS INSTITUTION  
FOR USE BY ITS METROPOLITAN POLICY PROGRAM IN SUPPORT OF ANALYZING THE  
ATTRIBUTES OF INCLUSIVE ECONOMIES USING U.S. METROPOLITAN AREAS AS A  
TEST CASE

NAME OF RECIPIENT - BURNES COMMUNICATIONS  
IN SUPPORT OF ENHANCING THE REACH, INFLUENCE AND QUALITY OF  
COMMUNICATIONS RELATED TO THE ROCKEFELLER FOUNDATION'S BELLAGIO CENTER  
RESIDENT FELLOWS' PROJECTS AND THE WORK TAKING PLACE AT THE CENTER MORE  
COLLECTIVELY SO AS TO EXTEND THE BENEFITS OF KNOWLEDGE GENERATED THERE  
TO WIDER AUDIENCES

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY  
IN SUPPORT OF GENERATING AND DEVELOPING COLLABORATIVE IDEAS TO PROMOTE  
INCLUSIVE ECONOMIC GROWTH, RESILIENCE AND OTHER SUSTAINABLE DEVELOPMENT  
EFFORTS, INCLUDING SUPPORT FOR ITS ANNUAL CONFERENCE AND A

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RESILIENCE-FOCUSED SIDE EVENT

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY

IN SUPPORT OF ENABLING THE GLOBAL RESILIENCE PARTNERSHIP ASIA TO  
 ENHANCE ITS BUSINESS INTEGRATION ACTIONS AND STRATEGIES IN ORDER TO  
 INFLUENCE CORPORATE INVESTMENT IN CLIMATE RESILIENCE ACTIONS AND  
 INITIATIVES THROUGHOUT THE SOUTHEAST ASIA REGION

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY

IN SUPPORT OF DEVELOPING, ADMINISTERING AND LAUNCHING A GLOBAL IMPACT  
 SOURCING COALITION TO SUPPORT WIDE-SCALE ADOPTION OF IMPACT SOURCING AS  
 AN INCLUSIVE HIRING PRACTICE IN THE BUSINESS PROCESS OUTSOURCING  
 INDUSTRY

NAME OF RECIPIENT - CATALYST INC.

TOWARD THE COSTS OF EXPANDING AND AMPLIFYING ITS "WOMEN ON BOARD (USA)"  
 INITIATIVE TO INCREASE BOARD GENDER DIVERSITY

NAME OF RECIPIENT - CAUDILL WEBSITE DESIGN &amp; CONSTRUCTION, INC.

IN SUPPORT OF DEVELOPING AND DEPLOYING A TECHNOLOGY-ENABLED SYSTEM TO  
 SUPPORT TIMELY MONITORING, STRATEGIC LEARNING AND ADAPTIVE MANAGEMENT  
 OF THE FOUNDATION'S DIGITAL JOBS AFRICA INITIATIVE AND ITS GRANTEEES

NAME OF RECIPIENT - CENTER FOR GLOBAL DEVELOPMENT

TOWARD THE COSTS OF A PROJECT TO CONDUCT A NON-PARTISAN ANALYSIS,  
 PROPOSE POLICY REFORMS AND CONVENE LEADERS, POLICYMAKERS, INVESTORS,  
 RESEARCHERS, AND OTHER ACTORS TO BOOST ENERGY GENERATION AND ACCESS IN  
 SUB-SAHARAN AFRICA

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - CENTER FOR GLOBAL DEVELOPMENT

IN SUPPORT OF RESEARCH AND PLANNING ACTIVITIES NECESSARY TO ESTABLISH  
THE FOREST FOUNDATION FUND, A PERFORMANCE-BASED DEFORESTATION INCENTIVE

NAME OF RECIPIENT - CERES, INC.

IN SUPPORT OF DEVELOPING GUIDANCE FOR INVESTORS ON HOW TO INTEGRATE  
WATER RISKS INTO THEIR DECISION-MAKING PRACTICES IN AN EFFORT TO  
ENCOURAGE THE STEWARDSHIP OF WATER RESOURCES BY LARGE COMPANIESNAME OF RECIPIENT - CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF  
OXFORDFOR USE BY ITS OXFORD MARTIN SCHOOL IN SUPPORT OF THE ROCKEFELLER  
FOUNDATION ECONOMIC COUNCIL ON PLANETARY HEALTH, AN EFFORT TO ESTABLISH  
THE ECONOMIC AND BUSINESS CASE FOR PLANETARY HEALTH

NAME OF RECIPIENT - CISION US, INC.

IN SUPPORT OF A COMMUNICATIONS AND INFLUENCE LANDSCAPE ANALYSIS TO  
EXAMINE AND SYNTHESIZE FORWARD-LOOKING KNOWLEDGE ON PRESSING PROBLEMS  
AND DYNAMIC OPPORTUNITIES IN THE LIVES OF POOR OR VULNERABLE  
POPULATIONS

NAME OF RECIPIENT - CITIES DEVELOPMENT INSTITUTE OF ASIA, INC.

TOWARD THE COSTS OF DEVELOPING CASE STUDIES AND A PROTOTYPE PLANNING  
PROCESS FOR CONNECTING CLIMATE CHANGE RESILIENCE PROJECT PLANNERS  
WITH "BOTTOM-UP" FINANCING OPTIONS

NAME OF RECIPIENT - CITISCOPE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF DEVELOPING EDITORIAL COVERAGE OF THE RUN-UP TO THE  
HABITAT III CONFERENCE, WITH A FOCUS ON HOW CITIES ARE INNOVATING TO  
BUILD RESILIENCE

NAME OF RECIPIENT - CITY HARVEST, INC.

IN SUPPORT OF A STRATEGIC PLANNING PROCESS INFORMED BY A STUDY ON  
CONSUMER BEHAVIOR AND FOOD WASTE

NAME OF RECIPIENT - CIVIC HALL LABS, INC.

IN SUPPORT OF ORGANIZING THREE CONVENINGS CENTERED ON DRIVING IMPACT  
AND LEVERAGING INNOVATION TO EFFECT CHANGE

NAME OF RECIPIENT - CLIMATE BONDS INITIATIVE

TOWARD THE COSTS OF DEVELOPING DEFINITIONS AND STANDARDS THAT VERIFY  
THE GREEN CREDENTIALS OF A BOND AND ENCOURAGE INVESTMENT IN CLIMATE  
CHANGE ADAPTATION AND MITIGATION

NAME OF RECIPIENT - CLIMATE BONDS INITIATIVE

IN SUPPORT OF DEVELOPING DEMONSTRATION PROJECTS TO PROMOTE THE USE OF  
FINANCIAL AGGREGATION SOLUTIONS FOR GREEN PROJECTS IN DEVELOPING  
COUNTRIES

NAME OF RECIPIENT - CLIMATE POLICY INITIATIVE, INC.

FOR USE BY ITS GLOBAL INNOVATION LAB FOR CLIMATE FINANCE TOWARD THE  
COSTS OF FURTHER DEVELOPING A CLIMATE RESILIENCE WORK STREAM TO  
LEVERAGE INNOVATIVE SOURCES OF CAPITAL THAT BENEFIT POOR AND VULNERABLE  
POPULATIONS



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NAME OF RECIPIENT - CLIMATE RISK MANAGEMENT LIMITED

IN SUPPORT OF LAUNCHING A DIGITAL COMMUNICATIONS STRATEGY AND EDITORIAL  
PLAN FOR URBAN CLIMATE CHANGE RESILIENCE KNOWLEDGE, INCLUDING FEATURED  
HIGHLIGHTS OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK  
(ACCCRN) INITIATIVE, IN ORDER TO EXPAND INTEREST TO BROADER AUDIENCES  
AND DEEPEN ENGAGEMENT IN THE NETWORK

NAME OF RECIPIENT - CLOUD TIGER MEDIA, INC.

IN SUPPORT OF ADVANCING THE CONVERSATION ABOUT GENDER PARITY AND  
DIVERSITY IN CORPORATE AMERICA BY CREATING ORIGINAL VIDEO AND OTHER  
SHAREABLE CONTENT AS PART OF THE ROCKEFELLER FOUNDATION'S "100X25"  
CAMPAIGN

NAME OF RECIPIENT - COALITION FOR INCLUSIVE CAPITALISM US INC.

TOWARD THE COSTS OF THE 2016 CONFERENCE ON INCLUSIVE CAPITALISM AND  
SUPPORTING ACTIVITIES, TO BE HELD IN NEW YORK CITY, OCTOBER 2016

NAME OF RECIPIENT - CODERSTRUST GLOBAL APS

IN SUPPORT OF A FEASIBILITY STUDY TO EXPLORE THE POTENTIAL FOR  
REPLICATION OF A MICROFINANCE MODEL IN KENYA AND NIGERIA WHICH PROVIDES  
HIGH POTENTIAL BUT DISADVANTAGED YOUTH THE OPPORTUNITY TO UPGRADE THEIR  
CODING SKILLS IN ORDER TO ACCESS JOBS

NAME OF RECIPIENT - COMMUNITY VOICES HEARD, INC.

TOWARD THE COSTS OF EXPANDING PARTICIPATORY BUDGETING ACROSS 27  
DISTRICTS IN NEW YORK CITY FOR THE 2015-2016 BUDGETING CYCLE

NAME OF RECIPIENT - CONFERENCING IN A BOX LIMITED

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IN SUPPORT OF CONVENING THE AFRICA REGIONAL OFFICE RESILIENCE WEEK AND  
THE AFRICA REGIONAL OFFICE RESILIENCE ACADEMY TO BE HELD IN NAIROBI,  
KENYA, DECEMBER 2016

NAME OF RECIPIENT - CONNEXUS CORPORATION

TOWARD THE COSTS OF PROMOTING THE ROLE OF COMMUNITIES IN BUILDING  
RESILIENT HEALTH SYSTEMS AT ITS CRACKING THE NUT HEALTH CONFERENCE TO  
BE HELD IN WASHINGTON, D.C., JULY 2016

NAME OF RECIPIENT - CONSERVATION INTERNATIONAL FOUNDATION

IN SUPPORT OF RESILIENCE ATLAS, AN INTEGRATIVE DECISION SUPPORT TOOL  
FOR RISK ASSESSMENT AND INVESTMENT DECISIONS, AND THE INCORPORATION OF  
IT INTO THE GLOBAL RESILIENCE PARTNERSHIP WEBSITE

NAME OF RECIPIENT - CONSERVATION INTERNATIONAL FOUNDATION

IN SUPPORT OF ITS USE OF THE VITAL SIGNS MEASUREMENTS AND ANALYTICAL  
FRAMEWORK TO GENERATE RESILIENCE PROFILES FOR EACH OF THE GLOBAL  
RESILIENCE PARTNERSHIP (GRP) REGIONS, IN ORDER TO PROVIDE THE GRP  
STAKEHOLDERS AND DECISION MAKERS WITH NEW INSIGHTS TO CATALYZE  
DATA-DRIVEN UNDERSTANDINGS OF RESILIENCE

NAME OF RECIPIENT - CONSORTIUM OF UNIVERSITIES FOR GLOBAL HEALTH

IN SUPPORT OF PLANNING AND EXECUTING A SERIES OF THREE MEETINGS FOCUSED  
ON PLANETARY HEALTH AT ITS ANNUAL CONFERENCE, TO BE HELD IN SAN  
FRANCISCO, CA, APRIL 2016

NAME OF RECIPIENT - CORNELL UNIVERSITY

FOR USE BY ITS CENTER FOR SUSTAINABLE GLOBAL ENTERPRISE IN SUPPORT OF

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

DEVELOPING A CURRICULUM AND HOSTING A CERTIFICATE PROGRAM TO EDUCATE  
SENIOR CORPORATE EXECUTIVES ON HOW TO BETTER ADDRESS AND CHAMPION  
ISSUES OF FOOD WASTE AND LOSS WITHIN THEIR ORGANIZATIONS

NAME OF RECIPIENT - CORPORATE COUNCIL ON AFRICA  
IN SUPPORT OF LAUNCHING THE YIELDWISE INITIATIVE IN AFRICA AT THE  
U.S.-AFRICA BUSINESS SUMMIT TO BE HELD IN ADDIS ABABA, ETHIOPIA,  
FEBRUARY 2016

NAME OF RECIPIENT - COUNCIL ON FOREIGN RELATIONS, INC.  
IN SUPPORT OF PRODUCING A SERIES OF POLICY BRIEFING PAPERS AND  
ROUNDTABLE DISCUSSIONS ON UNIVERSAL HEALTH COVERAGE AND ON HOW AND  
WHERE IT FITS WITHIN THE POST-2015 DEVELOPMENT AGENDA

NAME OF RECIPIENT - COUNCIL ON FOREIGN RELATIONS, INC.  
IN SUPPORT OF A SERIES OF HIGH-LEVEL ROUNDTABLE DISCUSSIONS TO OUTLINE  
THE IDEAL QUALITIES OF THE NEXT DIRECTOR-GENERAL OF THE WORLD HEALTH  
ORGANIZATION IN THE CONTEXT OF ITS EVOLVING ROLE WITHIN THE GLOBAL  
HEALTH COMMUNITY

NAME OF RECIPIENT - COUNCIL ON FOREIGN RELATIONS, INC.  
FOR USE BY ITS PUBLICATION "FOREIGN AFFAIRS," IN SUPPORT OF  
COMMUNICATIONS ACTIVITIES TO RAISE AWARENESS AND BUILD MOMENTUM FOR THE  
INNOVATIVE FINANCE FIELD AND ITS POTENTIAL TO MOBILIZE FUNDING FOR  
CRITICAL SOCIAL, ECONOMIC AND ENVIRONMENTAL CHALLENGES

NAME OF RECIPIENT - COUNCIL ON FOUNDATIONS, INC.  
IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE THE OPPORTUNITY,

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

LEADERSHIP AND TOOLS NEEDED BY PHILANTHROPIC ORGANIZATIONS TO EXPAND,  
ENHANCE AND SUSTAIN THEIR ABILITY TO ADVANCE THE COMMON GOOD

NAME OF RECIPIENT - CROSSBOUNDARY LLC

IN SUPPORT OF DESIGNING AN EAST-AFRICAN MINI-GRID FACILITY TO SUPPORT  
ENERGY SERVICE COMPANIES TO ACCELERATE ENERGY ACCESS FOR POOR AND  
VULNERABLE PEOPLE IN RURAL AREAS

NAME OF RECIPIENT - CURATIO INTERNATIONAL FOUNDATION

FOR USE AS THE HEALTH SYSTEMS GLOBAL SECRETARIAT TOWARD THE COSTS OF  
ORGANIZING THE FOURTH HEALTH SYSTEMS SYMPOSIUM AND CONSOLIDATING THE  
SUSTAINABILITY OF HEALTH SYSTEMS GLOBAL

NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT IDENTIFIES  
POTENTIAL OPPORTUNITIES FOR THE FIELD OF PLANETARY HEALTH TO IMPROVE  
THE LIVES OF POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF A PROJECT TO INCREASE FUNDERS' USE OF INNOVATION CONCEPTS  
TO ACCELERATE THE PACE OF SOCIAL CHANGE FOR POOR OR VULNERABLE  
COMMUNITIES

NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF EXECUTING A THEMED RESIDENCY AT THE ROCKEFELLER  
FOUNDATION BELLAGIO CENTER, ITALY, JULY/AUGUST 2017, AND COLLECTING AND  
DISSEMINATING RESULTING KNOWLEDGE ASSETS

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NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF DESIGNING, LAUNCHING, AND EXECUTING THE "CASSAVA  
INNOVATION CHALLENGE" IN ORDER TO SURFACE SOLUTIONS TO INCREASE THE  
SHELF LIFE OF CASSAVA AND THEREBY IMPROVE THE LIVELIHOODS OF SMALL  
HOLDER FARMERS IN AFRICA

NAME OF RECIPIENT - DALBERG CONSULTING CC

IN SUPPORT OF DEVELOPING A FINANCIAL SERVICES SECTOR BUSINESS CASE FOR  
IMPACT SOURCING (IS) AND ESTABLISHING JOB TYPES THAT CAN ADOPT IS  
ACROSS DIFFERENT SECTORS IN SOUTH AFRICA

NAME OF RECIPIENT - DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

IN SUPPORT OF A PROJECT TO PROVIDE MONITORING AND KNOWLEDGE INTEGRATION  
SERVICES ON PROGRESS TOWARDS OUTCOMES AND IMPACTS OF THE FOUNDATION'S  
SMART POWER FOR RURAL DEVELOPMENT INITIATIVE, TO FOSTER LEARNING,  
ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE INITIATIVE, AMONG  
ITS GRANTEEES, AND IN THE FIELDS OF RURAL ELECTRIFICATION, MARKET-BASED  
SOLUTIONS FOR DEVELOPMENT, PHILANTHROPY AND EVALUATION

NAME OF RECIPIENT - DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

IN SUPPORT OF A FEASIBILITY STUDY OF MINI-GRID MODELS AND THE DESIGN OF  
A MINI-GRID PARTNERSHIP FACILITY AND STRATEGY FOR CATALYZING ENERGY  
ACCESS FOR POOR AND VULNERABLE PEOPLE IN MYANMAR

NAME OF RECIPIENT - DALBERG GROUP APS

IN SUPPORT OF THE PILOT PHASE OF THE BIG BETS MATCH-UP INITIATIVE TO  
CREATE A NETWORKING AND SOLUTION PLATFORM THAT BRINGS TOGETHER CIVIL  
SOCIETY ORGANIZATIONS, ENTREPRENEURS, INVESTORS, AND PHILANTHROPISTS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOR ACTION TOWARD IMPLEMENTING THE SUSTAINABLE DEVELOPMENT GOALS

NAME OF RECIPIENT - DALBERG RESEARCH LTD

IN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE  
THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY  
ON EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLE

NAME OF RECIPIENT - DANIEL J. EDELMAN, INC.

IN SUPPORT OF RAISING AWARENESS ABOUT IMPACT SOURCING AND DEVELOPING A  
COALITION OF COMPANIES COMMITTED TO THIS INCLUSIVE BUSINESS PRACTICE  
THAT EMPLOYS HIGH POTENTIAL BUT DISADVANTAGED YOUTH, IMPACTING THEM,  
THEIR FAMILIES AND COMMUNITIES

NAME OF RECIPIENT - DANIEL J. EDELMAN, INC.

IN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING OF IMPACT  
HIRING AMONG EMPLOYER AUDIENCES TO BENEFIT DISADVANTAGED YOUTH IN THE  
UNITED STATES

NAME OF RECIPIENT - DATAKIND, INC.

IN SUPPORT OF PROVIDING A DETAILED UNDERSTANDING OF HOW DATA CAN MOST  
EFFECTIVELY BE LEVERAGED FOR GLOBAL RESILIENCE PARTNERSHIP OBJECTIVES,  
AND WORKING WITH GLOBAL RESILIENCE CHALLENGE TEAM WINNERS TO IDENTIFY  
THEIR HIGHEST IMPACT DATA ANALYTICS SOLUTIONS

NAME OF RECIPIENT - DELOITTE CONSULTING LLP

TOWARD THE COSTS OF PRODUCING RESEARCH PRODUCTS THAT BUILD THE  
UNDERSTANDING OF THE POTENTIAL FOR MARKET-BASED SOLUTIONS TO BENEFIT  
THE POOR OR VULNERABLE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE

ZUSAMMENARBEIT (GIZ) GMBH

IN SUPPORT OF A FEASIBILITY STUDY ON THE VIABILITY OF COMMUNITY-BASED  
RENEWABLE ENERGY HYBRID SYSTEMS FOR ISLANDS IN THAILAND

NAME OF RECIPIENT - DOCTORS WITHOUT BORDERS

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - EAST AFRICA ASSOCIATION OF GRANTMAKERS

IN SUPPORT OF DEVELOPING ITS STRATEGIC PLAN, INCLUDING THE ROLLOUT OF  
NATIONAL PHILANTHROPY FORUMS AND LEARNING EVENTS IN EAST AFRICA

NAME OF RECIPIENT - ECOSYSTEM ECONOMICS LLC

IN SUPPORT OF CONDUCTING A STUDY OF THE POLITICAL ECONOMY OF WATER  
GOVERNANCE AND WATER MANAGEMENT AS IT RELATES TO THE POTENTIAL FOR  
REFORM AND THE ESTABLISHMENT OF WATER MARKETS

NAME OF RECIPIENT - EKO ASSET MANAGEMENT PARTNERS, LLC

TOWARD THE COSTS OF DESIGNING AND DEVELOPING BLUEPRINTS FOR INNOVATIVE  
INVESTMENT STRATEGIES IN SUSTAINABLE FISHERIES

NAME OF RECIPIENT - ENVIRONMENTAL DEFENSE FUND, INCORPORATED

IN SUPPORT OF DEVELOPING THE REDD+ ACCELERATION FUND (RAF) IN AN EFFORT  
TO USE CLIMATE FINANCE TO REDUCE TROPICAL DEFORESTATION

NAME OF RECIPIENT - ENVIRONNEMENT ET DEVELOPPEMENT DU TIERS MONDE

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IN SUPPORT OF DEVELOPING THE "GENUINE/ALTERNATIVE REPORT ON AFRICA" TO  
SHOWCASE A NEW NARRATIVE FOR THE CONTINENT BASED ON AFRICA'S INNOVATION  
CAPACITY, BUSINESS AND ECONOMIC OPPORTUNITY, AND AVAILABILITY OF TALENT  
AND SKILLS

NAME OF RECIPIENT - ETHIOPIAN INSTITUTE OF AGRICULTURAL RESEARCH  
IN SUPPORT OF USING SEASONAL CLIMATE FORECASTING AND ESTABLISHED  
MANAGEMENT PRACTICES TO REDUCE THE VULNERABILITY OF AGRICULTURAL  
PRODUCTION IN ETHIOPIA AND UGANDA TO CLIMATE CHANGE AND WEATHER  
VARIABILITY

NAME OF RECIPIENT - FAMILY HEALTH INTERNATIONAL  
IN SUPPORT OF A PROJECT TO IDENTIFY AND ENGAGE HIGH-POTENTIAL  
INNOVATORS IN DIGITAL TECHNOLOGY WITH A FOCUS ON RESILIENCE OUTCOMES IN  
ASIA

NAME OF RECIPIENT - FEEDING AMERICA  
IN SUPPORT OF IDENTIFYING AND TESTING METHODS TO MORE EFFECTIVELY  
REDUCE FOOD WASTE AND LOSS IN ORDER TO MAKE MORE FOOD AVAILABLE TO  
VULNERABLE COMMUNITIES IN THE UNITED STATES

NAME OF RECIPIENT - FEMMES AFRICA SOLIDARITE  
IN SUPPORT OF AN ADVOCACY ACTION PLAN TO FOSTER THE IMPLEMENTATION OF  
THE AFRICAN UNION'S GENDER COMMITMENTS FOR THE 2015 YEAR OF THE WOMAN  
INITIATIVE

NAME OF RECIPIENT - FNDN FOR THAILAND RURAL RECONSTRUCTION MOVEMENT UNDER  
ROYAL PATRONAGE



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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOR USE BY ITS CHANGE FUSION INSTITUTE TO SUPPORT THE DEVELOPMENT OF AN  
ONLINE PORTAL THAT PROVIDES EDUCATIONAL INFORMATION TO EMERGING  
PHILANTHROPISTS INTERESTED IN IMPACT ENTERPRISES TO FURTHER BENEFIT  
POOR OR VULNERABLE PEOPLE

NAME OF RECIPIENT - FNDN FOR THE GRADUATE INSTITUTE OF INT'L RELATIONS  
AND DEVELOPMENT

FOR USE BY ITS GLOBAL HEALTH CENTRE IN SUPPORT OF A ROUNDTABLE AND  
DEBATE INTENDED TO MAKE THE ELECTION OF THE NEXT WORLD HEALTH  
ORGANIZATION DIRECTOR-GENERAL A MORE OPEN AND INCLUSIVE PROCESS, AND TO  
HIGHLIGHT THE TYPE OF LEADERSHIP NECESSARY FOR THE CURRENT GLOBAL  
HEALTH LANDSCAPE

NAME OF RECIPIENT - FOUNDATION CENTER  
IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN THE SOCIAL SECTOR BY  
ADVANCING KNOWLEDGE ABOUT PHILANTHROPY IN THE U.S. AND AROUND THE WORLD

NAME OF RECIPIENT - FOUNDATION FOR THE GLOBAL COMPACT  
FOR USE BY THE OFFICE OF THE SPECIAL ADVISOR ON THE 2030 AGENDA FOR  
SUSTAINABLE DEVELOPMENT CLIMATE CHANGE IN SUPPORT OF ENCOURAGING  
INNOVATIVE FINANCE SOLUTIONS AND PRIVATE SECTOR INVESTMENT IN ITS  
SUSTAINABLE DEVELOPMENT GOALS

NAME OF RECIPIENT - FRACTURED ATLAS PRODUCTIONS, INC.  
DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - FRIENDS OF THE BRITISH COUNCIL, USA

**Part XV** Supplementary Information**3a** Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF A PROJECT BY THE BRITISH COUNCIL TO TRAIN AND PLACE HIGH  
POTENTIAL BUT DISADVANTAGED YOUTH IN THE BUSINESS PROCESSING  
OUTSOURCING SECTOR IN GHANA, IN PARTICULAR THE ACCRA BPO CENTER

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF ITS SHARED VALUE INITIATIVE, INCLUDING CREATING TWO  
CASE STUDIES BASED ON THE FOUNDATION'S WORK THAT EXEMPLIFY SHARED VALUE  
AND THE IMPACT THAT CAN BE ACHIEVED THROUGH CROSS-SECTORAL PARTNERSHIPS

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF ITS SHARED VALUE INITIATIVE, TO CONTINUE TO DEVELOP  
THE CONCEPT OF "SHARED VALUE" AND PROMOTE IT AS A GUIDING PHILOSOPHY AND  
CORE BUSINESS PRACTICE THAT DRIVES INNOVATION BY ADDRESSING SOCIAL  
NEEDS

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF THE 100,000 OPPORTUNITIES INITIATIVE, AN  
EMPLOYER-LED COALITION COMMITTED TO CREATING EMPLOYMENT PATHWAYS FOR  
YOUNG PEOPLE IN THE U.S.

NAME OF RECIPIENT - FSG, INC.

IN SUPPORT OF THE CONTINUED DEVELOPMENT OF A DIFFERENTIATED FRAMEWORK  
FOR "MARKET SYSTEMS INNOVATIONS" AS AN APPROACH TO ADVANCE INCLUSIVE  
ECONOMIES, AND AN ANALYSIS OF HOW ROCKEFELLER FOUNDATION INITIATIVES  
AND GRANTEES CAN BETTER UTILIZE THE APPROACH

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF THE DEVELOPMENT OF A DIFFERENTIATED FRAMEWORK

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOR "MARKET SYSTEMS INNOVATIONS" (MSIS) AS AN APPROACH TO ADVANCE  
INCLUSIVE ECONOMIES

NAME OF RECIPIENT - FSG, INC.

IN SUPPORT OF DEVELOPING AND IMPLEMENTING A STRATEGY TO HELP EMPLOYERS  
EXPAND SUPPORT SERVICES FOR EMPLOYEES AROUND ISSUES SUCH AS CHILDCARE,  
TRANSPORTATION, HEALTH AND FINANCIAL STABILITY, IN AN EFFORT TO PROMOTE  
JOB RETENTION AND PRODUCTIVITY

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF LEADING LEARNING ACTIVITIES TO CATALYZE EMPLOYER  
ADOPTION OF IMPACT HIRING TO EXPAND EMPLOYMENT OPPORTUNITIES FOR  
DISADVANTAGED YOUTH, IN COLLABORATION WITH THE 100,000 OPPORTUNITIES  
COALITION AND OTHER EMPLOYER ORGANIZATIONS

NAME OF RECIPIENT - FT PUBLICATIONS INC.

FOR USE BY ITS FINANCIAL TIMES LIVE CONFERENCE DIVISION IN SUPPORT OF A  
SERIES OF CONVENINGS OF PRIVATE SECTOR STAKEHOLDERS TO DISCUSS METHODS  
FOR INCORPORATING NATURAL ASSETS INTO FINANCIAL DECISION MAKING, TO BE  
HELD IN NEW YORK AND LONDON IN 2016

NAME OF RECIPIENT - FT PUBLICATIONS INC.

FOR USE BY ITS FT LIVE GLOBAL CONFERENCES TEAM TOWARD THE COSTS OF  
ORGANIZING AND CO-HOSTING AN "INNOVATIONS IN PHILANTHROPY" FORUM ON  
INNOVATIVE FINANCE TO BE HELD IN NEW YORK CITY IN 2016

NAME OF RECIPIENT - FUND FOR THE CITY OF NEW YORK, INC.

FOR USE BY ITS PROJECT, ZAKTI, TOWARD THE COSTS OF IMPLEMENTING A PRIZE

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**Part XV** Supplementary Information**3a** Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THAT WILL YIELD SOLUTIONS FOR THE FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT INITIATIVE, AND TO CONDUCT RESEARCH AND PRODUCE A PUBLIC REPORT ABOUT THE EFFECTIVENESS OF APPLYING OPEN INNOVATION AND PRIZE CHALLENGE METHODOLOGIES TO LARGE-SCALE PROBLEMS, SUCH AS ENERGY POVERTY AND NUTRITION, EXPERIENCED BY POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL  
IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL  
IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - FUZU LIMITED  
TOWARD THE COSTS OF SCALING UP A JOB MATCHING PLATFORM TO CONNECT HIGH POTENTIAL BUT DISADVANTAGED YOUTH IN KENYA TO DIGITAL JOBS

NAME OF RECIPIENT - GENESIS ANALYTICS PTY LTD  
IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE FOUNDATION'S DIGITAL JOBS AFRICA INITIATIVE, AS WELL AS ITS GRANTEEES AND PARTNERS, IN ORDER TO BENEFIT THE FIELDS OF YOUTH EMPLOYMENT, DIGITAL JOBS AND INCLUSIVE ECONOMIES

NAME OF RECIPIENT - GEORGE WASHINGTON UNIVERSITY  
FOR USE BY ITS CENTER FOR REAL ESTATE AND URBAN ANALYSIS TOWARD THE

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

COSTS OF IDENTIFYING THE SOCIAL EQUITY POTENTIAL OF DIFFERENT  
NEIGHBORHOODS IN TERMS OF HOUSING, INFRASTRUCTURE AND EMPLOYMENT  
OPPORTUNITIES AND USING THE DATA TO INFORM THE REGIONAL PLAN  
ASSOCIATION'S 4TH REGIONAL PLAN FOR NEW YORK CITY

NAME OF RECIPIENT - GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY  
IN SUPPORT OF AN INITIATIVE TO PROVIDE SUBSIDIZED TICKETS TO THE  
BROADWAY SHOW "HAMILTON" FOR UNDERPRIVILEGED HIGH SCHOOL STUDENTS FROM  
NEW YORK CITY PUBLIC SCHOOLS AND TO CREATE AND DISTRIBUTE ACCOMPANYING  
EDUCATIONAL MATERIALS THAT INTEGRATE THEATRICAL PERFORMANCE AND THE  
STUDY OF AMERICAN HISTORY

NAME OF RECIPIENT - GILDER LEHRMAN INSTITUTE OF AMERICAN HISTORY  
TOWARD THE COSTS OF EXPANDING ITS HAMILTON EDUCATION PROGRAM TO PROVIDE  
SUBSIDIZED TICKETS TO THE SHOW "HAMILTON" FOR UNDERPRIVILEGED HIGH  
SCHOOL STUDENTS, AND TO DISTRIBUTE ACCOMPANYING EDUCATIONAL MATERIALS  
THAT INTEGRATE THEATRICAL PERFORMANCE AND THE STUDY OF AMERICAN HISTORY

NAME OF RECIPIENT - GLOBAL ALLIANCE FOR IMPROVED NUTRITION  
FOR USE BY THE POSTHARVEST LOSS ALLIANCE FOR NUTRITION IN SUPPORT OF  
TECHNICAL ASSISTANCE TO STAKEHOLDERS IN THE NIGERIAN TOMATO VALUE CHAIN  
TO REDUCE POSTHARVEST LOSS AND IMPROVE PRODUCE PACKAGING IN ORDER TO  
BENEFIT LOW INCOME CONSUMERS

NAME OF RECIPIENT - GLOBAL BPO SOLUTIONS, LLC  
IN SUPPORT OF SCALING IMPACT SOURCING BY DRIVING MEMBERSHIP RECRUITMENT  
FOR THE GLOBAL IMPACT SOURCING COALITION AND COORDINATING DEVELOPMENT  
OF RELEVANT TOOLS AND RESOURCES FOR BUILDING ITS VALUE PROPOSITION

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - GLOBAL FEEDBACK LIMITED

TOWARD THE COSTS OF ORGANIZING CITY-BASED EVENTS TO RAISE AWARENESS  
ABOUT THE PROBLEM OF FOOD WASTE AND TO PRESENT SUPPLY CHAIN RESEARCH,  
PROMISING SOLUTIONS, AND BEST PRACTICES IN FOOD WASTE REDUCTION

NAME OF RECIPIENT - GLOBAL HEALTH CORPS

IN SUPPORT OF DEVELOPING AND IMPLEMENTING A PLANETARY HEALTH TRAINING  
CURRICULUM AND OTHER PROGRAMMING FOR ITS FELLOWS AND ALUMNI

NAME OF RECIPIENT - GLOBAL HEALTH STRATEGIES LLC

IN SUPPORT OF CONDUCTING COMMUNICATIONS AND INFLUENCE ACTIVITIES TO  
ADVANCE UNIVERSAL HEALTH COVERAGE GLOBALLY

NAME OF RECIPIENT - GLOBAL HEALTH STRATEGIES LLC

IN SUPPORT OF CONDUCTING COMMUNICATIONS AND INFLUENCE ACTIVITIES TO  
ADVANCE UNIVERSAL HEALTH COVERAGE GLOBALLY

NAME OF RECIPIENT - GLOBAL HEALTH STRATEGIES LLC

IN SUPPORT OF A PROJECT TO SUSTAIN MOMENTUM FOR UNIVERSAL HEALTH  
COVERAGE (UHC) THROUGH BUILDING A SUSTAINABLE UHC COALITION

NAME OF RECIPIENT - GLOBAL INVESTMENT FUND FOR WATER LIMITED

IN SUPPORT OF DEVELOPING FEASIBILITY STUDIES FOR ESTABLISHING A PRIVATE  
SECTOR LED MICRO-LEVY ON BOTTLED WATER

NAME OF RECIPIENT - GLOBAL KNOWLEDGE INITIATIVE

IN SUPPORT OF CATALYZING INNOVATION TO IMPROVE THE EFFICIENCY,

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EFFECTIVENESS, AND IMPACT OF THE YIELDWISE INITIATIVE BY BUILDING  
INNOVATION CAPACITY AMONG KEY ORGANIZATIONS AND SCANNING FOR DISTINCT  
INNOVATIVE SOLUTIONS THAT WILL LEAD TO REDUCED FOOD LOSS AND IMPROVED  
LIVELIHOODS FOR SMALLHOLDER FARMERS

NAME OF RECIPIENT - GLOBAL STRATEGY GROUP, LLC

IN SUPPORT OF CONDUCTING AN ANALYSIS TO EXAMINE THE TONE, LANGUAGE AND  
SENTIMENT OF THE CONTENT PRODUCED BY REPORTERS AND JOURNALISTS WHO  
WRITE ABOUT MALE AND FEMALE CEOS, AS PART OF THE FOUNDATION'S INCLUSIVE  
ECONOMIES"100X25" CAMPAIGN

NAME OF RECIPIENT - GLOBAL STRATEGY GROUP, LLC

IN SUPPORT OF CONDUCTING PUBLIC OPINION RESEARCH AND DEVELOPING TWO  
VIDEOS TO SUPPORT THE FOUNDATION'S INCLUSIVE ECONOMIES"100X25" CAMPAIGN  
AS PART OF THE OVERALL"WHAT IF" PLATFORM

NAME OF RECIPIENT - GLOBALAGRISK, INC.

IN SUPPORT OF DESIGNING A FINANCIAL DISASTER RISK MANAGEMENT SOLUTION  
TO SUPPORT DISASTER RECOVERY LENDING VIA MICROFINANCE INVESTMENT  
VEHICLES AND MICROFINANCE NETWORKS

NAME OF RECIPIENT - GREATER NEW ORLEANS DEVELOPMENT FOUNDATION

IN SUPPORT OF DEVELOPING A STRATEGIC PLAN FOR THE RESILIENCE  
CONFERENCE, "RES/CON NEW ORLEANS," AND CREATING AND LAUNCHING A RES/CON  
NETWORK OF PRACTITIONERS

NAME OF RECIPIENT - GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS  
ASSOCIATION, INC.

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FOR USE BY ITS PBS NEWSHOUR IN SUPPORT OF BROADCAST AND WEB REPORTING  
FOCUSED ON SUDDEN SHOCKS AND WHAT IT TAKES TO RECOVER FROM THEM AS A  
MORE COHESIVE, RESILIENT COMMUNITY, AND IN GENERAL SUPPORT OF ITS  
MISSION TO PRODUCE AND BROADCAST PROGRAMS OF INTELLECTUAL INTEGRITY AND  
CULTURAL MERIT THAT RECOGNIZE VIEWERS' AND LISTENERS' INTELLIGENCE,  
CURIOSITY AND INTEREST IN THE WORLD AROUND THEM

NAME OF RECIPIENT - GREEN BRONX MACHINE INTERNATIONAL  
IN SUPPORT OF ACTIVITIES THAT WILL GROW ITS INTERNAL AND STRATEGIC  
CAPACITY IN ORDER TO PROMOTE SUSTAINABLE EMPLOYMENT THROUGH TEACHING  
STUDENTS, PARENTS AND THE LARGER COMMUNITY TO GROW AND MARKET  
VEGETABLES IN THE BRONX, NY

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD  
IN SUPPORT OF GENERATING AND SHARING INSIGHTS FROM DIVERSE, GLOBAL  
JOURNALISTS AND EDITORS AROUND SOCIAL AND ENVIRONMENTAL THEMES AND  
ISSUES THAT MAY IMPACT POOR AND VULNERABLE PEOPLE IN YEARS THAT LIE  
AHEAD

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD  
IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM DIVERSE, GLOBAL  
JOURNALISTS AND EDITORS AROUND SOCIAL, ECONOMIC, AND ENVIRONMENTAL  
THEMES AND ISSUES THAT IMPACT POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD  
IN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER  
ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND  
VULNERABLE COMMUNITIES IN URBAN AREAS



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NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD

IN SUPPORT OF A NEW SECTION OF THEGUARDIAN.COM FOCUSING ON CITIES,  
RESILIENCE AND WAYS TO IMPROVE THE LIVES OF POOR AND VULNERABLE  
COMMUNITIES IN URBAN AREAS

NAME OF RECIPIENT - GUIDESTAR USA, INC.

IN GENERAL SUPPORT OF ITS MISSION TO REVOLUTIONIZE PHILANTHROPY AND  
NONPROFIT PRACTICE BY PROVIDING INFORMATION THAT ADVANCES TRANSPARENCY  
IN THE PHILANTHROPIC SECTOR, ENABLES USERS TO MAKE BETTER DECISIONS,  
AND ENCOURAGES CHARITABLE GIVING

NAME OF RECIPIENT - HARAMBEE YOUTH EMPLOYMENT ACCELERATOR NPC

IN SUPPORT OF SCALING A DEMAND-DRIVEN MODEL FOR TRAINING HIGH POTENTIAL  
BUT DISADVANTAGED YOUTH, AND PLACING THEM IN DIGITAL JOBS IN THE  
RETAIL, BUSINESS PROCESS OUTSOURCING AND FINANCIAL SERVICES SECTORS IN  
SOUTH AFRICA

NAME OF RECIPIENT - HATTAWAY COMMUNICATIONS, INC.

IN SUPPORT OF ADVANCING THE CURRICULUM AND USER EXPERIENCE ON HATCH FOR  
GOOD AND DEVELOPING A STRATEGIC GROWTH STRATEGY FOR IT, IN ORDER TO  
ELEVATE THE PRACTICE OF STORYTELLING WITHIN THE SOCIAL AND DEVELOPMENT  
SECTOR

NAME OF RECIPIENT - HATTAWAY COMMUNICATIONS, INC.

IN SUPPORT OF CONTINUING TO ADVANCE THE WORK OF HATCH FOR GOOD IN  
BUILDING STORYTELLING ORGANIZATIONS WITHIN THE SOCIAL AND DEVELOPMENT  
SECTORS, INCLUDING AN ASSESSMENT OF ITS EFFECTIVENESS AND THE

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

## EXPLORATION OF SUSTAINABILITY MODELS

NAME OF RECIPIENT - HATTAWAY COMMUNICATIONS, INC.

IN SUPPORT OF DEVELOPING AND IMPLEMENTING A COMMUNICATIONS STRATEGY TO  
CATALYZE INNOVATION AND INNOVATION CAPACITY BUILDING IN THE SOCIAL  
SECTOR TO IMPROVE THE LIVES OF POOR AND VULNERABLE PEOPLE AROUND THE  
WORLD

NAME OF RECIPIENT - HEALTH STRATEGY AND POLICY INSTITUTE

IN SUPPORT OF A PROJECT TO STRENGTHEN POLICY AROUND AND THE PRACTICE OF  
IMPROVING UNIVERSAL HEALTH COVERAGE IMPLEMENTATION IN VIETNAM BY USING  
EVIDENCE-BASED DATA AND ANALYSES AND BUILDING LOCAL CAPACITY

NAME OF RECIPIENT - HR&amp;A ADVISORS, INC

IN SUPPORT OF RESILIENCE ACADEMIES FOR THE RESILIENCE AMERICORPS VISTAS  
PROGRAM, AS WELL AS THE DEVELOPMENT OF AN UPDATED ONLINE CURRICULUM FOR  
THE RESILIENCE AGE WEBSITE

NAME OF RECIPIENT - HR&amp;A ADVISORS, INC.

IN SUPPORT OF SECURING AND ENHANCING RESILIENCE VALUE THROUGH THE  
CREATION OF GUIDELINES FOR MEASURING THE RESILIENCE DIVIDEND AND TO  
PRESENT THE GUIDELINES AT A RESILIENCE ACADEMY

NAME OF RECIPIENT - HR&amp;A ADVISORS, INC.

IN SUPPORT OF TESTING THE GLOBAL RESILIENCE ACADEMY PROTOTYPE TO  
DETERMINE THE HIGHEST VALUE DOMAINS FOR FUTURE DEPLOYMENT

NAME OF RECIPIENT - IDEO LP

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IN SUPPORT OF CREATING AND RUNNING A "BOOTCAMP" TO HELP CITY LEADERS  
 APPLY DESIGN THINKING IN ORDER TO TRANSFORM ONCE DORMANT CIVIC SPACES  
 INTO THRIVING NEIGHBORHOOD ASSETS THAT BENEFIT LOCAL COMMUNITIES,  
 ESPECIALLY POOR AND VULNERABLE PEOPLE.

NAME OF RECIPIENT - IDEO LP

IN SUPPORT OF THE DESIGN AND EXECUTION OF THE OPENIDEO FOOD WASTE  
 CHALLENGE ALONG WITH THE SUBSEQUENT LAUNCH OF A FOOD WASTE IDEAS LAB  
 MEANT TO FACILITATE DESIGN SUPPORT AND KNOWLEDGE EXCHANGE AMONG AN  
 EMERGENT COMMUNITY OF PRACTICE OF GLOBAL INNOVATORS ADDRESSING FOOD  
 WASTE

NAME OF RECIPIENT - IDEO LP

IN SUPPORT OF A SUITE OF DESIGN RESEARCH AND PROTOTYPING MODULES THAT  
 WILL TEST AND VALIDATE MANY OF THE POTENTIAL SOLUTIONS AND IDEAS  
 ARISING FROM A BROADER SET OF ACTIVITIES BEING INITIATED TO ADDRESS  
 FOOD WASTE IN THE U.S.

NAME OF RECIPIENT - IDEO LP

IN SUPPORT OF ORGANIZING A CONVENING TO SURFACE POTENTIAL INTERVENTIONS  
 FOR HOW PHILANTHROPY AND THE "ON-DEMAND" OR "GIG" ECONOMY CAN WORK  
 TOGETHER TO MAKE A MORE INCLUSIVE FUTURE OF WORK, TO BE HELD AT THE  
 ROCKEFELLER FOUNDATION BELLAGIO CENTER, AUGUST 2016

NAME OF RECIPIENT - IDEO.ORG

IN SUPPORT OF THE FOURTH ITERATION OF THE AMPLIFY CHALLENGE - AN IDEAS  
 COMPETITION THAT WILL SOLICIT INNOVATIVE APPROACHES FOR BUILDING  
 RESILIENCE TO CLIMATE CHANGE IN URBAN SLUM COMMUNITIES ACROSS THE SAHEL

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

AND SOUTHEAST ASIA

NAME OF RECIPIENT - IMPACT INVESTMENT SHUJOG LIMITED

TOWARD THE COSTS OF THE DEVELOPMENT AND LAUNCH OF THE WOMEN'S  
LIVELIHOOD BOND, A REPLICABLE SOCIAL MISSION BOND DESIGNED TO RAISE  
CAPITAL TO PROMOTE ECONOMIC INCLUSION AND RESILIENCE FOR POOR AND  
VULNERABLE WOMEN IN SOUTH AND SOUTHEAST ASIA

NAME OF RECIPIENT - IMPACT INVESTMENT SHUJOG LIMITED

IN SUPPORT OF MONITORING AND EVALUATING THE WOMEN'S LIVELIHOOD BOND, A  
REPLICABLE SOCIAL MISSION BOND DESIGNED TO RAISE CAPITAL TO PROMOTE  
ECONOMIC INCLUSION AND RESILIENCE FOR POOR AND VULNERABLE WOMEN IN  
SOUTH AND SOUTHEAST ASIA

NAME OF RECIPIENT - IMPACTXDESIGN LLC

IN SUPPORT OF A COMPREHENSIVE STUDY ON THE ROLE OF PERSONAL HEALTH  
TECHNOLOGIES IN ADVANCING HEALTH FOR THE URBAN WORKING POOR

NAME OF RECIPIENT - INCANDESCENT, LLC

IN SUPPORT OF DEVELOPING STRATEGIES TO TEST IMPACT HIRING SOLUTIONS  
THAT IMPROVE AND CREATE EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED  
YOUTH IN THE UNITED STATES

NAME OF RECIPIENT - INDEPENDENT SECTOR

IN GENERAL SUPPORT OF ITS MISSION TO ADVANCE THE COMMON GOOD BY  
LEADING, STRENGTHENING AND MOBILIZING THE NONPROFIT COMMUNITY

NAME OF RECIPIENT - INITIATIVE FOR GLOBAL DEVELOPMENT

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF SCOPING THE LANDSCAPE OF ALTERNATIVE MARKETS ACROSS THE  
COMPONENTS OF THE FOUNDATION'S YIELDWISE MODEL, DEVELOPING  
RECOMMENDATIONS FOR THE IMPLEMENTING PARTNERS AND ENABLING CONNECTIONS  
FOR ACTIONABLE EXECUTION

NAME OF RECIPIENT - INNOVATE-EDUCATE

IN SUPPORT OF ITS 2015 CLOSE IT SUMMIT AND #YOUTHIRE INITIATIVE, WHICH  
SEEKS TO CONNECT DISADVANTAGED YOUTH IN ALBUQUERQUE, NEW MEXICO TO  
EMPLOYMENT AND TRAINING OPPORTUNITIES

NAME OF RECIPIENT - INNOVATE-EDUCATE

IN SUPPORT OF ITS ANNUAL CLOSE IT SUMMIT AND JOB READY HIRE INITIATIVE,  
AND THE CREATION OF AN EMPLOYER COMMUNITY TO ADVANCE SKILLS-BASED  
HIRING AMONG DISADVANTAGED YOUNG PEOPLE IN THE UNITED STATES

NAME OF RECIPIENT - INNOVATION NETWORK, INC.

FOR USE BY ITS CENTER FOR EVALUATION INNOVATION TOWARD THE COSTS OF THE  
2017 EVALUATION ROUNDTABLE IN ORDER TO STRENGTHEN THE PHILANTHROPIC  
COMMUNITY'S ABILITY TO EVALUATE AND LEARN STRATEGICALLY FROM ITS WORK

NAME OF RECIPIENT - INNOVATIVE FINANCE FOUNDATION

IN SUPPORT OF THE SCALE-UP OF UNITLIFE, A GLOBAL INNOVATIVE FINANCING  
MECHANISM TO FIGHT CHRONIC MALNUTRITION USING REVENUE FROM MICRO-LEVIES  
ON NATURAL RESOURCES, AND DEVELOPING AN OPERATIONAL STRATEGY FOR  
DEPLOYMENT OF THE CAPITAL IT RAISES

NAME OF RECIPIENT - INSTITUTE FOR POLICY ALTERNATIVES

TOWARD THE COSTS OF A COLLABORATIVE PROJECT WITH THE UNIVERSITY OF

**Part XV** Supplementary Information**3a** Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

WITWATERSRAND TO DEVELOP AND IMPLEMENT AN AFRICA-BASED TRAINING AND  
SKILLS BUILDING COURSE IN MONITORING, EVALUATION AND LEARNING FOR USE  
IN INSTITUTIONS INVOLVED WITH COLLECTIVE ACTION MOVEMENTS

NAME OF RECIPIENT - INSTITUTE FOR SOCIAL AND ENVIRONMENTAL  
TRANSITION-INTERNATIONAL

IN SUPPORT OF IMPLEMENTING INNOVATIVE REBUILDING APPROACHES IN AREAS  
MOST DEVASTATED BY THE GORKHA EARTHQUAKE BY USING KEY LEVERS SUCH AS  
REMITTANCE FLOWS TO ENABLE COMMUNITIES TO REALIZE A LASTING RESILIENCE  
DIVIDEND

NAME OF RECIPIENT - INSTITUTE FOR SUSTAINABLE COMMUNITIES

IN SUPPORT OF ORGANIZING AND FACILITATING A CONVENING FOCUSED ON  
RESILIENCE, CREDIT RATING, AND INSURANCE TO BE HELD AT THE ROCKEFELLER  
FOUNDATION BELLAGIO CENTER, AUGUST 2016

NAME OF RECIPIENT - INSTITUTE FOR SUSTAINABLE COMMUNITIES

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REALIZATION PROCESS TO ITS  
WATER RESILIENT MANUFACTURING COMMUNITIES PROJECT IN INDIA

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING SEVERAL ACTIVITIES AND PROGRAMS AT THE  
ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY: THE SEMI-ANNUAL  
COMPETITIONS FOR BELLAGIO RESIDENTS, THE BELLAGIO TRAVEL AND LEARNING  
FUND, AND OUTREACH ACTIVITIES

NAME OF RECIPIENT - INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

TOWARD THE COSTS OF THE "2016 SANKALP GLOBAL SUMMIT: INNOVATIONS FOR

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THE NEXT 3 BILLION," TO BE HELD IN MUMBAI, INDIA, APRIL 2016

NAME OF RECIPIENT - INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE  
STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON  
TOPICS RELATED TO RESILIENCE AND INCLUSIVE ECONOMIES IN THE GLOBAL  
SOUTH

NAME OF RECIPIENT - INTER-AMERICAN DEVELOPMENT BANK

FOR USE BY ITS MULTILATERAL INVESTMENT FUND TOWARD THE COSTS OF THE  
GLOBAL SOCIAL INNOVATION LAB SUMMIT, A CONVENING TO ADVANCE THE IMPACT  
OF SOCIAL INNOVATION LABS IN THE GLOBAL SOUTH, AND THE DEVELOPMENT OF A  
LAB NETWORK TO FOSTER POSITIVE SOCIAL IMPACT IN THE LIVES OF POOR OR  
VULNERABLE PEOPLE

NAME OF RECIPIENT - INTERACTION: THE AMERICAN COUNCIL FOR VOLUNTARY INT'L  
ACTION, INC.

IN SUPPORT OF ADVANCING THE MEASUREMENT AND EVALUATION OF MARKET-BASED  
SOLUTIONS FOR INTERNATIONAL DEVELOPMENT BY STRENGTHENING THE CAPACITY  
TO DESIGN AND IMPLEMENT INNOVATIVE FINANCE APPROACHES

NAME OF RECIPIENT - INTERNATIONAL ASSOCIATION OF UNIVERSITIES

IN SUPPORT OF CONDUCTING OUTREACH ACTIVITIES TO EXPAND THE GEOGRAPHIC  
DIVERSITY OF APPLICATIONS RECEIVED FOR THE ACADEMIC WRITING RESIDENCY  
PROGRAM AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT  
FOR USE BY ITS ENERGY SECTOR MANAGEMENT ASSISTANCE PROGRAM (ESMAP) IN

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SUPPORT OF A PROJECT TO UTILIZE A NEW FRAMEWORK FOR CONDUCTING SURVEYS  
TO MEASURE THE LEVEL AND QUALITY OF CURRENT ENERGY PROVISION FOR  
PRODUCTIVE USE IN TWO INDIAN STATES (BIHAR AND UTTAR PRADESH) AND KENYA  
AND TO PUBLISH THE FINDINGS

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT  
FOR USE BY ITS PROJECT, THE GLOBAL PARTNERSHIP FOR EDUCATION, IN  
SUPPORT OF A MARKET ASSESSMENT AND FEASIBILITY STUDY TO EXPLORE THE  
POTENTIAL FOR PARAMETRIC INSURANCE AS A TOOL FOR BUILDING THE  
RESILIENCE OF EDUCATION SYSTEMS IN THE FACE OF NATURAL AND MAN-MADE  
SHOCKS AND STRESSES

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT  
IN SUPPORT OF DEVELOPING AND PILOTING AN OPERATIONAL FRAMEWORK FOR  
FINANCING PREPAREDNESS IN WAYS THAT LEVERAGE THE BUILDING OF RESILIENT  
HEALTH SYSTEMS

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT  
TOWARD THE COSTS OF ITS DIGITAL JOBS IN NIGERIA INITIATIVE TO HELP  
INCREASE EMPLOYMENT FOR DISADVANTAGED YOUTH BY CATALYZING THE USE OF  
INFORMATION AND COMMUNICATION TECHNOLOGY (ICT) TO CREATE ECONOMIC  
OPPORTUNITIES

NAME OF RECIPIENT - INTERNATIONAL CENTER FOR RESEARCH ON WOMEN  
IN SUPPORT OF A PROJECT TO WORK WITH STAKEHOLDERS IN THE INDIAN  
MINI-GRID SECTOR TO CREATE AND EXECUTE AN INCLUSION STRATEGY TO  
DEMONSTRATE APPROACHES FOR IMPROVING AND EXPANDING THE IMPACT OF  
MINI-GRID POWER ON PEOPLE IN MARGINALIZED COMMUNITIES IN RURAL INDIA



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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

WHO ARE NOT CURRENTLY BENEFITING FROM SMART POWER FOR RURAL DEVELOPMENT  
(SPRD) MINI-GRID POWER

NAME OF RECIPIENT - INTERNATIONAL INSTITUTE FOR ENVIRONMENT AND  
DEVELOPMENT

TOWARD THE COSTS OF ORGANIZING THE "TENTH INTERNATIONAL CONFERENCE ON  
COMMUNITY-BASED ADAPTATION: ENHANCING URBAN COMMUNITY RESILIENCE," TO  
BE HELD IN DHAKA, BANGLADESH, APRIL 2016

NAME OF RECIPIENT - INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION  
IN SUPPORT OF ORGANIZING AND FACILITATING PARTNER CONVENINGS FOR THE  
FOUNDATION'S YIELDWISE INITIATIVE, AND DOCUMENTING AND SHARING  
LEARNINGS WITH STAKEHOLDERS

NAME OF RECIPIENT - ITAD LIMITED

IN SUPPORT OF ENGAGING DEVELOPMENT EVALUATION LEADERS TO PROVIDE  
PRACTICAL ASSISTANCE TO ROCKEFELLER FOUNDATION INITIATIVE TEAMS, KEY  
GRANTEES AND PARTNERS, TO BETTER PLAN, ARTICULATE OUTCOMES AND IMPACT,  
MONITOR, EVALUATE AND REPORT ON THEIR RESULTS

NAME OF RECIPIENT - ITAD LIMITED

IN SUPPORT OF ENGAGING DEVELOPMENT EVALUATION LEADERS TO PROVIDE  
PRACTICAL ASSISTANCE TO ROCKEFELLER FOUNDATION GRANTEES, PARTNERS, AND  
INITIATIVE TEAMS IN ORDER TO BETTER PLAN, ARTICULATE OUTCOMES, AND  
IMPACT, MONITOR, EVALUATE AND REPORT ON RESULTS

NAME OF RECIPIENT - JOHN THEISSEN CHILDREN'S FOUNDATION

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - JUAN CARLOS CASTILLA-RUBIO

IN SUPPORT OF A COMPREHENSIVE MAPPING OF THE LINKAGES BETWEEN THE  
FINANCIAL TECHNOLOGY INDUSTRY AND SUSTAINABLE DEVELOPMENT

NAME OF RECIPIENT - KEYSTONE CENTER

TOWARD THE COSTS OF LAUNCHING A NATIONAL DIGITAL PLATFORM TO SHARE  
DATA, TOOLS, RESEARCH, AND BEST PRACTICES PERTAINING TO FOOD LOSS AND  
WASTE IN THE UNITED STATES

NAME OF RECIPIENT - KHOJ INTERNATIONAL ARTISTS ASSOCIATION

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING ASIAN ARTISTS FOR  
ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER IN  
ITALY

NAME OF RECIPIENT - KHOJ INTERNATIONAL ARTISTS ASSOCIATION

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS  
CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION  
BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - KHON KAEN UNIVERSITY

FOR USE BY ITS COLLEGE OF LOCAL ADMINISTRATION IN SUPPORT OF THE  
CREATION OF THE KHON KAEN UNIVERSITY AREA STUDIES CENTER, AN  
INTERNATIONAL TRAINING CENTER FOR PEOPLE FROM MEKONG SUB-REGION  
COUNTRIES, FOCUSED ON INNOVATIONS AND BEST PRACTICES IN LOCAL  
GOVERNANCE AND URBAN PLANNING

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NAME OF RECIPIENT - KKS ADVISORS LLC

IN SUPPORT OF PROVIDING ANALYSIS AND STRATEGIC SUPPORT TO ENABLE THE  
ROCKEFELLER FOUNDATION'S REVALUE ECOSYSTEMS GRANTEES TO OPTIMIZE THE  
POSITIONING OF AND INFLUENCE FROM THEIR EFFORTS

NAME OF RECIPIENT - KNACK.IT CORP.

IN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING  
TECHNOLOGY AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED  
STATES, SOUTH AFRICA, AND INDIA

NAME OF RECIPIENT - KORN FERRY INTERNATIONAL

IN SUPPORT OF CONDUCTING AND DISSEMINATING RESEARCH AND ANALYSIS TO  
IDENTIFY BETTER, TACTICAL WAYS TO PROMOTE GENDER EQUITY IN THE  
CORPORATE ENVIRONMENT

NAME OF RECIPIENT - KPMG EAST AFRICA LIMITED

IN SUPPORT OF MOBILIZING GLOBAL AND REGIONAL OPERATIONAL FUNCTIONS FOR  
THE GLOBAL RESILIENCE PARTNERSHIP IN ORDER TO ACHIEVE ITS OBJECTIVES OF  
SURFACING LOCALLY DRIVEN, HIGH-IMPACT INNOVATIVE SOLUTIONS TO EMPOWER  
PEOPLE IN THE SAHEL, HORN OF AFRICA, SOUTH AND SOUTHEAST ASIA TO  
INCREASE THEIR RESILIENCE

NAME OF RECIPIENT - KYNE COMMUNICATIONS, INC.

IN SUPPORT OF ACTIVITIES TO RAISE AWARENESS, UNDERSTANDING, AND  
ACCEPTANCE OF PLANETARY HEALTH, INCLUDING THE ECONOMIC BENEFITS OF  
POLICIES THAT ADDRESS ENVIRONMENTAL SUSTAINABILITY TOGETHER WITH HUMAN  
HEALTH AND DEVELOPMENT GOALS

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NAME OF RECIPIENT - LAST MILE HEALTH

TOWARD THE COSTS OF COLLABORATING WITH THE LIBERIAN GOVERNMENT TO  
DEVELOP A NATIONAL STRATEGIC PLAN FOR A COMMUNITY HEALTH WORKFORCE AS  
AN INTEGRAL PART OF THEIR EFFORTS TO BUILD RESILIENCE WITHIN THE  
COUNTRY'S HEALTH SYSTEM

NAME OF RECIPIENT - LEADERSUP

TOWARD THE COSTS OF ITS "THE FUTURE AT WORK: BUILDING A COMPETITIVE  
ECONOMY" INITIATIVE, AN EFFORT TO EXPAND EMPLOYER TALENT PIPELINES IN  
LOS ANGELES AND CHICAGO TO INCLUDE MORE DISADVANTAGED YOUNG PEOPLE

NAME OF RECIPIENT - LEADERSUP

TOWARD THE COSTS OF ITS "THE FUTURE AT WORK: RETAINING AND ADVANCING  
SKILLED TALENT" INITIATIVE, AN EFFORT TO ENGAGE LARGE CORPORATE  
EMPLOYERS IN LOS ANGELES AND CHICAGO IN PARTNERSHIPS TO DEVELOP  
STRATEGIES FOR RETAINING DISADVANTAGED YOUNG PEOPLE AS EMPLOYEES

NAME OF RECIPIENT - LINCOLN CENTER FOR THE PERFORMING ARTS, INC.

IN SUPPORT OF DEVELOPING AND IMPLEMENTING THE NEW YORK CITY CULTURAL  
INNOVATION FUND, A GRANT PROGRAM TO SUPPORT NEW YORK CITY-BASED  
CULTURAL AND COMMUNITY ORGANIZATIONS TO SPUR CULTURAL INNOVATION IN THE  
SOUTH BRONX AND CENTRAL BROOKLYN

NAME OF RECIPIENT - LION'S HEAD GLOBAL PARTNERS LLP

IN SUPPORT OF DEVELOPING GREEN COUPONS TO QUANTIFY THE CARBON IMPACT OF  
PROJECTS FINANCED THROUGH GREEN BONDS

NAME OF RECIPIENT - LION'S HEAD GLOBAL PARTNERS LLP

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF DEVELOPING A NEW FINANCIAL MECHANISM FOR ADDRESSING THE  
NEEDS OF SYRIAN REFUGEES

NAME OF RECIPIENT - LIVING CITIES, INC. THE NATIONAL COMMUNITY  
DEVELOPMENT INITIATIVE

IN SUPPORT OF A NEW CAMPAIGN TO SUPPORT THE START-UP AND SCALE-UP OF  
COMPANIES THAT CREATE GOOD, STABLE JOBS FOR LOW-INCOME PEOPLE, AND IN  
GENERAL SUPPORT OF ITS MISSION TO ADVANCE OPPORTUNITY AND PROSPERITY IN  
U.S. CITIES AND THEIR LOW-INCOME POPULATIONS

NAME OF RECIPIENT - LONDON LIVITY NPC  
TOWARD THE COSTS OF SCALING UP ITS DIGIFY TRAINING PROGRAM AND  
LAUNCHING "DIGIFY BYTES" TO TRAIN HIGH POTENTIAL BUT DISADVANTAGED YOUTH  
IN SOUTH AFRICA IN DIGITAL PUBLISHING AND MARKETING SKILLS AND LINK  
THEM TO JOBS IN THE GROWING DIGITAL PUBLISHING SECTOR IN SOUTH AFRICA

NAME OF RECIPIENT - LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE  
FOR USE BY ITS INTERNATIONAL GROWTH CENTRE TOWARD THE COSTS OF  
COLLABORATING WITH THE GOVERNMENT OF SIERRA LEONE TO DEVELOP A NATIONAL  
STRATEGIC PLAN FOR A COMMUNITY HEALTH WORKFORCE AS AN INTEGRAL PART OF  
THEIR EFFORTS TO BUILD RESILIENCE WITHIN THE COUNTRY'S HEALTH SYSTEM IN  
THE WAKE OF THE EBOLA CRISIS

NAME OF RECIPIENT - LOW DESIGN OFFICE, LLC  
FOR USE BY ITS PROJECT, AGBOGBLOSHIE MAKERSPACE PLATFORM, IN SUPPORT OF  
THE DESIGN, TESTING AND IMPLEMENTATION OF A PHYSICAL AND DIGITAL  
PLATFORM THAT WOULD ENABLE YOUNG E-WASTE WORKERS IN GHANA TO  
COLLABORATIVELY CREATE TOOLS TO TRANSFORM E-WASTE MATERIALS AND

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RECYCLABLE GOODS INTO PRODUCTS THAT COULD BE SOLD FOR HIGHER VALUE

NAME OF RECIPIENT - MAHIDOL UNIVERSITY

FOR USE BY ITS INSTITUTE FOR POPULATION AND SOCIAL RESEARCH IN SUPPORT  
OF THE 2016, 2017 AND 2018 PRINCE MAHIDOL AWARD CONFERENCES, AIMED AT  
PROMOTING EVIDENCE OF AND BEST PRACTICES ON STRENGTHENING HEALTH  
SYSTEMS

NAME OF RECIPIENT - MAKING CENTS INTERNATIONAL, INC.

IN SUPPORT OF DEVELOPING, SHARING AND SCALING BEST-IN-CLASS MODELS OF  
DEMAND DRIVEN TRAINING IN SOUTH AFRICA

NAME OF RECIPIENT - MANAGEMENT SCIENCES FOR HEALTH, INC.

FOR USE BY ITS RWANDA OFFICE IN SUPPORT OF RESEARCH, FINANCIAL MODELING  
AND CAPACITY BUILDING TO EXPAND ACCESS, UTILIZATION AND SUSTAINABILITY  
WITHIN THE COMMUNITY-BASED HEALTH INSURANCE SCHEME IN RWANDA

NAME OF RECIPIENT - MANAGEMENT SCIENCES FOR HEALTH, INC.

IN SUPPORT OF ESTABLISHING AN INTERNATIONAL COLLABORATIVE TO PROMOTE  
THE INSTITUTIONALIZATION OF UNIVERSAL HEALTH COVERAGE WITHIN THE GLOBAL  
HEALTH AGENDA IN THE POST-2015 DEVELOPMENT AGENDA

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

FOR USE BY ITS SLOAN SCHOOL OF MANAGEMENT'S INITIATIVE ON THE DIGITAL  
ECONOMY, IN SUPPORT OF RUNNING AN INCLUSIVE INNOVATION COMPETITION,  
DESIGNED TO UNCOVER INNOVATIONS THAT CAN IMPROVE ECONOMIC OPPORTUNITIES  
FOR LOW-INCOME WORKERS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

FOR USE BY ITS COMMUNITY INDICATORS LAB IN SUPPORT OF PILOTING

PARTICIPATORY REAL ESTATE DEVELOPMENT TOOLS IN THE BRONX TO INFORM

EFFORTS IN CITIES WHERE RESIDENTS ARE FACING THE THREAT OF DISPLACEMENT

NAME OF RECIPIENT - MATTER SOLUTIONS LTD.

IN SUPPORT OF CONVENING A HIGH-PROFILE WORKSHOP IN ORDER TO ACCELERATE

THE ABILITY OF THE SOCIAL SECTOR TO UTILIZE INNOVATIVE TOOLS THAT

STRENGTHEN SOCIAL IMPACT

NAME OF RECIPIENT - MATTER UNLIMITED LLC

IN SUPPORT OF PRODUCING A VIRTUAL REALITY FILM AS PART OF ITS "INSIDE

IMPACT" SERIES TO SHOWCASE THE FOUNDATION'S SMART POWER FOR RURAL

DEVELOPMENT INITIATIVE IN ORDER TO ATTRACT ATTENTION FROM KEY

STAKEHOLDERS, INCLUDING THE PRIVATE SECTOR

NAME OF RECIPIENT - MAXTUDIO, SNC

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE

FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND

THOUGHT LEADERSHIP AMONG THE FOUNDATION'S GRANTEEES AND PARTNERS IN

DEVELOPMENT AND PHILANTHROPY

NAME OF RECIPIENT - MAXTUDIO, SNC

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND

EVALUATION OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING

PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATION'S GRANTEEES AND

PARTNERS IN DEVELOPMENT AND PHILANTHROPY

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NAME OF RECIPIENT - MAYOR'S FUND TO ADVANCE NEW YORK CITY

FOR USE BY ITS NYC CENTER FOR YOUTH EMPLOYMENT TOWARD THE COSTS OF A  
PILOT TO TEST THE APPLICATION OF A NEW SOCIAL IMPACT BOND MODEL TO  
FINANCE POST-PLACEMENT SUPPORT SERVICES TO LOW-INCOME WORKERS IN NEW  
YORK CITY VIA THEIR EMPLOYERS

NAME OF RECIPIENT - MCKINSEY & COMPANY, INC.

FOR USE BY ITS INDIA OFFICE TOWARD THE COSTS OF PRODUCING RESEARCH  
PRODUCTS THAT BUILD THE STRATEGIC KNOWLEDGE BASE OF THE SOCIAL SECTOR  
ON FOOD LOSS AND WASTE IN SOUTH AND SOUTHEAST ASIA

NAME OF RECIPIENT - MEDIC MOBILE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO BUILD, DELIVER AND SUPPORT MOBILE  
TOOLS THAT HELP COMMUNITY HEALTH WORKERS PROVIDE EQUITABLE CARE TO  
MARGINALIZED COMMUNITIES

NAME OF RECIPIENT - MERCY CORPS

FOR USE BY ITS INDONESIA OFFICE TOWARD THE COSTS OF ORGANIZING A FINAL  
LEARNING EVENT IN 2016 THAT WILL ENABLE PARTNERS TO COLLECTIVELY  
REFLECT ON LEARNINGS FROM THE ASIAN CITIES CLIMATE CHANGE RESILIENCE  
NETWORK (ACCCRN) INITIATIVE, STRENGTHEN THE NETWORK, AND CELEBRATE ITS  
ACHIEVEMENTS WHILE HIGHLIGHTING NEW DIRECTIONS FOR THE FOUNDATION'S  
RESILIENCE WORK

NAME OF RECIPIENT - MERCY CORPS

FOR USE BY ITS INDONESIA OFFICE TOWARD THE COSTS OF TRANSITIONING THE  
ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN) TO A  
SELF-GOVERNING, SUSTAINABLY FINANCED NETWORK ENTITY, SEEKING TO



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IMPROVE, REPLICATE AND SCALE URBAN CLIMATE CHANGE RESILIENCE IN ASIA

NAME OF RECIPIENT - MILAN INNOVINCY B.V.

IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE  
INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO  
REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND  
TANZANIA

NAME OF RECIPIENT - MISSION HUB LLC

IN SUPPORT OF THE PROMOTION AND DELIVERY OF A SPECIAL WORKSHOP STREAM  
ON SOCIAL IMPACT MEASUREMENT AND EVALUATION AT THE SOCIAL CAPITAL  
MARKETS CONFERENCE (SOCAP) TO BE HELD IN SAN FRANCISCO SEPTEMBER, 2016

NAME OF RECIPIENT - MISSION INVESTORS EXCHANGE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO BUILD PARTNERSHIPS AND STRENGTHEN  
THE MISSION INVESTING FIELD THROUGH AN EXPANDED RANGE OF PROGRAMMING

NAME OF RECIPIENT - MONITOR GOVERNMENT VENTURE SERVICES, LLC

IN SUPPORT OF USING DATA SCIENCE TOOLS TO IDENTIFY SIGNALS OF DYNAMISM  
AROUND THE PROBLEMS AFFECTING POOR OR VULNERABLE PEOPLE

NAME OF RECIPIENT - MUNICIPAL ART SOCIETY OF NEW YORK

IN SUPPORT OF COORDINATING THE AWARD COMPETITION FOR THE ROCKEFELLER  
FOUNDATION-SPONSORED 2016 JANE JACOBS MEDAL TO BE PRESENTED AT HABITAT  
III IN QUITO, ECUADOR AND OTHER COMPLEMENTARY PUBLIC PROGRAMS

NAME OF RECIPIENT - NATIONAL ACADEMY OF SCIENCES

FOR USE BY ITS INSTITUTE OF MEDICINE TOWARD THE COSTS OF ASSESSING THE

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CURRENT GLOBAL HEALTH LANDSCAPE AND IDENTIFYING EFFECTIVE INVESTMENTS  
IN GLOBAL HEALTH INITIATIVES TO INFORM THE NEXT PRESIDENTIAL  
ADMINISTRATION IN THE U.S.

NAME OF RECIPIENT - NATIONAL ACADEMY OF SCIENCES  
FOR USE BY ITS INSTITUTE OF MEDICINE TOWARD THE COSTS OF CONVENING A  
COMMISSION AND DEVELOPING A REPORT ON A NEW OPERATIONAL FRAMEWORK FOR  
IDENTIFYING AND EFFECTIVELY RESPONDING TO GLOBAL INFECTIOUS DISEASE AND  
PUBLIC HEALTH EMERGENCIES

NAME OF RECIPIENT - NATIONAL AIDS CONTROL COUNCIL  
FOR USE BY THE "BEYOND ZERO" CAMPAIGN IN SUPPORT OF CREATING A NEW  
STRATEGIC FRAMEWORK TO FURTHER THE CAMPAIGN'S WORK TOWARD HIV CONTROL  
AND THE PROMOTION OF MATERNAL, NEWBORN, AND CHILDREN'S HEALTH IN KENYA

NAME OF RECIPIENT - NATIONAL ALLIANCE OF MEDIA ARTS CENTERS, INC  
IN SUPPORT OF LAUNCHING HATCHLABS, A SERIES OF WORKSHOPS TO ACTIVATE  
AND SUPPORT ISSUE-FOCUSED COHORTS OF CROSS-SECTOR ORGANIZATIONS AND  
ARTISTS TO BUILD STORYTELLING CAPACITY AMONG GROUPS OF HIGH-PERFORMING  
NONPROFIT ORGANIZATIONS

NAME OF RECIPIENT - NATIONAL ASSOCIATION OF CORPORATE DIRECTORS  
IN SUPPORT OF CONDUCTING EVENTS AND PRODUCING EDITORIAL CONTENT RELATED  
TO THE ADVANCEMENT OF GENDER DIVERSITY ON CORPORATE BOARDS

NAME OF RECIPIENT - NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE  
IN SUPPORT OF IMPLEMENTING A STRATEGIC PLAN TO ADVOCATE FOR AND  
ENGENDER THE PROCESS OF SYSTEMS-LEVEL PRIORITY SETTING FOR BETTER

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

DECISION-MAKING FOR UNIVERSAL HEALTH COVERAGE BOTH AT THE GLOBAL AND  
COUNTRY LEVELS

NAME OF RECIPIENT - NATURAL RESOURCES DEFENSE COUNCIL, INC.  
IN SUPPORT OF TESTING FOOD WASTE ASSESSMENT METHODOLOGIES AND  
DEVELOPING REPLICABLE TOOLS THAT WILL SUPPORT ACTION TO REDUCE FOOD  
WASTE AT THE MUNICIPAL LEVEL IN THE U.S.

NAME OF RECIPIENT - NATUROPATHS WITHOUT BORDERS  
DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - NEW AMERICA FOUNDATION  
TOWARD THE COSTS OF A DIGITAL HEALTH INNOVATION SUMMIT THAT WILL  
EXPLORE INNOVATIVE APPLICATIONS OF INFORMATION TECHNOLOGY TO IMPROVE  
NUTRITION FOR LOW-INCOME WOMEN AND CHILDREN IN THE U.S.

NAME OF RECIPIENT - NEW MUSEUM OF CONTEMPORARY ART  
TOWARD THE COSTS OF PLANNING AND PROGRAMMING FOR ITS IDEASCITY NEW YORK  
AND ESTABLISHING A ROCKEFELLER FOUNDATION FELLOWS PROGRAM

NAME OF RECIPIENT - NEW VENTURE FUND  
IN SUPPORT OF A PROJECT TO IDENTIFY THE TRANSFORMATIVE OPPORTUNITIES  
AND IMPLEMENTATION REQUIREMENTS TO ACCELERATE THE PATH TO REDUCING U.S.  
FOOD WASTE BY FIFTY PERCENT BY 2030

NAME OF RECIPIENT - NEW VENTURE FUND  
TOWARD THE COSTS OF ITS EMPLOYMENT TECHNOLOGY FUND PROJECT, A

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MULTI-FUNDER COLLABORATIVE TO FACILITATE THE DESIGN AND DEPLOYMENT OF  
TECHNOLOGY-BASED SOLUTIONS THAT IMPROVE EMPLOYMENT OUTCOMES FOR  
LOW-INCOME WORKERS IN THE U.S.

NAME OF RECIPIENT - NEW VENTURE FUND

IN SUPPORT OF ITS PROJECT, REFED, A COLLABORATION TO RAISE AWARENESS  
ABOUT FOOD WASTE IN THE U.S. AND TO PROVIDE INFORMATION AND TOOLS  
ACROSS SECTORS TO ENABLE ADOPTION OF WASTE REDUCTION SOLUTIONS

NAME OF RECIPIENT - NEW VENTURE FUND

FOR USE BY ITS COLORADO RIVER SUSTAINABILITY CAMPAIGN TOWARD THE COSTS  
OF COORDINATING A PROJECT TO ADVANCE SUSTAINABLE MANAGEMENT OF THE  
SALTON SEA

NAME OF RECIPIENT - NEW YORK FOUNDATION FOR ARCHITECTURE, INC.

IN SUPPORT OF DEVELOPING AND DISSEMINATING BUILDING DESIGN GUIDELINES  
THAT ENABLE MORE EFFECTIVE SEPARATION AND COLLECTION OF FOOD WASTE,  
RECYCLABLES, AND OTHER TRASH IN ORDER TO SUPPORT URBAN ZERO WASTE  
STRATEGIES

NAME OF RECIPIENT - NEW YORK PUBLIC RADIO

TOWARD THE COSTS OF RADIO PROGRAMMING AND COMPLEMENTARY ONLINE  
MATERIALS FOCUSED ON RESILIENCE, BOTH IN THE GREATER NEW YORK AREA AND  
NATIONALLY, AND PROVIDING ONGOING TRAINING TO OTHER PUBLIC RADIO  
STATIONS ON HOW TO REPORT STORIES WITH A RESILIENCE FRAMEWORK

NAME OF RECIPIENT - NEW YORK SHAKESPEARE FESTIVAL

TOWARD THE COSTS OF EXPANDING ITS MOBILE UNIT'S COMMUNITY ENGAGEMENT

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

AND AUDIENCE BUILDING ACTIVITIES BY ADDING SPANISH-LANGUAGE PROGRAMMING  
IN ORDER TO ENGAGE MARGINALIZED POPULATIONS

NAME OF RECIPIENT - NEW YORK TIMES COMPANY

TOWARD THE COSTS OF ITS ANNUAL CITIES OF TOMORROW CONFERENCE SERIES, IN  
ORDER TO ELEVATE THE DISCUSSION REGARDING THE CRUCIAL NEED FOR  
RESILIENT AND INCLUSIVE CITIES

NAME OF RECIPIENT - NEXT CITY, INC.

IN SUPPORT OF PRODUCING AN EDITORIAL SERIES OF BLOG POSTS TO UNCOVER  
INNOVATIONS AND CHALLENGES, AND ENRICH OUR UNDERSTANDING OF ACCESS TO  
HEALTH SERVICES FOR POPULATIONS OF INFORMAL WORKERS IN ASIA AND AFRICA

NAME OF RECIPIENT - NONPROFIT FINANCE FUND

TOWARD THE COSTS OF ITS OUTCOMES KNOWLEDGE CAMPAIGN WHICH SEEKS TO  
CATALYZE SYSTEMS CHANGE BASED ON OUTCOME MEASUREMENT

NAME OF RECIPIENT - OGILVY PUBLIC RELATIONS WORLDWIDE INC.

IN SUPPORT OF PROVIDING COMMUNICATIONS SUPPORT FOR THE INFLUENCE  
OBJECTIVES OF THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, TO INFORM NEW  
AUDIENCES ABOUT THE RESIDENCY AND CONFERENCE OPPORTUNITIES, INCREASE  
AWARENESS OF THE FOUNDATION'S STRATEGIC GOALS AMONGST PARTICIPANTS, AND  
ELEVATE THE WORK ADVANCED BY PARTICIPANTS, PARTICULARLY THOSE WORKING  
TO BUILD RESILIENCE AND MORE INCLUSIVE ECONOMIES

NAME OF RECIPIENT - ONFRONTIERS INC.

IN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR "ZILIENT," A RESILIENCE  
ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORM

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NAME OF RECIPIENT - ORGANIZATIONAL RESEARCH SERVICES, INC.

IN SUPPORT OF CONDUCTING A MARKET LANDSCAPE OF CURRENT SOCIAL IMPACT  
MEASUREMENT AND EVALUATION APPROACHES AND SHARING THE FINDINGS IN ORDER  
TO STRENGTHEN THE EVIDENCE BASE FOR IMPACT INVESTMENTS AND MARKET-BASED  
SOLUTIONS

NAME OF RECIPIENT - OVE ARUP & PARTNERS INTERNATIONAL LIMITED

IN SUPPORT OF ENABLING CITIES TO USE THE CITY RESILIENCE INDEX TO  
ASSESS THEIR RESILIENCE THROUGH DIRECT ENGAGEMENT, TECHNICAL SUPPORT  
AND GUIDING MATERIALS

NAME OF RECIPIENT - OVERSEAS DEVELOPMENT INSTITUTE

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE  
KNOWLEDGE BASE RELATED TO RESILIENCE THOUGHT AND ACTION FOR A GLOBAL  
AUDIENCE

NAME OF RECIPIENT - OVERSEAS DEVELOPMENT INSTITUTE

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE  
KNOWLEDGE BASE RELATED TO RESILIENCE THOUGHT AND ACTION FOR A GLOBAL  
AUDIENCE

NAME OF RECIPIENT - OXFAM

FOR USE BY ITS ASIA REGIONAL CENTRE IN SUPPORT OF TWO CONVENINGS TO  
FACILITATE DIALOGUES ON INCLUSIVE ECONOMIES AND AN INCREASED COMMITMENT  
TO GENDER INCLUSION AND WOMEN'S ECONOMIC EMPOWERMENT IN THE ASSOCIATION  
OF SOUTHEAST ASIAN NATIONS (ASEAN)

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NAME OF RECIPIENT - OXFAM-AMERICA INC.

IN SUPPORT OF CIVIL SOCIETY ACTIVITY TO URGE THE UNITED NATIONS  
INTER-AGENCY AND EXPERT GROUP ON SUSTAINABLE DEVELOPMENT GOAL  
INDICATORS (IAEG-SDG) TO ADOPT A MEANINGFUL UNIVERSAL HEALTH COVERAGE  
FINANCIAL PROTECTION INDICATOR

NAME OF RECIPIENT - PANORAMA GLOBAL

IN SUPPORT OF ESTABLISHING THE ROCKEFELLER FOUNDATION COMMISSION ON  
PLANETARY HEALTH, AN EFFORT TO HELP BUILD THE MULTI-DISCIPLINARY FIELD  
OF PLANETARY HEALTH

NAME OF RECIPIENT - PHILANTHROPY NEW YORK, INC.

IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN PHILANTHROPY BY  
OFFERING PROGRAMS AND RESOURCES THAT SUPPORT EFFECTIVE, STRATEGIC  
GRANTMAKING, FACILITATING COLLABORATION AND KNOWLEDGE SHARING, AND  
COMMUNICATING THE VALUE OF THE PHILANTHROPIC SECTOR IN OUR SOCIETY, AND  
TOWARD THE COSTS OF ITS FUND FOR 2025 CAPITAL CAMPAIGN, A CAPACITY  
BUILDING EFFORT FOCUSED ON IMPLEMENTING ITS STRATEGIC PLAN

NAME OF RECIPIENT - PLAN INTERNATIONAL (UK)

IN SUPPORT OF ACTION FOR GLOBAL HEALTH, TO CONSOLIDATE THE CIVIL  
SOCIETY ORGANIZATION MOVEMENT'S EFFORTS TO ADVOCATE FOR UNIVERSAL  
HEALTH COVERAGE IN THE POST-2015 DEVELOPMENT AGENDA

NAME OF RECIPIENT - PRACTICAL ACTION

TOWARD THE COSTS OF A COLLABORATIVE RESEARCH PROJECT WITH WOMEN IN  
INFORMAL EMPLOYMENT: GLOBALIZING AND ORGANIZING TO IDENTIFY KEY  
BARRIERS AND OPPORTUNITIES FOR POOR OR VULNERABLE WORKERS TO USE

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TECHNOLOGY INNOVATIONS FOR GREATER ECONOMIC INCLUSION

NAME OF RECIPIENT - PREMISE DATA CORPORATION

IN SUPPORT OF GENERATING DATA-DRIVEN INSIGHTS THAT ASSIST IN RAPIDLY  
TESTING HYPOTHESES AND/OR INTERVENTION COMPONENTS AND SURFACING  
REAL-TIME, TARGETED EMERGING OPPORTUNITIES AND RISKS IN THE EXTERNAL  
CONTEXT THAT CAN BE FACTORED INTO STRATEGIC PLANNING FOR GREATER SOCIAL  
IMPACT

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS MINISTERIAL LEADERSHIP IN HEALTH INITIATIVE, WITHIN THE  
SCHOOL OF PUBLIC HEALTH AND THE KENNEDY SCHOOL OF GOVERNMENT, IN  
SUPPORT OF HIGHLIGHTING UNIVERSAL HEALTH COVERAGE AS A CENTRAL FOCUS OF  
THE 2016 ANNUAL MINISTERIAL ROUNDTABLE MEETINGS

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS HARVARD KENNEDY SCHOOL'S CENTER FOR PUBLIC LEADERSHIP IN  
SUPPORT OF THE INITIATIVE FOR RESPONSIBLE INVESTING, AN EFFORT TO  
EXPLORE ALTERNATIVE APPROACHES TO INSTITUTIONAL INVESTMENT IN  
SUSTAINABLE INFRASTRUCTURE IN THE U.S.

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS T.H. CHAN SCHOOL OF PUBLIC HEALTH IN SUPPORT OF  
AMPLIFYING THE POLICY IMPACT OF THE HEALTH SYSTEMS RESILIENCE FRAMEWORK  
THROUGH DEVELOPING EVIDENCE-BASED GUIDELINES FOR DONOR INVESTMENTS IN  
RESILIENT HEALTH SYSTEMS

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE



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FOR USE BY ITS CENTER FOR THE ENVIRONMENT IN SUPPORT OF ACTIVITIES TO  
LAUNCH A NEW FIELD OF "PLANETARY HEALTH" IN ORDER TO YIELD BETTER  
SOLUTIONS TO HEALTH CHALLENGES IN THE 21ST CENTURY

NAME OF RECIPIENT - PUBLIC HEALTH FOUNDATION OF INDIA

IN SUPPORT OF MOBILIZING THOUGHT LEADERSHIP IN INDIA ON THE DEVELOPMENT  
AND FINALIZATION OF THE UNITED NATIONS SUSTAINABLE DEVELOPMENT GOALS  
FOR HEALTH

NAME OF RECIPIENT - PUBLISEZ, INC.

IN SUPPORT OF AMPLIFYING THE IMPACT OF THE FOUNDATION'S SCAN AND SEARCH  
GRANTMAKING BY MORE BROADLY COMMUNICATING AND DISSEMINATING KEY  
FINDINGS AND INSIGHTS

NAME OF RECIPIENT - PUBLISEZ, INC.

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS  
SUPPORTED BY THE ROCKEFELLER FOUNDATION'S INNOVATIVE FINANCE "ZERO GAP"  
PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING  
AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE  
HARNESSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL  
SOCIAL AND ENVIRONMENTAL OUTCOMES

NAME OF RECIPIENT - PURPOSE GLOBAL LLC

IN SUPPORT OF CONDUCTING A NATIONAL CAMPAIGN TO IMPROVE THE QUALITY OF  
JOBS IN AMERICA BY INFLUENCING EMPLOYERS TO COMMIT TO GIVING THEIR  
SHIFT EMPLOYEES AT LEAST TWO WEEKS' NOTICE ON THEIR SCHEDULES

NAME OF RECIPIENT - RABIN STRATEGIC PARTNERS, INC.

**Part XV** Supplementary Information**3a** Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT IDENTIFIES  
POTENTIAL OPPORTUNITIES TO CREATE RESILIENT HEALTH SYSTEMS TO IMPROVE  
THE LIVES OF POOR AND VULNERABLE POPULATIONS

NAME OF RECIPIENT - RAND CORPORATION

IN SUPPORT OF QUANTIFYING THE RETURNS ON RESILIENCE INVESTMENT BY  
DEVELOPING A FRAMEWORK AND MODEL, AND IDENTIFYING DATA SOURCES AND  
ESTIMATION STRATEGIES

NAME OF RECIPIENT - RAND CORPORATION

IN SUPPORT OF DEVELOPING A DECISION FRAMEWORK AND A DECISION SUPPORT  
TOOL TO HELP INFORM PUBLIC AGENCY POLICIES FOR REVITALIZING JAMAICA BAY

NAME OF RECIPIENT - REDSTONE STRATEGY GROUP, LLC

IN SUPPORT OF PRODUCING A SIGNATURE PUBLICATION AND SUPPLEMENTARY  
MATERIALS THAT DOCUMENT AND COMMUNICATE KEY LESSONS FROM THE  
ROCKEFELLER FOUNDATION'S INFLUENCE MANAGEMENT AND MONITORING APPROACH  
WITH ADDITIONAL INFLUENCE RESEARCH TO INFORM AND BENEFIT THE FIELD OF  
PHILANTHROPY

NAME OF RECIPIENT - REGIONAL PLAN ASSOCIATION, INC.

TOWARD THE COSTS OF "REGIONAL CORRIDORS OF RESILIENCE AND EQUITY," A  
PUBLIC DESIGN COMPETITION, EXHIBITION AND EDUCATION PROGRAM TO EXAMINE  
HOW COMMUNITIES IN THE NEW YORK-NEW JERSEY-CONNECTICUT METROPOLITAN  
AREA CAN CHANGE AND GROW IN A WAY THAT PROMOTES ECONOMIC OPPORTUNITY,  
REDUCES INEQUALITY, AND INCREASES RESILIENCE

NAME OF RECIPIENT - RENEWABLE ENERGY AND ENERGY EFFICIENCY PARTNERSHIP

**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

IN SUPPORT OF A PROJECT TO PRODUCE A SERIES OF KNOWLEDGE PUBLICATIONS AND FACILITATE RELATED ROUNDTABLES TO DISSEMINATE BEST PRACTICES AND INSIGHTS BASED ON SMART POWER RURAL DEVELOPMENT'S WORK IN INDIA ON IMPLEMENTING MINI-GRIDS IN RURAL COMMUNITIES AND USING THE MINI-GRID MODEL TO SPUR GLOBAL ECONOMIC DEVELOPMENT THROUGH ENERGY ACCESS

NAME OF RECIPIENT - RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK

IN SUPPORT OF ITS "CUNY CULTURAL CORPS" TO PROVIDE TRAINING AND PAID INTERNSHIPS TO LOW-INCOME YOUTH AT CULTURAL INSTITUTIONS THROUGHOUT NEW YORK CITY IN AN EFFORT TO DIVERSIFY THE WORKFORCE OF THE CULTURAL SECTOR

NAME OF RECIPIENT - RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK

FOR USE BY ITS INSTITUTE FOR STATE AND LOCAL GOVERNANCE IN SUPPORT OF CONTINUING ITS EQUALITY INDICATORS PROJECT IN NEW YORK CITY WITH ADDITIONAL FOCUS ON IMPROVING DISPARITIES IN HEALTH AND JUSTICE, AND OF EXPANDING THE FRAMEWORK TO FIVE OTHER CITIES ACROSS THE COUNTRY THROUGH EITHER TECHNICAL OR IMPLEMENTATION ASSISTANCE

NAME OF RECIPIENT - RESEARCH TRIANGLE INSTITUTE

IN SUPPORT OF MONITORING AND LEARNING ACTIVITIES RELATED TO THE FOUNDATION'S YIELDWISE INITIATIVE AND ITS GRANTEEES AND PARTNERS, IN ORDER TO BENEFIT THE FIELD OF AGRICULTURE AT LARGE AND MORE SPECIFICALLY SMALL-HOLDER FARMER HOUSEHOLDS

NAME OF RECIPIENT - RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

DES MALADIES

TOWARD THE COSTS OF ACTIVITIES TO STRENGTHEN ITS CAPACITY TO PROMOTE  
ONE HEALTH AND INNOVATIONS IN DISEASE SURVEILLANCE THROUGH REGIONAL  
NETWORKS

NAME OF RECIPIENT - RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE  
DES MALADIES

IN SUPPORT OF PLANNING ACTIVITIES TO ESTABLISH A WEST AFRICAN DISEASE  
SURVEILLANCE NETWORK IN THE WAKE OF THE EBOLA CRISIS

NAME OF RECIPIENT - RESOLVE, INC.

FOR USE BY ITS WATER FUNDER INITIATIVE TOWARD THE COSTS OF DEVELOPING  
FOUR TO FIVE PRIORITY INITIATIVES THAT ARE PART OF A BROADER SOLUTION  
SET FOR WATER CRISES IMPACTING COMMUNITIES AND FRESHWATER ECOSYSTEMS  
ACROSS THE U.S. WEST

NAME OF RECIPIENT - RESOURCE ALLIANCE LIMITED

TOWARD THE COSTS OF EXPANDING THE NGO AWARDS PROGRAM IN ASIA TO VIETNAM  
IN CONSOLIDATION WITH THE TWO EXISTING NGO AWARDS IN INDIA AND THAILAND

NAME OF RECIPIENT - RESULTS FOR DEVELOPMENT INSTITUTE, INC.

FOR USE BY THE INTERNATIONAL DEVELOPMENT INNOVATION ALLIANCE (IDIA), A  
NETWORK OF LEADING DEVELOPMENT INNOVATION FUNDERS, TOWARD THE COSTS OF  
PROMOTING AND ADVANCING INNOVATION AS A MEANS TO HELP ACHIEVE  
SUSTAINABLE DEVELOPMENT WITH POOR OR VULNERABLE POPULATIONS IN THE  
GLOBAL SOUTH

NAME OF RECIPIENT - ROCKEFELLER ARCHIVE CENTER

623655 04-01-16

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY  
AND CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATION'S  
HISTORY IN A GLOBAL CONTEXT

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY ITS INFRASTRUCTURE TO SUPPORT INCLUSIVE HIRING TOWARD THE  
COSTS OF AN EFFORT TO WORK COLLABORATIVELY WITH HUMAN RESOURCE  
PRACTITIONERS AND LOCAL, STATE AND NATIONAL PARTNERS TO ADVANCE  
INCLUSIVE HIRING PRACTICES IN THE U.S. FOR THOSE FACING THE GREATEST  
BARRIERS TO EMPLOYMENT

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY 100 RESILIENT CITIES TOWARD THE COSTS OF ITS GLOBAL MISSION  
TO BUILD CITIES' CAPACITY TO MAINTAIN AND RECOVER CRITICAL FUNCTIONS IN  
THE FACE OF SHOCKS AND STRESSES

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR USE BY 100 RESILIENT CITIES TOWARD THE COSTS OF BUILDING CITIES'  
CAPACITY GLOBALLY TO MAINTAIN AND RECOVER CRITICAL FUNCTIONS IN THE  
FACE OF SHOCKS AND STRESSES, AND TO SUPPORT THEIR PROGRESS TOWARD  
OPERATIONAL INDEPENDENCE AND SUSTAINABILITY

NAME OF RECIPIENT - ROCKY MOUNTAIN INSTITUTE

FOR USE BY ITS CARBON WAR ROOM, TOWARD THE COSTS OF THE SEED  
INITIATIVE, TO ACCELERATE ENERGY ACCESS IN SUB-SAHARAN AFRICA BY  
CREATING INTEGRATED ENERGY PLANS AND BUILDING THE CAPACITY OF THE  
PUBLIC AND PRIVATE SECTORS TO ACT ON THESE PLANS

**Part XV** Supplementary Information**3a** Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS

FOR USE BY ITS NEWLY CREATED UNIVERSAL HEALTH COVERAGE (UHC) POLICY

FORUM TOWARD THE COSTS OF PROVIDING STRATEGIC POLITICAL ADVOCACY ADVICE

ON UHC AND POSITIONING UHC WITHIN THE POST-2015 DEVELOPMENT AGENDA

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS

IN SUPPORT OF RESEARCH IDENTIFYING POLICY PRIORITIES AND ENTRY POINTS

FOR MAINSTREAMING THE CIRCULAR ECONOMY CONCEPT IN DEVELOPING COUNTRIES

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS

FOR USE BY ITS CENTRE ON GLOBAL HEALTH SECURITY IN SUPPORT OF PLANNING

ACTIVITIES TO ESTABLISH A WEST AFRICAN DISEASE SURVEILLANCE NETWORK IN

THE WAKE OF THE EBOLA CRISIS

NAME OF RECIPIENT - RURAL DEVELOPMENT INSTITUTE

IN SUPPORT OF CONDUCTING A LAND TENURE ASSESSMENT TO UNDERSTAND THE

CORRELATION BETWEEN INCREASED INVESTMENTS IN THE MANGO VALUE CHAIN AND

THEIR IMPACT ON THE LAND TENURE STRUCTURES FOR SMALLHOLDER FARMERS

NAME OF RECIPIENT - SAL FOREST CO. LTD.

IN SUPPORT OF RESEARCH TO DEVELOP A CASE STUDY FOR PROMOTING FINANCIAL

INCENTIVES TO ENCOURAGE ORGANIC FARMING IN THAILAND IN ORDER TO IMPROVE

THE LIVELIHOODS AND ECONOMIC RETURNS OF SMALLHOLDER FARMERS

NAME OF RECIPIENT - SAMASOURCE, INC.

TOWARD THE COSTS OF SECURING IMPACT PLEDGES FROM AND SUPPORTING

IMPLEMENTATION BY COMMITTED COMPANIES AND GOVERNMENTS ABOUT IMPACT

SOURCING TO DEMONSTRATE ITS POTENTIAL AS AN INCLUSIVE BUSINESS PRACTICE

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

TO EMPLOY HIGH POTENTIAL BUT DISADVANTAGED YOUTH, POSITIVELY IMPACTING  
THEM, THEIR FAMILIES AND COMMUNITIES

NAME OF RECIPIENT - SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED  
IN SUPPORT OF A PROJECT TO CONDUCT AN IMPACT EVALUATION OF THE  
FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT (SPRD) INITIATIVE IN  
INDIA AND ASSESS THE EXTENT TO WHICH THE INITIATIVE IS POSITIVELY  
IMPACTING THE LIVES OF PEOPLE AND SPURRING ECONOMIC GROWTH IN RURAL  
COMMUNITIES IN BIHAR AND UTTAR PRADESH

NAME OF RECIPIENT - SAMHITA SOCIAL VENTURES PRIVATE LIMITED  
IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIA'S  
NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING  
COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT  
CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY  
ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

NAME OF RECIPIENT - SECRET. OF THE U.N. FWK CONV ON CLIM. CHG THE KYOTO  
PROT AND THE PARIS AGMT

IN SUPPORT OF LAUNCHING A PLANETARY HEALTH PILLAR WITHIN ITS MOMENTUM  
FOR CHANGE INITIATIVE TO RECOGNIZE INNOVATIVE PLANETARY HEALTH  
APPROACHES THAT HELP MITIGATE THE THREAT OF CLIMATE CHANGE WHILE  
IMPROVING THE HEALTH AND RESILIENCE OF COMMUNITIES AROUND THE WORLD

NAME OF RECIPIENT - SHAKTI SUSTAINABLE ENERGY FOUNDATION  
IN SUPPORT OF INFORMING STRONG POLICY OUTCOMES AND PROVIDING POLICY,  
REGULATORY AND UTILITY PLANNING SOLUTIONS TO ACCELERATE ENERGY ACCESS  
THROUGH THE SMART POWER MODEL, WHICH CREATES DECENTRALIZED RENEWABLE

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ENERGY POWER PLANTS IN INDIA AND PROVIDES ACCELERATED ENERGY ACCESS FOR BOTH HOME LIGHTING AND PRODUCTIVE USES TO RURAL CONSUMERS WHO CURRENTLY DO NOT HAVE ACCESS TO AN EFFECTIVE NATIONAL GRID

NAME OF RECIPIENT - SKDKNICKERBOCKER LLC

IN SUPPORT OF COMMUNICATIONS AND COMMUNITY ENGAGEMENT ASSISTANCE FOR THE NATIONAL DISASTER RESILIENCE COMPETITION WINNING JURISDICTIONS, AND RESILIENCE OUTREACH AND COMMUNICATIONS TO FEDERAL AGENCIES, PHILANTHROPIC ORGANIZATIONS, AND PRIVATE BUSINESSES

NAME OF RECIPIENT - SKDKNICKERBOCKER LLC

IN SUPPORT OF THE "RESILIENCE NEXTGEN: NEW LEADERS, NEW MEDIA," CONVENING TO BE HELD IN BELLAGIO, ITALY, MAY 2016

NAME OF RECIPIENT - SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION  
IN SUPPORT OF SCALING UP ORGANIZATIONAL CAPACITY TO SUPPORT THE LAUNCH OF 300 NEW DECENTRALIZED RENEWABLE ENERGY POWER PLANTS IN INDIA AND PROVIDE CONTINUED ACCELERATED ENERGY ACCESS TO RURAL HOMES AND LOCAL BUSINESSES.

NAME OF RECIPIENT - SMITHSONIAN INSTITUTION

FOR USE BY ITS NATIONAL MUSEUM OF NATURAL HISTORY TOWARD THE COSTS OF EDUCATING THE PUBLIC ABOUT THE INTERCONNECTIONS AMONG ANIMAL, HUMAN AND ENVIRONMENTAL HEALTH THROUGH AN EXHIBITION AND RELATED PUBLIC PROGRAMMING TENTATIVELY TITLED, "GLOBAL OUTBREAKS! PAST AND FUTURE"

NAME OF RECIPIENT - SOCIETY FOR PARTICIPATORY RESEARCH IN ASIA

FOR USE BY ITS MARTHA FARRELL FOUNDATION IN SUPPORT OF PRACTICAL



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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

INTERVENTIONS THAT ARE COMMITTED TO ACHIEVING A GENDER-JUST SOCIETY AND  
COMBATING GENDER VIOLENCE AND SEXUAL HARASSMENT

NAME OF RECIPIENT - SOCIETY FOR TECHNOLOGY AND ACTION FOR RURAL  
ADVANCEMENT

IN SUPPORT OF A PROJECT TO PROVIDE COMMUNITY ENGAGEMENT AND OTHER  
PREPARATION SERVICES TO LAUNCH DECENTRALIZED RENEWABLE ENERGY POWER  
PLANTS IN INDIA AND PROVIDE ACCELERATED ENERGY ACCESS FOR BOTH HOME  
LIGHTING AND PRODUCTIVE USES TO RURAL CONSUMERS WHO CURRENTLY DO NOT  
HAVE ACCESS TO AN EFFECTIVE NATIONAL GRID

NAME OF RECIPIENT - SOCIETY OF THE THIRD STREET MUSIC SCHOOL SETTLEMENT  
DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - SOLIDARIETA KENYA ONLUS  
DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - SPARK PROGRAM, INC.  
IN GENERAL SUPPORT OF ITS MISSION TO INSPIRE 7TH, 8TH, AND 9TH GRADERS  
FROM UNDERSERVED COMMUNITIES TO SUCCEED IN HIGH SCHOOL AND BEYOND BY  
PAIRING THEM WITH MENTORS

NAME OF RECIPIENT - SPITFIRE STRATEGIES LLC  
FOR USE BY ITS ANDACTION PROJECT TOWARD THE COSTS OF CONNECTING  
NONPROFIT CAUSE ORGANIZATIONS, THE FOUNDATION'S GRANTEES AND THE  
FOUNDATION TO UPCOMING FILM, TELEVISION AND DIGITAL CONTENT STORYLINES

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

RELATED TO SOCIAL AND ENVIRONMENTAL ISSUES INCLUDING RESILIENCE AND  
INCLUSIVE ECONOMIES

NAME OF RECIPIENT - STICHTING DIENST LANDBOUWKUNDIG ONDERZOEK  
FOR USE BY THE CENTRE FOR DEVELOPMENT INNOVATION IN SUPPORT OF A STUDY  
ON THE EFFECTIVENESS OF MULTI-STAKEHOLDER PLATFORMS AND PARTNERSHIPS IN  
CERTAIN AGRICULTURE AND FOOD SECURITY VALUE CHAIN INTERVENTIONS

NAME OF RECIPIENT - STIER FORWARD, LLC  
TOWARD THE COSTS OF ITS "STAND WITH CONGO" CAMPAIGN TO CONDUCT TARGETED  
OUTREACH AT U.S. UNIVERSITIES THROUGH FILM SCREENINGS ON EXTRACTIVE  
INDUSTRY PRACTICES IN CONGO

NAME OF RECIPIENT - STIFTUNG GLOBAL INFRASTRUCTURE BASEL  
IN SUPPORT OF APPLYING ITS SUSTAINABILITY AND RESILIENCE STANDARD TO  
INFRASTRUCTURE PROJECTS IN ORDER TO DEMONSTRATE THE RESILIENCE DIVIDEND

NAME OF RECIPIENT - SUNDANCE INSTITUTE  
IN CONTINUED SUPPORT OF ITS IMPACT FUND TO IDENTIFY AND NURTURE  
DOCUMENTARY FILM AND NEW MEDIA PROJECTS THAT ALIGN WITH THE  
FOUNDATION'S GOALS OF RESILIENCE AND INCLUSIVE ECONOMIES WHILE  
IMPLEMENTING A SUITE OF IMPACT RESOURCES

NAME OF RECIPIENT - SUSTAINABILITY ACCOUNTING STANDARDS BOARD  
IN SUPPORT OF DEVELOPING AND DISSEMINATING ACCOUNTING STANDARDS FOCUSED  
ON THE SOCIAL, ENVIRONMENTAL AND GOVERNANCE PERFORMANCE OF PUBLIC  
COMPANIES IN THE UNITED STATES IN AN EFFORT TO HELP INVESTORS COMPARE  
PERFORMANCE AND DRIVE CAPITAL TO IMPROVED SUSTAINABILITY OUTCOMES

**Part XV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - TAEDOKI B.V.

IN SUPPORT OF THE RESEARCH AND ANALYSIS OF GREEN INFRASTRUCTURE VALUE CHAINS WHICH MAY IMPACT THE RESILIENCE VALUE OF PROJECTS

NAME OF RECIPIENT - TARU LEADING EDGE PRIVATE LIMITED

IN SUPPORT OF A PROJECT TO DEVELOP A FRAMEWORK FOR ASSESSING LEVELS OF RISK ASSOCIATED WITH LAUNCHING SMART POWER MINI-GRIDS IN RURAL INDIA AND IDENTIFYING MITIGATION MEASURES FOR MONITORING THEIR INSTALLATION

NAME OF RECIPIENT - TARU LEADING EDGE PRIVATE LIMITED

IN SUPPORT OF A PROJECT TO CONSOLIDATE AND DISSEMINATE EXPERIENCES AND LESSONS LEARNED FROM THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN)'S WORK IN INDIA AND TO ALIGN KEY MESSAGES ACROSS THE NETWORK

NAME OF RECIPIENT - TARU LEADING EDGE PRIVATE LIMITED

IN SUPPORT OF A PROJECT TO ENSURE AVAILABILITY OF LOCAL WATER RESOURCES, ESPECIALLY DURING EMERGENCY DROUGHT SITUATIONS, BY DEVELOPING A REPLICABLE MODEL FOR PERI-URBAN LAKE REJUVENATION AND CONSERVATION IN THE WATER-SCARCE CITY OF INDORE, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK

NAME OF RECIPIENT - TCC GROUP, INC.

IN SUPPORT OF REFINING THE GLOBAL RESILIENCE PARTNERSHIP'S LEARNING AGENDA AND DESIGNING A RELATED PARTNER CONVENING TO ENABLE HORIZON SCANNING FOR HIGH-POTENTIAL PARTNERSHIP OPPORTUNITIES

NAME OF RECIPIENT - TECHNOSERVE, INC.

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IN SUPPORT OF A PROJECT TO REDUCE POST-HARVEST FOOD LOSS AND IMPROVE  
THE LIVES OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE  
MANGO VALUE CHAIN IN KENYA

NAME OF RECIPIENT - TECHNOSERVE, INC.

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES  
OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN KENYA'S MANGO  
VALUE CHAIN

NAME OF RECIPIENT - TECHSOUP GLOBAL

FOR USE BY NGOSOURCE IN SUPPORT OF ONGOING OPERATIONS TO ENHANCE ITS  
REPOSITORY OF EQUIVALENCY DETERMINATIONS IN ORDER TO STREAMLINE AND  
DEMOCRATIZE INTERNATIONAL GRANTMAKING AND GLOBAL PHILANTHROPY

NAME OF RECIPIENT - THAMMASAT UNIVERSITY

FOR USE BY ITS SOCIAL INNOVATION LAB AT THE SCHOOL OF GLOBAL STUDIES IN  
SUPPORT OF A SERIES OF ROUNDTABLES AND WORKSHOPS CULMINATING IN A  
HIGH-LEVEL EVENT THAT WILL SEEK TO ADVANCE THE CONVERSATION AROUND  
STRATEGIC PHILANTHROPY WHILE CELEBRATING THE ROCKEFELLER FOUNDATION'S  
100 YEARS IN THAILAND

NAME OF RECIPIENT - THAMMASAT UNIVERSITY

FOR USE BY ITS THAMMASAT UNIVERSITY RESEARCH AND CONSULTANCY INSTITUTE  
IN SUPPORT OF A STUDY TO DESIGN AN INCLUSIVE ENTERPRISE AND OWNERSHIP  
MODEL OF A NEW CANAL TRANSIT SYSTEM IN BANGKOK AND DEVELOP A SET OF  
RECOMMENDATIONS TO INFORM THE BANGKOK METROPOLITAN ADMINISTRATION'S  
CANAL UPGRADING PLAN

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - THE ATLANTIC MONTHLY GROUP, INC.

IN SUPPORT OF A MULTI-PLATFORM PROJECT TO EXAMINE THE FUTURE OF WORK IN  
CONTEMPORARY AMERICAN SOCIETY AND STRATEGIZE ABOUT HOW TO ENSURE  
OPPORTUNITY AND EQUALITY FOR WORKERS

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF THE DEVELOPMENT AND FACILITATION OF A COMMUNITY OF  
PHILANTHROPIC FUNDERS DEDICATED TO JOINT LEARNING ON METHODS FOR  
SOURCING AND ASSESSING OPPORTUNITIES FOR SOCIAL IMPACT

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

TOWARD THE COSTS OF A CONVENING OF INDIAN PHILANTHROPIC LEADERS AND  
FOUNDATIONS INTERESTED IN LEARNING ABOUT STRATEGIC PHILANTHROPY

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF DEVELOPING A DIAGNOSTIC TOOL TO ADVANCE INNOVATION  
CAPACITY IN SOCIAL SECTOR ORGANIZATIONS BY ENABLING ORGANIZATIONS TO  
INNOVATE MORE EFFECTIVELY TO IMPROVE THE LIVES OF POOR OR VULNERABLE  
POPULATIONS

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF AN EFFORT TO ADVANCE THE KNOWLEDGE OF THE PHILANTHROPIC  
SECTOR REGARDING HIGH-IMPACT IMPLEMENTATION APPROACHES, DRAWING FROM  
LESSONS AND EXAMPLES FROM FOUNDATIONS, MULTI-LATERALS, AND THE PRIVATE  
SECTOR

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT SYNTHESIZES

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FORWARD-LOOKING KNOWLEDGE ON PRESSING PROBLEMS AND DYNAMIC  
OPPORTUNITIES IN THE LIVES OF POOR OR VULNERABLE POPULATIONS

NAME OF RECIPIENT - THE CENTER FOR EFFECTIVE PHILANTHROPY, INC.

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE DATA AND CREATE INSIGHT SO  
PHILANTHROPIC FUNDERS CAN BETTER DEFINE, ASSESS AND IMPROVE THEIR  
EFFECTIVENESS AND IMPACT

NAME OF RECIPIENT - THE CENTER FOR GLOBAL ENTERPRISE LTD.

IN SUPPORT OF ADVANCING MANAGEMENT RESILIENCE AND EMPLOYMENT IN  
AFRICAN"PLATFORM" BUSINESSES BY PROVIDING BUSINESS AND MANAGEMENT  
LEARNING OPPORTUNITIES

NAME OF RECIPIENT - THE COMMUNICATIONS NETWORK

IN SUPPORT OF PUBLISHING"CHANGE AGENT," A BI-ANNUAL PRINT JOURNAL ON  
SOCIAL SECTOR COMMUNICATIONS

NAME OF RECIPIENT - THE FORUM FOR YOUTH INVESTMENT

FOR USE BY ITS OPPORTUNITY YOUTH NETWORK TOWARDS THE COSTS OF THE 2016  
OPPORTUNITY YOUTH NETWORK SUMMIT, AN EVENT THAT WILL BRING TOGETHER  
CORPORATIONS, FOUNDATIONS, GOVERNMENT OFFICIALS, NON-PROFITS AND YOUNG  
LEADERS TO DISCUSS STRATEGIES TO SUPPORT DISCONNECTED YOUTH IN THE  
U.S., TO BE HELD IN WASHINGTON, D.C., OCTOBER 2016

NAME OF RECIPIENT - THE FUND FOR PUBLIC SCHOOLS, INC.

TOWARD THE COSTS OF DEVELOPING THE NYC SCHOOL FINDER, A DIGITAL TOOL TO  
HELP NEW YORK CITY 8TH GRADERS CHOOSE TO WHICH HIGH SCHOOLS TO APPLY

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NAME OF RECIPIENT - THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

IN SUPPORT OF A PROJECT TO REDUCE THE CAPITAL EXPENDITURE COSTS OF  
RENEWABLE ENERGY MINIGRIDS AND FACILITATE THE ESTABLISHMENT AND  
OPERATION OF MINIGRIDS IN POOR RURAL VILLAGES IN INDIA

NAME OF RECIPIENT - THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

IN SUPPORT OF DEVELOPING AND DEPLOYING A PIPELINE OF HIGH-POTENTIAL  
TECHNOLOGY INNOVATIONS TO ADDRESS SOCIAL AND ENVIRONMENTAL PROBLEMS  
FACING POOR AND VULNERABLE PEOPLE IN SOUTH ASIA AND SUB-SAHARAN AFRICA

NAME OF RECIPIENT - THE MEDIAE COMPANY LIMITED

TOWARD THE COSTS OF TELEVISION EPISODES ON POST-HARVEST LOSS SOLUTIONS  
FOR THE AFRICAN SERIES, "SHAMBA SHAPE UP," A REALITY MAKEOVER SHOW THAT  
EDUCATES FARMERS TO IMPROVE THEIR PRODUCTION AND INCOMES

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF EXPLORING THE INTEGRATION OF MIAMI AND CUBA INTO  
THE 100 RESILIENT CITIES' RESILIENCE FRAMEWORK

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF ITS ROLE AS THE CONVENER, COMMUNICATOR, ADVISOR,  
AND MONITOR OF PROGRESS FOR THE GREATER MIAMI AND THE BEACHES 100  
RESILIENT CITIES RESILIENCE STRATEGY AND PARTNERSHIP, AND SUPPORT FOR  
THE VAN ALLEN INSTITUTE'S SOUTH FLORIDA CHALLENGE

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF THE "REIMAGINING THE CIVIC COMMONS" INITIATIVE, AN  
EFFORT TO DEMONSTRATE HOW A CONNECTED SET OF CIVIC ASSETS CAN YIELD

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

INCREASED AND SHARED PROSPERITY FOR CITIES IN THE UNITED STATES

NAME OF RECIPIENT - THE NATURE CONSERVANCY

IN SUPPORT OF DEVELOPING THE REEF RESILIENCE AND INSURANCE FUND, A TOOL  
TO USE INSURANCE PREMIUMS TO PROTECT AND RESTORE CORAL REEFS IN MEXICO

NAME OF RECIPIENT - THE NEWS LITERACY PROJECT, INC.

TOWARD THE COSTS OF A VIRTUAL NEWS LITERACY CLASSROOM THAT WILL PROVIDE  
MIDDLE AND HIGH SCHOOL STUDENTS WITH THE SKILLS TO BE BETTER INFORMED  
AND CONSEQUENTLY, MORE ENGAGED CITIZENS

NAME OF RECIPIENT - THE PICTURE HOUSE REGIONAL FILM CENTER

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

IN SUPPORT OF TESTING AND REFINING THE ROCKEFELLER FOUNDATION'S  
EMERGING INCLUSIVE ECONOMIES FRAMEWORK IN DIFFERENT GEOGRAPHIC CONTEXTS  
AND MARKETS, AND GENERATING NEW KNOWLEDGE ON WHAT IT TAKES TO BUILD AN  
INCLUSIVE ECONOMY

NAME OF RECIPIENT - THE SYNERGOS INSTITUTE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO MOBILIZE RESOURCES AND BRIDGE  
SOCIAL AND ECONOMIC DIVIDES TO REDUCE POVERTY AND INCREASE EQUITY  
AROUND THE WORLDNAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF  
NEW YORK



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IN SUPPORT OF CONDUCTING A STUDY ON THE COST-EFFECTIVENESS AND RETURN  
ON INVESTMENT OF A SUBSET OF HEALTH PREVENTION ACTIVITIES AND DEFINING  
AN ESSENTIAL PACKAGE OF PREVENTIVE HEALTH SERVICES TO INFORM COUNTRY  
PRIORITY SETTING BEYOND CURATIVE HEALTH SERVICES AND HEALTH  
TECHNOLOGIES

NAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF  
NEW YORK

FOR USE BY ITS MAILMAN SCHOOL OF PUBLIC HEALTH IN SUPPORT OF THE GLOBAL  
CONSORTIUM ON CLIMATE AND HEALTH EDUCATION, AN EFFORT TO INCREASE  
SOCIETAL AWARENESS OF AND RESILIENCE TO THE HUMAN AND PLANETARY HEALTH  
EFFECTS OF CLIMATE CHANGE

NAME OF RECIPIENT - THE URBAN INSTITUTE

IN SUPPORT OF MONITORING AND EVALUATING THE PROGRESS AND LONG-TERM  
IMPACTS OF 100 RESILIENT CITIES - PIONEERED BY THE ROCKEFELLER  
FOUNDATION, AND GENERATING LEARNING FOR THE URBAN PLANNING AND  
RESILIENCE MEASUREMENT FIELDS

NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION

TOWARD THE COSTS OF A IMPLEMENTING AND DISSEMINATING A POLL RANKING THE  
BEST AND WORST PLACES FOR WOMEN TO WORK ACROSS THE G20 COUNTRIES

NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION

IN SUPPORT OF ORGANIZING TRAININGS ON RESILIENCE AND URBAN DEVELOPMENT  
REPORTING FOR JOURNALISTS IN AFRICA AND ASIA, AND RELATED ON-THE-JOB  
TRAINING AT THE HABITAT III CONFERENCE IN QUITO, ECUADOR

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NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION

IN SUPPORT OF PRODUCING, CURATING, AND PUBLISHING A WIDE RANGE OF  
MULTIMEDIA RESILIENCE CONTENT AND RESOURCES FOR "ZILIENT," AN ONLINE  
PUBLISHING AND KNOWLEDGE-SHARING PLATFORM FOR RESILIENCE

NAME OF RECIPIENT - THREAD

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - TRANSFAIR USA

TOWARD THE COSTS OF DATA COLLECTION AND IMPACT ASSESSMENT OF ITS  
CAPTURE FISHERIES PROGRAM IN INDONESIA, WHICH TESTS THE FISHERY  
IMPROVEMENT PROJECTS APPROACH, AIMED AT IMPROVING THE SUSTAINABILITY OF  
FISHERIES AND THE LIVELIHOODS OF SMALL-SCALE FISHERS

NAME OF RECIPIENT - TRUST FOR CONSERVATION INNOVATION

FOR USE BY ITS PROJECT, PRIVATE CAPITAL FOR PUBLIC GOOD, TOWARD THE  
COSTS OF RESEARCHING AND DEVELOPING A REPLICABLE, MULTI-STAKEHOLDER  
WILDFIRE RESILIENCE IMPACT BOND DESIGNED TO FUND FOREST RESTORATION IN  
THE WESTERN UNITED STATES

NAME OF RECIPIENT - TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

FOR USE BY ITS WHARTON SCHOOL'S SOCIAL IMPACT INITIATIVE TOWARD THE  
COSTS OF A CONVENING OF HIGH-LEVEL LEADERS FROM INTERNATIONAL FINANCE,  
DEVELOPMENT, PHILANTHROPY AND ACADEMIA ON THE TOPIC OF CATALYZING  
INNOVATION IN FINANCE TO ADDRESS THE WORLD'S MOST CRITICAL PROBLEMS, TO  
BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, APRIL  
2015

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NAME OF RECIPIENT - TURRET MEDIA FZ LLC

IN SUPPORT OF EVENTS SURROUNDING THE "CASSAVA INNOVATION CHALLENGE"

LAUNCH AT ITS GLOBAL FORUM ON INNOVATIONS IN AGRICULTURE TO ENCOURAGE

THE DEVELOPMENT OF INNOVATIVE SOLUTIONS TO REDUCE POST-HARVEST CASSAVA

LOSS AND INFLUENCE AGRICULTURE LEADERS TO PRIORITIZE LOSS REDUCTION

STRATEGIES

NAME OF RECIPIENT - TUSK STRATEGIES, INC.

IN SUPPORT OF DOCUMENTING THE FOUNDATION'S APPROACH TO STRATEGY

DEVELOPMENT TO SHARE WITH THE PHILANTHROPIC FIELD, ALONG WITH A

SYNTHESIS OF LESSONS FROM BOTH THIS WORK AS WELL AS THE EXPERIENCES OF

THE FOUNDATION'S PARTNERS, GRANTEEES AND PEERS

NAME OF RECIPIENT - TUSK STRATEGIES, INC.

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF

RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP

EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - UNITED NATIONS ECONOMIC COMMISSION FOR AFRICA

TOWARD THE COSTS OF IMPLEMENTING POLICY SUPPORT FOR BUILDING INCLUSIVE

ECONOMIES IN AFRICA USING THE AFRICAN SOCIAL DEVELOPMENT INDEX (ASDI)

IN TEN COUNTRIES IN SUB-SAHARAN AFRICA

NAME OF RECIPIENT - UNITED NATIONS ENTITY FOR GENDER EQUALITY AND THE

EMPOWERMENT OF WOMEN

IN SUPPORT OF THE DEVELOPMENT OF A CUSTOMER JOURNEY THAT WILL MAP THE

DECISION MAKING PROCESS OF FARMERS WHEN ACQUIRING POST-HARVEST LOSS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

## TECHNOLOGIES WITHIN THE MANGO VALUE CHAIN

NAME OF RECIPIENT - UNITED NATIONS ENVIRONMENT PROGRAMME

FOR USE BY ITS DIVISION OF TECHNOLOGY, INDUSTRY AND ECONOMICS IN

SUPPORT OF CREATING A BACKGROUND PAPER ON "URBAN ECOLOGY AND RESILIENCE"

FOR THE HABITAT III GLOBAL CONVENING TO BE HELD IN QUITO, ECUADOR,

OCTOBER 2016

NAME OF RECIPIENT - UNITED NATIONS FOUNDATION, INC.

TOWARD THE COSTS OF ITS GLOBAL COMMISSION ON BUSINESS AND SUSTAINABLE

DEVELOPMENT'S EFFORTS TO CATALYZE THE BROAD SUPPORT OF THE BUSINESS

COMMUNITY IN SUPPORTING, SHAPING, AND INVESTING IN THE CRITICAL DRIVERS

OF SUSTAINABLE DEVELOPMENT

NAME OF RECIPIENT - UNITED NATIONS HUMAN SETTLEMENTS PROGRAMME

FOR USE BY THE UN HABITAT CITY RESILIENCE PROFILING PROGRAMME IN

SUPPORT OF STRENGTHENING ADVOCACY AND RAISING AWARENESS AROUND

RESILIENCE, URBAN CRISES, AND CITIES MOST AT RISK, AND TO SUPPORT THE

LAUNCH OF THE GLOBAL ALLIANCE FOR URBAN CRISES IN THE RUN-UP TO THE

WORLD HUMANITARIAN SUMMIT TO BE HELD IN ISTANBUL, MAY 2016

NAME OF RECIPIENT - UNITED NATIONS UNIVERSITY

FOR USE BY ITS INTERNATIONAL INSTITUTE FOR GLOBAL HEALTH IN SUPPORT OF

TWO EVENTS PROMOTING PLANETARY HEALTH AT THE UNITED NATIONS ENVIRONMENT

ASSEMBLY TO BE HELD IN NAIROBI, KENYA, MAY 2016

NAME OF RECIPIENT - UNITED STATES ARTISTS, INC.

IN SUPPORT OF ORGANIZING TWO ANNUAL ARTISTS ASSEMBLIES AND IDENTIFYING

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

POTENTIAL ARTISTS FOR THE RESIDENTIAL FELLOWSHIP PROGRAM AT THE  
ROCKEFELLER FOUNDATION BELLAGIO CENTER

NAME OF RECIPIENT - UNITED STATES ARTISTS, INC.

IN SUPPORT OF IDENTIFYING POTENTIAL ARTISTS FOR THE ARTS & LITERARY  
ARTS RESIDENCY PROGRAM AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER  
AND ORGANIZING ONE ANNUAL ARTISTS' ASSEMBLY

NAME OF RECIPIENT - UNITED STATES ENDOWMENT FOR FORESTRY AND COMMUNITIES,  
INC.

FOR USE BY ITS PROJECT, FOREST RESILIENCE BOND, TOWARD THE COSTS OF  
FUNDING FOREST RESTORATION IN THE U.S. BY LEVERAGING PRIVATE CAPITAL

NAME OF RECIPIENT - UNIVERSITY OF CAPE TOWN

FOR USE BY ITS GRADUATE SCHOOL OF BUSINESS'S BERTHA CENTRE FOR SOCIAL  
INNOVATION AND ENTREPRENEURSHIP IN SUPPORT OF A PROJECT TO REDESIGN THE  
ROCKEFELLER FOUNDATION GLOBAL FELLOWSHIP PROGRAM ON SOCIAL INNOVATION  
TO BUILD THE INNOVATION CAPACITY OF SOCIAL SECTOR LEADERS TO CATALYZE  
MORE TRANSFORMATIVE AND RESILIENT SOLUTIONS TO ENDEMIC SOCIAL AND  
ENVIRONMENTAL PROBLEMS

NAME OF RECIPIENT - UNIVERSITY OF GHANA

FOR USE BY ITS SCHOOL OF PUBLIC HEALTH IN SUPPORT OF DEVELOPING A PAN  
AFRICAN LEADERSHIP IN HEALTH PROGRAM THAT WILL SUPPORT HEALTH SYSTEMS  
REFORM AND DEVELOPMENT IN SUB-SAHARAN AFRICA

NAME OF RECIPIENT - UNIVERSITY OF MARYLAND

FOR USE BY ITS ROBERT H. SMITH SCHOOL OF BUSINESS IN SUPPORT OF

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

CONDUCTING RESEARCH AND ANALYSIS ON POST-HARVEST LOSS ACROSS VALUE  
CHAINS AND TO SHARE THE LEARNING WITH KEY STAKEHOLDERS

NAME OF RECIPIENT - UNIVERSITY OF MARYLAND

TOWARD THE COSTS OF ACTIVITIES RELATED TO THE CLIMATE ACTION 2016  
SUMMIT HELD IN WASHINGTON, D.C., MAY 2016

NAME OF RECIPIENT - UNIVERSITY OF MASSACHUSETTS, AMHERST

IN SUPPORT OF CONDUCTING RESEARCH AND ANALYSIS TO DEMONSTRATE AND  
COMMUNICATE FRESHWATER RESILIENCE GLOBALLY

NAME OF RECIPIENT - UNIVERSITY OF NAIROBI

IN SUPPORT OF ESTABLISHING FRUIT AGGREGATION AND PROCESSING HUBS FOR  
SMALLHOLDER FARMERS, AND FACILITATING THE FIRST ALL AFRICA POSTHARVEST  
CONGRESS AND EXHIBITION, TO BE HELD IN NAIROBI, KENYA, MARCH 2017

NAME OF RECIPIENT - UNIVERSITY OF PENNSYLVANIA

DONATION TO RECOGNIZE THE SERVICE ANNIVERSARY OR ACHIEVEMENT OF A  
FOUNDATION STAFF MEMBER

NAME OF RECIPIENT - UNREASONABLE INSTITUTE

IN SUPPORT OF DEVELOPING AND MANAGING A "FUTURE CITIES ACCELERATOR"  
CHALLENGE AND FUND TARGETING NEXT GENERATION CHANGEMAKERS WHO CREATE  
INNOVATIVE SOLUTIONS TO KEY PROBLEM SPACES FACING CITIES

NAME OF RECIPIENT - URBAN INSTITUTE

IN SUPPORT OF THE FIRST PHASE OF MONITORING 100 RESILIENT CITIES -  
PIONEERED BY THE ROCKEFELLER FOUNDATION, AND TO DEVELOP A FRAMEWORK FOR

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

LONGER-TERM MONITORING AND EVALUATION AND A SUITE OF CASE STUDIES AND  
LEARNING PRODUCTS TO BENEFIT SECTOR STAKEHOLDERS

NAME OF RECIPIENT - URBAN INSTITUTE

IN SUPPORT OF CONDUCTING A BASELINE ASSESSMENT AND EVALUATION OF  
PROGRESS TOWARD IMPACT OF THE U.S. DEPARTMENT OF HOUSING AND  
DEVELOPMENT'S NATIONAL DISASTER RESILIENCE COMPETITION AND THE  
FOUNDATION'S RESILIENCE ACADEMIES

NAME OF RECIPIENT - URBAN INSTITUTE

IN SUPPORT OF A BASELINE ASSESSMENT AND EVALUATION OF THE U.S.  
DEPARTMENT OF HOUSING AND DEVELOPMENT'S NATIONAL DISASTER RESILIENCE  
COMPETITION AND THE FOUNDATION'S RESILIENCE ACADEMIES, THAT WILL ENABLE  
AN EXPANDED SAMPLING FRAMEWORK AND A GREATER FOCUS ON RESILIENCE  
KNOWLEDGE TRANSFER AND DIFFUSION

NAME OF RECIPIENT - URBAN INSTITUTE

IN SUPPORT OF ESTABLISHING AND ADMINISTERING THE JANICE NITTOLI  
SOLUTIONS FELLOWSHIP TO PAIR POLICY PRACTITIONERS WITH SENIOR RESEARCH  
SCHOLARS IN ORDER TO DEVELOP INNOVATIVE AND AMBITIOUS SOLUTIONS TO  
SPECIFIC RESEARCH PROBLEMS

NAME OF RECIPIENT - VALUELAB BV

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REVIEW PROCESS TO FOUR  
PROJECTS IN ORDER TO VALIDATE THE PROCESS WHILE BUILDING THE RESILIENCE  
CAPACITY OF THE PARTICIPATING INTUITIONS

NAME OF RECIPIENT - VIBRANT DATA, INC.

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

IN SUPPORT OF IDENTIFYING EARLY SIGNALS OF DYNAMISM AND EMERGING  
PATTERNS AROUND SOCIAL IMPACT ISSUES

NAME OF RECIPIENT - VITAL RESEARCH LLC

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE ROCKEFELLER  
FOUNDATION BELLAGIO CENTER, ITS GRANTEEES, RESIDENTS AND CONFERENCE  
PARTICIPANTS IN ORDER TO SUPPORT THE ADAPTIVE MANAGEMENT OF THE CENTER  
AND TO DEVELOP KNOWLEDGE PRODUCTS ON USING IT TO PROMOTE GLOBAL CHANGE

NAME OF RECIPIENT - WATERFRONT ALLIANCE, INC.

TOWARD THE COSTS OF TESTING AND VALIDATING ITS WATERFRONT EDGE DESIGN  
GUIDELINES, A VOLUNTARY RATINGS SYSTEM USED TO INFLUENCE PLANNING AND  
DESIGN DECISION-MAKING ALONG THE WATER'S EDGE, IN ORDER TO BALANCE  
PUBLIC ACCESS WITH COASTAL RESILIENCY AND ECOSYSTEM RESTORATION

NAME OF RECIPIENT - WELCOMING AMERICA INC.

TOWARD THE COSTS OF ENSURING THAT IMMIGRANT AND REFUGEE POPULATIONS ARE  
ABLE TO ACHIEVE MORE EQUITABLE OUTCOMES IN AMERICA, BY SUPPORTING  
CONVENINGS, CASE STUDIES, AND THE DEVELOPMENT OF WELCOMING METRICS

NAME OF RECIPIENT - WEROBOTICS, INC.

IN SUPPORT OF INCUBATING THREE FLYING LABS, PHYSICAL SPACES WHERE LOCAL  
COMMUNITIES CAN LEARN HOW TO USE ROBOTICS TO ACCELERATE HUMANITARIAN  
AID, DEVELOPMENT AND ENVIRONMENTAL PROTECTION EFFORTS IN HIGHLY  
VULNERABLE COMMUNITIES ACROSS THE GLOBE

NAME OF RECIPIENT - WESPO, INC.

IN SUPPORT OF DEVELOPING A STRATEGY AND IMPLEMENTATION PLAN TO CATALYZE



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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THE TRANSITION TO MORE INCLUSIVE AND RESILIENT WATER MANAGEMENT SYSTEMS  
GLOBALLY

NAME OF RECIPIENT - WESPO, INC.

IN SUPPORT OF DEVELOPING STRATEGIES FOR ALLEVIATING MULTI-SECTOR  
COMPETITION FOR FRESH WATER IN ORDER TO SECURE WATER FOR ECOSYSTEMS AND  
FOR THE POOR AND VULNERABLE PEOPLE WHO DEPEND ON CLEAN RELIABLE FLOWS  
OF FRESH WATER

NAME OF RECIPIENT - WEST COAST INFRASTRUCTURE EXCHANGE

IN SUPPORT OF TRAINING A CADRE OF PUBLIC SECTOR DECISION MAKERS IN  
CALIFORNIA, OREGON, AND WASHINGTON ON THE BENEFITS OF AGGREGATING WATER  
INFRASTRUCTURE PROJECTS AND THE USE OF PUBLIC-PRIVATE PARTNERSHIPS IN  
ADDRESSING WATER INFRASTRUCTURE NEEDS

NAME OF RECIPIENT - WHAT WOULD JANE DO LLC

IN SUPPORT OF COMPLETING OF A FEATURE-LENGTH DOCUMENTARY ON GLOBAL  
URBANIZATION THROUGH THE LENS OF JANE JACOBS

NAME OF RECIPIENT - WILL AND JADA SMITH FAMILY FOUNDATION

IN SUPPORT OF THE NEW YORK CITY LAUNCH OF ITS CAREERS IN ENTERTAINMENT  
TOUR TO OFFER MENTORSHIP AND EMPLOYMENT PATHWAYS TO YOUTH FROM  
UNDERSERVED COMMUNITIES BY CONNECTING THEM TO ENTERTAINMENT INDUSTRY  
LEADERS AND COMPANIES

NAME OF RECIPIENT - WINDWARD FUND

FOR USE BY ITS WATER FUNDER INITIATIVE TOWARD THE COSTS OF DEVELOPING  
AND COORDINATING THE IMPLEMENTATION OF SIX ACTION PLANS TO ADDRESS

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

WATER CHALLENGES IMPACTING COMMUNITIES AND FRESHWATER ECOSYSTEMS IN THE  
U.S. WEST

NAME OF RECIPIENT - WINDWARD FUND

IN SUPPORT OF ITS PROJECT, RESILIENCE MEASUREMENT COMMUNITY OF  
PRACTICE, TO BRING TOGETHER LEADING RESILIENCE MEASUREMENT EXPERTS AND  
M&E PRACTITIONERS TO ADVANCE THE PRACTICE OF RESILIENCE MEASUREMENT AND  
BUILD THE EVIDENCE BASE FOR INVESTMENTS IN RESILIENCE

NAME OF RECIPIENT - WINDWARD FUND

FOR USE BY ITS WATER FUNDER INITIATIVE TOWARD THE COSTS OF DEVELOPING  
AND COORDINATING THE IMPLEMENTATION OF FUNDING ACTION PLANS TO ADDRESS  
WATER CHALLENGES IMPACTING COMMUNITIES AND FRESHWATER ECOSYSTEMS IN THE  
U.S. WEST

NAME OF RECIPIENT - WINDWARD FUND

TOWARD THE COSTS OF IMPLEMENTING THE RESILIENCE MEASUREMENT, EVIDENCE  
AND LEARNING COMMUNITY OF PRACTICE, A PROJECT WHICH BRINGS TOGETHER  
LEADING RESILIENCE MEASUREMENT EXPERTS AND PRACTITIONERS TO JOINTLY  
ADVANCE THE CONCEPT AND PRACTICE OF RESILIENCE MEASUREMENT

NAME OF RECIPIENT - WOMEN DELIVER INC.

TOWARD THE COSTS OF PROMOTING UNIVERSAL HEALTH COVERAGE AT ITS FOURTH  
GLOBAL CONFERENCE TO BE HELD IN COPENHAGEN, DENMARK, MAY 2016

NAME OF RECIPIENT - WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND  
ORGANIZING

TOWARD THE COSTS OF A PILOT PROJECT TO PROMOTE THE LIVELIHOODS OF

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3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

INFORMAL WORKERS IN MULTIPLE OCCUPATIONAL SECTORS IN BANGKOK, THAILAND

NAME OF RECIPIENT - WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND  
ORGANIZINGIN GENERAL SUPPORT OF ITS MISSION TO IMPROVE THE STATUS OF THE WORKING  
POOR IN THE INFORMAL ECONOMY, ESPECIALLY WOMEN

NAME OF RECIPIENT - WOMEN IN THE WORLD MEDIA LLC

TOWARD THE COSTS OF CURATING AND EXECUTING THE SEVENTH ANNUAL WOMEN IN  
THE WORLD SUMMIT TO BE HELD IN NEW YORK CITY, APRIL 2016 AND OF HOSTING  
A LUNCHEON AROUND THE LAUNCH OF THE FOUNDATION'S "100 BY 2025" CAMPAIGN

NAME OF RECIPIENT - WORKLIFE PARTNERSHIP

IN SUPPORT OF PROVIDING A COMPREHENSIVE SUITE OF POST-EMPLOYMENT  
SUPPORT SERVICES TO NEWLY-HIRED, YOUNG WORKERS IN THE U.S. FACING  
BARRIERS TO RETENTION AND PERFORMANCE

NAME OF RECIPIENT - WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA

FOR USE BY ITS PROJECT, THE GLOBAL PHILANTHROPY FORUM, IN SUPPORT OF  
BUILDING ITS CAPACITY TO ADVANCE STRATEGIC PHILANTHROPY IN AFRICA

NAME OF RECIPIENT - WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA

TOWARD THE COSTS OF ITS GLOBAL PHILANTHROPY FORUM, WHICH BRINGS  
TOGETHER FOUNDATION LEADERS, INDIVIDUAL DONORS, SOCIAL INVESTORS, AND  
AGENTS OF CHANGE TO LEARN MORE ABOUT OPPORTUNITIES FOR INTERNATIONAL  
PHILANTHROPY

NAME OF RECIPIENT - WORLD FOOD PROGRAMME

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IN SUPPORT OF SCALING ITS AGRICULTURAL MARKET ACCESS PLUS ZERO FOOD  
LOSS INITIATIVE TO TANZANIA AND TO ALIGN POST-HARVEST TRAINING AND  
TECHNOLOGIES WITH EXISTING VALUE CHAINS

NAME OF RECIPIENT - WORLD HEALTH ORGANIZATION

FOR USE BY ITS EASTERN MEDITERRANEAN REGIONAL OFFICE (EMRO) IN SUPPORT  
OF SCOPING THE STATUS OF HEALTH SYSTEMS, DEVELOPING AN EVIDENCE BASE TO  
ASSESS COUNTRIES' READINESS TO MOVE TOWARDS UNIVERSAL HEALTH COVERAGE  
(UHC), RAISING AWARENESS ABOUT THE IMPORTANCE OF UHC AMONGST POLICY AND  
DECISION-MAKERS AND FACILITATING THE DEVELOPMENT OF A STRATEGIC ROADMAP  
FOR HEALTH REFORM

NAME OF RECIPIENT - WORLD HEALTH ORGANIZATION

IN SUPPORT OF SERVING AS THE OFFICIAL SOURCE FOR MEASURING AND  
MONITORING UNIVERSAL HEALTH COVERAGE (UHC) PROGRESS, AND COORDINATING  
STRATEGIES AND ACTIVITIES TOWARDS ATTAINING THE SUSTAINABLE DEVELOPMENT  
GOALS 3.8

NAME OF RECIPIENT - WORLD WILDLIFE FUND INC.

IN SUPPORT OF CATALYZING SUSTAINABLE SOURCING AND FOOD WASTE REDUCTION  
IN THE U.S. HOSPITALITY SECTOR

NAME OF RECIPIENT - WORLDWIDE INITIATIVES FOR GRANTMAKER SUPPORT, INC.

IN GENERAL SUPPORT OF ITS MISSION TO NURTURE INFORMATION EXCHANGE ABOUT  
STRATEGIC PHILANTHROPY BETWEEN PHILANTHROPIC ACTORS IN THE GLOBAL SOUTH

NAME OF RECIPIENT - YALE UNIVERSITY

FOR USE BY ITS CENTER FOR THE STUDY OF GLOBALIZATION IN SUPPORT OF

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ACTIVITIES TO AID AND PROMOTE THE WORK OF THE ROCKEFELLER FOUNDATION  
 COMMISSION ON PLANETARY HEALTH, AN INITIATIVE TO DEVELOP THE ECONOMIC  
 CASE FOR THE NEW FIELD OF PLANETARY HEALTH, AND TO RECOMMEND HIGH-LEVEL  
 POLICY ACTIONS

NAME OF RECIPIENT - YALE UNIVERSITY

FOR USE BY ITS CENTER FOR THE STUDY OF GLOBALIZATION TOWARD THE COSTS  
 OF DEVELOPING A SET OF GUIDING PRINCIPLES FOR EQUITABLE AND FINANCIALLY  
 SUSTAINABLE UNIVERSAL HEALTH COVERAGE (UHC), WHICH WILL SERVE AS A  
 RESOURCE FOR GOVERNMENTS TO DEVELOP UHC STRATEGIES AND POLICIES AND AS  
 AN INSTRUMENT FOR ORGANIZATIONS AND AGENCIES TO DISCUSS AND BENCHMARK  
 PROGRESS

NAME OF RECIPIENT - YOUNG WOMEN'S LEADERSHIP NETWORK INC.

TOWARD THE COSTS OF THE 2016-2017 SCHOOL YEAR ACTIVITIES OF THE YOUNG  
 WOMEN'S LEADERSHIP SCHOOLS, A NETWORK OF ALL-GIRLS PUBLIC SECONDARY  
 SCHOOLS SERVING UNDERSERVED GIRLS AND THEIR FAMILIES IN NEW YORK CITY

NAME OF RECIPIENT - YUNUS SOCIAL BUSINESS GMBH

IN SUPPORT OF PILOTING THE SOCIAL SUCCESS NOTE, AN INNOVATIVE FINANCING  
 INSTRUMENT, TO ENABLE IMPACT WATER (OR A COMPARABLE SOCIAL ENTERPRISE)  
 TO FUND WATER PURIFICATION SYSTEMS IN UGANDA

NAME OF RECIPIENT - ZERO POINT ZERO PRODUCTION, INC.

IN SUPPORT OF PRODUCING A FEATURE LENGTH DOCUMENTARY FILM, "WASTED," AND  
 A CORRESPONDING AWARENESS CAMPAIGN AND EDUCATIONAL CURRICULUM TO  
 HIGHLIGHT EFFORTS TO REDUCE FOOD WASTE

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ACCENTURE LLP

FOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS IN SUPPORT OF  
INFORMING THE GLOBAL RESILIENCE PARTNERSHIP'S TRANSITION TO A NEW  
OPERATING MODEL

NAME OF RECIPIENT - ACCESS HEALTH INTERNATIONAL, INC.

FOR USE BY ITS JOINT LEARNING NETWORK FOR UNIVERSAL HEALTHCARE IN  
SUPPORT OF THE JOINT LEARNING FUND IN ORDER TO BROADEN ENGAGEMENT AND  
TECHNICAL LEARNING OUTCOMES WITHIN MEMBER COUNTRIES, INSTITUTIONALIZE  
COUNTRY CORE GROUPS, AND STRENGTHEN ITS PROFILE THROUGH SUPPORT FOR  
COUNTRY-LEVEL EFFORTS

NAME OF RECIPIENT - ADESO

TOWARD THE COSTS OF ESTABLISHING A GLOBAL NETWORK OF SOUTHERN NGOS  
WORKING ON HUMANITARIAN RESPONSE, RECOVERY AND RESILIENCE BUILDING

NAME OF RECIPIENT - ADHIKAAR FOR HUMAN RIGHTS AND SOCIAL JUSTICE

IN SUPPORT OF STRENGTHENING ITS APPROACH AND CAPACITY TO MEASURE  
RESILIENCE AND INCLUSIVITY IN ITS WORK, AND TO BETTER DETERMINE THE  
IMPACT ITS WORK IS HAVING ON THE NEPALI-SPEAKING COMMUNITY IN NEW YORK  
AND BEYOND

NAME OF RECIPIENT - AFRICA GREENCO

IN SUPPORT OF ESTABLISHING A NEW PUBLIC-PRIVATE PARTNERSHIP THAT  
CATALYZES PRIVATE SECTOR INVESTMENT IN AFRICAN ENERGY PROJECTS

NAME OF RECIPIENT - AFRICA KNOWS PHOTOGRAPHY LIMITED

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM EMERGING LEADERS

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

THROUGHOUT SUB-SAHARAN AFRICA ON THE MOST PROMISING SOLUTIONS TO  
ECONOMIC, SOCIAL, AND ENVIRONMENTAL CHALLENGES FOR POOR AND VULNERABLE  
PEOPLE

NAME OF RECIPIENT - AFRICA PRACTICE EAST AFRICA LIMITED

IN SUPPORT OF INCREASING AWARENESS OF THE ISSUE OF POSTHARVEST LOSS IN  
SPECIFIC COUNTRIES OF SUB-SAHARA AFRICA AND ACROSS VALUE CHAINS

NAME OF RECIPIENT - AFRICA TWENTY-FOUR MEDIA, LTD.

IN SUPPORT OF DEVELOPING MEDIA COLLATERAL TO RAISE AWARENESS ABOUT  
POST-HARVEST LOSS TO DIFFERENT STAKEHOLDERS IN THE AGRICULTURE SECTOR

NAME OF RECIPIENT - AFRICAN UNION COMMISSION

IN SUPPORT OF STRENGTHENING ITS INTERNAL CAPACITY TO DESIGN AND  
IMPLEMENT THE AFRICA RISK CAPACITY PROJECT, A DISASTER RISK POOL THAT  
WOULD PROVIDE RESOURCES TO AFRICAN NATIONS IN THE EVENT OF A SEVERE  
WEATHER SHOCK

NAME OF RECIPIENT - AGRICULTURE CLIMATE CHANGE EDUCATION COMMUNITY  
PROGRAMMES

IN SUPPORT OF LAUNCHING A PARTICIPATORY RADIO SHOW THAT WILL ENCOURAGE  
YOUTH TO ENTER FARMING IN KIKUYU-SPEAKING DISTRICTS OF KENYA

NAME OF RECIPIENT - ALAYA LLC

IN SUPPORT OF PREPARATIONS FOR A CONVENING FOCUSED ON IDENTIFYING  
CRITICAL PATTERNS, TENSIONS, AND PROGRESS IN RESILIENCE PRACTICE AND  
THEORY IN ORDER TO STRENGTHEN FUTURE APPLICATIONS OF RESILIENCE, TO BE  
HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, MAY 2017

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ALBRIGHT STONEBRIDGE GROUP LLC

IN SUPPORT OF CONDUCTING AGRICULTURAL POLICY ASSESSMENTS IN TANZANIA  
AND NIGERIA IN ORDER TO CONTRIBUTE TO AGRICULTURAL DEVELOPMENT AND  
IMPROVED OUTCOMES FOR SMALL SHAREHOLDER FARMERS

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES  
OF SMALL HOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE MAIZE VALUE  
CHAIN IN TANZANIA

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

TOWARD THE COSTS OF TRANSITIONING TO A NEW ORGANIZATIONAL STRUCTURE AND  
DEVELOPING A SET OF CAPABILITIES BEST PLACED TO EXECUTE THE NEW  
STRATEGY

NAME OF RECIPIENT - ALLIANCE FOR A GREEN REVOLUTION IN AFRICA

IN SUPPORT OF BUILDING AND IMPROVING ITS INTERNAL PROGRAM MANAGEMENT  
CAPABILITIES IN ORDER TO EXECUTE A COORDINATED AND INTEGRATED  
POST-HARVEST LOSS INTERVENTION ON TANZANIA'S MAIZE VALUE CHAIN

NAME OF RECIPIENT - ARMILLARIA, LLC

IN GENERAL SUPPORT OF ESTABLISHING A NEW HYBRID SOCIAL ENTERPRISE TO  
CONTINUE THE DEVELOPMENT AND DEPLOYMENT OF THE RESILIENCE EXCHANGE  
PLATFORM.

NAME OF RECIPIENT - ART AFRICA CENTRE

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING AFRICAN ARTISTS AS



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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

CANDIDATES FOR RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S BELLAGIO  
CENTER, ITALY, AND OF FACILITATING A BELLAGIO ALUMNI ENGAGEMENT EVENT  
IN NAIROBI, KENYA

NAME OF RECIPIENT - ASIAN VENTURE PHILANTHROPY NETWORK LIMITED  
TOWARD THE COSTS OF ESTABLISHING A KNOWLEDGE CENTER THAT WILL SERVE AS  
A RESOURCE FOR VENTURE PHILANTHROPISTS AND ADVANCE PHILANTHROPIC  
PRACTICE IN ASIA

NAME OF RECIPIENT - ASPEN INSTITUTE, INC.  
FOR USE BY ITS ASPEN FORUM FOR COMMUNITY SOLUTIONS TOWARD THE COSTS OF  
EFFORTS TO DEEPEN AND EXPAND THE COMMUNITY-BASED WORK OF THE 100,000  
OPPORTUNITIES INITIATIVE, AN EMPLOYER-LED COALITION COMMITTED TO  
INCREASING EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUNG PEOPLE IN  
THE U.S.

NAME OF RECIPIENT - AUBURN UNIVERSITY  
FOR USE BY ITS HUNGER SOLUTIONS INSTITUTE AND THE UNIVERSITIES FIGHTING  
WORLD HUNGER TOWARD THE COSTS OF A CURRICULUM, TOOLKIT, AND SUMMIT TO  
EDUCATE AND MOBILIZE STUDENT LEADERS TO ADDRESS FOOD WASTE AND HUNGER  
ON THEIR CAMPUSES

NAME OF RECIPIENT - B LAB COMPANY  
TOWARD THE COSTS OF ITS BEST FOR NEW YORK CITY INITIATIVE AND THE  
NATIONAL EXPANSION OF THE BEST FOR PROJECT TO PROVIDE TOOLS FOR SMALL  
BUSINESSES TO MEASURE, BENCHMARK, AND IMPROVE THEIR ECONOMIC AND SOCIAL  
IMPACT

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NAME OF RECIPIENT - BANGABANDHU SHEIKH MUJIB MEDICAL UNIVERSITY

IN SUPPORT OF A PROJECT TO DEVELOP A NEW DEPARTMENT OF PUBLIC HEALTH  
AND INFORMATICS IN BANGLADESH

NAME OF RECIPIENT - BANQU, INC.

IN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS  
FOR BUILDING ECONOMIC IDENTITIES FOR REFUGEES

NAME OF RECIPIENT - BEHAVIORAL IDEAS LAB, INC.

IN SUPPORT OF EXECUTING A"BEHAVIOR CHANGE" THEMED MONTH AT THE  
ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY, JULY 2016 AND COLLECTING  
AND DISSEMINATING RESULTING KNOWLEDGE ASSETS

NAME OF RECIPIENT - BLUE STATE DIGITAL, INC.

IN SUPPORT OF BUILDING, LAUNCHING, AND OPTIMIZING A PUBLISHING AND  
KNOWLEDGE-SHARING PLATFORM TO UNITE AND BUILD THE FIELD OF RESILIENCE  
BY DELIVERING WIDE-RANGING, QUALITY CONTENT, CONNECTIONS, EVENTS, AND  
RECOMMENDATIONS TO RESILIENCE PRACTITIONERSNAME OF RECIPIENT - BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR  
UNIVERSITYFOR USE BY ITS NATURAL CAPITAL PROJECT IN SUPPORT OF DEVELOPING A  
GLOBAL SCIENCE AND TECHNOLOGY PLATFORM TO MAINSTREAM ACCOUNTING FOR  
NATURAL CAPITAL INTO POLICIES AND MARKETS AFFECTING HUMAN WELLBEING

NAME OF RECIPIENT - BRAC USA INC.

IN SUPPORT OF PROVIDING SUBSIDIZED FINANCIAL SERVICES TO POOR AND  
INFORMALLY EMPLOYED COMMUNITIES IN SIERRA LEONE AND LIBERIA IN AN

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

EFFORT TO BUILD RESILIENCE TO THE EBOLA EPIDEMIC, AND TO STRENGTHEN  
INTERNAL CAPACITY TO DELIVER SERVICES IN PERIODS OF CRISIS

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY

IN SUPPORT OF DEVELOPING, ADMINISTERING AND LAUNCHING A GLOBAL IMPACT  
SOURCING COALITION TO SUPPORT WIDE-SCALE ADOPTION OF IMPACT SOURCING AS  
AN INCLUSIVE HIRING PRACTICE IN THE BUSINESS PROCESS OUTSOURCING  
INDUSTRY

NAME OF RECIPIENT - BUSINESS FOR SOCIAL RESPONSIBILITY

IN SUPPORT OF ENABLING THE GLOBAL RESILIENCE PARTNERSHIP ASIA TO  
ENHANCE ITS BUSINESS INTEGRATION ACTIONS AND STRATEGIES IN ORDER TO  
INFLUENCE CORPORATE INVESTMENT IN CLIMATE RESILIENCE ACTIONS AND  
INITIATIVES THROUGHOUT THE SOUTHEAST ASIA REGION

NAME OF RECIPIENT - CERES, INC.

IN SUPPORT OF DEVELOPING GUIDANCE FOR INVESTORS ON HOW TO INTEGRATE  
WATER RISKS INTO THEIR DECISION-MAKING PRACTICES IN AN EFFORT TO  
ENCOURAGE THE STEWARDSHIP OF WATER RESOURCES BY LARGE COMPANIES

NAME OF RECIPIENT - CHANCELLOR, MASTERS AND SCHOLARS OF THE UNIVERSITY OF  
OXFORD

FOR USE BY ITS OXFORD MARTIN SCHOOL IN SUPPORT OF THE ROCKEFELLER  
FOUNDATION ECONOMIC COUNCIL ON PLANETARY HEALTH, AN EFFORT TO ESTABLISH  
THE ECONOMIC AND BUSINESS CASE FOR PLANETARY HEALTH

NAME OF RECIPIENT - CITISCOPE

TOWARD THE COSTS OF DEVELOPING EDITORIAL COVERAGE OF THE RUN-UP TO THE

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

HABITAT III CONFERENCE, WITH A FOCUS ON HOW CITIES ARE INNOVATING TO  
BUILD RESILIENCE

NAME OF RECIPIENT - CIVIC HALL LABS, INC.

IN SUPPORT OF ORGANIZING THREE CONVENINGS CENTERED ON DRIVING IMPACT  
AND LEVERAGING INNOVATION TO EFFECT CHANGE

NAME OF RECIPIENT - CLIMATE BONDS INITIATIVE

IN SUPPORT OF DEVELOPING DEMONSTRATION PROJECTS TO PROMOTE THE USE OF  
FINANCIAL AGGREGATION SOLUTIONS FOR GREEN PROJECTS IN DEVELOPING  
COUNTRIES

NAME OF RECIPIENT - CLIMATE BONDS INITIATIVE

TOWARD THE COSTS OF DEVELOPING DEFINITIONS AND STANDARDS THAT VERIFY  
THE GREEN CREDENTIALS OF A BOND AND ENCOURAGE INVESTMENT IN CLIMATE  
CHANGE ADAPTATION AND MITIGATION

NAME OF RECIPIENT - CONFERENCING IN A BOX LIMITED

IN SUPPORT OF CONVENING THE AFRICA REGIONAL OFFICE RESILIENCE WEEK AND  
THE AFRICA REGIONAL OFFICE RESILIENCE ACADEMY TO BE HELD IN NAIROBI,  
KENYA, DECEMBER 2016

NAME OF RECIPIENT - CONSERVATION INTERNATIONAL FOUNDATION

IN SUPPORT OF RESILIENCE ATLAS, AN INTEGRATIVE DECISION SUPPORT TOOL  
FOR RISK ASSESSMENT AND INVESTMENT DECISIONS, AND THE INCORPORATION OF  
IT INTO THE GLOBAL RESILIENCE PARTNERSHIP WEBSITE

NAME OF RECIPIENT - CORNELL UNIVERSITY

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FOR USE BY ITS CENTER FOR SUSTAINABLE GLOBAL ENTERPRISE IN SUPPORT OF  
DEVELOPING A CURRICULUM AND HOSTING A CERTIFICATE PROGRAM TO EDUCATE  
SENIOR CORPORATE EXECUTIVES ON HOW TO BETTER ADDRESS AND CHAMPION  
ISSUES OF FOOD WASTE AND LOSS WITHIN THEIR ORGANIZATIONS

NAME OF RECIPIENT - CORPORATE COUNCIL ON AFRICA  
IN SUPPORT OF LAUNCHING THE YIELDWISE INITIATIVE IN AFRICA AT THE  
U.S.-AFRICA BUSINESS SUMMIT TO BE HELD IN ADDIS ABABA, ETHIOPIA,  
FEBRUARY 2016

NAME OF RECIPIENT - COUNCIL ON FOREIGN RELATIONS, INC.  
FOR USE BY ITS PUBLICATION "FOREIGN AFFAIRS," IN SUPPORT OF  
COMMUNICATIONS ACTIVITIES TO RAISE AWARENESS AND BUILD MOMENTUM FOR THE  
INNOVATIVE FINANCE FIELD AND ITS POTENTIAL TO MOBILIZE FUNDING FOR  
CRITICAL SOCIAL, ECONOMIC AND ENVIRONMENTAL CHALLENGES

NAME OF RECIPIENT - COUNCIL ON FOREIGN RELATIONS, INC.  
IN SUPPORT OF A SERIES OF HIGH-LEVEL ROUNDTABLE DISCUSSIONS TO OUTLINE  
THE IDEAL QUALITIES OF THE NEXT DIRECTOR-GENERAL OF THE WORLD HEALTH  
ORGANIZATION IN THE CONTEXT OF ITS EVOLVING ROLE WITHIN THE GLOBAL  
HEALTH COMMUNITY

NAME OF RECIPIENT - COUNCIL ON FOUNDATIONS, INC.  
IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE THE OPPORTUNITY,  
LEADERSHIP AND TOOLS NEEDED BY PHILANTHROPIC ORGANIZATIONS TO EXPAND,  
ENHANCE AND SUSTAIN THEIR ABILITY TO ADVANCE THE COMMON GOOD

NAME OF RECIPIENT - CURATIO INTERNATIONAL FOUNDATION

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FOR USE AS THE HEALTH SYSTEMS GLOBAL SECRETARIAT TOWARD THE COSTS OF  
ORGANIZING THE FOURTH HEALTH SYSTEMS SYMPOSIUM AND CONSOLIDATING THE  
SUSTAINABILITY OF HEALTH SYSTEMS GLOBAL

NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF DESIGNING, LAUNCHING, AND EXECUTING THE "CASSAVA  
INNOVATION CHALLENGE" IN ORDER TO SURFACE SOLUTIONS TO INCREASE THE  
SHELF LIFE OF CASSAVA AND THEREBY IMPROVE THE LIVELIHOODS OF SMALL  
HOLDER FARMERS IN AFRICA

NAME OF RECIPIENT - DALBERG CONSULTING - U.S., LLC

IN SUPPORT OF EXECUTING A THEMED RESIDENCY AT THE ROCKEFELLER  
FOUNDATION BELLAGIO CENTER, ITALY, JULY/AUGUST 2017, AND COLLECTING AND  
DISSEMINATING RESULTING KNOWLEDGE ASSETS

NAME OF RECIPIENT - DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

IN SUPPORT OF A FEASIBILITY STUDY OF MINI-GRID MODELS AND THE DESIGN OF  
A MINI-GRID PARTNERSHIP FACILITY AND STRATEGY FOR CATALYZING ENERGY  
ACCESS FOR POOR AND VULNERABLE PEOPLE IN MYANMAR

NAME OF RECIPIENT - DALBERG GROUP APS

IN SUPPORT OF THE PILOT PHASE OF THE BIG BETS MATCH-UP INITIATIVE TO  
CREATE A NETWORKING AND SOLUTION PLATFORM THAT BRINGS TOGETHER CIVIL  
SOCIETY ORGANIZATIONS, ENTREPRENEURS, INVESTORS, AND PHILANTHROPISTS  
FOR ACTION TOWARD IMPLEMENTING THE SUSTAINABLE DEVELOPMENT GOALS

NAME OF RECIPIENT - DALBERG RESEARCH LTD

IN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY  
ON EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLE

NAME OF RECIPIENT - DALBERG RESEARCH LTD

IN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE  
THE STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY  
ON EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLE

NAME OF RECIPIENT - DANIEL J. EDELMAN, INC.

IN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING OF IMPACT  
HIRING AMONG EMPLOYER AUDIENCES TO BENEFIT DISADVANTAGED YOUTH IN THE  
UNITED STATES

NAME OF RECIPIENT - DEUTSCHE GESELLSCHAFT FUR INTERNATIONALE  
ZUSAMMENARBEIT (GIZ) GMBH

IN SUPPORT OF A FEASIBILITY STUDY ON THE VIABILITY OF COMMUNITY-BASED  
RENEWABLE ENERGY HYBRID SYSTEMS FOR ISLANDS IN THAILAND

NAME OF RECIPIENT - ELEVATE CONSULTING & COMMUNICATIONS, LLC

IN SUPPORT OF ESTABLISHING THE NORTHEAST INFRASTRUCTURE ACCELERATOR AND  
DESIGNING AN INFRASTRUCTURE INVESTMENT MODEL FOR STATES AND REGIONS TO  
UNDERSTAND THE SAVINGS ASSOCIATED WITH ADOPTING A RESILIENT WATER  
INFRASTRUCTURE

NAME OF RECIPIENT - ENVIRONMENTAL DEFENSE FUND, INCORPORATED

IN SUPPORT OF DEVELOPING THE REDD+ ACCELERATION FUND (RAF) IN AN EFFORT  
TO USE CLIMATE FINANCE TO REDUCE TROPICAL DEFORESTATION

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ENVIRONNEMENT ET DEVELOPPEMENT DU TIERS MONDE

IN SUPPORT OF DEVELOPING THE "GENUINE/ALTERNATIVE REPORT ON AFRICA" TO  
 SHOWCASE A NEW NARRATIVE FOR THE CONTINENT BASED ON AFRICA'S INNOVATION  
 CAPACITY, BUSINESS AND ECONOMIC OPPORTUNITY, AND AVAILABILITY OF TALENT  
 AND SKILLS

NAME OF RECIPIENT - ETHIOPIAN INSTITUTE OF AGRICULTURAL RESEARCH

IN SUPPORT OF USING SEASONAL CLIMATE FORECASTING AND ESTABLISHED  
 MANAGEMENT PRACTICES TO REDUCE THE VULNERABILITY OF AGRICULTURAL  
 PRODUCTION IN ETHIOPIA AND UGANDA TO CLIMATE CHANGE AND WEATHER  
 VARIABILITY

NAME OF RECIPIENT - FAMILY HEALTH INTERNATIONAL

IN SUPPORT OF A PROJECT TO IDENTIFY AND ENGAGE HIGH-POTENTIAL  
 INNOVATORS IN DIGITAL TECHNOLOGY WITH A FOCUS ON RESILIENCE OUTCOMES IN  
 ASIA

NAME OF RECIPIENT - FEEDING AMERICA

IN SUPPORT OF IDENTIFYING AND TESTING METHODS TO MORE EFFECTIVELY  
 REDUCE FOOD WASTE AND LOSS IN ORDER TO MAKE MORE FOOD AVAILABLE TO  
 VULNERABLE COMMUNITIES IN THE UNITED STATES

NAME OF RECIPIENT - FNDN FOR THE GRADUATE INSTITUTE OF INT'L RELATIONS  
 AND DEVELOPMENT

FOR USE BY ITS GLOBAL HEALTH CENTRE IN SUPPORT OF A ROUNDTABLE AND  
 DEBATE INTENDED TO MAKE THE ELECTION OF THE NEXT WORLD HEALTH  
 ORGANIZATION DIRECTOR-GENERAL A MORE OPEN AND INCLUSIVE PROCESS, AND TO  
 HIGHLIGHT THE TYPE OF LEADERSHIP NECESSARY FOR THE CURRENT GLOBAL



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HEALTH LANDSCAPE

NAME OF RECIPIENT - FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED  
NATIONS

IN SUPPORT OF PROVIDING TECHNICAL ASSISTANCE TO THE AFRICAN UNION IN  
THE DEVELOPMENT OF POLICIES AND STRATEGIES FOR COUNTRY-SPECIFIC PLANS  
TO REDUCE POST-HARVEST FOOD LOSS

NAME OF RECIPIENT - FOUNDATION CENTER

IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN THE SOCIAL SECTOR BY  
ADVANCING KNOWLEDGE ABOUT PHILANTHROPY IN THE U.S. AND AROUND THE WORLD

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF ITS SHARED VALUE INITIATIVE, TO CONTINUE TO DEVELOP  
THE CONCEPT OF "SHARED VALUE" AND PROMOTE IT AS A GUIDING PHILOSOPHY AND  
CORE BUSINESS PRACTICE THAT DRIVES INNOVATION BY ADDRESSING SOCIAL  
NEEDS

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF LEADING LEARNING ACTIVITIES TO CATALYZE EMPLOYER  
ADOPTION OF IMPACT HIRING TO EXPAND EMPLOYMENT OPPORTUNITIES FOR  
DISADVANTAGED YOUTH, IN COLLABORATION WITH THE 100,000 OPPORTUNITIES  
COALITION AND OTHER EMPLOYER ORGANIZATIONS

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF THE 100,000 OPPORTUNITIES INITIATIVE, AN  
EMPLOYER-LED COALITION COMMITTED TO CREATING EMPLOYMENT PATHWAYS FOR  
YOUNG PEOPLE IN THE U.S.

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - FSG, INC.

TOWARD THE COSTS OF THE DEVELOPMENT OF A DIFFERENTIATED FRAMEWORK  
FOR "MARKET SYSTEMS INNOVATIONS" (MSIS) AS AN APPROACH TO ADVANCE  
INCLUSIVE ECONOMIES

NAME OF RECIPIENT - FT PUBLICATIONS INC.

FOR USE BY ITS FINANCIAL TIMES LIVE CONFERENCE DIVISION IN SUPPORT OF A  
SERIES OF CONVENINGS OF PRIVATE SECTOR STAKEHOLDERS TO DISCUSS METHODS  
FOR INCORPORATING NATURAL ASSETS INTO FINANCIAL DECISION MAKING, TO BE  
HELD IN NEW YORK AND LONDON IN 2016

NAME OF RECIPIENT - FUND FOR CITIES OF SERVICE, INC.

TOWARD THE COSTS OF PILOTING RESILIENCE AMERICORPS, A PROGRAM TO  
ADDRESS SOCIAL AND ENVIRONMENTAL RESILIENCE CHALLENGES IN TEN U.S.  
CITIES

NAME OF RECIPIENT - FUND FOR THE CITY OF NEW YORK, INC.

FOR USE BY ITS PROJECT, ZAKTI, TOWARD THE COSTS OF IMPLEMENTING A PRIZE  
THAT WILL YIELD SOLUTIONS FOR THE FOUNDATION'S SMART POWER FOR RURAL  
DEVELOPMENT INITIATIVE, AND TO CONDUCT RESEARCH AND PRODUCE A PUBLIC  
REPORT ABOUT THE EFFECTIVENESS OF APPLYING OPEN INNOVATION AND PRIZE  
CHALLENGE METHODOLOGIES TO LARGE-SCALE PROBLEMS, SUCH AS ENERGY POVERTY  
AND NUTRITION, EXPERIENCED BY POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL

IN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS  
AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - FUNDACION JUMEX ASOCIACION CIVIL

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS  
AS CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION'S  
BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - GENESIS ANALYTICS PTY LTD

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE FOUNDATION'S  
DIGITAL JOBS AFRICA INITIATIVE, AS WELL AS ITS GRANTEES AND PARTNERS,  
IN ORDER TO BENEFIT THE FIELDS OF YOUTH EMPLOYMENT, DIGITAL JOBS AND  
INCLUSIVE ECONOMIES

NAME OF RECIPIENT - GLOBAL ALLIANCE FOR IMPROVED NUTRITION

FOR USE BY THE POSTHARVEST LOSS ALLIANCE FOR NUTRITION IN SUPPORT OF  
TECHNICAL ASSISTANCE TO STAKEHOLDERS IN THE NIGERIAN TOMATO VALUE CHAIN  
TO REDUCE POSTHARVEST LOSS AND IMPROVE PRODUCE PACKAGING IN ORDER TO  
BENEFIT LOW INCOME CONSUMERS

NAME OF RECIPIENT - GLOBAL BPO SOLUTIONS, LLC

IN SUPPORT OF SCALING IMPACT SOURCING BY DRIVING MEMBERSHIP RECRUITMENT  
FOR THE GLOBAL IMPACT SOURCING COALITION AND COORDINATING DEVELOPMENT  
OF RELEVANT TOOLS AND RESOURCES FOR BUILDING ITS VALUE PROPOSITION

NAME OF RECIPIENT - GLOBAL KNOWLEDGE INITIATIVE

IN SUPPORT OF CATALYZING INNOVATION TO IMPROVE THE EFFICIENCY,  
EFFECTIVENESS, AND IMPACT OF THE YIELDWISE INITIATIVE BY BUILDING  
INNOVATION CAPACITY AMONG KEY ORGANIZATIONS AND SCANNING FOR DISTINCT

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

INNOVATIVE SOLUTIONS THAT WILL LEAD TO REDUCED FOOD LOSS AND IMPROVED  
LIVELIHOODS FOR SMALLHOLDER FARMERS

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD

IN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER  
ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND  
VULNERABLE COMMUNITIES IN URBAN AREAS

NAME OF RECIPIENT - GUARDIAN NEWS AND MEDIA LTD

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM DIVERSE, GLOBAL  
JOURNALISTS AND EDITORS AROUND SOCIAL, ECONOMIC, AND ENVIRONMENTAL  
THEMES AND ISSUES THAT IMPACT POOR AND VULNERABLE PEOPLE

NAME OF RECIPIENT - GUIDESTAR USA, INC.

IN GENERAL SUPPORT OF ITS MISSION TO REVOLUTIONIZE PHILANTHROPY AND  
NONPROFIT PRACTICE BY PROVIDING INFORMATION THAT ADVANCES TRANSPARENCY  
IN THE PHILANTHROPIC SECTOR, ENABLES USERS TO MAKE BETTER DECISIONS,  
AND ENCOURAGES CHARITABLE GIVING

NAME OF RECIPIENT - HARAMBEE YOUTH EMPLOYMENT ACCELERATOR NPC

IN SUPPORT OF SCALING A DEMAND-DRIVEN MODEL FOR TRAINING HIGH POTENTIAL  
BUT DISADVANTAGED YOUTH, AND PLACING THEM IN DIGITAL JOBS IN THE  
RETAIL, BUSINESS PROCESS OUTSOURCING AND FINANCIAL SERVICES SECTORS IN  
SOUTH AFRICA

NAME OF RECIPIENT - HATTAWAY COMMUNICATIONS, INC.

IN SUPPORT OF CONTINUING TO ADVANCE THE WORK OF HATCH FOR GOOD IN  
BUILDING STORYTELLING ORGANIZATIONS WITHIN THE SOCIAL AND DEVELOPMENT

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

SECTORS, INCLUDING AN ASSESSMENT OF ITS EFFECTIVENESS AND THE  
EXPLORATION OF SUSTAINABILITY MODELS

NAME OF RECIPIENT - HEALTH STRATEGY AND POLICY INSTITUTE

IN SUPPORT OF A PROJECT TO STRENGTHEN POLICY AROUND AND THE PRACTICE OF  
IMPROVING UNIVERSAL HEALTH COVERAGE IMPLEMENTATION IN VIETNAM BY USING  
EVIDENCE-BASED DATA AND ANALYSES AND BUILDING LOCAL CAPACITY

NAME OF RECIPIENT - HR&A ADVISORS, INC

IN SUPPORT OF RESILIENCE ACADEMIES FOR THE RESILIENCE AMERICORPS VISTAS  
PROGRAM, AS WELL AS THE DEVELOPMENT OF AN UPDATED ONLINE CURRICULUM FOR  
THE RESILIENCE AGE WEBSITE

NAME OF RECIPIENT - HR&A ADVISORS, INC.

IN SUPPORT OF TESTING THE GLOBAL RESILIENCE ACADEMY PROTOTYPE TO  
DETERMINE THE HIGHEST VALUE DOMAINS FOR FUTURE DEPLOYMENT

NAME OF RECIPIENT - IDEO LP

IN SUPPORT OF A SUITE OF DESIGN RESEARCH AND PROTOTYPING MODULES THAT  
WILL TEST AND VALIDATE MANY OF THE POTENTIAL SOLUTIONS AND IDEAS  
ARISING FROM A BROADER SET OF ACTIVITIES BEING INITIATED TO ADDRESS  
FOOD WASTE IN THE U.S.

NAME OF RECIPIENT - IDEO LP

IN SUPPORT OF THE DESIGN AND EXECUTION OF THE OPENIDEO FOOD WASTE  
CHALLENGE ALONG WITH THE SUBSEQUENT LAUNCH OF A FOOD WASTE IDEAS LAB  
MEANT TO FACILITATE DESIGN SUPPORT AND KNOWLEDGE EXCHANGE AMONG AN  
EMERGENT COMMUNITY OF PRACTICE OF GLOBAL INNOVATORS ADDRESSING FOOD

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NAME OF RECIPIENT - IDEO.ORG

IN SUPPORT OF THE FOURTH ITERATION OF THE AMPLIFY CHALLENGE - AN IDEAS  
COMPETITION THAT WILL SOLICIT INNOVATIVE APPROACHES FOR BUILDING  
RESILIENCE TO CLIMATE CHANGE IN URBAN SLUM COMMUNITIES ACROSS THE SAHEL  
AND SOUTHEAST ASIA

NAME OF RECIPIENT - IMPACT INVESTMENT SHUJOG LIMITED

IN SUPPORT OF MONITORING AND EVALUATING THE WOMEN'S LIVELIHOOD BOND, A  
REPLICABLE SOCIAL MISSION BOND DESIGNED TO RAISE CAPITAL TO PROMOTE  
ECONOMIC INCLUSION AND RESILIENCE FOR POOR AND VULNERABLE WOMEN IN  
SOUTH AND SOUTHEAST ASIA

NAME OF RECIPIENT - IMPACTXDESIGN LLC

IN SUPPORT OF USING CROWD INTELLIGENCE TO TEST HYPOTHESES, SURFACE  
INNOVATIONS, AND ENGAGE DIVERSE VOICES IN ORDER TO GENERATE SOLUTIONS  
FOR SOCIAL IMPACT NETWORKS

NAME OF RECIPIENT - INDEPENDENT SECTOR

IN GENERAL SUPPORT OF ITS MISSION TO ADVANCE THE COMMON GOOD BY  
LEADING, STRENGTHENING AND MOBILIZING THE NONPROFIT COMMUNITY

NAME OF RECIPIENT - INITIATIVE FOR A COMPETITIVE INNER CITY, INC.

IN SUPPORT OF SURFACING NEW LEARNINGS ON URBAN FOOD RESILIENCE IN ORDER  
TO IDENTIFY BEST PRACTICES IN FOOD SYSTEM EFFICIENCY AND RESILIENCE,  
AND DEVELOP A SET OF RECOMMENDATIONS FOR CITY LEADERS

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - INITIATIVE FOR GLOBAL DEVELOPMENT

IN SUPPORT OF SCOPING THE LANDSCAPE OF ALTERNATIVE MARKETS ACROSS THE  
COMPONENTS OF THE FOUNDATION'S YIELDWISE MODEL, DEVELOPING  
RECOMMENDATIONS FOR THE IMPLEMENTING PARTNERS AND ENABLING CONNECTIONS  
FOR ACTIONABLE EXECUTION

NAME OF RECIPIENT - INNOVATE-EDUCATE

IN SUPPORT OF ITS ANNUAL CLOSE IT SUMMIT AND JOB READY HIRE INITIATIVE,  
AND THE CREATION OF AN EMPLOYER COMMUNITY TO ADVANCE SKILLS-BASED  
HIRING AMONG DISADVANTAGED YOUNG PEOPLE IN THE UNITED STATES

NAME OF RECIPIENT - INNOVATION NETWORK, INC.

FOR USE BY ITS CENTER FOR EVALUATION INNOVATION TOWARD THE COSTS OF THE  
2017 EVALUATION ROUNDTABLE IN ORDER TO STRENGTHEN THE PHILANTHROPIC  
COMMUNITY'S ABILITY TO EVALUATE AND LEARN STRATEGICALLY FROM ITS WORK

NAME OF RECIPIENT - INNOVATIVE FINANCE FOUNDATION

IN SUPPORT OF THE SCALE-UP OF UNITLIFE, A GLOBAL INNOVATIVE FINANCING  
MECHANISM TO FIGHT CHRONIC MALNUTRITION USING REVENUE FROM MICRO-LEVIES  
ON NATURAL RESOURCES, AND DEVELOPING AN OPERATIONAL STRATEGY FOR  
DEPLOYMENT OF THE CAPITAL IT RAISES

NAME OF RECIPIENT - INSTITUTE FOR SOCIAL AND ENVIRONMENTAL  
TRANSITION-INTERNATIONAL

IN SUPPORT OF IMPLEMENTING INNOVATIVE REBUILDING APPROACHES IN AREAS  
MOST DEVASTATED BY THE GORKHA EARTHQUAKE BY USING KEY LEVERS SUCH AS  
REMITTANCE FLOWS TO ENABLE COMMUNITIES TO REALIZE A LASTING RESILIENCE  
DIVIDEND

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - INSTITUTE FOR SUSTAINABLE COMMUNITIES

IN SUPPORT OF ORGANIZING AND FACILITATING A CONVENING FOCUSED ON  
RESILIENCE, CREDIT RATING, AND INSURANCE TO BE HELD AT THE ROCKEFELLER  
FOUNDATION BELLAGIO CENTER, AUGUST 2016

NAME OF RECIPIENT - INSTITUTE FOR SUSTAINABLE COMMUNITIES

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REALIZATION PROCESS TO ITS  
WATER RESILIENT MANUFACTURING COMMUNITIES PROJECT IN INDIA

NAME OF RECIPIENT - INSTITUTE OF CHARTERED ACCOUNTANTS IN ENGLAND AND  
WALES

FOR USE BY ITS PROJECT, THE NATURAL CAPITAL COALITION, IN SUPPORT OF  
STRENGTHENING THE NATURAL CAPITAL COMMUNITY BY STANDARDIZING A  
FRAMEWORK FOR BUSINESSES TO MEASURE AND VALUE THEIR DIRECT AND INDIRECT  
IMPACTS AND DEPENDENCIES ON NATURAL RESOURCES

NAME OF RECIPIENT - INSTITUTE OF INTERNATIONAL EDUCATION, INC.

IN SUPPORT OF ADMINISTERING SEVERAL ACTIVITIES AND PROGRAMS AT THE  
ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY: THE SEMI-ANNUAL  
COMPETITIONS FOR BELLAGIO RESIDENTS, THE BELLAGIO TRAVEL AND LEARNING  
FUND, AND OUTREACH ACTIVITIES

NAME OF RECIPIENT - INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE  
STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON  
TOPICS RELATED TO POVERTY REDUCTION IN THE GLOBAL SOUTH



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NAME OF RECIPIENT - INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE  
STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON  
TOPICS RELATED TO RESILIENCE AND INCLUSIVE ECONOMIES IN THE GLOBAL  
SOUTH

NAME OF RECIPIENT - INTERACTION: THE AMERICAN COUNCIL FOR VOLUNTARY INT'L  
ACTION, INC.

IN SUPPORT OF ADVANCING THE MEASUREMENT AND EVALUATION OF MARKET-BASED  
SOLUTIONS FOR INTERNATIONAL DEVELOPMENT BY STRENGTHENING THE CAPACITY  
TO DESIGN AND IMPLEMENT INNOVATIVE FINANCE APPROACHES

NAME OF RECIPIENT - INTERACTION: THE AMERICAN COUNCIL FOR VOLUNTARY INT'L  
ACTION, INC.

IN SUPPORT OF OUTREACH ACTIVITIES TO ENABLE GLOBAL SOCIAL SECTOR  
LEADERS AND THEIR ORGANIZATIONS TO MAKE EFFECTIVE USE OF THE RESIDENCY  
AND CONFERENCE PROGRAMS AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER,  
THEREBY DEVELOPING SOCIAL SECTOR LEADERSHIP AND CATALYZING MORE  
EFFECTIVE ACTION TOWARDS SOCIAL IMPACT

NAME OF RECIPIENT - INTERNATIONAL ASSOCIATION OF UNIVERSITIES

IN SUPPORT OF CONDUCTING OUTREACH ACTIVITIES TO EXPAND THE GEOGRAPHIC  
DIVERSITY OF APPLICATIONS RECEIVED FOR THE ACADEMIC WRITING RESIDENCY  
PROGRAM AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT  
IN SUPPORT OF ITS GLOBAL MENTAL HEALTH INITIATIVE, AN EFFORT TO  
MOBILIZE AN INTERNATIONAL COALITION TO DESIGN AND IMPLEMENT MENTAL

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

HEALTH AND PSYCHOSOCIAL SUPPORT PROGRAMS THAT BUILD COMMUNITY

RESILIENCE AND DRAW UPON BROADER HEALTH SERVICES

NAME OF RECIPIENT - INTERNATIONAL CENTER FOR RESEARCH ON WOMEN

IN SUPPORT OF A PROJECT TO WORK WITH STAKEHOLDERS IN THE INDIAN

MINI-GRID SECTOR TO CREATE AND EXECUTE AN INCLUSION STRATEGY TO

DEMONSTRATE APPROACHES FOR IMPROVING AND EXPANDING THE IMPACT OF

MINI-GRID POWER ON PEOPLE IN MARGINALIZED COMMUNITIES IN RURAL INDIA

WHO ARE NOT CURRENTLY BENEFITING FROM SMART POWER FOR RURAL DEVELOPMENT

(SPRD) MINI-GRID POWER

NAME OF RECIPIENT - INTERNATIONAL INSTITUTE FOR ENVIRONMENT AND

DEVELOPMENT

IN SUPPORT OF LAUNCHING THE INTERNATIONAL CENTRE FOR CLIMATE CHANGE AND

DEVELOPMENT TO DEVELOP AND IMPLEMENT A SERIES OF URBAN CLIMATE CHANGE

RESILIENCE-BUILDING SHORT COURSES AND A RELATED MASTERS OF SCIENCE

MODULE AND TO UNDERTAKE INSTITUTIONAL STRENGTHENING AND ORGANIZATIONAL

DEVELOPMENT

NAME OF RECIPIENT - INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION

IN SUPPORT OF ORGANIZING AND FACILITATING PARTNER CONVENINGS FOR THE

FOUNDATION'S YIELDWISE INITIATIVE, AND DOCUMENTING AND SHARING

LEARNINGS WITH STAKEHOLDERS

NAME OF RECIPIENT - ITAD LIMITED

IN SUPPORT OF ENGAGING DEVELOPMENT EVALUATION LEADERS TO PROVIDE

PRACTICAL ASSISTANCE TO ROCKEFELLER FOUNDATION GRANTEES, PARTNERS, AND

INITIATIVE TEAMS IN ORDER TO BETTER PLAN, ARTICULATE OUTCOMES, AND

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

IMPACT, MONITOR, EVALUATE AND REPORT ON RESULTS

NAME OF RECIPIENT - ITAD LIMITED

IN SUPPORT OF STRENGTHENING THE CAPACITY OF THE FOUNDATION, GRANTEES,  
AND PARTNERS TO INFUSE AND INTEGRATE RESILIENCE THINKING INTO THEIR  
WORK AND TO CREATE AN ENABLING ENVIRONMENT FOR PARTNERS AND GRANTEES TO  
BETTER UNDERSTAND HOW TO USE RESILIENCE IN PLANNING PROGRAMS

NAME OF RECIPIENT - KEYSTONE CENTER

TOWARD THE COSTS OF LAUNCHING A NATIONAL DIGITAL PLATFORM TO SHARE  
DATA, TOOLS, RESEARCH, AND BEST PRACTICES PERTAINING TO FOOD LOSS AND  
WASTE IN THE UNITED STATES

NAME OF RECIPIENT - KHOJ INTERNATIONAL ARTISTS ASSOCIATION

IN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING ASIAN ARTISTS AS  
CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION  
BELLAGIO CENTER IN ITALY

NAME OF RECIPIENT - KKS ADVISORS LLC

IN SUPPORT OF PROVIDING ANALYSIS AND STRATEGIC SUPPORT TO ENABLE THE  
ROCKEFELLER FOUNDATION'S REVALUE ECOSYSTEMS GRANTEES TO OPTIMIZE THE  
POSITIONING OF AND INFLUENCE FROM THEIR EFFORTS

NAME OF RECIPIENT - KNACK.IT CORP.

IN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING  
TECHNOLOGY AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED  
STATES, SOUTH AFRICA, AND INDIA

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NAME OF RECIPIENT - KPMG EAST AFRICA LIMITED

IN SUPPORT OF MOBILIZING GLOBAL AND REGIONAL OPERATIONAL FUNCTIONS FOR  
THE GLOBAL RESILIENCE PARTNERSHIP IN ORDER TO ACHIEVE ITS OBJECTIVES OF  
SURFACING LOCALLY DRIVEN, HIGH-IMPACT INNOVATIVE SOLUTIONS TO EMPOWER  
PEOPLE IN THE SAHEL, HORN OF AFRICA, SOUTH AND SOUTHEAST ASIA TO  
INCREASE THEIR RESILIENCE

NAME OF RECIPIENT - KYNE COMMUNICATIONS, INC.

IN SUPPORT OF ACTIVITIES TO RAISE AWARENESS, UNDERSTANDING, AND  
ACCEPTANCE OF PLANETARY HEALTH, INCLUDING THE ECONOMIC BENEFITS OF  
POLICIES THAT ADDRESS ENVIRONMENTAL SUSTAINABILITY TOGETHER WITH HUMAN  
HEALTH AND DEVELOPMENT GOALS

NAME OF RECIPIENT - LEADERSUP

TOWARD THE COSTS OF ITS "THE FUTURE AT WORK: RETAINING AND ADVANCING  
SKILLED TALENT" INITIATIVE, AN EFFORT TO ENGAGE LARGE CORPORATE  
EMPLOYERS IN LOS ANGELES AND CHICAGO IN PARTNERSHIPS TO DEVELOP  
STRATEGIES FOR RETAINING DISADVANTAGED YOUNG PEOPLE AS EMPLOYEES

NAME OF RECIPIENT - LINA SRIVASTAVA CONSULTING LLC

IN SUPPORT OF A PROJECT TO CAPTURE AND COMMUNICATE THE INSIGHTS AND  
LESSONS OF CHANGE LEADERS IN DEVELOPMENT AND MARKET-BASED SOLUTIONS, IN  
ORDER TO INSPIRE, EDUCATE AND MOTIVATE OTHER LEADERS

NAME OF RECIPIENT - LION'S HEAD GLOBAL PARTNERS LLP

IN SUPPORT OF DEVELOPING A NEW FINANCIAL MECHANISM FOR ADDRESSING THE  
NEEDS OF SYRIAN REFUGEES

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NAME OF RECIPIENT - LION'S HEAD GLOBAL PARTNERS LLP

IN SUPPORT OF DEVELOPING GREEN COUPONS TO QUANTIFY THE CARBON IMPACT OF  
PROJECTS FINANCED THROUGH GREEN BONDSNAME OF RECIPIENT - LIVING CITIES, INC. THE NATIONAL COMMUNITY  
DEVELOPMENT INITIATIVEIN SUPPORT OF A NEW CAMPAIGN TO SUPPORT THE START-UP AND SCALE-UP OF  
COMPANIES THAT CREATE GOOD, STABLE JOBS FOR LOW-INCOME PEOPLE, AND IN  
GENERAL SUPPORT OF ITS MISSION TO ADVANCE OPPORTUNITY AND PROSPERITY IN  
U.S. CITIES AND THEIR LOW-INCOME POPULATIONSNAME OF RECIPIENT - LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE  
FOR USE BY ITS INTERNATIONAL GROWTH CENTRE TOWARD THE COSTS OF  
COLLABORATING WITH THE GOVERNMENT OF SIERRA LEONE TO DEVELOP A NATIONAL  
STRATEGIC PLAN FOR A COMMUNITY HEALTH WORKFORCE AS AN INTEGRAL PART OF  
THEIR EFFORTS TO BUILD RESILIENCE WITHIN THE COUNTRY'S HEALTH SYSTEM IN  
THE WAKE OF THE EBOLA CRISISNAME OF RECIPIENT - MAHIDOL UNIVERSITY  
FOR USE BY ITS INSTITUTE FOR POPULATION AND SOCIAL RESEARCH IN SUPPORT  
OF THE 2016, 2017 AND 2018 PRINCE MAHIDOL AWARD CONFERENCES, AIMED AT  
PROMOTING EVIDENCE OF AND BEST PRACTICES ON STRENGTHENING HEALTH  
SYSTEMSNAME OF RECIPIENT - MAKING CENTS INTERNATIONAL, INC.  
IN SUPPORT OF DEVELOPING, SHARING AND SCALING BEST-IN-CLASS MODELS OF  
DEMAND DRIVEN TRAINING IN SOUTH AFRICA

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NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

FOR USE BY ITS COMMUNITY INDICATORS LAB IN SUPPORT OF PILOTING

PARTICIPATORY REAL ESTATE DEVELOPMENT TOOLS IN THE BRONX TO INFORM

EFFORTS IN CITIES WHERE RESIDENTS ARE FACING THE THREAT OF DISPLACEMENT

NAME OF RECIPIENT - MATHEMATICA POLICY RESEARCH, INC.

FOR USE BY ITS CENTER FOR INTERNATIONAL POLICY AND PROGRAM EVALUATION

IN SUPPORT OF PRODUCING TWO CASE STUDIES AND CONDUCTING A FINAL

EVALUATION OF THE FOUNDATION'S TRANSFORMING HEALTH SYSTEMS INITIATIVE

NAME OF RECIPIENT - MATTER SOLUTIONS LTD.

IN SUPPORT OF CONVENING A HIGH-PROFILE WORKSHOP IN ORDER TO ACCELERATE

THE ABILITY OF THE SOCIAL SECTOR TO UTILIZE INNOVATIVE TOOLS THAT

STRENGTHEN SOCIAL IMPACT

NAME OF RECIPIENT - MATTER UNLIMITED LLC

IN SUPPORT OF PRODUCING A VIRTUAL REALITY FILM AS PART OF ITS "INSIDE

IMPACT" SERIES TO SHOWCASE THE FOUNDATION'S SMART POWER FOR RURAL

DEVELOPMENT INITIATIVE IN ORDER TO ATTRACT ATTENTION FROM KEY

STAKEHOLDERS, INCLUDING THE PRIVATE SECTOR

NAME OF RECIPIENT - MAXTUDIO, SNC

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND

EVALUATION OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING

PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATION'S GRANTEEES AND

PARTNERS IN DEVELOPMENT AND PHILANTHROPY

NAME OF RECIPIENT - MAYOR'S FUND TO ADVANCE NEW YORK CITY

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR USE BY ITS NYC CENTER FOR YOUTH EMPLOYMENT TOWARD THE COSTS OF A PILOT TO TEST THE APPLICATION OF A NEW SOCIAL IMPACT BOND MODEL TO FINANCE POST-PLACEMENT SUPPORT SERVICES TO LOW-INCOME WORKERS IN NEW YORK CITY VIA THEIR EMPLOYERS

NAME OF RECIPIENT - MCKINSEY AND COMPANY AFRICA (PTY) LTD  
TOWARD THE COSTS OF DESIGNING THE FINANCIAL INCLUSION FUNDING FACILITY, AN EFFORT TO SPUR FINANCIAL INCLUSION ACROSS AFRICA, BY COORDINATING INVESTMENTS AND TECHNICAL ASSISTANCE BY COMMERCIAL INSTITUTIONS, PHILANTHROPIC ORGANIZATIONS, GOVERNMENTS AND DEVELOPMENT AID AGENCIES

NAME OF RECIPIENT - MEDIC MOBILE, INC.  
IN GENERAL SUPPORT OF ITS MISSION TO BUILD, DELIVER AND SUPPORT MOBILE TOOLS THAT HELP COMMUNITY HEALTH WORKERS PROVIDE EQUITABLE CARE TO MARGINALIZED COMMUNITIES

NAME OF RECIPIENT - MILAN INNOVINCY B.V.  
IN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE INFORMATION TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO REDUCE POSTHARVEST LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND TANZANIA

NAME OF RECIPIENT - MISSION HUB LLC  
IN SUPPORT OF THE PROMOTION AND DELIVERY OF A SPECIAL WORKSHOP STREAM ON SOCIAL IMPACT MEASUREMENT AND EVALUATION AT THE SOCIAL CAPITAL MARKETS CONFERENCE (SOCAP) TO BE HELD IN SAN FRANCISCO SEPTEMBER, 2016

NAME OF RECIPIENT - MONITOR GOVERNMENT VENTURE SERVICES, LLC

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

IN SUPPORT OF USING DATA SCIENCE TOOLS TO IDENTIFY SIGNALS OF DYNAMISM  
AROUND THE PROBLEMS AFFECTING POOR OR VULNERABLE PEOPLE

NAME OF RECIPIENT - MUNICIPAL ART SOCIETY OF NEW YORK

IN SUPPORT OF COORDINATING THE AWARD COMPETITION FOR THE ROCKEFELLER  
FOUNDATION-SPONSORED 2016 JANE JACOBS MEDAL TO BE PRESENTED AT HABITAT  
III IN QUITO, ECUADOR AND OTHER COMPLEMENTARY PUBLIC PROGRAMS

NAME OF RECIPIENT - NATIONAL ACADEMY OF SCIENCES

FOR USE BY ITS INSTITUTE OF MEDICINE TOWARD THE COSTS OF ASSESSING THE  
CURRENT GLOBAL HEALTH LANDSCAPE AND IDENTIFYING EFFECTIVE INVESTMENTS  
IN GLOBAL HEALTH INITIATIVES TO INFORM THE NEXT PRESIDENTIAL  
ADMINISTRATION IN THE U.S.

NAME OF RECIPIENT - NATIONAL AIDS CONTROL COUNCIL

FOR USE BY THE "BEYOND ZERO" CAMPAIGN IN SUPPORT OF CREATING A NEW  
STRATEGIC FRAMEWORK TO FURTHER THE CAMPAIGN'S WORK TOWARD HIV CONTROL  
AND THE PROMOTION OF MATERNAL, NEWBORN, AND CHILDREN'S HEALTH IN KENYA

NAME OF RECIPIENT - NATIONAL ASSOCIATION OF CORPORATE DIRECTORS

IN SUPPORT OF CONDUCTING EVENTS AND PRODUCING EDITORIAL CONTENT RELATED  
TO THE ADVANCEMENT OF GENDER DIVERSITY ON CORPORATE BOARDS

NAME OF RECIPIENT - NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY

IN GENERAL SUPPORT OF ITS MISSION TO PROMOTE PHILANTHROPY THAT SERVES  
THE PUBLIC GOOD, IS RESPONSIVE TO PEOPLE AND COMMUNITIES WITH THE LEAST  
WEALTH AND OPPORTUNITY, AND IS HELD ACCOUNTABLE TO THE HIGHEST  
STANDARDS OF INTEGRITY AND OPENNESS



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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - NATURAL RESOURCES DEFENSE COUNCIL, INC.

IN SUPPORT OF TESTING FOOD WASTE ASSESSMENT METHODOLOGIES AND  
DEVELOPING REPLICABLE TOOLS THAT WILL SUPPORT ACTION TO REDUCE FOOD  
WASTE AT THE MUNICIPAL LEVEL IN THE U.S.

NAME OF RECIPIENT - NEW AMERICA FOUNDATION

TOWARD THE COSTS OF A DIGITAL HEALTH INNOVATION SUMMIT THAT WILL  
EXPLORE INNOVATIVE APPLICATIONS OF INFORMATION TECHNOLOGY TO IMPROVE  
NUTRITION FOR LOW-INCOME WOMEN AND CHILDREN IN THE U.S.

NAME OF RECIPIENT - NEW VENTURE FUND

TOWARD THE COSTS OF ITS EMPLOYMENT TECHNOLOGY FUND PROJECT, A  
MULTI-FUNDER COLLABORATIVE TO FACILITATE THE DESIGN AND DEPLOYMENT OF  
TECHNOLOGY-BASED SOLUTIONS THAT IMPROVE EMPLOYMENT OUTCOMES FOR  
LOW-INCOME WORKERS IN THE U.S.

NAME OF RECIPIENT - NEW VENTURE FUND

IN SUPPORT OF A PROJECT TO IDENTIFY THE TRANSFORMATIVE OPPORTUNITIES  
AND IMPLEMENTATION REQUIREMENTS TO ACCELERATE THE PATH TO REDUCING U.S.  
FOOD WASTE BY FIFTY PERCENT BY 2030

NAME OF RECIPIENT - NEW VENTURE FUND

IN SUPPORT OF ITS PROJECT, REFED, A COLLABORATION TO RAISE AWARENESS  
ABOUT FOOD WASTE IN THE U.S. AND TO PROVIDE INFORMATION AND TOOLS  
ACROSS SECTORS TO ENABLE ADOPTION OF WASTE REDUCTION SOLUTIONS

NAME OF RECIPIENT - NEW YORK FOUNDATION FOR ARCHITECTURE, INC.

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

IN SUPPORT OF DEVELOPING AND DISSEMINATING BUILDING DESIGN GUIDELINES  
THAT ENABLE MORE EFFECTIVE SEPARATION AND COLLECTION OF FOOD WASTE,  
RECYCLABLES, AND OTHER TRASH IN ORDER TO SUPPORT URBAN ZERO WASTE  
STRATEGIES

NAME OF RECIPIENT - NEW YORK PUBLIC RADIO  
TOWARD THE COSTS OF RADIO PROGRAMMING AND COMPLEMENTARY ONLINE  
MATERIALS FOCUSED ON RESILIENCE, BOTH IN THE GREATER NEW YORK AREA AND  
NATIONALLY, AND PROVIDING ONGOING TRAINING TO OTHER PUBLIC RADIO  
STATIONS ON HOW TO REPORT STORIES WITH A RESILIENCE FRAMEWORK

NAME OF RECIPIENT - NEW YORK TIMES COMPANY  
TOWARD THE COSTS OF ITS ANNUAL CITIES OF TOMORROW CONFERENCE SERIES, IN  
ORDER TO ELEVATE THE DISCUSSION REGARDING THE CRUCIAL NEED FOR  
RESILIENT AND INCLUSIVE CITIES

NAME OF RECIPIENT - NEW YORK UNIVERSITY  
FOR USE BY THE INSTITUTE OF KNOWLEDGE IN SUPPORT OF ITS RESILIENT  
CITIES BY DESIGN PROJECT, WHICH WILL PARTNER WITH MEMBERS OF THE 100  
RESILIENT CITIES NETWORK TO ORCHESTRATE PROCESSES AND COMPETITIONS  
BASED ON THE REBUILD BY DESIGN MODEL

NAME OF RECIPIENT - OGILVY PUBLIC RELATIONS WORLDWIDE INC.  
IN SUPPORT OF PROVIDING COMMUNICATIONS SUPPORT FOR THE INFLUENCE  
OBJECTIVES OF THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, TO INFORM NEW  
AUDIENCES ABOUT THE RESIDENCY AND CONFERENCE OPPORTUNITIES, INCREASE  
AWARENESS OF THE FOUNDATION'S STRATEGIC GOALS AMONGST PARTICIPANTS, AND  
ELEVATE THE WORK ADVANCED BY PARTICIPANTS, PARTICULARLY THOSE WORKING

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TO BUILD RESILIENCE AND MORE INCLUSIVE ECONOMIES

NAME OF RECIPIENT - ONFRONTIERS INC.

IN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR "ZILIENT," A RESILIENCE  
ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORM

NAME OF RECIPIENT - ORGANIZATIONAL RESEARCH SERVICES, INC.

IN SUPPORT OF CONDUCTING A MARKET LANDSCAPE OF CURRENT SOCIAL IMPACT  
MEASUREMENT AND EVALUATION APPROACHES AND SHARING THE FINDINGS IN ORDER  
TO STRENGTHEN THE EVIDENCE BASE FOR IMPACT INVESTMENTS AND MARKET-BASED  
SOLUTIONS

NAME OF RECIPIENT - OVE ARUP & PARTNERS INTERNATIONAL LIMITED

IN SUPPORT OF ENABLING CITIES TO USE THE CITY RESILIENCE INDEX TO  
ASSESS THEIR RESILIENCE THROUGH DIRECT ENGAGEMENT, TECHNICAL SUPPORT  
AND GUIDING MATERIALS

NAME OF RECIPIENT - OVERSEAS DEVELOPMENT INSTITUTE

IN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE  
KNOWLEDGE BASE RELATED TO RESILIENCE THOUGHT AND ACTION FOR A GLOBAL  
AUDIENCE

NAME OF RECIPIENT - PAMOJA MEDIA EAST AFRICA LIMITED

IN SUPPORT OF TRANSITIONING A GROUP OF CLIMATE EXCHANGE NETWORK FOR  
AFRICA GRANTEES TO AN ENHANCED ONLINE KNOWLEDGE SHARING AND MANAGEMENT  
SYSTEM FOR RESEARCH AND DEVELOPMENT WORK ON CLIMATE CHANGE RESILIENCE  
FOR AFRICAN AGRICULTURE

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NAME OF RECIPIENT - PANORAMA GLOBAL

IN SUPPORT OF ESTABLISHING THE ROCKEFELLER FOUNDATION COMMISSION ON  
PLANETARY HEALTH, AN EFFORT TO HELP BUILD THE MULTI-DISCIPLINARY FIELD  
OF PLANETARY HEALTH

NAME OF RECIPIENT - PHILANTHROPY NEW YORK, INC.

IN GENERAL SUPPORT OF ITS MISSION TO STRENGTHEN PHILANTHROPY BY  
OFFERING PROGRAMS AND RESOURCES THAT SUPPORT EFFECTIVE, STRATEGIC  
GRANTMAKING, FACILITATING COLLABORATION AND KNOWLEDGE SHARING, AND  
COMMUNICATING THE VALUE OF THE PHILANTHROPIC SECTOR IN OUR SOCIETY, AND  
TOWARD THE COSTS OF ITS FUND FOR 2025 CAPITAL CAMPAIGN, A CAPACITY  
BUILDING EFFORT FOCUSED ON IMPLEMENTING ITS STRATEGIC PLAN

NAME OF RECIPIENT - PREMISE DATA CORPORATION

IN SUPPORT OF GENERATING DATA-DRIVEN INSIGHTS THAT ASSIST IN RAPIDLY  
TESTING HYPOTHESES AND/OR INTERVENTION COMPONENTS AND SURFACING  
REAL-TIME, TARGETED EMERGING OPPORTUNITIES AND RISKS IN THE EXTERNAL  
CONTEXT THAT CAN BE FACTORED INTO STRATEGIC PLANNING FOR GREATER SOCIAL  
IMPACT

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS CENTER FOR THE ENVIRONMENT IN SUPPORT OF ACTIVITIES TO  
LAUNCH A NEW FIELD OF "PLANETARY HEALTH" IN ORDER TO YIELD BETTER  
SOLUTIONS TO HEALTH CHALLENGES IN THE 21ST CENTURY

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE

FOR USE BY ITS HARVARD KENNEDY SCHOOL'S CENTER FOR PUBLIC LEADERSHIP IN  
SUPPORT OF THE INITIATIVE FOR RESPONSIBLE INVESTING, AN EFFORT TO

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

EXPLORE ALTERNATIVE APPROACHES TO INSTITUTIONAL INVESTMENT IN  
SUSTAINABLE INFRASTRUCTURE IN THE U.S.

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE  
FOR USE BY ITS SCHOOL OF PUBLIC HEALTH IN SUPPORT OF DEVELOPING,  
TESTING AND DEEPENING THE CONCEPTUALIZATION OF RESILIENT HEALTH SYSTEMS  
IN WEST AFRICA IN THE WAKE OF THE EBOLA CRISIS

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE  
FOR USE BY ITS GLOBAL HEALTH INSTITUTE IN COLLABORATION WITH THE LONDON  
SCHOOL OF HYGIENE AND TROPICAL MEDICINE IN SUPPORT OF HOLDING A  
CONVENING AND PRODUCING A REPORT TO EXAMINE WEAKNESSES IN THE GLOBAL  
HEALTH SYSTEM EXPOSED BY THE EBOLA EPIDEMIC IN AN EFFORT TO IMPROVE  
GLOBAL RESPONSES TO FUTURE HEALTH CRISES

NAME OF RECIPIENT - PRI ASSOCIATION  
TOWARD THE COSTS OF PROMOTING THE SYSTEMATIC AND TRANSPARENT  
INCORPORATION OF ENVIRONMENTAL, SOCIAL AND GOVERNANCE FACTORS INTO  
CREDIT RATINGS IN ORDER TO DEVELOP A MORE ECONOMICALLY EFFICIENT AND  
SOCIALLY SUSTAINABLE SYSTEM

NAME OF RECIPIENT - PUBLISEZ, INC.  
IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS  
SUPPORTED BY THE ROCKEFELLER FOUNDATION'S INNOVATIVE FINANCE "ZERO GAP"  
PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING  
AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE  
HARNESSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL  
SOCIAL AND ENVIRONMENTAL OUTCOMES

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NAME OF RECIPIENT - PUBLISEZ, INC.

IN SUPPORT OF AMPLIFYING THE IMPACT OF THE FOUNDATION'S SCAN AND SEARCH  
GRANTMAKING BY MORE BROADLY COMMUNICATING AND DISSEMINATING KEY  
FINDINGS AND INSIGHTS

NAME OF RECIPIENT - PURPOSE GLOBAL LLC

IN SUPPORT OF CONDUCTING A NATIONAL CAMPAIGN TO IMPROVE THE QUALITY OF  
JOBS IN AMERICA BY INFLUENCING EMPLOYERS TO COMMIT TO GIVING THEIR  
SHIFT EMPLOYEES AT LEAST TWO WEEKS' NOTICE ON THEIR SCHEDULES

NAME OF RECIPIENT - PYXERA GLOBAL INC.

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES  
OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE NIGERIAN  
TOMATO VALUE CHAIN

NAME OF RECIPIENT - RAND CORPORATION

IN SUPPORT OF QUANTIFYING THE RETURNS ON RESILIENCE INVESTMENT BY  
DEVELOPING A FRAMEWORK AND MODEL, AND IDENTIFYING DATA SOURCES AND  
ESTIMATION STRATEGIES

NAME OF RECIPIENT - REGIONAL PLAN ASSOCIATION, INC.

TOWARD THE COSTS OF "REGIONAL CORRIDORS OF RESILIENCE AND EQUITY," A  
PUBLIC DESIGN COMPETITION, EXHIBITION AND EDUCATION PROGRAM TO EXAMINE  
HOW COMMUNITIES IN THE NEW YORK-NEW JERSEY-CONNECTICUT METROPOLITAN  
AREA CAN CHANGE AND GROW IN A WAY THAT PROMOTES ECONOMIC OPPORTUNITY,  
REDUCES INEQUALITY, AND INCREASES RESILIENCE

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NAME OF RECIPIENT - RENEWABLE ENERGY AND ENERGY EFFICIENCY PARTNERSHIP  
IN SUPPORT OF A PROJECT TO PRODUCE A SERIES OF KNOWLEDGE PUBLICATIONS  
AND FACILITATE RELATED ROUNDTABLES TO DISSEMINATE BEST PRACTICES AND  
INSIGHTS BASED ON SMART POWER RURAL DEVELOPMENT'S WORK IN INDIA ON  
IMPLEMENTING MINI-GRIDS IN RURAL COMMUNITIES AND USING THE MINI-GRID  
MODEL TO SPUR GLOBAL ECONOMIC DEVELOPMENT THROUGH ENERGY ACCESS

NAME OF RECIPIENT - RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW  
YORK  
FOR USE BY ITS INSTITUTE FOR STATE AND LOCAL GOVERNANCE IN SUPPORT OF  
CONTINUING ITS EQUALITY INDICATORS PROJECT IN NEW YORK CITY WITH  
ADDITIONAL FOCUS ON IMPROVING DISPARITIES IN HEALTH AND JUSTICE, AND OF  
EXPANDING THE FRAMEWORK TO FIVE OTHER CITIES ACROSS THE COUNTRY THROUGH  
EITHER TECHNICAL OR IMPLEMENTATION ASSISTANCE

NAME OF RECIPIENT - RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW  
YORK  
IN SUPPORT OF ITS "CUNY CULTURAL CORPS" TO PROVIDE TRAINING AND PAID  
INTERNSHIPS TO LOW-INCOME YOUTH AT CULTURAL INSTITUTIONS THROUGHOUT NEW  
YORK CITY IN AN EFFORT TO DIVERSIFY THE WORKFORCE OF THE CULTURAL  
SECTOR

NAME OF RECIPIENT - RESEARCH TRIANGLE INSTITUTE  
IN SUPPORT OF MONITORING AND LEARNING ACTIVITIES RELATED TO THE  
FOUNDATION'S YIELDWISE INITIATIVE AND ITS GRANTEES AND PARTNERS, IN  
ORDER TO BENEFIT THE FIELD OF AGRICULTURE AT LARGE AND MORE  
SPECIFICALLY SMALL-HOLDER FARMER HOUSEHOLDS

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - RESEAU D'ORGANISATIONS POUR LA SURVEILLANCE REGIONALE  
DES MALADIES

IN SUPPORT OF PLANNING ACTIVITIES TO ESTABLISH A WEST AFRICAN DISEASE  
SURVEILLANCE NETWORK IN THE WAKE OF THE EBOLA CRISIS

NAME OF RECIPIENT - RESOURCE ALLIANCE LIMITED

TOWARD THE COSTS OF EXPANDING THE NGO AWARDS PROGRAM IN ASIA TO VIETNAM  
IN CONSOLIDATION WITH THE TWO EXISTING NGO AWARDS IN INDIA AND THAILAND

NAME OF RECIPIENT - RESULTS FOR DEVELOPMENT INSTITUTE, INC.

FOR USE BY THE INTERNATIONAL DEVELOPMENT INNOVATION ALLIANCE (IDIA), A  
NETWORK OF LEADING DEVELOPMENT INNOVATION FUNDERS, TOWARD THE COSTS OF  
PROMOTING AND ADVANCING INNOVATION AS A MEANS TO HELP ACHIEVE  
SUSTAINABLE DEVELOPMENT WITH POOR OR VULNERABLE POPULATIONS IN THE  
GLOBAL SOUTH

NAME OF RECIPIENT - RIGHT MANAGEMENT INC.

IN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY  
MODEL FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE  
U.S.

NAME OF RECIPIENT - ROCKEFELLER ARCHIVE CENTER

IN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY  
AND CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATION'S  
HISTORY IN A GLOBAL CONTEXT

NAME OF RECIPIENT - ROCKEFELLER FOUNDATION DONATIONS

TO FACILITATE ROCKEFELLER FOUNDATION DONATIONS TO CHARITABLE



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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

ORGANIZATIONS THROUGH ITS MATCHING GIFT PROGRAM AND OTHER SIMILAR  
PROGRAMS, AS WELL AS MEMBERSHIP CONTRIBUTIONS TO PHILANTHROPIC SECTOR  
ORGANIZATIONS

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.  
FOR USE BY 100 RESILIENT CITIES TOWARD THE COSTS OF BUILDING CITIES'  
CAPACITY GLOBALLY TO MAINTAIN AND RECOVER CRITICAL FUNCTIONS IN THE  
FACE OF SHOCKS AND STRESSES, AND TO SUPPORT THEIR PROGRESS TOWARD  
OPERATIONAL INDEPENDENCE AND SUSTAINABILITY

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS  
FOR USE BY ITS CENTRE ON GLOBAL HEALTH SECURITY IN SUPPORT OF THE  
PLANNING PROCESS FOR THE DEVELOPMENT OF A WEST AFRICAN DISEASE  
SURVEILLANCE NETWORK

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS  
FOR USE BY ITS NEWLY CREATED UNIVERSAL HEALTH COVERAGE (UHC) POLICY  
FORUM TOWARD THE COSTS OF PROVIDING STRATEGIC POLITICAL ADVOCACY ADVICE  
ON UHC AND POSITIONING UHC WITHIN THE POST-2015 DEVELOPMENT AGENDA

NAME OF RECIPIENT - ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS  
IN SUPPORT OF RESEARCH IDENTIFYING POLICY PRIORITIES AND ENTRY POINTS  
FOR MAINSTREAMING THE CIRCULAR ECONOMY CONCEPT IN DEVELOPING COUNTRIES

NAME OF RECIPIENT - RURAL DEVELOPMENT INSTITUTE  
IN SUPPORT OF CONDUCTING A LAND TENURE ASSESSMENT TO UNDERSTAND THE  
CORRELATION BETWEEN INCREASED INVESTMENTS IN THE MANGO VALUE CHAIN AND  
THEIR IMPACT ON THE LAND TENURE STRUCTURES FOR SMALLHOLDER FARMERS

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NAME OF RECIPIENT - SAMASOURCE, INC.

TOWARD THE COSTS OF SECURING IMPACT PLEDGES FROM AND SUPPORTING  
IMPLEMENTATION BY COMMITTED COMPANIES AND GOVERNMENTS ABOUT IMPACT  
SOURCING TO DEMONSTRATE ITS POTENTIAL AS AN INCLUSIVE BUSINESS PRACTICE  
TO EMPLOY HIGH POTENTIAL BUT DISADVANTAGED YOUTH, POSITIVELY IMPACTING  
THEM, THEIR FAMILIES AND COMMUNITIES

NAME OF RECIPIENT - SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED  
IN SUPPORT OF A PROJECT TO CONDUCT AN IMPACT EVALUATION OF THE  
FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT (SPRD) INITIATIVE IN  
INDIA AND ASSESS THE EXTENT TO WHICH THE INITIATIVE IS POSITIVELY  
IMPACTING THE LIVES OF PEOPLE AND SPURRING ECONOMIC GROWTH IN RURAL  
COMMUNITIES IN BIHAR AND UTTAR PRADESH

NAME OF RECIPIENT - SAMHITA SOCIAL VENTURES PRIVATE LIMITED  
IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIA'S  
NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING  
COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT  
CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY  
ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

NAME OF RECIPIENT - SECRETARIAT OF THE U.N. FRAMEWORK CONVENTION ON  
CLIMATE CHANGE  
IN SUPPORT OF LAUNCHING A PLANETARY HEALTH PILLAR WITHIN ITS MOMENTUM  
FOR CHANGE INITIATIVE TO RECOGNIZE INNOVATIVE PLANETARY HEALTH  
APPROACHES THAT HELP MITIGATE THE THREAT OF CLIMATE CHANGE WHILE  
IMPROVING THE HEALTH AND RESILIENCE OF COMMUNITIES AROUND THE WORLD

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NAME OF RECIPIENT - SHACK DWELLERS INTERNATIONAL

IN SUPPORT OF A PROJECT TO FURTHER ADVANCE ITS LEARNING, MONITORING AND EVALUATION SYSTEM AND TO PRESENT AND PROMOTE WORK THAT DEMONSTRATES INCLUSIVE ECONOMIES AND RESILIENCE IN AN URBAN CONTEXT AT HABITAT III, THE UN CONFERENCE ON HOUSING AND SUSTAINABLE URBAN DEVELOPMENT, TO BE HELD IN QUITO, ECUADOR, OCTOBER 2016

NAME OF RECIPIENT - SKDKNICKERBOCKER LLC

IN SUPPORT OF COMMUNICATIONS AND COMMUNITY ENGAGEMENT ASSISTANCE FOR THE NATIONAL DISASTER RESILIENCE COMPETITION WINNING JURISDICTIONS, AND RESILIENCE OUTREACH AND COMMUNICATIONS TO FEDERAL AGENCIES, PHILANTHROPIC ORGANIZATIONS, AND PRIVATE BUSINESSES

NAME OF RECIPIENT - SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION

IN SUPPORT OF SCALING UP ORGANIZATIONAL CAPACITY TO SUPPORT THE LAUNCH OF 300 NEW DECENTRALIZED RENEWABLE ENERGY POWER PLANTS IN INDIA AND PROVIDE CONTINUED ACCELERATED ENERGY ACCESS TO RURAL HOMES AND LOCAL BUSINESSES.

NAME OF RECIPIENT - SOLUTIONS JOURNALISM NETWORK, INC.

IN SUPPORT OF CREATING A PLATFORM FOR AGGREGATING SOCIAL-IMPACT DRIVEN REPORTING ON SOCIAL AND ENVIRONMENTAL CHALLENGES AND MAKING IT ACCESSIBLE TO UNIVERSITY PROFESSORS AND STUDENTS, THE PHILANTHROPIC AND SOCIAL SECTORS, AND THE GENERAL PUBLIC

NAME OF RECIPIENT - STICHTING DIENST LANDBOUWKUNDIG ONDERZOEK

FOR USE BY THE CENTRE FOR DEVELOPMENT INNOVATION IN SUPPORT OF A STUDY

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ON THE EFFECTIVENESS OF MULTI-STAKEHOLDER PLATFORMS AND PARTNERSHIPS IN  
CERTAIN AGRICULTURE AND FOOD SECURITY VALUE CHAIN INTERVENTIONS

NAME OF RECIPIENT - STIFTUNG GLOBAL INFRASTRUCTURE BASEL  
IN SUPPORT OF APPLYING ITS SUSTAINABILITY AND RESILIENCE STANDARD TO  
INFRASTRUCTURE PROJECTS IN ORDER TO DEMONSTRATE THE RESILIENCE DIVIDEND

NAME OF RECIPIENT - SUSTAINABILITY ACCOUNTING STANDARDS BOARD  
IN SUPPORT OF DEVELOPING AND DISSEMINATING ACCOUNTING STANDARDS FOCUSED  
ON THE SOCIAL, ENVIRONMENTAL AND GOVERNANCE PERFORMANCE OF PUBLIC  
COMPANIES IN THE UNITED STATES IN AN EFFORT TO HELP INVESTORS COMPARE  
PERFORMANCE AND DRIVE CAPITAL TO IMPROVED SUSTAINABILITY OUTCOMES

NAME OF RECIPIENT - SUSTAINABLE FISHERIES PARTNERSHIP FOUNDATION  
IN SUPPORT OF ACTIVITIES DESIGNED TO TRANSITION THE ASIAN BLUE SWIMMING  
CRAB FISHERIES TOWARD SUSTAINABLE, RESPONSIBLE PRODUCTION BY SCALING  
THE FISHERIES IMPROVEMENT PROJECT MODEL FOR BENEFITTING SMALL-SCALE  
FISHERIES IN INDONESIA AND GLOBALLY

NAME OF RECIPIENT - SUSTAINBIZ, INC.  
IN SUPPORT OF SYNTHESIZING KNOWLEDGE OF INSTITUTIONS AND ACTIONS  
CURRENTLY UNDERTAKEN AND ENVISIONED TO QUANTIFY AND INTERNALIZE THE  
VALUE OF ECOSYSTEMS IN PUBLIC AND PRIVATE INVESTMENT PRACTICE

NAME OF RECIPIENT - TARU LEADING EDGE PRIVATE LIMITED  
IN SUPPORT OF CREATING A CLIMATE AND HEALTH CENTER OF EXCELLENCE IN  
SURAT, INDIA TO DEVELOP AND SHARE AN EVIDENCE BASE OF PRACTICE  
REGARDING THE DELIVERY OF EFFECTIVE HEALTH SERVICES THAT BUILD

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

RESILIENCE TO CLIMATE RELATED IMPACTS ON HEALTH FOR URBAN POOR  
COMMUNITIES, AS PART OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE  
NETWORK

NAME OF RECIPIENT - TCC GROUP, INC.

IN SUPPORT OF REFINING THE GLOBAL RESILIENCE PARTNERSHIP'S LEARNING  
AGENDA AND DESIGNING A RELATED PARTNER CONVENING TO ENABLE HORIZON  
SCANNING FOR HIGH-POTENTIAL PARTNERSHIP OPPORTUNITIES

NAME OF RECIPIENT - TECHNOSERVE, INC.

IN SUPPORT OF REDUCING POST-HARVEST FOOD LOSS AND IMPROVING THE LIVES  
OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN KENYA'S MANGO  
VALUE CHAIN

NAME OF RECIPIENT - TECHNOSERVE, INC.

IN SUPPORT OF A PROJECT TO REDUCE POST-HARVEST FOOD LOSS AND IMPROVE  
THE LIVES OF SMALLHOLDER FARMERS AND OTHER VULNERABLE PEOPLE IN THE  
MANGO VALUE CHAIN IN KENYA

NAME OF RECIPIENT - TECHSOUP GLOBAL

FOR USE BY NGOSOURCE IN SUPPORT OF ONGOING OPERATIONS TO ENHANCE ITS  
REPOSITORY OF EQUIVALENCY DETERMINATIONS IN ORDER TO STREAMLINE AND  
DEMOCRATIZE INTERNATIONAL GRANTMAKING AND GLOBAL PHILANTHROPY

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

TOWARD THE COSTS OF A CONVENING OF INDIAN PHILANTHROPIC LEADERS AND  
FOUNDATIONS INTERESTED IN LEARNING ABOUT STRATEGIC PHILANTHROPY

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NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF AN EFFORT TO ADVANCE THE KNOWLEDGE OF THE PHILANTHROPIC  
SECTOR REGARDING HIGH-IMPACT IMPLEMENTATION APPROACHES, DRAWING FROM  
LESSONS AND EXAMPLES FROM FOUNDATIONS, MULTI-LATERALS, AND THE PRIVATE  
SECTOR

NAME OF RECIPIENT - THE BRIDGESPAN GROUP, INC.

IN SUPPORT OF THE DEVELOPMENT AND FACILITATION OF A COMMUNITY OF  
PHILANTHROPIC FUNDERS DEDICATED TO JOINT LEARNING ON METHODS FOR  
SOURCING AND ASSESSING OPPORTUNITIES FOR SOCIAL IMPACT

NAME OF RECIPIENT - THE CENTER FOR EFFECTIVE PHILANTHROPY, INC.

IN GENERAL SUPPORT OF ITS MISSION TO PROVIDE DATA AND CREATE INSIGHT SO  
PHILANTHROPIC FUNDERS CAN BETTER DEFINE, ASSESS AND IMPROVE THEIR  
EFFECTIVENESS AND IMPACT

NAME OF RECIPIENT - THE FUND FOR PUBLIC SCHOOLS, INC.

TOWARD THE COSTS OF DEVELOPING THE NYC SCHOOL FINDER, A DIGITAL TOOL TO  
HELP NEW YORK CITY 8TH GRADERS CHOOSE TO WHICH HIGH SCHOOLS TO APPLY

NAME OF RECIPIENT - THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

IN SUPPORT OF DEVELOPING AND DEPLOYING A PIPELINE OF HIGH-POTENTIAL  
TECHNOLOGY INNOVATIONS TO ADDRESS SOCIAL AND ENVIRONMENTAL PROBLEMS  
FACING POOR AND VULNERABLE PEOPLE IN SOUTH ASIA AND SUB-SAHARAN AFRICA

NAME OF RECIPIENT - THE MEDIAE COMPANY LIMITED

TOWARD THE COSTS OF TELEVISION EPISODES ON POST-HARVEST LOSS SOLUTIONS  
FOR THE AFRICAN SERIES, "SHAMBA SHAPE UP," A REALITY MAKEOVER SHOW THAT

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

EDUCATES FARMERS TO IMPROVE THEIR PRODUCTION AND INCOMES

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF THE "REIMAGINING THE CIVIC COMMONS" INITIATIVE, AN  
EFFORT TO DEMONSTRATE HOW A CONNECTED SET OF CIVIC ASSETS CAN YIELD  
INCREASED AND SHARED PROSPERITY FOR CITIES IN THE UNITED STATES

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF EXPLORING THE INTEGRATION OF MIAMI AND CUBA INTO  
THE 100 RESILIENT CITIES' RESILIENCE FRAMEWORK

NAME OF RECIPIENT - THE MIAMI FOUNDATION INC.

TOWARD THE COSTS OF ITS ROLE AS THE CONVENER, COMMUNICATOR, ADVISOR,  
AND MONITOR OF PROGRESS FOR THE GREATER MIAMI AND THE BEACHES 100  
RESILIENT CITIES RESILIENCE STRATEGY AND PARTNERSHIP, AND SUPPORT FOR  
THE VAN ALLEN INSTITUTE'S SOUTH FLORIDA CHALLENGE

NAME OF RECIPIENT - THE NATURE CONSERVANCY

IN SUPPORT OF DEVELOPING THE REEF RESILIENCE AND INSURANCE FUND, A TOOL  
TO USE INSURANCE PREMIUMS TO PROTECT AND RESTORE CORAL REEFS IN MEXICO

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

IN SUPPORT OF TESTING AND REFINING THE ROCKEFELLER FOUNDATION'S  
EMERGING INCLUSIVE ECONOMIES FRAMEWORK IN DIFFERENT GEOGRAPHIC CONTEXTS  
AND MARKETS, AND GENERATING NEW KNOWLEDGE ON WHAT IT TAKES TO BUILD AN  
INCLUSIVE ECONOMY

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF COLORADO

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FOR USE BY ITS BUECHNER INSTITUTE FOR GOVERNANCE TO ESTABLISH AN  
INTERMOUNTAIN INFRASTRUCTURE EXCHANGE THROUGH A COLLABORATIVE EFFORT OF  
THE INTERMOUNTAIN STATE GOVERNORS FROM ARIZONA COLORADO, AND UTAH

NAME OF RECIPIENT - THE SYNERGOS INSTITUTE, INC.

IN GENERAL SUPPORT OF ITS MISSION TO MOBILIZE RESOURCES AND BRIDGE  
SOCIAL AND ECONOMIC DIVIDES TO REDUCE POVERTY AND INCREASE EQUITY  
AROUND THE WORLD

NAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF  
NEW YORK

FOR USE BY ITS SCHOOL OF INTERNATIONAL AND PUBLIC AFFAIRS IN SUPPORT OF  
RESEARCH AND PUBLIC PRESENTATIONS ON RESILIENCE PRACTICE AND THEORY

NAME OF RECIPIENT - THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF  
NEW YORK

FOR USE BY ITS MAILMAN SCHOOL OF PUBLIC HEALTH IN SUPPORT OF THE GLOBAL  
CONSORTIUM ON CLIMATE AND HEALTH EDUCATION, AN EFFORT TO INCREASE  
SOCIETAL AWARENESS OF AND RESILIENCE TO THE HUMAN AND PLANETARY HEALTH  
EFFECTS OF CLIMATE CHANGE

NAME OF RECIPIENT - THE URBAN INSTITUTE

IN SUPPORT OF MONITORING AND EVALUATING THE PROGRESS AND LONG-TERM  
IMPACTS OF 100 RESILIENT CITIES - PIONEERED BY THE ROCKEFELLER  
FOUNDATION, AND GENERATING LEARNING FOR THE URBAN PLANNING AND  
RESILIENCE MEASUREMENT FIELDS

NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION



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IN SUPPORT OF PRODUCING, CURATING, AND PUBLISHING A WIDE RANGE OF  
MULTIMEDIA RESILIENCE CONTENT AND RESOURCES FOR "ZILIENT," AN ONLINE  
PUBLISHING AND KNOWLEDGE-SHARING PLATFORM FOR RESILIENCE

NAME OF RECIPIENT - THOMSON REUTERS FOUNDATION

IN SUPPORT OF ORGANIZING TRAININGS ON RESILIENCE AND URBAN DEVELOPMENT  
REPORTING FOR JOURNALISTS IN AFRICA AND ASIA, AND RELATED ON-THE-JOB  
TRAINING AT THE HABITAT III CONFERENCE IN QUITO, ECUADOR

NAME OF RECIPIENT - TIE, INC.

IN SUPPORT OF A PROJECT TO IDENTIFY AND MENTOR SOCIAL ENTREPRENEURS  
WITH CREDIBLE IDEAS FOR THE DEVELOPMENT OF MICRO-ENTERPRISES THAT WILL  
GENERATE LOCAL EMPLOYMENT AND ENHANCE THE DEMAND FOR ELECTRICITY IN  
RURAL AREAS IN INDIA

NAME OF RECIPIENT - TRANSFAIR USA

TOWARD THE COSTS OF ACTIVITIES DESIGNED TO DEVELOP A MARKET FOR  
RESPONSIBLE SEAFOOD BY SCALING FAIR TRADE PRINCIPLES TO OTHER SEAFOOD  
COMMODITIES

NAME OF RECIPIENT - TREATMENT ACTION CAMPAIGN

IN SUPPORT OF GENERATING POLICY BRIEFS AND ANALYSES IN ORDER TO  
IMPLEMENT UNIVERSAL HEALTH COVERAGE IN SOUTH AFRICA AS PART OF THE  
COUNTRY'S EFFORTS TO SHAPE THE POST-2015 DEVELOPMENT AGENDA

NAME OF RECIPIENT - TRUST FOR CONSERVATION INNOVATION

FOR USE BY ITS PROJECT, PRIVATE CAPITAL FOR PUBLIC GOOD, TOWARD THE  
COSTS OF RESEARCHING AND DEVELOPING A REPLICABLE, MULTI-STAKEHOLDER

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WILDFIRE RESILIENCE IMPACT BOND DESIGNED TO FUND FOREST RESTORATION IN  
THE WESTERN UNITED STATES

NAME OF RECIPIENT - TURRET MEDIA FZ LLC

IN SUPPORT OF EVENTS SURROUNDING THE "CASSAVA INNOVATION CHALLENGE"  
LAUNCH AT ITS GLOBAL FORUM ON INNOVATIONS IN AGRICULTURE TO ENCOURAGE  
THE DEVELOPMENT OF INNOVATIVE SOLUTIONS TO REDUCE POST-HARVEST CASSAVA  
LOSS AND INFLUENCE AGRICULTURE LEADERS TO PRIORITIZE LOSS REDUCTION  
STRATEGIES

NAME OF RECIPIENT - TUSK STRATEGIES, INC.

IN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF  
RESILIENCE SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP  
EVENT TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY

NAME OF RECIPIENT - UNITED NATIONS HUMAN SETTLEMENTS PROGRAMME

IN SUPPORT OF PREPARATIONS BY ITS "URBAN ECOLOGY AND RESILIENCE" POLICY  
UNIT FOR THE 2016 HABITAT III CONVENING TO BE HELD IN QUITO, ECUADOR,  
OCTOBER 2016

NAME OF RECIPIENT - UNITED STATES ENDOWMENT FOR FORESTRY AND COMMUNITIES,  
INC.

FOR USE BY ITS PROJECT, FOREST RESILIENCE BOND, TOWARD THE COSTS OF  
FUNDING FOREST RESTORATION IN THE U.S. BY LEVERAGING PRIVATE CAPITAL

NAME OF RECIPIENT - UNITED STATES FUND FOR UNICEF

IN SUPPORT OF DEVELOPING RESILIENCE THINKING FOR REBUILDING HEALTH  
SYSTEMS IN WEST AFRICA AND ELSEWHERE IN SUB-SAHARAN AFRICA IN THE WAKE

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OF THE EBOLA CRISIS

NAME OF RECIPIENT - UNIVERSITY OF MARYLAND

FOR USE BY ITS ROBERT H. SMITH SCHOOL OF BUSINESS IN SUPPORT OF  
CONDUCTING RESEARCH AND ANALYSIS ON POST-HARVEST LOSS ACROSS VALUE  
CHAINS AND TO SHARE THE LEARNING WITH KEY STAKEHOLDERS

NAME OF RECIPIENT - UNIVERSITY OF MASSACHUSETTS, AMHERST

IN SUPPORT OF CONDUCTING RESEARCH AND ANALYSIS OF INCENTIVE-BASED WATER  
ALLOCATION MECHANISMS DESIGNED TO PROVIDE WATER FOR POOR OR VULNERABLE  
PEOPLE

NAME OF RECIPIENT - UNIVERSITY OF NAIROBI

IN SUPPORT OF ESTABLISHING FRUIT AGGREGATION AND PROCESSING HUBS FOR  
SMALLHOLDER FARMERS, AND FACILITATING THE FIRST ALL AFRICA POSTHARVEST  
CONGRESS AND EXHIBITION, TO BE HELD IN NAIROBI, KENYA, MARCH 2017

NAME OF RECIPIENT - UNIVERSITY OF RWANDA

FOR USE BY ITS COLLEGE OF MEDICINE AND HEALTH SCIENCES, SCHOOL OF  
PUBLIC HEALTH IN SUPPORT OF THE CENTER OF EXCELLENCE FOR HEALTH SYSTEM  
STRENGTHENING'S ACTIVITIES TO PROMOTE LONG-TERM SUSTAINABILITY AND  
SUPPORT OF RWANDA'S PROGRESS TOWARDS UNIVERSAL HEALTH COVERAGE

NAME OF RECIPIENT - UNREASONABLE INSTITUTE

IN SUPPORT OF DEVELOPING AND MANAGING A "FUTURE CITIES ACCELERATOR"  
CHALLENGE AND FUND TARGETING NEXT GENERATION CHANGEMAKERS WHO CREATE  
INNOVATIVE SOLUTIONS TO KEY PROBLEM SPACES FACING CITIES

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NAME OF RECIPIENT - VALUELAB BV

IN SUPPORT OF APPLYING THE RESILIENCE VALUE REVIEW PROCESS TO FOUR  
PROJECTS IN ORDER TO VALIDATE THE PROCESS WHILE BUILDING THE RESILIENCE  
CAPACITY OF THE PARTICIPATING INTUITIONS

NAME OF RECIPIENT - VITAL RESEARCH LLC

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE ROCKEFELLER  
FOUNDATION BELLAGIO CENTER, ITS GRANTEEES, RESIDENTS AND CONFERENCE  
PARTICIPANTS IN ORDER TO SUPPORT THE ADAPTIVE MANAGEMENT OF THE CENTER  
AND TO DEVELOP KNOWLEDGE PRODUCTS ON USING IT TO PROMOTE GLOBAL CHANGE

NAME OF RECIPIENT - WATERFRONT ALLIANCE, INC.

TOWARD THE COSTS OF TESTING AND VALIDATING ITS WATERFRONT EDGE DESIGN  
GUIDELINES, A VOLUNTARY RATINGS SYSTEM USED TO INFLUENCE PLANNING AND  
DESIGN DECISION-MAKING ALONG THE WATER'S EDGE, IN ORDER TO BALANCE  
PUBLIC ACCESS WITH COASTAL RESILIENCY AND ECOSYSTEM RESTORATION

NAME OF RECIPIENT - WELCOMING AMERICA INC.

TOWARD THE COSTS OF ENSURING THAT IMMIGRANT AND REFUGEE POPULATIONS ARE  
ABLE TO ACHIEVE MORE EQUITABLE OUTCOMES IN AMERICA, BY SUPPORTING  
CONVENINGS, CASE STUDIES, AND THE DEVELOPMENT OF WELCOMING METRICS

NAME OF RECIPIENT - WEROBOTICS, INC.

IN SUPPORT OF INCUBATING THREE FLYING LABS, PHYSICAL SPACES WHERE LOCAL  
COMMUNITIES CAN LEARN HOW TO USE ROBOTICS TO ACCELERATE HUMANITARIAN  
AID, DEVELOPMENT AND ENVIRONMENTAL PROTECTION EFFORTS IN HIGHLY  
VULNERABLE COMMUNITIES ACROSS THE GLOBE

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NAME OF RECIPIENT - WESPO, INC.

IN SUPPORT OF DEVELOPING A STRATEGY AND IMPLEMENTATION PLAN TO CATALYZE  
THE TRANSITION TO MORE INCLUSIVE AND RESILIENT WATER MANAGEMENT SYSTEMS  
GLOBALLY

NAME OF RECIPIENT - WINDWARD FUND

TOWARD THE COSTS OF IMPLEMENTING THE RESILIENCE MEASUREMENT, EVIDENCE  
AND LEARNING COMMUNITY OF PRACTICE, A PROJECT WHICH BRINGS TOGETHER  
LEADING RESILIENCE MEASUREMENT EXPERTS AND PRACTITIONERS TO JOINTLY  
ADVANCE THE CONCEPT AND PRACTICE OF RESILIENCE MEASUREMENT

NAME OF RECIPIENT - WINDWARD FUND

FOR USE BY ITS WATER FUNDER INITIATIVE TOWARD THE COSTS OF DEVELOPING  
AND COORDINATING THE IMPLEMENTATION OF SIX ACTION PLANS TO ADDRESS  
WATER CHALLENGES IMPACTING COMMUNITIES AND FRESHWATER ECOSYSTEMS IN THE  
U.S. WEST

NAME OF RECIPIENT - WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND  
ORGANIZING

IN GENERAL SUPPORT OF ITS MISSION TO IMPROVE THE STATUS OF THE WORKING  
POOR IN THE INFORMAL ECONOMY, ESPECIALLY WOMEN

NAME OF RECIPIENT - WOMEN IN INFORMAL EMPLOYMENT: GLOBALIZING AND  
ORGANIZING

TOWARD THE COSTS OF A PILOT PROJECT TO PROMOTE THE LIVELIHOODS OF  
INFORMAL WORKERS IN MULTIPLE OCCUPATIONAL SECTORS IN BANGKOK, THAILAND

NAME OF RECIPIENT - WORKFORCE DEVELOPMENT CORPORATION

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

TOWARD THE COSTS OF CONDUCTING EMPLOYER-LED JOB TRAINING AND PLACEMENT  
FOR LOW-INCOME NEW YORKERS AND DEVELOPING A PRODUCT THAT COMMUNICATES  
THE VALUE OF THIS PROCESS TO INCENT OTHER EMPLOYERS' PARTICIPATION AND  
INVESTMENT

NAME OF RECIPIENT - WORLD FOOD PROGRAMME

IN SUPPORT OF SCALING ITS AGRICULTURAL MARKET ACCESS PLUS ZERO FOOD  
LOSS INITIATIVE TO TANZANIA AND TO ALIGN POST-HARVEST TRAINING AND  
TECHNOLOGIES WITH EXISTING VALUE CHAINS

NAME OF RECIPIENT - WORLD HEALTH ORGANIZATION

IN SUPPORT OF SERVING AS THE OFFICIAL SOURCE FOR MEASURING AND  
MONITORING UNIVERSAL HEALTH COVERAGE (UHC) PROGRESS, AND COORDINATING  
STRATEGIES AND ACTIVITIES TOWARDS ATTAINING THE SUSTAINABLE DEVELOPMENT  
GOALS 3.8

NAME OF RECIPIENT - WORLD WILDLIFE FUND INC.

IN SUPPORT OF CATALYZING SUSTAINABLE SOURCING AND FOOD WASTE REDUCTION  
IN THE U.S. HOSPITALITY SECTOR

NAME OF RECIPIENT - YALE UNIVERSITY

FOR USE BY ITS CENTER FOR THE STUDY OF GLOBALIZATION IN SUPPORT OF  
ACTIVITIES TO AID AND PROMOTE THE WORK OF THE ROCKEFELLER FOUNDATION  
COMMISSION ON PLANETARY HEALTH, AN INITIATIVE TO DEVELOP THE ECONOMIC  
CASE FOR THE NEW FIELD OF PLANETARY HEALTH, AND TO RECOMMEND HIGH-LEVEL  
POLICY ACTIONS

NAME OF RECIPIENT - YALE UNIVERSITY

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3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

FOR USE BY ITS CENTER FOR THE STUDY OF GLOBALIZATION TOWARD THE COSTS  
OF DEVELOPING A SET OF GUIDING PRINCIPLES FOR EQUITABLE AND FINANCIALLY  
SUSTAINABLE UNIVERSAL HEALTH COVERAGE (UHC), WHICH WILL SERVE AS A  
RESOURCE FOR GOVERNMENTS TO DEVELOP UHC STRATEGIES AND POLICIES AND AS  
AN INSTRUMENT FOR ORGANIZATIONS AND AGENCIES TO DISCUSS AND BENCHMARK  
PROGRESS

NAME OF RECIPIENT - YUNUS SOCIAL BUSINESS GMBH

IN SUPPORT OF PILOTING THE SOCIAL SUCCESS NOTE, AN INNOVATIVE FINANCING  
INSTRUMENT, TO ENABLE IMPACT WATER (OR A COMPARABLE SOCIAL ENTERPRISE)  
TO FUND WATER PURIFICATION SYSTEMS IN UGANDA

NAME OF RECIPIENT - ZERO POINT ZERO PRODUCTION, INC.

IN SUPPORT OF PRODUCING A FEATURE LENGTH DOCUMENTARY FILM, "WASTED," AND  
A CORRESPONDING AWARENESS CAMPAIGN AND EDUCATIONAL CURRICULUM TO  
HIGHLIGHT EFFORTS TO REDUCE FOOD WASTE

**Underpayment of Estimated Tax by Corporations**

▶ Attach to the corporation's tax return.

**FORM 990-PF**▶ Information about Form 2220 and its separate instructions is at [www.irs.gov/form2220](http://www.irs.gov/form2220).**2016**

Name <b>THE ROCKEFELLER FOUNDATION</b>	Employer identification number <b>13-1659629</b>
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**Note:** Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

**Part I Required Annual Payment**

1 Total tax (see instructions) .....	1	419,020.
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 .....	2a	
2b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method .....	2b	
2c Credit for federal tax paid on fuels (see instructions) .....	2c	
d Total. Add lines 2a through 2c .....	2d	
3 Subtract line 2d from line 1. If the result is less than \$500, <b>do not</b> complete or file this form. The corporation doesn't owe the penalty .....	3	419,020.
4 Enter the tax shown on the corporation's 2015 income tax return. See instructions. <b>Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5</b> .....	4	1,521,947.
5 Required annual payment. Enter the <b>smaller</b> of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 .....	5	419,020.

**Part II Reasons for Filing** - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it doesn't owe a penalty. See instructions.

- 6 ☐ The corporation is using the adjusted seasonal installment method.
- 7 ☒ The corporation is using the annualized income installment method.
- 8 ☒ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

**Part III Figuring the Underpayment**

	(a)	(b)	(c)	(d)
9 <b>Installment due dates.</b> Enter in columns (a) through (d) the 15th day of the 4th ( <b>Form 990-PF filers:</b> Use 5th month), 6th, 9th, and 12th months of the corporation's tax year .....	9 05/15/16	06/15/16	09/15/16	12/15/16
10 <b>Required installments.</b> If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column. ....	10 104,755.	104,755.	104,755.	104,755.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions .....	11 4,472,353.	1,000,000.		
<b>Complete lines 12 through 18 of one column before going to the next column.</b>				
12 Enter amount, if any, from line 18 of the preceding column .....	12	4,367,598.	5,262,843.	5,158,088.
13 Add lines 11 and 12 .....	13	5,367,598.	5,262,843.	5,158,088.
14 Add amounts on lines 16 and 17 of the preceding column .....	14			
15 Subtract line 14 from line 13. If zero or less, enter -0- .....	15 4,472,353.	5,367,598.	5,262,843.	5,158,088.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0- .....	16	0.	0.	
17 <b>Underpayment.</b> If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18 .....	17			
18 <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column .....	18 4,367,598.	5,262,843.	5,158,088.	

Go to **Part IV** on page 2 to figure the penalty. Do not go to **Part IV** if there are no entries on line 17 - no penalty is owed.

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 2220 (2016)



**Part IV Figuring the Penalty**

	(a)	(b)	(c)	(d)
<b>19</b> Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. <i>(C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.)</i> See instructions .....	<b>19</b>			
<b>20</b> Number of days from due date of installment on line 9 to the date shown on line 19 .....	<b>20</b>			
<b>21</b> Number of days on line 20 after 4/15/2016 and before 7/1/2016 .....	<b>21</b>			
<b>22</b> Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 4\% (0.04)}{366}$ ...	<b>22</b>	\$	\$	\$
<b>23</b> Number of days on line 20 after 06/30/2016 and before 10/1/2016 ...	<b>23</b>			
<b>24</b> Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 4\% (0.04)}{366}$ ...	<b>24</b>	\$	\$	\$
<b>25</b> Number of days on line 20 after 9/30/2016 and before 1/1/2017 .....	<b>25</b>			
<b>26</b> Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 4\% (0.04)}{366}$ ...	<b>26</b>	\$	\$	\$
<b>27</b> Number of days on line 20 after 12/31/2016 and before 4/1/2017 ...	<b>27</b>			
<b>28</b> Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 4\% (0.04)}{365}$ ...	<b>28</b>	\$	\$	\$
<b>29</b> Number of days on line 20 after 3/31/2017 and before 7/1/2017 .....	<b>29</b>			
<b>30</b> Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$ .....	<b>30</b>	\$	\$	\$
<b>31</b> Number of days on line 20 after 6/30/2017 and before 10/1/2017 ...	<b>31</b>			
<b>32</b> Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$ .....	<b>32</b>	\$	\$	\$
<b>33</b> Number of days on line 20 after 9/30/2017 and before 1/1/2018 .....	<b>33</b>			
<b>34</b> Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$ .....	<b>34</b>	\$	\$	\$
<b>35</b> Number of days on line 20 after 12/31/2017 and before 3/16/2018 ...	<b>35</b>			
<b>36</b> Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$ .....	<b>36</b>	\$	\$	\$
<b>37</b> Add lines 22, 24, 26, 28, 30, 32, 34, and 36 .....	<b>37</b>	\$	\$	\$
<b>38 Penalty.</b> Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns .....	<b>38</b>	\$		0.

\* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-4933 to get interest rate information.

**Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method**

See instructions.

**Form 1120S filers:** For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

**Part I Adjusted Seasonal Installment Method**

**Caution:** Use this method only if the base period percentage for any 6 consecutive months is at least 70%.

See instructions.

		(a)	(b)	(c)	(d)
		First 3 months	First 5 months	First 8 months	First 11 months
<b>1</b> Enter taxable income for the following periods:					
<b>a</b> Tax year beginning in 2013 .....	<b>1a</b>				
<b>b</b> Tax year beginning in 2014 .....	<b>1b</b>				
<b>c</b> Tax year beginning in 2015 .....	<b>1c</b>				
<b>2</b> Enter taxable income for each period for the tax year beginning in 2016. See the instructions for the treatment of extraordinary items	<b>2</b>				
<b>3</b> Enter taxable income for the following periods:		First 4 months	First 6 months	First 9 months	Entire year
<b>a</b> Tax year beginning in 2013 .....	<b>3a</b>				
<b>b</b> Tax year beginning in 2014 .....	<b>3b</b>				
<b>c</b> Tax year beginning in 2015 .....	<b>3c</b>				
<b>4</b> Divide the amount in each column on line 1a by the amount in column (d) on line 3a .....	<b>4</b>				
<b>5</b> Divide the amount in each column on line 1b by the amount in column (d) on line 3b .....	<b>5</b>				
<b>6</b> Divide the amount in each column on line 1c by the amount in column (d) on line 3c .....	<b>6</b>				
<b>7</b> Add lines 4 through 6 .....	<b>7</b>				
<b>8</b> Divide line 7 by 3.0 .....	<b>8</b>				
<b>9a</b> Divide line 2 by line 8 .....	<b>9a</b>				
<b>b</b> Extraordinary items (see instructions) .....	<b>9b</b>				
<b>c</b> Add lines 9a and 9b .....	<b>9c</b>				
<b>10</b> Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2 or comparable line of corp's return ...	<b>10</b>				
<b>11a</b> Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a .....	<b>11a</b>				
<b>b</b> Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b .....	<b>11b</b>				
<b>c</b> Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c .....	<b>11c</b>				
<b>12</b> Add lines 11a through 11c .....	<b>12</b>				
<b>13</b> Divide line 12 by 3.0 .....	<b>13</b>				
<b>14</b> Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d) .....	<b>14</b>				
<b>15</b> Enter any alternative minimum tax for each payment period. See instructions .....	<b>15</b>				
<b>16</b> Enter any other taxes for each payment period. See instr. ....	<b>16</b>				
<b>17</b> Add lines 14 through 16 .....	<b>17</b>				
<b>18</b> For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions .....	<b>18</b>				
<b>19</b> Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0- .....	<b>19</b>				

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**Part II Annualized Income Installment Method**

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20 Annualization periods (see instructions) .....	20				
21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items .....	21	19,897,897.	39,301,140.	28,865,281.	53,879,325.
22 Annualization amounts (see instructions) .....	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22 ..	23a	119,387,382.	157,204,560.	57,730,562.	71,838,920.
b Extraordinary items (see instructions) .....	23b				
c Add lines 23a and 23b .....	23c	119,387,382.	157,204,560.	57,730,562.	71,838,920.
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return .....	24	1,193,874.	1,572,046.	577,306.	718,389.
25 Enter any alternative minimum tax for each payment period (see instructions) .....	25				
26 Enter any other taxes for each payment period. See instr. ....	26				
27 Total tax. Add lines 24 through 26 .....	27	1,193,874.	1,572,046.	577,306.	718,389.
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions .....	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0- .....	29	1,193,874.	1,572,046.	577,306.	718,389.
30 Applicable percentage .....	30	25%	50%	75%	100%
31 Multiply line 29 by line 30 .....	31	298,469.	786,023.	432,980.	718,389.

**Part III Required Installments**

		1st installment	2nd installment	3rd installment	4th installment
<b>Note:</b> Complete lines 32 through 38 of one column before completing the next column.					
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the <b>smaller</b> of the amounts in each column from line 19 or line 31 .....	32	298,469.	786,023.	432,980.	718,389.
33 Add the amounts in all preceding columns of line 38. See instructions .....	33		104,755.	209,510.	314,265.
34 <b>Adjusted seasonal or annualized income installments.</b> Subtract line 33 from line 32. If zero or less, enter -0- ..	34	298,469.	681,268.	223,470.	404,124.
35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. <b>Note:</b> "Large corporations," see the instructions for line 10 for the amounts to enter .....	35	104,755.	104,755.	104,755.	104,755.
36 Subtract line 38 of the preceding column from line 37 of the preceding column .....	36				
37 Add lines 35 and 36 .....	37	104,755.	104,755.	104,755.	104,755.
38 <b>Required installments.</b> Enter the <b>smaller</b> of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions .....	38	104,755.	104,755.	104,755.	104,755.

Form 2220 (2016)

\*\* ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	283,390.	283,390.	
TOTAL TO PART I, LINE 3	283,390.	283,390.	

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS AND INTEREST	22,580,277.	0.	22,580,277.	22,580,277.	
DIVIDENDS AND INTEREST THROUGH K-1S	0.	0.	0.	27,607,565.	
TO PART I, LINE 4	22,580,277.	0.	22,580,277.	50,187,842.	

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME THROUGH K-1S, NET OF UBI	0.	843,086.	
PROGRAM RELATED INVESTMENT INCOME	217,062.	217,062.	
FOREIGN EXCHANGE LOSS	-5,516,365.	-5,516,365.	
SECURITIES LITIGATION PROCEEDS	7,484.	7,484.	
GRANT REFUNDS	2,097,597.	0.	
ROYALTIES	3,729.	3,729.	
FEDERAL EXCISE AND UBI TAX BENEFIT	466,849.	0.	
LOSS ON DISPOSITION OF FIXED ASSETS	-653,389.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-3,377,033.	-4,445,004.	

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FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
AZB & PARTNERS	102,670.	0.		102,670.	
DAVIS WRIGHT TREMAINE LLP	32,239.	0.		32,239.	
DAVIS POLK & WARDWELL LLP	9,173.	0.		9,173.	
FRAGOMEN, DEL REY, BERNSEN & LOEWY, P.C.	36,327.	0.		36,327.	
GOODELL, DEVRIES, LEECH & DANN, LLP	13,750.	0.		13,750.	
KAPLAN & STRATTON	5,324.	0.		5,324.	
PATTERSON, BELKNAP, WEBB & TYLER LLP	949,293.	28,337.		920,956.	
PROSKAUER ROSE LLP	50,445.	50,445.		0.	
ROPES AND GRAY LLP	74,593.	74,593.		0.	
SIMPSON THACHER & BARTLETT LLP	205,201.	0.		205,201.	
TO FM 990-PF, PG 1, LN 16A	1,479,015.	153,375.		1,325,640.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PKF O'CONNOR DAVIES, LLP	380,918.	169,757.		153,161.	
BDO USA, LLP	20,897.	0.		20,897.	
TO FORM 990-PF, PG 1, LN 16B	401,815.	169,757.		174,058.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTANTS (ADMINISTRATIVE)	2,235,665.	0.		2,235,665.	
CONSULTANTS (DIRECT PROGRAMMATIC EXPENDITURES)	3,224,666.	0.		3,224,666.	
CUSTODIANS & INVESTMENT MANAGERS	6,403,190.	6,403,190.		0.	
TO FORM 990-PF, PG 1, LN 16C	11,863,521.	6,403,190.		5,460,331.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN WITHHOLDING TAXES	593,574.	2,618,338.		0.	
STATE UBI TAXES	115,156.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	708,730.	2,618,338.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COMPUTER/FURNITURE AND EQUIPMENT	1,193,013.	585.		1,132,163.	
OFFICE EXPENSES (SUPPLIES, POSTAGE, OTHER)	441,395.	1,734.		439,969.	
SUNDRIES (INSURANCE, MEMBERSHIPS, TRAINING, STAFF FUNCTIONS, OTHER)	1,306,479.	16,105.		1,288,291.	
CREDIT FACILITY FEES	302,360.	0.		302,360.	
OTHER PORTFOLIO DEDUCTIONS	0.	30,305,797.		0.	
BROKER COMMISSIONS	9,996.	9,996.		0.	
TO FORM 990-PF, PG 1, LN 23	3,253,243.	30,334,217.		3,162,783.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
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DESCRIPTION	AMOUNT
CHANGE IN UNREALIZED GAIN ON INVESTMENTS AND PRI'S PENSION AND POSTRETIREMENT BENEFIT LIABILITY ADJUSTMENTS	111,641,874.
CHANGE IN FAIR VALUE OF INTEREST RATE SWAP	1,836,292.
	131,587.
TOTAL TO FORM 990-PF, PART III, LINE 3	113,609,753.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
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DESCRIPTION	AMOUNT
PROVISION FOR DEFERRED FEDERAL EXCISE TAX	3,057,000.
DEFERRED COMPENSATION PLAN (457B) UNREALIZED	54,994.
TOTAL TO FORM 990-PF, PART III, LINE 5	3,111,994.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 11
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SHORT TERM INVESTMENT FUND II	X			
INVESTMENT FUND II			8,978,302.	8,978,302.
U S TREASURY NOTE 1.000% 11/30/2019	X		1,877,143.	1,877,143.
DD 11/30/12			1,808,010.	1,808,010.
U S TREASURY NOTE 1.375% 07/31/2018	X		1,495,008.	1,495,008.
DD 07/31/13			1,849,080.	1,849,080.
U S TREASURY NOTE 1.625% 02/15/2026	X		3,311,532.	3,311,532.
DD 02/15/16			297,528.	297,528.
U S TREASURY NOTE 1.625% 11/15/2022	X		2,105,817.	2,105,817.
DD 11/15/12			2,764,132.	2,764,132.
U S TREASURY NOTE 1.750% 05/15/2023	X		507,480.	507,480.
DD 05/15/13			930,231.	930,231.
U S TREASURY NOTE 2.000% 02/15/2023	X			
DD 02/15/13				
U S TREASURY NOTE 2.000% 11/15/2021	X			
DD 11/15/11				
U S TREASURY NOTE 2.250% 11/15/2025	X			
DD 11/15/15				
U S TREASURY NOTE 2.500% 05/15/2024	X			
DD 05/15/14				
U S TREASURY NOTE 2.625% 11/15/2020	X			
DD 11/15/10				
TOTAL U.S. GOVERNMENT OBLIGATIONS			25,924,263.	25,924,263.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			25,924,263.	25,924,263.

FORM 990-PF	CORPORATE STOCK	STATEMENT 12
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
3I GROUP PLC	290,963.	290,963.
58.COM INC ADR	406,140.	406,140.
AAC TECHNOLOGIES HOLDINGS INC	2,594,233.	2,594,233.
ABS-CBN HOLDINGS CORP	579,611.	579,611.
ACTIVISION BLIZZARD INC	194,922.	194,922.
ADVANCED INFO SERVICE PCL	276,226.	276,226.
ADVANCED SEMICONDUCTOR ENGINEE	412,241.	412,241.
AEGEAN AIRLINES SA	20,023.	20,023.
AEROFLOT PJSC	554,140.	554,140.
AIA GROUP LTD	1,402,377.	1,402,377.
AIMIA INC	46,438.	46,438.
AIR CANADA VTG AND VAR VTG	62,374.	62,374.
AIRASIA BHD	1,319,780.	1,319,780.
AIRPORTS OF THAILAND PCL	595,714.	595,714.
AKZO NOBEL NV	68,405.	68,405.
ALFA SAB DE CV	458,824.	458,824.
ALIBABA GROUP HOLDING LTD ADR	3,385,515.	3,385,515.
ALLIANCE DATA SYSTEMS CORP	222,559.	222,559.
ALPHABET INC-CL A	99,056.	99,056.
ALPHABET INC-CL C	6,201,574.	6,201,574.
AMAZON.COM INC	3,964,563.	3,964,563.
AMBUJA CEMENTS LTD	422,904.	422,904.
AMERICA MOVIL SAB DE CV ADR	58,991.	58,991.
AMERICAN AIRLINES GROUP INC	1,603,708.	1,603,708.
AMERICAN EXPRESS CO	714,353.	714,353.
AMERICAN INTERNATIONAL GROUP I	1,731,107.	1,731,107.
AMERICAN INTERNATIONAL GROUP I CW21 EXPIRING 1/19/2021	799,048.	799,048.
ANGLO AMERICAN PLATINUM LTD	78,579.	78,579.
ANGLO AMERICAN PLC	887,461.	887,461.
ANSYS INC	2,661,307.	2,661,307.
ANTHEM INC	230,320.	230,320.
ANTOFAGASTA PLC	441,812.	441,812.
AP MOLLER - MAERSK A/S	222,217.	222,217.
ARAMEX PJSC	1,502,504.	1,502,504.
ASIAN PAINTS LTD	807,420.	807,420.
ASM PACIFIC TECHNOLOGY LTD	1,328,700.	1,328,700.
ASSA ABLOY AB	248,235.	248,235.
ASSOCIATED BRITISH FOODS PLC	43,959.	43,959.
ASTRAZENECA PLC	89,541.	89,541.
ATLASSIAN CORP PLC	751,079.	751,079.
AURELIUS EQUITY OPPORTUNITIES	81,251.	81,251.
AVIS BUDGET GROUP INC	91,810.	91,810.
AVIVA PLC	96,674.	96,674.
AVON PRODUCTS INC	1,176,144.	1,176,144.
AXIS BANK LTD	1,004,104.	1,004,104.
AYALA LAND INC	371,673.	371,673.



BAIDU INC ADR	3,243,316.	3,243,316.
BAIOO FAMILY INTERACTIVE LTD	13,168.	13,168.
BANCA IFIS SPA	284,793.	284,793.
BANCA MONTE DEI PASCHI DI SIEN	15,858.	15,858.
BANCA POPOLARE DI MILANO SCARL	189,828.	189,828.
BANCO BILBAO VIZCAYA ARGENTARI	42,729.	42,729.
BANCO BRADESCO SA	1,254,127.	1,254,127.
BANCO BRADESCO SA ADR	1,565,100.	1,565,100.
BANCO DO ESTADO DO RIO GRANDE	74,812.	74,812.
BANCO POPOLARE SC	328,619.	328,619.
BANCO SANTANDER CHILE ADR	780,650.	780,650.
BANCOLOMBIA SA	137,376.	137,376.
BANG & OLUFSEN A/S	124,014.	124,014.
BANGKOK BANK PCL	312,599.	312,599.
BANK OF AMERICA CORP	1,660,550.	1,660,550.
BANK OF AMERICA CORP-CW19 WARRANTS EXPIRING 28-OCT-2018	24,538.	24,538.
BANK OF AMERICA CORP-CW19 WT 16-JAN-2019	765,662.	765,662.
BANK OF IRELAND	84,065.	84,065.
BANK RAKYAT INDONESIA PERSERO	875,503.	875,503.
BANK ST PETERSBURG PJSC	187,738.	187,738.
BARCLAYS PLC	489,691.	489,691.
BARRACUDA NETWORKS INC	675,495.	675,495.
BARRICK GOLD CORP	132,012.	132,012.
BASHNEFT PJSC	181,295.	181,295.
BAYER AG	85,737.	85,737.
BECTON DICKINSON AND CO	1,618,417.	1,618,417.
BELMOND LTD	653,669.	653,669.
BERKSHIRE HATHAWAY INC	877,484.	877,484.
BGEO GROUP PLC	29,792.	29,792.
BHARTI INFRATEL LTD	1,310,079.	1,310,079.
BIM BIRLESIK MAGAZALAR AS	69,705.	69,705.
BIO-RAD LABORATORIES INC	234,412.	234,412.
BLUE NILE INC	127,213.	127,213.
BM&FBOVESPA SA - BOLSA DE VALO	1,207,070.	1,207,070.
BOMBARDIER INC CL B SUB-VTG	55,469.	55,469.
BOVIS HOMES GROUP PLC	35,281.	35,281.
BOYD GAMING CORP	94,053.	94,053.
BP PLC	335,560.	335,560.
BPER BANCA	24,753.	24,753.
BR MALLS PARTICIPACOES SA	1,149,435.	1,149,435.
BRAMBLES LTD	129,116.	129,116.
BRIDGESTONE CORP	197,377.	197,377.
BRILLIANCE CHINA AUTOMOTIVE HO	732,832.	732,832.
BRISTOL-MYERS SQUIBB CO	153,639.	153,639.
BT GROUP PLC	85,531.	85,531.
CANADIAN NATURAL RESOURCES LTD	259,762.	259,762.
CAPITAL ONE FINANCIAL CORP	763,437.	763,437.
CAPITAL ONE FINANCIAL CORP WT EXP 11/14/2018	80,257.	80,257.
CARLSBERG A/S	55,853.	55,853.
CATCHER TECHNOLOGY CO LTD	1,427,765.	1,427,765.
CBRE GROUP INC	1,108,165.	1,108,165.
CEMEX SAB DE CV ADR	2,216,433.	2,216,433.
CERNER CORP	6,437,536.	6,437,536.

CF INDUSTRIES HOLDINGS INC	59,088.	59,088.
CHARLES SCHWAB CORP/THE	1,829,790.	1,829,790.
CHARTER COMMUNICATIONS INC	141,369.	141,369.
CHECK POINT SOFTWARE TECHNOLOG	1,431,766.	1,431,766.
CHINA DISTANCE EDUCATION HOLDI ADR	693,451.	693,451.
CHINA LIFE INSURANCE CO LTD/TA	2,783,467.	2,783,467.
CHINA OVERSEAS LAND & INVESTME	1,012,505.	1,012,505.
CHINA PACIFIC INSURANCE GROUP	628,701.	628,701.
CHINA RESOURCES BEER HOLDINGS	1,184,845.	1,184,845.
CHINA RESOURCES PHOENIX HEALTH	1,388,236.	1,388,236.
CHINA TAIPING INSURANCE HOLDIN	1,829,149.	1,829,149.
CHINA TRADITIONAL CHINESE MEDI	1,239,089.	1,239,089.
CHINA VANKE CO LTD	1,086,681.	1,086,681.
CHIPOTLE MEXICAN GRILL INC	1,740,200.	1,740,200.
CHROMA ATE INC	1,106,919.	1,106,919.
CIELO SA	446,281.	446,281.
CISCO SYSTEMS INC	56,783.	56,783.
CITIGROUP INC	1,868,420.	1,868,420.
CITIGROUP INC WTS EXP 04-JAN-2019	14,002.	14,002.
CITIZENS FINANCIAL GROUP INC	852,733.	852,733.
CK HUTCHISON HOLDINGS LTD	119,155.	119,155.
COCA-COLA EAST JAPAN CO LTD	80,327.	80,327.
COGNIZANT TECHNOLOGY SOLUTIONS	212,522.	212,522.
COLUMBIA INDIA SMALL CAP ETF	581,832.	581,832.
COMCAST CORP	545,081.	545,081.
COMMERCEHUB INC	6,220.	6,220.
COMMERZBANK AG	31,862.	31,862.
CONSORCIO ARA SAB DE CV	159,038.	159,038.
CONTAINER CORP OF INDIA LTD	980,138.	980,138.
CONTINENTAL AG	117,805.	117,805.
COOPER COS INC/THE	1,782,187.	1,782,187.
COPA HOLDINGS SA	117,625.	117,625.
CORELOGIC INC/UNITED STATES	244,441.	244,441.
CORNING INC	52,860.	52,860.
COSCO CAPITAL INC	17,277.	17,277.
COSMO LADY CHINA HOLDINGS CO L	1,033,902.	1,033,902.
COSMOS PHARMACEUTICAL CORP	164,071.	164,071.
COSTCO WHOLESALE CORP	1,226,603.	1,226,603.
COTY INC	40,282.	40,282.
CREDITO VALTELLINESE SC	113,510.	113,510.
CRH PLC	150,264.	150,264.
CSL LTD	3,915,120.	3,915,120.
CTBC FINANCIAL HOLDING CO LTD	833,513.	833,513.
CTRIP.COM INTERNATIONAL LTD ADR	870,440.	870,440.
CYBERAGENT INC	153,345.	153,345.
DAIWA SECURITIES GROUP INC	193,626.	193,626.
D'AMICO INTERNATIONAL SHIPPING	67,357.	67,357.
DAPHNE INTERNATIONAL HOLDINGS	313,282.	313,282.
DEERE & CO	5,178,172.	5,178,172.
DELPHI AUTOMOTIVE PLC	1,954,766.	1,954,766.
DELTA AIR LINES INC	1,355,726.	1,355,726.
DELTA ELECTRONICS INC	648,314.	648,314.
DENTSPLY SIRONA INC	1,609,743.	1,609,743.
DEUTSCHE BANK AG	39,682.	39,682.

DEUTSCHE TELEKOM AG	116,199.	116,199.
DEUTZ AG	34,282.	34,282.
DIAGEO PLC	181,176.	181,176.
DIALOG AXIATA PLC	55,586.	55,586.
DIANA SHIPPING INC	69,224.	69,224.
DISCOVERY COMMUNICATIONS INC	278,020.	278,020.
DISCOVERY LTD	215,327.	215,327.
DISH TV INDIA LTD	75,484.	75,484.
DIXONS CARPHONE PLC	99,388.	99,388.
DMCI HOLDINGS INC	107,840.	107,840.
DMG MORI CO LTD	95,036.	95,036.
DONGBU INSURANCE CO LTD	679,852.	679,852.
DOOSAN BOBCAT INC	715,991.	715,991.
DOW CHEMICAL CO/THE	168,341.	168,341.
EBAY INC	384,634.	384,634.
ECLAT TEXTILE CO LTD	418,877.	418,877.
EI GROUP PLC	81,430.	81,430.
ELLAKTOR SA	4,230.	4,230.
EMBRAER SA ADR	681,277.	681,277.
ENN ENERGY HOLDINGS LTD	995,699.	995,699.
EPAM SYSTEMS INC	245,986.	245,986.
ERSTE GROUP BANK AG	88,309.	88,309.
ESPRIT HOLDINGS LTD	328,950.	328,950.
EURAZEO SA	50,005.	50,005.
EUROPLAN PJSC	153,521.	153,521.
FACEBOOK INC	2,903,287.	2,903,287.
FAIRFAX FINANCIAL HOLDINGS LTD SUB-VTG	97,682.	97,682.
FEDERAL NATIONAL MORTGAGE ASSO	22,952.	22,952.
FERRARI NV	83,759.	83,759.
FERROGLOBE PLC	70,319.	70,319.
FIRSTCASH INC	114,774.	114,774.
FLUOR CORP	39,390.	39,390.
FLYBE GROUP PLC	158,053.	158,053.
FOMENTO DE CONSTRUCCIONES Y CO	102,128.	102,128.
FORD MOTOR CO	394,941.	394,941.
FOSUN INTERNATIONAL LTD	1,625,606.	1,625,606.
FREEPORT-MCMORAN INC	97,237.	97,237.
FU SHOU YUAN INTERNATIONAL GRO	1,281,718.	1,281,718.
FUBON FINANCIAL HOLDING CO LTD	1,028,577.	1,028,577.
GARMIN LTD	163,945.	163,945.
GARTNER INC	240,446.	240,446.
GAZPROM PJSC ADR	144,188.	144,188.
GEELY AUTOMOBILE HOLDINGS LTD	520,879.	520,879.
GEM DIAMONDS LTD	132,093.	132,093.
GENPACT LTD	1,247,571.	1,247,571.
ENTERA SAB DE CV	922,258.	922,258.
GENTING MALAYSIA BHD	277,180.	277,180.
GKN PLC	127,903.	127,903.
GLENMARK PHARMACEUTICALS LTD	1,474,340.	1,474,340.
GLOBAL PORTS INVESTMENTS PLC GDR	176,160.	176,160.
GLOBE TELECOM INC	194,087.	194,087.
GN STORE NORD A/S	88,180.	88,180.
GOLAR LNG LTD	55,171.	55,171.
GOLD FIELDS LTD	11,510.	11,510.

GOLDEN OCEAN GROUP LTD	49,271.	49,271.
GOODBABY INTERNATIONAL HOLDING	52,084.	52,084.
GRACO INC	145,740.	145,740.
GREAT EASTERN HOLDINGS LTD	193,639.	193,639.
GREATVIEW ASEPTIC PACKAGING CO	935,818.	935,818.
GREENYARD NV	37,815.	37,815.
GRIFOLS SA	112,313.	112,313.
GROUPE EUROTUNNEL SE	130,466.	130,466.
GROUPON INC	59,750.	59,750.
GRUPO CARSO SAB DE CV	183,498.	183,498.
GRUPO FINANCIERO BANORTE SAB D	670,061.	670,061.
GRUPO MEXICO SAB DE CV	104,445.	104,445.
GRUPO NUTRESA SA	131,102.	131,102.
HACKETT GROUP INC/THE	283,072.	283,072.
HAIER ELECTRONICS GROUP CO LTD	732,961.	732,961.
HAL TRUST	176,078.	176,078.
HALLIBURTON CO	47,383.	47,383.
HANESBRANDS INC	188,306.	188,306.
HANSTEEN HOLDINGS PLC	48,069.	48,069.
HARGREAVES LANSDOWN PLC	2,078,776.	2,078,776.
HCL TECHNOLOGIES LTD	167,420.	167,420.
HEINEKEN HOLDING NV	62,994.	62,994.
HELLENIC BANK PCL	32,972.	32,972.
HELLENIC EXCHANGES - ATHENS ST	58,422.	58,422.
HENDERSON LAND DEVELOPMENT CO	183,804.	183,804.
HENRY SCHEIN INC	799,663.	799,663.
HERC HOLDINGS INC	10,040.	10,040.
HERO SUPERMARKET TBK PT	294,197.	294,197.
HERTZ GLOBAL HOLDINGS INC	16,192.	16,192.
HEWLETT PACKARD ENTERPRISE CO	383,337.	383,337.
HILONG HOLDING LTD	1,109,162.	1,109,162.
HITACHI LTD	67,993.	67,993.
HOLLYSYS AUTOMATION TECHNOLOGI	1,172,846.	1,172,846.
HON HAI PRECISION INDUSTRY CO	3,425,748.	3,425,748.
HON HAI PRECISION INDUSTRY CO GDR	97,399.	97,399.
HONG LEONG FINANCIAL GROUP BHD	65,325.	65,325.
HONGKONG & SHANGHAI HOTELS LTD	276,071.	276,071.
HOSKEN CONSOLIDATED INVESTMENT	437,450.	437,450.
HOUSING DEVELOPMENT FINANCE CO	2,300,659.	2,300,659.
HOWDEN JOINERY GROUP PLC	372,157.	372,157.
HP INC	66,899.	66,899.
HSBC HOLDINGS PLC	118,792.	118,792.
HUABAO INTERNATIONAL HOLDINGS	62,163.	62,163.
HUMMINGBIRD RESOURCES PLC	24,481.	24,481.
HUNTINGTON BANCSHARES INC/OH	125,815.	125,815.
HYUNDAI MARINE & FIRE INSURANC	519,263.	519,263.
HYUNDAI MOBIS CO LTD	1,450,274.	1,450,274.
HYUNDAI MOTOR CO	213,462.	213,462.
ICICI BANK LTD	1,564,319.	1,564,319.
ICICI BANK LTD ADR	1,111,935.	1,111,935.
IDEA CELLULAR LTD	31,386.	31,386.
IDFC BANK LTD	827,273.	827,273.
IDFC LTD	429,876.	429,876.
IHEARTMEDIA INC	8,272.	8,272.

ILG INC	34,777.	34,777.
IMAX CHINA HOLDING INC	772,925.	772,925.
IMPALA PLATINUM HOLDINGS LTD	49,119.	49,119.
IMPERIAL OIL LTD	357,400.	357,400.
INDOSAT TBK PT	51,567.	51,567.
INDUSTRIA DE DISENO TEXTIL SA	382,657.	382,657.
INFOSYS LTD	2,022,838.	2,022,838.
INGERSOLL-RAND PLC	3,988,226.	3,988,226.
INGEVITY CORP	16,019.	16,019.
INMOBILIARIA COLONIAL SA	16,678.	16,678.
INMOBILIARIA DEL SUR SA	21,967.	21,967.
INOX LEISURE LTD	21,564.	21,564.
INTEGER.PL SA	100,468.	100,468.
INTEL CORP	308,839.	308,839.
INTERNATIONAL CONSOLIDATED AIR	113,770.	113,770.
INTERNATIONAL PERSONAL FINANCE	497,689.	497,689.
INTERPARK HOLDINGS CORP	164,183.	164,183.
INTESA SANPAOLO SPA	153,023.	153,023.
INTUIT INC	1,043,180.	1,043,180.
INVESTOR AB	154,684.	154,684.
ISHARES MSCI INDIA ETF	1,120,658.	1,120,658.
ITAUSA - INVESTIMENTOS ITAU SA	86,555.	86,555.
IVANHOE MINES LTD CL A	15,556.	15,556.
J D WETHERSPOON PLC	70,104.	70,104.
JAMMU & KASHMIR BANK LTD/THE	134,172.	134,172.
JAPAN AIRLINES CO LTD	341,075.	341,075.
JARDINE MATHESON HOLDINGS LTD	955,162.	955,162.
JARDINE STRATEGIC HOLDINGS LTD	847,729.	847,729.
JD.COM INC ADR	5,177,523.	5,177,523.
JOHN WOOD GROUP PLC	59,815.	59,815.
JOHNSON ELECTRIC HOLDINGS LTD	39,593.	39,593.
JOLLIBEE FOODS CORP	137,620.	137,620.
JONES LANG LASALLE INC	2,795,070.	2,795,070.
JPMORGAN CHASE & CO	248,515.	248,515.
JPMORGAN CHASE & CO WT 10/28/2018	145,737.	145,737.
JSL SA	562,302.	562,302.
KABU.COM SECURITIES CO LTD	32,857.	32,857.
KANSAS CITY SOUTHERN	95,541.	95,541.
KASIKORNBANK PCL	1,035,444.	1,035,444.
KIA MOTORS CORP	68,829.	68,829.
KINGSOFT CORP LTD	527,050.	527,050.
KINGSPAN GROUP PLC	1,251,174.	1,251,174.
KINNEVIK AB	33,738.	33,738.
KINROSS GOLD CORP	40,389.	40,389.
KIRBY CORP	64,439.	64,439.
KKR & CO LP	195,638.	195,638.
KOC HOLDING AS	268,997.	268,997.
KONINKLIJKE KPN NV	250,223.	250,223.
KOREA AEROSPACE INDUSTRIES LTD	36,778.	36,778.
KROGER CO/THE	267,245.	267,245.
KT CORP	81,204.	81,204.
KUMBA IRON ORE LTD	144,001.	144,001.
LAMPRELL PLC	552,699.	552,699.
LAND & HOUSES PCL	100,119.	100,119.

LARGAN PRECISION CO LTD	3,292,687.	3,292,687.
LAZARD LTD	597,038.	597,038.
LEGRAND SA	1,309,128.	1,309,128.
LENTA LTD GDR	175,644.	175,644.
LEONI AG	35,234.	35,234.
LEUCADIA NATIONAL CORP	36,270.	36,270.
LEVEL 3 COMMUNICATIONS INC	331,679.	331,679.
LG CHEM LTD	1,217,793.	1,217,793.
LIBERTY BROADBAND CORP	245,335.	245,335.
LIBERTY EXPEDIA HOLDINGS INC	21,858.	21,858.
LIBERTY GLOBAL PLC	1,189,737.	1,189,737.
LIBERTY GLOBAL PLC LILAC	222,574.	222,574.
LIBERTY INTERACTIVE CORP QVC G	177,642.	177,642.
LIBERTY MEDIA CORP-LIBERTY BRA	16,285.	16,285.
LIBERTY MEDIA CORP-LIBERTY FOR	42,213.	42,213.
LIBERTY MEDIA CORP-LIBERTY SIR	295,296.	295,296.
LIBERTY TRIPADVISOR HOLDINGS I	60,095.	60,095.
LIBERTY VENTURES	30,455.	30,455.
LIC HOUSING FINANCE LTD	175,390.	175,390.
LIFETECH SCIENTIFIC CORP	1,043,576.	1,043,576.
LINX SA	1,165,654.	1,165,654.
LIONS GATE ENTERTAINMENT CORP	10,405.	10,405.
LIVANOVA PLC	122,948.	122,948.
LIXIL GROUP CORP	71,382.	71,382.
LLOYDS BANKING GROUP PLC	1,583,463.	1,583,463.
LOCALIZA RENT A CAR SA	115,759.	115,759.
LOEWS CORP	111,455.	111,455.
LOPEZ HOLDINGS CORP	268,117.	268,117.
LOUISIANA-PACIFIC CORP	59,251.	59,251.
LOWE'S COS INC	276,159.	276,159.
LPS BRASIL CONSULTORIA DE IMOV	312,585.	312,585.
LUCECO PLC	55,753.	55,753.
LUKOIL PJSC ADR	66,759.	66,759.
LUXOTTICA GROUP SPA	274,555.	274,555.
LUYE PHARMA GROUP LTD	1,076,556.	1,076,556.
LYONDELLBASELL INDUSTRIES NV	91,699.	91,699.
MAGNIT PJSC GDR	782,780.	782,780.
MAHINDRA & MAHINDRA LTD	1,168,379.	1,168,379.
MAIL.RU GROUP LTD GDR	1,405,353.	1,405,353.
MAN WAH HOLDINGS LTD	122,549.	122,549.
MANAPPURAM FINANCE LTD	488,022.	488,022.
MARCOPOLO SA	910,941.	910,941.
MARKEL CORP	217,080.	217,080.
MASCO CORP	158,353.	158,353.
MASTERCARD INC	3,389,285.	3,389,285.
MBIA INC	945,152.	945,152.
MBK PCL	662,143.	662,143.
MD MEDICAL GROUP INVESTMENTS P GDR	69,883.	69,883.
MEBUKI FINANCIAL GROUP INC	102,189.	102,189.
MEDIATEK INC	906,870.	906,870.
MEGACABLE HOLDINGS SAB DE CV	69,017.	69,017.
MEGAFON PJSC GDR	43,847.	43,847.
MERCADOLIBRE INC	1,908,968.	1,908,968.
MGM RESORTS INTERNATIONAL	877,441.	877,441.

MICHELMERSH BRICK HOLDINGS PLC	250,327.	250,327.
MICRON TECHNOLOGY INC	617,158.	617,158.
MICROSOFT CORP	7,853,688.	7,853,688.
MIDLAND HOLDINGS LTD	189,429.	189,429.
MIDLAND IC&I LTD	21,879.	21,879.
MITSUBISHI ESTATE CO LTD	238,288.	238,288.
MITSUBISHI UFJ FINANCIAL GROUP	23,532.	23,532.
MMC NORILSK NICKEL PJSC	107,117.	107,117.
MMC NORILSK NICKEL PJSC ADR	141,556.	141,556.
MNC INVESTAMA TBK PT	572,767.	572,767.
MOHAWK INDUSTRIES INC	225,039.	225,039.
MONTAUK HOLDINGS LTD	34,652.	34,652.
MOODY'S CORP	49,775.	49,775.
MORGAN STANLEY	259,035.	259,035.
MORGAN STANLEY ASI C/WTS 09-NOV-17USDREG		
S(ZHENGZHOU	873,159.	873,159.
MOSAIC CO/THE	75,759.	75,759.
MOSCOW EXCHANGE MICEX-RTS PJSC	1,469,276.	1,469,276.
MOTOR OIL HELLAS CORINTH REFIN	441,833.	441,833.
MS&AD INSURANCE GROUP HOLDINGS	120,805.	120,805.
NASPERS LTD	5,110,855.	5,110,855.
NATIONAL INSTRUMENTS CORP	940,811.	940,811.
NAVER CORP	1,731,206.	1,731,206.
NAVIOS MARITIME ACQUISITION CO	7,424.	7,424.
NCISOFT CORP	918,033.	918,033.
NET 1 UEPS TECHNOLOGIES INC	41,248.	41,248.
NETEASE INC ADR	315,904.	315,904.
NEW RELIC INC	872,953.	872,953.
NEW WORLD DEVELOPMENT CO LTD	533,307.	533,307.
NEX GROUP PLC	77,152.	77,152.
NEXON CO LTD	25,896.	25,896.
NEXT PLC	163,906.	163,906.
NII HOLDINGS INC	28,627.	28,627.
NIKE INC	1,754,448.	1,754,448.
NIVEUS INVESTMENTS LTD	85,190.	85,190.
NOAH HOLDINGS LTD ADR	999,328.	999,328.
NOVARTIS AG	494,899.	494,899.
NOVATEK PJSC GDR	91,769.	91,769.
NOVO NORDISK A/S	1,186,147.	1,186,147.
NOVOZYMES A/S	1,452,251.	1,452,251.
NOW INC	221,281.	221,281.
OBEROI REALTY LTD	751,839.	751,839.
OCADO GROUP PLC	1,099,038.	1,099,038.
ODONTOPREV SA	108,505.	108,505.
O'KEY GROUP SA GDR	305,443.	305,443.
OLD MUTUAL PLC	152,619.	152,619.
ONEX CORP SUB-VTG	150,114.	150,114.
OPAP SA	64,146.	64,146.
ORACLE FINANCIAL SERVICES SOFT	271,176.	271,176.
ORICA LTD	130,825.	130,825.
ORION CORP/REPUBLIC OF KOREA	276,577.	276,577.
OSK HOLDINGS BHD	49,595.	49,595.
OSK HOLDINGS BHD WTS 22-JUL-20(TO SUB FORD ORD)	1,816.	1,816.
OTP BANK PLC	195,032.	195,032.

PACIFIC BASIN SHIPPING LTD	107,356.	107,356.
PADDY POWER BETFAIR PLC	157,802.	157,802.
PAGEGROUP PLC	151,333.	151,333.
PALO ALTO NETWORKS INC	937,875.	937,875.
PAPA JOHN'S INTERNATIONAL INC	93,967.	93,967.
PARAGON GROUP OF COS PLC/THE	217,428.	217,428.
PAYPAL HOLDINGS INC	812,924.	812,924.
PEGATRON CORP	733,470.	733,470.
PERMANENT TSB GROUP HOLDINGS P	178,856.	178,856.
PETROLEO BRASILEIRO SA	143,267.	143,267.
PETROLEO BRASILEIRO SA ADR	1,618,409.	1,618,409.
PIAGGIO & C SPA	175,912.	175,912.
PING AN INSURANCE GROUP CO OF	2,612,306.	2,612,306.
PNC FINANCIAL SERVICES GROUP I	208,722.	208,722.
POLYMETAL INTERNATIONAL PLC	67,425.	67,425.
POPEYES LOUISIANA KITCHEN INC	73,120.	73,120.
PORSCHE AUTOMOBIL HOLDING SE	157,060.	157,060.
PORTO SEGURO SA	72,509.	72,509.
POWSZECHNY ZAKLAD UBEZPIECZEN	602,574.	602,574.
PRAIRIESKY ROYALTY LTD	1,405.	1,405.
PRESIDENT CHAIN STORE CORP	408,545.	408,545.
PRICELINE GROUP INC/THE	936,812.	936,812.
PUNJAB NATIONAL BANK	82,958.	82,958.
QBE INSURANCE GROUP LTD	46,091.	46,091.
QUALICORP SA	1,276,946.	1,276,946.
RAKUTEN INC	99,136.	99,136.
RALPH LAUREN CORP	227,064.	227,064.
RAYTHEON CO	136,320.	136,320.
REALIA BUSINESS SA	108,223.	108,223.
RELIANCE INDUSTRIES LTD	2,813,873.	2,813,873.
REMGRO LTD	241,024.	241,024.
RENAULT SA	103,755.	103,755.
RIO TINTO PLC	173,089.	173,089.
ROCHE HOLDING AG	180,569.	180,569.
ROGERS COMMUNICATIONS INC CL B	516,684.	516,684.
ROLLS-ROYCE HOLDINGS PLC	102,001.	102,001.
ROSNEFT OIL CO PJSC GDR	232,776.	232,776.
ROTHSCHILD & CO	54,079.	54,079.
ROYAL BANK OF SCOTLAND GROUP P	123,053.	123,053.
ROYAL DUTCH SHELL PLC A SHS	16,764.	16,764.
ROYAL DUTCH SHELL PLC B SHS	158,380.	158,380.
RYANAIR HOLDINGS PLC ADR	127,554.	127,554.
SALESFORCE.COM INC	1,407,401.	1,407,401.
SAMPO OYJ	28,570.	28,570.
SAMSUNG BIOLOGICS CO LTD	32,505.	32,505.
SAMSUNG ELECTRONICS CO LTD	7,874,572.	7,874,572.
SAMSUNG FIRE & MARINE INSURANC	849,206.	849,206.
SAMSUNG SDI CO LTD	773,068.	773,068.
SAP SE	146,301.	146,301.
SBERBANK OF RUSSIA PJSC	166,501.	166,501.
SBERBANK OF RUSSIA PJSC ADR	3,859,127.	3,859,127.
SCHINDLER HOLDING AG	1,552,389.	1,552,389.
SCORPIO BULKERS INC	40,587.	40,587.
SCORPIO TANKERS INC	131,954.	131,954.



SCOTTS MIRACLE-GRO CO/THE	203,426.	203,426.
SEAGATE TECHNOLOGY PLC	229,783.	229,783.
SENSATA TECHNOLOGIES HOLDING N	1,779,470.	1,779,470.
SHANGHAI FOSUN PHARMACEUTICAL	1,681,736.	1,681,736.
SHENZHOU INTERNATIONAL GROUP H	430,199.	430,199.
SHERWIN-WILLIAMS CO/THE	364,143.	364,143.
SIBANYE GOLD LTD	342,467.	342,467.
SIGNET JEWELERS LTD	160,713.	160,713.
SILERGY CORP	1,563,623.	1,563,623.
SIME DARBY BHD	238,983.	238,983.
SIMPLO TECHNOLOGY CO LTD	758,607.	758,607.
SINA CORP/CHINA	531,305.	531,305.
SISTEMA PJSC FC	12,609.	12,609.
SISTEMA PJSC FC GDR	134,127.	134,127.
SITI NETWORKS LTD	65,993.	65,993.
SK HYNIX INC	449,998.	449,998.
SK TELECOM CO LTD	1,089,770.	1,089,770.
SL GREEN REALTY CORP	646,591.	646,591.
SM INVESTMENTS CORP	207,650.	207,650.
SM PRIME HOLDINGS INC	492,493.	492,493.
SMARTONE TELECOMMUNICATIONS HO	448,792.	448,792.
SMITH & NEPHEW PLC	102,533.	102,533.
SOCIETE BIC SA	177,632.	177,632.
SOCIETE GENERALE SA	70,900.	70,900.
SOHU.COM INC	281,287.	281,287.
SOLLERS PJSC	84,802.	84,802.
SONY CORP	222,498.	222,498.
SOTHEBY'S	199,659.	199,659.
SOUTHERN COPPER CORP	123,416.	123,416.
SQUARE ENIX HOLDINGS CO LTD	365,542.	365,542.
SUL AMERICA SA	31,341.	31,341.
SUMITOMO MITSUI TRUST HOLDINGS	243,732.	243,732.
SUMITOMO REALTY & DEVELOPMENT	30,119.	30,119.
SUNCOR ENERGY INC NEW	366,802.	366,802.
SUNNY OPTICAL TECHNOLOGY GROUP	775,059.	775,059.
SUNRUN INC	466,351.	466,351.
SUPREMA INC	356,009.	356,009.
SVENSKA HANDELSBANKEN AB	104,253.	104,253.
SYNCHRONY FINANCIAL	786,479.	786,479.
SYNERGY PJSC	217,764.	217,764.
T ROWE PRICE GROUP INC	1,785,845.	1,785,845.
TABLEAU SOFTWARE INC	260,782.	260,782.
TAIWAN SEMICONDUCTOR MANUFACTU	6,591,928.	6,591,928.
TAIWAN SEMICONDUCTOR MANUFACTU ADR	688,246.	688,246.
TALKTALK TELECOM GROUP PLC	37,284.	37,284.
TAMBURI INVESTMENT PARTNERS SP	295,764.	295,764.
TAMBURI INVESTMENT PARTNERS WTS (TO SUB FOR ORD)EUR3.75	4,003.	4,003.
TATA CONSULTANCY SERVICES LTD	1,534,906.	1,534,906.
TAYLOR WIMPEY PLC	35,087.	35,087.
TCS GROUP HOLDING PLC GDR	564,858.	564,858.
TECHNICOLOR SA	21,783.	21,783.
TEKFEN HOLDING AS	245,418.	245,418.
TELEFONAKTIEBOLAGET LM ERICSSO	77,383.	77,383.

TELESITES SAB DE CV	7,039.	7,039.
TELEVISION BROADCASTS LTD	261,866.	261,866.
TELIT COMMUNICATIONS PLC	83,310.	83,310.
TENARIS SA	84,555.	84,555.
TENCENT HOLDINGS LTD	7,942,142.	7,942,142.
TESCO PLC	222,766.	222,766.
TESORO CORP	98,556.	98,556.
TEXAS INSTRUMENTS INC	92,088.	92,088.
THERMO FISHER SCIENTIFIC INC	2,853,042.	2,853,042.
THOR INDUSTRIES INC	53,127.	53,127.
TINGYI CAYMAN ISLANDS HOLDING	237,215.	237,215.
TITAN CEMENT CO SA	8,138.	8,138.
TMK PJSC	3,796.	3,796.
TMK PJSC GDR REGS	148,446.	148,446.
TOFAS TURK OTOMOBIL FABRIKASI	74,230.	74,230.
TOPBUILD CORP	19,794.	19,794.
TOYO TIRE & RUBBER CO LTD	46,918.	46,918.
TOYOTA INDUSTRIES CORP	265,331.	265,331.
TOYOTA MOTOR CORP	554,734.	554,734.
TP ICAP PLC	58,809.	58,809.
TRACTOR SUPPLY CO	509,292.	509,292.
TRAVIS PERKINS PLC	25,728.	25,728.
TRIBUNE MEDIA CO	144,537.	144,537.
TRIPADVISOR INC	133,592.	133,592.
TRONC INC	8,683.	8,683.
TSINGTAO BREWERY CO LTD	66,724.	66,724.
TTK PRESTIGE LTD	1,420,694.	1,420,694.
TUNGSTEN CORP PLC	13,249.	13,249.
TURKIYE GARANTI BANKASI AS	46,249.	46,249.
TWITTER INC	72,176.	72,176.
UCB SA	133,180.	133,180.
ULKER BISKUVI SANAYI AS	920,775.	920,775.
ULTRATECH CEMENT LTD	519,113.	519,113.
UNICREDIT SPA	27,969.	27,969.
UNILEVER NV	1,770,277.	1,770,277.
UNION PACIFIC CORP	413,787.	413,787.
UNIONE DI BANCHE ITALIANE SPA	93,679.	93,679.
UNITED CONTINENTAL HOLDINGS IN	518,978.	518,978.
UNITED SPIRITS LTD GDR	927,626.	927,626.
UPM-KYMMENE OYJ	49,777.	49,777.
USG CORP	94,004.	94,004.
VAKRANGEE LTD	1,716,535.	1,716,535.
VALMET OYJ	31,570.	31,570.
VARIAN MEDICAL SYSTEMS INC	1,046,027.	1,046,027.
VEECO INSTRUMENTS INC	130,767.	130,767.
VERISK ANALYTICS INC	179,629.	179,629.
VERIZON COMMUNICATIONS INC	93,629.	93,629.
VIPSHOP HOLDINGS LTD ADR	1,870,995.	1,870,995.
VODAFONE GROUP PLC	154,153.	154,153.
VONOVIA SE	52,449.	52,449.
VWR CORP	1,389,891.	1,389,891.
WACKER NEUSON SE	174,426.	174,426.
WALGREENS BOOTS ALLIANCE INC	31,118.	31,118.
WAL-MART DE MEXICO SAB DE CV	711,941.	711,941.

WATERS CORP	1,852,432.	1,852,432.
WEG SA	79,755.	79,755.
WEIBO CORP ADR	37,758.	37,758.
WEIQIAO TEXTILE CO	80,312.	80,312.
WELLS FARGO & CO	942,668.	942,668.
WESTERN DIGITAL CORP	119,184.	119,184.
WESTROCK CO	89,051.	89,051.
WHEELOCK & CO LTD	210,921.	210,921.
WHIRLPOOL CORP	108,880.	108,880.
WILLIS TOWERS WATSON PLC	457,205.	457,205.
WIN SEMICONDUCTORS CORP	1,088,366.	1,088,366.
WORLDLINE SA/FRANCE	136,457.	136,457.
X5 RETAIL GROUP NV GDR	1,017,697.	1,017,697.
XINYI SOLAR HOLDINGS LTD	1,300,117.	1,300,117.
YANDEX NV	115,184.	115,184.
ZEE ENTERTAINMENT ENTERPRISES	80,697.	80,697.
ZENDESK INC	1,378,191.	1,378,191.
ZENKOKU HOSHO CO LTD	40,211.	40,211.
ZOOPLUS AG	133,406.	133,406.
ZTE CORP	1,204,535.	1,204,535.
TOTAL TO FORM 990-PF, PART II, LINE 10B	361,125,145.	361,125,145.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
12 WEST CAPITAL	FMV	41,633,585.	41,633,585.
12 WEST PUNCH CARD FUND LP	FMV	4,659,834.	4,659,834.
ABRY ADVANCED SECS FD III LP	FMV	7,322,631.	7,322,631.
ABRY ADVANCED SECURITIES FD II	FMV	6,281,712.	6,281,712.
ABRY HERITAGE PARTNERS LP	FMV	700,817.	700,817.
ABRY PARTNERS VI LP	FMV	4,805,749.	4,805,749.
ABRY PARTNERS VII L.P.	FMV	10,126,013.	10,126,013.
ABRY PARTNERS VIII LP	FMV	11,442,661.	11,442,661.
ABRY SENIOR EQUITY IV L.P.	FMV	6,371,097.	6,371,097.
ABRY SENIOR EQUITY V LP	FMV	768,774.	768,774.
ACCEL GROWTH FUND II LP	FMV	12,622,379.	12,622,379.
ACCEL GROWTH FUND III LP	FMV	14,671,954.	14,671,954.
ACCEL INDIA III LIMITED PARTNERSHIP	FMV	7,938,937.	7,938,937.
ACCEL INDIA IV LP	FMV	8,049,101.	8,049,101.
ACCEL IX LP	FMV	2,629,146.	2,629,146.
ACCEL X LP	FMV	18,346,852.	18,346,852.
ACCEL XI	FMV	6,602,686.	6,602,686.
ACCEL XII LP	FMV	7,655,935.	7,655,935.
ACCEL XIII LP	FMV	572,264.	572,264.
ADAGE CAPITAL PARTNERS	FMV	135,041,565.	135,041,565.
ADELIS EQUITY PARTNERS FD I AB	FMV	9,080,961.	9,080,961.
AETOS CAPITAL ASIA T E II LTD	FMV	1,735,500.	1,735,500.
AFRICAN DEVELOP PTNS II HLDG 4 LP	FMV	2,463,795.	2,463,795.

AFRICAN DEVELOPMENT PARTNERS II LP	FMV	3,871,949.	3,871,949.
AH ANNEX FUND L.P	FMV	5,262,684.	5,262,684.
AH BIO FUND I LP	FMV	976,905.	976,905.
AH PARALLEL FUND III LP	FMV	5,440,936.	5,440,936.
AH PARALLEL FUND IV LP	FMV	6,571,452.	6,571,452.
ALCHEMY SPECIAL OPP FD III LP	FMV	4,837,501.	4,837,501.
ALPINE INVESTORS III	FMV	8,108,863.	8,108,863.
ALPINE INVESTORS IV LP	FMV	14,894,354.	14,894,354.
ALPINE INVESTORS V LP	FMV	9,047,477.	9,047,477.
ANCHORAGE CAPITAL PARTNERS OFFSHORE LTD	FMV	41,223,098.	41,223,098.
ANDREESSEN HOROWITZ FD IV LP	FMV	10,546,458.	10,546,458.
ANDREESSEN HOROWITZ FUND II LP	FMV	14,071,068.	14,071,068.
ANDREESSEN HOROWITZ FUND III LP	FMV	18,220,811.	18,220,811.
AP CAYMAN PARTNERS II LP	FMV	1,669,491.	1,669,491.
APS ASIA PAC HEDGE	FMV	37,292,921.	37,292,921.
APS ASIA PAC HEDGE B	FMV	357,756.	357,756.
ARC ENERGY FUND 7 US LP	FMV	5,093,675.	5,093,675.
ARC ENERGY FUND 8 LP	FMV	4,750,308.	4,750,308.
ARCLIGHT ENERGY III	FMV	637,638.	637,638.
ARCLIGHT ENERGY PARTNERS FUND V LP	FMV	9,234,050.	9,234,050.
ARCLIGHT ENERGY PTNRS FUND IV LP	FMV	2,708,597.	2,708,597.
ARIOSE CHINA GROWTH OFFSHORE FUND	FMV	30,130,830.	30,130,830.
ASLAN RLTY PRNRS II LP	FMV	2,600,000.	2,600,000.
ASPENLEAF ENERGY LIMITED	FMV	1,317,043.	1,317,043.
ATERIAN INVESTMENT PARTNERS II LP	FMV	9,785,269.	9,785,269.
AUDAX PRIVATE EQUITY FUND II	FMV	657,975.	657,975.
AUDAX PRIVATE EQUITY FUND III	FMV	6,759,433.	6,759,433.
AUDAX PRIVATE EQUITY FUND IV LP	FMV	22,355,208.	22,355,208.
BAIN CAPITAL ASIA FUND LP	FMV	859,932.	859,932.
BAIN CAPITAL FUND IX LP	FMV	1,716,889.	1,716,889.
BAIN CAPITAL FUND VIII-E LP	FMV	710,789.	710,789.
BAIN CAPITAL IX COINVESTMENT FUND LP	FMV	1,236,644.	1,236,644.
BANYAN PARTNERS FD I LP	FMV	26,038,659.	26,038,659.
BANYAN PARTNERS FUND II LP	FMV	11,013,898.	11,013,898.
BATTERY VENTURES VI LP	FMV	24,810.	24,810.
BAUPOST VALUE IV	FMV	89,231,190.	89,231,190.
BC EUROPEAN CAPITAL VII	FMV	77,369.	77,369.
BENCHMARK CAP PTNRS VII-ANNEX LP	FMV	237,951.	237,951.
BENCHMARK CAPITAL PARTNERS IV	FMV	701,200.	701,200.
BENCHMARK CAPITAL PARTNERS V	FMV	4,865,430.	4,865,430.
BENCHMARK CAPITAL PARTNERS VI LP	FMV	11,481,535.	11,481,535.
BENCHMARK CAPITAL PARTNERS VIII LP	FMV	5,669,267.	5,669,267.
BENCHMARK CAPITAL PTRNS VII	FMV	136,612,884.	136,612,884.
BERKSHIRE FUND VI LP	FMV	9,074,727.	9,074,727.
BERKSHIRE FUND VII LP	FMV	11,914,508.	11,914,508.
BERKSHIRE FUND VIII LP	FMV	17,215,073.	17,215,073.
BLACKROCK 1 3YR TREASURY INDEX NON LENDABLE FUND B	FMV	121,591,464.	121,591,464.
BLACKROCK 7 10YR TREASURY INDEX NON LENDABLE FUND B	FMV	64,559,482.	64,559,482.
BLACKSTONE RE PARTNERS VIII LP	FMV	5,922,925.	5,922,925.
BLUE RIDGE OFFSHORE PARTNERSHIP LP	FMV	37,577,339.	37,577,339.

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BLUEHOUSE ACCESSION PRPTY III	FMV	8,157,682.	8,157,682.
BLUEHOUSE PROPERTY FD IV FEEDER LP	FMV	335,153.	335,153.
BRIDGEWATER PURE ALPHA	FMV	62,036,658.	62,036,658.
BROADREACH CAPITAL PARTNERS LLC	FMV		
REIT II		7,822,064.	7,822,064.
BROADREACH CAPITAL PARTNERS LP	FMV	482.	482.
BROOKDALE INVESTORS FIVE LP	FMV	4,025,639.	4,025,639.
BROOKDALE INVESTORS SIX LP	FMV	41,669.	41,669.
CADENT ENERGY PARTNERS I	FMV	1,037,809.	1,037,809.
CADENT ENERGY PARTNERS II	FMV	11,448,578.	11,448,578.
CAPITAL RESOURCE PARTNERS V	FMV	2,400,775.	2,400,775.
CARL MARKS STRATEGIC OPPORTUNITIES	FMV		
FUND II LP		26,221,554.	26,221,554.
CARL MARKS STRATEGIC OPPTYSFD LP	FMV	1,226,290.	1,226,290.
CARNELIAN ENERGY CAPITAL LP	FMV	3,948,684.	3,948,684.
CASTANEA PARTNERS III LP	FMV	9,292,060.	9,292,060.
CATALYST FUND IV PARALLEL LP	FMV	7,506,985.	7,506,985.
CATALYST FUND LIMITED PARTNERSHIP	FMV		
IV		29,192,437.	29,192,437.
CATALYST FUND V	FMV	7,586,835.	7,586,835.
CERBERUS INSTITUTIONAL PARTNERS	FMV		
(SERIES FOUR) L P		4,141,151.	4,141,151.
CERBERUS INSTITUTIONAL PARTNERS LP	FMV		
SERIES III		1,159,689.	1,159,689.
CERBERUS INSTITUTIONAL PARTNERS V	FMV		
LP		25,487,093.	25,487,093.
CHARLES RIVER PARTNERSHIP XI	FMV	139,802.	139,802.
CHARLES RIVER PARTNERSHIP XII LP	FMV	358,775.	358,775.
CHARLES RIVER PARTNERSHIP XIII LP	FMV	1,616,413.	1,616,413.
CHARLES RIVER XII ANNEX	FMV	3,153.	3,153.
CHRYSCAPITAL IV LLC	FMV	1,298.	1,298.
CHRYSCAPITAL V LLC	FMV	2,013,032.	2,013,032.
CICC GROWTH I	FMV	12,320,147.	12,320,147.
COMMONFUND NATURAL RESOURCE VI	FMV	4,916,273.	4,916,273.
COMMONFUND NATURAL RESOURCES	FMV		
PARTNERS VII		6,295,701.	6,295,701.
CROSSPOINT VTR PTRS 2000 Q	FMV	997,901.	997,901.
CRP XI ANNEX FUND LP	FMV	19,820.	19,820.
DECHENG CAP CHINA LIFE SCIENCE USD	FMV		
FD II LP		3,620,556.	3,620,556.
DENHAM COMMODITY PARTNERS VI	FMV	18,251,233.	18,251,233.
DYMON ASIA MACRO FUND SERIES 1	FMV	10,875,179.	10,875,179.
DYMON ASIA MACRO FUND SERIES 393	FMV	5,838,900.	5,838,900.
DYMON ASIA MACRO FUND SERIES 450	FMV	35,820,000.	35,820,000.
DYNAMO BRAZIL VIII	FMV	22,341,236.	22,341,236.
E360	FMV	29,075,932.	29,075,932.
E360 CLASS A SERIES 0216	FMV	13,000,046.	13,000,046.
E360 CLASS A SERIES 1115	FMV	4,488,420.	4,488,420.
EDGBASTON ASIAN EQUITY TRUST	FMV	45,796,177.	45,796,177.
EMERALD HILL CAP PARTNERS II LP	FMV	12,740,604.	12,740,604.
EMERALD HILL CAP PARTNERS III LP	FMV	3,738,612.	3,738,612.
ENCAP ENERGY CAPITAL FUND X LP	FMV	5,109,914.	5,109,914.
FARALLON CAPITAL INST PARTNERS LP	FMV	6,093,823.	6,093,823.
FIRELAKE STRATEGIC TECH II	FMV	5,710,174.	5,710,174.

THE ROCKEFELLER FOUNDATION		13-1659629	
FIVE POINT CAPITAL MIDSTREAM II LP	FMV	3,331,002.	3,331,002.
FLEXPOINT FUND II LP	FMV	7,324,740.	7,324,740.
FLEXPOINT FUND III LP	FMV	1,654,749.	1,654,749.
FLEXPOINT PPH AGGREGATOR LLC	FMV	2,162,750.	2,162,750.
FLEXPOINT SPECIAL ASSETS FD LP	FMV	630,225.	630,225.
FORESITE CAPITAL FD II LP	FMV	12,002,899.	12,002,899.
FORESITE CAPITAL III LP	FMV	8,990,227.	8,990,227.
FORESITE CAPITAL V-B LLC	FMV	2,961,623.	2,961,623.
FORESITE CAPITAL V-C LLC	FMV	1,461,161.	1,461,161.
FORESITE CAPITAL V-D LLC	FMV	2,087,863.	2,087,863.
FORTRESS INVEST FD III (FD-B)	FMV	3,780,742.	3,780,742.
FORTRESS INVESTMENT FUND V (COINVESTMENT FUNDS)	FMV	1,770,755.	1,770,755.
FORTRESS INVESTMENT FUND V (FUND C) LP	FMV	30,845,473.	30,845,473.
FORTRESS INVESTMENTS IV	FMV	8,260,502.	8,260,502.
FORUM ASIAN REALTY INCOME II LP	FMV	764,773.	764,773.
FREMONT STRATEGIC PROPERTY PARTNERS II	FMV	1,169,804.	1,169,804.
GGV CAPITAL V	FMV	9,400,454.	9,400,454.
GGV CAPITAL VI LP	FMV	600,000.	600,000.
GGV DISCOVERY FD I LP	FMV	421,182.	421,182.
GIM (GLOBAL EQUITY) INVESTMENT LP	FMV	489,223.	489,223.
GLENDON OPPORTUNITIES FD LP	FMV	14,875,403.	14,875,403.
GLOBAL MKT NEUTRAL FUND B	FMV	39,375,497.	39,375,497.
GRAFTON DIRECT ASSETS STRATEGY LP	FMV	6,440,812.	6,440,812.
GRAHAM PARTNERS II	FMV	4,476,661.	4,476,661.
GRAHAM PTNRS II CO-INVEST LP	FMV	1,847,635.	1,847,635.
GRATICULE ASIA MACRO FUND	FMV	32,686,204.	32,686,204.
GRATICULE ASIA MACRO FUND CLASS A MAY 2015	FMV	10,219,047.	10,219,047.
HAMPSHIRE PARTNERS FUND VIII	FMV	9,197,445.	9,197,445.
HARVEST SMALL CAP OFFSHORE CLASS A SER 04 2016	FMV	1,569,329.	1,569,329.
HARVEST SMALL CAP OFFSHORE CLASS A SER 1	FMV	14,899,404.	14,899,404.
HARVEST SMALL CAP OFFSHORE CLASS A SER 1 2016	FMV	1,099,931.	1,099,931.
HARVEST SMALL CAP OFFSHORE CLASS A SERIES 10 2016	FMV	1,051,163.	1,051,163.
HEMISFERIO SUL INVESTIMENTOS FD IV	FMV	1,897,333.	1,897,333.
HEMISFERIO SUL INVESTIMENTOS FD IV (A)	FMV	1,897,333.	1,897,333.
HEMISFERIO SUL INVESTIMENTOS FD IV (B)	FMV	1,897,333.	1,897,333.
HIGHLAND CAPITAL PARTNERS V	FMV	173,087.	173,087.
HIGHLAND CAPITAL PARTNERS VI L P	FMV	1,215,308.	1,215,308.
HSCP STRATEGIC I	FMV	6,152,519.	6,152,519.
HSI HIGH YIELD CREDIT I A LP	FMV	4,230,490.	4,230,490.
HSI HIGH YIELD CREDIT I B LP	FMV	4,230,490.	4,230,490.
HSI HIGH YIELD CREDIT I CO- INVEST PADDOCK LP	FMV	678,710.	678,710.
HSI HIGH YIELD CREDIT I CO-INVEST PADDOCK A	FMV	678,710.	678,710.

HSI HIGH YIELD CREDIT I CO-INVEST PADDOCK B	FMV	678,710.	678,710.
HSI HIGH YIELD CREDIT I LP	FMV	4,230,490.	4,230,490.
HUTENSKY CAPITAL REIT 1	FMV	2,880,000.	2,880,000.
ICG COMMUNICATIONS INC WT EXP 10/10/07	FMV	921.	921.
IDG ACCEL CHINA CAPITAL I	FMV	12,717,591.	12,717,591.
IDG ACCEL CHINA GROWTH FD II	FMV	44,958,802.	44,958,802.
IDG CHINA VENTURE CAP FD IV LP	FMV	11,295,338.	11,295,338.
IDG-ACCEL CHINA CAPITAL FUND II L.P.	FMV	11,682,515.	11,682,515.
IDG-ACCEL CHINA GROWTH FUND III LP	FMV	12,390,263.	12,390,263.
IDG-ACCEL CHINA GROWTH FUND LP	FMV	12,247,473.	12,247,473.
INSTITUTIONAL VENTURE L P IV	FMV	2,466,608.	2,466,608.
JC FLOWERS II LP	FMV	3,128,006.	3,128,006.
KILDARE EUROPEAN PARTNERS I LP	FMV	11,379,374.	11,379,374.
KING STREET CAPITAL II	FMV	982,066.	982,066.
LANSDOWNE UK EQUITY FUND LIMITED	FMV	45,693,669.	45,693,669.
LASCAUX RESOURCE CAPITAL FUND I LP	FMV	7,200,395.	7,200,395.
LATIN POWER FD III	FMV	754,887.	754,887.
LC FUND V LP	FMV	10,507,269.	10,507,269.
LIME ROCK PARTNERS III LP	FMV	240,222.	240,222.
LIME ROCK PARTNERS IV LP	FMV	32,572,082.	32,572,082.
LIME ROCK PARTNERS V LP	FMV	10,320,097.	10,320,097.
LITTLEJOHN FUND III LP	FMV	1,534,147.	1,534,147.
LITTLEJOHN FUND IV	FMV	9,664,231.	9,664,231.
LJ BRODER CO-INVEST II LLC	FMV	918,035.	918,035.
LJ BRODER CO-INVEST LLC	FMV	3,668,082.	3,668,082.
LNK PARTNERS II L.P.	FMV	19,133,235.	19,133,235.
LNK PARTNERS LP	FMV	2,481,644.	2,481,644.
LONE CASCADE	FMV	104,693,032.	104,693,032.
LONE PINON LTD	FMV	7,281,747.	7,281,747.
LONE STAR FUND V (U.S.) L.P.	FMV	3,198,581.	3,198,581.
LONE STAR FUND VI U S LP	FMV	3,870,417.	3,870,417.
LONE STAR REAL ESTATE FUND II (US) LP	FMV	2,661,237.	2,661,237.
LONGREACH CAPITAL PARTNERS I LP	FMV	6,968,205.	6,968,205.
LONGREACH CAPITAL PTNRS 2-USD LP	FMV	11,639,629.	11,639,629.
LVM LP CO INVESTMENT LP	FMV	3,575,675.	3,575,675.
LYME FOREST FUND	FMV	630,124.	630,124.
LYME FOREST FUND III	FMV	3,528,091.	3,528,091.
M + H REALTY PART V LP	FMV	1,261,586.	1,261,586.
MAGELLAN-FRONTTEGRA	FMV	72,318,363.	72,318,363.
MAP 2006	FMV	14,063,907.	14,063,907.
MARATHON SPECIAL OPPORTUNITY FUND	FMV	919,873.	919,873.
MERIT ENERGY PARTNERS E-1	FMV	1,350,797.	1,350,797.
MERIT ENERGY PARTNERS F-I LP	FMV	93,413.	93,413.
MINERVA NETWORKS SERIES AD	FMV	67,845.	67,845.
MISSIONPOINT CAPITAL PARTNERS FUND I LP	FMV	4,161,896.	4,161,896.
MODERN RESOURCES INC	FMV	905,195.	905,195.
MOHR DAVIDOW VENTURES IX	FMV	11,386,630.	11,386,630.
MOHR DAVIDOW VENTURES VI LP	FMV	886,216.	886,216.
MOHR DAVIDOW VENTURES VII LP	FMV	1,786,582.	1,786,582.

MOHR DAVIDOW VENTURES VIII	FMV	2,629,148.	2,629,148.
MORGAN STANLEY-PRIVATE EQUITY ASIA LP	FMV	5,264,332.	5,264,332.
NATIONAL CAPITAL PROP III	FMV	1,977,594.	1,977,594.
NATIONAL CAPITAL PROPERTIES IV	FMV	5,216,163.	5,216,163.
NEA IX LP	FMV	501,641.	501,641.
NEUBERGER BERMAN CHINA EQUITY	FMV	39,809,891.	39,809,891.
NEW ENTERPRISE ASSOCIATES X	FMV	1,698,127.	1,698,127.
NEW ENTERPRISE ASSOCIATES XII LP	FMV	2,142,714.	2,142,714.
NEW ENTERPRISE XI	FMV	2,748,481.	2,748,481.
NORTH BRIDGE GROWTH I LP	FMV	5,164,495.	5,164,495.
OAKTREE CAP MGMT OPP FD IV LP	FMV	16,228.	16,228.
OCM OPPORTUNITIES FUND III LP	FMV	306,836.	306,836.
ONYX LATIN AMERICA EQUITY FD	FMV	14,652,175.	14,652,175.
ONYX LATIN AMERICA EQUITY SERIES 0314	FMV	11,079,044.	11,079,044.
ONYX LATIN AMERICA EQUITY SERIES 0815	FMV	6,097,565.	6,097,565.
ORION EUR RE II EURO	FMV	312,625.	312,625.
ORION EUROPEAN REAL ESTATE III	FMV	1,251,785.	1,251,785.
OZ EUROPE OVERSEAS FUND LTD CLASS B	FMV	393,276.	393,276.
OZ OVERSEAS	FMV	6,052,008.	6,052,008.
PANNING OVERSEAS SERIES A NON RESTRICTED	FMV	35,287,926.	35,287,926.
PANNING SERIES A NR APRIL 2014	FMV	8,270,622.	8,270,622.
PARVUS EURO ABS OPP FD JUL2016	FMV	6,175,225.	6,175,225.
PARVUS EURO ABS OPP FD SEP2015	FMV	32,785,350.	32,785,350.
PEEPUL CAPITAL II LLC	FMV	9,618,550.	9,618,550.
PENDING SECURITY TRANSACTIONS & FUNDING - NET	FMV	14,469,571.	14,469,571.
PRIVATVARDE FUND LP	FMV	544,336.	544,336.
PROSPERITAS RE PARTNERS III LP	FMV	878,846.	878,846.
PROSPERITAS RE PTNRS III A LP	FMV	878,838.	878,838.
PROSPERITAS RE PTNRS III B LP	FMV	878,831.	878,831.
QUANTUM ENERGY PARTNERS IV	FMV	10,770,000.	10,770,000.
QUANTUM ENERGY PARTNERS V	FMV	13,510,000.	13,510,000.
REDPOINT OMEGA	FMV	5,528,226.	5,528,226.
REDPOINT VENTURES II	FMV	1,150,061.	1,150,061.
REDPOINT VENTURES III	FMV	3,708,787.	3,708,787.
RESOURCE CAPITAL FUND VI LP	FMV	14,127,195.	14,127,195.
ROCKLAND POWER PARTNERS LP	FMV	5,566,566.	5,566,566.
SANSAR CAPITAL HOLDINGS LTD	FMV	81,771.	81,771.
SB ASIA INVESTMENT FUND II LP	FMV	8,017,037.	8,017,037.
SCGE OFFSHORE FUND	FMV	35,721,422.	35,721,422.
SEQUOIA CAP CHINA GROWTH FD I	FMV	4,705,598.	4,705,598.
SEQUOIA CAP CHINA GROWTH FD III LP	FMV	14,015,171.	14,015,171.
SEQUOIA CAP CHINA GROWTH IV LP	FMV	2,835,052.	2,835,052.
SEQUOIA CAP CHINA VENTURE 2010	FMV	9,209,140.	9,209,140.
SEQUOIA CAP CHINA VENTURE FD IV	FMV	8,495,646.	8,495,646.
SEQUOIA CAP CHINA VENTURE V LP	FMV	10,738,336.	10,738,336.
SEQUOIA CAP CHINA VENTURE VI LP	FMV	774,307.	774,307.
SEQUOIA CAP GBL GROWTH FD II	FMV	1,810,904.	1,810,904.
SEQUOIA CAP GROWTH FUND III	FMV	7,585,373.	7,585,373.
SEQUOIA CAP INDIA FD IV LP	FMV	12,248,675.	12,248,675.



SEQUOIA CAP ISRAEL VENTURE FD V	FMV	4,928,265.	4,928,265.
SEQUOIA CAP US GROWTH FD VI LP	FMV	12,739,840.	12,739,840.
SEQUOIA CAP US GROWTH FD VII LP	FMV	2,262,100.	2,262,100.
SEQUOIA CAP US VENTURE 2010	FMV	21,292,906.	21,292,906.
SEQUOIA CAP US VENTURE FD XV LP	FMV	2,162,053.	2,162,053.
SEQUOIA CAPITAL CHINA GR 2010 LP	FMV	8,085,593.	8,085,593.
SEQUOIA CAPITAL CHINA I LP	FMV	7,890,497.	7,890,497.
SEQUOIA CAPITAL CHINA II	FMV	12,799,928.	12,799,928.
SEQUOIA CAPITAL GLOBAL GROWTH FD	FMV	16,417,331.	16,417,331.
SEQUOIA CAPITAL INDIA GROWTH FD II	FMV	4,882,217.	4,882,217.
SEQUOIA CAPITAL INDIA GROWTH FUND I	FMV	1,983,345.	1,983,345.
SEQUOIA CAPITAL INDIA III LP	FMV	2,971,412.	2,971,412.
SEQUOIA CAPITAL INDIA V LTD	FMV	2,893,482.	2,893,482.
SEQUOIA CAPITAL ISRAEL III	FMV	1,673,140.	1,673,140.
SEQUOIA CAPITAL ISRAEL IV LP	FMV	4,082,590.	4,082,590.
SEQUOIA CAPITAL IX LP	FMV	215,986.	215,986.
SEQUOIA CAPITAL U S SCOUT SEED	FMV		
FUND 2013 L P		171,390.	171,390.
SEQUOIA CAPITAL U.S. GROWTH FUND V	FMV		
L.P.		17,349,075.	17,349,075.
SEQUOIA CAPITAL US GROWTH FUND IV	FMV		
LP		12,133,325.	12,133,325.
SEQUOIA CAPITAL US VENTURE 2010	FMV		
FUND-SEED FUND		2,030,236.	2,030,236.
SEQUOIA CAPITAL US VENTURE FUND XIV	FMV	14,238,087.	14,238,087.
SEQUOIA CAPITAL X LP	FMV	358,794.	358,794.
SEQUOIA CAPITAL XI LP	FMV	631,735.	631,735.
SEQUOIA CAPITAL XII LP	FMV	28,252,180.	28,252,180.
SFC ENERGY PARTNERS II-B	FMV	4,946,004.	4,946,004.
SG GROWTH PARTNERS II LP	FMV	24,087,093.	24,087,093.
SG GROWTH PARTNERS III LP	FMV	11,229,802.	11,229,802.
SIERRA VENTURES VII LP	FMV	330,763.	330,763.
SILCHESTER INTL INV TOBACCO	FMV	83,611,480.	83,611,480.
SOWOOD ALPHA FUND	FMV	100,820.	100,820.
SQM FRONT AF CLASS F -2016 -12	FMV	10,158,182.	10,158,182.
SQM FRONT AF CLASS F-2014-01	FMV	11,482,281.	11,482,281.
SQM FRONT AF CLASS F-2014-03	FMV	452,251.	452,251.
SQM FRONT AF CLASS F-2014-04	FMV	2,601,119.	2,601,119.
SQM FRONT AF CLASS F-2014-05	FMV	2,540,779.	2,540,779.
SQM FRONT AF CLASS F-2014-06	FMV	626,241.	626,241.
SQM FRONT AF CLASS F-2014-12	FMV	5,939,797.	5,939,797.
SUMMIT PARTNERS EUROPE PE LP	FMV	7,240,467.	7,240,467.
SUMMIT PARTNERS PRIVATE EQUITY FUND	FMV		
VII-A LP		4,350,455.	4,350,455.
SUMMIT VENTURES II-A	FMV	1,072,654.	1,072,654.
SUMMIT VENTURES V LP	FMV	31,448.	31,448.
SUMMITT VENTURES VI	FMV	1,670,515.	1,670,515.
SVEAFASTIGHETER FUND III	FMV	579,299.	579,299.
THE CHILDRENS INVESTMENT FUND -	FMV		
CLASS D1		127,419,611.	127,419,611.
THINK INVESTMENTS OFFSHORE LTD	FMV	37,503,601.	37,503,601.
THINK INVESTMENTS TRANCHE 01 NSE	FMV	449,585.	449,585.
TIGER GLOBAL PRVT INV PRTRNS V	FMV	4,973,951.	4,973,951.
TIGER GLOBAL PVT INVESTMENT FUND IV	FMV	7,559,275.	7,559,275.

TPG AXON PARTNERS (OFFSHORE)	FMV	1,160,735.	1,160,735.
TRIVEST CHINA FOCUS FUND	FMV	56,737,111.	56,737,111.
UNICORN PARTNERS FD ZERO LP	FMV	2,701,892.	2,701,892.
UNICORN PARTNERS FUND I LP	FMV	372,849.	372,849.
US VENTURE PARTNERS IX LP	FMV	5,904,489.	5,904,489.
US VENTURE PARTNERS VII	FMV	1,007,648.	1,007,648.
US VENTURE PARTNERS VIII LP	FMV	1,406,601.	1,406,601.
VARDE FUND IX L.P.	FMV	6,094,023.	6,094,023.
VARDE FUND VIII LP	FMV	2,681,996.	2,681,996.
VESTAR CAPITAL PARTNERS III LP	FMV	102,365.	102,365.
VESTAR CAPITAL PARTNERS IV LP	FMV	263,947.	263,947.
WATERSHED CAPITAL INSTL PARTNERS LP	FMV	211,464.	211,464.
WELLINGTON BAY POND	FMV	51,007,925.	51,007,925.
WELLINGTON SPINDRIFT PARTNERS	FMV	422,054.	422,054.
XINOVA	FMV	1,615,972.	1,615,972.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,469,204,796.	3,469,204,796.

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FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	14
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
CONDOMINIUM INTEREST IN 420 FIFTH AVE AND IMPROVEMENTS	29,716,684.	21,239,233.	8,477,451.
FURNITURE, FIXTURES AND EQUIPMENT	15,257,099.	12,410,851.	2,846,248.
TOTAL TO FM 990-PF, PART II, LN 14	44,973,783.	33,650,084.	11,323,699.

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FORM 990-PF	OTHER ASSETS	STATEMENT	15
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	21,564,143.	23,084,359.	23,084,359.
PREPAID PENSION COST	12,652,326.	13,902,213.	13,902,213.
REDEMPTIONS, DIVIDENDS, INTEREST, AND OTHER RECEIVABLES	684,391.	1,880,180.	1,880,180.
PREPAID FEDERAL EXCISE AND UNRELATED BUSINESS INCOME TAXES	2,456,300.	4,523,149.	4,523,149.
TO FORM 990-PF, PART II, LINE 15	37,357,160.	43,389,901.	43,389,901.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 16
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
POSTRETIREMENT BENEFIT OBLIGATION	21,900,232.	19,013,473.
DEFERRED FEDERAL EXCISE TAXES	12,070,000.	15,127,000.
INTEREST RATE SWAP LIABILITY	266,008.	134,421.
TERM LOAN PAYABLE	13,556,236.	11,825,653.
LINE OF CREDIT PAYABLE	45,000,000.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	92,792,476.	46,100,547.

FORM 990-PF	NAME OF FOREIGN COUNTRY IN WHICH ORGANIZATION HAS FINANCIAL INTEREST	STATEMENT 17
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## NAME OF COUNTRY

BRAZIL  
 COLOMBIA  
 CYPRUS  
 GERMANY  
 GREECE  
 HUNGARY  
 INDIA  
 INDONESIA  
 IRELAND  
 ITALY  
 KENYA  
 MALAYSIA  
 NETHERLANDS  
 POLAND  
 KOREA (SOUTH)  
 RUSSIA  
 SRI LANKA  
 SWEDEN  
 TAIWAN  
 THAILAND  
 TURKEY  
 UNITED ARAB EMIRATES

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FORM 990-PF	TRANSFERS TO CONTROLLED ENTITIES	STATEMENT	18
	PART VII-A, LINE 11		

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NAME OF CONTROLLED ENTITY	EMPLOYER ID NO
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SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION (SEE STMT 28)	12-3456789
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ADDRESS

C/O SCORPIO CORPORATE ADVISORSS-405 (LGF), GREATER KAILASH - II  
, NEW DELHI, INDIA 110048

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DESCRIPTION OF TRANSFER

GRANT PAYMENT

AMOUNT OF TRANSFER
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5,000,000.

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TOTAL AMOUNT OF TRANSFERS TO CONTROLLED ENTITIES

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5,000,000.

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FORM 990-PF LIST OF CONTROLLED ENTITIES STATEMENT 19  
PART VII-A, LINE 11

NAME OF CONTROLLED ENTITY EMPLOYER ID NO  
SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION (SEE 12-3456789  
STMT 28)

ADDRESS EXCESS BUSINESS HOLDING [ ] YES [X] NO  
C/O SCORPIO CORPORATE ADVISORSS-405 (LGF), GREATER KAILASH - II  
, NEW DELHI, INDIA 110048

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 20  
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ANN M. FUDGE C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	7,000.	0.	0.
HELENE GAYLE C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	14,500.	0.	0.
DONALD KABERUKA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	11,000.	0.	0.
MONICA LOZANO C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	14,500.	0.	0.
MARTIN LEIBOWITZ C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.50	11,500.	0.	0.
YIFEI LI C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.

STRIVE MASIYIWA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.50	14,500.	0.	0.
NGOZI OKONJO-IWEALA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	11,000.	0.	0.
RICHARD D. PARSONS C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE, CHAIR BEGAN 6/1/16 2.00	15,000.	0.	0.
RAJIV SHAH C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	11,000.	0.	0.
RAVI VENKATESAN C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	20,500.	0.	0.
DAVID ROCKEFELLER, JR. C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	CHAIR, ENDED 5/31/16 3.00	5,000.	0.	0.
JOHN W. ROWE C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.50	15,000.	0.	0.
JUDITH RODIN C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	PRESIDENT 45.00	1,015,349.	63,877.	0.
PETER MADONIA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	CHIEF OPERATING OFFICER 45.00	610,637.	54,114.	0.
DONNA J. DEAN C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER 45.00	1,468,976.	53,300.	0.
ELLEN TAUS C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TREASURER & CHIEF FINANCIAL OFFICER 45.00	382,994.	64,625.	0.
SHARI PATRICK C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	GENERAL COUNSEL & CORP SEC 45.00	420,670.	72,300.	0.
NEILL COLEMAN C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	VICE PRESIDENT 45.00	358,017.	52,825.	0.

ZIA KHAN	VICE PRESIDENT			
C/O FOUNDATION, 420 FIFTH AVENUE	45.00	465,862.	52,540.	0.
NEW YORK, NY 10018				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>4,882,005.</u>	<u>413,581.</u>	<u>0.</u>
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FORM 990-PF                      EXPENDITURE RESPONSIBILITY STATEMENT                      STATEMENT 21  
PART VII-B, LINE 5C

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GRANTEE'S NAME

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W)  
MUMBAI, INDIA, 400 064

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
361,843.	06/30/09	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN  
SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE  
ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME  
PEOPLE IN INDIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/15/2016, 2/15/2017, 5/15/2017, 6/30/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W)  
MUMBAI, INDIA, 400 064GRANT AMOUNT

493,281.

DATE OF GRANT

11/16/10

AMOUNT EXPENDED

0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN  
SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE  
ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME  
PEOPLE IN INDIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/15/2016, 2/15/2017, 5/15/2017, 6/30/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W)  
MUMBAI, INDIA, 400 064

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
135,646.	11/15/11	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN  
SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE  
ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME  
PEOPLE IN INDIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/15/2016, 2/15/2017, 5/15/2017, 6/30/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS512, 5TH FLOOR, PLAM SPRING, LINK RD., MALAD (W)  
MUMBAI, INDIA, 400 064

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
73,125.	02/13/13	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$80,000 PROGRAM-RELATED INVESTMENT IN SUPPORT OF A  
MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC  
DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN  
INDIA.DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/15/2016, 2/15/2017, 5/15/2017, 6/30/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ACCENTURE FOUNDATION (SOUTH AFRICA) TRUST\*

GRANTEE'S ADDRESSBUILDING 19, HARROWDENE OFFICE PARK  
JOHANNESBURG, SOUTH AFRICA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	11/17/15	400,000.

PURPOSE OF GRANTTOWARD THE COSTS OF ITS SKILLS TO SUCCEED PROGRAM TO TRAIN, MENTOR AND  
PLACE DISADVANTAGED SOUTH AFRICAN YOUTH IN SOFTWARE DEVELOPMENT AND OTHER  
COMPUTER PROGRAMING JOBSDATES OF REPORTS BY GRANTEE

05/26/16, 12/30/16, 02/28/17, 03/02/17, 06/09/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ACCENTURE FOUNDATION (SOUTH AFRICA) TRUST\*

GRANTEE'S ADDRESSBUILDING 19, HARROWDENE OFFICE PARK  
JOHANNESBURG, SOUTH AFRICA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	07/21/16	400,000.

PURPOSE OF GRANTTOWARD THE COSTS OF ITS SKILLS TO SUCCEED PROGRAM TO TRAIN, MENTOR AND  
PLACE DISADVANTAGED SOUTH AFRICAN YOUTH IN SOFTWARE DEVELOPMENT AND OTHER  
COMPUTER PROGRAMING JOBSDATES OF REPORTS BY GRANTEE

12/30/16, 02/28/17, 03/02/17, 06/09/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ACCENTURE LLP

GRANTEE'S ADDRESS161 N. CLARK STREET  
CHICAGO, IL 60601

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
90,000.	12/14/16	90,000.

PURPOSE OF GRANTFOR USE BY ITS ACCENTURE DEVELOPMENT PARTNERSHIPS IN SUPPORT OF INFORMING  
THE GLOBAL RESILIENCE PARTNERSHIP'S TRANSITION TO A NEW OPERATING MODELDATES OF REPORTS BY GRANTEE

02/16/17, 04/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS76 NINTH AVENUE SUITE 315  
NEW YORK, NY 10011GRANT AMOUNT

630,000.

DATE OF GRANT

12/29/08

AMOUNT EXPENDED

0.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN  
SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC  
DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME  
PEOPLE IN EAST AFRICA AND SOUTH ASIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 06/23/15, 09/22/15, 07/08/16, 10/12/16, 04/26/17, 08/18/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS76 NINTH AVENUE, SUITE 315  
NEW YORK, NY 10011

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
875,000.	11/13/11	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN  
SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC  
DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME  
PEOPLE IN EAST AFRICA AND SOUTH ASIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 06/23/15, 09/22/15, 07/08/16, 10/12/16, 04/26/17, 08/18/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS76 NINTH AVENUE, SUITE 315  
NEW YORK, NY 10011

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,750,000.	12/19/13	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,500,000 PROGRAM-RELATED INVESTMENT COMMITMENT IN  
SUPPORT OF A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC  
DEVELOPMENT BY ENCOURAGING SOCIAL ENTREPRENEURSHIP AMONG LOWER-INCOME  
PEOPLE IN EAST AFRICA AND SOUTH ASIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 06/23/15, 09/22/15, 07/08/16, 10/12/16, 04/26/17, 08/18/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICA KNOWS PHOTOGRAPHY LIMITED

GRANTEE'S ADDRESSPO BOX 68151-00200  
NAIROBI, KENYA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
30,000.	11/08/16	3,619.

PURPOSE OF GRANTIN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM EMERGING LEADERS  
THROUGHOUT SUB-SAHARAN AFRICA ON THE MOST PROMISING SOLUTIONS TO ECONOMIC,  
SOCIAL, AND ENVIRONMENTAL CHALLENGES FOR POOR AND VULNERABLE PEOPLEDATES OF REPORTS BY GRANTEE

03/07/17, 03/21/17, 08/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICA PRACTICE EAST AFRICA LIMITED

GRANTEE'S ADDRESS14 CAMBRIDGE COURT  
LONDON, UNITED KINGDOM, W6 7NJ

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
35,000.	08/10/16	35,000.

PURPOSE OF GRANTIN SUPPORT OF INCREASING AWARENESS OF THE ISSUE OF POSTHARVEST LOSS IN  
SPECIFIC COUNTRIES OF SUB-SAHARA AFRICA AND ACROSS VALUE CHAINSDATES OF REPORTS BY GRANTEE

09/20/16, 09/21/16, 10/19/16, 10/31/16, 11/01/16, 11/03/16, 08/04/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICA TWENTY-FOUR MEDIA, LTD.

GRANTEE'S ADDRESSP.O.BOX 1403 00502  
NAIROBI, KENYA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
125,000.	12/06/16	107,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING MEDIA COLLATERAL TO RAISE AWARENESS ABOUT  
POST-HARVEST LOSS TO DIFFERENT STAKEHOLDERS IN THE AGRICULTURE SECTORDATES OF REPORTS BY GRANTEE

02/28/17, 03/03/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESSPLOT 4 CHWA II ROAD, MBUYA HILL  
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
30,411.	11/16/11	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/09/16, 10/28/2016, 12/12/2016, 05/22/2017, 08/07/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESSPLOT 4 CHWA II ROAD, MBUYA HILL  
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
598,025.	12/10/12	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/09/16, 10/28/2016, 12/12/2016, 05/22/2017, 08/07/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESSPLOT 4 CHWA II ROAD, MBUYA HILL  
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
358,758.	12/16/13	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/09/16, 10/28/2016, 12/12/2016, 05/22/2017, 08/07/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESSPLOT 4 CHWA II ROAD, MBUYA HILL  
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
306,000.	11/26/14	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/09/16, 10/28/2016, 12/12/2016, 05/22/2017, 08/07/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESSPLOT 4 CHWA II ROAD, MBUYA HILL  
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
192,000.	10/27/15	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 08/09/16, 10/28/2016, 12/12/2016, 05/22/2017, 08/07/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICAN AGRICULTURAL CAPITAL LIMITED

GRANTEE'S ADDRESSPLOT 4 CHWA II ROAD, MBUYA HILL  
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
110,000.	09/13/16	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESSES THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA

DATES OF REPORTS BY GRANTEE

10/28/2016, 12/12/2016, 05/22/2017, 08/07/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AFRICAN MEDIA INITIATIVE

GRANTEE'S ADDRESSP.O. BOX 51366-00100  
NAIROBI, KENYAGRANT AMOUNT

10,000.

DATE OF GRANT

02/11/16

AMOUNT EXPENDED

10,000.

PURPOSE OF GRANT

TOWARD THE COSTS OF PLANNING AND ORGANIZING A "CONSULTATIVE FORUM ON MEDIA STRATEGIES," AN ETHICS AND LEADERSHIP TRAINING WORKSHOP, AND THE AFRICAN MEDIA LEADERSHIP FORUM 2015 TO BE HELD IN JOHANNESBURG, SOUTH AFRICA

DATES OF REPORTS BY GRANTEE

03/01/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

AGRICULTURE CLIMATE CHANGE EDUCATION COMMUNITY PROGRAMMES\*

GRANTEE'S ADDRESSMOMBASA ROAD, PANESAR CENTRE, 3RD FLOOR  
NAIROBI, KENYA, 00300

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
25,000.	10/16/13	24,322.

PURPOSE OF GRANT

IN SUPPORT OF LAUNCHING A PARTICIPATORY RADIO SHOW THAT WILL ENCOURAGE YOUTH TO ENTER FARMING IN KIKUYU-SPEAKING DISTRICTS OF KENYA. STAFF HAVE MADE NUMEROUS ATTEMPTS TO OBTAIN REPORTS FROM GRANTEE ON THE OUTSTANDING BALANCE BUT THESE HAVE BEEN UNSUCCESSFUL. NO ADDITIONAL PAYMENTS HAVE BEEN MADE TO GRANTEE.

DATES OF REPORTS BY GRANTEE

10/07/14, 06/08/15

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ALAYA LLC

GRANTEE'S ADDRESS651 COLLEGE AVENUE  
BOULDER, CO 80302

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
60,000.	07/27/16	41,050.

PURPOSE OF GRANT

IN SUPPORT OF PREPARATIONS FOR A CONVENING FOCUSED ON IDENTIFYING CRITICAL PATTERNS, TENSIONS, AND PROGRESS IN RESILIENCE PRACTICE AND THEORY IN ORDER TO STRENGTHEN FUTURE APPLICATIONS OF RESILIENCE, TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, MAY 2017

DATES OF REPORTS BY GRANTEE

10/01/16, 02/10/17, 02/18/17, 04/07/17, 07/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ALBRIGHT STONEBRIDGE GROUP LLC

GRANTEE'S ADDRESS601 13TH STREET, NW  
WASHINGTON, DC 20005GRANT AMOUNT

300,000.

DATE OF GRANT

11/30/16

AMOUNT EXPENDED

95,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING AGRICULTURAL POLICY ASSESSMENTS IN TANZANIA AND  
NIGERIA IN ORDER TO CONTRIBUTE TO AGRICULTURAL DEVELOPMENT AND IMPROVED  
OUTCOMES FOR SMALL SHAREHOLDER FARMERSDATES OF REPORTS BY GRANTEE

02/28/17, 04/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

APCO WORLDWIDE INC.

GRANTEE'S ADDRESS1299 PENNSYLVANIA AVE NW  
WASHINGTON, DC 20004

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	04/14/16	150,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO PROVIDE COMMUNICATION AND STAKEHOLDER ENGAGEMENT  
SUPPORT TO SMART POWER INDIADATES OF REPORTS BY GRANTEE

09/09/16, 04/20/17, 05/23/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

APCO WORLDWIDE INC.

GRANTEE'S ADDRESS1299 PENNSYLVANIA AVE NW  
WASHINGTON, DC 20004

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	09/15/16	150,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO PROVIDE COMMUNICATION AND STAKEHOLDER ENGAGEMENT  
SUPPORT TO SMART POWER INDIADATES OF REPORTS BY GRANTEE

04/20/17, 05/23/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

APCO WORLDWIDE LIMITED

GRANTEE'S ADDRESS1903 CAMBRIDGE HOUSE, TAIKOO PLACE  
HONG KONG, CHINAGRANT AMOUNT

30,000.

DATE OF GRANT

06/09/16

AMOUNT EXPENDED

30,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO PROVIDE ON-GOING COMMUNICATIONS SUPPORT TO THE  
ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN) INITIATIVE, IN  
ORDER TO LEVERAGE THE BODY OF WORK GENERATED THROUGH ACCCRN TO FURTHER  
GALVANIZE INTEREST AND ACTION ON URBAN CLIMATE CHANGEDATES OF REPORTS BY GRANTEE

10/14/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ARAB WORLD &amp; AFRICA TRAINING CO.

GRANTEE'S ADDRESSALMADINEH ALMONAWARAH STREET, OMAIR LOUZI BUILDING, OFFICE # 101  
AMMAN, JORDANGRANT AMOUNT

76,000.

DATE OF GRANT

10/13/15

AMOUNT EXPENDED

73,856.

PURPOSE OF GRANTIN SUPPORT OF THE PRODUCTION OF FOUR NEWSLETTERS AND TWO WORKSHOPS TO  
PROMOTE A PAN-AFRICAN DIALOGUE ON THE FUTURE OF THE AFRICAN CONTINENT WITH  
A FOCUS ON INTEGRATION OF THE MIDDLE EAST AND NORTH AFRICA REGION WITH  
SUB-SAHARAN AFRICA TO PROMOTE SOCIAL AND ECONOMIC DEVELOPMENTDATES OF REPORTS BY GRANTEE

03/04/16, 08/24/16, 09/21/16, 11/07/16, 05/18/17, 09/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ARETE STORIES LTD.

GRANTEE'S ADDRESS2 CHUTE LODGE  
ANDOVER, UNITED KINGDOM, SP11 9DG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
46,730.	08/12/16	46,730.

PURPOSE OF GRANTIN SUPPORT OF DOCUMENTING THE ALLIANCE FOR A GREEN REVOLUTION IN AFRICA'S  
(AGRA) WORK WITH PHOTOGRAPHS FOR EXHIBITION AT THE AGRA@10 CELEBRATIONS,  
SEPTEMBER 2016DATES OF REPORTS BY GRANTEE

10/04/16, 10/07/16, 11/24/16, 01/17/17, 02/22/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ARETE STORIES LTD.

GRANTEE'S ADDRESS2 CHUTE LODGE  
ANDOVER, UNITED KINGDOM, SP11 9DG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
9,850.	09/08/16	9,850.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING A PHOTO BOOK TO FURTHER DOCUMENT AND SHARE THE  
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA'S (AGRA) WORK AS PART OF THE  
AGRA@10 CELEBRATIONS, SEPTEMBER 2016DATES OF REPORTS BY GRANTEE

02/22/17, 03/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BANQU, INC.

GRANTEE'S ADDRESS4100 HEATHERTON PLACE  
MINNETONKA, MN 55345GRANT AMOUNT

300,000.

DATE OF GRANT

09/15/16

AMOUNT EXPENDED

71,214.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A DATABASE DESIGNED TO SUPPORT APPLICATIONS FOR  
BUILDING ECONOMIC IDENTITIES FOR REFUGEESDATES OF REPORTS BY GRANTEE

02/22/17, 07/11/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BCLF VENTURES LLC, INC.

GRANTEE'S ADDRESS56 WARREN STREET  
BOSTON, MA 02119

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
750,000.	05/08/02	0.

PURPOSE OF GRANT

PAYMENT IN FULL OF A \$750,000 PROGRAM-RELATED INVESTMENT COMMITMENT TO SUPPORT ITS INVESTMENTS IN BUSINESSES LOCATED IN MASSACHUSETTS AND THE NORTHEASTERN UNITED STATES THAT CAN GENERATE FINANCIAL AND SOCIAL RETURNS THROUGH CREATION AND RETENTION OF HIGH-QUALITY ENTRY- AND MID-LEVEL JOBS FOR LOW-INCOME AND OTHER DISADVANTAGED GROUPS

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/15/2016, 2/15/2017, 4/2/2017, 5/15/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013GRANT AMOUNT

50,000.

DATE OF GRANT

02/25/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A DIGITAL STRATEGY AND PROTOTYPE TO CONNECT AND  
SCALE THE ROCKEFELLER FOUNDATION'S RESILIENCE PORTFOLIO AND COMMUNITY TO  
SUPPORT THE GOAL OF BUILDING A FIELD OF RESILIENCEDATES OF REPORTS BY GRANTEE

03/08/16, 06/07/16, 06/29/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
40,000.	03/31/16	40,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A DIGITAL STRATEGY AND PROTOTYPE TO CONNECT AND  
SCALE THE ROCKEFELLER FOUNDATION'S RESILIENCE PORTFOLIO AND COMMUNITY TO  
SUPPORT THE GOAL OF BUILDING A FIELD OF RESILIENCEDATES OF REPORTS BY GRANTEE

06/07/16, 06/29/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
80,000.	03/31/16	80,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A PROTOTYPE TO CONNECT AND SCALE THE ROCKEFELLER  
FOUNDATION'S RESILIENCE PORTFOLIO AND COMMUNITY TO SUPPORT THE GOAL OF  
BUILDING A FIELD OF RESILIENCEDATES OF REPORTS BY GRANTEE

06/07/16, 06/29/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013GRANT AMOUNT

200,000.

DATE OF GRANT

05/03/16

AMOUNT EXPENDED

200,000.

PURPOSE OF GRANTIN SUPPORT OF ORGANIZING A CONVENING TO AID IN THE DEVELOPMENT OF A LONG  
TERM VISION AND SECURE PARTNERSHIP COMMITMENTS FOR THE ADOPTION, UPTAKE AND  
SCALING OF THE RESILIENCE DIGITAL EXPERIENCE PROJECT, TO BE HELD AT THE  
ROCKEFELLER FOUNDATION'S BELLAGIO CENTERDATES OF REPORTS BY GRANTEE

07/21/16, 08/02/16, 08/21/16, 08/25/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013GRANT AMOUNT

10,000.

DATE OF GRANT

07/07/16

AMOUNT EXPENDED

10,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A DIGITAL STRATEGY AND PROTOTYPE TO CONNECT AND  
SCALE THE ROCKEFELLER FOUNDATION'S RESILIENCE PORTFOLIO AND COMMUNITY TO  
SUPPORT THE GOAL OF BUILDING A FIELD OF RESILIENCEDATES OF REPORTS BY GRANTEE

06/07/2016, 06/29/2016

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013GRANT AMOUNT

50,000.

DATE OF GRANT

08/31/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTIN SUPPORT OF ORGANIZING A CONVENING TO AID IN THE DEVELOPMENT OF A LONG  
TERM VISION AND SECURE PARTNERSHIP COMMITMENTS FOR THE ADOPTION, UPTAKE AND  
SCALING OF THE RESILIENCE DIGITAL EXPERIENCE PROJECT, TO BE HELD AT THE  
ROCKEFELLER FOUNDATION'S BELLAGIO CENTERDATES OF REPORTS BY GRANTEE

08/25/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BLUE STATE DIGITAL, INC.

GRANTEE'S ADDRESS101 AVENUE OF THE AMERICAS, 12TH FLOOR  
NEW YORK, NY 10013GRANT AMOUNT

1,100,000.

DATE OF GRANT

10/13/16

AMOUNT EXPENDED

942,134.

PURPOSE OF GRANTIN SUPPORT OF BUILDING, LAUNCHING, AND OPTIMIZING A PUBLISHING AND  
KNOWLEDGE-SHARING PLATFORM TO UNITE AND BUILD THE FIELD OF RESILIENCE BY  
DELIVERING WIDE-RANGING, QUALITY CONTENT, CONNECTIONS, EVENTS, AND  
RECOMMENDATIONS TO RESILIENCE PRACTITIONERSDATES OF REPORTS BY GRANTEE

11/21/16, 01/17/17, 03/17/17, 08/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

BURNES COMMUNICATIONS

GRANTEE'S ADDRESS7910 WOODMONT AVENUE  
BETHESDA, MD 20814-3015

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,280.	05/12/16	5,280.

PURPOSE OF GRANT

IN SUPPORT OF ENHANCING THE REACH, INFLUENCE AND QUALITY OF COMMUNICATIONS RELATED TO THE ROCKEFELLER FOUNDATION'S BELLAGIO CENTER RESIDENT FELLOWS' PROJECTS AND THE WORK TAKING PLACE AT THE CENTER MORE COLLECTIVELY SO AS TO EXTEND THE BENEFITS OF KNOWLEDGE GENERATED THERE TO WIDER AUDIENCES

DATES OF REPORTS BY GRANTEE

04/27/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CAUDILL WEBSITE DESIGN &amp; CONSTRUCTION, INC.

GRANTEE'S ADDRESS1050 CONNECTICUT AVE. NW  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
23,300.	01/21/16	23,300.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING AND DEPLOYING A TECHNOLOGY-ENABLED SYSTEM TO  
SUPPORT TIMELY MONITORING, STRATEGIC LEARNING AND ADAPTIVE MANAGEMENT OF  
THE FOUNDATION'S DIGITAL JOBS AFRICA INITIATIVE AND ITS GRANTEESDATES OF REPORTS BY GRANTEE

03/29/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CISION US, INC.

GRANTEE'S ADDRESS130 E RANDOLPH ST. REET, 7TH FLOOR  
CHICAGO, IL 60601GRANT AMOUNT

70,000.

DATE OF GRANT

06/30/16

AMOUNT EXPENDED

70,000.

PURPOSE OF GRANTIN SUPPORT OF A COMMUNICATIONS AND INFLUENCE LANDSCAPE ANALYSIS TO EXAMINE  
AND SYNTHESIZE FORWARD-LOOKING KNOWLEDGE ON PRESSING PROBLEMS AND DYNAMIC  
OPPORTUNITIES IN THE LIVES OF POOR OR VULNERABLE POPULATIONSDATES OF REPORTS BY GRANTEE

11/29/16, 12/14/16, 03/08/17, 04/12/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

CISION US, INC.

GRANTEE'S ADDRESS130 E RANDOLPH ST. REET, 7TH FLOOR  
CHICAGO, IL 60601GRANT AMOUNT

9,000.

DATE OF GRANT

12/15/16

AMOUNT EXPENDED

9,000.

PURPOSE OF GRANTIN SUPPORT OF A COMMUNICATIONS AND INFLUENCE LANDSCAPE ANALYSIS TO EXAMINE  
AND SYNTHESIZE FORWARD-LOOKING KNOWLEDGE ON PRESSING PROBLEMS AND DYNAMIC  
OPPORTUNITIES IN THE LIVES OF POOR OR VULNERABLE POPULATIONSDATES OF REPORTS BY GRANTEE

03/08/17, 04/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLIMATE POLICY INITIATIVE, INC.\*

GRANTEE'S ADDRESS235 MONTGOMERY STREET, 13TH FLOOR  
SAN FRANCISCO, CA 94104

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
350,324.	10/06/15	350,324.

PURPOSE OF GRANTFOR USE BY ITS GLOBAL INNOVATION LAB FOR CLIMATE FINANCE IN SUPPORT OF  
IMPLEMENTING A NEW CLIMATE RESILIENCE WORK STREAM TO LEVERAGE INNOVATIVE  
SOURCES OF CAPITAL THAT BENEFIT POOR AND VULNERABLE POPULATIONSDATES OF REPORTS BY GRANTEE

02/29/16, 05/18/16, 06/29/16, 09/02/16, 11/02/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLIMATE POLICY INITIATIVE, INC.\*

GRANTEE'S ADDRESS235 MONTGOMERY STREET, 13TH FLOOR  
SAN FRANCISCO, CA 94104

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
499,809.	11/12/15	499,809.

PURPOSE OF GRANTIN SUPPORT OF THE ANALYSIS, DEVELOPMENT, AND DEPLOYMENT OF A NEW INVESTMENT  
VEHICLE TO PROVIDE LOW-COST CAPITAL FOR RENEWABLE ENERGY PROJECTSDATES OF REPORTS BY GRANTEE

03/04/16, 03/15/16, 02/17/17, 04/10/17, 04/14/17, 06/01/17, 09/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLIMATE POLICY INITIATIVE, INC.\*

GRANTEE'S ADDRESS235 MONTGOMERY STREET, 13TH FLOOR  
SAN FRANCISCO, CA 94104GRANT AMOUNT

200,000.

DATE OF GRANT

11/02/16

AMOUNT EXPENDED

32,083.

PURPOSE OF GRANTFOR USE BY ITS GLOBAL INNOVATION LAB FOR CLIMATE FINANCE TOWARD THE COSTS  
OF FURTHER DEVELOPING A CLIMATE RESILIENCE WORK STREAM TO LEVERAGE  
INNOVATIVE SOURCES OF CAPITAL THAT BENEFIT POOR AND VULNERABLE POPULATIONSDATES OF REPORTS BY GRANTEE

02/28/17, 03/17/17, 04/03/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLIMATE RISK MANAGEMENT LIMITED

GRANTEE'S ADDRESSHEXGREAVE HALL, FARNSFIELD  
NEWARK, UNITED KINGDOM, NG22 6LS

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	05/17/16	10,000.

PURPOSE OF GRANTIN SUPPORT OF LAUNCHING A DIGITAL COMMUNICATIONS STRATEGY AND EDITORIAL  
PLAN FOR URBAN CLIMATE CHANGE RESILIENCE KNOWLEDGE, INCLUDING FEATURED  
HIGHLIGHTS OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN)  
INITIATIVE, IN ORDER TO EXPAND INTEREST TO BROADER AUDIENCES AND DEEPEN  
ENGAGEMENT IN THE NETWORKDATES OF REPORTS BY GRANTEE

05/04/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLOUD TIGER MEDIA, INC.

GRANTEE'S ADDRESS230 W 41ST STREET  
NEW YORK, NY 10036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	09/29/16	250,000.

PURPOSE OF GRANTIN SUPPORT OF ADVANCING THE CONVERSATION ABOUT GENDER PARITY AND DIVERSITY  
IN CORPORATE AMERICA BY CREATING ORIGINAL VIDEO AND OTHER SHAREABLE CONTENT  
AS PART OF THE ROCKEFELLER FOUNDATION'S "100X25" CAMPAIGNDATES OF REPORTS BY GRANTEE

10/31/16, 08/07/17, 08/08/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLOUDFACTORY GROUP LIMITED

GRANTEE'S ADDRESS1010 MIRAMAR TOWER, 132 NATHAN ROAD, TSIM SHA TSUI, KOWLOON  
HONG KONG, CHINAGRANT AMOUNT

1,000,000.

DATE OF GRANT

12/11/14

AMOUNT EXPENDED

0.

PURPOSE OF GRANTPAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT JOB  
CREATION AND SKILLS DEVELOPMENT FOR DISADVANTAGED AFRICAN YOUTH BY  
CAPITALIZING A LEADING IMPACT SOURCING COMPANY THAT IS GROWING IN KENYA AS  
WELL AS OTHER AFRICAN COUNTRIESDATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/30/2016, 12/31/2016, 3/1/2017, 5/31/2017, 8/31/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CLOUDFACTORY GROUP LIMITED

GRANTEE'S ADDRESS1010 MIRAMAR TOWER, 132 NATHAN ROAD, TSIM SHA TSUI, KOWLOON  
HONG KONG, CHINAGRANT AMOUNT

1,000,000.

DATE OF GRANT

08/18/15

AMOUNT EXPENDED

0.

PURPOSE OF GRANTPAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT JOB  
CREATION AND SKILLS DEVELOPMENT FOR DISADVANTAGED AFRICAN YOUTH BY  
CAPITALIZING A LEADING IMPACT SOURCING COMPANY THAT IS GROWING IN KENYA AS  
WELL AS OTHER AFRICAN COUNTRIESDATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/30/2016, 12/31/2016, 3/1/2017, 5/31/2017, 8/31/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

COALITION FOR INCLUSIVE CAPITALISM US INC.\*

GRANTEE'S ADDRESS435 EAST 52ND STREET  
NEW YORK, NY 10022

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	11/10/16	150,000.

PURPOSE OF GRANTTOWARD THE COSTS OF THE 2016 CONFERENCE ON INCLUSIVE CAPITALISM AND  
SUPPORTING ACTIVITIES, TO BE HELD IN NEW YORK CITY, OCTOBER 2016DATES OF REPORTS BY GRANTEE

04/10/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CODERSTRUST GLOBAL APS

GRANTEE'S ADDRESSABENRA 33 1 TH  
COPENHAGEN, DENMARK, 1124

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	03/29/16	10,000.

PURPOSE OF GRANT

IN SUPPORT OF A FEASIBILITY STUDY TO EXPLORE THE POTENTIAL FOR REPLICATION OF A MICROFINANCE MODEL IN KENYA AND NIGERIA WHICH PROVIDES HIGH POTENTIAL BUT DISADVANTAGED YOUTH THE OPPORTUNITY TO UPGRADE THEIR CODING SKILLS IN ORDER TO ACCESS JOBS

DATES OF REPORTS BY GRANTEE

12/07/16, 01/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CODERSTRUST GLOBAL APS

GRANTEE'S ADDRESSABENRA 33 1 TH  
COPENHAGEN, DENMARK, 1124GRANT AMOUNT

57,000.

DATE OF GRANT

10/22/15

AMOUNT EXPENDED

57,000.

PURPOSE OF GRANTIN SUPPORT OF A FEASIBILITY STUDY TO EXPLORE THE POTENTIAL FOR REPLICATION  
OF A MICROFINANCE MODEL IN KENYA AND NIGERIA WHICH PROVIDES HIGH POTENTIAL  
BUT DISADVANTAGED YOUTH THE OPPORTUNITY TO UPGRADE THEIR CODING SKILLS IN  
ORDER TO ACCESS JOBSDATES OF REPORTS BY GRANTEE

02/29/16, 12/07/16, 01/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CONFERENCING IN A BOX LIMITED

GRANTEE'S ADDRESSMORNING SIDE, 2ND FLOOR  
NAIROBI, KENYA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
193,540.	11/04/16	193,540.

PURPOSE OF GRANT

IN SUPPORT OF CONVENING THE AFRICA REGIONAL OFFICE RESILIENCE WEEK AND THE AFRICA REGIONAL OFFICE RESILIENCE ACADEMY TO BE HELD IN NAIROBI, KENYA, DECEMBER 2016

DATES OF REPORTS BY GRANTEE

12/18/16, 12/19/16, 12/20/16, 02/07/17, 03/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CONNEXUS CORPORATION

GRANTEE'S ADDRESS6231 LEESBURG PIKE #310  
FALLS CHURCH, VA 22044GRANT AMOUNT

25,000.

DATE OF GRANT

06/01/16

AMOUNT EXPENDED

25,000.

PURPOSE OF GRANTTOWARD THE COSTS OF PROMOTING THE ROLE OF COMMUNITIES IN BUILDING RESILIENT  
HEALTH SYSTEMS AT ITS CRACKING THE NUT HEALTH CONFERENCE TO BE HELD IN  
WASHINGTON, D.C., JULY 2016DATES OF REPORTS BY GRANTEE

09/28/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CROSSBOUNDARY LLC

GRANTEE'S ADDRESS1220 19TH STREET NW  
WASHINGTON DC, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	12/14/16	27,620.

PURPOSE OF GRANTIN SUPPORT OF DESIGNING AN EAST-AFRICAN MINI-GRID FACILITY TO SUPPORT  
ENERGY SERVICE COMPANIES TO ACCELERATE ENERGY ACCESS FOR POOR AND  
VULNERABLE PEOPLE IN RURAL AREASDATES OF REPORTS BY GRANTEE

04/04/17, 08/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CURATIO INTERNATIONAL FOUNDATION

GRANTEE'S ADDRESS3 KAVSADZE AVE.  
TBILISI, GEORGIA, 162

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	07/23/15	150,000.

PURPOSE OF GRANTFOR USE AS THE HEALTH SYSTEMS GLOBAL SECRETARIAT TOWARD THE COSTS OF  
ORGANIZING THE FOURTH HEALTH SYSTEMS SYMPOSIUM AND CONSOLIDATING THE  
SUSTAINABILITY OF HEALTH SYSTEMS GLOBALDATES OF REPORTS BY GRANTEE

02/10/16, 02/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

CURATIO INTERNATIONAL FOUNDATION

GRANTEE'S ADDRESS3 KAVSADZE AVE.  
TBILISI, GEORGIA, 162

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	03/03/16	50,000.

PURPOSE OF GRANTFOR USE AS THE HEALTH SYSTEMS GLOBAL SECRETARIAT TOWARD THE COSTS OF  
ORGANIZING THE FOURTH HEALTH SYSTEMS SYMPOSIUM AND CONSOLIDATING THE  
SUSTAINABILITY OF HEALTH SYSTEMS GLOBALDATES OF REPORTS BY GRANTEE

02/24/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS99 MADISON AVENUE, 17TH FLOOR  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	03/10/16	400,000.

PURPOSE OF GRANT

IN SUPPORT OF DESIGNING, LAUNCHING, AND EXECUTING THE "CASSAVA INNOVATION CHALLENGE" IN ORDER TO SURFACE SOLUTIONS TO INCREASE THE SHELF LIFE OF CASSAVA AND THEREBY IMPROVE THE LIVELIHOODS OF SMALL HOLDER FARMERS IN AFRICA

DATES OF REPORTS BY GRANTEE

06/17/16, 07/19/16, 11/22/16, 02/16/17, 08/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS99 MADISON AVENUE, 17TH FLOOR  
NEW YORK, NY 10016GRANT AMOUNT

149,468.

DATE OF GRANT

04/19/16

AMOUNT EXPENDED

143,126.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO INCREASE FUNDERS' USE OF INNOVATION CONCEPTS TO  
ACCELERATE THE PACE OF SOCIAL CHANGE FOR POOR OR VULNERABLE COMMUNITIESDATES OF REPORTS BY GRANTEE

06/01/16, 12/09/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS99 MADISON AVENUE, 17TH FLOOR  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
98,000.	07/14/16	98,000.

PURPOSE OF GRANTIN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT IDENTIFIES POTENTIAL  
OPPORTUNITIES FOR THE FIELD OF PLANETARY HEALTH TO IMPROVE THE LIVES OF  
POOR AND VULNERABLE PEOPLEDATES OF REPORTS BY GRANTEE

06/29/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS99 MADISON AVENUE, 17TH FLOOR  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
175,000.	09/20/16	114,942.

PURPOSE OF GRANTIN SUPPORT OF EXECUTING A THEMED RESIDENCY AT THE ROCKEFELLER FOUNDATION  
BELLAGIO CENTER, ITALY, JULY/AUGUST 2017, AND COLLECTING AND DISSEMINATING  
RESULTING KNOWLEDGE ASSETSDATES OF REPORTS BY GRANTEE

03/26/17, 07/17/17, 09/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG CONSULTING - U.S., LLC

GRANTEE'S ADDRESS99 MADISON AVENUE, 17TH FLOOR  
NEW YORK, NY 10016GRANT AMOUNT

350,630.

DATE OF GRANT

12/20/16

AMOUNT EXPENDED

350,630.

PURPOSE OF GRANT

IN SUPPORT OF DESIGNING, LAUNCHING, AND EXECUTING THE "CASSAVA INNOVATION CHALLENGE" IN ORDER TO SURFACE SOLUTIONS TO INCREASE THE SHELF LIFE OF CASSAVA AND THEREBY IMPROVE THE LIVELIHOODS OF SMALL HOLDER FARMERS IN AFRICA

DATES OF REPORTS BY GRANTEE

02/16/17, 08/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG CONSULTING CC

GRANTEE'S ADDRESS195 JAN SMUTS AVENUE  
JOHANNESBURG, SOUTH AFRICA, 2092

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	09/08/16	150,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A FINANCIAL SERVICES SECTOR BUSINESS CASE FOR  
IMPACT SOURCING (IS) AND ESTABLISHING JOB TYPES THAT CAN ADOPT IS ACROSS  
DIFFERENT SECTORS IN SOUTH AFRICADATES OF REPORTS BY GRANTEE

10/31/16, 12/07/16, 03/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG CONSULTING CC

GRANTEE'S ADDRESS195 JAN SMUTS AVENUE  
JOHANNESBURG, SOUTH AFRICA, 2092

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	12/20/16	100,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A FINANCIAL SERVICES SECTOR BUSINESS CASE FOR  
IMPACT SOURCING (IS) AND ESTABLISHING JOB TYPES THAT CAN ADOPT IS ACROSS  
DIFFERENT SECTORS IN SOUTH AFRICADATES OF REPORTS BY GRANTEE

03/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

GRANTEE'S ADDRESSMEZZANINE FLOOR, 'A' WING, BARODAWALA MANSION  
MUMBAI, INDIA, 400018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	07/02/15	250,000.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO PROVIDE MONITORING AND KNOWLEDGE INTEGRATION SERVICES ON PROGRESS TOWARDS OUTCOMES AND IMPACTS OF THE FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT INITIATIVE, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE INITIATIVE, AMONG ITS GRANTEES, AND IN THE FIELDS OF RURAL ELECTRIFICATION, MARKET-BASED SOLUTIONS FOR DEVELOPMENT, PHILANTHROPY AND EVALUATION

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 11/22/16, 03/29/17, 08/08/17, 08/16/17, 08/18/17, 09/07/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

GRANTEE'S ADDRESSMEZZANINE FLOOR, 'A' WING, BARODAWALA MANSION  
MUMBAI, INDIA, 400018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	08/18/16	150,000.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO PROVIDE MONITORING AND KNOWLEDGE INTEGRATION SERVICES ON PROGRESS TOWARDS OUTCOMES AND IMPACTS OF THE FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT INITIATIVE, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE INITIATIVE, AMONG ITS GRANTEES, AND IN THE FIELDS OF RURAL ELECTRIFICATION, MARKET-BASED SOLUTIONS FOR DEVELOPMENT, PHILANTHROPY AND EVALUATION

DATES OF REPORTS BY GRANTEE

11/22/16, 03/29/17, 08/08/17, 08/16/17, 08/18/17, 09/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

GRANTEE'S ADDRESSMEZZANINE FLOOR, 'A' WING, BARODAWALA MANSION  
MUMBAI, INDIA, 400018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
117,382.	11/29/16	117,382.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO PROVIDE MONITORING AND KNOWLEDGE INTEGRATION SERVICES ON PROGRESS TOWARDS OUTCOMES AND IMPACTS OF THE FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT INITIATIVE, TO FOSTER LEARNING, ACCOUNTABILITY AND PERFORMANCE IMPROVEMENTS IN THE INITIATIVE, AMONG ITS GRANTEES, AND IN THE FIELDS OF RURAL ELECTRIFICATION, MARKET-BASED SOLUTIONS FOR DEVELOPMENT, PHILANTHROPY AND EVALUATION

DATES OF REPORTS BY GRANTEE

03/29/17, 08/08/17, 08/16/17, 08/18/17, 09/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG DEVELOPMENT ADVISORS PRIVATE LIMITED

GRANTEE'S ADDRESSMEZZANINE FLOOR, 'A' WING, BARODAWALA MANSION  
MUMBAI, INDIA, 400018GRANT AMOUNT

250,000.

DATE OF GRANT

12/14/16

AMOUNT EXPENDED

250,000.

PURPOSE OF GRANTIN SUPPORT OF A FEASIBILITY STUDY OF MINI-GRID MODELS AND THE DESIGN OF A  
MINI-GRID PARTNERSHIP FACILITY AND STRATEGY FOR CATALYZING ENERGY ACCESS  
FOR POOR AND VULNERABLE PEOPLE IN MYANMARDATES OF REPORTS BY GRANTEE

06/08/17, 08/16/17, 08/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG GROUP APS

GRANTEE'S ADDRESSOVERGADEN OVEN VANDET 10, 1ST FLOOR  
COPENHAGEN, DENMARKGRANT AMOUNT

70,000.

DATE OF GRANT

08/10/16

AMOUNT EXPENDED

70,000.

PURPOSE OF GRANTIN SUPPORT OF THE PILOT PHASE OF THE BIG BETS MATCH-UP INITIATIVE TO CREATE  
A NETWORKING AND SOLUTION PLATFORM THAT BRINGS TOGETHER CIVIL SOCIETY  
ORGANIZATIONS, ENTREPRENEURS, INVESTORS, AND PHILANTHROPISTS FOR ACTION  
TOWARD IMPLEMENTING THE SUSTAINABLE DEVELOPMENT GOALSDATES OF REPORTS BY GRANTEE

02/28/17, 03/08/17, 04/30/17, 05/31/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG RESEARCH LTD

GRANTEE'S ADDRESS72 - 82 ROSEBURY AVENUE, 2ND FLOOR  
LONDON, UNITED KINGDOM, EC1R 4RW

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
170,000.	08/04/15	170,000.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE THE  
STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON  
EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLEDATES OF REPORTS BY GRANTEE

09/15/15, 10/17/16, 09/13/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DALBERG RESEARCH LTD

GRANTEE'S ADDRESS72 - 82 ROSEBURY AVENUE, 2ND FLOOR  
LONDON, UNITED KINGDOM, EC1R 4RWGRANT AMOUNT

190,000.

DATE OF GRANT

12/15/16

AMOUNT EXPENDED

120,000.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING EARLY-STAGE RESEARCH PRODUCTS THAT WILL ENHANCE THE  
STRATEGIC KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON  
EMERGING AND CHANGING PROBLEMS AFFECTING THE POOR AND VULNERABLEDATES OF REPORTS BY GRANTEE

08/29/17, 08/30/17, 09/13/17, 09/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	04/17/15	250,000.

PURPOSE OF GRANTIN SUPPORT OF RAISING AWARENESS ABOUT IMPACT SOURCING AND DEVELOPING A  
COALITION OF COMPANIES COMMITTED TO THIS INCLUSIVE BUSINESS PRACTICE THAT  
EMPLOYS HIGH POTENTIAL BUT DISADVANTAGED YOUTH, IMPACTING THEM, THEIR  
FAMILIES AND COMMUNITIESDATES OF REPORTS BY GRANTEE

09/08/15, 12/17/15, 10/13/16, 12/05/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	11/18/15	50,000.

PURPOSE OF GRANTIN SUPPORT OF RAISING AWARENESS ABOUT IMPACT SOURCING AND DEVELOPING A  
COALITION OF COMPANIES COMMITTED TO THIS INCLUSIVE BUSINESS PRACTICE THAT  
EMPLOYS HIGH POTENTIAL BUT DISADVANTAGED YOUTH, IMPACTING THEM, THEIR  
FAMILIES AND COMMUNITIESDATES OF REPORTS BY GRANTEE

12/17/15, 10/13/16, 12/05/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
260,000.	04/28/16	260,000.

PURPOSE OF GRANTIN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING OF IMPACT HIRING  
AMONG EMPLOYER AUDIENCES TO BENEFIT DISADVANTAGED YOUTH IN THE UNITED  
STATESDATES OF REPORTS BY GRANTEE

07/29/16, 10/27/16, 01/31/17, 04/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	07/22/16	250,000.

PURPOSE OF GRANTIN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING THE ISSUE OF FOOD  
WASTEDATES OF REPORTS BY GRANTEE

10/26/16, 12/18/16, 09/29/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	11/23/16	200,000.

PURPOSE OF GRANTIN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING OF IMPACT HIRING  
AMONG EMPLOYER AUDIENCES TO BENEFIT DISADVANTAGED YOUTH IN THE UNITED  
STATESDATES OF REPORTS BY GRANTEE

01/31/17, 04/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013GRANT AMOUNT

250,000.

DATE OF GRANT

11/23/16

AMOUNT EXPENDED

250,000.

PURPOSE OF GRANTIN SUPPORT OF INCREASING THE VISIBILITY AND UNDERSTANDING THE ISSUE OF FOOD  
WASTEDATES OF REPORTS BY GRANTEE

12/18/16, 09/29/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DANIEL J. EDELMAN, INC.

GRANTEE'S ADDRESS250 HUDSON STREET  
NEW YORK, NY 10013GRANT AMOUNT

17,164.

DATE OF GRANT

12/21/16

AMOUNT EXPENDED

17,164.

PURPOSE OF GRANTIN SUPPORT OF RAISING AWARENESS ABOUT IMPACT SOURCING AND DEVELOPING A  
COALITION OF COMPANIES COMMITTED TO THIS INCLUSIVE BUSINESS PRACTICE THAT  
EMPLOYS HIGH POTENTIAL BUT DISADVANTAGED YOUTH, IMPACTING THEM, THEIR  
FAMILIES AND COMMUNITIESDATES OF REPORTS BY GRANTEE

12/05/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DELOITTE CONSULTING LLP

GRANTEE'S ADDRESS350 SOUTH GRAND  
LOS ANGELES, CA 90071-3462

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
90,000.	09/29/16	90,000.

PURPOSE OF GRANTTOWARD THE COSTS OF PRODUCING RESEARCH PRODUCTS THAT BUILD THE  
UNDERSTANDING OF THE POTENTIAL FOR MARKET-BASED SOLUTIONS TO BENEFIT THE  
POOR OR VULNERABLEDATES OF REPORTS BY GRANTEE

12/06/16, 12/07/16, 12/08/16, 05/25/17, 06/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DELOITTE CONSULTING LLP

GRANTEE'S ADDRESS350 SOUTH GRAND  
LOS ANGELES, CA 90071-3462

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,000.	12/14/16	10,000.

PURPOSE OF GRANTTOWARD THE COSTS OF PRODUCING RESEARCH PRODUCTS THAT BUILD THE  
UNDERSTANDING OF THE POTENTIAL FOR MARKET-BASED SOLUTIONS TO BENEFIT THE  
POOR OR VULNERABLEDATES OF REPORTS BY GRANTEE

05/25/17, 06/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

DIABETIC ASSOCIATION OF BANGLADESH

GRANTEE'S ADDRESS122 KAZI NAZRUL ISLAM AVENUE, SHAHBAG  
DHAKA, BANGLADESH, 1000

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
135,000.	12/21/11	105,191.

PURPOSE OF GRANTFOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF  
ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF  
SCIENCE CURRICULUM ON HEALTH INFORMATICSDATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 04/03/15, 03/25/16, 08/15/16, 09/12/16, 01/04/17, 04/24/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

DIABETIC ASSOCIATION OF BANGLADESH

GRANTEE'S ADDRESS122 KAZI NAZRUL ISLAM AVENUE, SHAHBAG  
DHAKA, BANGLADESH, 1000GRANT AMOUNT

90,000.

DATE OF GRANT

12/16/12

AMOUNT EXPENDED

0.

PURPOSE OF GRANTFOR USE BY ITS BANGLADESH INSTITUTE OF SCIENCES TOWARD THE COSTS OF  
ESTABLISHING THE DEPARTMENT OF HEALTH INFORMATICS TO LAUNCH A MASTERS OF  
SCIENCE CURRICULUM ON HEALTH INFORMATICSDATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 04/03/15, 03/25/16, 08/15/16, 09/12/16, 01/04/17, 04/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ECOSYSTEM ECONOMICS LLC

GRANTEE'S ADDRESS50 SW BOND STREET, SUITE 4  
BEND, OR 97702

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
144,000.	03/01/16	144,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING A STUDY OF THE POLITICAL ECONOMY OF WATER  
GOVERNANCE AND WATER MANAGEMENT AS IT RELATES TO THE POTENTIAL FOR REFORM  
AND THE ESTABLISHMENT OF WATER MARKETSDATES OF REPORTS BY GRANTEE

10/21/16, 12/08/16, 12/13/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ECOSYSTEM ECONOMICS LLC

GRANTEE'S ADDRESS50 SW BOND STREET, SUITE 4  
BEND, OR 97702

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
36,000.	12/14/16	36,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING A STUDY OF THE POLITICAL ECONOMY OF WATER  
GOVERNANCE AND WATER MANAGEMENT AS IT RELATES TO THE POTENTIAL FOR REFORM  
AND THE ESTABLISHMENT OF WATER MARKETSDATES OF REPORTS BY GRANTEE

12/13/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ECOSYSTEM ECONOMICS LLC

GRANTEE'S ADDRESS50 SW BOND STREET, SUITE 4  
BEND, OR 97702

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
8,707.	12/20/16	8,707.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING A STUDY OF THE POLITICAL ECONOMY OF WATER  
GOVERNANCE AND WATER MANAGEMENT AS IT RELATES TO THE POTENTIAL FOR REFORM  
AND THE ESTABLISHMENT OF WATER MARKETSDATES OF REPORTS BY GRANTEE

12/13/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

EKO ASSET MANAGEMENT PARTNERS

GRANTEE'S ADDRESSC/O WOLFENSOHN & CO.  
NEW YORK, NY 10019

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
195,510.	02/11/16	195,510.

PURPOSE OF GRANTTOWARD THE COSTS OF DESIGNING AND DEVELOPING BLUEPRINTS FOR INNOVATIVE  
INVESTMENT STRATEGIES IN SUSTAINABLE FISHERIESDATES OF REPORTS BY GRANTEE

02/02/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS220 2ND AVE S.  
SEATTLE, WA 98014

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
500,000.	06/26/14	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 03/02/2017, 03/31/2017, 05/01/2017, 05/31/2017, 08/31/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS220 2ND AVE S.  
SEATTLE, WA 98014

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
513,114.	12/08/15	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 03/02/2017, 03/31/2017, 05/01/2017, 05/31/2017, 08/31/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ELEVAR EQUITY III, L.P.

GRANTEE'S ADDRESS220 2ND AVE S.  
SEATTLE, WA 98014

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
734,886.	12/30/16	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$4,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT SMALL TO MEDIUM SIZED SOCIAL ENTERPRISES SERVING BASE OF THE PYRAMID (BOP) POPULATIONS IN SOUTHEAST ASIA AND LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 03/02/2017, 03/31/2017, 05/01/2017, 05/31/2017, 08/31/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

ELEVATE CONSULTING &amp; COMMUNICATIONS, LLC

GRANTEE'S ADDRESSP.O. BOX 8325  
PORTLAND, ME 04104

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
180,000.	07/31/15	112,184.

PURPOSE OF GRANTIN SUPPORT OF ESTABLISHING THE NORTHEAST INFRASTRUCTURE ACCELERATOR AND  
DESIGNING AN INFRASTRUCTURE INVESTMENT MODEL FOR STATES AND REGIONS TO  
UNDERSTAND THE SAVINGS ASSOCIATED WITH ADOPTING A RESILIENT WATER  
INFRASTRUCTUREDATES OF REPORTS BY GRANTEE

03/18/16, 06/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

EVEREST GLOBAL INC.

GRANTEE'S ADDRESS12770 MERIT DRIVE  
DALLAS, TX 75251

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
80,000.	06/28/16	80,000.

PURPOSE OF GRANTIN SUPPORT OF REFINING THE GLOBAL BUSINESS CASE FOR IMPACT SOURCING WITH A  
FOCUS ON SOUTH AFRICADATES OF REPORTS BY GRANTEE

07/19/16, 08/29/16, 09/26/16, 10/21/16, 12/01/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

EVEREST GLOBAL INC.

GRANTEE'S ADDRESS12770 MERIT DRIVE  
DALLAS, TX 75251GRANT AMOUNT

35,000.

DATE OF GRANT

09/29/16

AMOUNT EXPENDED

35,000.

PURPOSE OF GRANTIN SUPPORT OF REFINING THE GLOBAL BUSINESS CASE FOR IMPACT SOURCING WITH A  
FOCUS ON SOUTH AFRICADATES OF REPORTS BY GRANTEE

10/21/16, 12/01/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

EXPERIA EVENTS PTE LTD

GRANTEE'S ADDRESSSINGAPOE CHANGI AIRPORT TERMINAL 2 LEVEL 3 #038-017  
CHANGI, SINGAPORE, 819643GRANT AMOUNT

135,000.

DATE OF GRANT

07/27/16

AMOUNT EXPENDED

135,000.

PURPOSE OF GRANTIN SUPPORT OF ORGANIZING THE 2016 WORLD CITIES SUMMIT, TO BE HELD IN  
SINGAPORE, JULY 2016DATES OF REPORTS BY GRANTEE

10/28/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FORBES MEDIA LLC

GRANTEE'S ADDRESS499 WASHINGTON BLVD.  
JERSEY CITY, NJ 07310GRANT AMOUNT

200,000.

DATE OF GRANT

06/16/16

AMOUNT EXPENDED

200,000.

PURPOSE OF GRANTTOWARD THE COSTS OF ITS FORBES WOMEN'S SUMMIT AND THE LAUNCH OF THE  
"100X25" CAMPAIGNDATES OF REPORTS BY GRANTEE

11/03/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FT PUBLICATIONS INC.

GRANTEE'S ADDRESS330 HUDSON STREET  
NEW YORK, NY 10013GRANT AMOUNT

100,000.

DATE OF GRANT

05/26/16

AMOUNT EXPENDED

100,000.

PURPOSE OF GRANTFOR USE BY ITS FINANCIAL TIMES LIVE CONFERENCE DIVISION IN SUPPORT OF A  
SERIES OF CONVENINGS OF PRIVATE SECTOR STAKEHOLDERS TO DISCUSS METHODS FOR  
INCORPORATING NATURAL ASSETS INTO FINANCIAL DECISION MAKING, TO BE HELD IN  
NEW YORK AND LONDON IN 2016DATES OF REPORTS BY GRANTEE

08/18/16, 11/10/16, 01/18/17, 03/28/17, 04/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FT PUBLICATIONS INC.

GRANTEE'S ADDRESS330 HUDSON STREET  
NEW YORK, NY 10013GRANT AMOUNT

150,000.

DATE OF GRANT

06/01/16

AMOUNT EXPENDED

150,000.

PURPOSE OF GRANTFOR USE BY ITS FT LIVE GLOBAL CONFERENCES TEAM TOWARD THE COSTS OF  
ORGANIZING AND CO-HOSTING AN "INNOVATIONS IN PHILANTHROPY" FORUM ON  
INNOVATIVE FINANCE TO BE HELD IN NEW YORK CITY IN 2016DATES OF REPORTS BY GRANTEE

04/18/17, 04/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FT PUBLICATIONS INC.

GRANTEE'S ADDRESS330 HUDSON STREET  
NEW YORK, NY 10013GRANT AMOUNT

50,000.

DATE OF GRANT

09/15/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTFOR USE BY ITS FINANCIAL TIMES LIVE CONFERENCE DIVISION IN SUPPORT OF A  
SERIES OF CONVENINGS OF PRIVATE SECTOR STAKEHOLDERS TO DISCUSS METHODS FOR  
INCORPORATING NATURAL ASSETS INTO FINANCIAL DECISION MAKING, TO BE HELD IN  
NEW YORK AND LONDON IN 2016DATES OF REPORTS BY GRANTEE

11/10/16, 01/18/17, 03/28/17, 04/24/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESSBOULEVARD VIA MORELOS 72-A  
ECATEPEC, MEXICO

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
44,200.	12/17/15	44,071.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS AS  
CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO  
CENTER, ITALYDATES OF REPORTS BY GRANTEE

01/19/16, 02/26/16, 04/15/16, 06/15/16, 03/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESSBOULEVARD VIA MORELOS 72-A  
ECATEPEC, MEXICO

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
15,000.	06/28/16	0.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING OUTREACH AND SELECTING LATIN AMERICAN ARTISTS AS  
CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO  
CENTER, ITALYDATES OF REPORTS BY GRANTEE

03/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUNDACION JUMEX ASOCIACION CIVIL

GRANTEE'S ADDRESSBOULEVARD VIA MORELOS 72-A  
ECATEPEC, MEXICO

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
46,900.	12/01/16	0.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING OUTREACH AND NOMINATING LATIN AMERICAN ARTISTS AS  
CANDIDATES FOR ONE-MONTH RESIDENCIES AT THE ROCKEFELLER FOUNDATION BELLAGIO  
CENTER, ITALYDATES OF REPORTS BY GRANTEE

01/16/17, 03/18/17, 05/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUZU LIMITED

GRANTEE'S ADDRESSHAMEENTIE 157, 6TH FLOOR  
HELSINKI, FINLAND, 560GRANT AMOUNT

200,000.

DATE OF GRANT

07/30/15

AMOUNT EXPENDED

200,000.

PURPOSE OF GRANTTOWARD THE COSTS OF SCALING UP A JOB MATCHING PLATFORM TO CONNECT HIGH  
POTENTIAL BUT DISADVANTAGED YOUTH IN KENYA TO DIGITAL JOBSDATES OF REPORTS BY GRANTEE

02/29/16, 09/12/16, 12/04/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

FUZU LIMITED

GRANTEE'S ADDRESSHAMEENTIE 157, 6TH FLOOR  
HELSINKI, FINLAND, 560GRANT AMOUNT

50,000.

DATE OF GRANT

03/30/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTTOWARD THE COSTS OF SCALING UP A JOB MATCHING PLATFORM TO CONNECT HIGH  
POTENTIAL BUT DISADVANTAGED YOUTH IN KENYA TO DIGITAL JOBSDATES OF REPORTS BY GRANTEE

09/12/16, 12/04/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GENESIS ANALYTICS PTY LTD

GRANTEE'S ADDRESS50 SIXTH ROAD  
JOHANNESBURG, SOUTH AFRICA, 2196

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	08/12/16	100,000.

PURPOSE OF GRANT

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE FOUNDATION'S DIGITAL JOBS AFRICA INITIATIVE, AS WELL AS ITS GRANTEES AND PARTNERS, IN ORDER TO BENEFIT THE FIELDS OF YOUTH EMPLOYMENT, DIGITAL JOBS AND INCLUSIVE ECONOMIES

DATES OF REPORTS BY GRANTEE

10/18/16, 11/04/16, 12/15/16, 03/16/17, 05/05/17, 06/06/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL BPO SOLUTIONS, LLC

GRANTEE'S ADDRESS15600 NE 8TH STREET  
BELLEVUE, WA 98008

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	12/09/16	100,000.

PURPOSE OF GRANTIN SUPPORT OF SCALING IMPACT SOURCING BY DRIVING MEMBERSHIP RECRUITMENT FOR  
THE GLOBAL IMPACT SOURCING COALITION AND COORDINATING DEVELOPMENT OF  
RELEVANT TOOLS AND RESOURCES FOR BUILDING ITS VALUE PROPOSITIONDATES OF REPORTS BY GRANTEE

01/18/17, 04/02/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL HEALTH STRATEGIES LLC

GRANTEE'S ADDRESS38 E 32ND STREET, 12TH FLOOR  
NEW YORK, NY 10016GRANT AMOUNT

30,000.

DATE OF GRANT

04/14/16

AMOUNT EXPENDED

30,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING COMMUNICATIONS AND INFLUENCE ACTIVITIES TO ADVANCE  
UNIVERSAL HEALTH COVERAGE GLOBALLYDATES OF REPORTS BY GRANTEE

03/16/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

GLOBAL HEALTH STRATEGIES LLC

GRANTEE'S ADDRESS38 E 32ND STREET, 12TH FLOOR  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
24,611.	09/08/16	24,611.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING COMMUNICATIONS AND INFLUENCE ACTIVITIES TO ADVANCE  
UNIVERSAL HEALTH COVERAGE GLOBALLYDATES OF REPORTS BY GRANTEE

8/4/2016

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL HEALTH STRATEGIES LLC

GRANTEE'S ADDRESS38 E 32ND STREET, 12TH FLOOR  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
500,000.	11/18/16	337,410.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO SUSTAIN MOMENTUM FOR UNIVERSAL HEALTH COVERAGE  
(UHC) THROUGH BUILDING A SUSTAINABLE UHC COALITIONDATES OF REPORTS BY GRANTEE

12/01/16, 02/28/17, 08/22/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL INVESTMENT FUND FOR WATER LIMITED

GRANTEE'S ADDRESSSTEEL HOUSE, 13-17 PRINCES ROAD  
RICHMOND, SURREY, UNITED KINGDOM, TW10 6DG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	05/19/16	200,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING FEASIBILITY STUDIES FOR ESTABLISHING A PRIVATE  
SECTOR LED MICRO-LEVY ON BOTTLED WATERDATES OF REPORTS BY GRANTEE

08/26/16, 10/31/16, 11/15/16, 03/01/17, 05/30/17, 09/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL INVESTMENT FUND FOR WATER LIMITED

GRANTEE'S ADDRESSSTEEL HOUSE, 13-17 PRINCES ROAD  
RICHMOND, SURREY, UNITED KINGDOM, TW10 6DGGRANT AMOUNT

99,530.

DATE OF GRANT

11/17/16

AMOUNT EXPENDED

99,530.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING FEASIBILITY STUDIES FOR ESTABLISHING A PRIVATE  
SECTOR LED MICRO-LEVY ON BOTTLED WATERDATES OF REPORTS BY GRANTEE

03/01/17, 05/30/17, 09/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL STRATEGY GROUP, LLC

GRANTEE'S ADDRESS215 PARK AVENUE, 15TH FLOOR  
NEW YORK, NY 10003GRANT AMOUNT

195,000.

DATE OF GRANT

04/28/16

AMOUNT EXPENDED

195,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING PUBLIC OPINION RESEARCH AND DEVELOPING TWO VIDEOS  
TO SUPPORT THE FOUNDATION'S INCLUSIVE ECONOMIES "100X25" CAMPAIGN AS PART  
OF THE OVERALL "WHAT IF" PLATFORMDATES OF REPORTS BY GRANTEE

07/20/16, 08/31/16, 11/15/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBAL STRATEGY GROUP, LLC

GRANTEE'S ADDRESS215 PARK AVENUE, 15TH FLOOR  
NEW YORK, NY 10003

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
35,000.	12/02/16	35,000.

PURPOSE OF GRANT

IN SUPPORT OF CONDUCTING AN ANALYSIS TO EXAMINE THE TONE, LANGUAGE AND SENTIMENT OF THE CONTENT PRODUCED BY REPORTERS AND JOURNALISTS WHO WRITE ABOUT MALE AND FEMALE CEOS, AS PART OF THE FOUNDATION'S INCLUSIVE ECONOMIES "100X25" CAMPAIGN

DATES OF REPORTS BY GRANTEE

02/13/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBALAGRISK, INC.

GRANTEE'S ADDRESS1008 SOUTH BROADWAY  
LEXINGTON, KY 40504

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
500,000.	09/15/15	500,000.

PURPOSE OF GRANTIN SUPPORT OF DESIGNING A FINANCIAL DISASTER RISK MANAGEMENT SOLUTION TO  
SUPPORT DISASTER RECOVERY LENDING VIA MICROFINANCE INVESTMENT VEHICLES AND  
MICROFINANCE NETWORKSDATES OF REPORTS BY GRANTEE

02/23/16, 11/15/16, 11/23/16, 11/27/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GLOBALAGRISK, INC.

GRANTEE'S ADDRESS1008 SOUTH BROADWAY  
LEXINGTON, KY 40504

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	03/10/16	200,000.

PURPOSE OF GRANTIN SUPPORT OF DESIGNING A FINANCIAL DISASTER RISK MANAGEMENT SOLUTION TO  
SUPPORT DISASTER RECOVERY LENDING VIA MICROFINANCE INVESTMENT VEHICLES AND  
MICROFINANCE NETWORKSDATES OF REPORTS BY GRANTEE

11/15/16, 11/23/16, 11/27/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESSKING PLACE 90 YORK WAY  
LONDON, UNITED KINGDOM, N1 9GU

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
112,500.	12/03/15	112,500.

PURPOSE OF GRANT

IN SUPPORT OF GENERATING AND SHARING INSIGHTS FROM DIVERSE, GLOBAL JOURNALISTS AND EDITORS AROUND SOCIAL AND ENVIRONMENTAL THEMES AND ISSUES THAT MAY IMPACT POOR AND VULNERABLE PEOPLE IN YEARS THAT LIE AHEAD

DATES OF REPORTS BY GRANTEE

02/01/16, 07/15/16, 08/01/16, 09/30/16, 10/14/16, 11/21/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESSKING PLACE 90 YORK WAY  
LONDON, UNITED KINGDOM, N1 9GU

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,000,000.	07/27/16	1,000,000.

PURPOSE OF GRANTIN SUPPORT OF A NEW SECTION OF THEGUARDIAN.COM FOCUSING ON CITIES,  
RESILIENCE AND WAYS TO IMPROVE THE LIVES OF POOR AND VULNERABLE COMMUNITIES  
IN URBAN AREASDATES OF REPORTS BY GRANTEE

06/13/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESSKING PLACE 90 YORK WAY  
LONDON, UNITED KINGDOM, N1 9GU

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	10/13/16	47,151.

PURPOSE OF GRANT

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM DIVERSE, GLOBAL JOURNALISTS AND EDITORS AROUND SOCIAL, ECONOMIC, AND ENVIRONMENTAL THEMES AND ISSUES THAT IMPACT POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

10/17/16, 12/02/16, 04/06/17, 05/24/17, 05/31/17, 07/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESSKING PLACE 90 YORK WAY  
LONDON, UNITED KINGDOM, N1 9GU

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
39,350.	12/06/16	39,350.

PURPOSE OF GRANT

IN SUPPORT OF GENERATING AND SHARING INSIGHTS FROM DIVERSE, GLOBAL JOURNALISTS AND EDITORS AROUND SOCIAL AND ENVIRONMENTAL THEMES AND ISSUES THAT MAY IMPACT POOR AND VULNERABLE PEOPLE IN YEARS THAT LIE AHEAD

DATES OF REPORTS BY GRANTEE

11/21/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESSKING PLACE 90 YORK WAY  
LONDON, UNITED KINGDOM, N1 9GU

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
40,000.	12/12/16	0.

PURPOSE OF GRANT

IN SUPPORT OF GENERATING AND SHARING NEW INSIGHTS FROM DIVERSE, GLOBAL JOURNALISTS AND EDITORS AROUND SOCIAL, ECONOMIC, AND ENVIRONMENTAL THEMES AND ISSUES THAT IMPACT POOR AND VULNERABLE PEOPLE

DATES OF REPORTS BY GRANTEE

04/06/17, 05/24/17, 05/31/17, 07/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

GUARDIAN NEWS AND MEDIA LTD

GRANTEE'S ADDRESSKING PLACE 90 YORK WAY  
LONDON, UNITED KINGDOM, N1 9GU

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,000,000.	12/19/16	173,198.

PURPOSE OF GRANTIN CONTINUED SUPPORT OF ITS GUARDIAN CITIES SECTION TO FOSTER READER  
ENGAGEMENT AND UNDERSTANDING OF RESILIENCE AS IT AFFECTS POOR AND  
VULNERABLE COMMUNITIES IN URBAN AREASDATES OF REPORTS BY GRANTEE

08/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HATTAWAY COMMUNICATIONS, INC.

GRANTEE'S ADDRESS1717 RHODE ISLAND AVENUE, NW  
WASHINGTON, DC 20036GRANT AMOUNT

100,000.

DATE OF GRANT

01/06/16

AMOUNT EXPENDED

100,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING AND IMPLEMENTING A COMMUNICATIONS STRATEGY TO  
CATALYZE INNOVATION AND INNOVATION CAPACITY BUILDING IN THE SOCIAL SECTOR  
TO IMPROVE THE LIVES OF POOR AND VULNERABLE PEOPLE AROUND THE WORLDDATES OF REPORTS BY GRANTEE

03/11/16, 08/10/16, 08/11/16, 08/12/16, 08/15/16, 08/18/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HATTAWAY COMMUNICATIONS, INC.

GRANTEE'S ADDRESS1717 RHODE ISLAND AVENUE, NW  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
30,000.	03/31/16	30,000.

PURPOSE OF GRANTIN SUPPORT OF ADVANCING THE CURRICULUM AND USER EXPERIENCE ON HATCH FOR  
GOOD AND DEVELOPING A STRATEGIC GROWTH STRATEGY FOR IT, IN ORDER TO ELEVATE  
THE PRACTICE OF STORYTELLING WITHIN THE SOCIAL AND DEVELOPMENT SECTORDATES OF REPORTS BY GRANTEE

03/31/16, 04/14/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

HATTAWAY COMMUNICATIONS, INC.

GRANTEE'S ADDRESS1717 RHODE ISLAND AVENUE, NW  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	04/28/16	20,000.

PURPOSE OF GRANTIN SUPPORT OF ADVANCING THE CURRICULUM AND USER EXPERIENCE ON HATCH FOR  
GOOD AND DEVELOPING A STRATEGIC GROWTH STRATEGY FOR IT, IN ORDER TO ELEVATE  
THE PRACTICE OF STORYTELLING WITHIN THE SOCIAL AND DEVELOPMENT SECTORDATES OF REPORTS BY GRANTEE

04/14/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HATTAWAY COMMUNICATIONS, INC.

GRANTEE'S ADDRESS1717 RHODE ISLAND AVENUE, NW  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
125,000.	09/15/16	66,644.

PURPOSE OF GRANTIN SUPPORT OF CONTINUING TO ADVANCE THE WORK OF HATCH FOR GOOD IN BUILDING  
STORYTELLING ORGANIZATIONS WITHIN THE SOCIAL AND DEVELOPMENT SECTORS,  
INCLUDING AN ASSESSMENT OF ITS EFFECTIVENESS AND THE EXPLORATION OF  
SUSTAINABILITY MODELSDATES OF REPORTS BY GRANTEE

10/20/16, 03/31/17, 09/25/17, 09/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HATTAWAY COMMUNICATIONS, INC.

GRANTEE'S ADDRESS1717 RHODE ISLAND AVENUE, NW  
WASHINGTON, DC 20036GRANT AMOUNT

50,000.

DATE OF GRANT

09/20/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING AND IMPLEMENTING A COMMUNICATIONS STRATEGY TO  
CATALYZE INNOVATION AND INNOVATION CAPACITY BUILDING IN THE SOCIAL SECTOR  
TO IMPROVE THE LIVES OF POOR AND VULNERABLE PEOPLE AROUND THE WORLDDATES OF REPORTS BY GRANTEE

08/12/16, 08/18/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HEALTH CO PARTICIPANT LLC

GRANTEE'S ADDRESS1700 MARKET STREET, 19TH FLOOR  
PHILADELPHIA, PA 19103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,500,000.	12/19/13	1,090,075.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,000,000 PROGRAM RELATED INVESTMENT LOAN TO SUPPORT  
EXPANDING AND IMPROVING AFFORDABLE PRIMARY HEALTHCARE AND CREATING JOBS FOR  
LOW-INCOME INDIVIDUALS, BY PROVIDING LOANS TO FEDERALLY QUALIFIED  
HEALTHCARE CENTERS; \$1,090,075 PRINCIPAL REPAYEDDATES OF REPORTS BY GRANTEE

PRIOR REPORTS+3/31/2017, 5/15/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HEALTH CO PARTICIPANT LLC

GRANTEE'S ADDRESS1700 MARKET STREET, 19TH FLOOR  
PHILADELPHIA, PA 19103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,125,000.	03/06/14	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,000,000 PROGRAM RELATED INVESTMENT LOAN TO SUPPORT  
EXPANDING AND IMPROVING AFFORDABLE PRIMARY HEALTHCARE AND CREATING JOBS FOR  
LOW-INCOME INDIVIDUALS, BY PROVIDING LOANS TO FEDERALLY QUALIFIED  
HEALTHCARE CENTERSDATES OF REPORTS BY GRANTEE

PRIOR REPORTS+3/31/2017, 5/15/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HEALTH CO PARTICIPANT LLC

GRANTEE'S ADDRESS1700 MARKET STREET, 19TH FLOOR  
PHILADELPHIA, PA 19103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
375,000.	03/26/15	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,000,000 PROGRAM RELATED INVESTMENT LOAN TO SUPPORT  
EXPANDING AND IMPROVING AFFORDABLE PRIMARY HEALTHCARE AND CREATING JOBS FOR  
LOW-INCOME INDIVIDUALS, BY PROVIDING LOANS TO FEDERALLY QUALIFIED  
HEALTHCARE CENTERSDATES OF REPORTS BY GRANTEE

PRIOR REPORTS+3/31/2017, 5/15/2017, 8/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
269,000.	12/17/15	269,000.

PURPOSE OF GRANTIN SUPPORT OF RESILIENCE ACADEMIES FOR THE RESILIENCE AMERICORPS VISTAS  
PROGRAM, AS WELL AS THE DEVELOPMENT OF AN UPDATED ONLINE CURRICULUM FOR THE  
RESILIENCE AGE WEBSITEDATES OF REPORTS BY GRANTEE

02/29/16, 03/16/16, 01/20/17, 01/23/17, 06/09/17, 08/04/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013GRANT AMOUNT

196,500.

DATE OF GRANT

06/21/16

AMOUNT EXPENDED

196,500.

PURPOSE OF GRANTIN SUPPORT OF RESILIENCE ACADEMIES FOR THE RESILIENCE AMERICORPS VISTAS  
PROGRAM, AS WELL AS THE DEVELOPMENT OF AN UPDATED ONLINE CURRICULUM FOR THE  
RESILIENCE AGE WEBSITEDATES OF REPORTS BY GRANTEE

01/20/17, 01/23/17, 06/09/17, 08/04/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
500,000.	06/21/16	500,000.

PURPOSE OF GRANTIN SUPPORT OF SECURING AND ENHANCING RESILIENCE VALUE THROUGH THE CREATION  
OF GUIDELINES FOR MEASURING THE RESILIENCE DIVIDEND AND TO PRESENT THE  
GUIDELINES AT A RESILIENCE ACADEMYDATES OF REPORTS BY GRANTEE

08/02/16, 10/27/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
500,000.	09/15/16	500,000.

PURPOSE OF GRANTIN SUPPORT OF TESTING THE GLOBAL RESILIENCE ACADEMY PROTOTYPE TO DETERMINE  
THE HIGHEST VALUE DOMAINS FOR FUTURE DEPLOYMENTDATES OF REPORTS BY GRANTEE

09/30/16, 10/14/16, 01/31/17, 02/28/17, 07/07/17, 07/10/17, 07/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	10/13/16	250,000.

PURPOSE OF GRANTIN SUPPORT OF TESTING THE GLOBAL RESILIENCE ACADEMY PROTOTYPE TO DETERMINE  
THE HIGHEST VALUE DOMAINS FOR FUTURE DEPLOYMENTDATES OF REPORTS BY GRANTEE

10/14/16, 01/31/17, 02/28/17, 07/07/17, 07/10/17, 07/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
750,000.	11/02/16	750,000.

PURPOSE OF GRANTIN SUPPORT OF TESTING THE GLOBAL RESILIENCE ACADEMY PROTOTYPE TO DETERMINE  
THE HIGHEST VALUE DOMAINS FOR FUTURE DEPLOYMENTDATES OF REPORTS BY GRANTEE

01/31/17, 02/28/17, 07/07/17, 07/10/17, 07/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

HR&amp;A ADVISORS, INC

GRANTEE'S ADDRESS99 HUDSON STREET, 3RD FLOOR  
NEW YORK, NY 10013

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
56,155.	12/02/16	56,155.

PURPOSE OF GRANTIN SUPPORT OF SECURING AND ENHANCING RESILIENCE VALUE THROUGH THE CREATION  
OF GUIDELINES FOR MEASURING THE RESILIENCE DIVIDEND AND TO PRESENT THE  
GUIDELINES AT A RESILIENCE ACADEMYDATES OF REPORTS BY GRANTEE

10/27/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IDEO LP

GRANTEE'S ADDRESS100 FOREST AVENUE  
PALO ALTO, CA 94301

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	07/22/16	100,000.

PURPOSE OF GRANTIN SUPPORT OF THE DESIGN AND EXECUTION OF THE OPENIDEO FOOD WASTE CHALLENGE  
ALONG WITH THE SUBSEQUENT LAUNCH OF A FOOD WASTE IDEAS LAB MEANT TO  
FACILITATE DESIGN SUPPORT AND KNOWLEDGE EXCHANGE AMONG AN EMERGENT  
COMMUNITY OF PRACTICE OF GLOBAL INNOVATORS ADDRESSING FOOD WASTEDATES OF REPORTS BY GRANTEE

08/31/16, 12/29/16, 03/22/17, 04/06/17, 06/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IDEO LP

GRANTEE'S ADDRESS100 FOREST AVENUE  
PALO ALTO, CA 94301

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	07/22/16	200,000.

PURPOSE OF GRANT

IN SUPPORT OF A SUITE OF DESIGN RESEARCH AND PROTOTYPING MODULES THAT WILL TEST AND VALIDATE MANY OF THE POTENTIAL SOLUTIONS AND IDEAS ARISING FROM A BROADER SET OF ACTIVITIES BEING INITIATED TO ADDRESS FOOD WASTE IN THE U.S.

DATES OF REPORTS BY GRANTEE

06/01/17, 06/06/17, 06/16/17, 06/26/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IDEO LP

GRANTEE'S ADDRESS100 FOREST AVENUE  
PALO ALTO, CA 94301

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	07/22/16	250,000.

PURPOSE OF GRANT

IN SUPPORT OF ORGANIZING A CONVENING TO SURFACE POTENTIAL INTERVENTIONS FOR HOW PHILANTHROPY AND THE "ON-DEMAND" OR "GIG" ECONOMY CAN WORK TOGETHER TO MAKE A MORE INCLUSIVE FUTURE OF WORK, TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, AUGUST 2016

DATES OF REPORTS BY GRANTEE

09/16/16, 12/19/16, 02/14/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

IDEO LP

GRANTEE'S ADDRESS100 FOREST AVENUE  
PALO ALTO, CA 94301GRANT AMOUNT

25,000.

DATE OF GRANT

09/20/16

AMOUNT EXPENDED

25,000.

PURPOSE OF GRANTIN SUPPORT OF THE DESIGN AND EXECUTION OF THE OPENIDEO FOOD WASTE CHALLENGE  
ALONG WITH THE SUBSEQUENT LAUNCH OF A FOOD WASTE IDEAS LAB MEANT TO  
FACILITATE DESIGN SUPPORT AND KNOWLEDGE EXCHANGE AMONG AN EMERGENT  
COMMUNITY OF PRACTICE OF GLOBAL INNOVATORS ADDRESSING FOOD WASTEDATES OF REPORTS BY GRANTEE

12/29/16, 03/22/17, 04/06/17, 06/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IDEO LP

GRANTEE'S ADDRESS100 FOREST AVENUE  
PALO ALTO, CA 94301

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
119,000.	10/28/16	119,000.

PURPOSE OF GRANT

IN SUPPORT OF CREATING AND RUNNING A "BOOTCAMP" TO HELP CITY LEADERS APPLY DESIGN THINKING IN ORDER TO TRANSFORM ONCE DORMANT CIVIC SPACES INTO THRIVING NEIGHBORHOOD ASSETS THAT BENEFIT LOCAL COMMUNITIES, ESPECIALLY POOR AND VULNERABLE PEOPLE.

DATES OF REPORTS BY GRANTEE

03/23/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
407,295.	11/18/10	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW  
SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN  
SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF  
THE PYRAMID" POPULATIONS IN LATIN AMERICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
117,028.	05/23/11	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW  
SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN  
SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF  
THE PYRAMID" POPULATIONS IN LATIN AMERICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
173,985.	12/31/12	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW  
SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN  
SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF  
THE PYRAMID" POPULATIONS IN LATIN AMERICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,175.	10/30/13	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW  
SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN  
SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF  
THE PYRAMID" POPULATIONS IN LATIN AMERICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
77,221.	11/26/14	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW  
SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN  
SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF  
THE PYRAMID" POPULATIONS IN LATIN AMERICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
14,503.	12/10/15	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF THE PYRAMID" POPULATIONS IN LATIN AMERICA

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

IGNIA PARTNERS, LLC

GRANTEE'S ADDRESSAV. RICARDO MARGAIN 575, PARQUE CORPORATIVO SANTA ENGRACIA  
SAN PEDRO GARZA GARCIA, NL, MEXICO, 66267

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
11,718.	12/06/16	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW  
SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN  
SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR, "BASE OF  
THE PYRAMID" POPULATIONS IN LATIN AMERICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+12/31/2016, 3/31/2017, 5/1/2017, 6/30/2017, 9/30/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IMPACTXDESIGN LLC

GRANTEE'S ADDRESS1120 EUCLID STREET, NW #3  
WASHINGTON, DC 20009

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
140,000.	07/02/15	140,000.

PURPOSE OF GRANTIN SUPPORT OF USING CROWD INTELLIGENCE TO TEST HYPOTHESES, SURFACE  
INNOVATIONS, AND ENGAGE DIVERSE VOICES IN ORDER TO GENERATE SOLUTIONS FOR  
SOCIAL IMPACT NETWORKSDATES OF REPORTS BY GRANTEE

06/09/16, 07/29/16, 09/18/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IMPACTXDESIGN LLC

GRANTEE'S ADDRESS1120 EUCLID STREET, NW #3  
WASHINGTON, DC 20009

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
95,000.	12/03/15	49,200.

PURPOSE OF GRANTIN SUPPORT OF A COMPREHENSIVE STUDY ON THE ROLE OF PERSONAL HEALTH  
TECHNOLOGIES IN ADVANCING HEALTH FOR THE URBAN WORKING POOR. INCOMPLETE  
REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE.DATES OF REPORTS BY GRANTEE

02/15/16, 04/04/16, 04/05/16, 08/02/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

IMPACTXDESIGN LLC

GRANTEE'S ADDRESS1120 EUCLID STREET, NW #3  
WASHINGTON, DC 20009

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
35,000.	04/28/16	0.

PURPOSE OF GRANTIN SUPPORT OF A COMPREHENSIVE STUDY ON THE ROLE OF PERSONAL HEALTH  
TECHNOLOGIES IN ADVANCING HEALTH FOR THE URBAN WORKING POOR. INCOMPLETE  
REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE.DATES OF REPORTS BY GRANTEE

08/02/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INCANDESCENT, LLC

GRANTEE'S ADDRESS27 WEST 24TH STREET  
NEW YORK, NY 10010

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
800,000.	12/11/15	800,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING STRATEGIES TO TEST IMPACT HIRING SOLUTIONS THAT  
IMPROVE AND CREATE EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUTH IN THE  
UNITED STATESDATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 08/12/16, 12/22/16, 01/03/17, 02/20/17, 03/31/17, 05/31/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INCANDESCENT, LLC

GRANTEE'S ADDRESS27 WEST 24TH STREET  
NEW YORK, NY 10010

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
275,000.	05/26/16	275,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING STRATEGIES TO TEST IMPACT HIRING SOLUTIONS THAT  
IMPROVE AND CREATE EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUTH IN THE  
UNITED STATESDATES OF REPORTS BY GRANTEE

08/12/16, 12/22/16, 01/03/17, 02/20/17, 03/31/17, 05/31/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INCANDESCENT, LLC

GRANTEE'S ADDRESS27 WEST 24TH STREET  
NEW YORK, NY 10010

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
637,500.	08/18/16	637,500.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING STRATEGIES TO TEST IMPACT HIRING SOLUTIONS THAT  
IMPROVE AND CREATE EMPLOYMENT OPPORTUNITIES FOR DISADVANTAGED YOUTH IN THE  
UNITED STATESDATES OF REPORTS BY GRANTEE

12/22/16, 01/03/17, 02/20/17, 03/31/17, 05/31/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INNOVATIVE FINANCE FOUNDATION

GRANTEE'S ADDRESS7 AVENUE PICTET-DE-ROCHEMONT  
GENEVA, SWITZERLAND, 1207

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
285,000.	04/26/16	0.

PURPOSE OF GRANT

IN SUPPORT OF THE SCALE-UP OF UNITLIFE, A GLOBAL INNOVATIVE FINANCING MECHANISM TO FIGHT CHRONIC MALNUTRITION USING REVENUE FROM MICRO-LEVIES ON NATURAL RESOURCES, AND DEVELOPING AN OPERATIONAL STRATEGY FOR DEPLOYMENT OF THE CAPITAL IT RAISES

DATES OF REPORTS BY GRANTEE

04/09/17, 05/10/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

INSTITUTE FOR POLICY ALTERNATIVES

GRANTEE'S ADDRESSBOX 541  
TAMALE, GHANAGRANT AMOUNT

80,000.

DATE OF GRANT

12/15/15

AMOUNT EXPENDED

80,000.

PURPOSE OF GRANTTOWARD THE COSTS OF A COLLABORATIVE PROJECT WITH THE UNIVERSITY OF  
WITWATERSRAND TO DEVELOP AND IMPLEMENT AN AFRICA-BASED TRAINING AND SKILLS  
BUILDING COURSE IN MONITORING, EVALUATION AND LEARNING FOR USE IN  
INSTITUTIONS INVOLVED WITH COLLECTIVE ACTION MOVEMENTSDATES OF REPORTS BY GRANTEE

07/18/16, 12/07/16, 02/27/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTE FOR POLICY ALTERNATIVES

GRANTEE'S ADDRESSBOX 541  
TAMALE, GHANA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
20,000.	12/14/16	20,000.

PURPOSE OF GRANTTOWARD THE COSTS OF A COLLABORATIVE PROJECT WITH THE UNIVERSITY OF  
WITWATERSRAND TO DEVELOP AND IMPLEMENT AN AFRICA-BASED TRAINING AND SKILLS  
BUILDING COURSE IN MONITORING, EVALUATION AND LEARNING FOR USE IN  
INSTITUTIONS INVOLVED WITH COLLECTIVE ACTION MOVEMENTSDATES OF REPORTS BY GRANTEE

02/27/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INSTITUTE OF CHARTERED ACCOUNTANTS IN ENGLAND AND WALES

GRANTEE'S ADDRESSCHARTERED ACCOUNTANTS' HALL MOORGATE PLACE  
LONDON, UNITED KINGDOM, EC2R 6EA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
490,000.	12/17/15	390,041.

PURPOSE OF GRANTFOR USE BY ITS PROJECT, THE NATURAL CAPITAL COALITION, IN SUPPORT OF  
STRENGTHENING THE NATURAL CAPITAL COMMUNITY BY STANDARDIZING A FRAMEWORK  
FOR BUSINESSES TO MEASURE AND VALUE THEIR DIRECT AND INDIRECT IMPACTS AND  
DEPENDENCIES ON NATURAL RESOURCESDATES OF REPORTS BY GRANTEE

07/25/16, 02/27/17, 06/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

GRANTEE'S ADDRESS10TH FLOOR, UNIT NO. A1002, A BLOCK  
HYDERABAD, INDIA, 500 032

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
130,000.	06/02/15	130,000.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC  
KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED  
TO POVERTY REDUCTION IN THE GLOBAL SOUTHDATES OF REPORTS BY GRANTEE

12/01/15, 01/07/16, 02/09/16, 07/01/16, 11/18/16, 03/31/17, 04/17/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

GRANTEE'S ADDRESS10TH FLOOR, UNIT NO. A1002, A BLOCK  
HYDERABAD, INDIA, 500 032

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
130,000.	03/17/16	91,400.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING RESEARCH PRODUCTS THAT WILL ENHANCE THE STRATEGIC  
KNOWLEDGE BASE OF THE INTERNATIONAL DEVELOPMENT COMMUNITY ON TOPICS RELATED  
TO RESILIENCE AND INCLUSIVE ECONOMIES IN THE GLOBAL SOUTHDATES OF REPORTS BY GRANTEE

01/06/17, 04/17/17, 07/05/17, 07/17/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INTELLECAP ADVISORY SERVICES PRIVATE LIMITED

GRANTEE'S ADDRESS10TH FLOOR, UNIT NO. A1002, A BLOCK  
HYDERABAD, INDIA, 500 032GRANT AMOUNT

30,000.

DATE OF GRANT

04/28/16

AMOUNT EXPENDED

30,000.

PURPOSE OF GRANTTOWARD THE COSTS OF THE "2016 SANKALP GLOBAL SUMMIT: INNOVATIONS FOR THE  
NEXT 3 BILLION," TO BE HELD IN MUMBAI, INDIA, APRIL 2016DATES OF REPORTS BY GRANTEE

09/27/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INTELLECAP INC.

GRANTEE'S ADDRESS262 VENTURA AVENUE  
PALO ALTO, CA 94306GRANT AMOUNT

80,000.

DATE OF GRANT

09/10/14

AMOUNT EXPENDED

80,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO CATALYZE DEMONSTRATIONS OF PROOF OF CONCEPT FOR  
BUSINESS MODELS THAT BUILD URBAN CLIMATE CHANGE RESILIENCE (UCCR) IN INDIA  
AND, POTENTIALLY, OTHER ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK  
(ACCCRN) COUNTRIESDATES OF REPORTS BY GRANTEE

03/09/15, 06/12/15, 03/18/16, 08/26/16, 03/02/17, 05/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

INTELLECAP INC.

GRANTEE'S ADDRESS262 VENTURA AVENUE  
PALO ALTO, CA 94306

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
12,925.	09/10/15	12,925.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO CATALYZE DEMONSTRATIONS OF PROOF OF CONCEPT FOR BUSINESS MODELS THAT BUILD URBAN CLIMATE CHANGE RESILIENCE (UCCR) IN INDIA AND, POTENTIALLY, OTHER ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK (ACCCRN) COUNTRIES

DATES OF REPORTS BY GRANTEE

03/18/16, 08/26/16, 03/02/17, 05/01/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ET

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
24,250.	07/09/14	24,250.

PURPOSE OF GRANTIN SUPPORT OF ITS CENTRE FOR DEVELOPMENT IMPACT TO EXTEND THE REACH AND  
BENEFITS OF ITS WORK ON IMPACT EVALUATION, AND INFORMATION AND  
COMMUNICATIONS TECHNOLOGY FOR MONITORING AND EVALUATIONDATES OF REPORTS BY GRANTEE

04/30/15, 06/16/16, 01/23/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ETGRANT AMOUNT

40,000.

DATE OF GRANT

12/03/14

AMOUNT EXPENDED

40,000.

PURPOSE OF GRANT

IN SUPPORT OF STRENGTHENING THE CAPACITY OF THE FOUNDATION, GRANTEES, AND PARTNERS TO INFUSE AND INTEGRATE RESILIENCE THINKING INTO THEIR WORK AND TO CREATE AN ENABLING ENVIRONMENT FOR PARTNERS AND GRANTEES TO BETTER UNDERSTAND HOW TO USE RESILIENCE IN PLANNING PROGRAMS

DATES OF REPORTS BY GRANTEE

04/22/15, 05/27/16, 09/11/17, 10/03/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ET

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,338.	05/28/15	1,338.

PURPOSE OF GRANTIN SUPPORT OF ITS CENTRE FOR DEVELOPMENT IMPACT TO EXTEND THE REACH AND  
BENEFITS OF ITS WORK ON IMPACT EVALUATION, AND INFORMATION AND  
COMMUNICATIONS TECHNOLOGY FOR MONITORING AND EVALUATIONDATES OF REPORTS BY GRANTEE

06/16/16, 01/23/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ET

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
48,250.	06/12/15	48,250.

PURPOSE OF GRANT

IN SUPPORT OF STRENGTHENING THE CAPACITY OF THE FOUNDATION, GRANTEES, AND PARTNERS TO INFUSE AND INTEGRATE RESILIENCE THINKING INTO THEIR WORK AND TO CREATE AN ENABLING ENVIRONMENT FOR PARTNERS AND GRANTEES TO BETTER UNDERSTAND HOW TO USE RESILIENCE IN PLANNING PROGRAMS

DATES OF REPORTS BY GRANTEE

05/27/16, 09/11/17, 10/03/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ET

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	10/29/15	150,000.

PURPOSE OF GRANT

IN SUPPORT OF ENGAGING DEVELOPMENT EVALUATION LEADERS TO PROVIDE PRACTICAL ASSISTANCE TO ROCKEFELLER FOUNDATION INITIATIVE TEAMS, KEY GRANTEES AND PARTNERS, TO BETTER PLAN, ARTICULATE OUTCOMES AND IMPACT, MONITOR, EVALUATE AND REPORT ON THEIR RESULTS

DATES OF REPORTS BY GRANTEE

06/16/16, 08/02/16, 09/02/16, 06/26/17, 07/14/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ETGRANT AMOUNT

200,000.

DATE OF GRANT

05/10/16

AMOUNT EXPENDED

108,050.

PURPOSE OF GRANT

IN SUPPORT OF ENGAGING DEVELOPMENT EVALUATION LEADERS TO PROVIDE PRACTICAL ASSISTANCE TO ROCKEFELLER FOUNDATION GRANTEES, PARTNERS, AND INITIATIVE TEAMS IN ORDER TO BETTER PLAN, ARTICULATE OUTCOMES, AND IMPACT, MONITOR, EVALUATE AND REPORT ON RESULTS

DATES OF REPORTS BY GRANTEE

01/31/17, 06/22/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ITAD LIMITED

GRANTEE'S ADDRESS12 ENGLISH BUSINESS PARK  
HOVE, UNITED KINGDOM, BN3 7ETGRANT AMOUNT

50,000.

DATE OF GRANT

08/12/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANT

IN SUPPORT OF ENGAGING DEVELOPMENT EVALUATION LEADERS TO PROVIDE PRACTICAL ASSISTANCE TO ROCKEFELLER FOUNDATION INITIATIVE TEAMS, KEY GRANTEES AND PARTNERS, TO BETTER PLAN, ARTICULATE OUTCOMES AND IMPACT, MONITOR, EVALUATE AND REPORT ON THEIR RESULTS

DATES OF REPORTS BY GRANTEE

09/02/16, 06/26/17, 07/14/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

JUHUDI KILIMO COMPANY LIMITED

GRANTEE'S ADDRESS7TH FLOOR, K-REP CENTRE, WOOD AVENUE  
NAIROBI, KENYA, 00100

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
350,000.	06/05/12	350,000.

PURPOSE OF GRANT

PAYMENT TOWARD A \$750,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN THE UNDERSERVED RURAL SUB-SECTOR IN KENYA BY PROVIDING CAPITAL FOR RURAL MICRO-ASSET FINANCING LOANS TO ASSIST SMALLHOLDER FARMERS IN ACQUIRING PRODUCTIVE ASSETS; \$350,000 PRINCIPAL REPAYED

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/15/2017, 06/01/2017, 06/30/2017, 08/15/2017, 08/31/2017

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

JUHUDI KILIMO COMPANY LIMITED

GRANTEE'S ADDRESS7TH FLOOR, K-REP CENTRE, WOOD AVENUE  
NAIROBI, KENYA, 00100

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
395,628.	10/16/13	164,626.

PURPOSE OF GRANT

PAYMENT TOWARD A \$750,000 PROGRAM-RELATED INVESTMENT LOAN TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN THE UNDERSERVED RURAL SUB-SECTOR IN KENYA BY PROVIDING CAPITAL FOR RURAL MICRO-ASSET FINANCING LOANS TO ASSIST SMALLHOLDER FARMERS IN ACQUIRING PRODUCTIVE ASSETS; \$164,626 PRINCIPAL REPAYED

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 05/15/2017, 06/1/2017, 06/30/2017, 08/15/2017, 08/31/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KKS ADVISORS LLC

GRANTEE'S ADDRESS559 EAST 2ND STREET, UNIT 3  
BOSTON, MA 02127GRANT AMOUNT

268,410.

DATE OF GRANT

06/28/16

AMOUNT EXPENDED

116,960.

PURPOSE OF GRANTIN SUPPORT OF PROVIDING ANALYSIS AND STRATEGIC SUPPORT TO ENABLE THE  
ROCKEFELLER FOUNDATION'S REVALUE ECOSYSTEMS GRANTEES TO OPTIMIZE THE  
POSITIONING OF AND INFLUENCE FROM THEIR EFFORTSDATES OF REPORTS BY GRANTEE

08/22/16, 10/15/16, 11/15/16, 01/15/17, 03/17/17, 05/09/17, 07/11/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KNACK.IT CORP.

GRANTEE'S ADDRESSP.O. BOX 591316  
SAN FRANCISCO, CA 94159

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
900,000.	12/22/15	89,695.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING TECHNOLOGY  
AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED STATES, SOUTH AFRICA,  
AND INDIADATES OF REPORTS BY GRANTEE

02/29/16, 08/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KNACK.IT CORP.

GRANTEE'S ADDRESSP.O. BOX 591316  
SAN FRANCISCO, CA 94159

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
90,000.	05/26/16	0.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO DEMONSTRATE INNOVATIVE JOB-MATCHING TECHNOLOGY  
AND CONNECT DISADVANTAGED YOUTH TO JOBS IN THE UNITED STATES, SOUTH AFRICA,  
AND INDIADATES OF REPORTS BY GRANTEE

08/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KORN/FERRY INTERNATIONAL

GRANTEE'S ADDRESS1900 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
498,700.	12/20/16	183,585.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING AND DISSEMINATING RESEARCH AND ANALYSIS TO  
IDENTIFY BETTER, TACTICAL WAYS TO PROMOTE GENDER EQUITY IN THE CORPORATE  
ENVIRONMENTDATES OF REPORTS BY GRANTEE

07/10/17, 09/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KPMG EAST AFRICA LIMITED

GRANTEE'S ADDRESSPO BOX 1130  
PORT LOUIS, MAURITIUS

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,500,000.	10/22/15	1,500,000.

PURPOSE OF GRANT

IN SUPPORT OF MOBILIZING GLOBAL AND REGIONAL OPERATIONAL FUNCTIONS FOR THE GLOBAL RESILIENCE PARTNERSHIP IN ORDER TO ACHIEVE ITS OBJECTIVES OF SURFACING LOCALLY DRIVEN, HIGH-IMPACT INNOVATIVE SOLUTIONS TO EMPOWER PEOPLE IN THE SAHEL, HORN OF AFRICA, SOUTH AND SOUTHEAST ASIA TO INCREASE THEIR RESILIENCE

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 4/30/17, 5/31/17, 6/30/17, 7/31/17, 8/29/17, 9/29/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KPMG EAST AFRICA LIMITED

GRANTEE'S ADDRESSPO BOX 1130  
PORT LOUIS, MAURITIUS

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
3,000,000.	10/06/16	1,347,237.

PURPOSE OF GRANT

IN SUPPORT OF MOBILIZING GLOBAL AND REGIONAL OPERATIONAL FUNCTIONS FOR THE GLOBAL RESILIENCE PARTNERSHIP IN ORDER TO ACHIEVE ITS OBJECTIVES OF SURFACING LOCALLY DRIVEN, HIGH-IMPACT INNOVATIVE SOLUTIONS TO EMPOWER PEOPLE IN THE SAHEL, HORN OF AFRICA, SOUTH AND SOUTHEAST ASIA TO INCREASE THEIR RESILIENCE. INCOMPLETE REPORTING: NO FURTHER FUNDING WILL BE PROVIDED TO THIS GRANTEE.

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 4/30/17, 5/31/17, 6/30/17, 7/31/17, 8/29/17, 9/29/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

KYNE COMMUNICATIONS, INC.

GRANTEE'S ADDRESS252 W. 37TH STREET, SUITE 500E  
NEW YORK, NY 10018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	12/09/16	31,316.

PURPOSE OF GRANTIN SUPPORT OF ACTIVITIES TO RAISE AWARENESS, UNDERSTANDING, AND ACCEPTANCE  
OF PLANETARY HEALTH, INCLUDING THE ECONOMIC BENEFITS OF POLICIES THAT  
ADDRESS ENVIRONMENTAL SUSTAINABILITY TOGETHER WITH HUMAN HEALTH AND  
DEVELOPMENT GOALSDATES OF REPORTS BY GRANTEE

03/22/17, 04/10/17, 06/14/17, 10/11/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

LEADERS' QUEST, LTD.

GRANTEE'S ADDRESS11-13 WORPLE WAY, RICHMOND  
SURREY, UNITED KINGDOM, TW10 6DG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
332,558.	11/04/13	332,558.

PURPOSE OF GRANTIN SUPPORT OF ORGANIZING AND CONVENING THOUGHT LEADERS AND DOMAIN EXPERTS  
TO SHARE IDEAS, SURFACE INNOVATIONS, TEST EMERGING THINKING ON STRATEGY,  
AND ACTIVATE NEW RELATIONSHIPS AROUND WASTE AND SPOILAGE IN THE FOOD CHAIN,  
AND THE HEALTH VULNERABILITIES OF INFORMAL WORKERSDATES OF REPORTS BY GRANTEE

02/18/14, 12/12/14, 01/23/15, 05/26/15, 11/06/15, 07/18/16, 06/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LEADERS' QUEST, LTD.

GRANTEE'S ADDRESS11-13 WORPLE WAY, RICHMOND  
SURREY, UNITED KINGDOM, TW10 6DG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
55,426.	12/13/14	55,426.

PURPOSE OF GRANTIN SUPPORT OF ORGANIZING AND CONVENING THOUGHT LEADERS AND DOMAIN EXPERTS  
TO SHARE IDEAS, SURFACE INNOVATIONS, TEST EMERGING THINKING ON STRATEGY,  
AND ACTIVATE NEW RELATIONSHIPS AROUND WASTE AND SPOILAGE IN THE FOOD CHAIN,  
AND THE HEALTH VULNERABILITIES OF INFORMAL WORKERSDATES OF REPORTS BY GRANTEE

01/23/15, 05/26/15, 11/06/15, 07/18/16, 06/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LEADERS' QUEST, LTD.

GRANTEE'S ADDRESS11-13 WORPLE WAY, RICHMOND  
SURREY, UNITED KINGDOM, TW10 6DG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
123,056.	11/25/15	12,325.

PURPOSE OF GRANTIN SUPPORT OF ORGANIZING AND CONVENING THOUGHT LEADERS AND DOMAIN EXPERTS  
TO SHARE IDEAS, SURFACE INNOVATIONS, TEST EMERGING THINKING ON STRATEGY,  
AND ACTIVATE NEW RELATIONSHIPS AROUND WASTE AND SPOILAGE IN THE FOOD CHAIN,  
AND THE HEALTH VULNERABILITIES OF INFORMAL WORKERSDATES OF REPORTS BY GRANTEE

07/18/16, 06/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LINA SRIVASTAVA CONSULTING LLC

GRANTEE'S ADDRESS300 EAST 33RD STREET, NO. 6P  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
40,000.	05/14/14	34,459.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO CAPTURE AND COMMUNICATE THE INSIGHTS AND LESSONS  
OF CHANGE LEADERS IN DEVELOPMENT AND MARKET-BASED SOLUTIONS, IN ORDER TO  
INSPIRE, EDUCATE AND MOTIVATE OTHER LEADERSDATES OF REPORTS BY GRANTEE

04/15/15, 05/11/15, 02/29/16, 03/02/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LINA SRIVASTAVA CONSULTING LLC

GRANTEE'S ADDRESS300 EAST 33RD STREET, NO. 6P  
NEW YORK, NY 10016

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
40,000.	05/28/15	0.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO CAPTURE AND COMMUNICATE THE INSIGHTS AND LESSONS  
OF CHANGE LEADERS IN DEVELOPMENT AND MARKET-BASED SOLUTIONS, IN ORDER TO  
INSPIRE, EDUCATE AND MOTIVATE OTHER LEADERSDATES OF REPORTS BY GRANTEE

02/29/16, 03/02/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LION'S HEAD GLOBAL PARTNERS LLP

GRANTEE'S ADDRESS154 BUCKINGHAM PALACE ROAD  
LONDON, UNITED KINGDOM, SW1W 9TR

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
300,000.	05/31/16	300,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A NEW FINANCIAL MECHANISM FOR ADDRESSING THE NEEDS  
OF SYRIAN REFUGEESDATES OF REPORTS BY GRANTEE

08/17/16, 04/18/17, 04/21/17, 07/28/17, 09/06/17, 09/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LION'S HEAD GLOBAL PARTNERS LLP

GRANTEE'S ADDRESS154 BUCKINGHAM PALACE ROAD  
LONDON, UNITED KINGDOM, SW1W 9TR

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	08/01/16	143,483.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING GREEN COUPONS TO QUANTIFY THE CARBON IMPACT OF  
PROJECTS FINANCED THROUGH GREEN BONDSDATES OF REPORTS BY GRANTEE

08/01/16, 12/13/16, 05/02/17, 05/22/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LIVELIHOOD IMPACT FUND, L.P.

GRANTEE'S ADDRESS637 NATOMA STREET #2  
SAN FRANCISCO, CA 94103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
800,015.	12/18/15	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT IMPROVING THE LIVELIHOODS OF THE WORKING POOR BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES IN SOUTHEAST ASIA AND INDIA, FOCUSING ON SUPPLY CHAIN, DISTRIBUTION, GENERAL BUSINESS SERVICES AND OTHER INDUSTRIES THAT REDUCE WASTE AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION AMONGST THE WORKING POOR

DATES OF REPORTS BY GRANTEE

3/31/16, 4/21/16, 5/20/16, 8/30/16, 4/28/17, 8/30/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

LIVELIHOOD IMPACT FUND, L.P.

GRANTEE'S ADDRESS637 NATOMA STREET #2  
SAN FRANCISCO, CA 94103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
352,066.	05/16/16	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$2,000,000 PROGRAM-RELATED INVESTMENT TO SUPPORT IMPROVING THE LIVELIHOODS OF THE WORKING POOR BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES IN SOUTHEAST ASIA AND INDIA, FOCUSING ON SUPPLY CHAIN, DISTRIBUTION, GENERAL BUSINESS SERVICES AND OTHER INDUSTRIES THAT REDUCE WASTE AND CATALYZE INCREASED INCOME LEVELS AND JOB CREATION AMONGST THE WORKING POOR

DATES OF REPORTS BY GRANTEE

3/31/16, 4/21/16, 5/20/16, 8/30/16, 4/28/17, 8/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

LOW DESIGN OFFICE, LLC

GRANTEE'S ADDRESS215 BEAUREGARD STREET  
SAN ANTONIO, TX 78204

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
25,000.	05/12/16	25,000.

PURPOSE OF GRANT

FOR USE BY ITS PROJECT, AGBOGBLOSHIE MAKERSPACE PLATFORM, IN SUPPORT OF THE DESIGN, TESTING AND IMPLEMENTATION OF A PHYSICAL AND DIGITAL PLATFORM THAT WOULD ENABLE YOUNG E-WASTE WORKERS IN GHANA TO COLLABORATIVELY CREATE TOOLS TO TRANSFORM E-WASTE MATERIALS AND RECYCLABLE GOODS INTO PRODUCTS THAT COULD BE SOLD FOR HIGHER VALUE

DATES OF REPORTS BY GRANTEE

10/23/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MAKING CENTS INTERNATIONAL

GRANTEE'S ADDRESS1350 CONNECTICUT AVE., N.W.  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
194,926.	06/28/16	194,926.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING, SHARING AND SCALING BEST-IN-CLASS MODELS OF  
DEMAND DRIVEN TRAINING IN SOUTH AFRICADATES OF REPORTS BY GRANTEE

10/31/16, 11/23/16, 12/02/16, 02/28/17, 07/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MAKING CENTS INTERNATIONAL

GRANTEE'S ADDRESS1350 CONNECTICUT AVE., N.W.  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
162,436.	12/20/16	106,575.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING, SHARING AND SCALING BEST-IN-CLASS MODELS OF  
DEMAND DRIVEN TRAINING IN SOUTH AFRICADATES OF REPORTS BY GRANTEE

02/28/17, 07/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS600 ALEXANDER PARK  
PRINCETON, NJ 08540

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
185,000.	07/31/15	185,000.

PURPOSE OF GRANTFOR USE BY ITS CENTER FOR INTERNATIONAL POLICY AND PROGRAM EVALUATION IN  
SUPPORT OF PRODUCING TWO CASE STUDIES AND CONDUCTING A FINAL EVALUATION OF  
THE FOUNDATION'S TRANSFORMING HEALTH SYSTEMS INITIATIVEDATES OF REPORTS BY GRANTEE

12/16/15, 03/16/16, 03/17/16, 12/19/16, 06/28/17, 08/21/17, 09/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MATTER SOLUTIONS LTD.

GRANTEE'S ADDRESSPALLADIUM HOUSE  
LONDON, UNITED KINGDOM, W1F 7LD

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	11/05/15	200,000.

PURPOSE OF GRANTIN SUPPORT OF CONVENING A HIGH-PROFILE WORKSHOP IN ORDER TO ACCELERATE THE  
ABILITY OF THE SOCIAL SECTOR TO UTILIZE INNOVATIVE TOOLS THAT STRENGTHEN  
SOCIAL IMPACTDATES OF REPORTS BY GRANTEE

02/01/16, 03/10/16, 07/26/16, 12/05/16, 03/27/17, 04/06/17, 08/20/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MATTER SOLUTIONS LTD.

GRANTEE'S ADDRESSPALLADIUM HOUSE  
LONDON, UNITED KINGDOM, W1F 7LD

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	08/10/16	100,000.

PURPOSE OF GRANTIN SUPPORT OF CONVENING A HIGH-PROFILE WORKSHOP IN ORDER TO ACCELERATE THE  
ABILITY OF THE SOCIAL SECTOR TO UTILIZE INNOVATIVE TOOLS THAT STRENGTHEN  
SOCIAL IMPACTDATES OF REPORTS BY GRANTEE

12/05/16, 03/27/17, 04/06/17, 08/20/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MATTER SOLUTIONS LTD.

GRANTEE'S ADDRESSPALLADIUM HOUSE  
LONDON, UNITED KINGDOM, W1F 7LD

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
62,858.	12/01/16	62,858.

PURPOSE OF GRANTIN SUPPORT OF CONVENING A HIGH-PROFILE WORKSHOP IN ORDER TO ACCELERATE THE  
ABILITY OF THE SOCIAL SECTOR TO UTILIZE INNOVATIVE TOOLS THAT STRENGTHEN  
SOCIAL IMPACTDATES OF REPORTS BY GRANTEE

12/05/16, 03/27/17, 04/06/17, 08/20/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

MATTER UNLIMITED LLC

GRANTEE'S ADDRESS175 VARICK STREET, 8TH FLOOR  
NEW YORK, NY 10014

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
320,000.	12/02/16	320,000.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING A VIRTUAL REALITY FILM AS PART OF ITS "INSIDE  
IMPACT" SERIES TO SHOWCASE THE FOUNDATION'S SMART POWER FOR RURAL  
DEVELOPMENT INITIATIVE IN ORDER TO ATTRACT ATTENTION FROM KEY STAKEHOLDERS,  
INCLUDING THE PRIVATE SECTORDATES OF REPORTS BY GRANTEE

04/17/17, 05/01/17, 05/02/17, 05/15/17, 07/28/17, 08/22/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MAXTUDIO, SNC

GRANTEE'S ADDRESSPIAZZA MEUCCI 23  
ROME, ITALY, 00146

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	12/07/14	100,000.

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATION'S GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY

DATES OF REPORTS BY GRANTEE

03/05/15, 10/28/15, 02/11/16, 08/10/16, 04/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MAXTUDIO, SNC

GRANTEE'S ADDRESSPIAZZA MEUCCI 23  
ROME, ITALY, 00146

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
25,000.	11/05/15	25,000.

PURPOSE OF GRANT

IN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATION'S GRANTEES AND PARTNERS IN DEVELOPMENT AND PHILANTHROPY

DATES OF REPORTS BY GRANTEE

02/11/16, 08/10/16, 04/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MAXTUDIO, SNC

GRANTEE'S ADDRESSPIAZZA MEUCCI 23  
ROME, ITALY, 146GRANT AMOUNT

100,000.

DATE OF GRANT

05/24/16

AMOUNT EXPENDED

53,387.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON MONITORING AND  
EVALUATION OF THE FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING  
PRACTICE AND THOUGHT LEADERSHIP AMONG THE FOUNDATION'S GRANTEES AND  
PARTNERS IN DEVELOPMENT AND PHILANTHROPYDATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 03/02/17, 03/13/17, 03/16/17, 05/09/17, 05/26/17, 09/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MAXTUDIO, SNC

GRANTEE'S ADDRESSPIAZZA MEUCCI 23  
ROME, ITALY, 146GRANT AMOUNT

25,000.

DATE OF GRANT

08/18/16

AMOUNT EXPENDED

25,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING KNOWLEDGE PRODUCTS BASED ON EVALUATIONS OF THE  
FOUNDATION'S INITIATIVES IN ORDER TO SUPPORT LEARNING PRACTICE AND THOUGHT  
LEADERSHIP AMONG THE FOUNDATION'S GRANTEES AND PARTNERS IN DEVELOPMENT AND  
PHILANTHROPYDATES OF REPORTS BY GRANTEE

04/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MCKINSEY &amp; COMPANY, INC.

GRANTEE'S ADDRESS55 EAST, 52ND STREET  
NEW YORK, NY 10022

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	07/07/16	400,000.

PURPOSE OF GRANTFOR USE BY ITS INDIA OFFICE TOWARD THE COSTS OF PRODUCING RESEARCH PRODUCTS  
THAT BUILD THE STRATEGIC KNOWLEDGE BASE OF THE SOCIAL SECTOR ON FOOD LOSS  
AND WASTE IN SOUTH AND SOUTHEAST ASIADATES OF REPORTS BY GRANTEE

09/30/16, 11/28/16, 11/29/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MCKINSEY &amp; COMPANY, INC.

GRANTEE'S ADDRESS55 EAST, 52ND STREET  
NEW YORK, NY 10022GRANT AMOUNT

35,000.

DATE OF GRANT

12/09/16

AMOUNT EXPENDED

35,000.

PURPOSE OF GRANTFOR USE BY ITS INDIA OFFICE TOWARD THE COSTS OF PRODUCING RESEARCH PRODUCTS  
THAT BUILD THE STRATEGIC KNOWLEDGE BASE OF THE SOCIAL SECTOR ON FOOD LOSS  
AND WASTE IN SOUTH AND SOUTHEAST ASIADATES OF REPORTS BY GRANTEE

11/28/2016, 11/29/2016

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MCKINSEY AND COMPANY AFRICA (PTY) LTD

GRANTEE'S ADDRESS88 STELLA STREET, SANDOWN MEWS EAST  
SANDTON, SOUTH AFRICA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
529,960.	11/03/15	529,960.

PURPOSE OF GRANTTOWARD THE COSTS OF DESIGNING THE FINANCIAL INCLUSION FUNDING FACILITY, AN  
EFFORT TO SPUR FINANCIAL INCLUSION ACROSS AFRICA, BY COORDINATING  
INVESTMENTS AND TECHNICAL ASSISTANCE BY COMMERCIAL INSTITUTIONS,  
PHILANTHROPIC ORGANIZATIONS, GOVERNMENTS AND DEVELOPMENT AID AGENCIESDATES OF REPORTS BY GRANTEE

11/23/15, 04/08/16, 07/29/16, 12/02/16, 12/15/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

MILAN INNOVINCY B.V.

GRANTEE'S ADDRESSKAPTEYNSTRAAT 1  
NOORDWIJK, NETHERLANDS, 2201BB

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
244,600.	12/19/16	0.

PURPOSE OF GRANTIN SUPPORT OF COLLECTING AND USING GEOGRAPHIC DATA TO PROVIDE INFORMATION  
TO ENHANCE DECISION MAKING FOR STAKEHOLDERS WORKING TO REDUCE POSTHARVEST  
LOSS IN MANGO AND MAIZE VALUE CHAINS IN KENYA AND TANZANIADATES OF REPORTS BY GRANTEE

08/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MISSION HUB LLC

GRANTEE'S ADDRESS901 MISSION STREET., SUITE 105  
SAN FRANCISCO, CA 94103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
47,000.	10/20/16	47,000.

PURPOSE OF GRANTIN SUPPORT OF THE PROMOTION AND DELIVERY OF A SPECIAL WORKSHOP STREAM ON  
SOCIAL IMPACT MEASUREMENT AND EVALUATION AT THE SOCIAL CAPITAL MARKETS  
CONFERENCE (SOCAP) TO BE HELD IN SAN FRANCISCO SEPTEMBER, 2016DATES OF REPORTS BY GRANTEE

04/17/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MONITOR GOVERNMENT VENTURE SERVICES, LLC

GRANTEE'S ADDRESS444 SPEAR STREET  
SAN FRANCISCO, CA 94105

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	07/07/16	75,000.

PURPOSE OF GRANTIN SUPPORT OF USING DATA SCIENCE TOOLS TO IDENTIFY SIGNALS OF DYNAMISM  
AROUND THE PROBLEMS AFFECTING POOR OR VULNERABLE PEOPLEDATES OF REPORTS BY GRANTEE

08/15/16, 09/28/16, 10/06/16, 03/31/17, 06/19/17, 08/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

MONITOR GOVERNMENT VENTURE SERVICES, LLC

GRANTEE'S ADDRESS444 SPEAR STREET  
SAN FRANCISCO, CA 94105

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	10/20/16	47,413.

PURPOSE OF GRANTIN SUPPORT OF USING DATA SCIENCE TOOLS TO IDENTIFY SIGNALS OF DYNAMISM  
AROUND THE PROBLEMS AFFECTING POOR OR VULNERABLE PEOPLEDATES OF REPORTS BY GRANTEE

03/31/17, 06/19/17, 08/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

NEW YORK ACQUISITION FUND, LLC

GRANTEE'S ADDRESS588 BROADWAY, SUITE 1103  
NEW YORK, NY 10012

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,000,000.	09/15/06	1,000,000.

PURPOSE OF GRANT

PAYMENT IN FULL OF A \$5,000,000 PROGRAM-RELATED INVESTMENT TO CAPITALIZE THE NEW YORK CITY ACQUISITION LOAN FUND, LLC, WHICH WILL ACCELERATE AND INCREASE THE DEVELOPMENT OF AFFORDABLE HOUSING IN NEW YORK CITY. AMOUNT EXPENDED REFLECTS A RETURN OF PRINCIPAL FOR LOAN GUARANTEE.

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/30/2016, 3/1/2017, 5/31/2017, 8/31/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

NEW YORK TIMES COMPANY

GRANTEE'S ADDRESS620 EIGHTH AVENUE  
NEW YORK, NY 10036-3959

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
325,000.	07/22/16	325,000.

PURPOSE OF GRANTTOWARD THE COSTS OF ITS ANNUAL CITIES OF TOMORROW CONFERENCE SERIES, IN  
ORDER TO ELEVATE THE DISCUSSION REGARDING THE CRUCIAL NEED FOR RESILIENT  
AND INCLUSIVE CITIESDATES OF REPORTS BY GRANTEE

04/24/17, 04/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

OGILVY PUBLIC RELATIONS WORLDWIDE INC.

GRANTEE'S ADDRESS636 11TH AVENUE  
NEW YORK, NY 10036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	11/02/16	28,695.

PURPOSE OF GRANT

IN SUPPORT OF PROVIDING COMMUNICATIONS SUPPORT FOR THE INFLUENCE OBJECTIVES OF THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, TO INFORM NEW AUDIENCES ABOUT THE RESIDENCY AND CONFERENCE OPPORTUNITIES, INCREASE AWARENESS OF THE FOUNDATION'S STRATEGIC GOALS AMONGST PARTICIPANTS, AND ELEVATE THE WORK ADVANCED BY PARTICIPANTS, PARTICULARLY THOSE WORKING TO BUILD RESILIENCE AND MORE INCLUSIVE ECONOMIES

DATES OF REPORTS BY GRANTEE

03/03/17, 03/31/17, 06/20/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ONFRONTIERS INC.

GRANTEE'S ADDRESS1407 BROADWAY  
NEW YORK, NY 10018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	12/09/16	76,042.

PURPOSE OF GRANTIN SUPPORT OF NETWORK-BUILDING ACTIVITIES FOR "ZILIENT," A RESILIENCE  
ONLINE PUBLISHING AND KNOWLEDGE-SHARING PLATFORMDATES OF REPORTS BY GRANTEE

01/12/17, 03/17/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

OPENMRS1, LIMITED

GRANTEE'S ADDRESS410 WEST 10TH ST., SUITE 2000  
INDIANAPOLIS, IN 46202GRANT AMOUNT

100,000.

DATE OF GRANT

12/19/13

AMOUNT EXPENDED

70,979.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A GLOBAL OPEN SOURCE PLATFORM FOR ELECTRONIC  
PATIENT RECORD SYSTEMS IN DEVELOPING AND MIDDLE INCOME COUNTRIES AND  
FOSTERING A COMMUNITY OF SOFTWARE DEVELOPERSDATES OF REPORTS BY GRANTEE

12/19/13, 12/15/14, 12/08/15, 12/18/15

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ORGANIZATIONAL RESEARCH SERVICES, INC.

GRANTEE'S ADDRESS1100 OLIVE WAY  
SEATTLE, WA 98101

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
38,000.	09/20/16	38,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING A MARKET LANDSCAPE OF CURRENT SOCIAL IMPACT  
MEASUREMENT AND EVALUATION APPROACHES AND SHARING THE FINDINGS IN ORDER TO  
STRENGTHEN THE EVIDENCE BASE FOR IMPACT INVESTMENTS AND MARKET-BASED  
SOLUTIONSDATES OF REPORTS BY GRANTEE

11/02/16, 01/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ORGANIZATIONAL RESEARCH SERVICES, INC.

GRANTEE'S ADDRESS1100 OLIVE WAY  
SEATTLE, WA 98101GRANT AMOUNT

20,000.

DATE OF GRANT

12/02/16

AMOUNT EXPENDED

20,000.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING A MARKET LANDSCAPE OF CURRENT SOCIAL IMPACT  
MEASUREMENT AND EVALUATION APPROACHES AND SHARING THE FINDINGS IN ORDER TO  
STRENGTHEN THE EVIDENCE BASE FOR IMPACT INVESTMENTS AND MARKET-BASED  
SOLUTIONSDATES OF REPORTS BY GRANTEE

01/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

OVE ARUP &amp; PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS13 FITZROY STREET  
LONDON, UNITED KINGDOM, W1T 4BQ

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
666,000.	09/20/16	324,789.

PURPOSE OF GRANTIN SUPPORT OF ENABLING CITIES TO USE THE CITY RESILIENCE INDEX TO ASSESS  
THEIR RESILIENCE THROUGH DIRECT ENGAGEMENT, TECHNICAL SUPPORT AND GUIDING  
MATERIALSDATES OF REPORTS BY GRANTEE

12/23/16, 02/20/17, 06/14/17, 07/07/17, 09/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PAMIGA FINANCE SA

GRANTEE'S ADDRESS7 RUE TAYLOR  
PARIS, FRANCE, 75010GRANT AMOUNT

500,000.

DATE OF GRANT

05/25/16

AMOUNT EXPENDED

0.

PURPOSE OF GRANTPAYMENT TOWARD A \$1,500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT THE  
GENERAL EXPANSION OF MICROFINANCE INSTITUTIONS AND OTHER NON-BANK FINANCE  
INSTITUTIONS LENDING TO BASE OF THE PYRAMID POPULATIONS IN RURAL AFRICA, BY  
FACILITATING MICROLOANS FINANCING ACCESS TO SOLAR ENERGY AND WATER AS WELL  
AS FEMALE ENTREPRENEURS IN RURAL AFRICADATES OF REPORTS BY GRANTEE

PRIOR REPORTS+11/15/2017, 02/15/2017, 03/31/2017, 05/15/2017, 08/15/2017

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PAMOJA MEDIA EAST AFRICA LIMITED

GRANTEE'S ADDRESS#4 KINDARUMA LANE, OFF NGONG ROAD  
NAIROBI, KENYAGRANT AMOUNT

61,000.

DATE OF GRANT

02/26/14

AMOUNT EXPENDED

61,000.

PURPOSE OF GRANTIN SUPPORT OF TRANSITIONING A GROUP OF CLIMATE EXCHANGE NETWORK FOR AFRICA  
GRANTEES TO AN ENHANCED ONLINE KNOWLEDGE SHARING AND MANAGEMENT SYSTEM FOR  
RESEARCH AND DEVELOPMENT WORK ON CLIMATE CHANGE RESILIENCE FOR AFRICAN  
AGRICULTUREDATES OF REPORTS BY GRANTEE

05/13/14, 03/11/15, 09/23/16, 02/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PORTLAND BUSINESS ALLIANCE

GRANTEE'S ADDRESS200 SW MARKET, STE 150  
PORTLAND, OR 97201GRANT AMOUNT

23,630.

DATE OF GRANT

12/16/14

AMOUNT EXPENDED

23,630.

PURPOSE OF GRANTTOWARD THE COSTS OF INCREASING THE SMALL BUSINESS COMMUNITY'S CAPACITY TO  
IMPLEMENT INNOVATIVE PROGRAMS FOR HIRING AND TRAINING POOR AND VULNERABLE  
YOUTH IN THE NORTHWESTERN REGION OF THE UNITED STATESDATES OF REPORTS BY GRANTEE

09/04/15, 09/27/16, 01/03/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PREMISE DATA CORPORATION

GRANTEE'S ADDRESS645 7TH STREET  
SAN FRANCISCO, CA 94103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	09/24/15	200,000.

PURPOSE OF GRANT

IN SUPPORT OF GENERATING DATA-DRIVEN INSIGHTS THAT ASSIST IN RAPIDLY TESTING HYPOTHESES AND/OR INTERVENTION COMPONENTS AND SURFACING REAL-TIME, TARGETED EMERGING OPPORTUNITIES AND RISKS IN THE EXTERNAL CONTEXT THAT CAN BE FACTORED INTO STRATEGIC PLANNING FOR GREATER SOCIAL IMPACT

DATES OF REPORTS BY GRANTEE

03/11/16, 06/02/16, 07/13/16, 02/13/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

PREMISE DATA CORPORATION

GRANTEE'S ADDRESS645 7TH STREET  
SAN FRANCISCO, CA 94103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
105,000.	06/09/16	105,000.

PURPOSE OF GRANTIN SUPPORT OF GENERATING DATA-DRIVEN INSIGHTS THAT ASSIST IN RAPIDLY  
TESTING HYPOTHESES AND/OR INTERVENTION COMPONENTS AND SURFACING REAL-TIME,  
TARGETED EMERGING OPPORTUNITIES AND RISKS IN THE EXTERNAL CONTEXT THAT CAN  
BE FACTORED INTO STRATEGIC PLANNING FOR GREATER SOCIAL IMPACTDATES OF REPORTS BY GRANTEE

07/13/16, 02/13/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PUBLISEZ, INC.

GRANTEE'S ADDRESS27 PARK PLACE  
BROOKLYN, NY 11217

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
147,500.	10/06/15	147,500.

PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS SUPPORTED BY THE ROCKEFELLER FOUNDATION'S INNOVATIVE FINANCE "ZERO GAP" PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE HARNESSSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL SOCIAL AND ENVIRONMENTAL OUTCOMES

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 12/09/15, 05/09/16, 05/11/16, 02/22/17, 04/24/17, 04/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PUBLISEZ, INC.

GRANTEE'S ADDRESS27 PARK PLACE  
BROOKLYN, NY 11217

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
300,000.	12/22/15	300,000.

PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS SUPPORTED BY THE ROCKEFELLER FOUNDATION'S INNOVATIVE FINANCE "ZERO GAP" PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE HARNESSSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL SOCIAL AND ENVIRONMENTAL OUTCOMES

DATES OF REPORTS BY GRANTEE

05/09/16, 05/11/16, 02/22/17, 04/24/17, 04/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PUBLISEZ, INC.

GRANTEE'S ADDRESS27 PARK PLACE  
BROOKLYN, NY 11217GRANT AMOUNT

175,000.

DATE OF GRANT

11/02/16

AMOUNT EXPENDED

97,500.

PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS EFFORTS FOR THE FINANCING MECHANISMS SUPPORTED BY THE ROCKEFELLER FOUNDATION'S INNOVATIVE FINANCE "ZERO GAP" PORTFOLIO TO INCREASE THE LIKELIHOOD OF MARKET ADOPTION BY RAISING AWARENESS AMONG KEY STAKEHOLDERS ABOUT HOW INNOVATIVE FINANCE CAN BE HARNESSSED TO DRIVE PRIVATE SECTOR CAPITAL TOWARD ACHIEVING CRITICAL SOCIAL AND ENVIRONMENTAL OUTCOMES

DATES OF REPORTS BY GRANTEE

02/08/17, 02/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PUBLISEZ, INC.

GRANTEE'S ADDRESS27 PARK PLACE  
BROOKLYN, NY 11217GRANT AMOUNT

105,000.

DATE OF GRANT

11/30/16

AMOUNT EXPENDED

40,132.

PURPOSE OF GRANTIN SUPPORT OF AMPLIFYING THE IMPACT OF THE FOUNDATION'S SCAN AND SEARCH  
GRANTMAKING BY MORE BROADLY COMMUNICATING AND DISSEMINATING KEY FINDINGS  
AND INSIGHTSDATES OF REPORTS BY GRANTEE

02/18/17, 05/31/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

PURPOSE GLOBAL LLC

GRANTEE'S ADDRESS115 5TH AVENUE, 6TH FLOOR  
NEW YORK, NY 10003GRANT AMOUNT

1,200,000.

DATE OF GRANT

04/28/16

AMOUNT EXPENDED

0.

PURPOSE OF GRANTIN SUPPORT OF CONDUCTING A NATIONAL CAMPAIGN TO IMPROVE THE QUALITY OF JOBS  
IN AMERICA BY INFLUENCING EMPLOYERS TO COMMIT TO GIVING THEIR SHIFT  
EMPLOYEES AT LEAST TWO WEEKS' NOTICE ON THEIR SCHEDULESDATES OF REPORTS BY GRANTEE

06/15/16, 11/08/16, 01/26/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RABIN STRATEGIC PARTNERS, INC.

GRANTEE'S ADDRESS104 W 40TH STREET, FLOOR 3  
NEW YORK, NY 10018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
325,000.	05/19/16	325,000.

PURPOSE OF GRANT

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT IDENTIFIES POTENTIAL OPPORTUNITIES TO CREATE RESILIENT HEALTH SYSTEMS TO IMPROVE THE LIVES OF POOR AND VULNERABLE POPULATIONS

DATES OF REPORTS BY GRANTEE

08/17/16, 09/23/16, 11/29/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RABIN STRATEGIC PARTNERS, INC.

GRANTEE'S ADDRESS104 W 40TH STREET, FLOOR 3  
NEW YORK, NY 10018

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
62,150.	10/20/16	62,150.

PURPOSE OF GRANT

IN SUPPORT OF A RESEARCH AND LANDSCAPE ASSESSMENT THAT IDENTIFIES POTENTIAL OPPORTUNITIES TO CREATE RESILIENT HEALTH SYSTEMS TO IMPROVE THE LIVES OF POOR AND VULNERABLE POPULATIONS

DATES OF REPORTS BY GRANTEE

11/29/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

RE: FOCUS PARTNERS, LLC

GRANTEE'S ADDRESS5922 WAVERLY AVENUE  
LA JOLLA, CA 92037

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	08/05/15	400,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A NEW FINANCIAL INSTRUMENT TO RAISE CAPITAL FOR  
RESILIENT INFRASTRUCTURE PROJECTS IN VULNERABLE COMMUNITIES IN THE U.S.DATES OF REPORTS BY GRANTEE

12/10/15, 02/28/16, 02/29/16, 02/20/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RE: FOCUS PARTNERS, LLC

GRANTEE'S ADDRESS5922 WAVERLY AVENUE  
LA JOLLA, CA 92037

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	12/17/15	302,159.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A NEW FINANCIAL INSTRUMENT TO RAISE CAPITAL FOR  
RESILIENT INFRASTRUCTURE PROJECTS IN VULNERABLE COMMUNITIES IN THE U.S.DATES OF REPORTS BY GRANTEE

02/28/16, 02/29/16, 02/20/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

REDSTONE STRATEGY GROUP

GRANTEE'S ADDRESS1542 HIGH STREET  
BOULDER, CO 80304GRANT AMOUNT

47,040.

DATE OF GRANT

04/14/16

AMOUNT EXPENDED

47,040.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING A SIGNATURE PUBLICATION AND SUPPLEMENTARY MATERIALS  
THAT DOCUMENT AND COMMUNICATE KEY LESSONS FROM THE ROCKEFELLER FOUNDATION'S  
INFLUENCE MANAGEMENT AND MONITORING APPROACH WITH ADDITIONAL INFLUENCE  
RESEARCH TO INFORM AND BENEFIT THE FIELD OF PHILANTHROPYDATES OF REPORTS BY GRANTEE

06/21/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

REED ELSEVIER GROUP PLC

GRANTEE'S ADDRESS1-3 STRAND  
LONDON, UNITED KINGDOM, WC2N 5JR

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	10/29/15	75,000.

PURPOSE OF GRANT

FOR USE BY ELSEVIER LIMITED IN SUPPORT OF ITS PUBLICATION "THE LANCET" TO CREATE A JOINT COMMISSION WITH THE ROCKEFELLER FOUNDATION TO DEVELOP THE FIELD OF PLANETARY SYSTEMS AND HEALTH, ORGANIZE A MEETING ON THE TOPIC TO BE HELD AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, AND PUBLISH A SPECIAL EDITION OF "THE LANCET" ON THE OUTCOMES AND RECOMMENDATIONS OF THESE ACTIVITIES

DATES OF REPORTS BY GRANTEE

03/24/16, 05/04/16, 08/31/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RF BINDER PARTNERS, INC.

GRANTEE'S ADDRESS950 THIRD AVENUE, 7TH FLOOR  
NEW YORK, NY 10022

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,000,000.	12/17/15	1,000,000.

PURPOSE OF GRANT

TOWARD THE COSTS OF A SERIES OF IN-PERSON AND ONLINE DISCUSSIONS FEATURING LEADERS IN BUSINESS, THE ARTS, POLITICS, SCIENCES, HUMANITIES, AND PHILANTHROPY CENTERED ON SHARING WORLD-CHANGING IDEAS, RAISING CHALLENGING ISSUES AND INSPIRING THOUGHT TO ACTION ACROSS THE GLOBE

DATES OF REPORTS BY GRANTEE

03/14/16, 03/16/16, 04/15/16, 02/28/17, 03/30/17, 04/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RIGHT MANAGEMENT INC.

GRANTEE'S ADDRESS100 MANPOWER PLACE  
MILWAUKEE, WI 53212

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	04/02/15	50,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY MODEL  
FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE U.S.DATES OF REPORTS BY GRANTEE

06/25/15, 08/05/15, 11/09/16, 02/08/17, 02/15/17, 09/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

RIGHT MANAGEMENT INC.

GRANTEE'S ADDRESS100 MANPOWER PLACE  
MILWAUKEE, WI 53212

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	08/13/15	100,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO TEST THE POTENTIAL OF A STAFFING AGENCY MODEL  
FOR PROVIDING EMPLOYMENT TO DISADVANTAGED YOUNG PEOPLE IN THE U.S.DATES OF REPORTS BY GRANTEE

11/09/16, 02/08/17, 02/15/17, 09/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ROCKEFELLER ARCHIVE CENTER\*

GRANTEE'S ADDRESS15 DAYTON AVENUE  
SLEEPY HOLLOW, NY 10591

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
168,394.	10/06/16	108,584.

PURPOSE OF GRANTIN SUPPORT OF HISTORICAL RESEARCH, SEMINARS, WEB-BASED CONTENT DELIVERY AND  
CONFERENCES TO STRENGTHEN THE UNDERSTANDING OF THE FOUNDATION'S HISTORY IN  
A GLOBAL CONTEXTDATES OF REPORTS BY GRANTEE

08/31/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

SAL FOREST CO. LTD.

GRANTEE'S ADDRESS2, SUKHUMVIT 43 ROAD  
BANGKOK, THAILAND, 10110GRANT AMOUNT

63,000.

DATE OF GRANT

06/18/15

AMOUNT EXPENDED

63,000.

PURPOSE OF GRANTIN SUPPORT OF RESEARCH TO DEVELOP A CASE STUDY FOR PROMOTING FINANCIAL  
INCENTIVES TO ENCOURAGE ORGANIC FARMING IN THAILAND IN ORDER TO IMPROVE THE  
LIVELIHOODS AND ECONOMIC RETURNS OF SMALLHOLDER FARMERSDATES OF REPORTS BY GRANTEE

02/29/16, 03/02/16, 08/15/16, 11/14/16, 04/04/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SAL FOREST CO. LTD.

GRANTEE'S ADDRESS2, SUKHUMVIT 43 ROAD  
BANGKOK, THAILAND, 10110

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
17,000.	03/30/16	14,962.

PURPOSE OF GRANTIN SUPPORT OF RESEARCH TO DEVELOP A CASE STUDY FOR PROMOTING FINANCIAL  
INCENTIVES TO ENCOURAGE ORGANIC FARMING IN THAILAND IN ORDER TO IMPROVE THE  
LIVELIHOODS AND ECONOMIC RETURNS OF SMALLHOLDER FARMERSDATES OF REPORTS BY GRANTEE

08/15/16, 11/14/16, 04/04/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SAMBODHI RESEARCH AND COMMUNICATIONS PRIVATE LIMITED

GRANTEE'S ADDRESSC - 126, SECTOR 2, NOIDA  
UTTAR PRADESH, INDIA, 201 301

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
200,000.	12/13/16	200,000.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO CONDUCT AN IMPACT EVALUATION OF THE FOUNDATION'S SMART POWER FOR RURAL DEVELOPMENT (SPRD) INITIATIVE IN INDIA AND ASSESS THE EXTENT TO WHICH THE INITIATIVE IS POSITIVELY IMPACTING THE LIVES OF PEOPLE AND SPURRING ECONOMIC GROWTH IN RURAL COMMUNITIES IN BIHAR AND UTTAR PRADESH

DATES OF REPORTS BY GRANTEE

05/16/17, 09/26/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SAMHITA SOCIAL VENTURES PRIVATE LIMITED

GRANTEE'S ADDRESS502, ATLANTA CENTRE  
MUMBAI, INDIA, 400063

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
103,058.	12/03/15	103,058.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIA'S NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 07/11/16, 09/30/16, 10/18/16, 05/16/17, 05/31/17, 06/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SAMHITA SOCIAL VENTURES PRIVATE LIMITED

GRANTEE'S ADDRESS502, ATLANTA CENTRE  
MUMBAI, INDIA, 400063

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	07/27/16	50,000.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIA'S NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

DATES OF REPORTS BY GRANTEE

09/30/16, 10/18/16, 05/16/17, 05/31/17, 06/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SAMHITA SOCIAL VENTURES PRIVATE LIMITED

GRANTEE'S ADDRESS502, ATLANTA CENTRE  
MUMBAI, INDIA, 400063

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
60,000.	11/01/16	60,000.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO DEVELOP GUIDELINES FOR IMPLEMENTING INDIA'S NEW CORPORATE SOCIAL RESPONSIBILITY MANDATE THROUGH BUILDING COLLABORATIVE PLATFORMS TO ADDRESS STRATEGIC SOCIAL AND DEVELOPMENT CHALLENGES AND TESTING THE FEASIBILITY FOR SUCH PLATFORMS IN THE ENERGY ACCESS AND RURAL ENTERPRISE PROMOTION SECTOR

DATES OF REPORTS BY GRANTEE

05/16/17, 05/31/17, 06/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SHELLCATCH, INC.

GRANTEE'S ADDRESS901 MISSION STREET  
SAN FRANCISCO, CA 94103

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	08/13/15	75,000.

PURPOSE OF GRANTIN SUPPORT OF SCALING A SYSTEM FOR TRACING THE AUTHENTICITY OF SEAFOOD  
ORIGINS AND SPECIES IN ORDER TO IMPROVE THE COMMERCIAL VIABILITY OF  
SMALL-SCALE FISHERS IN CHILE AND MEXICODATES OF REPORTS BY GRANTEE

04/18/16, 01/09/17, 10/16/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SKDKNICKERBOCKER LLC

GRANTEE'S ADDRESS594 BROADWAY, SUITE 805  
NEW YORK, NY 10012

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
280,000.	04/14/16	280,000.

PURPOSE OF GRANTIN SUPPORT OF THE "RESILIENCE NEXTGEN: NEW LEADERS, NEW MEDIA," CONVENING  
TO BE HELD IN BELLAGIO, ITALY, MAY 2016DATES OF REPORTS BY GRANTEE

07/31/16, 08/17/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

SKDKNICKERBOCKER LLC

GRANTEE'S ADDRESS594 BROADWAY, SUITE 805  
NEW YORK, NY 10012

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
245,000.	06/28/16	190,911.

PURPOSE OF GRANT

IN SUPPORT OF COMMUNICATIONS AND COMMUNITY ENGAGEMENT ASSISTANCE FOR THE NATIONAL DISASTER RESILIENCE COMPETITION WINNING JURISDICTIONS, AND RESILIENCE OUTREACH AND COMMUNICATIONS TO FEDERAL AGENCIES, PHILANTHROPIC ORGANIZATIONS, AND PRIVATE BUSINESSES

DATES OF REPORTS BY GRANTEE

11/17/16, 11/22/16, 11/23/16, 04/17/17, 04/19/17, 07/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SKDKNICKERBOCKER LLC

GRANTEE'S ADDRESS594 BROADWAY, SUITE 805  
NEW YORK, NY 10012GRANT AMOUNT

50,000.

DATE OF GRANT

10/28/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTIN SUPPORT OF THE "RESILIENCE NEXTGEN: NEW LEADERS, NEW MEDIA," CONVENING  
TO BE HELD IN BELLAGIO, ITALY, MAY 2016DATES OF REPORTS BY GRANTEE

08/17/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION

GRANTEE'S ADDRESSC/O SCORPIO CORPORATE ADVISORS  
NEW DELHI, INDIA, 110048

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,877,750.	11/27/15	1,877,737.

PURPOSE OF GRANT

IN SUPPORT OF ESTABLISHING THE SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION, TO LAUNCH DECENTRALIZED RENEWABLE ENERGY POWER PLANTS IN INDIA AND PROVIDE ACCELERATED ENERGY ACCESS FOR BOTH HOME LIGHTING AND PRODUCTIVE USES TO RURAL CONSUMERS WHO CURRENTLY DO NOT HAVE ACCESS TO AN EFFECTIVE NATIONAL GRID

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 08/12/16, 08/23/16, 10/06/16, 12/08/16, 03/06/17, 06/06/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION

GRANTEE'S ADDRESSC/O SCORPIO CORPORATE ADVISORS  
NEW DELHI, INDIA, 110048

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,000,000.	08/11/16	2,092,184.

PURPOSE OF GRANTIN SUPPORT OF SCALING UP ORGANIZATIONAL CAPACITY TO SUPPORT THE LAUNCH OF  
300 NEW DECENTRALIZED RENEWABLE ENERGY POWER PLANTS IN INDIA AND PROVIDE  
CONTINUED ACCELERATED ENERGY ACCESS TO RURAL HOMES AND LOCAL BUSINESSES.DATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 06/30/17, 09/08/17, 09/12/17, 09/18/17, 09/20/17, 09/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS42 PORTLAND PLACE  
LONDON, UNITED KINGDOM, W1B 1NB

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
139,462.	08/10/11	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 7/24/15, 7/31/15,9/29/15,10/13/15, 2/13/16,4/15/16,7/15/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS42 PORTLAND PLACE  
LONDON, UNITED KINGDOM, W1B 1NB

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
64,775.	07/05/12	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 7/24/15, 7/31/15,9/29/15,10/13/15, 2/13/16,4/15/16,7/15/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS42 PORTLAND PLACE  
LONDON, UNITED KINGDOM, W1B 1NB

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
77,560.	09/18/13	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 7/24/15, 7/31/15,9/29/15,10/13/15, 2/13/16,4/15/16,7/15/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SOCIAL IMPACT PARTNERSHIP LP

GRANTEE'S ADDRESS42 PORTLAND PLACE  
LONDON, UNITED KINGDOM, W1B 1NB

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
81,871.	09/05/14	0.

PURPOSE OF GRANT

PAYMENT TOWARD A \$500,000 PROGRAM-RELATED INVESTMENT TO SUPPORT AN INNOVATIVE APPROACH TO IMPACT INVESTING INTERMEDIATION WITH POTENTIAL BROAD APPLICATION IN SOLVING SOCIAL PROBLEMS, TO REDUCE RECIDIVISM AMONG AN ESTIMATED 3,000 MALE PRISONERS WITH SHORT-TERM SENTENCES IN AN ECONOMICALLY DEPRESSED AREA OF THE U.K.

DATES OF REPORTS BY GRANTEE

PRIOR REPORTS+ 7/24/15, 7/31/15,9/29/15,10/13/15, 2/13/16,4/15/16,7/15/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

SPITFIRE STRATEGIES LLC

GRANTEE'S ADDRESS1800 M STREET, NW  
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
500,000.	04/06/16	297,200.

PURPOSE OF GRANTFOR USE BY ITS ANDACTION PROJECT TOWARD THE COSTS OF CONNECTING NONPROFIT  
CAUSE ORGANIZATIONS, THE FOUNDATION'S GRANTEES AND THE FOUNDATION TO  
UPCOMING FILM, TELEVISION AND DIGITAL CONTENT STORYLINES RELATED TO SOCIAL  
AND ENVIRONMENTAL ISSUES INCLUDING RESILIENCE AND INCLUSIVE ECONOMIESDATES OF REPORTS BY GRANTEE

02/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

STICHTING AFRILABS FOUNDATION

GRANTEE'S ADDRESSGRAVENHEKJE 1A  
AMSTERDAM, NETHERLANDS, 1011TG

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
23,736.	09/28/14	1,235.

PURPOSE OF GRANTIN SUPPORT OF BUILDING A PIPELINE OF PROMISING INNOVATIONS THAT CREATE JOBS  
FOR DISADVANTAGED AFRICAN YOUTH IN COLLABORATION WITH ITS NETWORK OF  
TECHNOLOGY INNOVATION LABS ACROSS AFRICADATES OF REPORTS BY GRANTEE

02/27/15, 05/04/16, 10/03/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

STIER FORWARD, LLC

GRANTEE'S ADDRESS808 DRIGGS AVENUE, SUITE 5C  
BROOKLYN, NY 11211

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	05/03/16	100,000.

PURPOSE OF GRANTTOWARD THE COSTS OF ITS "STAND WITH CONGO" CAMPAIGN TO CONDUCT TARGETED  
OUTREACH AT U.S. UNIVERSITIES THROUGH FILM SCREENINGS ON EXTRACTIVE  
INDUSTRY PRACTICES IN CONGODATES OF REPORTS BY GRANTEE

02/28/17, 05/31/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SUNFUNDER BTG SOLAR FUND

GRANTEE'S ADDRESS419 7TH ST NW SUITE 300  
WASHINGTON, DC 20004

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
715,000.	11/08/16	0.

PURPOSE OF GRANTPAYMENT TOWARD A \$3,000,000 PROGRAM-RELATED INVESTMENT FOR THE BENEFIT OF  
COMPANIES SERVING OFF-GRID POPULATIONS IN EMERGING MARKETS IN EAST AFRICADATES OF REPORTS BY GRANTEE

02/15/17, 05/01/2017, 05/15/17, 08/15/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

SUSTAINBIZ, INC.

GRANTEE'S ADDRESS1700 15TH STREET, NW  
WASHINGTON, DC 20009GRANT AMOUNT

75,000.

DATE OF GRANT

07/22/15

AMOUNT EXPENDED

65,671.

PURPOSE OF GRANTIN SUPPORT OF SYNTHESIZING KNOWLEDGE OF INSTITUTIONS AND ACTIONS CURRENTLY  
UNDERTAKEN AND ENVISIONED TO QUANTIFY AND INTERNALIZE THE VALUE OF  
ECOSYSTEMS IN PUBLIC AND PRIVATE INVESTMENT PRACTICEDATES OF REPORTS BY GRANTEE

11/19/15, 02/29/16, 06/23/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TAEDOKI B.V.

GRANTEE'S ADDRESSJJ CREMERPLEIN 52-2  
AMSTERDAM, NETHERLANDS

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
211,937.	12/10/15	211,937.

PURPOSE OF GRANTIN SUPPORT OF THE RESEARCH AND ANALYSIS OF GREEN INFRASTRUCTURE VALUE  
CHAINS WHICH MAY IMPACT THE RESILIENCE VALUE OF PROJECTSDATES OF REPORTS BY GRANTEE

02/29/16, 03/04/16, 11/08/16, 11/15/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TAEDOKI B.V.

GRANTEE'S ADDRESSJJ CREMERPLEIN 52-2  
AMSTERDAM, NETHERLANDS

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
21,194.	12/06/16	21,194.

PURPOSE OF GRANTIN SUPPORT OF THE RESEARCH AND ANALYSIS OF GREEN INFRASTRUCTURE VALUE  
CHAINS WHICH MAY IMPACT THE RESILIENCE VALUE OF PROJECTSDATES OF REPORTS BY GRANTEE

11/15/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
400,000.	09/13/12	400,000.

PURPOSE OF GRANTIN SUPPORT OF CREATING A CLIMATE AND HEALTH CENTER OF EXCELLENCE IN SURAT,  
INDIA TO DEVELOP AND SHARE AN EVIDENCE BASE OF PRACTICE REGARDING THE  
DELIVERY OF EFFECTIVE HEALTH SERVICES THAT BUILD RESILIENCE TO CLIMATE  
RELATED IMPACTS ON HEALTH FOR URBAN POOR COMMUNITIES, AS PART OF THE ASIAN  
CITIES CLIMATE CHANGE RESILIENCE NETWORKDATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 11/08/13, 06/17/14, 11/24/14, 05/13/15, 06/24/16, 04/27/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060GRANT AMOUNT

80,000.

DATE OF GRANT

11/13/13

AMOUNT EXPENDED

80,000.

PURPOSE OF GRANTIN SUPPORT OF CREATING A CLIMATE AND HEALTH CENTER OF EXCELLENCE IN SURAT,  
INDIA TO DEVELOP AND SHARE AN EVIDENCE BASE OF PRACTICE REGARDING THE  
DELIVERY OF EFFECTIVE HEALTH SERVICES THAT BUILD RESILIENCE TO CLIMATE  
RELATED IMPACTS ON HEALTH FOR URBAN POOR COMMUNITIES, AS PART OF THE ASIAN  
CITIES CLIMATE CHANGE RESILIENCE NETWORKDATES OF REPORTS BY GRANTEE

06/17/14, 11/24/14, 05/13/15, 06/24/16, 04/27/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
111,975.	11/17/14	111,975.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO ENSURE AVAILABILITY OF LOCAL WATER RESOURCES,  
ESPECIALLY DURING EMERGENCY DROUGHT SITUATIONS, BY DEVELOPING A REPLICABLE  
MODEL FOR PERI-URBAN LAKE REJUVENATION AND CONSERVATION IN THE WATER-SCARCE  
CITY OF INDORE, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE  
RESILIENCE NETWORKDATES OF REPORTS BY GRANTEE

12/02/14, 05/26/15, 12/01/15, 12/14/15, 06/01/16, 11/30/16, 03/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060GRANT AMOUNT

40,000.

DATE OF GRANT

11/25/14

AMOUNT EXPENDED

40,000.

PURPOSE OF GRANTIN SUPPORT OF CREATING A CLIMATE AND HEALTH CENTER OF EXCELLENCE IN SURAT,  
INDIA TO DEVELOP AND SHARE AN EVIDENCE BASE OF PRACTICE REGARDING THE  
DELIVERY OF EFFECTIVE HEALTH SERVICES THAT BUILD RESILIENCE TO CLIMATE  
RELATED IMPACTS ON HEALTH FOR URBAN POOR COMMUNITIES, AS PART OF THE ASIAN  
CITIES CLIMATE CHANGE RESILIENCE NETWORKDATES OF REPORTS BY GRANTEE

05/13/15, 06/24/16, 04/27/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060GRANT AMOUNT

145,000.

DATE OF GRANT

10/13/15

AMOUNT EXPENDED

145,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO CONSOLIDATE AND DISSEMINATE EXPERIENCES AND  
LESSONS LEARNED FROM THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK  
(ACCCRN)'S WORK IN INDIA AND TO ALIGN KEY MESSAGES ACROSS THE NETWORKDATES OF REPORTS BY GRANTEE

12/07/15, 06/20/16, 05/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
135,000.	11/05/15	135,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO DEVELOP A FRAMEWORK FOR ASSESSING LEVELS OF RISK  
ASSOCIATED WITH LAUNCHING SMART POWER MINI-GRIDS IN RURAL INDIA AND  
IDENTIFYING MITIGATION MEASURES FOR MONITORING THEIR INSTALLATIONDATES OF REPORTS BY GRANTEE

01/27/16, 06/20/16, 01/31/17, 07/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060GRANT AMOUNT

140,000.

DATE OF GRANT

12/17/15

AMOUNT EXPENDED

140,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO CONSOLIDATE AND DISSEMINATE EXPERIENCES AND  
LESSONS LEARNED FROM THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK  
(ACCCRN)'S WORK IN INDIA AND TO ALIGN KEY MESSAGES ACROSS THE NETWORKDATES OF REPORTS BY GRANTEE

06/20/16, 05/18/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
111,975.	03/10/16	111,975.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO ENSURE AVAILABILITY OF LOCAL WATER RESOURCES,  
ESPECIALLY DURING EMERGENCY DROUGHT SITUATIONS, BY DEVELOPING A REPLICABLE  
MODEL FOR PERI-URBAN LAKE REJUVENATION AND CONSERVATION IN THE WATER-SCARCE  
CITY OF INDORE, INDIA, AS PART OF THE ASIAN CITIES CLIMATE CHANGE  
RESILIENCE NETWORKDATES OF REPORTS BY GRANTEE

06/01/16, 11/30/16, 03/07/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060GRANT AMOUNT

45,155.

DATE OF GRANT

07/12/16

AMOUNT EXPENDED

45,155.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO CONSOLIDATE AND DISSEMINATE EXPERIENCES AND  
LESSONS LEARNED FROM THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK  
(ACCCRN)'S WORK IN INDIA AND TO ALIGN KEY MESSAGES ACROSS THE NETWORKDATES OF REPORTS BY GRANTEE

05/18/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

TARU LEADING EDGE PRIVATE LIMITED

GRANTEE'S ADDRESSD-406, GANESH MERIDIAN, OPP. GUJARAT HIGH COURT, S.G. HIGHWAY  
AHMEDABAD, INDIA, 380060GRANT AMOUNT

28,570.

DATE OF GRANT

07/21/16

AMOUNT EXPENDED

22,181.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO DEVELOP A FRAMEWORK FOR ASSESSING LEVELS OF RISK  
ASSOCIATED WITH LAUNCHING SMART POWER MINI-GRIDS IN RURAL INDIA AND  
IDENTIFYING MITIGATION MEASURES FOR MONITORING THEIR INSTALLATIONDATES OF REPORTS BY GRANTEE

01/31/17, 07/25/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TCC GROUP, INC.

GRANTEE'S ADDRESS31 WEST 27TH STREET  
NEW YORK, NY 10001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
110,000.	11/02/16	110,000.

PURPOSE OF GRANTIN SUPPORT OF REFINING THE GLOBAL RESILIENCE PARTNERSHIP'S LEARNING AGENDA  
AND DESIGNING A RELATED PARTNER CONVENING TO ENABLE HORIZON SCANNING FOR  
HIGH-POTENTIAL PARTNERSHIP OPPORTUNITIESDATES OF REPORTS BY GRANTEE

02/15/17, 02/24/17, 03/16/17, 03/17/17, 03/24/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

THE ATLANTIC MONTHLY GROUP, INC.

GRANTEE'S ADDRESS600 NEW HAMPSHIRE AVE. NW  
WASHINGTON, DC 20037

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
315,000.	09/29/16	315,000.

PURPOSE OF GRANTIN SUPPORT OF A MULTI-PLATFORM PROJECT TO EXAMINE THE FUTURE OF WORK IN  
CONTEMPORARY AMERICAN SOCIETY AND STRATEGIZE ABOUT HOW TO ENSURE  
OPPORTUNITY AND EQUALITY FOR WORKERSDATES OF REPORTS BY GRANTEE

01/17/17, 02/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

GRANTEE'S ADDRESS812 CREED ROAD  
OAKLAND, CA 94610GRANT AMOUNT

1,500,000.

DATE OF GRANT

10/01/15

AMOUNT EXPENDED

1,500,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING AND DEPLOYING A PIPELINE OF HIGH-POTENTIAL  
TECHNOLOGY INNOVATIONS TO ADDRESS SOCIAL AND ENVIRONMENTAL PROBLEMS FACING  
POOR AND VULNERABLE PEOPLE IN SOUTH ASIA AND SUB-SAHARAN AFRICADATES OF REPORTS BY GRANTEE

09/16/16, 01/31/17, 06/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

GRANTEE'S ADDRESS812 CREED ROAD  
OAKLAND, CA 94610

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	08/03/16	150,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO REDUCE THE CAPITAL EXPENDITURE COSTS OF  
RENEWABLE ENERGY MINIGRIDS AND FACILITATE THE ESTABLISHMENT AND OPERATION  
OF MINIGRIDS IN POOR RURAL VILLAGES IN INDIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 11/09/16, 01/16/17, 01/17/17, 02/07/17, 04/03/17, 05/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

GRANTEE'S ADDRESS812 CREED ROAD  
OAKLAND, CA 94610

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	08/18/16	150,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO REDUCE THE CAPITAL EXPENDITURE COSTS OF  
RENEWABLE ENERGY MINIGRIDS AND FACILITATE THE ESTABLISHMENT AND OPERATION  
OF MINIGRIDS IN POOR RURAL VILLAGES IN INDIADATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 11/09/16, 01/16/17, 01/17/17, 02/07/17, 04/03/17, 05/28/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

GRANTEE'S ADDRESS812 CREED ROAD  
OAKLAND, CA 94610GRANT AMOUNT

1,000,000.

DATE OF GRANT

10/20/16

AMOUNT EXPENDED

1,000,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING AND DEPLOYING A PIPELINE OF HIGH-POTENTIAL  
TECHNOLOGY INNOVATIONS TO ADDRESS SOCIAL AND ENVIRONMENTAL PROBLEMS FACING  
POOR AND VULNERABLE PEOPLE IN SOUTH ASIA AND SUB-SAHARAN AFRICADATES OF REPORTS BY GRANTEE

01/31/17, 06/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

THE INSTITUTE FOR TRANSFORMATIVE TECHNOLOGIES, INC.

GRANTEE'S ADDRESS812 CREED ROAD  
OAKLAND, CA 94610GRANT AMOUNT

145,000.

DATE OF GRANT

11/18/16

AMOUNT EXPENDED

145,000.

PURPOSE OF GRANTIN SUPPORT OF A PROJECT TO REDUCE THE CAPITAL EXPENDITURE COSTS OF  
RENEWABLE ENERGY MINIGRIDS AND FACILITATE THE ESTABLISHMENT AND OPERATION  
OF MINIGRIDS IN POOR RURAL VILLAGES IN INDIADATES OF REPORTS BY GRANTEE

01/16/17, 01/17/17, 02/07/17, 04/03/17, 05/28/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

THE MEDIAE COMPANY LIMITED

GRANTEE'S ADDRESSTURLEY FARM  
HAILEY, UNITED KINGDOM, 0X29 9XA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
140,000.	04/20/16	140,000.

PURPOSE OF GRANT

TOWARD THE COSTS OF TELEVISION EPISODES ON POST-HARVEST LOSS SOLUTIONS FOR THE AFRICAN SERIES, "SHAMBA SHAPE UP," A REALITY MAKEOVER SHOW THAT EDUCATES FARMERS TO IMPROVE THEIR PRODUCTION AND INCOMES

DATES OF REPORTS BY GRANTEE

11/30/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TIE, INC.

GRANTEE'S ADDRESS2903 BUNKER HILL LANE, SUITE 15  
SANTA CLARA, CA 95054

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	12/22/13	50,000.

PURPOSE OF GRANT

IN SUPPORT OF A PROJECT TO IDENTIFY AND MENTOR SOCIAL ENTREPRENEURS WITH CREDIBLE IDEAS FOR THE DEVELOPMENT OF MICRO-ENTERPRISES THAT WILL GENERATE LOCAL EMPLOYMENT AND ENHANCE THE DEMAND FOR ELECTRICITY IN RURAL AREAS IN INDIA. STAFF FOLLOWING UP WITH GRANTEE FOR REPORTS.

DATES OF REPORTS BY GRANTEE

05/27/14, 11/19/14, 01/03/17, 01/09/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TURRET MEDIA FZ LLC

GRANTEE'S ADDRESSPO BOX 77806  
ABU DHABI, UNITED ARAB EMIRATES

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
40,000.	02/18/16	40,000.

PURPOSE OF GRANT

IN SUPPORT OF EVENTS SURROUNDING THE "CASSAVA INNOVATION CHALLENGE" LAUNCH AT ITS GLOBAL FORUM ON INNOVATIONS IN AGRICULTURE TO ENCOURAGE THE DEVELOPMENT OF INNOVATIVE SOLUTIONS TO REDUCE POST-HARVEST CASSAVA LOSS AND INFLUENCE AGRICULTURE LEADERS TO PRIORITIZE LOSS REDUCTION STRATEGIES

DATES OF REPORTS BY GRANTEE

07/15/16, 10/21/16, 06/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS928 BROADWAY, SUITE 300  
NEW YORK, NY 10010GRANT AMOUNT

252,500.

DATE OF GRANT

07/22/16

AMOUNT EXPENDED

252,500.

PURPOSE OF GRANTIN SUPPORT OF DOCUMENTING THE FOUNDATION'S APPROACH TO STRATEGY DEVELOPMENT  
TO SHARE WITH THE PHILANTHROPIC FIELD, ALONG WITH A SYNTHESIS OF LESSONS  
FROM BOTH THIS WORK AS WELL AS THE EXPERIENCES OF THE FOUNDATION'S  
PARTNERS, GRANTEES AND PEERSDATES OF REPORTS BY GRANTEE

09/12/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

TUSK STRATEGIES, INC.

GRANTEE'S ADDRESS928 BROADWAY, SUITE 300  
NEW YORK, NY 10010

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,500,000.	10/28/16	16,804.

PURPOSE OF GRANTIN SUPPORT OF THE COORDINATION AND FACILITATION OF THE FUTURE OF RESILIENCE  
SUMMIT, A HIGH-PROFILE CONVENING AND A RELATED FOLLOW-UP EVENT TO BE HELD  
AT THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALYDATES OF REPORTS BY GRANTEE

02/27/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

VALUELAB BV

GRANTEE'S ADDRESSJOOP DEN UYLLAAN 18  
LEIDEN, NETHERLANDS, 2314GC

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
219,000.	08/10/16	175,207.

PURPOSE OF GRANTIN SUPPORT OF APPLYING THE RESILIENCE VALUE REVIEW PROCESS TO FOUR PROJECTS  
IN ORDER TO VALIDATE THE PROCESS WHILE BUILDING THE RESILIENCE CAPACITY OF  
THE PARTICIPATING INTUITIONSDATES OF REPORTS BY GRANTEE

01/18/17, 06/28/17, 07/14/17, 07/21/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

VIBRANT DATA, INC.

GRANTEE'S ADDRESS943 CLAY STREET  
SAN FRANCISCO, CA 94108GRANT AMOUNT

50,000.

DATE OF GRANT

03/23/16

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTIN SUPPORT OF IDENTIFYING EARLY SIGNALS OF DYNAMISM AND EMERGING PATTERNS  
AROUND SOCIAL IMPACT ISSUESDATES OF REPORTS BY GRANTEE

06/15/16, 10/13/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

VITAL RESEARCH LLC

GRANTEE'S ADDRESS6380 WILSHIRE BOULEVARD  
LOS ANGELES, CA 90048

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
60,000.	01/29/16	60,000.

PURPOSE OF GRANT

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITS GRANTEES, RESIDENTS AND CONFERENCE PARTICIPANTS IN ORDER TO SUPPORT THE ADAPTIVE MANAGEMENT OF THE CENTER AND TO DEVELOP KNOWLEDGE PRODUCTS ON USING IT TO PROMOTE GLOBAL CHANGE

DATES OF REPORTS BY GRANTEE

07/11/16, 10/31/16, 03/23/17, 10/06/17

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

VITAL RESEARCH LLC

GRANTEE'S ADDRESS6380 WILSHIRE BOULEVARD  
LOS ANGELES, CA 90048

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
90,000.	11/10/16	5,297.

PURPOSE OF GRANT

IN SUPPORT OF MONITORING AND EVALUATION ACTIVITIES FOR THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITS GRANTEES, RESIDENTS AND CONFERENCE PARTICIPANTS IN ORDER TO SUPPORT THE ADAPTIVE MANAGEMENT OF THE CENTER AND TO DEVELOP KNOWLEDGE PRODUCTS ON USING IT TO PROMOTE GLOBAL CHANGE

DATES OF REPORTS BY GRANTEE

03/23/17, 10/06/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WASAFIRI CONSULTING LTD.

GRANTEE'S ADDRESSTHE DOCK WILBURY VILLAS, HOVE  
EAST SUSSEX, UNITED KINGDOM, BN3 6AH

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
33,761.	10/25/16	33,761.

PURPOSE OF GRANTIN SUPPORT OF SERVING AS A LEARNING PARTNER FOR "RESILIENCE WEEK" TO BE  
HELD IN NAIROBI, KENYA, DECEMBER 2016DATES OF REPORTS BY GRANTEE

12/22/16, 01/27/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WESPO, INC.

GRANTEE'S ADDRESS423 WASHINGTON STREET, 4TH FLOOR  
SAN FRANCISCO, CA 94111

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
236,400.	04/14/16	236,400.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING A STRATEGY AND IMPLEMENTATION PLAN TO CATALYZE THE  
TRANSITION TO MORE INCLUSIVE AND RESILIENT WATER MANAGEMENT SYSTEMS  
GLOBALLYDATES OF REPORTS BY GRANTEE

01/23/17, 03/16/17, 08/10/17, 08/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WESPO, INC.

GRANTEE'S ADDRESS423 WASHINGTON STREET, 4TH FLOOR  
SAN FRANCISCO, CA 94111GRANT AMOUNT

319,000.

DATE OF GRANT

05/19/16

AMOUNT EXPENDED

319,000.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING STRATEGIES FOR ALLEVIATING MULTI-SECTOR  
COMPETITION FOR FRESH WATER IN ORDER TO SECURE WATER FOR ECOSYSTEMS AND FOR  
THE POOR AND VULNERABLE PEOPLE WHO DEPEND ON CLEAN RELIABLE FLOWS OF FRESH  
WATERDATES OF REPORTS BY GRANTEE

06/22/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WESPO, INC.

GRANTEE'S ADDRESS423 WASHINGTON STREET, 4TH FLOOR  
SAN FRANCISCO, CA 94111GRANT AMOUNT

31,900.

DATE OF GRANT

06/30/16

AMOUNT EXPENDED

31,900.

PURPOSE OF GRANTIN SUPPORT OF DEVELOPING STRATEGIES FOR ALLEVIATING MULTI-SECTOR  
COMPETITION FOR FRESH WATER IN ORDER TO SECURE WATER FOR ECOSYSTEMS AND FOR  
THE POOR AND VULNERABLE PEOPLE WHO DEPEND ON CLEAN RELIABLE FLOWS OF FRESH  
WATERDATES OF REPORTS BY GRANTEE

06/22/16

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WHAT WOULD JANE DO LLC

GRANTEE'S ADDRESS3249 CASITAS AVE.  
LOS ANGELES, CA 90039

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
404,000.	07/14/16	404,000.

PURPOSE OF GRANTIN SUPPORT OF COMPLETING OF A FEATURE-LENGTH DOCUMENTARY ON GLOBAL  
URBANIZATION THROUGH THE LENS OF JANE JACOBSDATES OF REPORTS BY GRANTEE

03/31/17, 04/07/17, 04/08/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WILL AND JADA SMITH FAMILY FOUNDATION\*

GRANTEE'S ADDRESS1880 CENTURY PARK EAST, #1600  
LOS ANGELES, CA 90067

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
350,000.	11/10/16	350,000.

PURPOSE OF GRANTIN SUPPORT OF THE NEW YORK CITY LAUNCH OF ITS CAREERS IN ENTERTAINMENT TOUR  
TO OFFER MENTORSHIP AND EMPLOYMENT PATHWAYS TO YOUTH FROM UNDERSERVED  
COMMUNITIES BY CONNECTING THEM TO ENTERTAINMENT INDUSTRY LEADERS AND  
COMPANIESDATES OF REPORTS BY GRANTEE

12/21/16, 01/12/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WOMEN IN THE WORLD MEDIA LLC

GRANTEE'S ADDRESS620 EIGHTH AVENUE, 17TH FLOOR  
NEW YORK, NY 10018GRANT AMOUNT

350,000.

DATE OF GRANT

04/06/16

AMOUNT EXPENDED

350,000.

PURPOSE OF GRANTTOWARD THE COSTS OF CURATING AND EXECUTING THE SEVENTH ANNUAL WOMEN IN THE  
WORLD SUMMIT TO BE HELD IN NEW YORK CITY, APRIL 2016 AND OF HOSTING A  
LUNCHEON AROUND THE LAUNCH OF THE FOUNDATION'S "100 BY 2025" CAMPAIGNDATES OF REPORTS BY GRANTEE

12/20/16, 12/23/16

ANY DIVERSION BY GRANTEE

NO



GRANTEE'S NAME

WORLD ECONOMIC FORUM

GRANTEE'S ADDRESS91-93 ROUTE DE LA CAPITE  
GENEVA, SWITZERLAND, CH-1223GRANT AMOUNT

1,125,000.

DATE OF GRANT

12/17/15

AMOUNT EXPENDED

1,125,000.

PURPOSE OF GRANTTOWARD THE COSTS OF BRINGING THE BENEFIT OF INNOVATIVE SOLUTIONS TO TODAY'S  
MOST PRESSING GLOBAL CHALLENGES THROUGH ITS LONG TERM INVESTING,  
INFRASTRUCTURE AND DEVELOPMENT, EMPLOYMENT, SKILLS AND HUMAN CAPITAL, AND  
FOOD SECURITY AND AGRICULTURE PROGRAMSDATES OF REPORTS BY GRANTEE

09/12/16, 09/15/16, 09/01/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WORLD FOOD PRIZE FOUNDATION\*

GRANTEE'S ADDRESS666 GRAND AVENUE, SUITE 1700  
DES MOINES, IA 50309GRANT AMOUNT

1,000,000.

DATE OF GRANT

10/11/11

AMOUNT EXPENDED

165,000.

PURPOSE OF GRANTTO ENDOW AN ANNUAL AWARD IN HONOR OF DR. NORMAN E. BORLAUG RECOGNIZING AN  
OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN  
AGRICULTURAL DEVELOPMENT, AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE  
INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARSDATES OF REPORTS BY GRANTEE

PRIOR REPORTS + 02/04/13, 01/27/14, 01/26/15, 02/02/15, 02/04/16, 01/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

WORLD FOOD PRIZE FOUNDATION\*

GRANTEE'S ADDRESS666 GRAND AVENUE, SUITE 1700  
DES MOINES, IA 50309

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	03/29/12	0.

PURPOSE OF GRANTTO ENDOW AN ANNUAL AWARD IN HONOR OF DR. NORMAN E. BORLAUG RECOGNIZING AN  
OUTSTANDING YOUNG FIELD RESEARCH SCIENTIST OR EXTENSION WORKER IN  
AGRICULTURAL DEVELOPMENT, AND TO CONTRIBUTE TO THE BORLAUG DIALOGUE  
INTERNATIONAL SYMPOSIUM OVER THE NEXT 10 YEARSDATES OF REPORTS BY GRANTEE

02/04/13, 01/27/14, 01/26/15, 02/02/15, 02/04/16, 01/30/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

YUNUS SOCIAL BUSINESS GMBH

GRANTEE'S ADDRESSNIDDASTR. 63  
FRANKFURT AM MAIN, GERMANY, 60329

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
98,047.	09/08/16	65,543.

PURPOSE OF GRANT

IN SUPPORT OF PILOTING THE SOCIAL SUCCESS NOTE, AN INNOVATIVE FINANCING INSTRUMENT, TO ENABLE IMPACT WATER (OR A COMPARABLE SOCIAL ENTERPRISE) TO FUND WATER PURIFICATION SYSTEMS IN UGANDA

DATES OF REPORTS BY GRANTEE

02/03/17, 04/19/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ZERO POINT ZERO PRODUCTION, INC.

GRANTEE'S ADDRESS875 AVENUE OF THE AMERICAS  
NEW YORK, NY 10001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
2,192,630.	07/22/16	2,192,630.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING A FEATURE LENGTH DOCUMENTARY FILM, "WASTED," AND A  
CORRESPONDING AWARENESS CAMPAIGN AND EDUCATIONAL CURRICULUM TO HIGHLIGHT  
EFFORTS TO REDUCE FOOD WASTEDATES OF REPORTS BY GRANTEE

08/19/16, 09/01/16, 10/17/16, 10/21/16, 02/08/17, 04/04/17

ANY DIVERSION BY GRANTEE

NO

GRANTEE'S NAME

ZERO POINT ZERO PRODUCTION, INC.

GRANTEE'S ADDRESS875 AVENUE OF THE AMERICAS  
NEW YORK, NY 10001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,391,635.	11/02/16	856,590.

PURPOSE OF GRANTIN SUPPORT OF PRODUCING A FEATURE LENGTH DOCUMENTARY FILM, "WASTED," AND A  
CORRESPONDING AWARENESS CAMPAIGN AND EDUCATIONAL CURRICULUM TO HIGHLIGHT  
EFFORTS TO REDUCE FOOD WASTEDATES OF REPORTS BY GRANTEE

02/08/17, 04/04/17

ANY DIVERSION BY GRANTEE

NO

FORM 990-PF SUMMARY OF PROGRAM-RELATED INVESTMENTS STATEMENT 22

DESCRIPTION

A PRI TO GLOBAL PARTNERSHIPS TO SUPPORT THE EXPANSION OF  
SOCIAL ENTERPRISES, MICROFINANCE INSTITUTIONS AND OTHER  
NON-BANK FINANCE INSTITUTIONS LENDING TO SOCIAL ENTERPRISES  
AND COOPERATIVES THAT WORK WITH BASE OF THE PYRAMID  
POPULATIONS IN LATIN AMERICA AND SUB-SAHARAN AFRICA.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

2,820,000.

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FORM 990-PF	OTHER PROGRAM-RELATED INVESTMENTS	STATEMENT	23
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DESCRIPTION	AMOUNT
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A PRI TO SUNFUNDER (SF) TO SUPPORT A MISSION TO UNLOCK CAPITAL FOR ENERGY IN EMERGING MARKET. SF'S EFFORTS TO FILL CRITICAL GAPS FOR THE UNDERSERVED RURAL POOR, THE POPULATION MOST AFFLICTED, AND TRAPPED IN POVERTY, BY LACK OF ACCESS TO ENERGY SOLUTIONS.

DESCRIPTION	AMOUNT
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A PRI TO PAMIGA TO SUPPORT THE GENERAL EXPANSION OF MICROFINANCE INSTITUTIONS AND OTHER NON-BANK FINANCIAL INSTITUTIONS LENDING TO BASE OF THE PYRAMID POPULATIONS IN RURAL AFRICA. THIS INVESTMENT FACILITATES MICROLOANS FINANCING ACCESS TO SOLAR ENERGY AND WATER AS WELL AS FEMALE ENTREPRENEURS.

DESCRIPTION	AMOUNT
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A PRI TO LIVELIHOOD IMPACT FUND, L.P. TO SUPPORT IMPROVING THE LIVELIHOODS OF THE WORKING POOR BY CAPITALIZING A VENTURE CAPITAL FUND THAT INVESTS IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES PRIMARILY BUT NOT EXCLUSIVELY IN SOUTHEAST ASIA.

DESCRIPTION	AMOUNT
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A PRI TO AFRICAN AGRICULTURAL CAPITAL LIMITED TO SUPPORT THE DEVELOPMENT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT BY PROVIDING CAPITAL FOR AGRI-BUSINESS THAT SUPPORT SMALL HOLDER FARMERS, CREATE JOBS, AND CONTRIBUTE TO FOOD SECURITY IN EAST AFRICA.

DESCRIPTION	AMOUNT
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A PRI TO IGNIA PARTNERS, LLC IN SUPPORT OF INTERMEDIATION CAPACITY FOR IMPACT INVESTMENT IN A NEW SOCIAL IMPACT SUB-SECTOR BY CAPITALIZING A PRIVATE EQUITY FUND INVESTING IN SMALL- TO MEDIUM-SIZED SOCIAL ENTERPRISES SERVING EXTREMELY POOR POPULATIONS IN LATIN AMERICA.

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## DESCRIPTION

## AMOUNT

A PRI TO PACIFIC COMMUNITY VENTURES TOWARD CAPITALIZING A  
\$30 MILLION COMMUNITY DEVELOPMENT INVESTMENT FUND THAT WOULD  
PROVIDE GROWTH CAPITAL AND MANAGEMENT ASSISTANCE TO  
BUSINESSES LOCATED IN OR HIRING FROM LOW- AND  
MODERATE-INCOME COMMUNITIES IN CALIFORNIA.

6,096.

TOTAL TO FORM 990-PF, PART IX-B, LINE 3

1,694,880.

FORM 990-PF

OTHER REVENUE

STATEMENT 24

DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
FOREIGN EXCHANGE LOSS			14	-5,516,365.	
SECURITIES LITIGATION PROCEEDS			01	7,484.	
GRANT REFUNDS			01	2,097,597.	
ROYALTIES			15	3,729.	
OTHER INCOME THROUGH K-1S	525990				
NOT ON BOOKS		1,381,325.	01	-1,381,325.	
OTHER INCOME			01		
FEDERAL EXCISE AND UBI TAX BENEFIT			01	466,849.	
LOSS ON DISPOSITION OF FIXED ASSETS			01	-653,389.	
TOTAL TO FORM 990-PF, PG 12, LN 11		1,381,325.		-4,975,420.	

## GENERAL EXPLANATION

STATEMENT 25

## FORM/LINE IDENTIFIER

990PF, PAGE 10, PART XV, LINES 2A THROUGH 2D

## EXPLANATION:

## GRANT APPLICATION SUBMISSION INFORMATION

(A) WE ASK PROSPECTIVE APPLICANTS TO DETERMINE IF THEIR ORGANIZATION'S PROJECT ALIGNS WITH THE FOUNDATION'S PROGRAMMATIC GOALS BY VISITING [WWW.ROCKEFELLERFOUNDATION.ORG](http://WWW.ROCKEFELLERFOUNDATION.ORG). THE FOUNDATION INVITES INQUIRIES VIA A PORTAL ON OUR WEB SITE AT [WWW.ROCKEFELLERFOUNDATION.ORG](http://WWW.ROCKEFELLERFOUNDATION.ORG). THE FOUNDATION ONLY ACCEPTS UNSOLICITED INQUIRIES VIA THE WEB SITE.

(B) APPLICANTS ARE ASKED TO FILL IN THE ON-LINE INQUIRY FORM ON THE FOUNDATION'S WEB SITE AT [WWW.ROCKEFELLERFOUNDATION.ORG](http://WWW.ROCKEFELLERFOUNDATION.ORG), IN ORDER FOR THE FOUNDATION TO MAKE AN INITIAL ASSESSMENT OF INTEREST.

APPLICANTS TO THE BELLAGIO CENTER, ITALY, ARE ASKED TO APPLY ON LINE AT [WWW.ROCKEFELLERFOUNDATION.ORG](http://WWW.ROCKEFELLERFOUNDATION.ORG).

(C) IN 2016, THERE WERE NO SPECIFIC SUBMISSION DEADLINES FOR THE FOUNDATION'S PROGRAMS, OTHER THAN FOR THE BELLAGIO PROGRAM. IN ADDITION, FROM TIME TO TIME, REQUESTS FOR PROPOSALS WERE SENT OUT WITH SPECIFIC DEADLINES TO A SELECT GROUP OF PROSPECTIVE GRANTEEES FOR PARTICULAR PROJECTS.

(D) THE ROCKEFELLER FOUNDATION DEVELOPS ITS PROGRAMS, STRATEGIES AND APPROACHES DESIGNED TO HAVE AN IMPACT ON A PARTICULAR SET OF ISSUES OR CHALLENGES THROUGH A CONSULTATIVE AND COLLABORATIVE PROCESS. WE ENGAGE A VARIETY OF GROUPS, INCLUDING SUBJECT MATTER EXPERTS, POLICYMAKERS AND REPRESENTATIVES OF APPLICABLE COMMUNITIES TO FULLY UNDERSTAND A GIVEN CHALLENGE OR OPPORTUNITY, INCLUDING THE ECONOMIC, POLITICAL OR SOCIAL CONTEXT IN WHICH IT RESIDES. IN THE COURSE OF PROGRAM DEVELOPMENT, THE FOUNDATION IDENTIFIES AND CONSIDERS ORGANIZATIONS THAT WE BELIEVE ARE BEST POSITIONED TO IMPLEMENT DEFINED COMPONENTS OF A PROGRAM. THIS PROCESS HELPS DETERMINE THE ORGANIZATIONS THAT THE FOUNDATION WILL PROVIDE GRANTS TO, AND WE WILL THEN REQUEST PROJECT PROPOSALS FROM THOSE ORGANIZATIONS. IN ORDER TO FOCUS AND MAXIMIZE THE IMPACT OF OUR GRANTMAKING, WE RARELY PROVIDE FUNDING IN RESPONSE TO UNSOLICITED PROPOSALS. AS A MATTER OF POLICY, THE FOUNDATION DOES NOT GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS OR, EXCEPT IN RARE CASES, FUND ENDOWMENTS, OR CONTRIBUTE TO BUILDING AND OPERATING FUNDS.

DURING 2016, THE ROCKEFELLER FOUNDATION PURSUED ITS 1913 MISSION OF PROMOTING THE WELL-BEING OF HUMANITY THROUGHOUT THE WORLD THROUGH DUAL GOALS: ADVANCING INCLUSIVE ECONOMIES THAT EXPAND OPPORTUNITIES FOR MORE BROADLY SHARED PROSPERITY, AND BUILDING RESILIENCE BY HELPING PEOPLE,

COMMUNITIES AND INSTITUTIONS PREPARE FOR, WITHSTAND, AND EMERGE STRONGER FROM ACUTE SHOCKS AND CHRONIC STRESSES. TO ACHIEVE THESE GOALS, THE ROCKEFELLER FOUNDATION HAS BEEN WORKING AT THE INTERSECTION OF FOUR FOCUS AREAS - ADVANCE HEALTH, REVALUE ECOSYSTEMS, SECURE LIVELIHOODS, AND TRANSFORM CITIES - TO ADDRESS THE ROOT CAUSES OF EMERGING CHALLENGES AND CREATE SYSTEMIC CHANGE. CURRENT INITIATIVES, AS WELL AS AREAS BEING EXPLORED FOR FUTURE INITIATIVES, ARE DESCRIBED ON THE FOUNDATION'S WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG, WHICH IS CONTINUALLY UPDATED. INITIATIVES THAT WERE ACTIVE DURING 2016 INCLUDED: 100 RESILIENT CITIES; THE GLOBAL RESILIENCE PARTNERSHIP; THE ALLIANCE FOR A GREEN REVOLUTION IN AFRICA; DIGITAL JOBS AFRICA; BUILDING CLIMATE CHANGE RESILIENCE; SMART POWER FOR RURAL DEVELOPMENT; INNOVATIVE FINANCE; AND YIELDWISE. THE FOUNDATION ALSO ACTIVELY ENGAGES IN EXPLORATIONS OF POSSIBLE NEW INITIATIVES, WHICH ARE ALSO DESCRIBED ON THE WEBSITE.

THE FOUNDATION ALSO OPERATES A CONFERENCE AND STUDY PROGRAM AT ITS BELLAGIO CENTER IN ITALY.

## GENERAL EXPLANATION

STATEMENT 26

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PART VII-B, LINE 5(C) - EXPENDITURE RESPONSIBILITY GRANTS AND PRIS

## EXPLANATION:

THE FOLLOWING TEXT RELATES TO THE STATEMENT 21, THE EXPENDITURE RESPONSIBILITY STATEMENT, IN RESPONSE TO PART VII-B, LINE 5C.

PROSPECTIVE GRANTEEES ARE ASKED TO FURNISH INFORMATION IN ORDER TO ENABLE THE FOUNDATION TO DETERMINE WHETHER THEY HAVE RECEIVED A DETERMINATION OF 501(C)(3) STATUS FROM THE U.S. INTERNAL REVENUE SERVICE OR MAY BE CONSIDERED THE EQUIVALENT OF A TAX-EXEMPT CHARITY, IF FORMED OUTSIDE THE UNITED STATES. PROSPECTIVE GRANTEEES WITH RESPECT TO WHOM THE FOUNDATION IS BELIEVED TO HAVE "EXPENDITURE RESPONSIBILITY" OBLIGATIONS ARE INFORMED BY LETTER, BEFORE PAYMENT IS MADE ON A GRANT, OF THE RESPONSIBILITIES IMPOSED UPON THE FOUNDATION AND ITS GRANTEEES BY THE INTERNAL REVENUE CODE OF 1986, AS AMENDED. EACH GRANTEE MUST AGREE IN WRITING TO THOSE TERMS AND CONDITIONS, INCLUDING THE OBLIGATION TO PROVIDE FINANCIAL AND SUBSTANTIVE REPORTS ON HOW FOUNDATION GRANT FUNDS ARE EXPENDED. THE REPORTS THAT ARE RECEIVED ARE REVIEWED FOR GENERAL ACCURACY, SUFFICIENCY AND COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT. ADDITIONAL INFORMATION IS REQUESTED FROM GRANTEEES IN INSTANCES WHERE REPORTS ARE CONSIDERED INADEQUATE. WHERE THE FOUNDATION HAS NOT RECEIVED FINANCIAL OR SUBSTANTIVE REPORTS FROM A GRANTEE WHEN EXPECTED, FOUNDATION STAFF FOLLOW UP IN SEVERAL WAYS, INCLUDING REMINDERS THAT ARE SENT AT REGULAR INTERVALS AFTER REPORTS ARE EXPECTED AND PERSONAL FOLLOW-UP BY PROGRAM AND GRANTS MANAGEMENT STAFF THROUGH TELEPHONE, MAIL AND E-MAIL.

STATEMENT 21 LISTS GRANT AND PROGRAM-RELATED INVESTMENT EXPENDITURES WITH RESPECT TO WHICH THE FOUNDATION HAS EXERCISED EXPENDITURE RESPONSIBILITY. WHERE THE GRANTEE'S NAME IS FOLLOWED BY AN ASTERISK (\*), THE GRANTEE ORGANIZATION IS EITHER A PRIVATE FOUNDATION, A PRIVATE OPERATING FOUNDATION, OR A FOREIGN PRIVATE-FOUNDATION EQUIVALENT. ALL OTHER GRANTEES ARE NON-501(C)(3) ORGANIZATIONS OR ORGANIZATIONS FOR WHICH THE FOUNDATION HAS NOT MADE A REASONABLE DETERMINATION OF 501(C)(3) EQUIVALENCY STATUS THAT RECEIVED GRANTS FOR CHARITABLE PROJECTS AND MAINTAINED PAYMENTS IN SEGREGATED FUNDS AS REQUIRED BY TREASURY REG. 53.4945-6(C).

IF A PARTICULAR GRANT EXPENDITURE IS SHOWN TO HAVE BEEN FULLY EXPENDED BY THE GRANTEE BUT THE FOUNDATION HAS NOT YET RECEIVED ONE OR MORE NARRATIVE REPORTS, THE FOUNDATION WILL REPORT ON THE STATUS OF THE EXPENDITURE ON OUR 2016 990-PF. UNLESS OTHERWISE NOTED, THE REPORTS RECEIVED WERE FOUND TO BE IN ORDER AND TO SHOW THAT THE FUNDS WERE FULLY EXPENDED FOR THE PURPOSE OF THE GRANT.

REPORTING STATUS IS AS OF 10/31/17.

## GENERAL EXPLANATION

STATEMENT 27

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PART XV - FOUNDATION STATUS CODE "PC"

## EXPLANATION:

WITH REGARD TO PART XV SUPPLEMENTARY INFORMATION REFLECTING GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR AND APPROVED FOR FUTURE PAYMENT, ORGANIZATIONS GIVEN THE FOUNDATION STATUS CODE DESIGNATION "PC" (OR PUBLIC CHARITY) INCLUDE U.S. PUBLIC CHARITIES DESCRIBED IN SECTION 509(A)(1) OR (2) OF THE CODE AND ORGANIZATIONS BASED OUTSIDE THE U.S. THAT HAVE BEEN FOUND TO BE THE EQUIVALENT OF A U.S. PUBLIC CHARITY.

## GENERAL EXPLANATION

STATEMENT 28

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990-PF, PAGE 5, PART VII-A, LINE 11 - CONTROLLED ENTITIES

## EXPLANATION:

THE FOLLOWING CONTROLLED ENTITY IS NOT AN EXCESS BUSINESS HOLDING:

SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION  
C/O SCORPIO CORPORATE ADVISORSS-405 (LGF), GREATER KAILASH - II  
NEW DELHI, INDIA  
EIN# N/A\*\*  
TRANSACTION: \$5,000,000 GRANT PAYMENT TO SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION.

\*\*THIS ENTITY IS FOREIGN AND HAS NO EIN#, HOWEVER IN ORDER FOR THE RETURN TO BE ACCEPTABLE FOR E-FILING, WE USED THE EIN# 12-3456789

## GENERAL EXPLANATION

STATEMENT 29

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PAGE 7, PART IX-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

## EXPLANATION:

THE ROCKEFELLER FOUNDATION  
 BELLAGIO CENTER, ITALY  
 SUMMARY OF DIRECT CHARITABLE ACTIVITIES  
 FORM 990PF, PART IX-A

THE BELLAGIO CENTER HAD OPERATING EXPENSES OF \$4,325,833. SINCE 1959, THE CENTER HAS SERVED AS A RESOURCE FOR FOSTERING DIALOGUE AND PROBLEM SOLVING FOR DIVERSE PARTICIPANTS AROUND THE WORLD. THE CENTER HOSTS CONFERENCES THAT FOCUS ON TOPICS OF INTERNATIONAL INTEREST, WITH PREFERENCE GIVEN TO APPLICANTS WHO PROMOTE DEVELOPMENT AND ALIGN WITH THE FOUNDATION'S AREAS OF INTEREST. IN 2016 THE BELLAGIO CENTER HOSTED 52 MEETINGS (THESE MEETINGS WERE ORIGINALLY APPROVED IN 2014, 2015, AND 2016) WITH A TOTAL OF 982 PARTICIPANTS; 33% OF WHOM WERE FROM THE UNITED STATES, 39% FROM DEVELOPED COUNTRIES OUTSIDE OF UNITED STATES, AND 28% FROM DEVELOPING COUNTRIES.

IN ADDITION, THE CENTER OFFERS TWO-TO-FOUR WEEK RESIDENCIES TO SCHOLARS, ARTISTS, AND PRACTITIONERS. IN 2016, THE CENTER HOSTED 126 RESIDENTS, 60% OF WHOM WERE FROM THE UNITED STATES, 13% FROM DEVELOPED COUNTRIES OUTSIDE OF THE UNITED STATES, AND 28% FROM DEVELOPING COUNTRIES. A LISTING OF 2016 CONFERENCES, TEAMS AND INDIVIDUAL RESIDENTS IS BELOW.

## 2016 BELLAGIO CENTER CONFERENCES

2/8/2016 TO 2/12/2016

THE CONSUMER PERSPECTIVE: A CONSULTATION WITH SENIOR HEALTH OFFICIALS IN DEVELOPING COUNTRIES ON STANDARDS OF EVIDENCE FOR REPRODUCTIVE AND MATERNAL HEALTH CARE, POPULATION COUNCIL, BIRUNGI, HARRIET (KENYA)

2/16/2016 TO 2/20/2016

FEEDING AN EXTRA 2.4 BILLION BY 2050: DECISION FRAMEWORKS FOR RESILIENT FOOD-ENERGY-ENVIRONMENT-WATER SYSTEMS, THE AUSTRALIAN NATIONAL UNIVERSITY, GRAFTON, R. QUENTIN (AUSTRALIA)

2/17/2016 TO 2/20/2016

WHITE SPACES, GUARDIAN NEWS AND MEDIA LTD., WHITE, RACHEL (UNITED STATES)

2/22/2016 TO 2/26/2016

PROTECTING CIVILIANS IN ARMED CONFLICTS, FIGHTING JUST WARS, AND PREVENTING STATES FAILURES: HOW AN ETHICAL APPROACH TO INTERNATIONAL

AFFAIRS STRENGTHENS GLOBAL RESILIENCE. AMERICAN ACADEMY OF ARTS AND SCIENCES, FANTON, JONATHAN (UNITED STATES)

2/29/2016 TO 3/4/2016

RESILIENT HEALTH SYSTEMS: FROM CONCEPT TO ACTION, DEPARTMENT OF GLOBAL HEALTH, KRUK, MARGARET (UNITED STATES)

3/1/2016 TO 3/5/2016

UNSDSN THEMATIC NETWORK 09 DELIVERING URBAN SUSTAINABLE DEVELOPMENT GOAL 11 THROUGH HABITAT III, THE ECOLOGICAL SEQUESTRATION TRUST, HEAD, PETER (UNITED KINGDOM)

3/8/2016 TO 3/12/2016

INTERNATIONAL STREET HARASSMENT CONVENING, HOLLABACK!, MAY, EMILY (UNITED STATES)

3/14/2016 TO 3/18/2016

CSO ACCOUNTABILITY IN THE DIGITAL AGE, INTERNATIONAL CIVIL SOCIETY CENTRE, MERKELBACH, JANA (GERMANY)

3/15/2016 TO 3/19/2016

THE LANCET - O'NEILL INSTITUTE, GEORGETOWN UNIVERSITY COMMISSION ON GLOBAL HEALTH AND THE LAW BELLAGIO DIRECTING MEETING, O'NEILL INSTITUTE FOR NATIONAL & GLOBAL HEALTH LAW, DEBARTOLO, MARY (UNITED STATES)

3/28/2016 TO 4/1/2016

PORTFOLIO DECARBONIZATION COALITION - COP21: OUTCOMES AND PROSPECTS FOR INVESTORS, COLUMBIA UNIVERSITY, BOLTON, PATRICK (UNITED STATES)

4/4/2016 TO 4/8/2016

SUPPORTING AND NURTURING WELLBEING AMONGST SOCIAL CHANGE LEADERS GLOBALLY, THE WELLBEING PROJECT, PEREIRA, AARON (FRANCE)

4/11/2016 TO 4/15/2016

FAIR FASHION: THE APPAREL INDUSTRY AS AN INSTRUMENT FOR SUSTAINABLE DEVELOPMENT, GLASGOW CALEDONIAN UNIVERSITY NEW YORK, SMYTH, CARA (UNITED STATES)

4/18/2016 TO 4/22/2016

ASIA FLAGSHIP COURSE - THE CHALLENGE OF UNIVERSAL HEALTH COVERAGE - CURRICULUM DEVELOPMENT WORKSHOP, USAID - RONALD REAGAN BUILDING, YARROW, KRISTINA (UNITED STATES)

4/25/2016 TO 4/29/2016

A WORKSHOP ON GLOBAL ACTION ON TRANSFORMING HEALTH WORKFORCE EDUCATION AND TRAINING TOWARDS UNIVERSAL HEALTH COVERAGE AND WELL-BEING OF HUMANITY IN THE DEVELOPING WORLD 2016-2030, HEALTH PROFESSIONAL EDUCATION FOUNDATION OF THAILAND, CHUENKONGKAEW, WANICHA (THAILAND)

4/26/2016 TO 4/30/2016

BUILDING RESILIENT HEALTH SYSTEMS AND GLOBAL HEALTH SECURITY, WORLD BANK, CHOPRA, MICKEY, (UNITED STATES)

5/2/2016 TO 5/6/2016

RESILIENCE NEXTGEN: NEW LEADERS, NEW MEDIA, SKDKNICKERBOCKER, REICHIN, STEPHANIE (UNITED STATES)

5/10/2016 TO 5/14/2016

SUSTAINING A DIGITAL CONTENT AND KNOWLEDGE SHARING PLATFORM TO ADVANCE THE FIELD OF RESILIENCE, BLUE STATE DIGITAL, KIESA, JESSALYN (UNITED STATES)

5/23/2016 TO 5/27/2016

SAFE AND INCLUSIVE CITIES BOOK PROJECT: TESTING THEORIES OF SAFER CITIES FROM THE GLOBAL SOUTH, INTERNATIONAL DEVELOPMENT RESEARCH CENTRE, SALAHUB, JENNIFER (CANADA)

5/24/2016 TO 5/28/2016

ANNUAL MEETING OF FUTURISTS, INTERNATIONAL CIVIL SOCIETY CENTRE, GN RIG, BURKHARD (GERMANY)

5/30/2016 TO 6/3/2016

LANDSCAPE APPROACHES FOR AGRICULTURAL GREEN GROWTH, ECOAGRICULTURE PARTNERS, SCHERR, SARA (UNITED STATES)

6/6/2016 TO 6/10/2016

CREATING A PLATFORM FOR BUILDING SAFE AND VIBRANT STREETS AND PUBLIC SPACES IN AFRICAN CITIES, HEALTHBRIDGE FOUNDATION OF CANADA, DANIEL, KRISTIE (CANADA)

6/7/2016 TO 6/11/2016

BEYOND 'POLITICS MATTERS': WHAT DOES ESID HAVE TO SAY?, THE UNIVERSITY OF MANCHESTER, HULME, DAVID (UNITED KINGDOM)

6/13/2016 TO 6/17/2016

SUSTAINABILITY AND RESILIENCE CREDIT RATING, GLOBAL INFRASTRUCTURE, BASEL FOUNDATION, EGLER, HANS-PETER (SWITZERLAND)

6/27/2016 TO 7/1/2016

GOVERNING MULTISECTORAL COLLABORATIONS FOR HEALTH IN LOW AND MIDDLE INCOME COUNTRIES, JOHNS HOPKINS BLOOMBERG SCHOOL OF PUBLIC HEALTH, BENNETT, SARA (UNITED STATES)

7/4/2016 TO 7/8/2016

ADVANCING PLANETARY HEALTH - LINKING HEALTH AND ENVIRONMENTAL DATA, LONDON SCHOOL OF HYGIENE AND TROPICAL MEDICINE, HAINES, ANDY (UNITED KINGDOM)

7/5/2016 TO 7/9/2016

TRANSFORMING KISUMU: ENABLING TECHNOLOGIES FOR SMART CITIES AND RESILIENT ECONOMIES, COUNTY GOVERNMENT OF KISUMU, OCHUGBOJU, SHEILA (UNITED KINGDOM)

7/11/2016 TO 7/15/2016

THE AFRICA GREENCO INITIATIVE (AFRICA GREEN REGIONAL ENERGY: EFFICIENT, NEW AND CREDITWORTHY OFFTAKER), AFRICA GREENCO, HAJDUKA SHIELDS, ANA-KATARINA (UNITED KINGDOM)



7/18/2016 TO 7/22/2016

BELLAGIO CENTER STRATEGIC SELECTION PARTNERS CONVENING, MERIDIAN INSTITUTE, FOSTON, SHELLY (UNITED KINGDOM)

7/25/2016 TO 7/29/2016

EMPOWERING WOMEN FOR ECONOMIC GROWTH: THE SMART CHOICE FOR THE G20, CHATHAM HOUSE (THE ROYAL INSTITUTE OF INTERNATIONAL AFFAIRS), SUBACCHI, PAOLA (UNITED KINGDOM)

8/1/2016 TO 8/5/2016

FIVE BIG BETS FOR PHILANTHROPY AND THE SHARING ECONOMY, IDEO, RHOTEN, DIANA (UNITED STATES)

8/2/2016 TO 8/6/2016

INSURANCE AND CREDIT RATING CONVENING, INSTITUTE FOR SUSTAINABLE COMMUNITIES, ADAMS, STEPHEN (UNITED STATES)

8/8/2016 TO 8/12/2016

GLOBAL VIROME PROJECT (GVP) FORUM, UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT, CARROLL, DENNIS (UNITED STATES)

8/22/2016 TO 8/26/2016

THE FUTURE OF INNOVATION SUMMIT 2016: ADVANCING AN INNOVATION METHODOLOGY FOR COMPLEX SYSTEMS, STANFORD CHANGELABS, BANERJEE, BANNY (UNITED STATES)

8/29/2016 TO 9/2/2016

SOCIAL SERVICE WORKFORCE STRENGTHENING & AMBASSADOR TRAINING, GLOBAL SOCIAL SERVICE WORKFORCE ALLIANCE, BESS, AMY (UNITED STATES)

8/30/2016 TO 9/3/2016

ACCADEMIA CONCERTANTE WORKSHOP, ACCADEMIA CONCERTANTE, FEDELI, MATTEO (ITALY)

9/5/2016 TO 9/9/2016

THE PAST, PRESENT AND FUTURE OF PAYMENTS FOR ECOSYSTEM SERVICES, UNIVERSITY OF CALIFORNIA, SALZMAN, JAMES (UNITED STATES)

9/13/2016 TO 9/17/2016

RESOURCES TO HELP PEOPLE MAKE WELL-INFORMED HEALTH CHOICES IN LOW-INCOME COUNTRIES, NORWEGIAN INSTITUTE OF PUBLIC HEALTH, OXMAN, ANDREW (NORWAY)

9/19/2016 TO 9/23/2016

CULTURE AND RESILIENCE, ROCKEFELLER ARCHIVE CENTER, SMITH, JAMES (UNITED STATES)

9/26/2016 TO 9/30/2016

CONNECTIVITY AT THE BOTTOM OF THE PYRAMID: ICT4D AND INFORMAL ECONOMIC INCLUSION IN AFRICA, LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE, MEAGHER, KATHLEEN (UNITED KINGDOM)

10/10/2016 TO 10/14/2016

GCBSD WORKING SEMINAR, GLOBAL COMMISSION ON BUSINESS AND SUSTAINABLE DEVELOPMENT (GCBSD), EVANS, ALEX (UNITED KINGDOM)

10/11/2016 TO 10/15/2016

ACCELERATING PRIVATE INVESTMENT TO REDUCE EMISSIONS FROM DEFORESTATION, ENVIRONMENTAL DEFENSE FUND (EDF), LUBOWSKI, RUBEN (UNITED STATES)

10/17/2016 TO 10/21/2016

MARKET-BASED SOLUTIONS FOR THE EXTREME POOR, ADD INTERNATIONAL, WAINWRIGHT, TIM (UNITED KINGDOM)

10/24/2016 TO 10/28/2016

BRIDGING THE HAGUE-GENEVA DIVIDE TO STRENGTHEN ACCOUNTABILITY FOR MASS ATROCITIES, UNITED STATES HOLOCAUST MEMORIAL MUSEUM, RAPP, STEPHEN (UNITED STATES)

10/31/2016 TO 11/4/2016

THE PROSPECTS FOR JOB GENERATION IN THE MIDDLE EAST, THE CO-OPINION NETWORK, SINANOGLU, SEMUHI (TURKEY)

11/7/2016 TO 11/11/2016

TOWARDS A SUSTAINABLE EARTH: HUMAN-ENVIRONMENT SYSTEMS AND THE UN GLOBAL GOALS, NATURAL ENVIRONMENT RESEARCH COUNCIL, LAURIE, SOPHIE (UNITED KINGDOM)

11/8/2016 TO 11/12/2016

WHAT IS GLOBAL MIGRATION LAW?, TEMPLE UNIVERSITY LAW SCHOOL, SPIRO, PETER (UNITED STATES)

11/14/2016 TO 11/18/2016

BUILDING THE FUTURE MARKET FOR HEALTH COVERAGE FOR THE WORKING POOR, DALBERG - GLOBAL DEVELOPMENT ADVISORS, HAUSMAN, VICKY (UNITED STATES)

11/21/2016 TO 11/25/2016

PUBLIC HEALTH PRACTICE STRENGTHENING IN LOCAL DISTRICTS, JOHNS HOPKINS UNIVERSITY, BISHAI, DAVID (UNITED STATES)

11/22/2016 TO 11/26/2016

THE OXFORD LAW & POLICY FELLOWSHIP: IMPROVING GOVERNMENTS' RESPONSIVENESS IN SUB-SAHARAN AFRICA, OXFORD POLICY MANAGEMENT, LIPSCHUTZ, KARI (UNITED KINGDOM)

11/28/2016 TO 12/2/2016

PLANT GENETIC RESOURCES AND SDGS: NEEDS RIGHTS AND OPPORTUNITIES, SRUC, POWELL, WAYNE (UNITED KINGDOM)

11/29/2016 TO 12/3/2016

AID ALLOCATION STRATEGIES FOR MORE HEALTH AT LESS COST, HARVARD TH CHAN SCHOOL OF PUBLIC HEALTH, BUMP, JESSE (UNITED STATES)

12/5/2016 TO 12/9/2016

GETTING GOOD AT DISRUPTION: A SOUTHERN NGO-LED AGENDA FOR INNOVATIVE CHANGE, IIED, BUCKLEY, LILA (UNITED KINGDOM)

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TEAM RESIDENCIES

2/1/2016 TO 2/29/2016

"THE URGE TO FLY" INDEPENDENT ARTIST, CHILDS, MARY ELLEN, (UNITED STATES)

2/1/2016 TO 2/29/2016

"THE URGE TO FLY" UT AUSTIN/TOFTE LAKE CENTER" ENGELMAN, ELIZABETH, (UNITED STATES)

2/1/2016 TO 2/29/2016

"THE URGE TO FLY" FROST SCHOOL OF MUSIC UNIV MIAMI, JOHNSON, ALAN, (UNITED STATES)

3/3/2016 TO 3/31/2016

"CLEAR &amp; SWEET", ZOE JUNIPER, SCOFIELD, ZOE, (UNITED STATES)

3/3/2016 TO 3/31/2016

"CLEAR &amp; SWEET", ZOE JUNIPER, SHUEY, JUNIPER, (UNITED STATES)

3/8/2016 TO 3/23/2016

"SURVIVING THE GENOCIDE" THE UNIVERSITY OF THE ARTS, VIGUERS, SUSAN, (UNITED STATES)

3/8/2016 TO 3/23/2016

"SURVIVING THE GENOCIDE" BAREFOOT ARTISTS, INC., YEH, LILY, (UNITED STATES)

4/14/2016 TO 5/10/2016

"SURROGATE TREES: CREATIVE OUTDOORS SPACES FOR HOTTER CITIES" USC ARCHITECTURE, SUNG, DORIS, (UNITED STATES)

4/14/2016 TO 5/10/2016

"SURROGATE TREES: CREATIVE OUTDOORS SPACES FOR HOTTER CITIES" PRINCETON UNIVERSITY, ADRIAENSSENS, SIGRID (UNITED STATES)

4/14/2016 TO 5/10/2016

"SURROGATE TREES: CREATIVE OUTDOORS SPACES FOR HOTTER CITIES" ARUP, FORTMEYER, RUSSELL, (UNITED STATES)

6/7/2016 TO 7/4/2016

"THE SPACES OF READING" EVERY HOUSE HAS A DOOR, GOULISH, MATTHEW, (UNITED STATES)

6/7/2016 TO 7/4/2016

"THE SPACES OF READING" SCHOOL OF THE ART INSTITUTE, HIXSON, LIN, (UNITED STATES)

6/7/2016 TO 7/4/2016

"THE SPACES OF READING" SCHOOL OF THE ART INSTITUTE, HAMILTON, ANN, (UNITED STATES)

2016 BELLAGIO CENTER RESIDENTS:

ACHAVANUNTAKUL, SARINEE, (THAILAND) SAL FOREST CO. LTD. "CRAFTING  
"BUSINESS CASE" OF SUSTAINABLE BUSINESS: CASE STUDIES OF FOOD, ENERGY,  
AND BANKING SECTORS IN THAILAND"

AHLUWALIA, MONTEK, (INDIA) INDEPENDENT RESEARCHER "THE PROCESS OF  
ECONOMIC REFORMS IN INDIA SINCE 1980"

ALBERT ISAAC, OLAWALE, (NIGERIA) UNIVERSITY OF IBADAN, NIGERIA  
"RETHINKING HUMAN SECURITY AND THE MEDIA STRATEGIES OF NIGERIA'S MEND  
AND BOKO HARAM"

ALLEN, JEFFERY, (UNITED STATES) UNIVERSITY OF VIRGINIA "THE HOUR OF THE  
SEEDS: A NOVEL"

ALPASA, XAVIER, (PHILIPPINES) RAGS2RICHES "FINE FELLOWS"

ANDERSEN, TRINE, (DENMARK) WRITER, POET "UNTITLED NOVEL"

ANSAH, EVELYN, (GHANA) GHANA HEALTH SERVICE "EXPANDING ACCESS TO  
IMPROVED QUALITY OF FEVER MANAGEMENT AT COMMUNITY LEVEL THROUGH THE  
PRIVATE DRUG RETAIL SECTOR"

APPIAH, KWAME ANTHONY, (UNITED STATES) NYU "APPIAH ON RELIGION"

ARELLANO, ROBERT, (UNITED STATES) SOUTHERN OREGON UNIVERSITY "STAIRWAY  
TO HEAVEN: AIDS AND RESISTANCE IN CUBA'S FRIKI GENERATION"

AUTOR, DAVID, (UNITED STATES) MASSACHUSETTS INSTITUTE OF TECHNOLOGY 54  
OXFORD RD NEWTON MA 02459-2405 "ECONOMIC CONTRIBUTORS TO THE RISING  
FEMALE ADVANTAGE IN EDUCATION"

BAROLSKY, VANESSA, (SOUTH AFRICA) HUMAN SCIENCES RESEARCH COUNCIL  
"SOCIAL COHESION: THE MISSING LINK IN 'OVERCOMING' VIOLENCE?"

BAUGH, JOHN, (UNITED STATES) WASHINGTON UNIVERSITY IN ST. LOUIS  
"LINGUISTICS IN PURSUIT OF JUSTICE"

BEINECKE, FRANCES, (UNITED STATES) NATURAL RESOURCES DEFENSE COUNCIL  
(NRDC) "CLIMATE STRATEGY: BUILDING BROADER SUPPORT IN THE US FOR CLEAN  
ENERGY TRANSITION"

BERNSTEIN, SARA ANN, (SOUTH AFRICA) CENTRE FOR DEVELOPMENT AND  
ENTERPRISE "THE DEMOCRATIC ALTERNATIVE FROM THE SOUTH: PROMOTING MARKET  
REFORMS AND EXPANDING OPPORTUNITIES FOR THE POOR"

BEYRER, CHRIS, (UNITED STATES) CENTER FOR PUBLIC HEALTH AND HUMAN  
RIGHTS "WAR IN THE BLOOD: SEX, POLITICS, AND AIDS IN SOUTHEAST ASIA"

BRADFORD, KENT, (UNITED STATES) UNIVERSITY OF CALIFORNIA, DAVIS  
"IMPLEMENTING THE "DRY CHAIN" TO REDUCE POSTHARVEST LOSSES AND IMPROVE  
FOOD SAFETY"

BREDESON, KATE, (UNITED STATES) REED COLLEGE "A LIFETIME OF RESISTANCE:  
THE DIARIES OF JUDITH MALINA 1947-2015"

BROWN, WEISS EDITH, (UNITED STATES) GEORGETOWN LAW, GEORGETOWN UNIVERSITY "ACCOUNTABILITY FOR SUSTAINABLE DEVELOPMENT IN A BOTTOM-UP WORLD"

BRUDER, JESSICA, (UNITED STATES) COLUMBIA UNIVERSITY GRADUATE SCHOOL OF JOURNALISM "SURVIVING AMERICA"

BUCKLEY, MARY, (UNITED KINGDOM) HUGHES HALL, UNIVERSITY OF CAMBRIDGE, UK "BONDED LABOUR AND THE RUSSIAN FEDERATION: POLITICS, POLICY AND ATTITUDES"

BUCKLEY, ROBERT, (UNITED STATES) NYU/THE NEW SCHOOL/INTERNATIONAL GROWTH CENTRE, OXFORD UNIVERSITY "URBANIZATION IN SUB-SAHARA AFRICA: RETHINKING POLICY APPROACHES"

BURTON, FLETCHER, (UNITED STATES) U.S. STATE DEPT. / ORGANIZATION FOR SECURITY AND COOPERATION IN EUROPE "GROUND STRATEGY OF AMERICAN DIPLOMACY, MY EDITED DIARY, 2005-14, FROM GERMANY, AFGHANISTAN, KOSOVO, IRAQ AND BOSNIA"

CAIN, ALLAN, (ANGOLA) DEVELOPMENT WORKSHOP "PARTICIPATORY RISK MAPPING AFRICA'S VULNERABLE COASTAL CITIES"

CAMPBELL, COLIN, (UNITED STATES) COLONIAL WILLIAMSBURG FOUNDATION "THOUGHTS ON CITIZENSHIP"

CARDEN, DAVID, (UNITED STATES) JONES DAY "HAUNTED BY THE TRUTH: MAPPING RISKS IN THE MODERN WORLD"

CARDENAS, JUAN, (COLOMBIA) UNIVERSIDAD DE LOS ANDES "FIELD EXPERIMENTS AS PEDAGOGICAL AND SOCIAL LEARNING TOOLS FOR RESILIENT COMMUNITIES"

CHARMAN, ANDREW, (SOUTH AFRICA) SUSTAINABLE LIVELIHOODS FOUNDATION "THE ECOLOGY OF INFORMAL BUSINESS - A SOCIAL-SPATIAL ANALYSIS OF STREET TRADING ON IVORY PARK"

CHAUDHURY, SUPARNA, (INDIA) CATCH NEWS "THE TWISTED TONGUE: THE LAW, MEDIA AND SEXUAL POLITICS IN INDIA"

COHEN, GARY, (UNITED STATES) HEALTH CARE WITHOUT HARM "CLIMATE RESILIENCE AND MITIGATION IN THE HEALTH CARE SECTOR"

COHN, ANDREW, (UNITED STATES) WILMER HALE "MODEL FOR ENHANCING THE DELIVERY OF CIVIL LEGAL ASSISTANCE TO INDIGENT PRO SE LITIGANTS"

CRISP, NIGEL, (UNITED KINGDOM) HOUSE OF LORDS "WRITING A NOVEL - RE: ITALY"

DANGAREMBGA, TSITSI, (ZIMBABWE) INSTITUTE OF CREATIVE ARTS FOR PROGRESS IN AFRICA "SAI-SAI, WATERMAKER"

DANIELS, PAULA, (UNITED STATES) CENTER FOR GOOD FOOD PURCHASING "FOODSHIP EARTH: AN OPERATING MANUAL"

DARLING, HAMMOND LINDA, (UNITED STATES) LEARNING POLICY INSTITUTE "THE RIGHT TO LEARN, 2ND EDITION"

DIPERNA, PAULA, (UNITED STATES) CDP "PRICING THE PRICELESS: PARADOX AND POSSIBILITY"

EHIKHAMENOR, VICTOR, (NIGERIA) VICTOR EHIKHAMENOR STUDIO "SO FAR, SO NEAR"

FELDMAN, ANDREW, (UNITED STATES) THE BROOKINGS INSTITUTION "THE RESULTS FOCUSED LEADER: FORTY LEADERSHIP STRATEGIES TO STRENGTHEN OUTCOMES IN THE PUBLIC SECTOR"

FERRARO, PAUL, (UNITED STATES) JOHNS HOPKINS UNIVERSITY "EVIDENCE-BASED ENVIRONMENTAL POLICY FOR THE 21ST CENTURY"

FLEMING, MELISSA, (SWITZERLAND) UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES "NON-FICTION BOOK - A HOPE MORE POWERFUL THAN THE SEA, A YOUNG REFUGEE'S STORY OF LOVE, TERROR, AND SURVIVAL"

GALHOTRA, VIBHA, (INDIA) N/A "FOOD 4 THOUGHT (PHOTOGRAPHIC, VIDEO,PUBLIC PARTICIPATORY INSTALLATION)"

GILLWALD, ALISON, (SOUTH AFRICA) UNIV CAPE TOWN "AFRICA CONNECT: ENABLING GOVERNANCE IN THE AFRICAN ICT ECOSYSTEM"

GIORGIS, ELIZABETH, (ETHIOPIA) ADDIS ABABA UNIVERSITY "WOMEN AT THE MARGINS: THE ENIGMA OF THE 'NEW' AND THE 'MODERN' "

GRAVES, CHRISTOPHER, (UNITED STATES) MR. "BRAIN+BEHAVIOR+STORY: HOW TO MOVE HUMAN BEINGS"

GRAY, TODD, (UNITED STATES) CALIFORNIA STATE UNIVERSITY, LONG BEACH "OUR EXQUISITE TERRIBLNESS"

GUTHMAN, JULIE, (UNITED STATES) UNIVERSITY OF CALIFORNIA, SANTA CRUZ "CAN THE PATHOGEN SPEAK? STRAWBERRY ASSEMBLAGES IN CALIFORNIA'S CENTRAL COAST"

HECHANOVA, ALAMPAY MA. REGINA, (PHILIPPINES) ATENEO DE MANILA UNIVERSITY "RESISTANCE, RESILIENCE, RECOVERY FROM DISASTERS: A SOUTHEAST ASIAN PERSPECTIVE"

HECHT, BEN, (UNITED STATES) LIVING CITIES, INC. "IT DIDN'T HAPPEN BY ACCIDENT: HOW THE US CAN BECOME THE MOST EQUITABLE NATION ON EARTH AGAIN"

HEMPHILL, TAHIR, (UNITED STATES) RAP RESEARCH LAB "RAP RESEARCH LAB"

HIGGS, ERIC, (CANADA) UNIVERSITY OF VICTORIA "CHANGING NATURE"

HORWITZ, CLAUDIA, (UNITED STATES) DON'T PUSH THE RIVER "THE COLLECTIVE EDGE, A BOOK OF ESSAYS"

ISBIN, SHARON, (UNITED STATES) THE JUILLIARD SCHOOL "SHARON ISBIN  
EDITION OF RICHARD DANIELPOUR SONG CYCLE"

IWEALA, UZODINMA CHUKUKA, (UNITED STATES) "SPEAK NO EVIL - A BOOK  
PROJECT"

JOHNSON, ERIC, (UNITED STATES) COLUMBIA UNIVERSITY "BEYOND NUDGES -  
TOOLS OF A CHOICE ARCHITECTURE"

KANYINGA, KARUTI, (KENYA) UNIVERSITY OF NAIROBI "ETHNICITY AND  
INEQUALITY IN KENYA: DEVOLUTION AND NEW POLITICS OF DEVELOPMENT"

KEEFE, PATRICK, (UNITED STATES) THE NEW YORKER "WHATEVER YOU SAY, SAY  
NOTHING: A STORY OF MURDER AND MEMORY IN NORTHERN IRELAND"

KEMP, DAVID, (AUSTRALIA) CHARLES STURT UNIVERSITY "SUSTAINABLE  
GRASSLAND SYSTEMS FOR CHINESE TEMPERATE GRASSLANDS"

KERIG, PATRICIA, (UNITED STATES) UNIVERSITY OF UTAH "RELATIONSHIPS AS  
SOURCES OF RISK OR RESILIENCE FOR TROUBLED GIRLS: TOWARD A NEW AGENDA  
FOR RESEARCH ON GIRLS' DELINQUENCY"

KIMBALL, ANN, (UNITED STATES) CHATHAM HOUSE "EMERGING RISK: PLANETARY  
HEALTH AND INFECTIOUS DISEASES"

KING, JOHN, (UNITED STATES) NEW YORK UNIVERSITY "GLOBAL MUSICAL  
CONNECTIVITY - AS IT INFORMS THE PROCESS OF COMPOSITION"

KRAEMER, MBULA ERIKA, (SOUTH AFRICA) TSHWANE UNIVERSITY OF TECHNOLOGY  
"INNOVATION IN INFORMAL ENTERPRISES IN AFRICA: ADDRESSING THE EVIDENCE  
AND CONCEPTUAL GAP"

KUMAR, VISHWAJEET, (INDIA) COMMUNITY EMPOWERMENT LAB "BEHAVIORAL  
TRANSFORMATION OF FRONTLINE HEALTH WORKERS: A MODEL FOR ACCELERATING  
IMPACT ON COMMUNITY HEALTH"

LAWANSON, TAIBAT, (NIGERIA) UNIVERSITY OF LAGOS "WOMEN, POVERTY AND THE  
CHALLENGE OF THE SUSTAINABLE CITY"

LEANA, CARRIE, (UNITED STATES) UNIVERSITY OF PITTSBURGH "ECONOMIC  
SCARCITY AS A BARRIER TO WORK PERFORMANCE"

LEONARD, LORI, (UNITED STATES) CORNELL UNIVERSITY "GOVERNING  
EXTRACTION"

LEONARD, MARK, (UNITED KINGDOM) EUROPEAN COUNCIL ON FOREIGN RELATIONS  
"THE CONNECTIVITY WARS: EXPLORING THE CRISIS OF INTERDEPENDENCE"

MAHAJAN, VIJAY, (INDIA) BASIX "REFLECTIONS ON BUILDING AN ECONOMY OF  
NURTURANCE"

MANE, PURNIMA, (UNITED STATES) BOARD MEMBER, INTERNATIONAL AIDS VACCINE  
INITIATIVE & MTV-SA FDN. "WORKING TITLE "LESSONS IN LEADERSHIP"

MARCELIN, LOUIS, (UNITED STATES) UNIVERSITY OF MIAMI "VIOLENCE, SOCIAL ORDER, HUMAN INSECURITY AND PEACEBUILDING IN CONTEMPORARY HAITI"

MASSEY, DOUGLAS, (UNITED STATES) PRINCETON UNIVERSITY "WORKING ON AMERICAN APARTHEID REVISITED"

MCNULTY, ROBERT, (UNITED STATES) PARTNERS FOR LIVABLE COMMUNITIES "THE FUTURE OF WORK: FOSTERING THE FUTURE"

MIEVILLE, CHINA, (UNITED KINGDOM) . "OCTOBER: THE RUSSIAN REVOLUTION"

MOHD, ZAIN SITI NURSHEENA, (MALAYSIA) UNIVERSITY OF MALAYA "PARASITIC INFECTIONS STATUS AMONGST URBAN POOR COMMUNITIES IN MALAYSIA"

MOSS, RICHARD, (UNITED STATES) HARVARD UNIVERSITY/GAMETOPHYTE INC. "PETRA"

MUKHOPADHYAY, PARTHA, (INDIA) CENTRE FOR POLICY RESEARCH "IS THERE A CHINDIAN CITY?"

MURRAY, JAMES, (UNITED STATES) UNIVERSITY OF WASHINGTON "IMPACTS OF OCEAN ACIDIFICATION ON MARINE ECOSYSTEMS"

MUTANGA, ONISIMO, (SOUTH AFRICA) UNIVERSITY OF KWAZULU-NATAL "EARTH OBSERVATION CENTRE FOR IMPROVING FOOD SECURITY IN SUB-SAHARAN AFRICA"

MWAMPEMBWA, GODFREY, (KENYA) "THE KENYA CONSTITUTION IN GRAPHIC FORM BOOK"

NYAMNJOH, FRANCIS, (SOUTH AFRICA) UNIVERSITY OF CAPE TOWN "AMOS TUTUOLA AND THE ELUSIVENESS OF COMPLETENESS"

OBAMA, AUMA, (KENYA) SAUTI KUU FOUNDATION "DOCUMENTING THE SAUTI KUU FOUNDATION'S JOURNEY TO COMMUNITY-LED IMPACT: A PRACTITIONER GUIDE TO CREATING SUSTAINABLE ECONOMIC GROWTH IN RURAL COMMUNITIES"

O'REGAN, CATHERINE, (SOUTH AFRICA) SOUTH AFRICAN CONSTITUTIONAL COURT "CULTIVATING A CONSTITUTION: THE WORK OF SOUTH AFRICA'S CONSTITUTIONAL COURT - PART 2"

PATEL, SHEELA, (INDIA) SOCIETY FOR PROMOTION OF AREA RESOURCE CENTRES "GLOBAL VOICES FOR LOCAL CHOICES"

PATRIOTA, ANTONIO, (ITALY) BRAZILIAN GOVERNMENT "COOPERATIVE MULTIPOLARITY"

PATTANAYAK, SUBHRENDU, (UNITED STATES) DUKE UNIVERSITY "ACHIEVING PLANETARY HEALTH: EVALUATING TRADEOFFS AND IDENTIFYING ENVIRONMENTAL HEALTH POLICIES THAT WORK"

PHILLIPS, KATHRYN, (UNITED STATES) UNIVERSITY OF CALIFORNIA - SAN FRANCISCO "MEASURING THE VALUE OF EMERGING PERSONALIZED AND DIGITAL TECHNOLOGIES: A GLOBAL PERSPECTIVE"

POKU-BOANSI, MICHAEL, (GHANA) KWAME NKRUMAH MEMORIAL UNIVERSITY



"FORMALIZING THE INFORMAL PUBLIC TRANSPORT SECTOR IN GHANA THROUGH INFORMAL ORGANIZATIONS /UNIONS"

PORTER, CLAIRE, (UNITED STATES) CLAIRE PORTER / PORTABLES "THE URGE TO FLY"

PRAINSACK, BARBARA, (UNITED KINGDOM) KING'S COLLEGE LONDON "THE "PARTICIPATORY TURN" IN MEDICINE - WHAT DOES IT MEAN FOR PATIENTS?"

RAO, KRISHNA, (UNITED STATES) JOHNS HOPKINS UNIVERSITY "WHERE THERE IS NO DOCTOR - CHALLENGES AND SOLUTIONS TO PROVIDING CLINICAL CARE TO RURAL COMMUNITIES"

RAPP, STEPHEN, (UNITED STATES) UNITED STATES HOLOCAUST MEMORIAL MUSEUM "JOINING TRUTH AND JUSTICE PROCESSES AFTER MASS ATROCITIES: DEVELOPING A COLLABORATIVE PROCESS TO ESTABLISH PRINCIPLES AND GUIDELINES - PART 2"

RATO, VANESSA, (PORTUGAL) PUBLICO ""ANGOLA 1975""

RAUCH, DOUG, (UNITED STATES) DAILY TABLE "WORKING TITLE: INNOVATIVE SOLUTIONS TO HUNGER AND WASTED FOOD IN THE US & DEVELOPING COUNTRIES IN A SUSTAINABLE COLLABORATIVE WAY"

RUMIE DEL CASTILLO, RUBY, (COLOMBIA) "TEJIENDO CALLE (KNITTING STREETS)"

SANTISO, CARLOS, (UNITED STATES) INTER-AMERICAN DEVELOPMENT BANK "BIG DATA FOR BETTER GOVERNMENT: CAN BIG DATA IMPROVE TRANSPARENCY AND PREVENT CORRUPTION?"

SAXENA, SANCHITA, (UNITED STATES) INSTITUTE FOR SOUTH ASIA STUDIES, UNIVERSITY OF CALIFORNIA, BERKELEY "THREE YEARS AFTER RANA PLAZA: THE IMPACT OF INTERNATIONAL INTERVENTIONS ON THE GARMENT INDUSTRY IN BANGLADESH"

SEELINGER, KIM THUY, (UNITED STATES) UNIV. OF CALIFORNIA-BERKELEY "TOWARDS AN INTEGRATED JUSTICE: UNDERSTANDING THE REPORTING DECISIONS OF SURVIVORS OF SEXUAL AND GENDER-BASED VIOLENCE IN ARMED CONFLICT AND FORCED DISPLACEMENT CONTEXTS"

SHANNON, JENNIFER, (UNITED STATES) UNIVERSITY OF COLORADO - BOULDER "THE MANDAN HIDATSA ARIKARA NATION, TWICE REMOVED: REFLECTIONS FROM EVERYDAY LIFE IN THE OIL BOOM IN NORTH DAKOTA"

SHEFER, TAMARA, (SOUTH AFRICA) UNIVERSITY OF THE WESTERN CAPE "CRITICAL REFLECTIONS ON CONTEMPORARY RESPONSES TO YOUTH, HETEROSEXUAL PRACTICES AND GENDER POWER RELATIONS IN EDUCATIONAL CONTEXTS"

SHELLEY, LOUISE, (UNITED STATES) GEORGE MASON UNIVERSITY "ILLCIT TRADE: GLOBAL CHALLENGE"

SKEVINGTON, SUZANNE, (UNITED KINGDOM) UNIV. OF MANCHESTER, MANCHESTER CENTRE FOR HEALTH PSYCHOLOGY "UNDERSTANDING CULTURAL RESILIENCE TO THE

## THREAT OF CLIMATE CHANGE THROUGH QUALITY OF LIFE ANALYSIS"

SPRAGUE, COURTENAY, (UNITED STATES) UNIVERSITY OF MASSACHUSETTS BOSTON  
& UNIVERSITY OF THE WITWATERSRAND "GENDER, HIV AND SOCIAL JUSTICE IN  
SOUTH AFRICA: A NEW VISION FOR WOMEN'S HEALTH"

STEEL, BETH, (UNITED KINGDOM) HAMPSTEAD THEATRE "LABYRINTH"

SURI, MANIL, (UNITED STATES) UNIVERSITY OF MARYLAND BALTIMORE COUNTY  
"THE GODFATHER OF NUMBERS"

SUTCLIFFE, KATHLEEN, (UNITED STATES) JOHNS HOPKINS UNIVERSITY  
"REFRAMING CRISIS MANAGEMENT: INTEGRATING RESILIENCE"

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GENERAL EXPLANATION	STATEMENT 30
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## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990PF, PAGE 7, PART IX-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

## EXPLANATION:

THE ROCKEFELLER FOUNDATION  
BELLAGIO CENTER, ITALY  
SUMMARY OF DIRECT CHARITABLE ACTIVITIES  
FORM 990PF, PART IX-A

2016 BELLAGIO CENTER RESIDENTS (CONTINUED):

TAYLOR, SANDRA, (UNITED STATES) SUSTAINABLE BUSINESS INTERNATIONAL LLC  
"THE WORLD OF SUSTAINABLE WINE -- THE CRITICAL INFLUENCE OF WINEMAKING  
PRACTICES IN SUSTAINABLE AGRICULTURE, BALANCED ECOSYSTEMS AND SOCIAL  
RESPONSIBILITY"

THOMPSON, TOBY, (UNITED STATES) TIDES "BEAUTIFUL LEADERSHIP: THE PATH  
OF THE 21ST CENTURY LEADER"

TIBUHWA, DONATHA, (TANZANIA) UNIVERSITY OF DAR ES SALAAM "MUSHROOMS OF  
THE SERENGETI NATIONAL PARK, FORGOTTEN BIODIVERSITY AND AN ALTERNATIVE  
CROP FOR REDUCING HUMAN WILDLIFE CONFLICTS"

VAN DEN BERG, CLIVE, (SOUTH AFRICA) GOODMAN GALLERY/ TRACE "MEN LOVING:  
NEW KILLINGS"

VERRILLI, DONALD, (UNITED STATES) FORMER US SOLICITOR GENERAL "AN

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ANALYSIS OF THE SUPREME COURT DURING THE OBAMA PRESIDENCY"

WANG, XIAO-JING, (UNITED STATES) NEW YORK UNIVERSITY "BOOK ENTITLED  
"NEUROSCIENCE OF COGNITION"

YANG, YUZHEN, (CHINA) SCHOOL OF ARCHITECTURE AND URBAN PLANNING "PUBLIC  
SPACE IN 1KM: PRODUCTION, PROCESS AND FORM"

ZELEKE, YARED, (UNITED STATES) SLUM KID FILMS "GUZO"

ZIMMERER, KARL, (UNITED STATES) PENNSYLVANIA STATE UNIVERSITY  
"AGROBIODIVERSITY, FOOD, AND HEALTH: TERRITORIAL ORDERING IN MEXICO,  
COLOMBIA, AND PERU"